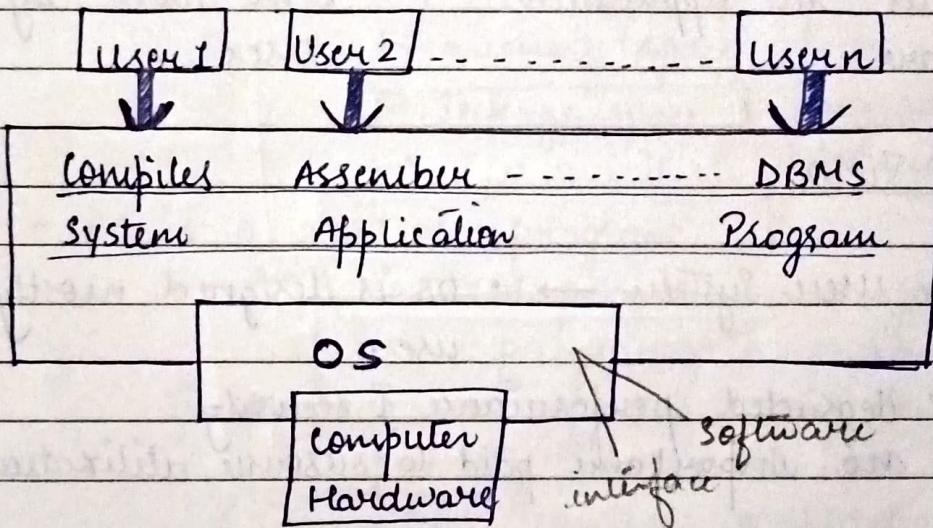


MODULE I

INTRODUCTION AND PROCESS MANAGEMENT

Operating System - An OS is a software that manages a computer's hardware. It also provides a basis for application programs and acts as an intermediary between the computer user & the computer hardware.

Abstract view of the computer system.



A computer system can be divided roughly into four components: the hardware, the OS, the application programs, & a user.

Hardware - the CPU, the memory, & the I/O devices - provides the basic computing resources for the system.

Application Programs - such as word processors, spreadsheets, compilers & web browsers - define the ways in which these resources are used to solve user's computing problems.

System Programs

- It is used to write low level instructions
- System software that executes the application software

User View

1. Single User System →
 - OS is designed mostly for ease of use.
 - degraded performance & security
 - no importance paid to resource utilization
2. Mainframe or minicomputer System →
 - dumb terminals :- does not perform local processing acts as an I/O device.
 - special attention to resource utilization.
3. Workstations connected to other workstations through servers:
 - user with dedicated resources
 - resource sharing.
 - OS is designed to compromise b/w individual usability & resource utilization.

Application Programs

- It is used to write high level instructions.
- Application software are been used by the end users.

4. Handheld computers →
 - focus on individual usability
 - performance per unit battery life.
5. Embedded System → little or no user intervention.

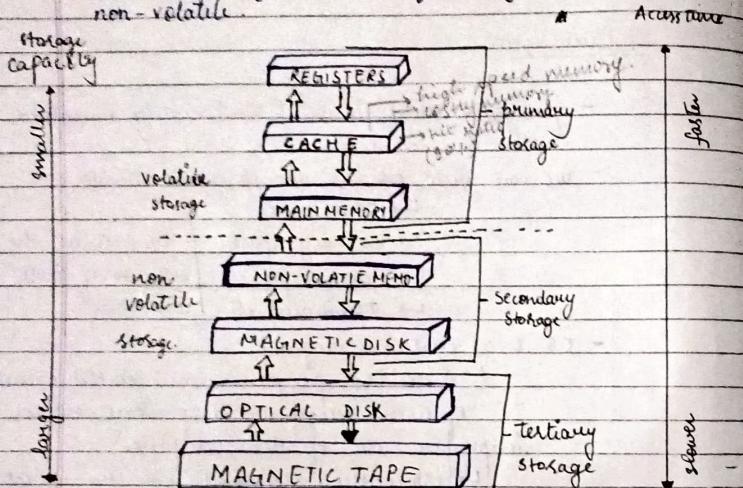
System View

- program that is most intimately involved with the hardware.
- we can view OS as an resource allocator.
 - ↳ CPU time
 - ↳ memory space
 - ↳ Storage space
 - ↳ I/O devices etc.
- OS acts as the manager of these resources.
- OS is a control program.
 - ↳ A control program manages the execution of user programs to prevent errors of improper use of the computer.
 - ↳ especially concerned with the operation & execution control of I/O devices.

Storage Structure

- The CPU can load instructions only from memory, so any programs must be first be loaded into memory to run.
- Main memory commonly is implemented in a semiconductor technology called DRAM.

- computers use other forms of memory as well. e.g:- a bootstrap program, which loads the OS
- hardware storage that is infrequently written to & is non-volatile.



STORAGE DEVICE HIERARCHY

Caching → copying information into faster storage system.

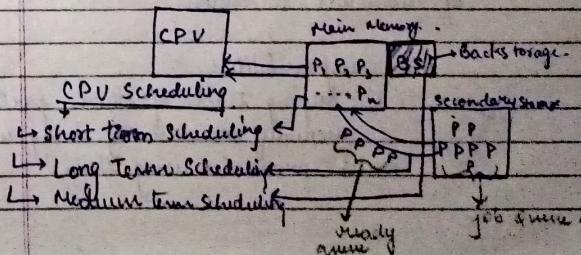
Operating System Components

An operating system is a resource manager.

a. Process Management

- A program in execution is a process.
- A process needs certain resources - including CPU time, memory, files, & I/O devices - to accomplish its task.
- allocated by OS
 - creation
 - running
- responsibilities
 - Scheduling Processes & Threads on CPU
 - Creating & deleting both user & system processes.
 - Suspending & resuming processes
 - Mechanism for process synchronization
 - Mechanism for deadlock handling.

Light weight programs - threads - basic unit of CPU utilization
 CPU scheduling - is a process of determining which processes will execute on the CPU for execution while another process is on hold.



- ★ Ready Queue - It has a set of processes in the main memory & is ready & waiting to execute.
- ★ Job Queue - It contains an ordered list of jobs waiting to be processed by a subsystem.
- ★ Short term Scheduling - Selection of the process from the CPU scheduler ready queue & to which CPU will be allocated.
- ★ Long term Scheduling - Determining the process from job or Job Scheduler queue & loads them into memory for execution.
- ★ Medium term Scheduling - It is a part of swapping. It removes the process from the memory & make space for other processes, the suspended processes is moved to the Backstorage.

b. Memory Management

- Main memory is a large array of bytes, ranging in size from hundreds of thousands to billions.

- OS responsibilities

- Keeping track of which parts of memory are currently being used & which process is using them.

- Allocating & deallocating memory space as needed.
- Deciding which processes & data to move into & out of memory.

c. Storage Management

- It is a logical view of information storage.
- Storage devices to define a logical storage unit, the file file

i. File Storage Management

- one of the most visible components of an operating system.
- creating & deleting files & directories to organize files.
- Supporting primitives for manipulating files & directories.
- Mapping files onto mass storage.
- Backing up files on stable storage media.

ii. Mass - Storage Management

- free space management
- storage allocation
- disk scheduling
- Mounting & unmounting
- Partitioning
- Protection

d. Cache Management

- Careful selection of the cache size & of a replacement policy, result in greatly increased performance.
- Cache Coherency : to maintain consistent data in all the cache in case multiple of processors environment.

e. I/o System Management

- buffering & queuing : It works like a typical request ~~queue~~ queue where data of processes from multiple sources are accumulated for execution later on.
- general ^{device} - driver interface
- drivers for specific hardware devices.

f. Protection

- Any mechanism for controlling the access of processes or users to the resources defined by a computer system.

g. Network Management

- TCP/IP, routing, strategies, data process migration.

h. Network Security

- e-mail, Messaging, LAN manager

i. User interface

- Command line : LINUX, DOS
- GUI - Windows, Mac OS.

SYSTEM CALLS - provides an interface to the services made available by an OS.

- generally written in ^C_{Assembly lang.}

Types of System calls

1] Process control

- end, abort, terminate, create process
- load, execute
- get & set process attributes
- wait event, signal event
- allocate & free memory

Eg: CreateProcess(), ExitProcess(), WaitForSingleObject()

2] File Management

- create file, delete file
- open, close
- read, write, reposition
- get file attributes, set file attributes

Eg: CreateFile(), ReadFile(), CloseHandle(), CreateFile()

3] Device Management-

- request device, release device
- read, write, reposition
- get & set device attributes
- logically attach or detach devices.

SYSTEM PROGRAMS

System Programs provide a convenient environment for programme development & execution. They can be divided into

- File Manipulation
- Status Info
- Programming language support
- Program loading & execution
- Communications
- Background Services
- Application Prog.

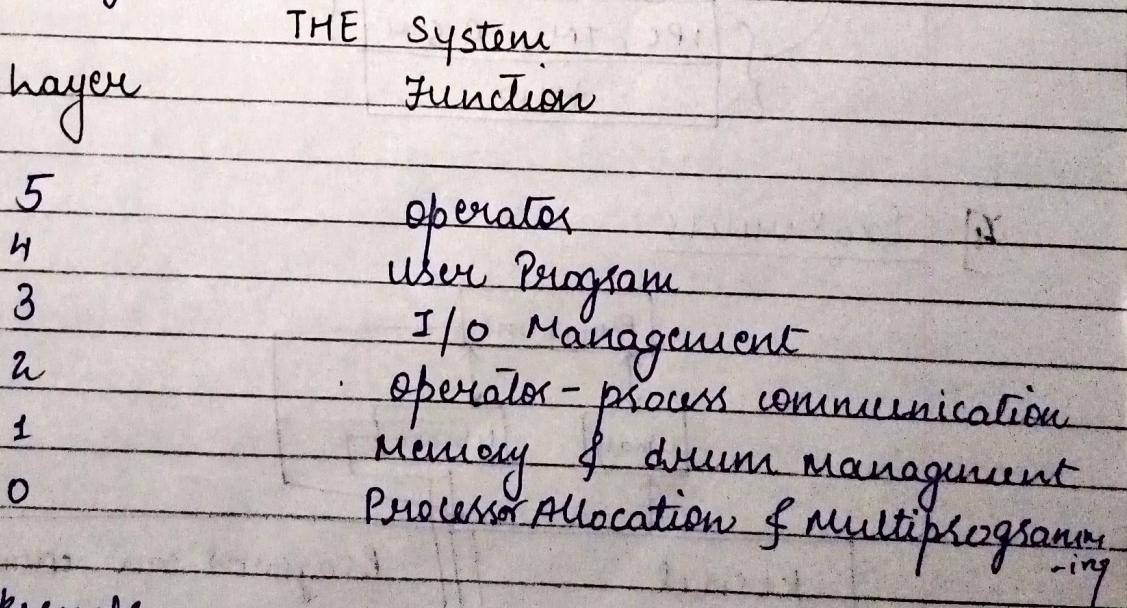
TYPES OF OPERATING SYSTEM

Operating System Structure

1) Monolithic System / Simple Structure

- NO structure
- OS - collection of procedures with well defined interface.

2) layered System



3) Microkernels

Kernel →

microkernel
kernel
exokernel

ARFAT

ARFAT

i] near minimum software

↳ function

↳ low level address space input

↳ thread management.

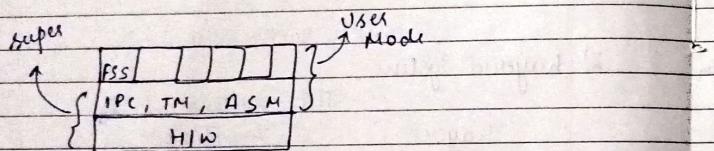
threads :- single sequential flow of execution

↳ IPC / RPC → Inter Process Communication /
Remote Process Communication

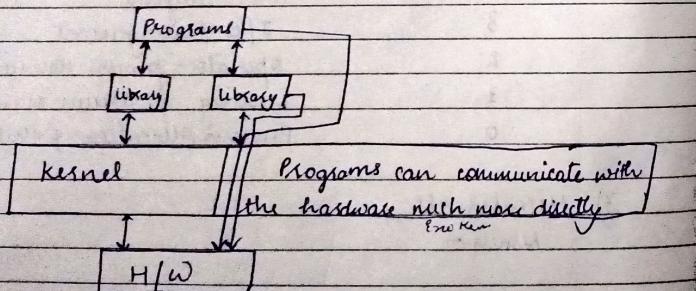
ii] other functions shifted to user mode as servers

↳ file system servers

↳ device driver servers.



Exokernels



The idea is to face as few abstractions as possible on developers enabling them to make as many decisions as possible about hardware.

Exokernel are tiny, since functionality is limited to ensuring protection & multiplexing of resources.

Exokernel allocates the basic physical resources of the machine to multiple application programs & let each program decide what to do with these resources.

Virtual Machines

- emulation of a system
- software implementation of H/W
- executes programs like machine

Two categories :-

- System VM - provides complete system platform
 - usually emulates the existing architecture
 - ↳ real H/W not available
 - ↳ server consolidation
- Process VM - designed to run single process.
 - ↳ eg JVM.

[Server consolidation - approach to efficiently use server resources.]

Process Management

- ↳ Program in execution - process
- ↳ System consists of a collection processes
 - User Process
 - System Process
- ↳ Process includes-
 - Executable Code - Text Section
 - Current Activity of process
 - * Program counter → The address of the next instruction is stored in program counter.
 - * Processor register → It is the ^{reg} that is associated with the processor.
 - Process stack - temporary data → for para storage.
 - return call.
 - local variables.
 - Data Section - global variables
 - Heap Section - memory that is dynamically allocated during program run time.

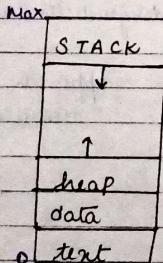


Fig. layout of a process in memory.

Process state

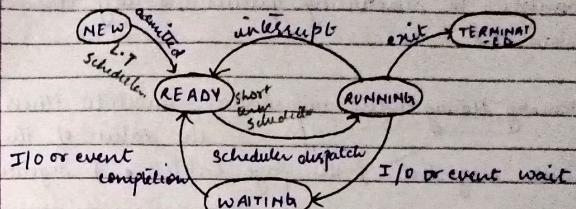


Fig. :- Diagram of process state.

- New: The process is being created
- Running: Instructions are being executed
- Waiting: The process is waiting for some event to occur
- Ready: The process is waiting to be assigned a processor
- Terminated: The process has finished execution

Process Control Block (PCB)

PCB is the repository for any information that may vary from process to process.

- Each process is represented in the OS by PCB
- Task Control Block

| Process state | Process Number | Program Counter | Registers |
|---------------|----------------|-----------------|-----------|
| | | | |

- CPU-scheduling information - includes a process priority, pointers to scheduling queues, & any other scheduling parameters.
- Memory Management information - include items such as the value of the base & limit registers of the page tables, & segment tables.
- Accounting information - includes the amount of CPU & real time used, time limits, account numbers, job or process numbers.
- I/O status information - includes the list of I/O devices allocated to the process, a list of open files.

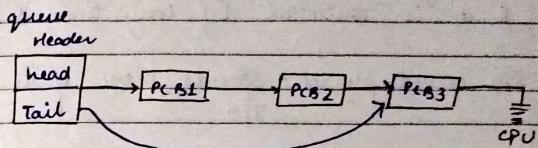
Process Scheduling

- The objective of multiprogramming is to have some processes running at all times so as to maximize CPU utilization.
- The number of processes currently in memory is known as the degree of multiprogramming.

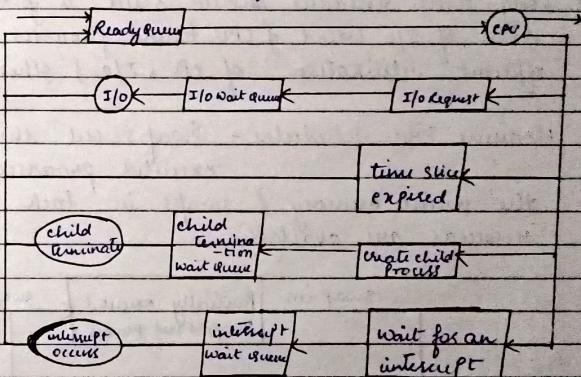
Scheduling Queues

- As process enter the system, they are put in a ready queue, where they are ready of waiting to execute on a CPU's core.

- Job queue
- Device queue



Queuing Diagram - It is the common presentation of process scheduling.

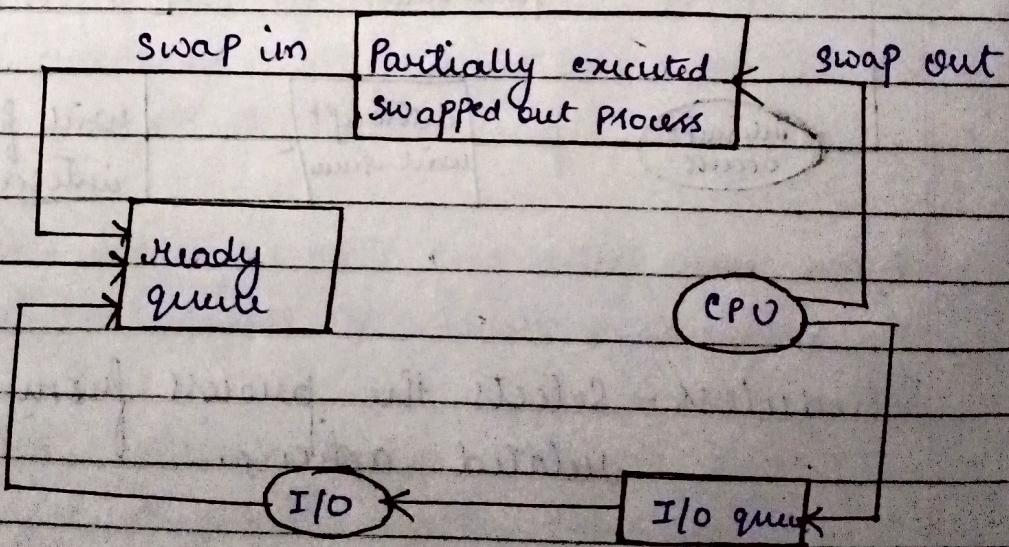


Scheduler - Selects the process from the queue for related actions.

long term Scheduler or:- Selects the process from the disk
Job Scheduler & load it into main memory

- The number of processes at a particular time in memory is known as the degree of multiprogramming & it is controlled by long term scheduler
- I/O bound process - spends more of its time doing I/O
- CPU bound process - spends more of its time doing computations.
- Long-term scheduler should select a good process mix of I/O bound & CPU bound processes for efficient utilization of CPU, I/O & other resources.

Medium Term Scheduler - Swaps out the partially executed processes from the main memory & swaps in back when resources are available.



Scheduling criteria

- CPU utilization - We want to keep the CPU as busy as possible. It is the percentage of CPU time utilized.
 Conceptual range - 0 to 100%.
 Actual range - 40 to 90%.
- Throughput - Number of processes that are completed per time unit.
- Turnaround time - The total time taken by the process from the time of submission till the time of completion.
- Response time - It is the sum of the periods spent waiting in ~~the~~ ^{any} ready queue.
- Response time - It is the time the process takes to start responding.

Scheduling objectives

- Be fair
- Maximize throughput
- Maximize no. of users receiving acceptable response
- Be predictable
- Balance resource use
- Avoid indefinite postponement

- Enforce priorities
- Give preference to process holding key resources
- Degrade gracefully under heavy loads.

Scheduling Algorithms

1- First - Come , First - Served Scheduling

Gantt chart - A bar chart that illustrates a particular schedule including the start time & finish times of each of the participating processes. It is a nonpreemptive algo. without arrival time

| <u>Process</u> | <u>Burst Time</u> | <u>WT</u> | <u>TAT</u> |
|----------------|-------------------|-----------|------------|
| P ₁ | 24 | 0 | 24 |
| P ₂ | 3 | 24 | 27 |
| P ₃ | 3 | 27 | 30 |
| | | 17 | 27 |

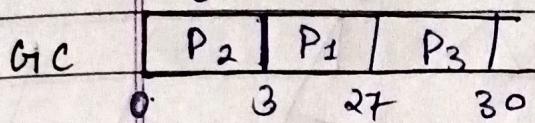
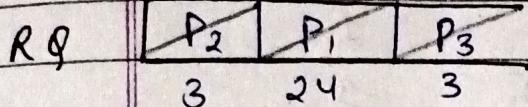
with this scheme, the process that requests the CPU first is allocated the CPU first.

| | | | |
|----|----------------|----------------|----------------|
| RQ | P ₁ | P ₂ | P ₃ |
| | 24 | 3 | 3 |

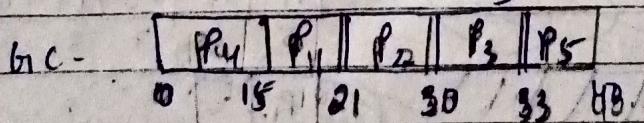
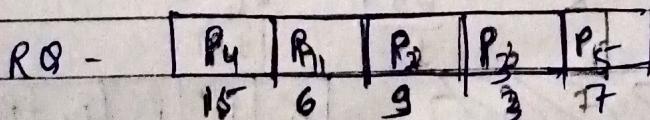
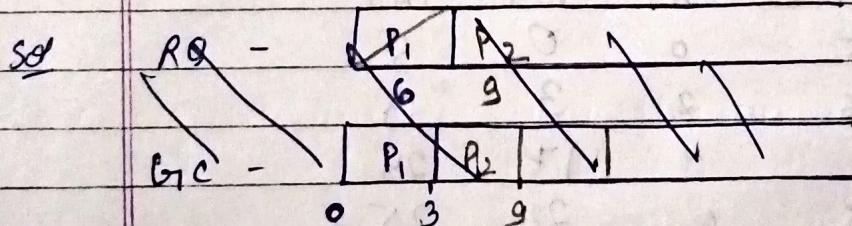
| | | | |
|-----|----------------|----------------|----------------|
| G1C | P ₁ | P ₂ | P ₃ |
| 0 | 24 | 27 | 30 |

Convo Effect - All the processes wait for one big process to release the CPU.

| Process | BT | AT | WT | TAT |
|----------------|----|----|-----|------|
| P ₁ | 24 | 2 | 12 | 25 |
| P ₂ | 3 | 0 | 0 | 3 |
| P ₃ | 3 | 15 | 12 | 15 |
| | | | 4.3 | 14.3 |



| Process | BT | AT | WT | TAT |
|----------------|----|----|----|-----|
| P ₁ | 6 | 3 | 12 | 18 |
| P ₂ | 9 | 6 | 15 | 24 |
| P ₃ | 3 | 15 | 15 | 18 |
| P ₄ | 15 | 1 | 1 | 16 |
| P ₅ | 7 | 35 | 2 | 37 |
| | | | 9 | 17 |

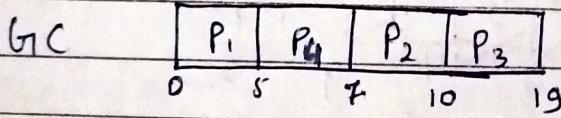
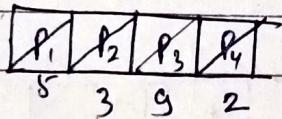


2- Shortest-Job-First scheduling (SJF)

This algorithm associates with each process the length of the process's next CPU burst

| Q1 | Process | Burst Time | AT | WT | TAT |
|----|----------------|------------|----|----|------|
| | P ₁ | 5 | 0 | 0 | 5 |
| | P ₂ | 3 | 1 | 6 | 9 |
| | P ₃ | 9 | 2 | 8 | 17 |
| | P ₄ | 2 | 3 | 2 | 4 |
| | | | | 4 | 8.75 |

Sol. RQ



preemptive

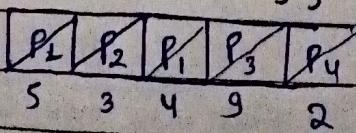
• SJF is non-preemptive scheduling algorithm.

↳ Shortest - Remaining - time - first scheduling - (SRTF)

It is a preemptive SJF scheduling

| Q2 | Process | BT | AT | WT | TAT |
|----|----------------|----|----|-----|------|
| | P ₁ | 5 | 0 | 0+5 | 10 |
| | P ₂ | 3 | 1 | 0 | 3 |
| | P ₃ | 9 | 2 | 8 | 17 |
| | P ₄ | 2 | 3 | 1 | 3 |
| | | | | 3.5 | 8.25 |

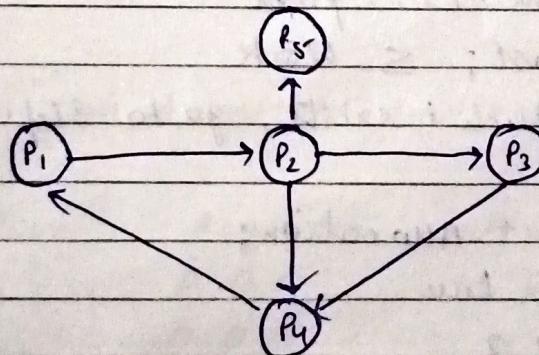
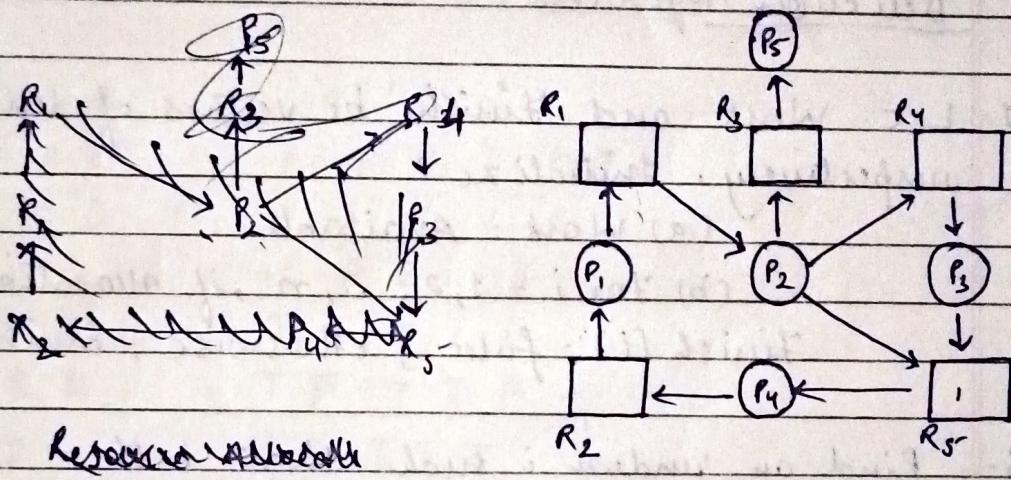
Sol



Deadlock detection & recovery

Algorithms for single instances of resource trees.

- RAG - Resource Allocation Graph \rightarrow wait-for Graph
Wait-for Graph can be obtained from the RAG by removing the resource vertices & collapsing the appropriate edges.



complexity to
identify processes.
 $= n^2$

Algorithm for multiple instance of resource time

- ① Available - $m \rightarrow$ no. of resource
- ② Allocation Matrix = $n \times m \rightarrow$ no. of resource of each type
- ③ Requests = Indicates the current request of each process = $n \times m \rightarrow$ current request of each process

Detection Algorithm

1- Let Work and Finish be vectors of length m & n , respectively. Initialize :

- (a) Work = Available
- (b) For $i = 1, 2, \dots, n$, if Allocation $[i] \neq 0$, then Finish $[i] = \text{false}$, otherwise, Finish $[i] = \text{true}$

2- Find an index i such that both :

- a) Finish $[i] == \text{false}$

- b) Request $[i] \leq \text{Work}$

If no such i exists, go to step 4

3- Work = Work + Allocation;

Finish $[i] = \text{true}$

goto step 2

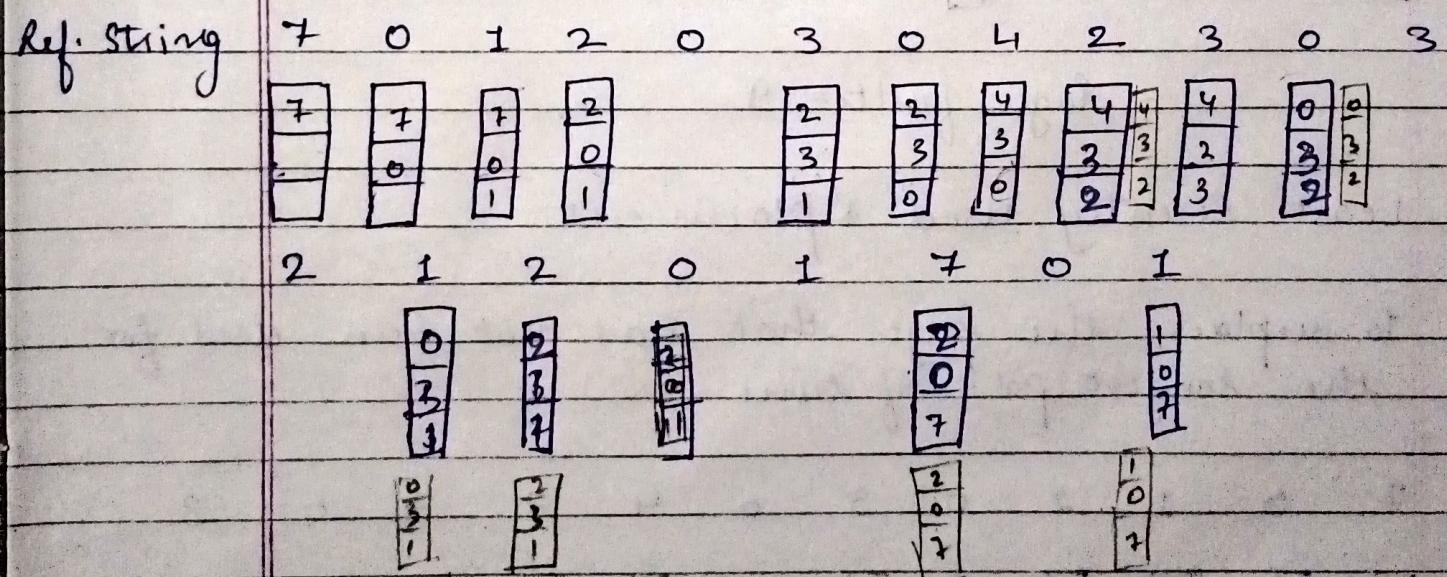
4) If Finish $[i] == \text{false}$, for some i , $1 \leq i \leq n$, then the system is in deadlock state. Moreover, if Finish $[i] == \text{false}$, then P_i is deadlocked.

ALGORITHMS FOR HANDLING PAGE FAULTS.

- 1] Find the location of the desired page on the disk.
- 2] Find a free frame.
 - a] if free frame available, use it
 - b] no free frame, use page replacement algo to select a victim frame.
 - c] write the victim frame to the disk, change the pageframe table accordingly.
- 3] Read the desired page, into the newly free frames, change the page of frame tables.
- 4] Restart the user process.

The string of memory references is called reference string.

A. FIFO Algorithms



Page faults = 15

Belady's Anomaly - For some page replacement algo, the page faults may increase as the no. of allocated frames increase.

B Optimal Page fault Algorithms

Replace the page that will not be used for the longest pd. of time

| | | | | | | | | | | | | |
|---|---|---|---|---|---|---|---|---|---|---|---|---|
| 7 | 0 | 1 | 2 | 0 | 3 | 0 | 4 | 2 | 3 | 0 | 3 | 2 |
| 7 | 0 | 1 | 2 | 0 | 3 | 0 | 4 | 2 | 3 | 0 | 3 | 2 |

1 2 0 1 7 0 1

| |
|---|
| 2 |
| 0 |
| 1 |

| |
|---|
| 1 |
| 0 |
| 1 |

Page faults = 9

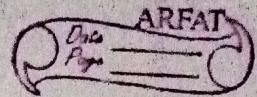
C. Least Recently Used Replacement

To replace the page that has not been used for the longest pd. of time.

7 0 1 2 0 3 0 4 2 3 0 3

| | | | | | | | | | | | |
|---|---|---|---|---|---|---|---|---|---|---|---|
| 7 | 0 | 1 | 2 | 0 | 3 | 0 | 4 | 2 | 3 | 0 | 3 |
| 7 | 0 | 1 | 2 | 0 | 3 | 0 | 4 | 2 | 3 | 0 | 3 |

CHP = current Head position



Disk Scheduling Algorithms

1. First come - First Served (FCFS)

Advantage

* No starvation

Disadvantage

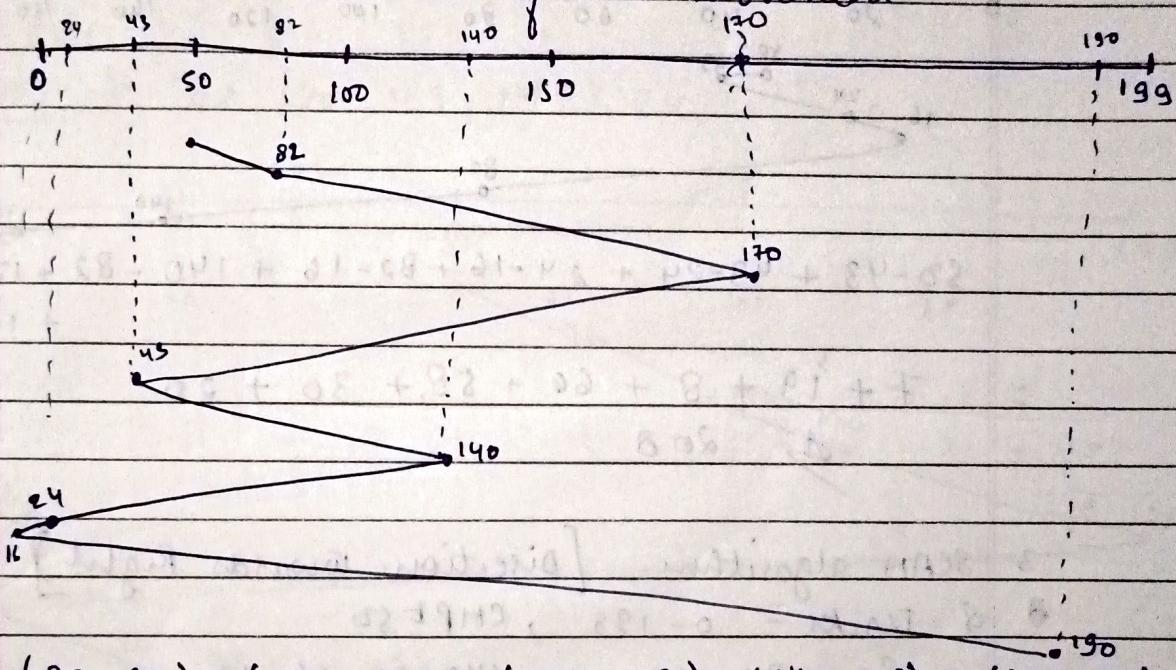
* seek time value is more

Q. No. of tracks = 200 (0-199)

Request queue = 82, 170, 43, 140, 24, 16, 190

CHP = 50

Calculate total no. of track movement



$$(82-50) + (170-82) + (170-43) + (140-43) + (140-24) \\ + (24-16) + (190-16)$$

OR

$$82 + (170-50) + (170-43) + (140-43) + (140-16) \\ + (190-16)$$

$$32 + 88 + 127 + 97 + 116 + 8 + 174 \\ = 4642$$

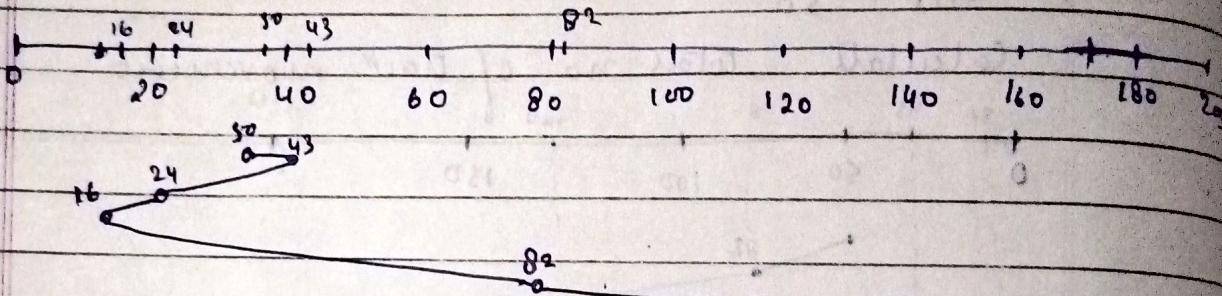
$$120 + 127 + 97 + 124 + 174 \\ = 642$$

• ^{closest}
 2. Shortest Seek Time First (SSTF)
 (Seek time least)

8. 0-199 - Tracks

RG = 82, 170, 43, 140, 24, 16, 190

CHP = 50 > 82 > 24 > 16 ~~and rest 82~~



$$\begin{aligned}
 & 50 - 43 + 43 - 24 + 24 - 16 + 82 - 16 + 140 - 82 + 170 - 140 \\
 & 93 \quad 24 \quad 16 \quad 82 \quad 140 \quad 170 \quad 190 \\
 & + 190 - 170
 \end{aligned}$$

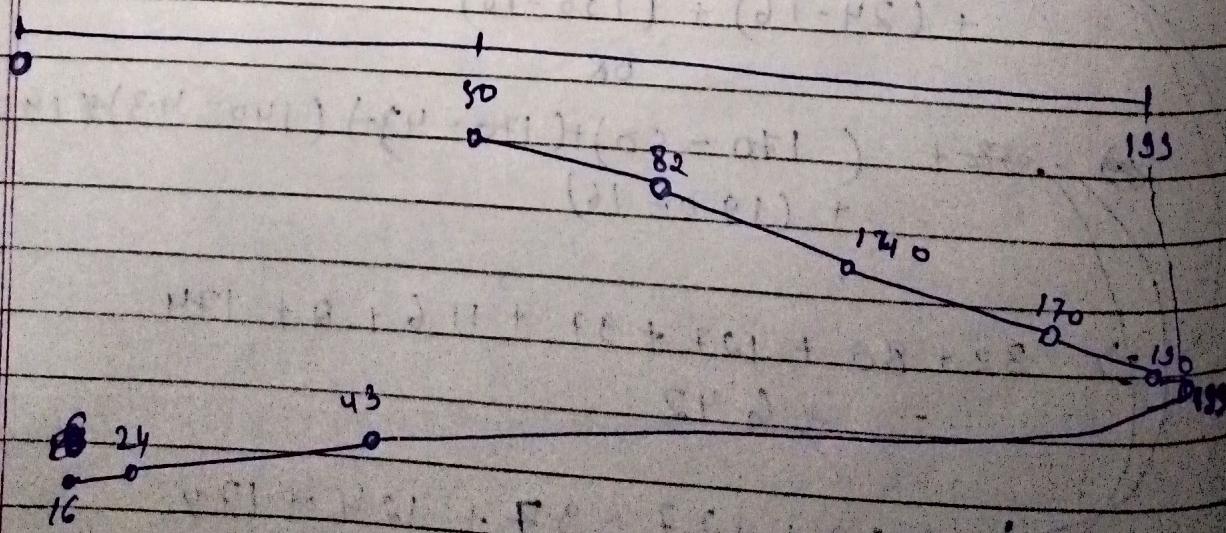
$$= 7 + 19 + 8 + 60 + 58 + 30 + 20$$

$$\frac{22}{51} = 208$$

3. SCAN algorithm [Direction towards Right]
 it's direction to the right last track goes last

8. Tracks - 0-199, CHP = 50

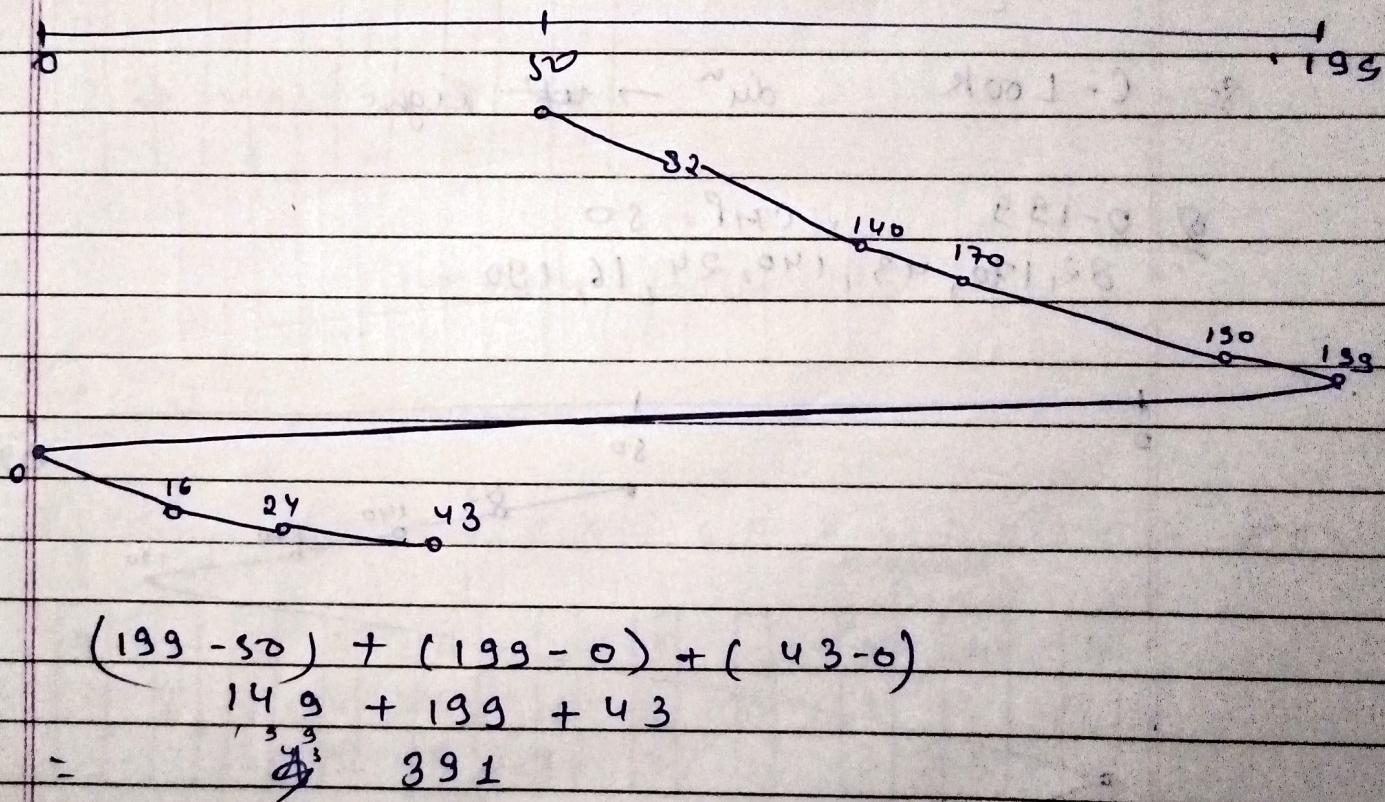
RG = 82, 170, 43, 140, 24, 16, 190



$$\begin{aligned}
 &= 1(199 - 50) + (199 - 16) \\
 &\quad \text{sum} \\
 &= 149 + 183 \\
 &= 332
 \end{aligned}$$

4- C-SCAN both ends meet only travel in same dirⁿ

8. Tracks = 0 - 199, direction towards right
 CHP = 50
 $R_{18} = 82, 170, 43, 140, 24, 16, 190$



$$\begin{aligned}
 &= (199 - 50) + (199 - 0) + (43 - 0) \\
 &= 149 + 199 + 43 \\
 &= 391
 \end{aligned}$$

5- LOOK does not go to the end of dirⁿ towards right
 $(11-21) + (31-08) + (33-01)$

8. Tracks = 0 - 199
 CHP = 50

$R_{18} = 82, 170, 43, 140, 24, 16, 190$

AT Scan goes till the end of the spoutted air
unlike look.

$$\Rightarrow (190 - 50) + (190 - 14) \\ = 140 + 174 \\ = 314$$

S = C-Look $dx^n \rightarrow \text{left}$ Right same direction

8 0-199 , CHP: 50
82, 170, 43, 140, 24, 16, 190

$$\begin{aligned}
 & \rightarrow (190-50) + (190-16) + (43-16) \\
 & = 140 + 174 + 27 \\
 & = 341
 \end{aligned}$$

314
27
391

Page Replacement Fault Handling

1. FIFO FCFS LIFO

Ref. String = 7, 0, 1, 2, 0, 3, 0, 4, 2, 3, 0, 3, 2, 1, 2, 0, 1, 3, 0, 1

No. of frames = 3

no. of page faults = 15

2. Optimal Page Replacement

Ref. String = 4, 6, 3, 6, 4, 8, 4, 6, 5, 3, 6, 5, 8, 3, 5, 4, 5, 6, 4, 3

| | | | | | | | | | | | |
|---|---|---|---|---|---|---|---|---|---|---|---|
| 4 | 4 | 4 | 4 | 4 | 5 | 5 | 5 | 4 | 4 | 4 | 4 |
| 6 | 6 | 6 | 6 | 6 | 6 | 8 | 8 | 6 | 6 | 6 | 6 |
| 3 | 5 | 8 | 8 | 3 | 3 | 3 | 3 | 3 | 3 | 7 | 7 |

No. of page faults = 51

3. Least Recently Used (LRU)

i- RF String = 8, 3, 9, 8, 3, 3, 8, 1, 3, 7, 8, 9, 8, 7, 2, 7, 3, 8, 1

| | | | | | | | | | | |
|---|---|---|---|---|---|---|---|---|---|---|
| 2 | 2 | 2 | 2 | 1 | 1 | 9 | 9 | 1 | 1 | 1 |
| 3 | 3 | 3 | 3 | 3 | 3 | 2 | 2 | 2 | 2 | 2 |
| 9 | 9 | 9 | 7 | 7 | 7 | 7 | 7 | 7 | 7 | 8 |
| 8 | 8 | 8 | 8 | 8 | 8 | 8 | 8 | 8 | 3 | 3 |

no. of page faults = 11

ii- 4 5 3 4 6 5 9 8 4 7 9 8 5 3 5 8 4 3 9

| | | | | | | | | | | | | |
|---|---|---|---|---|---|---|---|---|---|---|---|---|
| 4 | 4 | 4 | 4 | 4 | 9 | 9 | 9 | 7 | 7 | 7 | 5 | 5 |
| 5 | 5 | 6 | 6 | 6 | 8 | 8 | 8 | 9 | 9 | 9 | 3 | 4 |
| 3 | 3 | 5 | 5 | 5 | 4 | 4 | 4 | 8 | 8 | 8 | 8 | 9 |

no. of page faults = 16

4. Most Recently Used
Recently7, 0, 1, 2, 0, 3, 0, 4, 2, 3, 0, 3, 2, 1,
2, 0, 1, 7, 0, 1

| | | | | | | | | | | | | | | | |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| 7 | 0 | 2 | 2 | 3 | 0 | 4 | 3 | 0 | 3 | 2 | 1 | 2 | 0 | 1 | 0 |
| 7 | 7 | 7 | 7 | 7 | 7 | 7 | 7 | 7 | 7 | 7 | 7 | 7 | 7 | 7 | 0 |
| 0 | 0 | 0 | 3 | 0 | 4 | 4 | 4 | 4 | 4 | 4 | 4 | 4 | 4 | 4 | 4 |

no. of page faults = 16.

Counter Implementation

→ replace page with smallest count

5. Least Frequently Used (LFU) :- Setting of counter

| | | | | | | | | | | |
|-----|---|---|---|---|---|---|---|---|---|---|
| [i] | 7 | 0 | 1 | 2 | 0 | 3 | 0 | 4 | 2 | 3 |
| 7 | 1 | 7 | 1 | 7 | 2 | 1 | 2 | 1 | 2 | 1 |
| 0 | 1 | 0 | 1 | 0 | 1 | 0 | 2 | 0 | 3 | 0 |

0 3 2 1 2 0 1 7 0 1

| | | | | | | | | | | |
|---|---|---|---|---|---|---|---|---|---|---|
| 3 | 1 | 3 | 2 | 3 | 2 | 1 | 1 | 1 | 1 | 2 |
| 0 | 4 | 0 | 4 | 0 | 4 | 0 | 4 | 0 | 5 | 0 |
| 2 | 1 | 2 | 1 | 2 | 2 | 2 | 3 | 2 | 3 | 2 |

no. of page faults = 11

| | | | | | | | | | | | | | | | |
|------|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| [ii] | 4 | 5 | 3 | 4 | 6 | 5 | 9 | 8 | 4 | 7 | 9 | 8 | | | |
| * | * | * | * | * | * | * | * | * | * | * | * | * | | | |
| 4 | 1 | 4 | 1 | 4 | 1 | 4 | 2 | 4 | 2 | 4 | 3 | 4 | 3 | 4 | 3 |

5 3 5 8 4 3 9

| | | | | | | | | | | | | |
|---|---|---|---|--|---|---|---|---|---|---|---|---|
| 4 | 3 | 4 | 3 | | 4 | 3 | 4 | 4 | 4 | 3 | 4 | 3 |
| 8 | 1 | 8 | 1 | | 8 | 1 | 8 | 1 | 8 | 1 | 9 | 1 |
| 5 | 1 | 5 | 1 | | 5 | 1 | 5 | 1 | 5 | 2 | 5 | 2 |

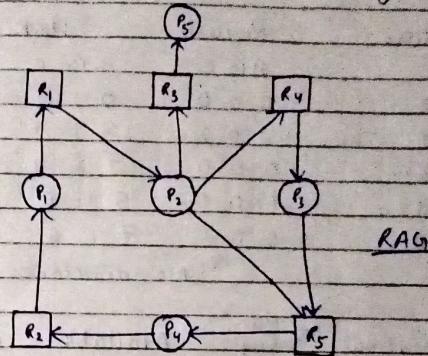
page fault = 15

6. Most frequently used (MFU) - Replace page with highest count

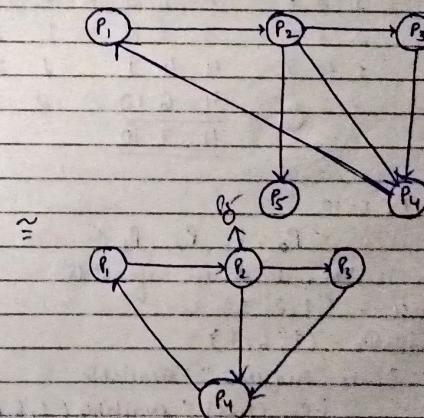


No. of page faults = 12

Deadlock Detection & Recovery



RAG



\approx

Banker's Algorithm / Deadlock Detection

| 1 | Allocation | Request | Work | Finish |
|----------------|------------|---------|-------|--|
| | A B C | A B C | A B C | P ₀ P ₁ P ₂ P ₃ P ₄ |
| P ₀ | 0 1 0 | 0 0 0 | 0 1 0 | T F F F F |
| P ₁ | 2 0 0 | 2 0 2 | 3 1 3 | T F T F F |
| P ₂ | 3 0 3 | 0 0 0 | 5 1 4 | T F T T F |
| P ₃ | 2 1 1 | 1 0 0 | 5 1 6 | T F T T T |
| P ₄ | 0 0 2 | 0 0 2 | 7 1 6 | T T T T T |

No deadlock

| 2 | Allocation | Max Allocation | | |
|----------------|------------|----------------|-----------|-------------|
| | | Max | Available | Requirement |
| P ₀ | 0 2 5 | 2 2 7 | 3 1 3 | 2 0 2 |
| P ₁ | 1 1 1 | 2 3 2 | 3 3 8 | 1 2 1 |
| P ₂ | 0 2 1 | 1 4 3 | 4 4 9 | 1 2 2 |
| P ₃ | 0 1 0 | 2 2 2 | 4 6 10 | 2 1 2 |
| bf | 1 6 7 | | 4 7 10 | |

$$A = 4, B = 7, C = 10$$

$\langle P_0, P_1, P_2, P_3 \rangle$

(i) Yes, it is in safe state

(ii) Request = $(1, 2, 1)$

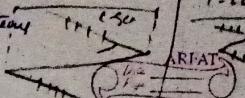
Available = $(1, 6, 7)$

Since Request \leq Available.

i.e., $(1, 2, 1) \leq (1, 6, 7)$

\therefore The request must be granted.

* For increasing efficiency most file systems group blocks into clusters.



Disk Management

a - Disk Formatting

Low-level formatting \hookrightarrow Dividing disk into sectors so that the disk or physical formatting controller may read / write. Disk controller uses headers & trailers to store information like Error-correcting code and sector number.

\hookrightarrow The OS must build its own data structure on the disk drive. Disk drive is partitioned into one or more cylinder groups.

Logical formatting \hookrightarrow OS stores the initial file system data structure on disk drive.

b - Boot Block

\hookrightarrow The start program of the system is called Bootstrap program.

\hookrightarrow Bootstrap program is stored in partition called boot block.

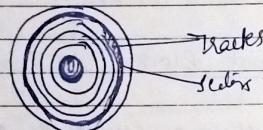
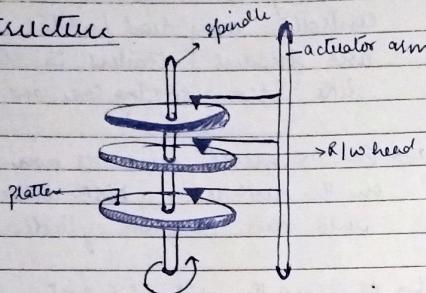
\hookrightarrow Boot disk / System disk is a type of disk that contains boot partition.

c - Bad blocks

\hookrightarrow Sector sparing method is used to handle bad blocks.

- a- disk bandwidth \rightarrow it is the total no. of bytes transferred total time b/w the first request for service of the completion of the last transfer

Disk Structure



File Allocation Methods

→ Linked

→ Indexed

→ Contiguous

- each file is a linked list of blocks
- each block has its own index
- No external fragmentation
- block (s) of file points to the data blocks.
- Random Access
- Required index table

Types of files

1. Regular : form of text (.txt) or binary (.dat)
2. Directory : contains information used to access other files.
3. Special : temporary files created by processes.
(FIFO), block, character.

File Access Methods

1. Sequential

2. Direct

Directory: Collection of nodes containing information about all files.

Free space Management :

- Bitmap / bit vector
- ↳ a series of column of bits which corresponds to data block.
- 0 - Occupied 1 - free

- **Linked list** : a free block contains a pointer to the next free block.
- **Grouping** : stores the address of free block in the first free block.
- **Counting** : stores address of ^{first} free disk block & a no. of free contiguous disk blocks.

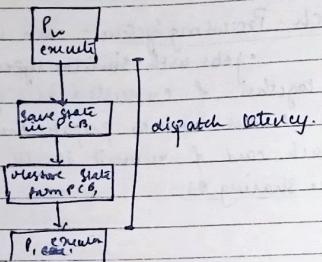
Dispatcher - is a module that gives control of the CPU's core to another process selected by CPU Scheduler.

func. - 1) Switching context from one process to another

2) Switching b/w user mode.

3) Jumping to proper location in the user program to resume that program

Dispatch latency - time required to stop one process & start another running.



Threads - basic unit of CPU utilization. lightweight process. It is a sequential, singular flow of execution of tasks in a process. multiple threads running concurrently are known as multithreading.

Advantages over process

- 1) Responsiveness
- 2) Faster context switch
- 3) Effective utilization of multiprocessor sys.
- 4) Resource sharing
- 5) Enhanced throughput
- 6) Communication

Multilevel feedback queue scheduling algorithm allows processes to move b/w queues. The idea is to separate processes according to the characteristics of their CPU bursts. If a process uses too much CPU time, it will be moved to a lower-priority queue. This scheme leaves out I/O bound & interactive processes - that are typically characterized by their short CPU bursts - in the higher priority queues. In addition, a process that waits too long in a lower priority queue may be promoted to a higher priority queue. This aging process prevents starvation.

Parameters for schedulers :-

- (1) no. of queues
- (2) scheduling algorithms
- (3) methods to determine when to upgrade a process to high priority
- (4) " " " " " denote a process to lower priority.
- (5) " " " " which queue a process will enter

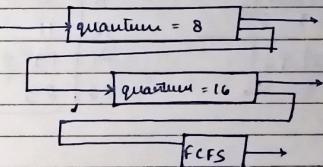
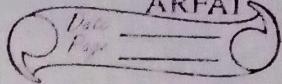


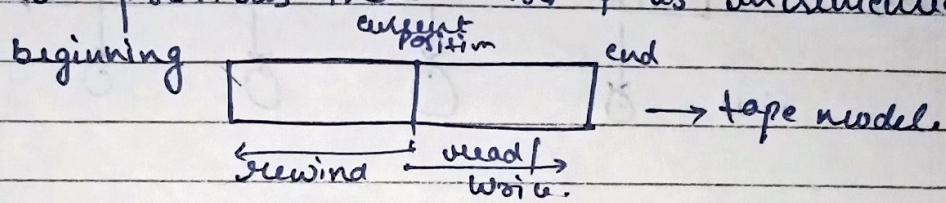
fig 2



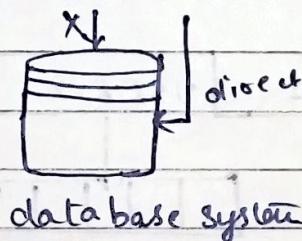
File Attributes - Name, identifier, type, location, size, protection, time & date.

File Access Methods - Sequential - OS reads word by word.

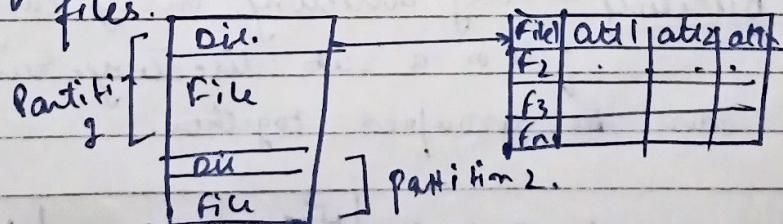
2) a pointer is maintained - initial position base address of the file - first word of the file read the pointer provides that word & is incremented by 1.



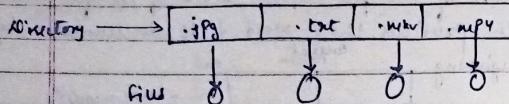
Direct - A file is made up of fixed-length logical ~~blocks~~ records that allows programs to read / write records in no particular order. Disk - based on disk model of a file - allows random access to any file block



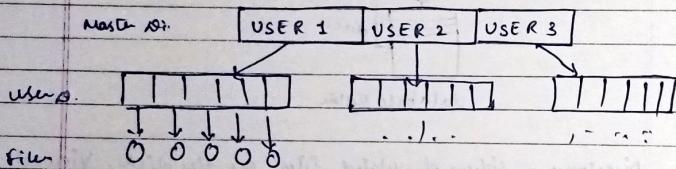
Directory - listing of related files on the disk. Viewed as a file which contains metadata of the bunch of files.



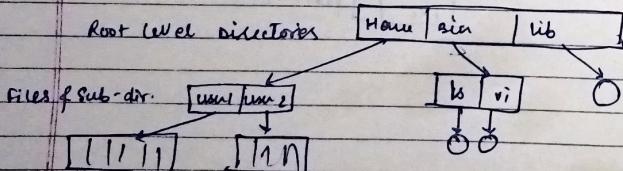
A) Single level Directory - method to have one big list of all the files on the disk. Contains only one directory which is supposed to mention all the files present in the system.



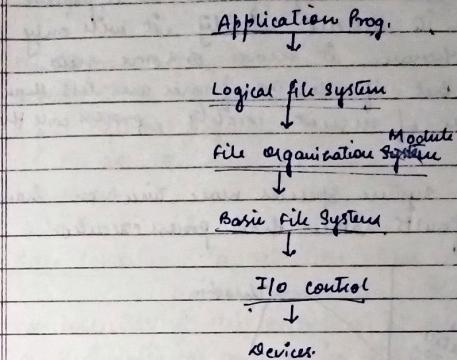
B) Two Level Directory - separate directory for each user. ~~contains~~ one master directory which contains separate directories for all dedicated to each user. Each user has a separate directory at 2nd level.



C) Three Directory - any directory entry can either be a file or a sub-directory. Similar kinds of files can be grouped together.



File System Structure - layered Approach.



File Allocation Methods - a) linked - each file is considered a linked list of disk blocks.

b) contiguous - all the logical block of the file get the contiguous physical block in the hard disk.

Free Space Management - 1. Bit Vector - A series of bits which corresponds to data block.

Block is empty bit = 1
"full" = 0

2. Linked List - A free block contains a pointer to the next free block.

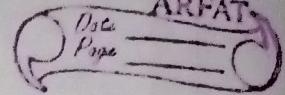
3. Grouping - stores the address of ~~next~~ free blocks in the first free block

4) Counting - stores the address of first free disk block for no. of contiguous free disk blocks.

Locality → a set of pages that are actively used together.

Model → states that as a process executes, it moves from one locality to another.

ARFAT

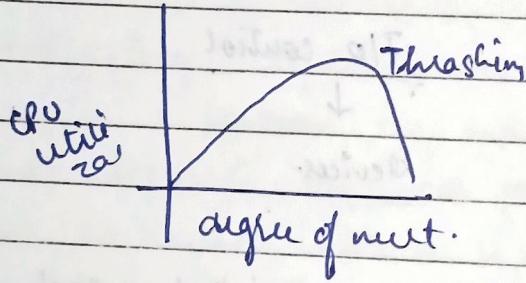


Handling Thrashing

① Working Set Model - based on locality model.

- if we allocate enough frames to a process to accommodate its current locality, it will only fault whenever it moves to some new locality. But if allocated frames are less than ~~out~~ size of current locality, process will thrash.

Thrashing - system spends more time on handling page faults rather than process execution.



② Page Fault Frequency - control the page fault rate

Cause of Thrashing - high level of multiprogramming or lack of frames