The Art of Java Instrumentation: A Deep Dive into Java Agents

As a developer with years of Java under your belt, you've mastered the art of building applications that run *on* the Java Virtual Machine (JVM). This guide is designed to take you to the next level: understanding and manipulating the JVM itself. We'll move beyond just writing application logic and delve into the powerful world of Java Agents, a technology that allows us to observe, modify, and extend the behavior of any Java application without changing a single line of its source code. We'll start with the very basics of how the JVM comes to life and end with you building your own simple profiler. Let's begin.

From Command Line to Execution: Demystifying the JVM's Control Flow

To truly understand how Java Agents work, one must first grasp the environment in which they operate. An agent is not part of a standard application; it's a privileged component that hooks into the very lifecycle of the JVM. This lifecycle begins the moment a user types java into a command prompt and culminates in the execution of the application's main method. Understanding this sequence is non-negotiable for grasping where and how agents intervene.

The Journey of a Java Program: A Step-by-Step Breakdown

The execution of a Java program is a sophisticated process that transitions from the native operating system environment into the managed world of the JVM. This journey is orchestrated by a series of well-defined steps that prepare the runtime before any application code is executed.

The process begins not in Java, but in native code. The java command is an OS-level executable program whose primary responsibility is to launch a native OS process.

This process then loads the JVM shared library and calls a specific function from the Java Native Interface (JNI) to create and initialize a new JVM instance. This pivotal function is JNI_CreateJavaVM. It serves as the master conductor for the entire startup sequence, performing several critical tasks:

- 1. **Argument Parsing and Validation:** The very first action is to parse and validate all arguments passed on the command line. This includes JVM options (like -Xmx512m or -Dproperty=value), the name of the main class to be executed, and any arguments intended for the application's main method. If any fatal errors are found in the configuration, the JVM will terminate at this early stage.
- 2. Environment Setup and Resource Checking: The JVM inspects the host system to understand its available resources, such as the number of processors and the amount of physical memory. This information is crucial for optimizing its own configuration. For instance, the choice of the default Garbage Collector (GC) is heavily influenced by these parameters. On modern JDKs, the Garbage-First (G1) GC is the default, but the JVM may select the simpler Serial GC on systems with very limited memory (less than 1792 MB) or only a single CPU core.¹ Concurrently, the JVM prepares its environment for monitoring and profiling tools. It generates HotSpot performance data (hsprefdata) files, typically in the system's temporary directory, which are later consumed by tools like JConsole and VisualVM to inspect the running JVM.¹
- 3. **Memory Allocation:** With an understanding of the system's resources, the JVM allocates its core memory regions. This includes reserving a large contiguous block of memory for the Java heap, where all application objects will live. It also creates Metaspace, a special area in native memory (outside the Java heap) where the JVM stores class metadata—the internal representation of classes and methods.¹
- 4. Bootstrap ClassLoader Creation: The JVM instantiates the bootstrap class loader. This is a special class loader, written in native C/C++ code, that is responsible for loading the most fundamental classes of the Java platform, such as java.lang.Object and java.lang.String. These core classes are loaded from the lib directory of the Java installation in older JDKs, or from a more efficient Java Runtime Image (.jrt) file in JDK 9 and later.²
- 5. **Initial Class Loading:** Using the class loading mechanism, the JVM now loads the main class specified by the user on the command line (e.g., HelloWorld).⁴ This is the first application-level class to enter the JVM.
- 6. **Linking and Initialization:** Before the class can be executed, it must be prepared. It undergoes a process of *linking*, which involves verifying the bytecode for correctness, preparing static fields by allocating memory and setting them to

default values, and resolving symbolic references to other classes.⁶ After linking, the class is

- *initialized*. This involves executing any static initializer blocks (static {}) and assigning the user-defined initial values to static variables.²
- 7. **Invoking main:** With the main class fully loaded, linked, and initialized, the JVM is finally ready to hand over control. It invokes the public static void main(String args) method, passing along any application arguments from the command line. This method call becomes the entry point for the main application thread, and all subsequent execution flows from this point.⁴

This entire sequence, from a native process to a managed Java environment, highlights a critical architectural principle: the JVM is a native program that *hosts* a Java runtime. This explains why certain core operations are opaque to Java code and why mechanisms like the Attach API (discussed later) must operate at the OS process level. For a developer, this reframes the JVM from a magical black box into a well-defined native application with a specific, managed lifecycle.

The Gatekeepers: Java ClassLoaders

Class loading is the heart of Java's dynamic nature and platform independence. It is the process of finding the binary representation of a class (typically a .class file) and bringing its structure into the JVM's Metaspace. This process is lazy; classes are loaded on an as-needed basis, not all at once when the application starts. This on-demand loading is what enables large enterprise applications and frameworks like Spring to function efficiently.

The class loading process itself consists of three distinct phases as defined by the JVM specification ⁴:

- 1. **Loading:** This is the physical act of finding the .class file on disk, over the network, or from another source. The class loader reads these bytes, generates the corresponding binary data, and in the heap, creates an instance of java.lang.Class to serve as the runtime representation of that class.²
- 2. **Linking:** This phase makes the class ready for execution and involves three sub-steps:
 - Verification: This is a crucial security step. The bytecode verifier ensures that the loaded class file is structurally correct, well-formed, and adheres to the

- semantic rules of the Java language. It checks for things like stack overflows/underflows, correct method signatures, and valid opcodes. This prevents malformed or malicious code from corrupting the JVM.⁷
- Preparation: The JVM allocates memory for all static (class-level) variables and initializes them to their standard default values (e.g., 0 for numeric types, false for booleans, and null for object references).² Note that at this stage, explicit initializers from the source code (e.g., static int x = 10;) have not yet been executed.
- Resolution (Optional): This is the process of replacing symbolic references in the bytecode with direct, concrete references. For example, a symbolic reference to another class's method is replaced with a memory address or offset. This step can be performed eagerly at link time or lazily when the reference is first used.⁶
- 3. **Initialization:** This is the final step where the class becomes fully active. The JVM executes the class's static initializer blocks (code within static {} blocks) and assigns the initial values to static variables as specified in the source code. This process is executed exactly once per class, in a thread-safe manner, from top to bottom within the class file and from parent to child in the class hierarchy.²

The ClassLoader Hierarchy and Delegation Model

To manage the loading of classes from different sources, the JVM employs a hierarchical system of class loaders. Understanding this hierarchy and its governing principle—the delegation model—is absolutely essential for comprehending how Java Agents function.

- Bootstrap ClassLoader: The root of the hierarchy. As mentioned, it is
 implemented in native code and is responsible for loading the core Java platform
 classes. Because it is not a Java class itself, any attempt to get its reference from
 Java code will return null.²
- Platform ClassLoader (known as the Extension ClassLoader in Java 8 and earlier): This is the child of the Bootstrap ClassLoader. It is responsible for loading Java's platform extension classes, typically found in JAVA_HOME/lib/ext or other platform-specific directories.²
- System (or Application) ClassLoader: This is the child of the Platform ClassLoader. It is the default loader for application-specific classes, loading them from the locations specified in the java.class.path system property, which is

configured via the -cp or -classpath command-line option or the CLASSPATH environment variable.²

These class loaders are governed by the **Delegation Principle**. When a request to load a class (e.g., com.mycompany.MyClass) is made, the class loader does not immediately try to load it. Instead, it follows a strict parent-first delegation chain ³:

- 1. The System ClassLoader receives the request.
- 2. It first delegates the request to its parent, the Platform ClassLoader.
- 3. The Platform ClassLoader, in turn, delegates the request to its parent, the Bootstrap ClassLoader.
- 4. The Bootstrap ClassLoader attempts to find and load the class from its designated location (the core libraries).
- 5. If the Bootstrap ClassLoader fails, the request falls back to the Platform ClassLoader, which attempts to load the class from its extension directories.
- 6. Only if the Platform ClassLoader also fails does the request fall back to the original System ClassLoader, which then searches the application's classpath.

This parent-first delegation is a cornerstone of Java's security and stability. It ensures that a core Java class like java.lang.String can never be replaced by a custom version on the application classpath, because the Bootstrap ClassLoader will always find and load it first. It also guarantees that a class is loaded only once, by the first class loader in the hierarchy that finds it. This uniqueness is defined by the principle that a class's identity is determined by the combination of its fully qualified name and its defining class loader instance.³

The strict, predictable, parent-first flow of class loading requests is precisely what makes Java Agents so powerful. By providing a centralized path for all application class loading, the delegation model creates a single point of interception. An agent can hook into this mechanism to examine and transform the bytecode of every class requested by the application. At the same time, this model introduces the primary technical challenge of agent development: dependency management. If an agent and the application it instruments both rely on the same third-party library, they must be loaded by separate, isolated class loaders to prevent version conflicts, a topic explored in detail in a later section.

The following table summarizes the key characteristics of the built-in class loaders:

ClassLoader Parent	Loads From	Implementation
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Bootstrap	null	Core Java APIs (JAVA_HOME/lib or JRT image)	Native Code (C/C++)
Platform	Bootstrap	Extensions (java.ext.dirs or module path)	Java (sun.misc.Launcher\$ ExtClassLoader etc.)
System/App	Platform	Application Classpath (-cp, CLASSPATH)	Java (sun.misc.Launcher\$ AppClassLoader etc.)

An Introduction to Java Agents

With a firm grasp of the JVM's startup and class loading mechanics, we can now introduce the concept of Java Agents. Agents are a specialized tool that leverages this underlying architecture to achieve powerful runtime modifications, forming the backbone of modern profiling, monitoring, and dynamic instrumentation tools.

What Are Java Agents?

A Java Agent is a specially packaged JAR file designed to instrument programs running on the JVM. The term **instrumentation** is key: it refers to the process of adding or modifying the bytecode of methods to gather data or alter their behavior, all without changing the application's original source code.

Agents are not ordinary libraries; they are a standard feature of the JVM, enabled by the java.lang.instrument API, which has been part of the Java platform since J2SE 5.0. The JVM grants them special privileges, most notably the ability to intercept the class loading process and transform class files before they are officially defined in the virtual machine. This capability represents a deliberate and controlled "escape hatch" from Java's standard safety guarantees. The JVM is built on a foundation of security, including type safety, memory safety, and rigorous bytecode verification. Under normal circumstances, one Java class cannot arbitrarily alter the behavior of another. The Instrumentation API was created to provide a sanctioned mechanism for trusted tools, like profilers and debuggers, to perform such modifications, avoiding the need

for unsupported, platform-specific hacks.

The Power of Instrumentation

The fundamental power of a Java Agent comes from its ability to register a ClassFileTransformer with the JVM. Once registered, this transformer's transform method is invoked by the JVM for every class being loaded. The transformer receives the raw byte array of the class file, giving the agent a chance to modify it before the JVM verifies and uses it.

This simple hook enables a wide array of powerful use cases that are critical for modern software development and operations:

- Profiling and Monitoring: Agents can inject code to measure method execution time, track memory allocations, or count method invocations. This is the foundational technology for all Application Performance Management (APM) tools.
- Dynamic Behavior Modification: Agents can alter application logic on the fly. A
 well-known example is JRebel, which uses an agent to enable "hot-swapping" of
 class changes at runtime, eliminating the need for frequent application restarts
 during development.¹²
- **Security Auditing:** An agent can intercept method calls to enforce security policies. For example, it could check for a valid authentication token before allowing a sensitive database operation to proceed, or it could log all access to personally identifiable information (PII) for compliance auditing.
- Code Coverage Analysis: Tools like JaCoCo use agents to instrument classes during the test phase. The injected code marks which lines and branches of the application code have been executed by the tests, providing a clear report on test coverage.

The rise of "zero-code" or "auto-instrumentation" solutions is a direct consequence of the power and maturity of the Java Agent ecosystem. Manually instrumenting a large, distributed application for comprehensive observability is a monumental and error-prone task. An agent, however, can encapsulate all the necessary instrumentation logic for popular frameworks (like Spring, Kafka, JDBC, etc.) into a single, self-contained JAR. A developer or operator can simply attach this agent to the application's startup command. The agent then automatically discovers which libraries

are in use and injects the appropriate monitoring code, providing deep visibility into the application's performance with no source code changes required. This dramatically lowers the barrier to entry for robust application monitoring and makes the agent a powerful mechanism for implementing cross-cutting concerns, even more so than traditional Aspect-Oriented Programming (AOP) frameworks, because it can modify *any* class, including third-party libraries and even core Java classes.

Agents in the Wild: Real-World Examples

The Java Agent technology is not an obscure academic feature; it is the engine behind many of the industry's most critical development and operations tools.

- APM Solutions: Commercial products like New Relic, AppDynamics,
 Dynatrace, and open-source solutions like Elastic APM are built around Java
 Agents. When you attach their agent to your application, it automatically
 instruments common frameworks to trace the full lifecycle of a web request, from
 the incoming HTTP call through database queries and calls to other
 microservices, providing detailed performance metrics and error reports.
- OpenTelemetry: As the emerging open-source standard for observability, the
 OpenTelemetry project's primary "zero-code" instrumentation strategy for Java is
 its agent. This single JAR can automatically capture traces, metrics, and logs from
 a vast and growing list of supported libraries, exporting them to any compatible
 analysis backend.
- Byte Buddy and Mockito: Byte Buddy is a modern library for creating Java Agents. Its power is showcased by its use in popular testing frameworks like Mockito. Mockito uses Byte Buddy's agent capabilities to dynamically create mock objects and, crucially, to allow the mocking of final classes and methods, something that is impossible with standard Java reflection.¹²

Your First Agent: Static Loading with premain

The most direct way to use a Java Agent is through static loading. This involves telling the JVM to load the agent at startup, before the application's main method is ever called. This section provides a hands-on, step-by-step guide to building, packaging,

and running a minimal static agent, grounding the theory in concrete code.

The premain Entry Point

The designated entry point for a statically loaded agent is a public static method named premain. When the JVM is launched with the -javaagent command-line option, it searches the specified agent class for this method. The JVM looks for one of two specific signatures, in this precise order ¹⁴:

- 1. public static void premain(String agentArgs, Instrumentation inst)
- 2. public static void premain(String agentArgs)

The JVM will first try to invoke the two-argument version. If that doesn't exist, it will fall back to the single-argument version.

- The agentArgs parameter is a String that captures any options passed to the agent on the command line. These are specified after an equals sign following the agent JAR path, for example: -javaagent:my-agent.jar=option1,value=foo.
- The Instrumentation inst parameter is the agent's gateway to the JVM's
 instrumentation capabilities. This object is created and passed in by the JVM
 itself; it cannot be obtained by any other means. It provides the methods needed
 to register class transformers and modify loaded classes.

The premain method is executed after the JVM has completed its core initialization but *before* the application's main method is called. It is critical to note that the agent's premain method must execute and return normally for the application startup sequence to proceed. If premain throws an uncaught exception, the JVM will abort.

The Agent's Identity: The MANIFEST.MF File

A standard JAR file is not automatically an agent. For the JVM to recognize it as such, the JAR's manifest file, located at META-INF/MANIFEST.MF, must contain a specific attribute: Premain-Class.

The value of this attribute must be the fully qualified name of the class that contains the premain entry point method (e.g., com.example.agent.SimpleAgent).¹⁴ This

manifest entry is what makes the agent JAR a self-describing artifact, telling the JVM exactly where to begin agent execution.

This requirement for a manifest and JAR packaging forces agent developers to create well-defined, self-contained, and distributable artifacts. It's a design choice that pushes the ecosystem towards building robust, modular instrumentation tools rather than relying on ad-hoc scripts or loose class files, which in turn dictates the entire build and deployment pipeline for agent-based tools.

Practical Walkthrough: Building a "Hello, Agent!"

Let's create a complete, minimal project to see these concepts in action. The project will consist of a simple target application and an agent that prints a message upon loading.

1. The Target Application (MyApp.java)

This is a standard Java class with a main method. It will serve as the host for our agent.

```
// MyApp.java
public class MyApp {
    public static void main(String args) {
        System.out.println("Hello from MyApp's main method!");
        System.out.println("Application is now running...");
    }
}
```

2. The Agent Class (SimpleAgent.java)

This class contains our premain method. It will be placed in a package structure to demonstrate the use of fully qualified names.

```
// src/com/example/agent/SimpleAgent.java
package com.example.agent;

import java.lang.instrument.Instrumentation;

public class SimpleAgent {
    public static void premain(String agentArgs, Instrumentation inst) {
        System.out.println("Hello from SimpleAgent! I'm running in the same JVM as the application.");
        System.out.println("Arguments passed to me: " + agentArgs);
    }
}
```

This example uses the two-argument signature to demonstrate receiving both the agent arguments and the Instrumentation object.¹⁸

3. The Manifest File (MANIFEST.MF)

This text file explicitly declares our agent class. It must end with a newline character.

Manifest-Version: 1.0

Premain-Class: com.example.agent.SimpleAgent

This file is the crucial link that allows the JVM to find and execute the agent's premain method.¹⁷

Packaging and Running

With the source files created, we can now compile, package, and run the application with its agent.

1. Compile the source files:

Open a terminal and navigate to the project's root directory.

Bash

Compile the application javac MyApp.java

Compile the agent (note the use of -d to handle the package structure)
mkdir classes
javac -d classes src/com/example/agent/SimpleAgent.java

2. Package the Agent JAR:

Use the jar command to create the agent JAR file, including the compiled class and the manifest.

Bash

Create the agent JAR # c=create, v=verbose, f=file, m=manifest jar cvfm simple-agent.jar MANIFEST.MF -C classes.

The -C classes. part tells the jar command to change to the classes directory and include all of its contents.

3. Run the Application with the Agent:

Finally, execute the application using the java command, specifying the agent with the

-javaagent flag.

Bash

java -javaagent:simple-agent.jar="MyCustomAgentArgs" MyApp

The -javaagent flag is a first-class citizen of the JVM launch configuration, not a simple application argument. It is processed directly by the JVM's native launcher during the initialization phase. This is why it must appear *before* the main application class name. This reinforces the concept that agents are extensions to the JVM environment itself, not just inputs to the Java program.

The expected output will be:

Hello from SimpleAgent! I'm running in the same JVM as the application. Arguments passed to me: MyCustomAgentArgs Hello from MyApp's main method! Application is now running...

The output clearly shows that the agent's premain method was executed before the application's main method, successfully demonstrating the static loading process.

The Agent's Toolkit: The java.lang.instrument API

Having successfully loaded a simple agent, the next step is to make it do something useful. The agent's power comes from the java.lang.instrument package, which provides the APIs to inspect and, more importantly, transform the bytecode of classes. This section explores the two central components of this API: the Instrumentation interface and the ClassFileTransformer interface.

The Instrumentation Interface

The Instrumentation interface is the bridge connecting the agent to the JVM's core instrumentation capabilities.²¹ An instance of this class is passed by the JVM to the agent's

premain or agentmain method and cannot be obtained in any other way.²¹ It acts as a control panel for the agent, providing several key methods:

- void addTransformer(ClassFileTransformer transformer, boolean canRetransform): This is the most fundamental method. It registers a transformer instance with the JVM. Once registered, this transformer will be invoked for every class that is subsequently loaded or redefined. The canRetransform boolean indicates whether this transformer should also be called during class retransformations, a concept crucial for dynamic agents.
- void retransformClasses(Class<?>... classes): This method allows an agent to trigger a re-transformation of classes that have already been loaded. When called, the JVM takes the original, unmodified bytecode of the specified classes and re-runs all registered retransformation-capable transformers on them. This is the primary mechanism for instrumenting a running application.²¹
- void redefineClasses(ClassDefinition... definitions): A more drastic operation that completely replaces the definition of one or more loaded classes. Instead of re-running transformers, it accepts a ClassDefinition object, which pairs a Class with a byte array containing its new implementation. This is less common for instrumentation and more suited for "hot-swapping" code in debuggers.²¹
- Class getAllLoadedClasses(): Returns an array of all classes currently loaded by the JVM. This is particularly useful for dynamic agents that need to discover which classes are available to instrument in a running application.²¹
- long getObjectSize(Object objectToMeasure): Provides an implementation-specific approximation of the amount of storage, in bytes, consumed by the specified object. This can be useful for memory profiling tasks.

The design of this API cleverly separates the *trigger* (the one-time agent loading via premain) from the *action* (the ongoing transformation via a registered ClassFileTransformer). This is a classic registration/callback pattern. The agent performs its setup once at startup, and the JVM then efficiently invokes the registered transformer whenever a class loading event occurs for the entire lifetime of the process.

The ClassFileTransformer Interface

This is where the actual bytecode modification takes place. An agent provides an implementation of the ClassFileTransformer interface to gain access to a class's raw bytecode before it is finalized by the JVM.

The interface has one primary method that must be implemented: byte transform(ClassLoader loader, String className, Class<?> classBeingRedefined, ProtectionDomain protectionDomain, byte classfileBuffer).23
Let's break down its parameters and return value:

Parameters:

- loader: The ClassLoader instance that is loading the class. This can be null if the class is being loaded by the bootstrap class loader.
- className: The fully qualified name of the class in the JVM's internal binary format, which uses slashes instead of dots (e.g., java/util/List).²³
- classBeingRedefined: If the transformation is triggered by a call to redefineClasses or retransformClasses, this will be the Class object being modified. For a normal class load, this will be null.
- protectionDomain: The ProtectionDomain to which the class will belong, defining its security permissions.
- classfileBuffer: The raw byte array containing the .class file data as read from the source. This is the data that the transformer will modify.

Return Value:

- The method must return a byte array containing the new, transformed bytecode.
- o If the transformer decides not to modify the class, it must return null.
- The input classfileBuffer must **not** be modified directly; a new array should be created and returned.²³

The contract of this transform method reveals a great deal about the nature of instrumentation. The fact that the input buffer must not be modified implies that the JVM may be passing the same buffer to a chain of multiple transformers, making direct modification a potential source of race conditions. Furthermore, the JVM's behavior of often swallowing exceptions thrown from a transform method (treating it as if null were returned) is a design choice for robustness.²⁴ It prevents a single faulty agent from crashing the entire application startup. However, this also makes

debugging a broken transformer notoriously difficult, as errors can fail silently. This underscores the need for defensive coding and thorough error handling within any

ClassFileTransformer implementation, as it operates in a critical, shared execution path of the JVM.

Practical Walkthrough: A Simple Method Execution Timer

To demonstrate these concepts, let's build a more practical agent that instruments a method to measure its execution time. Manually editing bytecode is exceptionally complex, so we will use a bytecode manipulation library. For this example, **Javassist** is an excellent choice due to its simple, source-level API.

1. The Target Application (MyWorker.java and MyApp.java)

First, a simple worker class with a method we want to profile, and a main class to run it.

```
// src/com/example/app/MyWorker.java
package com.example.app;
import java.util.concurrent.TimeUnit;

public class MyWorker {
    public void doWork() throws InterruptedException {
        System.out.println("Worker is starting work...");
        // Simulate some work
        TimeUnit.SECONDS.sleep(2);
        System.out.println("Worker has finished work.");
    }
```

```
// MyApp.java
import com.example.app.MyWorker;

public class MyApp {
    public static void main(String args) throws InterruptedException {
        new MyWorker().doWork();
    }
}
```

2. The Transformer (DurationTransformer.java)

This transformer will find the doWork method and inject timing logic around it using Javassist.

```
// We only want to instrument our specific worker class
    if (className.equals("com/example/app/MyWorker")) {
       System.out.println("Instrumenting class: " + className);
       try {
         ClassPool.getDefault();
          CtClass cc = cp.get("com.example.app.MyWorker");
          CtMethod m = cc.getDeclaredMethod("doWork");
         // Add a new local variable to the method to store the start time
         m.addLocalVariable("startTime", CtClass.longType);
         // Inject code at the beginning of the method
          m.insertBefore("startTime = System.nanoTime();");
         // Inject code at the end of the method (in a finally block to ensure it runs)
         m.insertAfter("{"
                 + " long endTime = System.nanoTime();"
                 + " System.out.println(\" Execution time for doWork: \" + (endTime - startTime) + \"
ns\");"
                 + "}", true);
         byte byteCode = cc.toBytecode();
         cc.detach(); // Important to release the class from the ClassPool
         return byteCode;
       } catch (Exception ex) {
         System.err.println("Error transforming class" + className + ": " +
ex.getMessage());
         ex.printStackTrace();
       }
    // Return null if we didn't transform anything
    return null;
}
}
```

This code uses Javassist's high-level API to get a representation of the class (CtClass) and method (CtMethod), and then injects Java source code as strings at the beginning and end of the method.²⁰

3. The Agent (DurationAgent.java)

The agent class itself remains very simple; its only job is to register our new transformer.

```
// src/com/example/agent/DurationAgent.java
package com.example.agent;
import java.lang.instrument.Instrumentation;

public class DurationAgent {
    public static void premain(String agentArgs, Instrumentation inst) {
        System.out.println("DurationAgent is loading...");
        inst.addTransformer(new DurationTransformer());
    }
}
```

This demonstrates the core pattern: the agent's premain method is the setup hook that registers one or more transformers.²¹

After updating the MANIFEST.MF to point to DurationAgent, packaging it into a JAR (which must now also contain the Javassist library), and running the application, the output will include the execution time message printed by the agent, proving that we have successfully modified the application's behavior at runtime.

The Tools of the Trade: A Guide to Bytecode Manipulation Libraries

While the java.lang.instrument API provides the hook to intercept class loading, it does

not provide any tools for actually *modifying* the bytecode. Manually manipulating the raw byte array of a class file is an exceptionally complex and error-prone task, akin to writing machine code by hand. It requires an intimate understanding of the Java Virtual Machine Specification, including opcodes, the constant pool, stack maps, and attributes.

For this reason, any practical Java Agent relies on a third-party bytecode manipulation library. These libraries provide an essential abstraction layer, allowing developers to work with familiar concepts like classes, methods, and fields, while the library handles the low-level details of generating valid bytecode. The three most prominent libraries in this space are ASM, Javassist, and Byte Buddy.

The Titans of Transformation: ASM, Javassist, and Byte Buddy

Choosing the right library depends on the specific requirements of the project, balancing ease of use, performance, and power.

ASM

- Description: ASM is a low-level, high-performance Java bytecode manipulation and analysis framework. It is the foundational library in the ecosystem; many other tools, including the Kotlin compiler, Gradle, and even Byte Buddy itself, use ASM internally for their bytecode needs.
- API Style: ASM offers two APIs. The most common is an event-based API that
 uses the Visitor design pattern. A ClassReader parses the bytecode and
 generates a stream of events (e.g., "visit field," "visit method," "visit instruction")
 that are sent to a ClassVisitor. The developer implements a custom visitor to
 intercept these events and modify the class structure or generate new bytecode
 with a ClassWriter. This requires a deep understanding of the class file format and
 JVM instruction set.
- Use When: ASM is the ideal choice when absolute performance and minimal memory overhead are the top priorities. It is best suited for framework developers or for building highly optimized tools where the complexity of the API is a worthwhile trade-off for the control it provides.

Javassist

- Description: Javassist (Java Programming Assistant) takes a higher-level approach. Its key feature is the ability to specify bytecode modifications using strings of Java source code, which it then compiles on the fly and injects into the target methods.
- API Style: The API is designed to be intuitive for Java developers. One obtains a CtClass (compile-time class) object from a ClassPool and then calls methods like insertBefore("System.out.println(\"Method starting...\");") or insertAfter(...) on a CtMethod object.²⁶ This feels more like reflective metaprogramming than low-level bytecode engineering.
- Use When: Javassist is excellent for rapid development, prototyping, or for agents that require relatively simple instrumentation. Its ease of use is its primary advantage. However, its performance is generally lower than ASM or Byte Buddy, and its internal compiler has limitations, sometimes struggling with modern Java language features like lambdas or try-with-resources.

Byte Buddy

- **Description:** Byte Buddy is a modern, open-source library that aims to combine the power and performance of ASM with a more convenient, type-safe, and developer-friendly API. It is actively maintained and has been adopted by major projects like Hibernate, Mockito, and Spring.
- API Style: Byte Buddy provides a "Domain-Specific Language" (DSL) for bytecode manipulation through a fluent builder pattern: new ByteBuddy().subclass(...).method(...).intercept(...).make(). Its most powerful feature is MethodDelegation, which allows an intercepted method call to be delegated to a method in a plain old Java object (POJO). Byte Buddy handles the complex bytecode generation required to pass arguments, the return value, and other context to the interceptor method.
- **Use When:** Byte Buddy is the recommended choice for most new agent development projects. It offers a robust, maintainable, and highly expressive way to implement complex instrumentation without sacrificing performance.

The evolution from ASM to Javassist to Byte Buddy mirrors a broader trend in software engineering: the continuous pursuit of higher levels of abstraction to manage complexity and improve developer experience. ASM is the powerful but raw foundation. Javassist offered an easier-to-use, source-based abstraction, but its stringly-typed nature is brittle. Byte Buddy represents the modern approach, providing a type-safe DSL that makes bytecode manipulation more of a standard engineering discipline and less of a black art.

This progression is particularly evident in how Byte Buddy addresses the challenges of testability and maintainability. With ASM or Javassist, the instrumentation logic is often embedded as strings or complex visitor implementations, making it very difficult to unit test in isolation. Byte Buddy's MethodDelegation.to(MyInterceptor.class) pattern decouples the *what* (the interception logic in the MyInterceptor POJO) from the *how* (the Byte Buddy agent builder). MyInterceptor is a regular Java class that can be thoroughly unit-tested without ever involving an agent or a live JVM, representing a significant leap forward for writing reliable, enterprise-grade agents.

Comparative Analysis and Recommendations

The following table provides a summary of the trade-offs between the three libraries, helping to guide the decision of which tool to use.

Feature	ASM	Javassist	Byte Buddy
Abstraction Level	Low (Bytecode-level)	High (Source-level)	High (Type-safe, fluent API)
Performance	Highest	Moderate	High (uses ASM internally)
Ease of Use	Difficult	Easy	Moderate to Easy
Type Safety	None (string-based opcodes)	None (string-based source code)	High (uses Java types)
Key API Concept	Visitor Pattern (ClassVisitor)	Source Code Injection (CtMethod.insertBefo	Method Delegation (MethodDelegation.to ())

		re)	
Best For	Framework developers, performance-critical tools	Rapid prototyping, simple instrumentation	Most modern agent development, complex instrumentation
Dependencies	None	None	Uses ASM (can be shaded)

Advanced Techniques: Dynamic Agent Loading

While static agents are powerful, they have one significant limitation: they must be specified when the JVM is launched. In many real-world scenarios, particularly when troubleshooting production systems, restarting an application to attach a diagnostic tool is undesirable or impossible. To address this, the Java platform provides a mechanism for loading an agent into an already-running JVM. This is known as dynamic agent loading.

Attaching to a Running JVM: The agentmain Method

For agents that are to be loaded dynamically into a live JVM, the entry point is not premain, but a method named agentmain. This method is invoked by the target JVM when the agent is attached.

Similar to premain, the JVM looks for one of two specific signatures for the agentmain method ¹⁴:

- 1. public static void agentmain(String agentArgs, Instrumentation inst)
- 2. public static void agentmain(String agentArgs)

The parameters agentArgs and inst serve the same purpose as they do in premain. To support dynamic loading, the agent's MANIFEST.MF file must contain the Agent-Class attribute, specifying the class that contains the agentmain method. A single agent JAR can be made compatible with both loading methods by including both

Premain-Class and Agent-Class attributes in its manifest.¹⁴

The Java Attach API

The mechanism that enables dynamic loading is the **Java Attach API**. This API, located in the com.sun.tools.attach package (part of the jdk.attach module in modern JDKs), allows one Java process to connect to another target JVM process and issue commands, including the command to load an agent.

The core class of this API is com.sun.tools.attach.VirtualMachine. The typical workflow for a tool to attach an agent is as follows:

- 1. **Discover Target JVMs:** The tool can get a list of discoverable JVM processes running on the local machine by calling the static method VirtualMachine.list(). This returns a list of VirtualMachineDescriptor objects, each containing information about a running JVM, most importantly its process identifier (PID).³⁰
- 2. **Attach to the Target:** The tool attaches to the target JVM by creating a VirtualMachine instance with VirtualMachine.attach(pid). For security, this operation is generally only permitted if the attaching process is owned by the same user as the target JVM process.³¹
- 3. **Load the Agent:** Once attached, the tool instructs the target JVM to load the agent by calling vm.loadAgent(agentJarPath, agentArgs). This command causes the target JVM to load the specified agent JAR, find the class listed in its Agent-Class manifest attribute, and invoke its agentmain method.
- 4. **Detach:** After the command is sent, the tool can disconnect from the target JVM using vm.detach(). The agent will continue to run inside the target JVM.

This capability for "on-demand instrumentation" is a game-changer for diagnostics. Instead of needing to add logging, redeploy an application, and hope to reproduce an issue, an operator can now attach a diagnostic agent to a live, misbehaving process without a restart, gather the necessary data (such as detailed method timings or argument values), and then detach, significantly reducing downtime and risk.

Practical Walkthrough: A Dynamic Logging Agent

To illustrate the full lifecycle, consider a three-part example:

- 1. **The Target Application:** A simple program that runs in an infinite loop, printing its own PID so that the attacher tool knows which process to connect to.
- 2. The Dynamic Agent: An agent JAR containing a class with an agentmain method. The manifest specifies this class in the Agent-Class attribute and also includes Can-Retransform-Classes: true. When loaded, the agent registers a ClassFileTransformer and immediately calls inst.retransformClasses() on the target application's main class to apply the transformation to the already-running code.
- 3. **The Attacher Application:** A separate command-line tool with its own main method. It takes a PID as an argument, uses VirtualMachine.attach(pid) to connect to the target application, and then calls vm.loadAgent() to inject the dynamic agent JAR.

When this scenario is executed, one would first start the target application. Then, in a separate terminal, run the attacher application, passing the target's PID. The output of the target application would then change in real-time as the agent's transformation is applied, demonstrating the power of dynamic attachment.

retransformClasses vs. redefineClasses

When an agent attaches to a running application, it is dealing with classes that have already been loaded into the JVM. To modify them, the Instrumentation API provides two distinct mechanisms: retransformClasses and redefineClasses. The difference is subtle but critically important.

- redefineClasses(ClassDefinition... defs): This method facilitates a wholesale replacement of a class definition. The agent provides a ClassDefinition object, which contains the new, complete bytecode for the class. This new bytecode completely overwrites the old one. redefineClasses is a powerful but blunt instrument, primarily intended for features like "fix-and-continue" in debuggers where a developer wants to hot-swap a recompiled class file. It is heavily restricted; one cannot add or remove fields or methods, or change the class hierarchy.
- retransformClasses(Class<?>... classes): This method is designed specifically for instrumentation. It does not take new bytecode as an argument. Instead, it instructs the JVM to take the *original* bytecode of the specified classes (as they

were before *any* transformations were ever applied) and run them back through the entire chain of registered ClassFileTransformers.

For agent development, retransformClasses is almost always the correct choice. Its design allows multiple agents to coexist and cooperate. Imagine Agent A and Agent B have both registered transformers. If retransformClasses is called on a class, the JVM will apply Agent A's transformation and then Agent B's transformation to the result. If, however, Agent B were to use redefineClasses, it would completely erase any changes made by Agent A. To use this feature, the agent's manifest must include the attribute Can-Retransform-Classes: true.

The existence of a cooperative mechanism like retransformClasses reveals a clear architectural vision from the JVM's designers for a multi-agent ecosystem. They anticipated that a single JVM in a production environment might be host to many different tools simultaneously: an APM agent for monitoring, a security agent for compliance, a dynamic profiler for troubleshooting, and so on. By providing a mechanism for these agents to apply their transformations in a non-destructive, cooperative chain, the Java platform enables a rich and layered tooling ecosystem to be built around it. redefineClasses can be thought of as a "single-player" mode, whereas retransformClasses is the "multi-player" mode essential for modern, complex environments.

The table below provides a head-to-head comparison of these two crucial methods.

Feature	redefineClasses	retransformClasses
Purpose	Hot-swapping, fix-and-continue debugging	Instrumentation
Input	ClassDefinition (Class object + new byte array)	Class (The class to be re-instrumented)
Mechanism	Replaces the class definition wholesale.	Re-applies all registered transformers to the original class bytes.
Effect on Transformers	Triggers transformers, but the provided bytes are used as the new baseline.	Explicitly designed to work with a chain of transformers.
Cooperation	Poor. The last agent to redefine wins, erasing prior	Excellent. Allows multiple agents to instrument the

	changes.	same class.
Manifest Flag	Can-Redefine-Classes: true	Can-Retransform-Classes: true

Building Production-Ready Agents: Essential Considerations

Creating a simple agent that works on a developer's machine is one thing; building a robust, reliable agent that can be safely deployed in a production environment is another matter entirely. This requires addressing several critical non-functional requirements, including dependency management, class loader isolation, and security. This section is aimed at the senior developer tasked with shipping code that must be stable and secure.

The Dependency Dilemma: Creating a Self-Contained "Uber-JAR"

A Java Agent operates in a shared environment with the application it instruments, but it cannot and must not share dependencies with it. This is the single most common and critical challenge in agent development.

- The Problem: Imagine an agent uses the Google Gson library, version 2.8, to serialize data. The application it is attached to, however, uses an older version, 2.2, of the same library. Because of the class loader delegation model, it becomes unpredictable which version of the com.google.gson.Gson class will be loaded. This will almost certainly lead to a MethodNotFoundException or NoSuchFieldError at runtime if the agent's code calls a method that only exists in the newer version.³³ This is a classic "JAR Hell" scenario.
- The Solution: Shading and Uber-JARs: The only robust solution is to package the agent as a self-contained "uber-JAR" (or "fat JAR") that includes all of its own dependencies. However, simply bundling the dependencies is not enough. The agent must also "shade" (or "relocate") them. Shading is the process of renaming the packages of the bundled dependencies during the build process. For example, the com.google.gson package inside the agent's JAR would be programmatically renamed to something unique, like

com.myagent.shaded.com.google.gson.34

This relocation ensures that the agent's version of Gson and the application's version of Gson are treated as two completely distinct and unrelated classes by the JVM, thus eliminating any possibility of conflict.

- Build Tooling: This process is managed by specialized build plugins:
 - Maven: The maven-shade-plugin is used, with a <relocations> configuration block to specify which packages to rename.³⁴
 - Gradle: The shadow (or shadowJar) plugin is used, with a relocate() configuration to perform the same task.³⁷

Building a production-ready agent is therefore as much a build-engineering challenge as it is a programming one. Mastery of these build tools and their dependency management features is essential for creating a stable, non-interfering agent.

The Isolation Principle: Agent ClassLoaders

To prevent interference, an agent's classes are not loaded by the application's System ClassLoader. Instead, the JVM creates one or more separate, isolated class loaders specifically for the agent and its (shaded) dependencies.⁴⁰ This is a fundamental isolation mechanism.

However, this isolation creates a new challenge: if the agent's ClassFileTransformer injects bytecode into an application class that needs to call a utility method from the agent's code, that utility class will not be visible to the application. The application's class loader has no knowledge of the agent's isolated class loader.

- The Bootstrap Injection Solution: The standard solution to this problem is a
 technique called bootstrap class loader injection. Since the Bootstrap
 ClassLoader is the ancestor of all other class loaders, any class loaded by it is
 visible to the entire application. Advanced agents, like the OpenTelemetry agent,
 are architected to take advantage of this. They are typically structured in multiple
 parts ⁴⁰:
 - 1. An agent-bootstrap.jar containing a small set of essential API and utility classes. The agent's premain method programmatically adds this JAR to the bootstrap class path.
 - 2. The main agent implementation, containing all the transformers and logic,

which is loaded into its own isolated class loader.

This architecture ensures that the agent's internal implementation details remain completely isolated, while the few classes that need to be shared between the instrumented code and the agent are made globally visible by being placed in the bootstrap scope. This also explains why static variables are inherently isolated: a static variable in a class loaded by ClassLoader A is a completely separate piece of memory from the same static variable in the same class loaded by ClassLoader B.

The complexity of managing this class loader communication has led to even more advanced architectural patterns. The Elastic APM agent, for example, uses the invokedynamic bytecode instruction—best known for its role in implementing Java's lambda expressions—to create a dynamic bridge at runtime.⁴² The instrumented code contains an

invokedynamic call site. The first time this site is executed, a special "bootstrap method" (which has visibility into the agent's class loader) runs and links the call directly to the target advice method inside the isolated agent. This is a highly sophisticated, modern technique that elegantly solves the class loader visibility problem with minimal overhead and without polluting the bootstrap class loader with agent classes.

Navigating Secured Environments: The Java Security Manager

In many enterprise or security-conscious environments, Java applications are run with a **Java Security Manager** enabled (-Djava.security.manager). The Security Manager enforces a strict security policy, restricting actions like file access, network connections, and reflection. An agent, being powerful, will be subject to this policy and will fail to operate unless it is explicitly granted the necessary permissions.⁴³

An agent typically requires a broad set of permissions to function correctly. Common permissions include:

- java.lang.RuntimePermission "getClassLoader": To interact with class loaders.
- java.lang.RuntimePermission "createClassLoader": To create its isolated class loaders.
- java.io.FilePermission "/path/to/agent.jar", "read": To read its own JAR file.
- java.lang.reflect.ReflectPermission "suppressAccessChecks": To perform

- reflection on application classes.
- java.security.AllPermission: For simplicity, and because agents are highly trusted components, they are often granted AllPermission. While less secure in principle, it is a common practice for APM and profiling tools.⁴³

These permissions are configured in a java.policy file, which is specified to the JVM at startup. A typical grant block for an agent would look like this:

```
Code snippet

grant codeBase "file:/opt/appd-agent/java-agent.jar" {
   permission java.security.AllPermission;
};
```

This configuration grants all permissions to the code originating from the specified agent JAR file.⁴⁵ Properly configuring these security policies is a critical step for deploying any agent in a locked-down production environment.

Capstone Project: Building a Simple Sampling Profiler

To synthesize all the concepts covered—the agent lifecycle, JVM interaction, and multithreading—this section provides a walkthrough for building a simple but functional CPU profiler as a Java Agent. This project will demonstrate that an agent's power is not limited to bytecode transformation; it can also be used to inject background monitoring logic into an application.

Design and Architecture

This profiler will not use a ClassFileTransformer. Instead, it will leverage the agent lifecycle to run a background thread that periodically samples the application's state.

• Loading: The profiler will be a static agent, loaded at startup using the -javaagent

flag.

- Agent Entry Point: The premain method will be the entry point. Its primary responsibility will be to parse any agent arguments (like the sampling interval) and start a new background Thread. 47 This thread will be marked as a daemon thread so that it does not prevent the JVM from shutting down when the main application finishes.
- Sampling Logic: The background thread will run a simple, infinite loop:
 - 1. It will call Thread.getAllStackTraces() to get an instantaneous snapshot of the call stack of every live thread in the JVM.
 - 2. It will process these stack traces, aggregating the results in a data structure. A simple approach is a Map<String, Integer> where the key is a method signature (e.g., com.example.MyClass.myMethod) and the value is a counter for how many times that method appeared in a sample.
 - 3. It will then sleep for a configurable interval, such as 10 milliseconds.
- Reporting: A JVM shutdown hook, registered via Runtime.getRuntime().addShutdownHook(), will be used to trigger the final report generation. When the application is shutting down, the hook will execute, process the aggregated sample data, and print a summary to the console.⁴⁷

This design demonstrates a different paradigm for agents. Rather than modifying code, this agent uses its privileged position within the JVM to run a concurrent monitoring task, showcasing the versatility of the agent model.

Step-by-Step Implementation

The implementation can be broken down into a few key classes.

1. ProfilerAgent.java (The Agent Entry Point):

import java.lang.instrument.Instrumentation;

```
public class ProfilerAgent {
   public static void premain(String agentArgs, Instrumentation inst) {
     // In a real implementation, parse agentArgs for configuration
     System.out.println("[ProfilerAgent] Starting sampling profiler...");
     Profiler profiler = new Profiler();
     Thread profilerThread = new Thread(profiler);
```

```
profilerThread.setDaemon(true); // Don't prevent JVM shutdown
        profilerThread.setName("Simple-Profiler-Thread");
        profilerThread.start();
   }
   }
2. Profiler.java (The Sampler Runnable):
   Java
   import java.util.Map;
   public class Profiler implements Runnable {
     private final TraceStore store = new TraceStore();
     private static final int SAMPLING_INTERVAL_MS = 10;
     public Profiler() {
        // Register a shutdown hook to print results
        Runtime.getRuntime().addShutdownHook(new Thread(this::printResults));
    }
     @Override
     public void run() {
        while (true) {
          Map<Thread, StackTraceElement> allStackTraces =
   Thread.getAllStackTraces();
          store.addSample(allStackTraces);
          try {
            Thread.sleep(SAMPLING INTERVAL MS);
          } catch (InterruptedException e) {
            Thread.currentThread().interrupt();
            break;
      }
       }
     private void printResults() {
        System.out.println("\n--- Profiler Results ---");
        store.getAggregatedTraces().entrySet().stream()
         .sorted(Map.Entry.<String, Integer>comparingByValue().reversed())
         .limit(20) // Print top 20 hottest methods
```

```
.forEach(entry ->
             System.out.printf("Count: %-5d | Method: %s%n", entry.getValue(),
   entry.getKey())
          );
        System.out.println("--- End Profiler Results ---");
   }
   }
3. TraceStore.java (The Data Aggregator):
   import java.util.Map;
   import java.util.concurrent.ConcurrentHashMap;
   public class TraceStore {
     private final Map<String, Integer> traceCounts = new ConcurrentHashMap<>();
     public void addSample(Map<Thread, StackTraceElement> allTraces) {
        for (Map.Entry<Thread, StackTraceElement> entry: allTraces.entrySet()) {
          // We only profile running threads, not our own profiler thread
          if (entry.getKey().getState() == Thread.State.RUNNABLE &&
           !entry.getKey().getName().equals("Simple-Profiler-Thread")) {
            for (StackTraceElement element : entry.getValue()) {
               String methodName = element.getClassName() + "." +
   element.getMethodName();
               traceCounts.merge(methodName, 1, Integer::sum);
            }
     }
      }
     public Map<String, Integer> getAggregatedTraces() {
        return traceCounts;
     }
```

Data Analysis and The Safepoint Bias Problem

When this agent is attached to a running application, the shutdown hook will print a simple report listing the methods that were most frequently observed across all stack trace samples. This provides a basic "hotspot" analysis, indicating where the application is spending most of its CPU time. In a more advanced tool, this raw data could be used to generate a **flame graph**, a powerful visualization for profiling data where the width of each block represents the frequency a method appeared in the stack.⁴⁷

However, it is crucial for a senior developer to understand a significant, hidden flaw in this simple profiler design: **safepoint bias**. The Thread.getAllStackTraces() method does not capture thread states at truly random moments. It can only do so when a thread is at a **"safepoint"**—a well-defined point in its execution where the JVM has enough information to safely inspect its state. These safepoints are not distributed uniformly. They typically occur on method entries and exits, and on the back-edge of loops, but not necessarily within long-running computations inside a single loop iteration.⁴⁷

This means our profiler is biased; it will disproportionately sample code located at or near safepoints and may completely miss CPU-intensive work happening in tight loops that do not contain safepoints. While this simple profiler is still useful for identifying major performance issues at a high level, a truly accurate profiler would require more advanced techniques, such as using the JVM Tool Interface (JVMTI) to build a native agent that is not subject to safepoint bias. Acknowledging this limitation is a hallmark of a deeper understanding of the JVM's operational mechanics.

Conclusion

This journey has taken us from the very foundations of the JVM's execution to the practical construction of powerful instrumentation tools. We began by demystifying the startup process, tracing the path from a native command-line invocation through the intricate dance of class loading, linking, and initialization. This foundational knowledge revealed why the class loader delegation model is not merely an

implementation detail, but the very architectural pillar upon which Java Agents stand.

We formally defined agents as a sanctioned mechanism for extending the JVM, a controlled escape from its normal safety guarantees, provided for the essential tasks of monitoring, profiling, and debugging. Through hands-on examples, we explored the two primary modes of agent loading: static loading with premain for instrumentation at startup, and dynamic loading with agentmain and the Attach API for on-demand diagnostics of live systems.

We delved into the agent's toolkit, examining the Instrumentation API and the critical role of the ClassFileTransformer. We saw how bytecode manipulation libraries like ASM, Javassist, and especially the modern, type-safe Byte Buddy are indispensable for translating high-level intent into low-level bytecode modifications. Finally, we confronted the production realities of agent development—the necessity of dependency shading to avoid "JAR Hell," the complexities of class loader isolation, and the requirements of secure environments.

The capstone project, a simple sampling profiler, synthesized these concepts and broadened the perspective on what an agent can be: not just a code transformer, but a vehicle for injecting any concurrent logic into a target application. By understanding its capabilities and its limitations, such as safepoint bias, one can appreciate the depth of the field.

You now possess the foundational knowledge to move beyond being just a user of the JVM and become a shaper of its runtime behavior. You can build powerful tools, better diagnose production issues, and see the Java Virtual Machine not as an immutable black box, but as a malleable, observable, and deeply powerful platform. The next step in this journey is to explore the real world: the source code of open-source agents from projects like OpenTelemetry or Byte Buddy provides an invaluable look into how these principles are applied to build robust, production-grade instrumentation.

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