

Unit-1

## Database Management Systems

A database is a collection of related data.

Data → that have some implicit meaning.

So, a database is a logically coherent collection of data with some inherent meaning.

A random assortment of data cannot correctly be referred as a database.

A database management system (DBMS) is a computerized system that enables users to create & maintain a database.

Defining a database involves specifying the data types, structures and constraints of the data to be stored in the database.

The database definition or descriptive information is also stored by the DBMS called as Meta-data.

How to store Data in a table?

We must specify a data type for each data element within a record.

A data record is a collection of related data items or a row in a table.

A data element specifies the type of data a column contains.

Collection of record is a file.

Ex. Let say a file name is Cricket

Cricket

	Player's Name	Type	Team	Avg.
Record →	M.S. DHONI	Batsmen	India	38.09
	A.B.D.	Batsmen	S.A.	53.81

↓ data element

In that file we have data element like name of the player (Player's Name), Type of player either Batsmen, Bowler or All rounder (Type), Team in which he/she plays (Team), Batting / Bowling Average (Avg.)

### Characteristics of Data Base

Older approach is File System (still used in some places)

In this approach, data is stored in files, to access that data we have to write customize programs

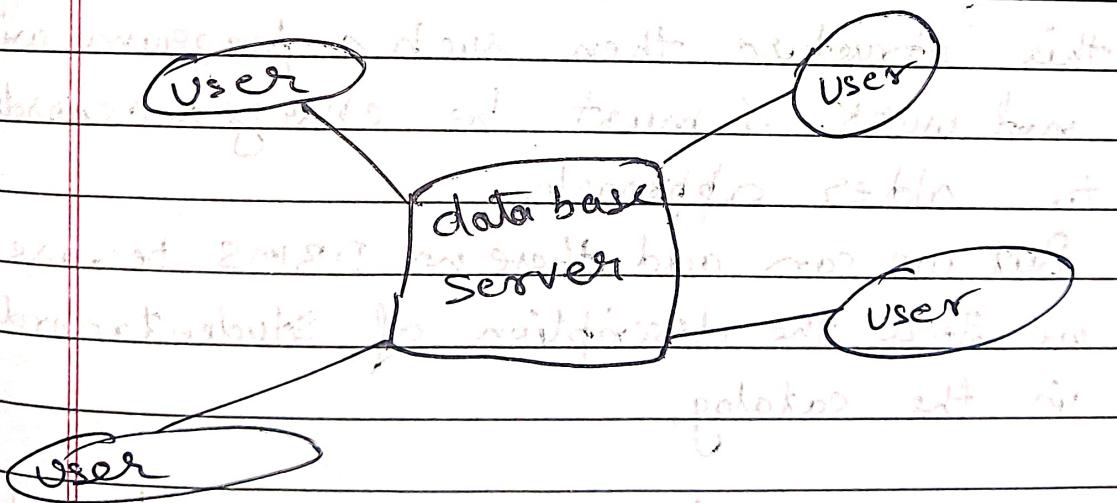
for ex → Let say, we have SRF storing data of your marks (i.e. students & marks) of the financial

dept. is storing the data of students and their fees and their payments.

Although both are storing the data about students, each maintains separate files.

This redundancy in defining and storing data results in wasted storage & more efforts to maintain common up-to-date data.

In database approach, a single repository maintains data that is defined once & then accessed by various users repeatedly through queries, transactions and application programs.



### Characteristics

- ① → Self-Describing Nature of a Database System
  - ↳ contains data, metadata, structure of database

like	Column Name	Data Type	Belongs to relation
	Name	character(50)	Student

(2) Insulation between programs and data and data abstraction.

In traditional approach, any change to the structure of a file may require changing all the programs that access that file.

But this is not the case in most of the cases in DBMS.

Let say there is a table STUDENT

Name	ID	Specilization

If we want to add Date-Of-Birth in this structure then such a program will not work & must be changed according to older approach.

But we can add these in DBMS because we store the description of student records in the catalog.

Data Item Name	Starting Position in Record	Length of Character
Name	1	30
Id	31	4
Specilization	36	30

Just add a new record here of Date-of-Birth no need to change the programs.

# The structure of data files is stored in the DBMS catalog separately from the access programs. This property is called as program-data independence.

Other property is program-operation independence → In this property, the invoking of operation (create, delete, update), their name & arguments, regardless of how the operations are implemented is operated by the user application programs.

The characteristic that allows both these properties is called data abstraction.

meaning → A DBMS provides user with a conceptual representation of data that does not include many of the details of how the data is stored or how the operations are implemented.

A Data model is a type of data abstraction that is used to provide this conceptual repres.

3

### Support of Multiple Views of the Data

A database has many users, each may require a special perspective or view of the database.

A View could be a subset of database or it may contain virtual data from the database but not stored anywhere.

→ A multiUser DBMS must have multiple views

ex

A user may be interested in printing the transcript of a student.

Other user may be interested in accessing the course.

4

### Sharing of Data & MultiUser Transaction

→ A DBMS must allow multiple users to access the database at the same time.

So, DBMS must include/maintain Concurrency to ensure that several users trying to update the same data, the results of the updates is correct.

A fundamental role of multiuser DBMS software is to ensure that concurrent transactions operate correctly & efficiently.

The DBMS must enforce several transaction properties

ACID

Atomicity

Consistency

Isolation

Durability

## Users of Database

(1) Database Administrators

(2) Database Designers

(3) End Users

↳ Casual end users

↳ Native or parametric end users

↳ Sophisticated end users

↳ Standalone users

(4) Systems Analysts & App<sup>n</sup> programmers

## Advantages of Using DBMS Approach

(1) Controlling Redundancy

(2) Restricting Unauthorized Access

(3) Providing Persistent Storage for Program Objects

(4) Providing Storage Structures & Search Techniques for efficient Query Processing

(5) Backup & Recovery

(6) Multiple User Interfaces

(7) Representing Complex Relationships among Data

(8) Enforcing Integrity Constraints

A DBMS should provide capabilities for defining & enforcing these constraints

Some constraints are:

(1) Specifying a datatype for each data item.

(2) Specifies uniqueness on data item value  
ex every course record must have a unique value for Course-number

(3) Referential integrity constraint

when a record in one file is related to another file there are some constraints that are needed to be followed

## Database Systems

What is database? A collection of data model (as a collection of concepts) that can be used to describe the structure of a database = provides the necessary means to achieve data abstraction.

Structure means → data types, relationships &

constraints that apply to the data and similar to validation conditions

### Types of Data Models

Conceptual, Representational & Physical  
Data Model

Conceptual Data Model → It describes the database at a very high level & is useful to understand the needs or requirements of the database.

It provides concepts that are close to the way many users perceive data

Physical Data Model → provide concepts that describe the details of how data is stored on the computer storage media.

Between these two model there is a class of representation data models which provides concepts that may be easily understood by end users, but that are not too far removed from the way data is organized in a computer storage.

Conceptual Data models use concepts like entities, attributes & relationships

An entity represents a real-world object or concept, such as an employee or a project

An attribute represents some property of interest that further describes an entity.  
ex employee's name, salary.

A relationship among two or more entities represents an association among the entities  
ex employee works on a project

a works on relationship b/w an employee and a project.

What is the difference between conceptual and logical?

## Schema

The description of a database is called the data base schema.

Student

Name	Id	Class	Major
------	----	-------	-------

## Instances

The data in the database at a particular moment in time is called a database state or database snapshot.

## Three-Schema Architecture.

## Integrity Constraints

These are rules defined in a database to maintain data accuracy, consistency & reliability.

### Integrity Constraints

Domain Constraints	Entity Integrity Constraints	Referential IC	key constraint
<u>Attribute</u> like Age can't be negative, mobile no must be of 10 digits We also define the type of data $\text{Check}(\text{Age} \geq 0)$ $\text{Check}(\text{length}(\text{Mobile No}) = 10)$	<u>Table have one primary key which is NOT NULL</u>	<u>Foreign key</u>	<u>1 Table should have a unique attribute (Candidate key)</u>

Candidate Key (Key is an attribute which uniquely identifies the table)

Date \_\_\_\_\_  
Page \_\_\_\_\_

The set of all the keys in a table which can uniquely identify the table is called candidate key set.

ex - For a student key can be:

- ① Registration Number
- ② Aadhar Card
- ③ Voter ID
- ④ Phone No.

We can pick any appropriate key from candidate key set and make it a Primary Key. If all other are called as alternative key

Primary key

A key which uniquely identifies if have no null value.

Unique

(Phone No, Aadhar Card, PAN, Reg. No.)  $\rightarrow$  Candidate Keys

PK (Unique, Not Null)

So here PK can be (Reg. No) A database have only

1 pk.

Foreign key  $\rightarrow$  It is an attribute or set of attributes that references to primary key of same table or another table.

$\rightarrow$  Maintains Referential Integrity

Student

PK referenced table	Rollno.	Name	Address
	1	A	Delhi
	2	B	Mysore
	3	A	Mum.

Course

PK	Course-id	Course-name	Roll-no	FK Referencing table
	C <sub>1</sub>	DBMS	1	
	C <sub>2</sub>	CN	2	

## Unit 2

# Entity Relationship Diagram

ER diagram can express the overall logical structure of a database graphically

## It's major components

- \* Rectangles → Entity
  - \* Ellipse → Attributes
  - \* Diamonds → Relationship
  - \* Lines → links b/w att & Entity
  - \* Double Ellipse → Multivalued attributes
  - \* Dashed Ellipse → Derived attributes
  - \* Double Lines → Total participation
  - \* Double Rectangles → Weak Entity set.

## Types of Attributes

(1)

have only one value

Single v/s Multivalued (more than one values)

Registration No,  
Age, Salary

Phone No

for student, teacher

Account,iffin etc.

single valued

Multi valued

(2)

Simple v/s Composite Attribute → can be divided  
(made up of more than one value)Can't be broken further  
like age,Name → first name  
middle name  
last name

Address

Student

Student Name

Last Name

First Name

Middle Name

(3)

Stored v/s Derived Attr → can be derived from other attribute

DOB

Age (derived from dob)

dotted dashed  
ellipse

# If a class has some attributes these are called descriptive attributes. classmate



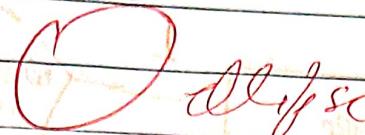
## ④ Key vs Non-key Attr.

Unique Value

not necessary unique value



undetermined  
ellipse



elliptic

## ⑤ Complex Attribute (composite + Multivalued)

ex → (If someone has two residential addresses)

## Degree of Relationships (Cardinality)

① One - One Relationships (1-1)

② One - Many "

(1-M)

③ Many - one "

(M-1)

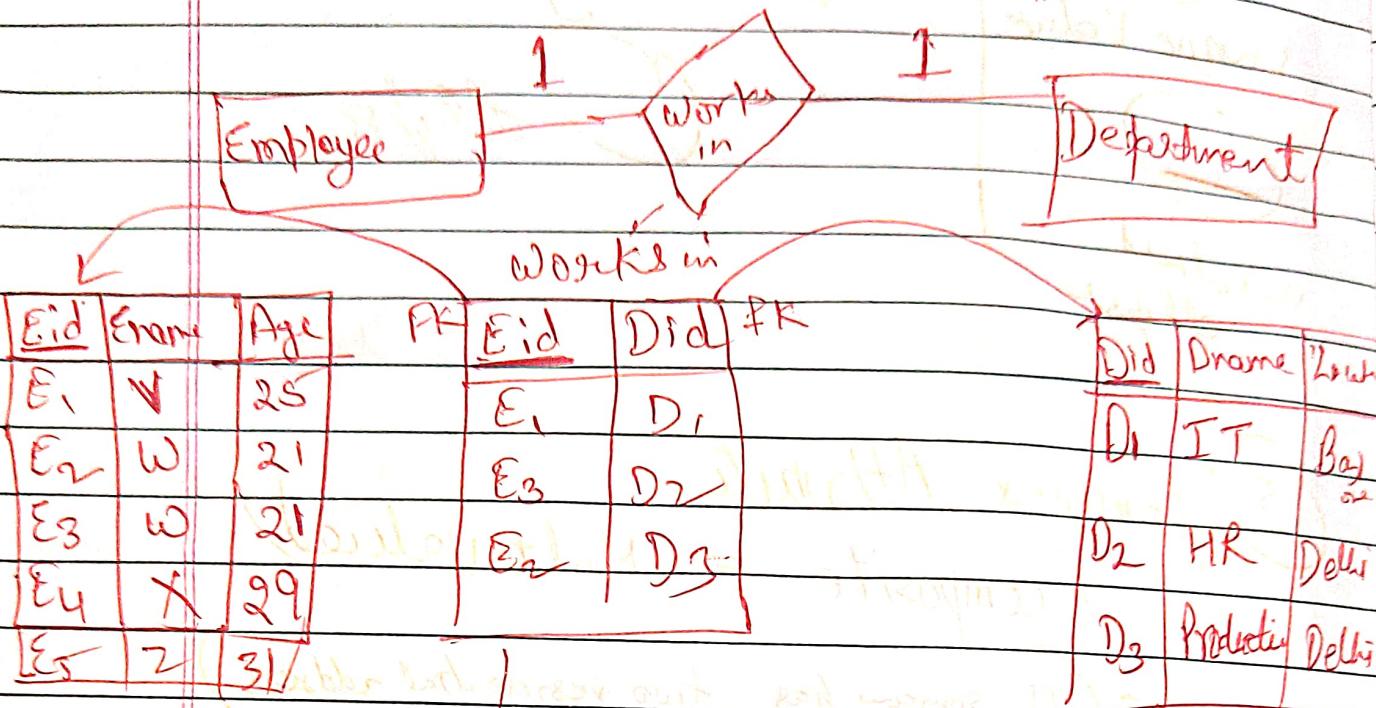
④ Many - Many "

(M-N)

(1)

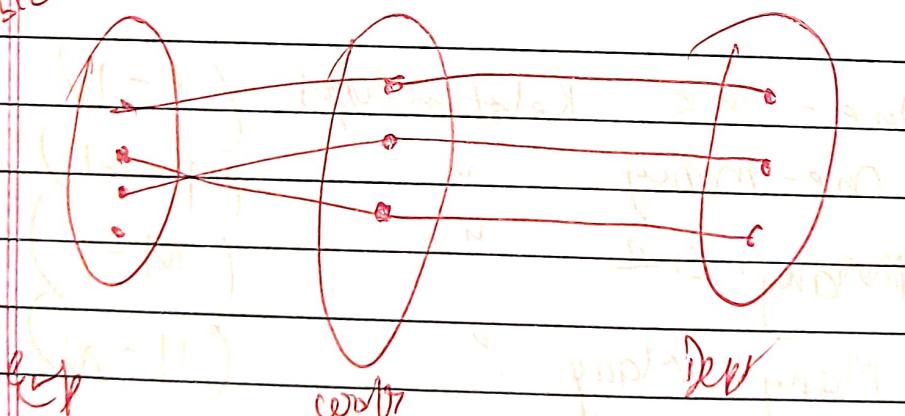
One-One

relationship  
also have  
a table in which both  
keys of both entities  
is necessary



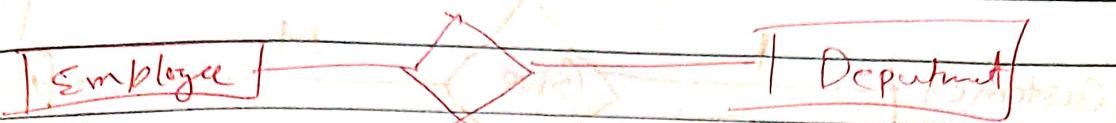
Let say key  
Eid is primary  
key  
of works  
in table

Primary Key in this  
table can be either Eid or Did.



We can merge the relation and entity table because to save space. We can merge it with any of entity (let say here Eid or Did both) with key in relation table can become key let us say Eid is the key in works in Table

So the works in table will merge with employee table



Eid	Ename	Age	Did
E1	V	25	D1
E2	W	21	D3
E3	W	21	D2
E4	X	29	Null
E5	Z	31	Null

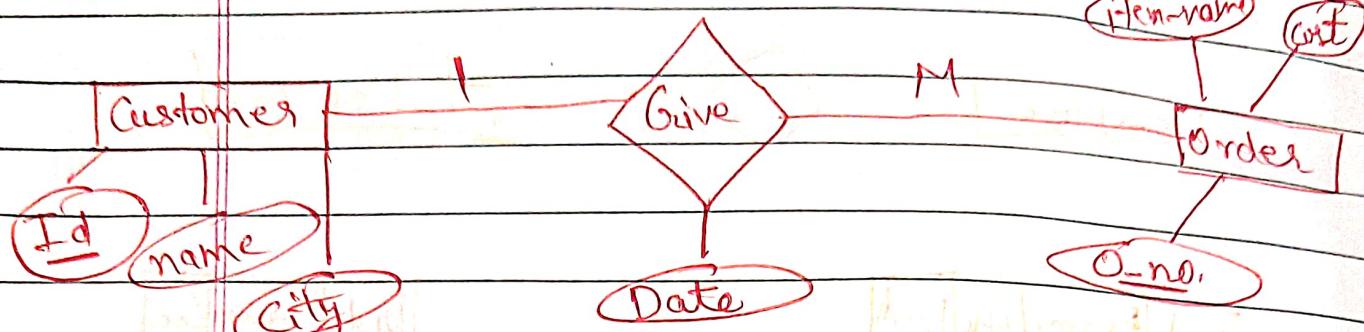
Did	Dname	Loc
D1	IT	Banglore
D2	HR	Delhi
D3	Prod	Delhi

Total Number of tables  $\rightarrow$  2

(2)

One - Many Relationship (1-M)

A customer Give many Order.



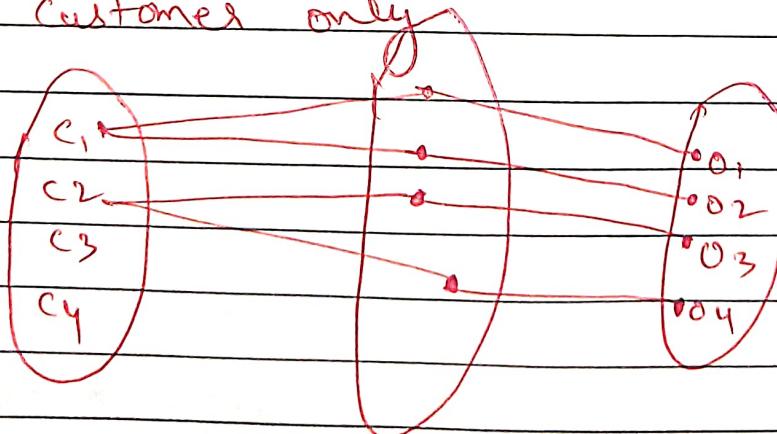
<u>Id</u>	Name	City
C <sub>1</sub>	A	Jal
C <sub>2</sub>	B	Delli
C <sub>3</sub>	C	Mum
C <sub>4</sub>	A	Mum

<u>Id</u>	<u>O-no</u>	Date
C <sub>1</sub>	O <sub>1</sub>	5 Aug
C <sub>1</sub>	O <sub>2</sub>	5 Aug
C <sub>2</sub>	O <sub>3</sub>	6 Aug
C <sub>2</sub>	O <sub>4</sub>	7 Aug

<u>O-no</u>	cost	Item Name
O <sub>1</sub>	100	Bucket
O <sub>2</sub>	2K	Shoes
O <sub>3</sub>	1.5K	Shirt
O <sub>4</sub>	2K	Jeans

↑ Data repeat      ↓ No repeat      So primary key (O-No)

A customer can give many order  
but an order will be mapped with one  
customer only



o-no is the PK so we can merge Give table with Order table (bcz PK is in order table)

ID	Name	City
1	A	B
2	C	D
3	E	F
4	G	H

ID	O-no	item-name	cost	Date
C1	O1	Apple	10	2023-01-01
C1	O2	Orange	15	2023-01-02
C2	O3	Mango	20	2023-01-03
C2	O4	Pineapple	25	2023-01-04

# The table will be merged on Many side

Total table  $\rightarrow$  2

(3)

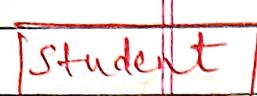
Many-Many (M-N)

A student can study Multiple course

A course can be

studied by

multiple user



M

N

Study

Course

	Roll No.	Name	Age
1	A	26	
2	B	17	
3	C	18	
4	D	19	
5	E	20	

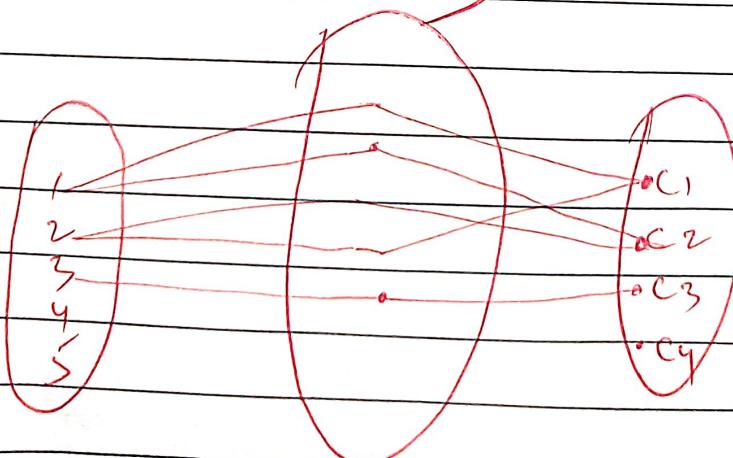
	Roll-No	Cid
1	c1	
2	c2	
1	c2	
2	c1	
3	c3	

Cid	Name	Credit
c1	Matty	4
c2	Phy	4
c3	Chem.	6
c4	Maths	4

Primary key in Relationship table is the combined of both keys

Can't Reduce tables here

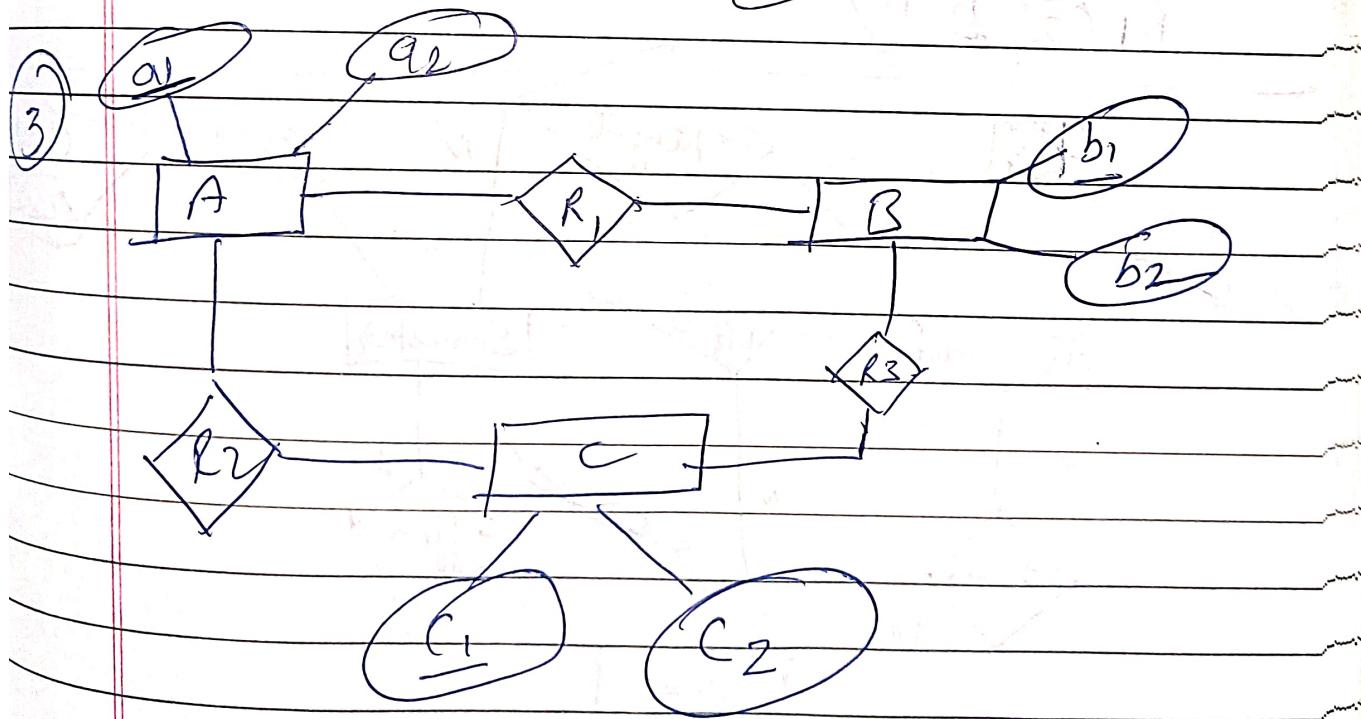
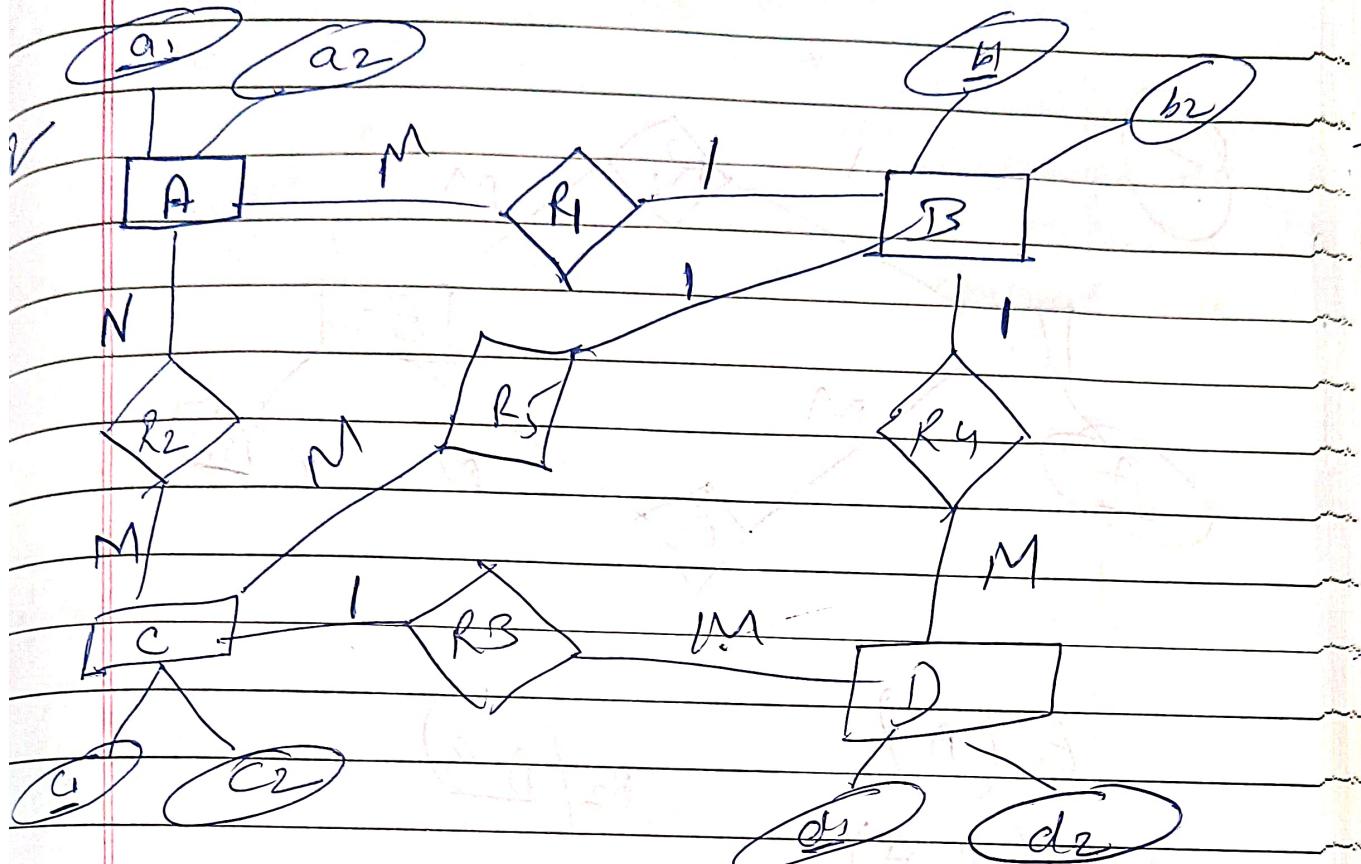
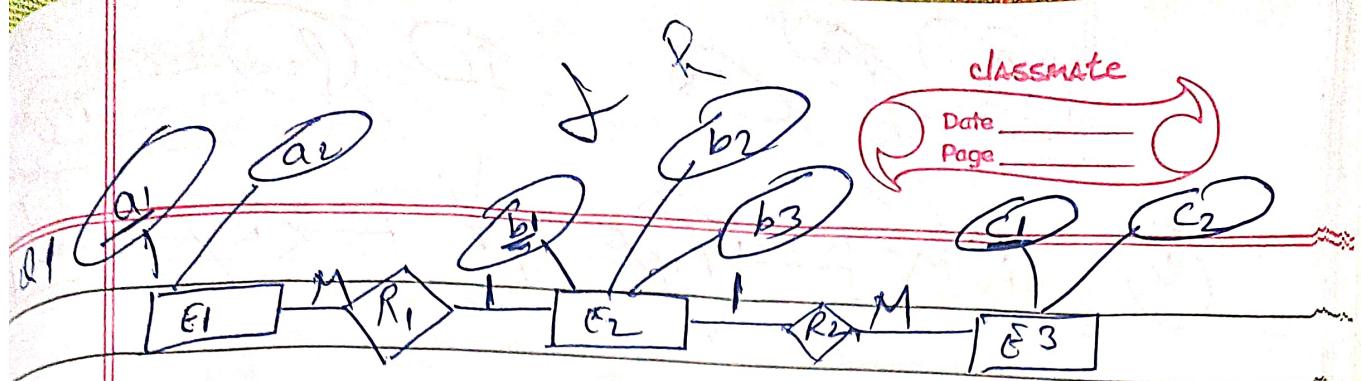
Total table - 3

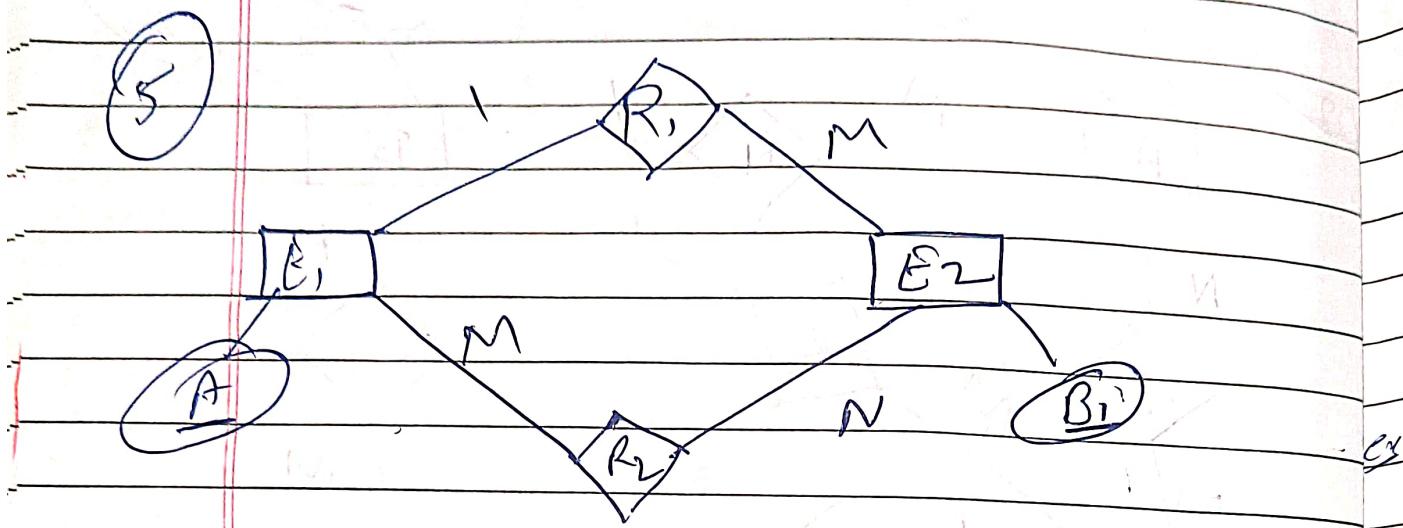
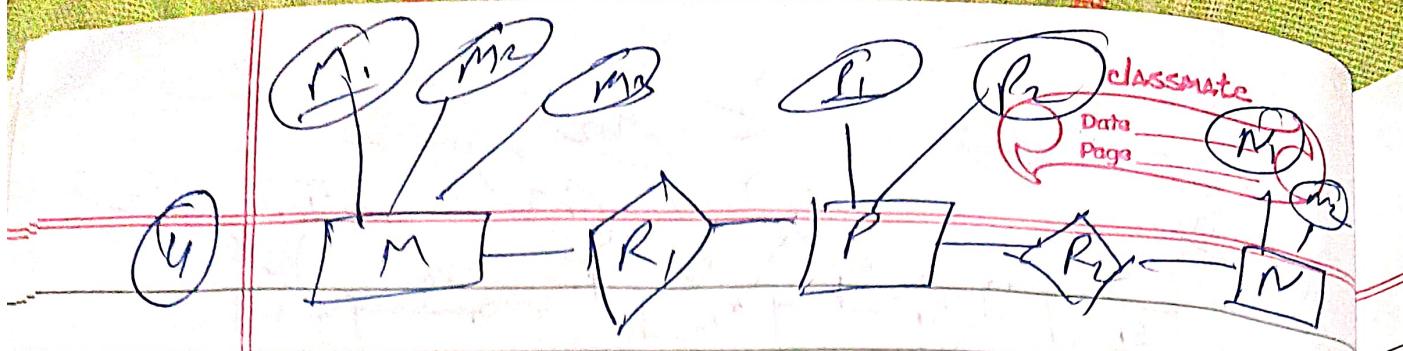


classmate

Date \_\_\_\_\_

Page \_\_\_\_\_

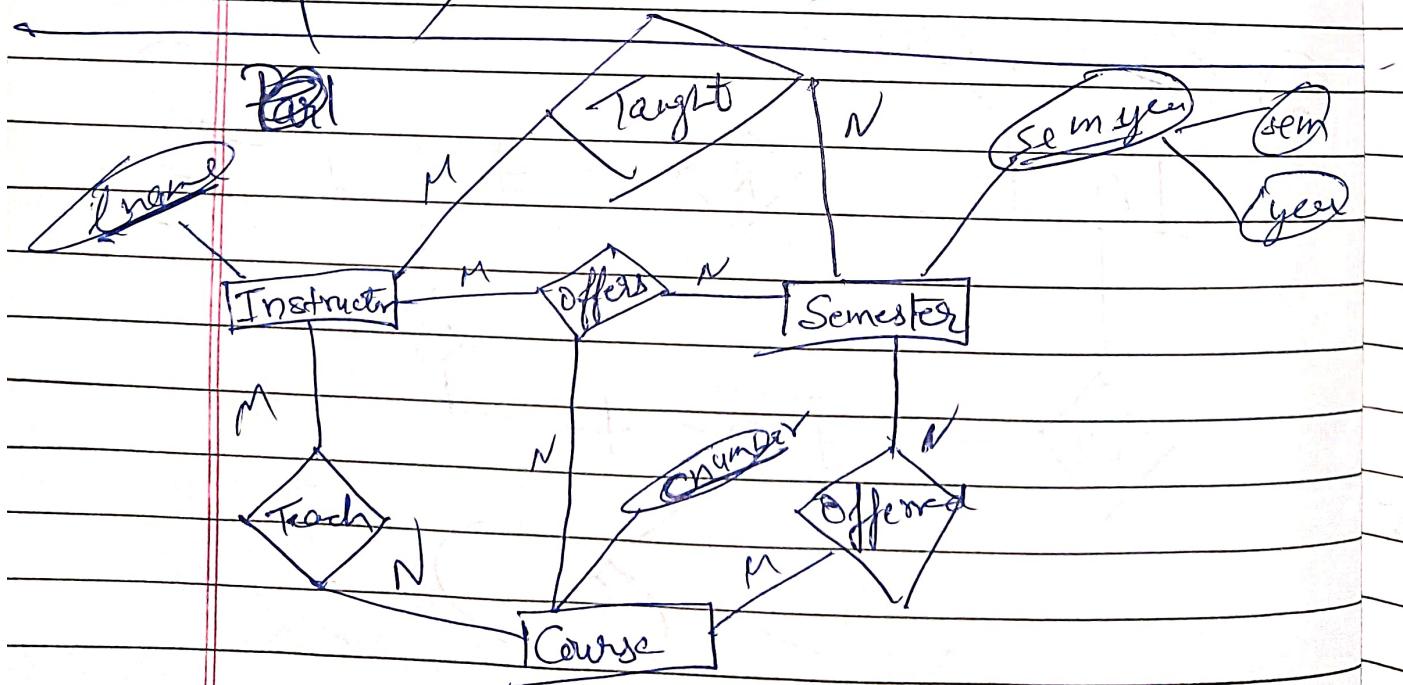




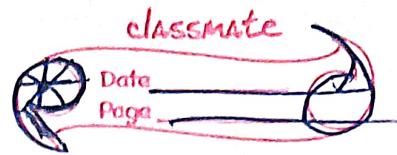
$E_1(A)$

$$R_2(\underline{A} \underline{B})$$

$$R_1 E_2 (\underline{B} A)$$



Cardinality ratio → max. no. of relationship  
an entity can participate



## Participation Constraints (min relationship an entity can participate)

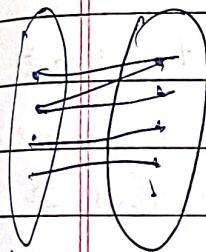
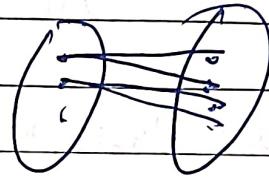
Total participation

Partial participation

↳ Requires every entity in one set to take part in a relationship in another set.

↳ Not necessarily every entity in one set to take part in relationship with another set.

↳ each student is required to be registered in a minimum of one course.



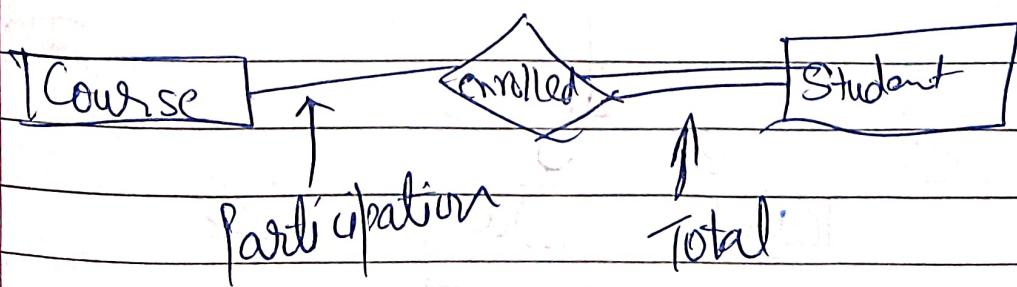
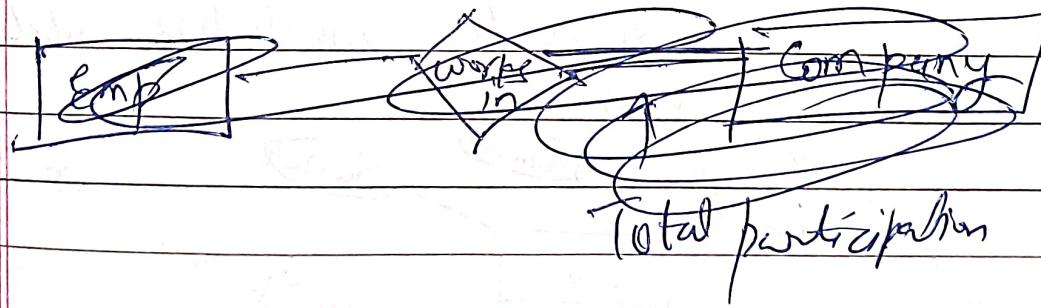
A to B

Total

participation

Partial

# participation of weak entity in an identifying relationship is always total



Participation

## Enhanced-ER Diagram

EER model includes all modeling concepts of the ER model in addition it has foll. concepts.

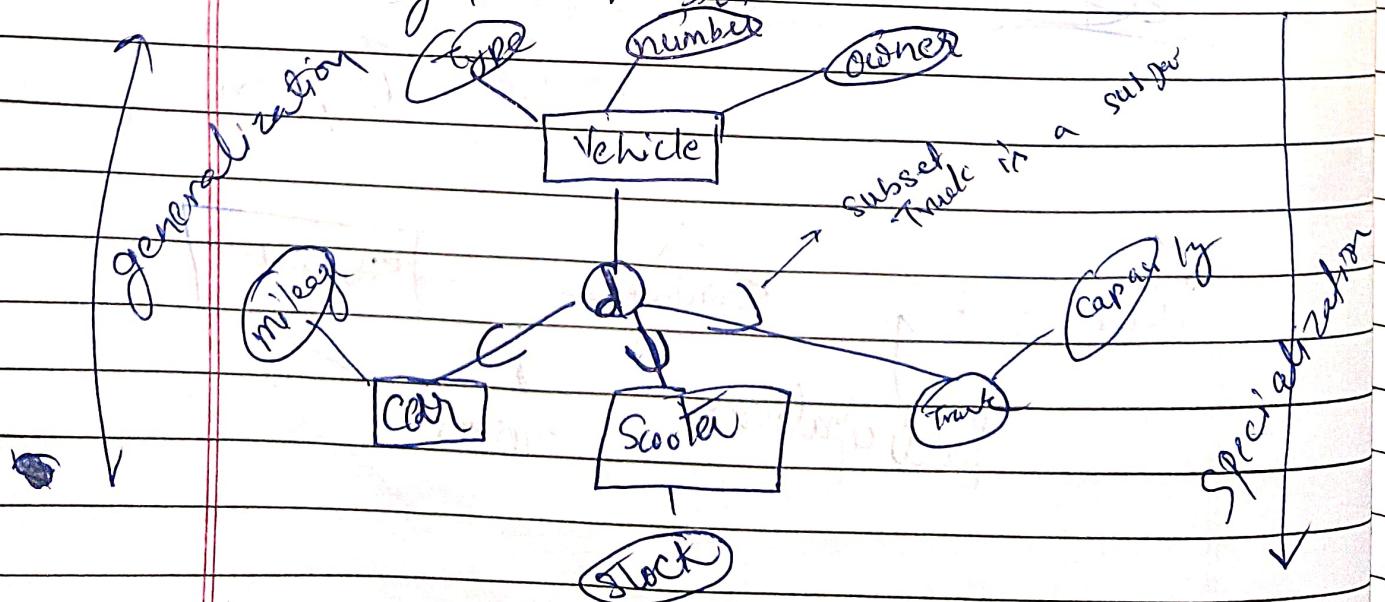
- ① Subclasses & Superclass
- ② Generalization & Specialization
- ③ Category or Union type
- ④ Attribute & Relationship Inheritance

① Superclass is a higher-level entity set that has common attributes.

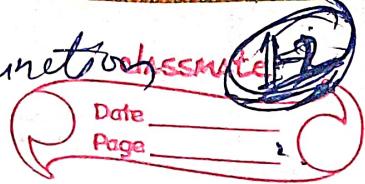
Subclasses is a lower-level entity set that inherits attributes & relationships from its superclasses but also has its own specific attributes or relationships.

ex

Science is a superclass which has subclasses like Phy, Chem, Bio.



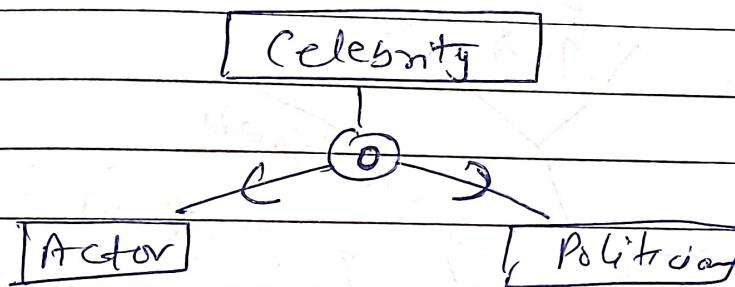
$d \rightarrow$  disjoint / disjunction



If we are designing the ER diagram using Top-down approach meaning firstly we make the superclass entity and then make the ~~super~~ subclasses is called specialization & vice-versa is called generalization (Bottom to top).

#  $d \rightarrow$  disjoint

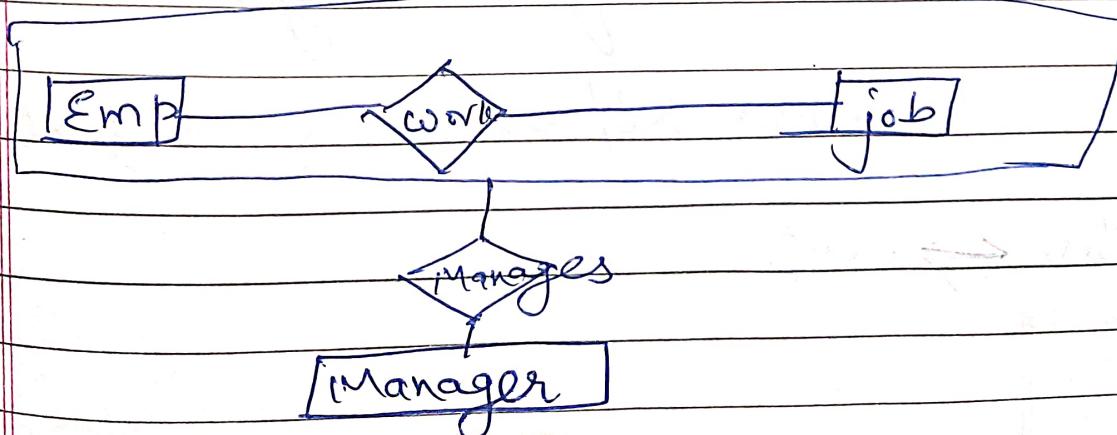
#  $O \rightarrow$  whole



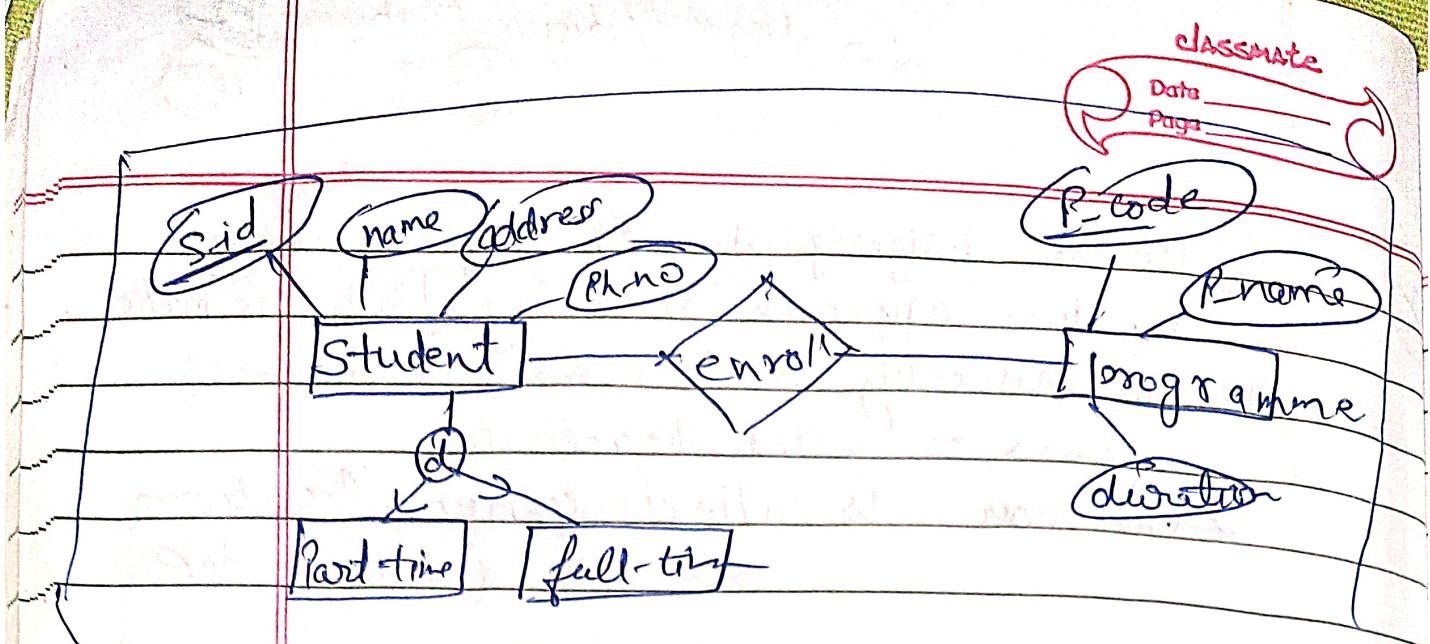
an actor can be  
a politician

a politician  
can be an actor

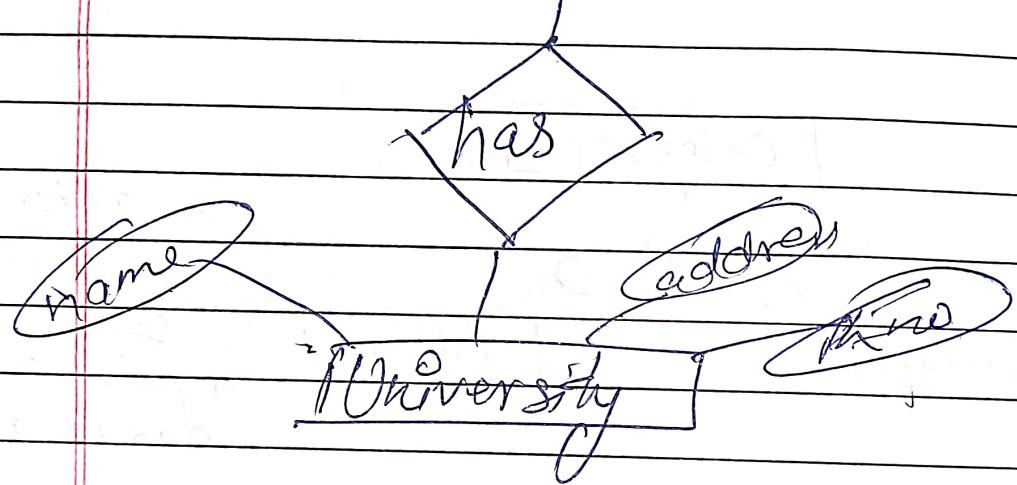
## Aggregation



# Manager will manage emp & also job so we aggregate both



Part-time & full-time entries have all the attributes of student & can have their own attributes also



## UML Class Diagram

Unified Modeling Language

Class → Blueprint / template of an object.

Class  $\leftrightarrow$  entity

Class Name

Attributes

Operations/  
functions

public accessifier

+ → public → other classes  
can also access

- → private → other classes

# → protected → can't access

can only be  
accessed within the  
same class or the  
classes which are  
inherited by this  
class.

student

- name : string
- address : string
- DOB : string
- Phno : number

+ Register() : void	return type
+ Login () : void	
+ fillDetails () : void	

Generally attributes

are private

functions/methods

are public

Can also add parameters

ex + fillDetails(in ~~out~~  
name : string)

parameter can be → { input (In) : void  
output (Out)  
or both (InOut) }

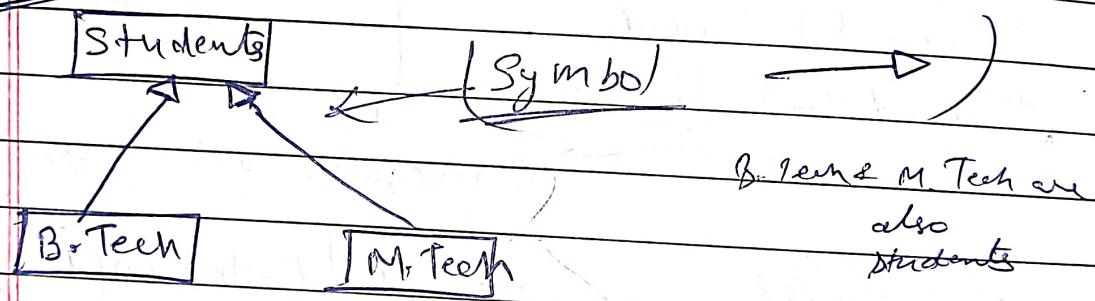
Input → An input parameter is a parameter passed from calling object to the called object during a method invocation

## Relationships b/w classes -

It means how classes are connected

- ① Composition
- ② Association
- ③ Directed Association ( $\rightarrow$ )
- ④ Aggregation
- ⑤ Generalization (Inheritance)
- ⑥ Realization (Interface Implementation)
- ⑦ Dependency Relationship ( $\dashrightarrow$ )
- ⑧ Usage Relationship (- use ->)

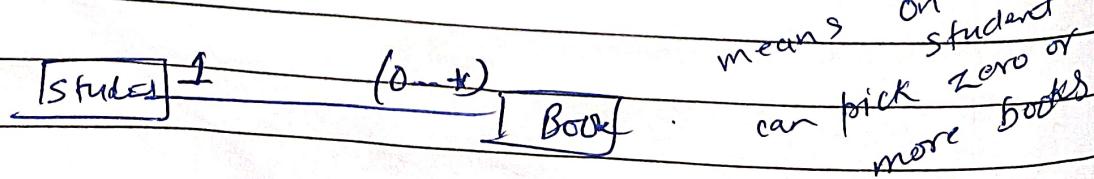
### Generalization



### Association

(—) Symbol

- |         |              |
|---------|--------------|
| (0..*)  | exactly one  |
| (0..*)  | zero or more |
| (1...*) | one or more  |
| (0..1)  | zero or one  |
| (5..7)  | 5 to 7       |
| (4,6)   | 4 or 6       |



(4,6)

1 Stu.

Book

a student can pick 4 or 6 book

2 Stu. (5,6,7) Book

a student can pick 5,6,7 books

Association

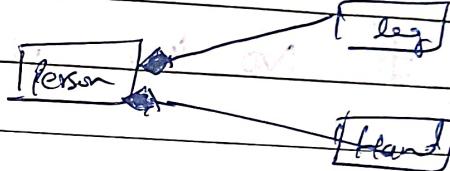
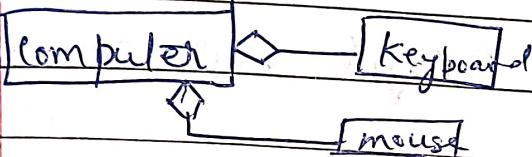
Aggregation

(part of)

Symbol

Composition

symbol



Keyboard &amp; mouse are part of computer.

without person leg &amp; hand can't hold

Here keyboard & mouse ~~can~~ have

individual identity of their own

without computer, keyboard &amp;

mouse can exist

## Advantages of UML class diagrams

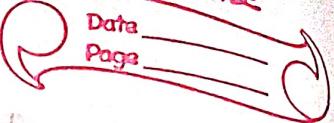
① Class diagrams represent the system's classes, attributes, methods & relationships, providing a clear view of its architecture.

② Shows various relationships (association, generalization)

③ It serves as a visual representation tool for communication among team members (stakeholders).

Among team members (stakeholders),

# Unit 3



## Relational Models

Tabular format of storing database

RDBMS (Relational DBMS) → proposed by "Codd"

### Codd Rule

# Data in DB file must be in tabular format

# No two rows of Datafile must be same.

Stud

Relational	Sid	Sname	DOB
Instance	S <sub>1</sub>	A	1992
(Snapshot)	S <sub>2</sub>	B	1999
record set	S <sub>3</sub>	B	1997

Relational Schema:

Definition/structure  
of the DB table

Arity: → no. of fields/attributes  
of the DB table.

Cardinality: → No. of records of DB table

Candidate key: Minimal set of attributes which can differentiate the records uniquely

Stud (Sid, Sname, DOB)

Candidate key: Sid

Sid Sname → also diff record uniquely but  
it is not minimal

Enroll (Sid, Cid, fee)

S<sub>1</sub> C<sub>1</sub> -

S<sub>1</sub> C<sub>2</sub> -

S<sub>2</sub> C<sub>2</sub> -

Candidate key  $\rightarrow$  (Sid, Cid)

ex	R	A	B	C
	5	4	8	
	5	4	9	
	5	6	8	
	5	6	9	
	6	4	8	

C.K.  $\rightarrow$  AX BX CX

ABX BCX ACX

ABC

ex: Emp(eid, ename, DOB, pass-no, acc-no, ifsc, panid)

primary key  $\rightarrow$  is one of candidate key whose attr/field value is not null.

column ex eid

Almost one PK allowed for any RDBMS table

(0 or 1)

Alternative key  $\rightarrow$  All the C.K of relational schema other than P.K.

A.K. fields <sup>don't</sup> allowed null values.

Simple Candidate key: Candidate key with only 1 attr.  
ex eid, panid etc

Compound C.K.  $\rightarrow$  C.K. with atleast 2 attributes

ex acc-no, IFSC

Prime Attribute  $\rightarrow$  Attribute which belongs to some C.R. Schema

Prime attr. set of emp { eid, pass.no, Acc.No, T.FSC, branch }

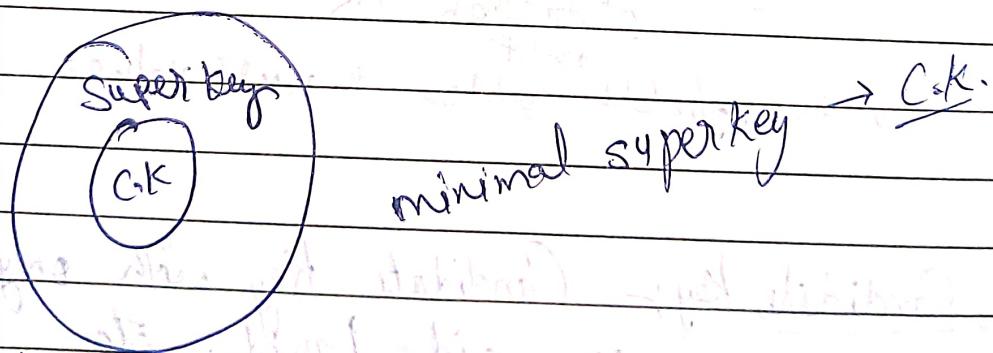
Non-Prime attr.  $\rightarrow$  Attrib. which does not belong to any C.R. of schema.

ex { ename, DOB }

ex R(A,B,C,D,E,F,G) (CD,DE)  
 C.R. are { A,B, CD, DE } overlapped C.R.  
 some common attr.

Super Key

Set of attrs. which can differentiate record uniquely  
 (may not minimal attr. set)



Q

How many Superkeys are possible if R(A,B,C,D)

SOL<sup>m</sup>

S.K = C.R. [ Any subset of other attr. (B,C,D) ]

= { A, AB, AC, AD } } 8  
 = { ABC, ACD, ABD, ABCD } } 8

No. of SKs in  $R(A_1, A_2, \dots, A_n)$  with  $Ck = \{A_1\}$

$$\text{Sum } [\text{No. of SK} = 2^{n-1}]$$

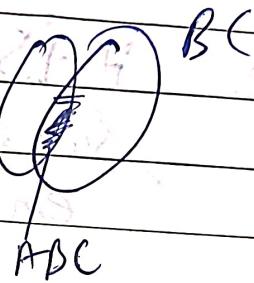
$R(A, B, C, D, E)$  &  $Ck \setminus \{A, BC\}$

$$2^{n-1} + 2^{n-2} - 2^{n-3}$$

$\begin{matrix} A \\ BC \end{matrix}$

$n=5$

$$2^4 + 2^3 - 2^2$$



$$2^{20}$$

$R(A_1, A_2, \dots, A_n)$

$Ck = \{A_1 A_2, A_2 A_3\}$

$$2^{n-2} + 2^{n-2} - 2^{n-3}$$

$\begin{matrix} A, A_2 \\ A_2, A_3 \end{matrix}$

$R(A, B, C, D, E)$  -  $Ck \setminus \{A, BC, CD\}$

$$\begin{aligned} n(X \cup Y \cup Z) &= n(X) + n(Y) + n(Z) \\ &\quad - n(X \cap Y) - n(X \cap Z) \\ &\quad - n(Y \cap Z) \\ &\quad + n(X \cap Y \cap Z) \end{aligned}$$

- sign (intersection of even sets)

+ sign (intersection of odd sets)

Q

Mark S.Ks if  $(A_1, A_2, \dots, A_n)$

if every Key is a Candidate key

Sol<sup>n</sup> $R(ABC)$ 

$A$	$A_1, AB, AC$	$\{$	$A, A_1, A_2, \dots, A_n$
$B$	$BC$	$\} f = 2 - 1$	
$C$	$ABC$	$-$	$-$

i.e.  $f$  is the ~~not~~ total no. of subsets in a set except the empty set

Referenced Integrity Constraints  
(foreign key)

Due to

(I) Referenced Relation

(A) Insertion:  $\rightarrow$  No violation(B) Deletion  $\rightarrow$  can cause violation(i) On Delete:  $\rightarrow$  No action  
deletion is restricted (Default)(ii) On Delete Cascade  $\rightarrow$   
on deletion of referenced relation record forced to delete related referency record

(II) Referencing Relation

(A) Insertion: May cause violation

(B) Deletion:  $\rightarrow$  No violation

a) (ii) On delete: Set Null

If FK allows to set Null then set null is related FK values.

b) Updation: May Cause violation

- (i) On update No action (default)
- (ii) On update Cascade
- (iii) On update Set Null

## Normalizations

Used to eliminate/reduce redundancy in DB tables.

(SId: Sname, DOB, Address, Cid, Course, Instr, fee)
S <sub>1</sub> A 1990 { C <sub>1</sub> DB X   400
S <sub>2</sub> A 1990 { C <sub>1</sub> DB X   400
{ S <sub>3</sub> B 1998 } { C <sub>1</sub> DB X   800
S <sub>3</sub> B 1998 { C <sub>2</sub> Algo Y   700
S <sub>3</sub> B 1998 { C <sub>3</sub> OS Y   800
{ f f f C <sub>4</sub> CO Z   40
Redundancy Redundancy,

Problems because of Redundancy

① Insertion Anomalies (DB anomalies)

② Deletion Anomaly

③ Updation Anomaly

Insertion Anomaly → In order to store some data we have to store data for all relation here for (C4) we have to add student info.

Deletion Anomaly → If we have to delete student info we are forced to delete its subject info.

Ex Delete stud info (S3)

with S3 → Info regarding course is also lost.

Update Anomaly →

In update with some redundant copies we have to update in all places.

0% redundancy in DB table  $\Leftrightarrow$  No DB anomalies

To reduce redundancy we decompose the table into sub relations → it is called Decomposition

R1	Sid	Sname	DOB	FK	FK	Cid	Cr	Fee	Eno	Course	Instr
S1				S1	C1					C1	
S2				S2	C1					C2	
S3				S3	C1					C3	

this is now a normalised DB design

No redundancy, No anomalies

## Functional Dependency (FD)

$X, Y$  some sets of attributes of relation  $R$   $t_1, t_2$   
any tuples of  $R$

$X \rightarrow Y$  FD implied relation  $R$

if  $t_1.X = t_2.X$  then  $t_1.Y = t_2.Y$

meaning if left hand value is same in 2 or more tuples then right hand value should be same.

R	X	Y
$t_1$	$x_1$	$y_5$
$t_2$	$x_1$	$y_5$
$x_2$	$y_2$	
<del><math>x_2</math></del>	.	
$x_3$	$y_2$	
$x_4$	$y_4$	
$x_2$	$y_2$	
$x_1$	$y_5$	

$X \rightarrow Y \checkmark$

# If left hand value  
is different then  
right hand value may  
or may not be same.

$\Rightarrow Y \rightarrow X \times$

Stud	Sid	Sname	Cid
	$S_1$	A	$C_1$
	$S_1$	A	$C_2$
	$S_1$	A	$C_3$
	$S_2$	B	$C_3$
	$S_2$	B	$C_4$
	$S_3$	C	$C_1$
	$S_4$	C	$C_2$
	$S_5$	D	$C_3$

$Sid \rightarrow Sname \checkmark$

$Sname \rightarrow Sid \times$

$Cid \rightarrow Sname \times$

$Sid \rightarrow Cid \times$

$Cid \rightarrow Sid \times$

# Types of FD's

Trivial FD  
(Self FD)

$Sid \rightarrow Sid$

$Sname \rightarrow Sname$

$Sid Sname \rightarrow Sname$

$Sid Sname \rightarrow Sid Sname$

$X \rightarrow Y$  is trivial

FD of R

if  $X \supseteq Y$

Non-Trivial FD

$X \rightarrow Y$  are non-trivial  
if  $X \cap Y = \emptyset$

$Sid \rightarrow Sname$

$Sid Cd \rightarrow Sname$

Semi-Trivial FD

Combination of  
trivial & non-trivial

$Sid \rightarrow Sid Sname$

$Sid Cd \rightarrow Cd Sname$

## Armstrong rules over FD

$X, Y, Z$  some attr. sets  
over R

① Reflexivity (Trivial FD)

$$X \rightarrow X$$

② Transitivity

if  $X \rightarrow Y$  &  $Y \rightarrow Z$  then  $X \rightarrow Z$

③ Augmentation

if  $X \rightarrow Y$  then  $XZ \rightarrow YZ$

④ Split Rule: if  $X \rightarrow YZ$  then  $X \rightarrow Y, X \rightarrow Z$

⑤ Merge Rule (Union Rule): if  $X \rightarrow Y, X \rightarrow Z$  then  $X \rightarrow YZ$

## Attribute Closure ( $X^+$ )

$X$  is some attr. set of rel. R

$X^+ = \{ \text{Set of all attributes which can be determined by } X \}$

R(ABCDEFG)

given FD = {  $A \rightarrow B, C \rightarrow D, AB \rightarrow E, BE \rightarrow C, EF \rightarrow G$  }

$A^+ = \{ A, B, C, D \} \times \quad BE^+ = \{ B, E, C, D \} \times$

$AF^+ = \{ A, B, E, C, D, F, G \} \checkmark$

Superkey  $\rightarrow$

$X$  is superkey of R iff  $X^+$  must determine all attributes of R.

Candidate key  $\rightarrow$

$X$  is C.K. of R

iff (i)  $X$  must be superkey of R

$X^+ = \{ \text{all attr. of R} \}$

(ii) No proper subset of  $X$  is superkey of R  
 $\nexists Y \subset X$  such that  $Y^+$  not determines all attr. of R, then  $X$  is C.K. of R

Ex R(ABCDE)

{  $AB \rightarrow C, C \rightarrow D, B \rightarrow E \}$  find C.K. of R?

$AB^+ = \{ ABCDE \} \checkmark$

& no proper subset of AB is SK. so AB is C.K.

$A^+ \rightarrow \{ A \}$

$B^+ \rightarrow \{ BE \}$

ex

$$R(ABCDEF) \\ \{AB \rightarrow C, BC \rightarrow D\}$$

ex

$$R(ABCDEF)$$

$$\{AB \rightarrow C, C \rightarrow D, CD \rightarrow BE, DE \rightarrow F, \\ EF \rightarrow A\}$$

$$CK \{ AB, BEF, BDE, C \}$$

ex

$$R(ABCDEF)$$

$$\{AB \rightarrow C, C \rightarrow DE, E \rightarrow F, F \rightarrow B\}$$

$$CK \{ AB, AF, AE, AC \}$$

ex

$$R(ABCDE)$$

$$\{A \rightarrow B, B \rightarrow C, C \rightarrow D, D \rightarrow A\}$$

$$(AE, DE, CE, BE)$$

ex

$$R(ABCDEF)$$

$$\{AB \rightarrow C, C \rightarrow A, BE \rightarrow C, EC \rightarrow FA, \\ BC \rightarrow D, AC \rightarrow B, CF \rightarrow BD, D \rightarrow E\}$$

$$CK \{ AB, BC, CD, BE, BD, CF, EC \}$$

Q

$$R(ABCDEF)$$

$$\{A \rightarrow B, BC \rightarrow D, E \rightarrow C, D \rightarrow A\}$$

$$CK \{ AEF, BEH, DEH \}$$

$R(ABCDE)$

classmate

Date \_\_\_\_\_

Page \_\_\_\_\_

$\{A \rightarrow B, A \rightarrow C, CD \rightarrow E, B \rightarrow D, E \rightarrow A\}$

which is not implied by above set.

- (a)  $CD \rightarrow AC$     (b)  $BD \rightarrow CD$     (c)  $BC \rightarrow CD$   
 (d)  $AC \rightarrow BC$

### Membership Test

$X \rightarrow Y$  FD is member of FD set ( $F$ )

iff  $X^+$  must determines  $Y$  in FD set ( $F$ )

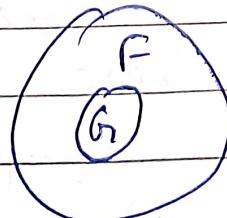
### Equality of FD sets

$F$  &  $G$  sets logically are equal iff

(i)  $F$  covers  $G$   $\Rightarrow$  Every FD of  $G$  set must be member of  $F$  set

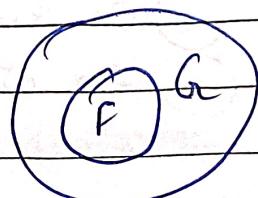
(ii)  $G$  covers  $F$   $\Rightarrow$  Every FD of  $F$  set must be member of  $G$  set.

$F$  covers  $G$   $\Rightarrow$



$G \subseteq F$

$G$  covers  $F$



$F \subseteq G$

Q

$$F = \{A \rightarrow B, B \rightarrow C, C \rightarrow A\}$$

$$G = \{A \rightarrow BC, B \rightarrow AC, BC \rightarrow A, AB \rightarrow C\}$$

which is True

- (a)  $F \subset G$  (b)  $F \supset G$  (c)  $F = G$  (d) None

Q

$$R(A, B, C, D, E, F)$$

$$A \rightarrow B; A \rightarrow C, CD \rightarrow E, CD \rightarrow F; B \rightarrow E$$

- (i) Prove  $CD \rightarrow EF$  is True      ] Use on  
 (ii) Prove  $AD \rightarrow EF$  is True      ] Reflexive, Transitivity  
     &  
     Augmentation

Canonical form / minimal cover

↳ irreducible set of FD.

Ex

$$R(WXYZ)$$

$$X \rightarrow W$$

$$WZ \rightarrow XY$$

$$Y \rightarrow WXYZ$$

There can be three types of redundancy here.

$$X \rightarrow B$$

- (i) On left side
- (ii) On right side
- (iii) On whole FD

How to solve it?

Sol<sup>n</sup>  $x \rightarrow w$  (a)

Step 1)  $wz \rightarrow x$  (b)

$wz \rightarrow y$  (c)

$y \rightarrow w$  (d)

$y \rightarrow x$  (e)

$y \rightarrow z$  (f)

Step 2

Find closure of each FD two times

such that one with that FD included

one time excluded if both closures

are same means that FD is redundant

$$x^+ = \{xw\} \rightarrow \text{with (a) included}$$

$$x^+ = \{x\} \quad \text{without (a)}$$

didn't get the same closure so (a) is essential

$$(wz)^+ = \{wz \times y\} \rightarrow \text{with (b)}$$

$$(wz)^+ = \{wz \times x\} \rightarrow \text{without (b)}$$

so  $wz \rightarrow x$  is redundant remove it

$$(wz)^+ = \{wzy\} \quad \text{with (c)}$$

$$(wz)^+ = \{wz\} \quad \text{without (c)} \quad \text{essential}$$

$$y^+ = \{wyxz\} \quad \text{with (d)}$$

$$y^+ = \{xyzwy\} \quad \text{without (d)}$$

remove  $y \rightarrow w$ 

$$y^+ = \{yxw\} \quad \text{with (e)}$$

$$y^+ = \{yz\} \quad \text{without (e)} \quad \text{essential}$$

$$y^+ = \{yz\} \quad \text{with (f)}$$

$$y^+ = \{y\} \quad \text{without (f)} \quad \text{essential}$$

$X \rightarrow W$  $WZ \rightarrow Y$  $Y \rightarrow X$  $Y \rightarrow Z$ 

Left side Redundancy is done

for right side

$$WZ^+ = WZYX$$

$$W^+ = W \quad ] \text{ if here } W^+ \text{ can determine}$$

 $Z^+ = Z \quad ] \text{ if } (WZYX) \text{ then } Z$   
 is redundant & vice-

So here key are no redundancy Versus

Now combine them

$$\begin{matrix} X \rightarrow W \\ WZ \rightarrow Y \\ Y \rightarrow XZ \end{matrix} \quad \left. \begin{matrix} \\ \\ \end{matrix} \right\}$$

Canonical form

There can be more than one solution  
 to this depending on the order we  
 are checking.

Q

$$(A \rightarrow B, C \rightarrow B, D \rightarrow ABC, AC \rightarrow D)$$

Soln

$$A \rightarrow B \rightarrow A^+ = \{A\} \quad \text{without this PD}$$

$$C \rightarrow B \rightarrow C^+ = \{C\} \quad " \quad " \quad "$$

$$D \rightarrow A \rightarrow D^+ = \{DBC\} \quad " \quad " \quad "$$

$$D \rightarrow B \rightarrow D^+ = \{ABC\} \rightarrow " \quad " \rightarrow \text{Redundant}$$

$$D \rightarrow C \rightarrow D^+ = \{DCAB\} \rightarrow " \quad " \quad "$$

$$AC \rightarrow D \rightarrow AC^+ = \{ACB\} \quad " \quad "$$

$A \rightarrow B, C \rightarrow B, D \rightarrow A, D \rightarrow C, A(C \rightarrow D)$

$A^+ = \{ AB \}$  for begin

$C^+ = \{ CB \}$  not redundant

Q  $R(ABCD)$

Q  $R(ABCD\bar{E})$

$A \rightarrow B$

$AB \rightarrow C$

$D \rightarrow ACE$

SM  $A \rightarrow B \rightarrow A^+ = \{ A \}$

$AB \rightarrow C \rightarrow AB^+ = \{ AB \}$

$D \rightarrow A \rightarrow D^+ = \{ DCE \}$

$D \rightarrow C \rightarrow D^+ = \{ DA \in BC \}$  Not essential

$D \rightarrow E \rightarrow D^+ = \{ DA \bar{B} C \}$

$A \rightarrow B, AB \rightarrow C, D \rightarrow A, D \rightarrow E$

$AB^+ = \{ ABC \}$

$A^+ = \{ ABC \}$  ~~not redundant~~  $B$  is redundant

$A \rightarrow B, A \rightarrow C, D \rightarrow A, D \rightarrow E$

$A \rightarrow BC, D \rightarrow AE$

$\rightarrow$  natural join



## Properties of Decomposition

- ① Lossless Join decomposition
- ② Dependency Preserving decomposition.

### Lossless Join decomposition

Relational Schema R with instance  $\gamma$   
decomposed into subrelation  $R_1, R_2, \dots, R_n$

(a) In general

$$(R, \pi_{R_1} \pi_{R_2} \pi_{R_3} \dots \pi_{R_n}) \sqsupseteq R$$

(b) if  $(R, \pi_{R_1} \pi_{R_2} \dots \pi_{R_n}) = R$

then lossless join decomposition

(c) if  $(R, \pi_{R_1} \pi_{R_2} \dots \pi_{R_n}) \supset R$

then lossy join decomposition

ex 1

R	Sid	Sname	Cid
S1	A	C1	
S1	A	C2	
S2	B	C2	
S3	B	C3	

$\{ \text{Sid} \rightarrow \text{Sname} \}$

$\text{C.K} = \underline{\text{Sid.Cid}}$

(i) decompose R into subrelation

$R_1$ 

↑ ↑

 $R_2$ 

Sid	Sname
S <sub>1</sub>	A
S <sub>2</sub>	B
S <sub>3</sub>	B

Sid	Cid
S <sub>1</sub>	C <sub>1</sub>
S <sub>1</sub>	C <sub>2</sub>
S <sub>2</sub>	C <sub>2</sub>
S <sub>3</sub>	C <sub>3</sub>

 $Sid \rightarrow Sname$  $Ck = Sid \rightarrow Cid$  $Ck = Sid \rightarrow Cid$ Join  $R_1 \bowtie R_2$  (ii)

Sid	Sname	Cid
S <sub>1</sub>	A	C <sub>1</sub>
S <sub>1</sub>	A	C <sub>2</sub>
S <sub>2</sub>	B	C <sub>2</sub>
S <sub>3</sub>	B	C <sub>3</sub>

 $R_1 \bowtie R_2 = \emptyset$ then this is  
lossless join

(i) decompose R into subrelations

R <sub>1</sub>	Sid	Sname
S <sub>1</sub>	A	
S <sub>2</sub>	B	
S <sub>3</sub>	B	

R <sub>2</sub>	Sname	Cid
	A	C <sub>1</sub>
	A	C <sub>2</sub>
	B	C <sub>2</sub>
	B	C <sub>3</sub>

 $Sid \rightarrow Sname$  $Ck = Sid \rightarrow Cid$  $Ck =$ Sname CidJoin  $R_1 \bowtie R_2$  (ii)

Sid	Sname	Cid
S <sub>1</sub>	A	C <sub>1</sub>
S <sub>1</sub>	A	C <sub>2</sub>
S <sub>2</sub>	B	C <sub>2</sub>
S <sub>2</sub>	B	C <sub>3</sub>
S <sub>3</sub>	B	C <sub>2</sub>
S <sub>3</sub>	B	C <sub>3</sub>

 $R_1 \bowtie R_2 \supseteq R$ 

Lossy join decomposition

not present in R

these records are called  
as Spurious Tuples

#

if Relational schema  $R$  with FD set ( $F$ ) decomposed into subrelations  $R_1, R_2$

Given decomposition is lossless iff

(i)  $R_1 \cup R_2 = R$  → means all attributes of  $R$  should be covered.

and

(ii)  $(R_1 \cap R_2) \rightarrow R_1$  ] means  
 or  $(R_1 \cap R_2) \rightarrow R_2$  common attribute of both  
 $R_1$  and  $R_2$  should be  
 a superkey for any one  
 subrelation.

ex

$R(ABCDE)$  { $AB \rightarrow C$ ,  $C \rightarrow D$ ,  $B \rightarrow E$ }

(i) decompose into  $\{ABC, CD\}$

$R_1 \cup R_2 = R$  so lossy

(ii) decompose  $\{ABC, DE\}$

$R_1 \cup R_2 = R$

$R_1 \cap R_2 = \emptyset$  so lossy

(iii) decompose  $\{ABC, CDE\}$

$R_1 \cup R_2 = R$

$R_1 \cap R_2 = C$

$C^+ = \{CD\}$  not a superkey  
 so lossy.

$R_1 \oplus R_2 \oplus R_3$

(iv)  $\{ABC, CD, DE\}$

$$R_1 \cup R_2 \cup R_3 = R$$

$R_1 \cap R_2 = C$   $C^+ = \{CD\}$  Superkey for  $R_2$

$R_1, R_2$

$R_1 R_2$        $R_3$   
 $\{ABCD, DE\}$

$$R_1 \cap R_3 = D$$

$D^+ = \{D\}$  not a S.K.

So lossy,

(v)  ~~$R(AB)$~~

$\{AB\} \quad \{CD\} \quad \{BC\}$

$R_1 \cup R_2 \cup R_3 \neq R$  lossy

(vi)  $\{ABC, CD, BE\}$

$C^+ \{C\}$  (C is basic)

$ABC, D$        $BE$

$\{A, B\} \cup \{BE\}$

$ABCDE$

lossless join decomposition

## Dependency-Preserving Decomposition

Relational Schema R with FD set F  
decomposed into subrelation  $R_1, R_2, \dots, R_n$   
with FD set  $F_1, F_2, \dots, F_n$

In general

$$\{F_1 \cup F_2 \cup F_3 \dots F_n\} \subseteq F$$

(i) if  $\{F_1 \cup F_2 \cup \dots F_n\} = F$ , then dependency preserving

(ii) if  $\{F_1 \cup F_2 \cup \dots F_n\} \subset F$  then not dependency preserving.

mean  
some  
FDs are  
lost

Q R(ABCDE)

$$(A \rightarrow B, B \rightarrow C, C \rightarrow D, D \rightarrow BE)$$

decompose  $\{AB, BC, CD, DE\}$

$R_1(AB)$	$BC$	$CD$	$DE$
$A \rightarrow B$ $f_{A^+} = ABCDE$	$B \rightarrow C$ $f_{B^+} = BC$	$C \rightarrow D$ $f_{C^+} = CDBE$	$D \rightarrow E$ $f_{D^+} = DE$
$X B \rightarrow A$ $f_{B^+} = BA$	$C \rightarrow B$ $f_{C^+} = CDBE$	$D \rightarrow C$ $f_{D^+} = DBEC$	$E \rightarrow D$ $f_{E^+} = E$

$f_1$

$f_2$

$f_3$

$f_4$

$$F_1 \cup F_2 \cup F_3 \cup F_4 \Rightarrow \{A \rightarrow B, B \rightarrow C, C \rightarrow D, D \rightarrow E\}$$

$D^T = \{D \subseteq B\} \rightarrow$  so B can also be determined by D.

$$\leftarrow \text{so } F_1 \cup F_2 \cup F_3 \cup F_4 = F \quad \text{So}$$

Dependency Preserving

Q R(ABCD)

$$\{AB \rightarrow CD, D \rightarrow A\}$$

so?

R<sub>1</sub>(ABC)

$$\boxed{AB \rightarrow C} \checkmark$$

$$AB^+ = \{ABCD\}$$

$$A^+ = (A)$$

$$B^+ = (B)$$

$$C^+ = (C)$$

$$AB^+ = \{ABCD\}$$

$$BC = (BC)$$

$$AC \rightarrow (AC)$$

R<sub>2</sub>(BCD)

$$\boxed{BD \rightarrow C} \checkmark$$

$$B^+ = B$$

$$C^+ = C$$

$$D^+ = DA$$

$$BC^+ = BC$$

$$BD^+ = BDAC$$

R<sub>3</sub>(AD)

$$\boxed{D \rightarrow A} \checkmark$$

$$A^+ = A$$

$$D^+ = DA$$

beginning

If  $AB^+$  contains CD by using these 3 FDs  
then we can say it is D.P.

$$AB^+ = \{ABC\} \times \text{ so Not D.P.}$$

Q

R(ABCD)

$$\{AB \rightarrow C, C \rightarrow D, D \rightarrow A\}$$

R<sub>1</sub>(ABC)

$$A^+ = (A)$$

$$B^+ = (B)$$

$$C^+ = (CDA)$$

$$AB^+ = \{ABCD\}$$

$$BC^+ = \{BCDA\}$$

$$AC^+ = \{ACD\}$$

$$\boxed{C \rightarrow A}$$

R<sub>2</sub>(CD)

$$C^+ = \{CD\}$$

$$D^+ = DA$$

$$\boxed{C \rightarrow D}$$

$$\boxed{AB \rightarrow C}$$

$$\boxed{BC \rightarrow A}$$

$\{C \rightarrow A, AB \rightarrow C, BC \rightarrow A, C \rightarrow D\}$

$[F_1 \cup F_2 \subset F]$  ( $D \rightarrow A$ ) not preserved

### Normal Forms

Used to identify degree of redundancy.

### Redundancy in DB relation

Non-Trivial  
FDs

(single valued  
dependency)

$(X \rightarrow Y)$

Non-Trivial  
MVDs

(multivalued  
dependency)

$(X \rightarrow \rightarrow Y)$

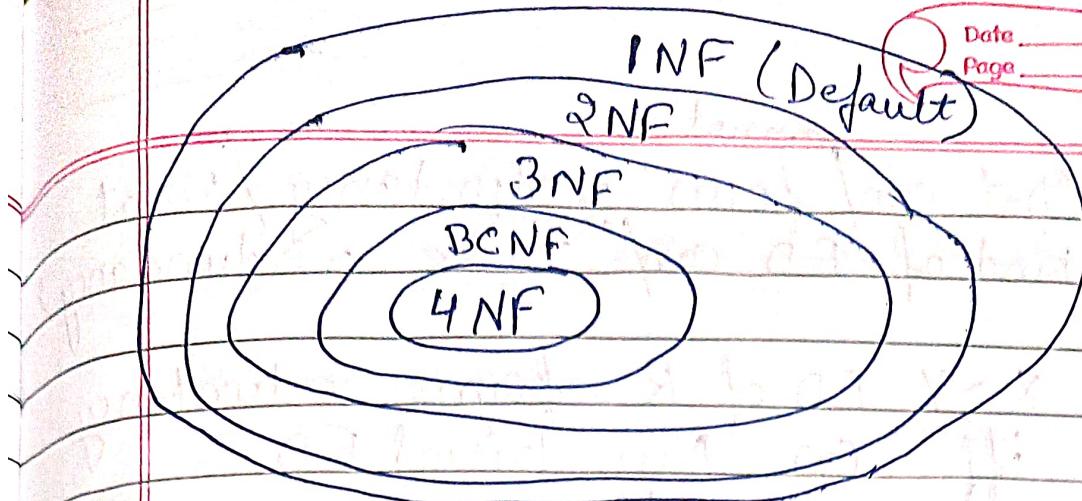
over  
FD  
set

- 1 NF
- 2 NF
- 3 NF

(single  
valued)

BCNF  $\rightarrow$  0% Redundancy but for MVD redundancy may exist.

4 NF  $\rightarrow$  0% Redundancy over FDs & MVD

INF

Relation is in INF iff

→ No Multivalued attributes in R.

⇒ Default NF of RDBMS relation.

Sid	Sname	Cid	
S <sub>1</sub>	A	C <sub>1</sub> /C <sub>2</sub>	Not in NF
S <sub>2</sub>	B	C <sub>2</sub> /C <sub>3</sub>	Not in RDBMS.
S <sub>3</sub>	B	C <sub>3</sub>	

Sid	Sname	Cid	
S <sub>1</sub>	A	C <sub>1</sub>	C.K → Sid Cid
S <sub>1</sub>	A	C <sub>2</sub>	
S <sub>2</sub>	B	C <sub>2</sub>	
S <sub>2</sub>	B	C <sub>3</sub>	INF
S <sub>3</sub>	B	C <sub>3</sub>	

INF    2NF    3NF    BCNF    4NF

Default  
NFUsed to reduce/eliminate  
Redundancy.

What can/ cannot form redundancy / What kind of FD can/ cannot cause redundancy?

1.  $X \rightarrow Y$  FD of R forms redundancy iff (a) Non-trivial FD

if

(b) 'X' is not superkey of R.

2.  $X \rightarrow Y$  FD of R not form redundancy iff (a) Trivial FD ( $X \supseteq Y$ )

or

(b) X is a superkey of R

$\uparrow$   
SK.

ex R(Sid Sname Cid)

C.K. = Sid Cid

$S_1$	A	C <sub>1</sub>	$Sid \rightarrow Sname$
$S_1$	A	C <sub>2</sub>	$\uparrow$
$S_1$	A	C <sub>3</sub>	not S.K.
$S_2$	B	C <sub>2</sub>	So can have
$S_2$	B	C <sub>3</sub>	redundancy.

$R(ABCDE)$

$\{AB \rightarrow C, B \rightarrow D, D \rightarrow E, AE \rightarrow F, C \rightarrow A\}$

$$AB^+ = \{ABCDEF\}$$

$$BC^+ = \{BCDEAF\}$$

$$CK = \{AB, BC\}$$

$\underbrace{AB \rightarrow C}, \underbrace{B \rightarrow D}, \underbrace{D \rightarrow E}, \underbrace{AE \rightarrow F}, \underbrace{C \rightarrow A}$

S.K

Not S.K

form Redundancy.

Note:-

(i) Proper Subset of CK  $\rightarrow$  Non-Prime ( $B \rightarrow D$ )

(ii) Non-Prime  $\rightarrow$  Non-Prime ( $D \rightarrow E$ )

(iii) Proper Subset of CK and  
non-prime combination  $\rightarrow$  Non-Prime  
( $AE \rightarrow F$ )

(iv) Proper Subset of CK  $\rightarrow$  Proper Subset of CK  
( $C \rightarrow A$ )

These form redundancy.

2NF:

$R$  is in 2NF iff

(i) It is in 1NF already

(ii) No partial dependencies in  $R$ .

## Partial Dependency

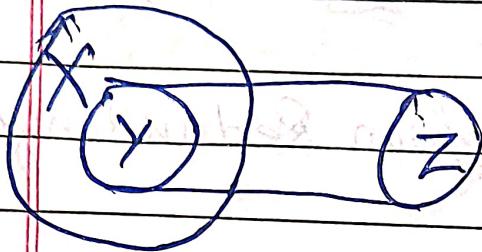
$X$  is any C.K. of  $R$   
 $Y \subset X$ ,  $Z$  is non-prime  
attr. of  $R$ .

then

$$Y \rightarrow Z$$

$Y \rightarrow Z$  is partial dependency

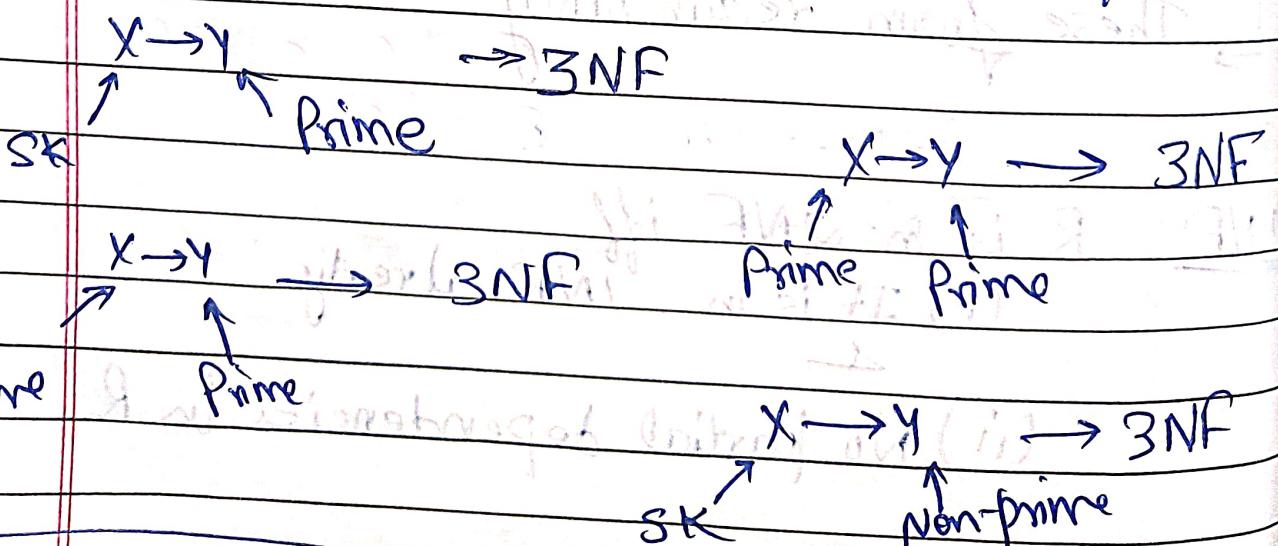
Prime  $\rightarrow$  Non-Prime



3NF:  $R$  is in 3NF iff

(i) It is already 2NF

- (ii) Every non-trivial FD  $X \rightarrow Y$  in  $R$  with
- $X$  must be superkey of  $R$ .
  - $Y$  must be prime attr. of  $R$ .



# Proper Subset of CK  $\rightarrow$  Proper Subset of CR

BCNF

(Boyce Codd NF)

R is in BCNF iff

(i) It is already in 3NF

(ii) Every non-trivial FD  $X \rightarrow Y$  in R with  
 $X$  must be S.K.

Find highest NF of relation R

$\emptyset$  R (ABCD $\overline{E}$ F) {ABD  $\rightarrow$  C, BC  $\rightarrow$  D,  
CD  $\rightarrow$  E}

S.R

$$ABDF^+ = \{ABCDEF\}$$

$$ABCF^+ = \{ABCDEF\}$$

(CK)

{ABDF, ABCF}

$$\underbrace{ABD}_{\substack{\text{Prime} \\ \text{3NF}}} \rightarrow \underbrace{C}_{\substack{\text{Prime} \\ \text{3NF}}}$$

$$\underbrace{BC}_{\substack{\text{Prime} \\ \text{3NF}}} \rightarrow \underbrace{D}_{\substack{\text{Prime} \\ \text{3NF}}}$$

3NF

$$\underbrace{CD}_{\substack{\text{Non-Prime} \\ \text{Not in QNF}}} \rightarrow \underbrace{E}_{\substack{\text{Non-Prime} \\ \text{Not in QNF}}}$$

Not in QNF

So highest NF is 1NF

$\emptyset$  R (ABCDEF) {ABD  $\rightarrow$  C, BC  $\rightarrow$  D, CD  $\rightarrow$  E}

$$C.R. = \{ABD, ABC\}$$

$$\underbrace{ABD}_{\substack{\text{S.K} \\ \text{BCNF}}} \rightarrow \underbrace{C}_{\substack{\text{Prime} \\ \text{3NF}}}$$

BCNF

1NF

$$\underbrace{BC}_{\substack{\text{Prime} \\ \text{3NF}}} \rightarrow \underbrace{D}_{\substack{\text{Non-Prime} \\ \text{3NF}}}, \underbrace{CD}_{\substack{\text{Non-Prime} \\ \text{3NF}}} \rightarrow \underbrace{E}_{\substack{\text{Non-Prime} \\ \text{3NF}}}$$

3NF

CD is not a proper subset of any candidate key

So we have to check 2NF

$$ABD \rightarrow A^+ = A$$

$$ABC \rightarrow C^+ = C$$

$$B^+ = B$$

$$AC^+ = AC$$

$$D^+ = D$$

$$BC^+ = BCDE$$

$$AB^+ = AB$$

$$BG \rightarrow E$$

$$BD^+ = BD$$

prime Non-prime

$$AD^+ = AD$$

Not in 2NF

Highest NF is 1NF.

Q

$$R(ABCD)$$

$$\{(AB \rightarrow C), (BC \rightarrow D)\}$$

$$C.K. = \{AB\}$$

$$BC \rightarrow D$$

$$S1: \{AB \rightarrow C\}$$

Non-prime

S2:  $\rightarrow BCNF$

Not in 3NF

2NF Test

$$AB \rightarrow A^+ = A$$

$B^+ = B$  no partial dependencies

Highest 2NF

Q R(ABCD) {AB → C, C → A, AC → D}

Sol

$$AB^+ = \{ABC, CD\}$$

$$BC^+ = \{BCA, AD\}$$

$$C.K = \{AB, BC\}$$

$$\begin{array}{l} AB \rightarrow C \\ \text{S.K.} \\ \text{BCNF} \end{array}$$

$$\begin{array}{l} C \rightarrow A \\ \text{Prime} \end{array}$$

$$\begin{array}{l} AC \rightarrow D \\ \text{Non-Prime} \end{array}$$

3NF

2NF Testing

$$AB \rightarrow A^+ = A$$

$$BC \rightarrow B^+ = B$$

$$B^+ = \{BA, AA, AA\} \quad C^+ = \{CA, CD\}$$

non-prime

$$C \rightarrow D$$

Partial Dependencies

So Highest NF is 1NF.

Q

R(ABCDEF) {AB → C, C → DE, E → F, F → B}

Sol L C.K = {AB, AF, AE, AC}

$$\begin{array}{l} AB \rightarrow C \\ \text{S.K.} \end{array}$$

$$\begin{array}{l} C \rightarrow DE \\ \text{prime} \end{array}$$

$$\begin{array}{l} E \rightarrow F \\ \text{prime} \end{array}$$

$$\begin{array}{l} F \rightarrow B \\ \text{prime} \end{array}$$

$$C \rightarrow D$$

Non-prime

$$C \rightarrow E$$

→ 3NF

$$E \rightarrow F$$

$$F \rightarrow B$$

3NF

3NF

3NF Testing

$$AB \rightarrow A^+ = A, B^+ = B$$

$$AF \rightarrow F^+ = FB$$

$A\bar{E} \rightarrow \bar{E}^+ = \bar{E}\bar{F}\bar{B}$  Non-prime  $\rightarrow$  P.D.

$AC \rightarrow C^+ = C(D)\bar{E}$

Not in 2NF

Highest NF is 1NF.

Q

R(ABCDEF)

$\{AB \rightarrow C, C \rightarrow D, CD \rightarrow AE, DE \rightarrow F,$   
 $EF \rightarrow B\}$

Sol<sup>n</sup>

CK: (AB, AEF, C, ADE)

(If all attr. are prime atty. then  
relation R is 3NF atleast)

$AB \rightarrow C$ ,  $C \rightarrow D$ ,  $CD \rightarrow AE$ ,  $CD \rightarrow A$  Prime  
 SK SK prime  $CD \rightarrow E$  3NF

$DE \rightarrow F$   
Prime

$EF \rightarrow B$   
Prime

3NF

3NF

3NF  $\rightarrow$  3NF

Q R(ABCDE)

{A → B, B → C, C → D, D → A}

Sol<sup>n</sup>

C.K. (AE, BE, CE, DE)

all attributes are prime attr so at least

3NF

A → B

↓  
Prime

8NF

B → C

↓  
Prime

3NF

C → D

↓  
Prime

3NF

D → A

↓  
Prime

3NF

3NF

Q

R(ABCD)

{A → B, B → AC, C → D}

Sol<sup>n</sup>

C.K. {A, B}

A → B  
↓  
SK

B CNF

B → AC  
↓  
SK

B CNF

C → D

Non-prime non-prime

not in 3NF

2NF Testing

Here CK is single attribute

so proper subset of CK is empty set

An empty set can't derive non-prime att.

So no Partial Dependencies

2NF

Q

Relational Schema R with no non-trivial FD's

What is the highest NF of R.

- (i) 2NF (ii) 3NF (iii) BCNF (iv) 4NF

Soln

No non-trivial FD  $\Rightarrow$  No Redundancy over FD's in R



R is in BCNF

So R may not be in 4NF

Q

A relational Schema R with only two attributes is always in BCNF (also in 4NF). True or false.

Soln

Let R(A, B)

what are the options for FD here

(i)  $A \rightarrow B$   $C_K = \{A\}$   $\overbrace{A \rightarrow B}^{\text{SK}} \text{ so BCNF}$

(ii)  $B \rightarrow A$   $C_K = \{B\}$   $\overbrace{B \rightarrow A}^{\text{SK}} \text{ BCNF}$

(iii)  $A \rightarrow B, B \rightarrow A$   $C_K = \{A, B\}$   $\overbrace{A \rightarrow B}^{\text{SK}} \quad \overbrace{B \rightarrow A}^{\text{SK}} \text{ BCNF}$

(iv)

No non-trivial FD  $\Rightarrow$  BCNF

True.

$\sqsubset J \rightarrow$  Lossless Join  
 PD  $\rightarrow$  Partial Dependency  
 DP  $\rightarrow$  Dependency Preserving

classmate



## Decomposition of Rel. into higher NF

Rel. decompose into 2NF, 3NF, BCNF with losslessjoin & Dependency Preserving.

### 2NF decomposition

$$R(-\dashv X\dashv) \quad FD \rightarrow \{ -\dashv (X \rightarrow Y) \dashv \}$$

$X^+ = \{XY\}$  Partial Dependency

$$R_1(-\dashv X\dashv) \quad R_2(XY) \quad R_3(Y)$$

Remaining all attr. with determinant of P.D.

Take closure of

$X$  & make another relation

$$CK = \{X\}$$

P.D.

Lossless  
DP ✓  
PD X

ex  $R(ABCDE)$

$$\{A \rightarrow B, B \rightarrow E, C \rightarrow D\}$$

Sol

$$CK = \{AC\}$$

$A \rightarrow B$ prime P-D	$B \rightarrow E$ Non-prime No P.D.	$C \rightarrow D$ Non-prime P-D.
-----------------------------------	---	--

$R(ABCDE)$

$$A^+ = \{ABE\}$$

$R_1(ABE)$

$\begin{matrix} A \rightarrow B \\ B \rightarrow E \\ \text{SK} \end{matrix}$   
BCNF  
no P.D.

$$C^+$$

$R_2(CD)$

$\begin{matrix} C \rightarrow D \\ \text{SK} \end{matrix}$

$R_3(AC)$

so 2NF

$P \rightarrow$  Prime attr.  
 $NP \rightarrow$  Non-Prime attr.

classmate

Date \_\_\_\_\_

Page \_\_\_\_\_

Q

$R(ABCD\text{EF}GH\text{IJ})$

$F = \{ AB \rightarrow C, AD \rightarrow GH, BD \rightarrow EF, A \rightarrow I, H \rightarrow J \}$

SOLN

$CK = \{ABD\}$

$\underbrace{AB \rightarrow C}_{P \quad NP}, \underbrace{AD \rightarrow GH}_{P \quad NP}, \underbrace{BD \rightarrow EF}_{P \quad NP}, \underbrace{A \rightarrow I}_{P \quad NP}, \underbrace{H \rightarrow J}_{NP}$

$J$  is also  
in the closure  
of  $AB$ .  $J$  is  
not in  $CK$  so we  
will not take it.

$R(ABCD\text{EF}GH\text{IJ})$

$AB^+ = \{ABC\}$

$AD^+ = \{ADGH\}$

$BD^+ = \{BDEF\}$

$A^+ = AI$

$R_1(ABC)$

$R_2(ADGH)$

$R_3(BDEF)$

$R_4(AI)$

$R_5(AB)$

Q

$R(ABCDEF)$

$(AB \rightarrow C, C \rightarrow D, B \rightarrow E, E \rightarrow F)$

SOLN

$CK = (AB)$

$R(ABCDEF)$

$B^+ = \{BEF\}$

$R_1(A\text{B}CD)$

$AB \rightarrow C, C \rightarrow D$   
Not in 3NF

$R_2(BEF)$

$B \rightarrow E, E \rightarrow F$

Not in 3NF

$LJ -$

$DP$

$\text{No P.D.V}$

$2NF$

$R_{11}(ABC)$

$AB \rightarrow C$   
BCNF

$C^+ = \{CD\}$

$R_{12}(CD)$

$C \rightarrow D$   
BCNF

$R_{21}(BE)$

$B \rightarrow E$   
BCNF

$E^+ = \{EF\}$

$R_{22}(EF)$

$E \rightarrow F$   
BCNF

4 tables & 3 Foreign keys.

Q1  $R(ABCDE)$

$\{AB \rightarrow C, BC \rightarrow A, AC \rightarrow B\}$

product of relation

small w.r.t. time

large w.r.t. time

large w.r.t. time

Sol<sup>n</sup> CK  $\{ABDE, ACDE, BCDE\}$

Q2  $R(ABC)$   $\{AB \rightarrow C, C \rightarrow A\}$

CK  $\{AB, BC\}$

Q3  $R(ABCD)$   $\{ABC \rightarrow D, D \rightarrow B\}$

Q4  $R(ABCD)$   $\{AB \rightarrow C, C \rightarrow D, A \rightarrow B\}$

Q5  $R(ABCD)$   $\{A \rightarrow B, B \rightarrow C, C \rightarrow D, D \rightarrow A\}$

Q6  $R(ABCDE)$   $\{A \rightarrow B, BC \rightarrow D, D \rightarrow B\}$   
 $DE \rightarrow D$

Q7  $R(ABCD)$   $\{A \rightarrow E, B \rightarrow E, A \rightarrow F\}$

Q8  $R(ABCD)$   $\{AB \rightarrow CD, C \rightarrow A, D \rightarrow B\}$

Sol.1  $R(ABCDE)$

$(AB \rightarrow C, BC \rightarrow A, AC \rightarrow B)$

CF {  $ABDE, ACDE, BCDE$  }

all attr. are prime attr. so atleast 3NF  
But not in 3NF because left side of all FDs  
is not a SK.

$R(ABCDE)$

$AB^+$   
 $R_1(ABC)$

$R_2(ABDE)$

Lossless Join

$\underbrace{AB \rightarrow C}_{BCNF}$

No relevant FD.

D.P. ✓

$BC \rightarrow A$   
~~BCNF~~

$BCNF$

BCNF ✓

$AC \rightarrow B$   
~~BCNF~~

Sol.2.  $R(ABC)$

{  $\underbrace{AB \rightarrow C}_{SK}, C \rightarrow A$  }

CF {  $AB, BC$  }

$R(ABC)$

$R_1(BC)$  \*  $R_2(AC)$

Lossless Join ✓

$C^+ = CA$

D.P. X

BCNF ✓

no

nontivial  
FD

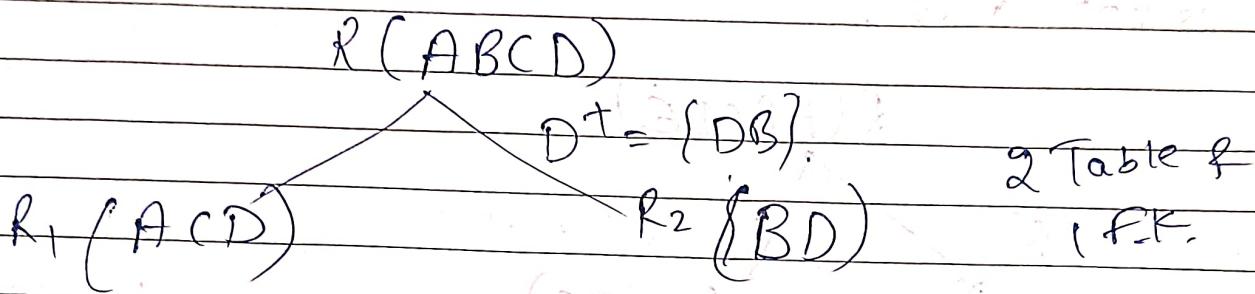
so BCNF

$C \rightarrow A$   
BCNF

Table  
Foreign Key

# BCNF does not guarantee Dependency Preserving.

Sol<sup>n</sup> 3.  $R(ABCD)$   $\{ABC \rightarrow D, D \rightarrow B\}$   
 CK  $\{ABC, ACD\}$  BCNF  $\rightarrow$  3NF  $\rightarrow$  FD



No non-trivial FD

BCNF  $\rightarrow$  3NF  $\rightarrow$  FD

2 Table f

f.R.

$D \rightarrow B$

LLJ ✓

DP X

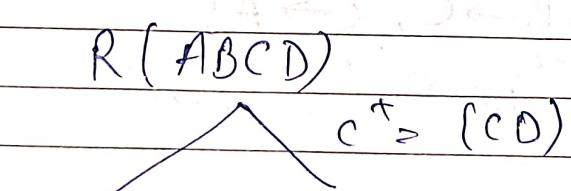
BCNF ✓

Sol<sup>n</sup> 4.  $R(ABCD)$   $\{AB \rightarrow C, C \rightarrow D, A \rightarrow B\}$   
 CK  $\{A\}$  BCNF

SK NP NP SK

Not in  
3NF

BCNF



$R_1(ABC)$

$R_2(CD)$

$AB \rightarrow C$   
 $A \rightarrow B$

SK

BCNF

$C \rightarrow D$   
 BCNF

LLJ -

DP

BCNF ✓

2 Table f

f.R.

Sol<sup>n</sup> 5  $R(ABCD)$   $\{A \rightarrow B, \underbrace{B \rightarrow C}_{SIC}, \underbrace{C \rightarrow D}_{SIC}, \underbrace{D \rightarrow A}_{SIC}\}$   
 C.K. = {A, B, C, D}

Sol<sup>n</sup> 6  $R(ABCDE)$   $\{A \rightarrow B, BC \rightarrow D, D \rightarrow BC, DE \rightarrow \emptyset\}$   
 C.K. {ACE, ADE} ↑ SNF | irrelevant  
 Partial Dependency |  
 ↗ ↘ (prime) (prime)  
 $D \rightarrow C \rightarrow 3NF$   
 ↓  
 $R(A \bar{B} \bar{C} \bar{D} \bar{E})$   
 $A^+ = \{AB\}$   $D^+ = \{DBC\}$   
 $R_1(AB)$   $R_2(BC)$   $R_3(ADE)$   
 $A \rightarrow B$   $D \rightarrow C$  BCNF  $\square \checkmark$   
 SIC SIC

BCNF

DPX

BCNF ✓

3 Table &

of F.K.

Sol 7 R(ABCD)  $\left\{ A \rightarrow \Sigma, B \rightarrow \Sigma, A \rightarrow F \right\}$

↓  
irrelevant F.D.

∴ C.K = {ABCD} → all attr. are in C.K.

BCNF

so

Sol 8 R(ABCD)  $\left\{ \begin{array}{l} \text{SK BCNF} \\ AB \rightarrow CD, C \rightarrow A, D \rightarrow B \end{array} \right\}$

C.K. = {AB, AD, BC, CD}

R(A'B'C'D)

$c^+ = \{CA\}$

$d^+ = \{BD\}$

R<sub>1</sub>(AC)

R<sub>2</sub>(BD)

R<sub>3</sub>(CD)

LLTV

$C \rightarrow A$

$D \rightarrow B$

DP X

BCNF

BCNF

BCNF

BCNF ✓

3 Table of F.K.

	1NF	2NF	3NF	BCNF	4NF
Lossless Join	✓	✓	✓	✓	may not always
Dependency Preserving	✓	✓	✓	may not always	may not always
DY. Redundancy	No	No	No	Yes over single-valued FD	Yes over both FD & MVD's (multi valued dependency)

For DB design Top priority is lossless Join then D.F & then O.Y. redundancy

Most accurate NF  $\rightarrow$  3NF

because Lossless Join & D.P. both are followed.