## The synaptic dynamics of learning and memory

Subhaneil Lahiri

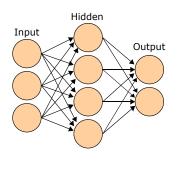
Stanford University, Applied Physics

May 13, 2019

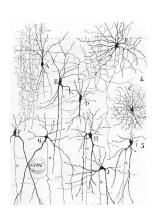
"A memory frontier for complex synapses", S Lahiri and S Ganguli. Adv. Neural Inf. Process. Syst. 26, pp. 1034–1042., (2013).

# What is a synapse?

Comp-neuro/deep-learning



Cellular biology



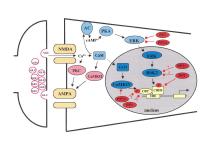
[Cajal (1899)]

# What is a synapse?

Comp-neuro/deep-learning

Cellular biology

 $W_{ij}$ 



[Klann (2002)]

## Storage capacity of synaptic memory

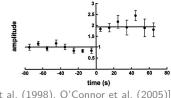
Hopfield model, perceptron: capacity  $\propto N$ , (# synapses).

Assumes unbounded analogue synapses

With discrete, finite synapses:

 $\implies$  memory capacity  $\sim \mathcal{O}(\log N)$ .

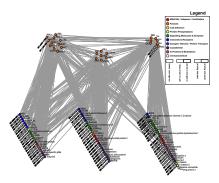
[Amit and Fusi (1992), Amit and Fusi (1994)]



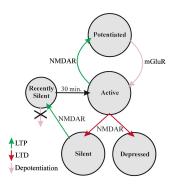
[Petersen et al. (1998), O'Connor et al. (2005)]

New memories overwrite old  $\implies$  stability-plasticity dilemma.

## Synapses are complex



[Coba et al. (2009)]



[Montgomery and Madison (2002)]

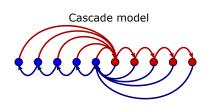
## Synapses are complex



[Coba et al. (2009)]



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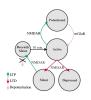


Capacity  $\propto N^{2/3}$ . [Fusi et al. (2005)]

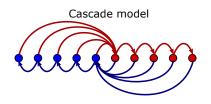
## Synapses are complex



[Coba et al. (2009)]



 $[\mathsf{Montgomery} \ \mathsf{and} \ \mathsf{Madison} \ (2002)]$ 



Capacity  $\propto N^{2/3}$ . [Fusi et al. (2005)] Capacity  $\propto N$ . [Benna and Fusi (2016)]

## My approach

We want to study the structure-function relationship of biological processes.

Not trying to build a single model.

Instead, we build a broad framework of models to find:

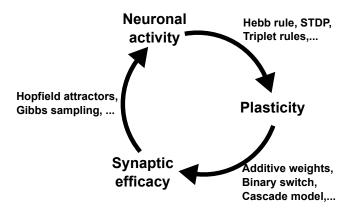
- → underlying mechanisms and principles.
- ightarrow trade-offs between aspects of performance (e.g. learning vs. memory).
- $\rightarrow$  properties of models that best manage these trade-offs.

### Outline

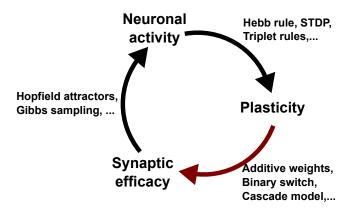
- Timescales of memory
  - Quantifying memory quality
  - Frontiers of memory
  - Implications of memory limits

Designing experiments

## Synaptic learning and memory

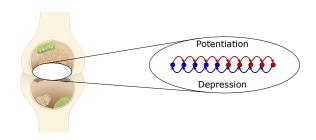


## Synaptic learning and memory





- $\bullet \ \, \text{Internal functional state of synapse} \to \text{synaptic weight}. \\$
- weakstrong
- $\bullet \ \, \mathsf{Candidate} \ \mathsf{plasticity} \ \mathsf{events} \to \mathsf{transitions} \ \mathsf{between} \ \mathsf{states} \\$

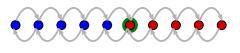


[Fusi et al. (2005), Fusi and Abbott (2007), Barrett and van Rossum (2008)] [Smith et al. (2006), Lahiri and Ganguli (2013)]

- $\bullet$  Internal functional state of synapse  $\to$  synaptic weight.
- weak
- $\bullet \ \, \text{Candidate plasticity events} \, \to \, \text{transitions between states} \\$

strong

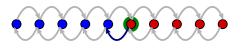
Potentiation event



- $\bullet \ \, \text{Internal functional state of synapse} \to \text{synaptic weight}. \\$
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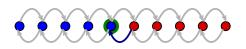
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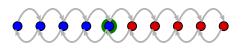
Potentiation event



- $\bullet$  Internal functional state of synapse  $\to$  synaptic weight.
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- ullet Candidate plasticity events o transitions between states

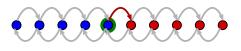
strong

Potentiation event



- $\bullet$  Internal functional state of synapse  $\to$  synaptic weight.
- weak
- $\bullet \ \, \text{Candidate plasticity events} \, \to \, \text{transitions between states} \\$
- strong

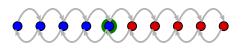
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- $\bullet$  Internal functional state of synapse  $\to$  synaptic weight.
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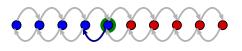
Potentiation event



- $\bullet$  Internal functional state of synapse  $\to$  synaptic weight.
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#### Potentiation event

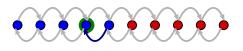


## Depression event

- $\bullet$  Internal functional state of synapse  $\to$  synaptic weight.
- weak
- $\bullet \ \ \text{Candidate plasticity events} \to \text{transitions between states}$

strong

#### Potentiation event

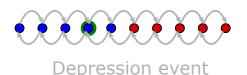


## Depression event

- $\bullet \ \, \text{Internal functional state of synapse} \to \text{synaptic weight}. \\$
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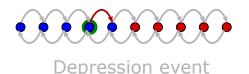
strong

#### Potentiation event



- $\bullet$  Internal functional state of synapse  $\to$  synaptic weight.
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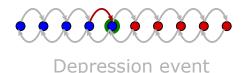
## Potentiation event



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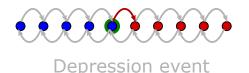
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### Potentiation event



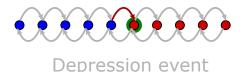
- $\bullet$  Internal functional state of synapse  $\to$  synaptic weight.
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- strong

### Potentiation event



- $\bullet$  Internal functional state of synapse  $\to$  synaptic weight.
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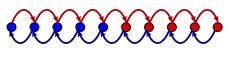
## Potentiation event



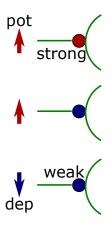
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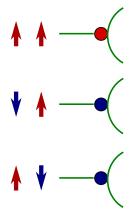
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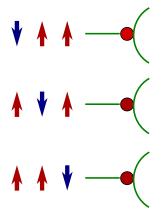
## Potentiation

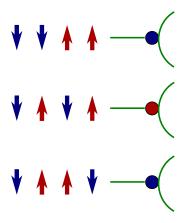


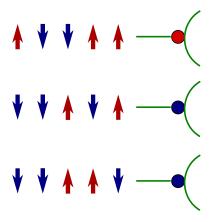
# Depression

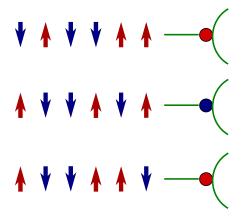


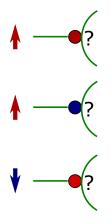












Recognition memory: has this pattern been seen before?

Hypothesis test statistic:  $\vec{w}(t) \cdot \vec{w}_{\text{test}}$ .

[Sommer and Dayan (1998)]

## Quantifying memory quality

Test if  $\vec{w}(t) \cdot \vec{w}_{\text{test}} \geq \text{threshold}$ ?

[Sommer and Dayan (1998)]

Compare with null-distribution:  $P[\vec{w}_{\text{null}} \cdot \vec{w}_{\text{test}}]$ 

$$\mathsf{SNR}(t) = \frac{\langle \vec{w}(t) \cdot \vec{w}_\mathsf{test} \rangle - \langle \vec{w}_\mathsf{null} \cdot \vec{w}_\mathsf{test} \rangle}{\sqrt{\mathsf{Var}(\vec{w}_\mathsf{null} \cdot \vec{w}_\mathsf{test})}},$$

 $\Rightarrow$  discriminability: KL divergence, Chernoff distance, ROC curve, ...

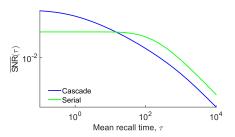
## Specific models of complex synaptic dynamics

Two example models of complex synapses.

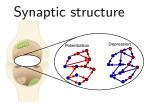


[Fusi et al. (2005), Leibold and Kempter (2008), Ben-Dayan Rubin and Fusi (2007)]

#### These have different memory storage properties



# General principles relating structure and function?



# Synaptic function

- What are the fundamental limits of memory?
- Which models achieve these limits?
- What are the theoretical principles behind the optimal models?

# Parameters for synaptic dynamics

```
f^{\text{pot/dep}} = \text{fraction of events that are pot/dep,}
```

pot. event:  $\mathbf{M}_{ij}^{\mathsf{pot}} = \mathsf{transition} \; \mathsf{prob}. \; i \to j,$ 

dep. event:  $\mathbf{M}_{ij}^{\mathsf{dep}} = \mathsf{transition} \; \mathsf{prob}. \; i \to j.$ 

### Constraints:

$$f^{ ext{pot/dep}}, \mathbf{M}^{ ext{pot/dep}}_{ij} \in [0,1], \qquad f^{ ext{pot}} + f^{ ext{dep}} = \sum_j \mathbf{M}^{ ext{pot/dep}}_{ij} = 1.$$

# Eigenmodes are more convenient parameters

Eigenmodes:

$$\left(f^{\mathsf{pot}} \mathsf{M}^{\mathsf{pot}} + f^{\mathsf{dep}} \mathsf{M}^{\mathsf{dep}}\right) \mathsf{u}_{\mathsf{a}} = \lambda_{\mathsf{a}} \mathsf{u}_{\mathsf{a}}.$$

Contribution to SNR:

$$\mathsf{SNR}(t) = \sum_{\mathsf{a}} \mathcal{I}_{\mathsf{a}} \, \mathsf{e}^{-t/ au_{\mathsf{a}}}.$$

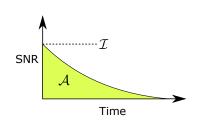
What are the constraints?

# Upper bounds on measures of memory

### Initial SNR:

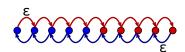
$$\mathcal{I} = \mathsf{SNR}(0) = \sum_{a} \mathcal{I}_a \leq \sqrt{N}.$$





### Area under curve:

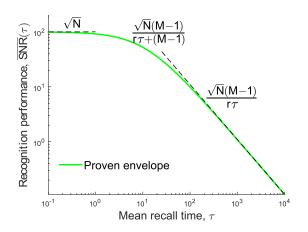
$$\mathcal{A} = \int_0^\infty \mathsf{SNR}(t) \, \mathsf{d}t = \sum_a \mathcal{I}_a au_a \le \sqrt{N} (M-1)/r.$$



[Lahiri and Ganguli (2013)]

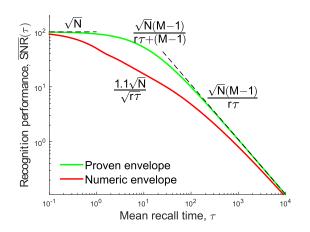
# Proven envelope: memory frontier

Upper bound on memory curve at any timescale.

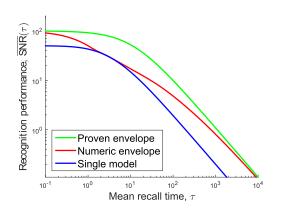


# Proven envelope: memory frontier

Upper bound on memory curve at any timescale.

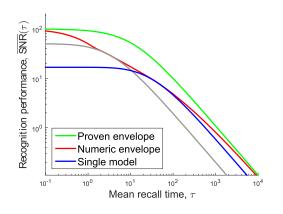


# Models that maximize memory for one timescale



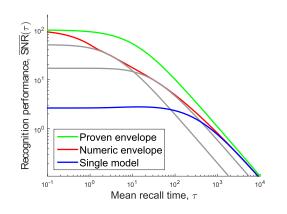


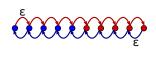
# Models that maximize memory for one timescale





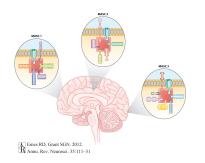
# Models that maximize memory for one timescale





# Synaptic diversity and timescales of memory

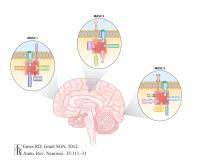
Different synapses have different molecular structures.



[Emes and Grant (2012)]

# Synaptic diversity and timescales of memory

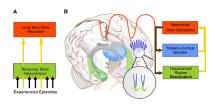
Different synapses have different molecular structures.



[Emes and Grant (2012)]

# Memories stored in different places for different timescales

[Squire and Alvarez (1995)] [McClelland et al. (1995)]



[Born and Wilhelm (2012)]

Also: Cerebellar cortex  $\rightarrow$  nuclei.

[Attwell et al. (2002)]

[Cooke et al. (2004)]

# Synaptic structure and function: general principles

Real synapses limited by molecular building blocks. Evolution had larger set of priorities.

What can we conclude?

Short timescale 
$$\longrightarrow$$
 Moderate timescale  $\longrightarrow$  Long timescale  $\longrightarrow$   $\bigcirc$ 

# Synaptic structure and function: general principles

Real synapses limited by molecular building blocks. Evolution had larger set of priorities.

What can we conclude?

Short timescale  $\longrightarrow$  Moderate timescale  $\longrightarrow$  Long timescale  $\longrightarrow$  short topology  $\longrightarrow$  long topology

# Synaptic structure and function: general principles

Real synapses limited by molecular building blocks. Evolution had larger set of priorities.

What can we conclude?

Short timescale 
$$\longrightarrow$$
 Moderate timescale  $\longrightarrow$  Long timescale short topology  $\longrightarrow$  long topology deterministic synapse  $\longrightarrow$  stochastic synapse

## Experimental tests?

### Traditional experiments:



### Experimental tests?

Traditional experiments:



To fit a model: long sequence of small plasticity events. Observe the changes in synaptic efficacy.



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Traditional experiments:



To fit a model: long sequence of small plasticity events. Observe the changes in synaptic efficacy.

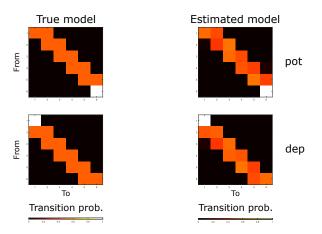


### **EM** algorithms:

Sequence of hidden states  $\to$  estimate transition probabilities Transition probabilities  $\to$  estimate sequence of hidden states

[Baum et al. (1970), Rabiner and Juang (1993), Dempster et al. (2007)]

# Simulated experiment



Problem: need long sequences.

Whole cell patch of postsynaptic neuron  $\rightarrow$  Ca washout.

### Conclusions

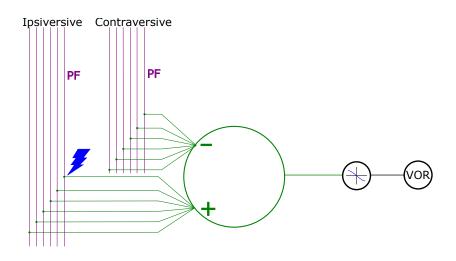
- We have formulated a general theory of learning and memory with complex synapses.
- We find a memory envelope: a single curve that cannot be exceeded by the memory curve of *any* synaptic model.
- We understood which types of synaptic structure are useful for storing memories for different timescales.
- We studied more than a single model. We studied *all possible models*, to extract general principles relating synaptic structure to function

### Acknowledgements

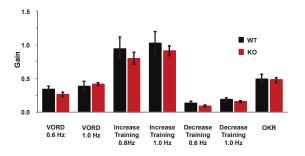
Surya Ganguli	Niru Maheswaranathan	Jennifer Raymond
Jascha Sohl-Dickstein	Ben Poole	Barbara Nguyen-Vu
Friedemann Zenke	Kiah Hardcastle	Grace Zhao
Sam Ocko	Lane McIntosh	Aparna Suvrathan
Stephane Deny	Alex Williams	Rhea Kimpo
Jonathan Kadmon	Christopher Stock	
Madhu Advani	Sarah Harvey	Carla Shatz
Peiran Gao	Aran Nayebi	Hanmi Lee
David Sussillo	Stefano Fusi	Marcus Benna

Funding: Swartz Foundation, Stanford Bio-X Genentech fellowship.

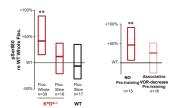
### Model of circuit



### Baseline



# Evidence: level of depression



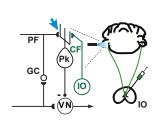
Basal level of GluR2 phosphorylation at serine 880 in AMPA receptor.

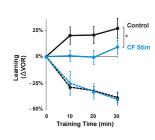
Biochemical signature of PF-Pk LTD.

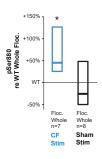
Shows that # depressed synapses in flocculus is larger in KO than WT.

# Evidence: saturation by CF stimulation

Use Channelrhodopsin to stimulate CF  $\rightarrow$ increase LTD in PF-Pk synapses  $\rightarrow$ simulate saturation in WT.

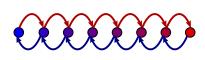




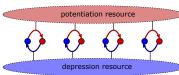


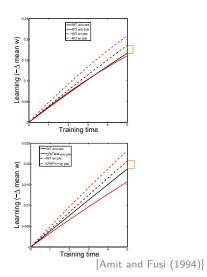
### Other models that fail

### Multistate synapse



Pooled resource model

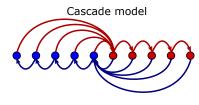


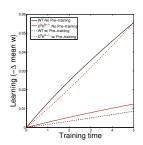


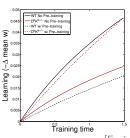
### Other models that work

Non-uniform multistate model



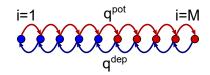






[Fusi et al. (2005)]

# Mathematical explanation



Serial synapse:  $\pi_i \sim \mathcal{N}\left(\frac{q^{\mathrm{pot}}}{q^{\mathrm{dep}}}\right)^i$ .

Learning rate 
$$\sim \pi_{M/2}\left(rac{q^{
m dep}}{q^{
m pot}}
ight) = \mathcal{N}\left(rac{q^{
m pot}}{q^{
m dep}}
ight)^{rac{M}{2}-1}.$$

For M > 2: larger  $q^{\text{dep}} \implies$  slower learning.

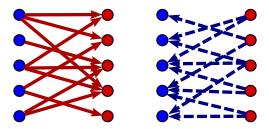
For M=2: larger  $q^{\text{dep}} \implies \text{larger } \mathcal{N} \implies \text{faster learning}$ .

### Initial SNR as flux

Initial SNR is closely related to flux between strong & weak states

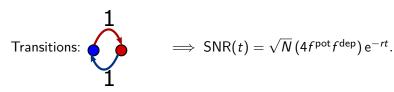
$$\mathsf{SNR}(0) \leq \frac{4\sqrt{N}}{r}\,\mathbf{\Phi}_{-+}.$$

Max when potentiation guarantees  $\mathbf{w} \to +1$ , depression guarantees  $\mathbf{w} \to -1$ .



### Two-state model

Two-state model equivalent to previous slide:



Maximal initial SNR:

$$\mathsf{SNR}(0) \leq \sqrt{\textit{N}}.$$

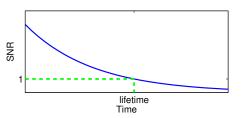
# Area under memory curve

$$\mathcal{A} = \int_0^\infty \!\! \mathrm{d}t \; \mathsf{SNR}(t),$$

$$\mathcal{A} = \int_0^\infty \! \mathrm{d}t \; \mathsf{SNR}(t), \qquad \overline{\mathsf{SNR}}( au) o rac{\mathcal{A}}{ au} \quad \mathsf{as} \quad au o \infty.$$

Area bounds memory lifetime:

$$\mathsf{SNR}(\mathsf{lifetime}) = 1$$
  $\Longrightarrow \mathsf{lifetime} < \mathcal{A}.$ 



This area has an upper bound:

$$A \leq \sqrt{N}(M-1)/r.$$

Saturated by a model with linear chain topology.

### Proof of area bound

For any model, we can construct perturbations that

preserve equilibrium distribution.



e.g. decrease "shortcut" transitions, increase bypassed "direct" ones. Endpoint: linear chain

The area of this model is

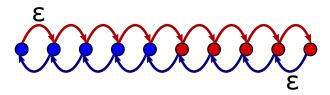
$$A = \frac{2\sqrt{N}}{r} \sum_{k} \pi_{k} |k - \langle k \rangle|.$$

Max: equilibrium probability distribution concentrated at both ends.

[Barrett and van Rossum (2008)]

# Saturating model

Make end states "sticky"



Has long decay time, but terrible initial SNR.

$$\lim_{\varepsilon \to 0} A = \sqrt{N}(M-1)/r.$$

### Technical detail: ordering states

Let  $T_{ii}$  = mean first passage time from state i to state j. Then:

$$\eta = \sum_{j} \mathsf{T}_{ij} \pi_{j},$$

is independent of the initial state i (Kemeney's constant).

[Kemeny and Snell (1960)]

We define:

$$\eta_i^+ = \sum_{j \in \mathsf{strong}} \mathbf{T}_{ij} \boldsymbol{\pi}_j, \qquad \eta_i^- = \sum_{j \in \mathsf{weak}} \mathbf{T}_{ij} \boldsymbol{\pi}_j.$$

They can be used to arrange the states in an order (increasing  $\eta^-$  or decreasing  $\eta^+$ ). Dack

# Technical detail: upper/lower triangular

With states in order:

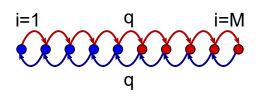




Endpoint: potentiation goes right, depression goes left.



# Intuition for using topology



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