### Introduction to SQL

Select-From-Where Statements

Multirelation Queries

Subqueries

### Why SQL?

- SQL is a very-high-level language.
  - Say "what to do" rather than "how to do it."
  - Avoid a lot of data-manipulation details needed in procedural languages like C++ or Java.
- Database management system figures out "best" way to execute query.
  - Called "query optimization."

### Select-From-Where Statements

SELECT desired attributes
FROM one or more tables
WHERE condition about tuples of
the tables

### Our Running Example

- All our SQL queries will be based on the following database schema.
  - Underline indicates key attributes.

Beers(name, manf)

Bars(name, addr, license)

Drinkers(<u>name</u>, addr, phone)

Likes(drinker, beer)

Sells(<u>bar</u>, <u>beer</u>, price)

Frequents(drinker, bar)

### Example

Using Beers(name, manf), what beers are made by Anheuser-Busch?

```
SELECT name
FROM Beers
WHERE manf = 'Anheuser-Busch';
```

### Result of Query

#### name

Bud

**Bud Lite** 

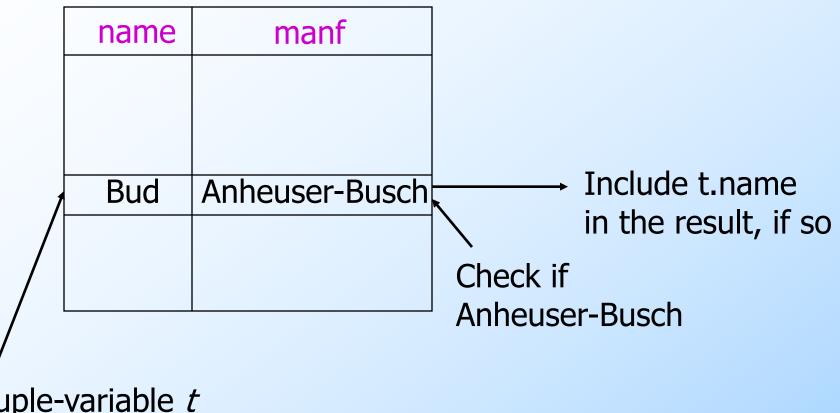
Michelob

The answer is a relation with a single attribute, name, and tuples with the name of each beer by Anheuser-Busch, such as Bud.

### Meaning of Single-Relation Query

- Begin with the relation in the FROM clause.
- Apply the selection indicated by the WHERE clause.
- Apply the extended projection indicated by the SELECT clause.

### **Operational Semantics**



Tuple-variable *t* loops over all tuples

### Operational Semantics --- General

- Think of a tuple variable visiting each tuple of the relation mentioned in FROM.
- Check if the "current" tuple satisfies the WHERE clause.
- ◆If so, compute the attributes or expressions of the SELECT clause using the components of this tuple.

### \* In SELECT clauses

- When there is one relation in the FROM clause, \* in the SELECT clause stands for "all attributes of this relation."
- Example: Using Beers(name, manf):

```
SELECT *
FROM Beers
WHERE manf = 'Anheuser-Busch';
```

### Result of Query:

name	manf	
Bud	Anheuser-Busch	
Bud Lite	Anheuser-Busch	
Michelob	Anheuser-Busch	

Now, the result has each of the attributes of Beers.

### Renaming Attributes

- ◆If you want the result to have different attribute names, use "AS < new name>" to rename an attribute.
- Example: Using Beers(name, manf):

```
SELECT name AS beer, manf
FROM Beers
WHERE manf = 'Anheuser-Busch'
```

# Result of Query:

beer	manf	
Bud	Anheuser-Busch	
Bud Lite	Anheuser-Busch	
Michelob	Anheuser-Busch	

### Expressions in SELECT Clauses

- Any expression that makes sense can appear as an element of a SELECT clause.
- Example: Using Sells(bar, beer, price):

```
SELECT bar, beer,

price*114 AS priceInYen

FROM Sells;
```

# Result of Query

bar	beer	priceInYen
Joe's	Bu	d 285
Sue's	Miller	342
		•••

### Example: Constants as Expressions

Using Likes(drinker, beer):

```
SELECT drinker,

'likes Bud' AS whoLikesBud

FROM Likes

WHERE beer = 'Bud';
```

# Result of Query

drinker	whoLikesBud	
Sally	likes Bud	
Fred	likes Bud	
•••		

### **Example:** Information Integration

- We often build "data warehouses" from the data at many "sources."
- Suppose each bar has its own relation Menu(beer, price).
- ◆To contribute to Sells(bar, beer, price) we need to query each bar and insert the name of the bar.

## Information Integration --- (2)

For instance, at Joe's Bar we can issue the query:

```
SELECT 'Joe''s Bar', beer, price FROM Menu;
```

# Complex Conditions in WHERE Clause

- Boolean operators AND, OR, NOT.
- ◆Comparisons =, <>, <, >, <=, >=.
  - And many other operators that produce boolean-valued results.

### **Example:** Complex Condition

Using Sells(bar, beer, price), find the price Joe's Bar charges for Bud:

```
SELECT price
FROM Sells
WHERE bar = 'Joe''s Bar' AND
beer = 'Bud';
```

#### **Patterns**

- A condition can compare a string to a pattern by:
  - Attribute> LIKE <pattern> or <Attribute> NOT LIKE <pattern>
- ◆ Pattern is a quoted string with % = "any string"; \_ = "any character."

### Example: LIKE

Using Drinkers(name, addr, phone) find the drinkers with exchange 555:

```
SELECT name
FROM Drinkers
WHERE phone LIKE '%555- ';
```

### **NULL Values**

- Tuples in SQL relations can have NULL as a value for one or more components.
- Meaning depends on context. Two common cases:
  - Missing value: e.g., we know Joe's Bar has some address, but we don't know what it is.
  - Inapplicable: e.g., the value of attribute spouse for an unmarried person.

### Comparing NULL's to Values

- The logic of conditions in SQL is really 3valued logic: TRUE, FALSE, UNKNOWN.
- Comparing any value (including NULL itself) with NULL yields UNKNOWN.
- A tuple is in a query answer iff the WHERE clause is TRUE (not FALSE or UNKNOWN).

### Three-Valued Logic

- ◆To understand how AND, OR, and NOT work in 3-valued logic, think of TRUE = 1, FALSE = 0, and UNKNOWN = ½.
- $\bullet$ AND = MIN; OR = MAX, NOT(x) = 1-x.
- **◆**Example:

```
TRUE AND (FALSE OR NOT(UNKNOWN))
= MIN(1, MAX(0, (1 - \frac{1}{2}))) =
MIN(1, MAX(0, \frac{1}{2})) = MIN(1, \frac{1}{2}) = \frac{1}{2}.
```

### Surprising Example

From the following Sells relation:

bar	beer	price
Joe's Bar	Bud	NULL

SELECT bar

FROM Sells

# Reason: 2-Valued Laws != 3-Valued Laws

- Some common laws, like commutativity of AND, hold in 3-valued logic.
- But not others, e.g., the law of the excluded middle: p OR NOT p = TRUE.
  - When p = UNKNOWN, the left side is MAX(  $\frac{1}{2}$ ,  $(1 \frac{1}{2})$ ) =  $\frac{1}{2}$ ! = 1.

### Multirelation Queries

- Interesting queries often combine data from more than one relation.
- We can address several relations in one query by listing them all in the FROM clause.
- Distinguish attributes of the same name by "<relation>.<attribute>".

### **Example:** Joining Two Relations

Using relations Likes(drinker, beer) and Frequents(drinker, bar), find the beers liked by at least one person who frequents Joe's Bar.

```
SELECT beer
FROM Likes, Frequents
WHERE bar = 'Joe''s Bar' AND
   Frequents.drinker =
     Likes.drinker;
```

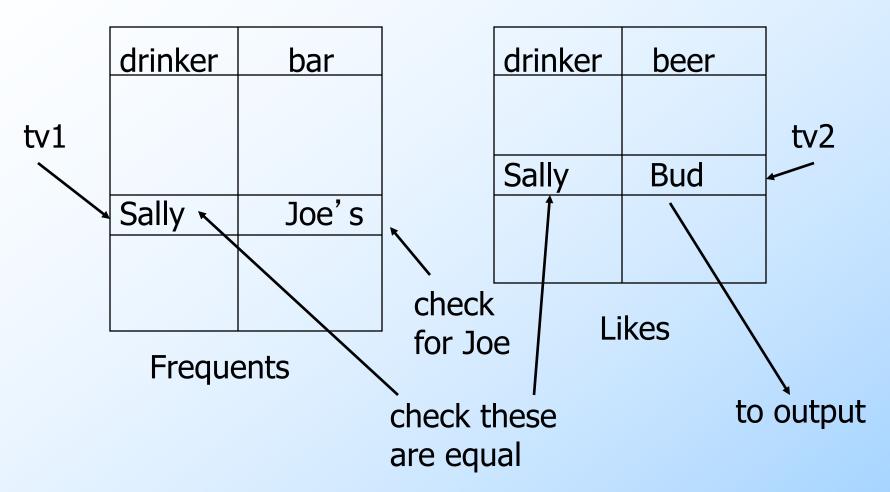
### **Formal Semantics**

- Almost the same as for single-relation queries:
  - 1. Start with the product of all the relations in the FROM clause.
  - 2. Apply the selection condition from the WHERE clause.
  - 3. Project onto the list of attributes and expressions in the SELECT clause.

### **Operational Semantics**

- Imagine one tuple-variable for each relation in the FROM clause.
  - These tuple-variables visit each combination of tuples, one from each relation.
- ◆If the tuple-variables are pointing to tuples that satisfy the WHERE clause, send these tuples to the SELECT clause.

### Example



### **Explicit Tuple-Variables**

- Sometimes, a query needs to use two copies of the same relation.
- Distinguish copies by following the relation name by the name of a tuplevariable, in the FROM clause.
- It's always an option to rename relations this way, even when not essential.

### Example: Self-Join

- ◆From Beers(name, manf), find all pairs of beers by the same manufacturer.
  - Do not produce pairs like (Bud, Bud).
  - Produce pairs in alphabetic order, e.g. (Bud, Miller), not (Miller, Bud).

```
SELECT b1.name, b2.name
FROM Beers b1, Beers b2
WHERE b1.manf = b2.manf AND
b1.name < b2.name;
```

### Subqueries

- ◆ A parenthesized SELECT-FROM-WHERE statement (*subquery*) can be used as a value in a number of places, including FROM and WHERE clauses.
- ◆Example: in place of a relation in the FROM clause, we can use a subquery and then query its result.
  - Must use a tuple-variable to name tuples of the result.

# Example: Subquery in FROM

Find the beers liked by at least one person who frequents Joe's Bar. Drinkers who frequent Joe's Bar SELECT beer FROM Likes, (SELECT drinker FROM Frequents WHERE bar = 'Joe''s Bar WHERE Likes.drinker = JD.drinker;

# Subqueries That Return One Tuple

- If a subquery is guaranteed to produce one tuple, then the subquery can be used as a value.
  - Usually, the tuple has one component.
  - A run-time error occurs if there is no tuple or more than one tuple.

# Example: Single-Tuple Subquery

- Using Sells(bar, beer, price), find the bars that serve Miller for the same price Joe charges for Bud.
- Two queries would surely work:
  - 1. Find the price Joe charges for Bud.
  - 2. Find the bars that serve Miller at that price.

# Query + Subquery Solution

```
SELECT bar
   FROM Sells
   WHERE beer = 'Miller' AND
      price = (SELECT price
               FROM Sells
The price at
               WHERE bar = 'Joe''s Bar'
which Joe
sells Bud
                AND beer = 'Bud');
```

# The IN Operator

- <tuple> IN (<subquery>) is true if and only if the tuple is a member of the relation produced by the subquery.
  - Opposite: <tuple> NOT IN (<subquery>).
- IN-expressions can appear in WHERE clauses.

# Example: IN

Using Beers(name, manf) and Likes(drinker, beer), find the name and manufacturer of each beer that Fred likes.

```
SELECT *
FROM Beers
WHERE name IN (SELECT beer
The set of beers Fred likes

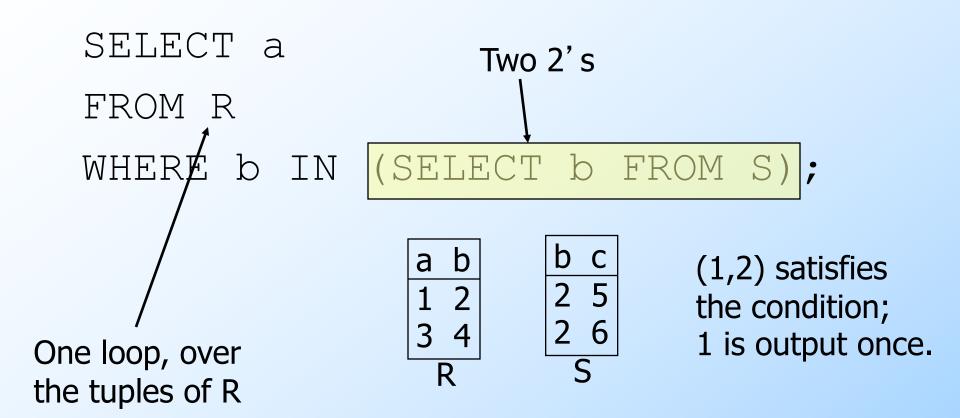
'Fred');

The set of beers Fred where drinker =
```

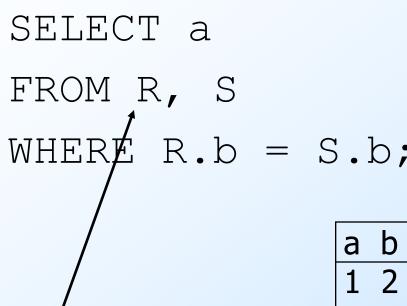
#### Remember These From Lecture #1?

```
SELECT a
FROM R, S
WHERE R.b = S.b;
SELECT a
FROM R
WHERE b IN (SELECT b FROM S);
```

# IN is a Predicate About R's Tuples



# This Query Pairs Tuples from R, S



Double loop, over the tuples of R and S

a	b		
1	2		
3	4		
R			

(1,2) with (2,5) and (1,2) with (2,6) both satisfy the condition; 1 is output twice.

#### The Exists Operator

- EXISTS(<subquery>) is true if and only if the subquery result is not empty.
- ◆ Example: From Beers(name, manf), find those beers that are the unique beer by their manufacturer.

# **Example: EXISTS**

SELECT name FROM Beers b1 WHERE NOT EXISTS (

**SELECT** \*

Notice scope rule: manf refers to closest nested FROM with a relation having that attribute.

Set of beers with the same manf as b1, but not the same

beer

FROM Beers
WHERE manf = b1.manf AND
name <> b1.name);

Notice the SQL "not equals" operator

# The Operator ANY

- \( \int x = ANY(\leqsubquery \rightarrow) \) is a boolean condition that is true iff \( x \) equals at least one tuple in the subquery result.
  - = could be any comparison operator.
- ◆Example: *x* >= ANY(<subquery>) means *x* is not the uniquely smallest tuple produced by the subquery.
  - Note tuples must have one component only.

# The Operator ALL

- ♦ x <> ALL(<subquery>) is true iff for every tuple t in the relation, x is not equal to t.
  - That is, x is not in the subquery result.
- <> can be any comparison operator.
- ◆Example: x>= ALL(<subquery>) means there is no tuple larger than x in the subquery result.

# Example: ALL

From Sells(bar, beer, price), find the beer(s) sold for the highest price.

SELECT beer

FROM Sells

WHERE price >= ALL

SELECT price

FROM Sells);

price from the outer Sells must not be less than any price.

# Union, Intersection, and Difference

- Union, intersection, and difference of relations are expressed by the following forms, each involving subqueries:
  - (<subquery>) UNION (<subquery>)
  - (<subquery>) INTERSECT (<subquery>)
  - (<subquery>) EXCEPT (<subquery>)

#### **Example:** Intersection

- Using Likes(drinker, beer), Sells(bar, beer, price), and Frequents(drinker, bar), find the drinkers and beers such that:
  - 1. The drinker likes the beer, and
  - 2. The drinker frequents at least one bar that sells the beer.

Notice trick: subquery is really a stored table.

#### Solution

(SELECT \* FROM Likes)

#### **INTERSECT**

(SELECT drinker, beer FROM Sells, Frequents WHERE Frequents.bar = Sells.bar );

The drinker frequents a bar that sells the beer.

# **Bag Semantics**

- Although the SELECT-FROM-WHERE statement uses bag semantics, the default for union, intersection, and difference is set semantics.
  - That is, duplicates are eliminated as the operation is applied.

# Motivation: Efficiency

- When doing projection, it is easier to avoid eliminating duplicates.
  - Just work tuple-at-a-time.
- For intersection or difference, it is most efficient to sort the relations first.
  - At that point you may as well eliminate the duplicates anyway.

# Controlling Duplicate Elimination

- ◆ Force the result to be a set by SELECT DISTINCT . . .
- ◆Force the result to be a bag (i.e., don't eliminate duplicates) by ALL, as in . . . UNION ALL . . .

# Example: DISTINCT

From Sells(bar, beer, price), find all the different prices charged for beers:

```
SELECT DISTINCT price FROM Sells;
```

◆ Notice that without DISTINCT, each price would be listed as many times as there were bar/beer pairs at that price.

# Example: ALL

Using relations Frequents(drinker, bar) and Likes(drinker, beer):

```
(SELECT drinker FROM Frequents)

EXCEPT ALL

(SELECT drinker FROM Likes);
```

Lists drinkers who frequent more bars than they like beers, and does so as many times as the difference of those counts.

# Join Expressions

- SQL provides several versions of (bag) joins.
- These expressions can be stand-alone queries or used in place of relations in a FROM clause.

#### **Products and Natural Joins**

Natural join:

R NATURAL JOIN S;

Product:

R CROSS JOIN S;

Example:

Likes NATURAL JOIN Sells;

 Relations can be parenthesized subqueries, as well.

#### Theta Join

- ◆R JOIN S ON <condition>
- Example: using Drinkers(name, addr) and Frequents(drinker, bar):

```
Drinkers JOIN Frequents ON
  name = drinker;
```

gives us all (*d*, *a*, *d*, *b*) quadruples such that drinker *d* lives at address *a* and frequents bar *b*.

#### More SQL

Extended Relational Algebra
Outerjoins, Grouping/Aggregation
Insert/Delete/Update

# The Extended Algebra

 $\delta$  = eliminate duplicates from bags.

T =sort tuples.

 $\gamma$  = grouping and aggregation.

Outerjoin: avoids "dangling tuples" = tuples that do not join with anything.

# **Duplicate Elimination**

- $\bullet$ R1 :=  $\delta$ (R2).
- ◆R1 consists of one copy of each tuple that appears in R2 one or more times.

# **Example:** Duplicate Elimination

$\delta(R) =$	Α	В
	1	2
	3	4

# Sorting

- ◆ R1 :=  $T_{L}$  (R2).
  - L is a list of some of the attributes of R2.
- ◆ R1 is the list of tuples of R2 sorted first on the value of the first attribute on L, then on the second attribute of L, and so on.
  - Break ties arbitrarily.
- T is the only operator whose result is neither a set nor a bag.

# **Example:** Sorting

$$T_B(R) = [(5,2), (1,2), (3,4)]$$

#### **Aggregation Operators**

- Aggregation operators are not operators of relational algebra.
- Rather, they apply to entire columns of a table and produce a single result.
- The most important examples: SUM, AVG, COUNT, MIN, and MAX.

# **Example:** Aggregation

$$SUM(A) = 7$$
  
 $COUNT(A) = 3$   
 $MAX(B) = 4$   
 $AVG(B) = 3$ 

# **Grouping Operator**

- R1 :=  $\gamma_L$  (R2). L is a list of elements that are either:
  - 1. Individual (*grouping* ) attributes.
  - 2. AGG(A), where AGG is one of the aggregation operators and A is an attribute.
    - An arrow and a new attribute name renames the component.

# Applying $\gamma_{\ell}(R)$

- Group R according to all the grouping attributes on list L.
  - That is: form one group for each distinct list of values for those attributes in *R*.
- Within each group, compute AGG(A) for each aggregation on list L.
- Result has one tuple for each group:
  - 1. The grouping attributes and
  - 2. Their group's aggregations.

# **Example:** Grouping/Aggregation

$$Y_{A,B,AVG(C)->X}(R) = ??$$

First, group R by A and B:

Α	В	С
1	2	3
1	2	5
4	5	6

Then, average *C* within groups:

Α	В	X
1	2	4
4	5	6

#### Outerjoin

- Suppose we join  $R \bowtie_{\mathcal{C}} S$ .
- ◆A tuple of R that has no tuple of S with which it joins is said to be dangling.
  - Similarly for a tuple of S.
- Outerjoin preserves dangling tuples by padding them NULL.

## **Example:** Outerjoin

$$R = \begin{pmatrix} A & B \\ 1 & 2 \\ 4 & 5 \end{pmatrix}$$

(1,2) joins with (2,3), but the other two tuples are dangling.

Α	В	C
1	2	3
1  4	5	NULL
NULL	6	7

#### Now --- Back to SQL

Each Operation Has a SQL Equivalent

#### Outerjoins

- R OUTER JOIN S is the core of an outerjoin expression. It is modified by:
  - 1. Optional NATURAL in front of OUTERs
  - 2. Optional ON <condition> after JOIN.
  - Optional LEFT, RIGHT, or FULL before OUTER.
    - LEFT = pad dangling tuples of R only.
    - RIGHT = pad dangling tuples of S only.
    - FULL = pad both; this choice is the default.

Only one

of these

#### Aggregations

- SUM, AVG, COUNT, MIN, and MAX can be applied to a column in a SELECT clause to produce that aggregation on the column.
- Also, COUNT(\*) counts the number of tuples.

# **Example: Aggregation**

From Sells(bar, beer, price), find the average price of Bud:

```
SELECT AVG(price)
FROM Sells
WHERE beer = 'Bud';
```

# Eliminating Duplicates in an Aggregation

- Use DISTINCT inside an aggregation.
- Example: find the number of different prices charged for Bud:

```
SELECT COUNT(DISTINCT price)
FROM Sells
WHERE beer = 'Bud';
```

# NULL's Ignored in Aggregation

- NULL never contributes to a sum, average, or count, and can never be the minimum or maximum of a column.
- But if there are no non-NULL values in a column, then the result of the aggregation is NULL.
  - Exception: COUNT of an empty set is 0.

## Example: Effect of NULL's

SELECT count(\*)
FROM Sells
WHERE beer = 'Bud';
The number of bars that sell Bud.

SELECT count(price)
FROM Sells
WHERE beer = 'Bud'

The number of bars that sell Bud at a known price.

## Grouping

- We may follow a SELECT-FROM-WHERE expression by GROUP BY and a list of attributes.
- ◆ The relation that results from the SELECT-FROM-WHERE is grouped according to the values of all those attributes, and any aggregation is applied only within each group.

# **Example:** Grouping

From Sells(bar, beer, price), find the average price for each beer:

```
SELECT beer, AVG(price)
FROM Sells
GROUP BY beer;
```

beer	AVG(price)
Bud	2.33
	***

# **Example:** Grouping

From Sells(bar, beer, price) and Frequents(drinker, bar), find for each drinker the average price of Bud at the bars they frequent:

SELECT drinker, AVG(price)

FROM Frequents, Sells

WHERE beer = 'Bud' AND

Frequents.bar = Sells.bar

GROUP BY drinker;

Compute all drinker-bar-price triples for Bud.

Then group them by drinker.

# Restriction on SELECT Lists With Aggregation

- If any aggregation is used, then each element of the SELECT list must be either:
  - Aggregated, or
  - 2. An attribute on the GROUP BY list.

# Illegal Query Example

You might think you could find the bar that sells Bud the cheapest by:

```
SELECT bar, MIN(price)
FROM Sells
WHERE beer = 'Bud';
```

But this query is illegal in SQL.

#### **HAVING Clauses**

- HAVING <condition> may follow a GROUP BY clause.
- If so, the condition applies to each group, and groups not satisfying the condition are eliminated.

## Example: HAVING

◆From Sells(bar, beer, price) and Beers(name, manf), find the average price of those beers that are either served in at least three bars or are manufactured by Pete's.

#### Solution

SELECT beer, AVG(price)
FROM Sells
GROUP BY beer

Beer groups with at least 3 non-NULL bars and also beer groups where the manufacturer is Pete's.

HAVING COUNT(bar) >= 3 OR

beer IN (SELECT name

FROM Beers

WHERE manf = 'Pete''s

Beers manufactured by Pete's.

# Requirements on HAVING Conditions

- Anything goes in a subquery.
- Outside subqueries, they may refer to attributes only if they are either:
  - 1. A grouping attribute, or
  - 2. Aggregated (same condition as for SELECT clauses with aggregation).

#### **Database Modifications**

- A modification command does not return a result (as a query does), but changes the database in some way.
- Three kinds of modifications:
  - 1. Insert a tuple or tuples.
  - 2. Delete a tuple or tuples.
  - Update the value(s) of an existing tuple or tuples.

#### Insertion

- ◆To insert a single tuple: INSERT INTO <relation> VALUES ( list of values> );
- ◆Example: add to Likes(drinker, beer) the fact that Sally likes Bud.

```
INSERT INTO Likes
VALUES('Sally', 'Bud');
```

# Specifying Attributes in INSERT

- We may add to the relation name a list of attributes.
- Two reasons to do so:
  - 1. We forget the standard order of attributes for the relation.
  - 2. We don't have values for all attributes, and we want the system to fill in missing components with NULL or a default value.

# **Example:** Specifying Attributes

Another way to add the fact that Sally likes Bud to Likes(drinker, beer):

```
INSERT INTO Likes(beer, drinker)
VALUES('Bud', 'Sally');
```

#### Adding Default Values

- In a CREATE TABLE statement, we can follow an attribute by DEFAULT and a value.
- When an inserted tuple has no value for that attribute, the default will be used.

#### Example: Default Values

```
CREATE TABLE Drinkers (
name CHAR(30) PRIMARY KEY,
addr CHAR(50)

DEFAULT '123 Sesame St.',
phone CHAR(16)
);
```

#### Example: Default Values

```
INSERT INTO Drinkers(name)
VALUES('Sally');
```

#### Resulting tuple:

name	address	phone
Sally	123 Sesame St	NULL

## **Inserting Many Tuples**

•We may insert the entire result of a query into a relation, using the form: INSERT INTO <relation>
 ( <subquery> );

## Example: Insert a Subquery

◆Using Frequents(drinker, bar), enter into the new relation PotBuddies(name) all of Sally's "potential buddies," i.e., those drinkers who frequent at least one bar that Sally also frequents.

The other drinker

#### Solution

INSER'T INTO PotBuddies

SELECT d2.drinker

Pairs of Drinker tuples where the first is for Sally, the second is for someone else, and the bars are the same.

```
FROM Frequents d1, Frequents d2
WHERE d1.drinker = 'Sally' AND
d2.drinker <> 'Sally' AND
d1.bar = d2.bar
```

#### Deletion

To delete tuples satisfying a condition from some relation:

```
DELETE FROM < relation > WHERE < condition > ;
```

## **Example:** Deletion

Delete from Likes(drinker, beer) the fact that Sally likes Bud:

```
DELETE FROM Likes
WHERE drinker = 'Sally' AND
beer = 'Bud';
```

# Example: Delete all Tuples

Make the relation Likes empty:

DELETE FROM Likes;

Note no WHERE clause needed.

# **Example:** Delete Some Tuples

◆ Delete from Beers(name, manf) all beers for which there is another beer by the same manufacturer.

DELETE FROM Beers b
WHERE EXISTS (

SELECT name FROM Beers
WHERE manf = b.manf AND
name <> b.name);

Beers with the same manufacturer and a different name from the name of the beer represented by tuple b.

#### Semantics of Deletion --- (1)

- Suppose Anheuser-Busch makes only Bud and Bud Lite.
- Suppose we come to the tuple b for Bud first.
- The subquery is nonempty, because of the Bud Lite tuple, so we delete Bud.
- Now, when b is the tuple for Bud Lite, do we delete that tuple too?

#### Semantics of Deletion --- (2)

- Answer: we do delete Bud Lite as well.
- The reason is that deletion proceeds in two stages:
  - 1. Mark all tuples for which the WHERE condition is satisfied.
  - 2. Delete the marked tuples.

#### **Updates**

To change certain attributes in certain tuples of a relation:

```
UPDATE < relation >
```

SET < list of attribute assignments >

WHERE <condition on tuples>;

## Example: Update

Change drinker Fred's phone number to 555-1212:

```
UPDATE Drinkers

SET phone = '555-1212'
WHERE name = 'Fred';
```

# **Example: Update Several Tuples**

Make \$4 the maximum price for beer:

```
UPDATE Sells

SET price = 4.00

WHERE price > 4.00;
```