

# Learning and memory with complex synaptic plasticity

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# My research areas

## **Learning and memory**

Structure of synapses & function.  
Learning v. remembering tradeoff.  
Success & failure in trying to enhance learning.

## **Energy use in living systems**

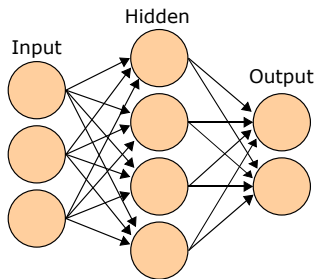
Energy cost of signalling/sensing.  
Tradeoffs with accuracy & speed.  
Thermodynamics  $\leftrightarrow$  information geometry.

## **High dimensional statistics**

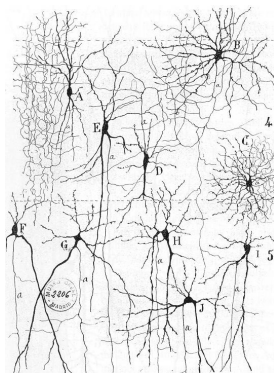
Theory of random projections and the geometry of data.  
Neural recordings as projections.

# What is a synapse?

Comp-neuro/machine learning



Cellular biology



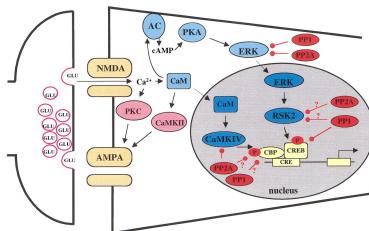
[Cajal (1899)]

# What is a synapse?

Comp-neuro/machine learning

$$W_{ij}$$

Cellular biology



[Klann (2002)]

# Storage capacity of synaptic memory

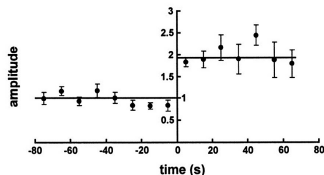
Hopfield, perceptron have capacity  $\propto N$ , ( $\#$  synapses).

Assumes unbounded analogue synapses

With discrete, finite synapses:

$\implies$  memory capacity  $\sim \mathcal{O}(\log N)$ .

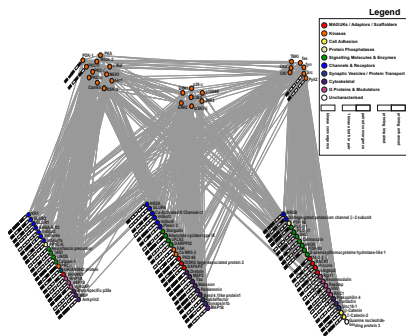
[Amit and Fusi (1992), Amit and Fusi (1994)]



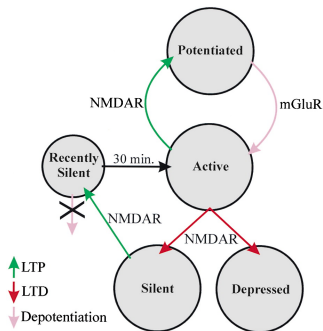
[Petersen et al. (1998), O'Connor et al. (2005)]

New memories overwrite old  $\implies$  stability-plasticity dilemma.

# Synapses are complex

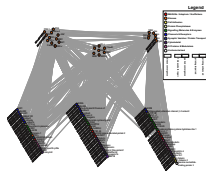


[Coba et al. (2009)]

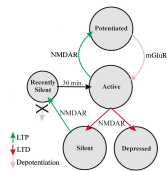


[Montgomery and Madison (2002)]

# Synapses are complex

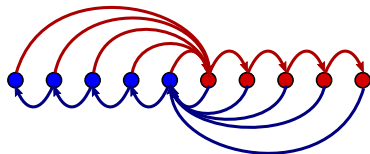


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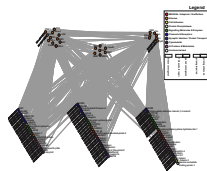
Cascade model



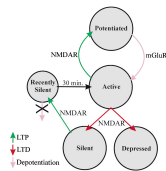
Capacity  $\propto N^{2/3}$ .

[Fusi et al. (2005)]

# Synapses are complex

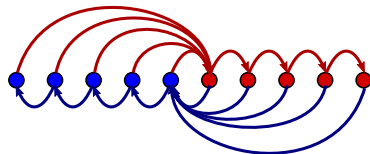


[Coba et al. (2009)]



[Montgomery and Madison (2002)]

Cascade model



Capacity  $\propto N^{2/3}$ . [Fusi et al. (2005)]

Capacity  $\propto N$ . [Benna and Fusi (2016)]



# My approach

We want to study the structure-function relationship of biological processes.

Not trying to build a *single* model.

Instead, we build a broad framework of models to find:

- underlying mechanisms and principles.
- trade-offs between aspects of performance (e.g. learning vs. memory).
- properties of models that best manage these trade-offs.

# Outline

## 1 Memory over different timescales

- Quantifying memory quality
- Frontiers of memory
- Implications of memory limits

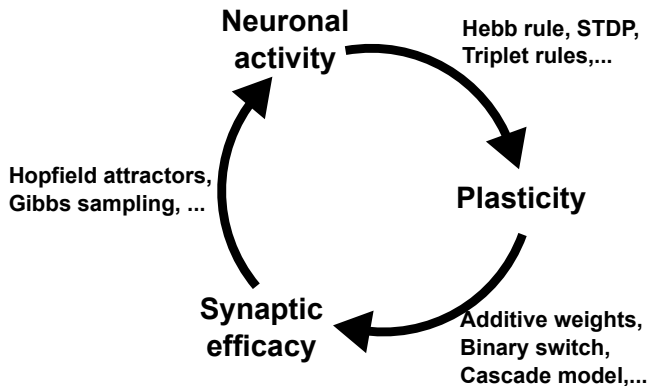
## 2 Designing experiments

# Section 1

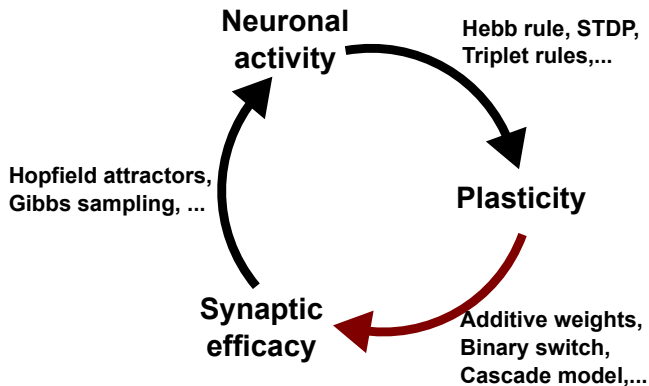
## Memory over different timescales

“A memory frontier for complex synapses”, S Lahiri and S Ganguli.  
*Adv. Neural Inf. Process. Syst. 26, pp. 1034–1042., (2013).*  
NeurIPS 2013 Outstanding Paper Award.

# Synaptic learning and memory



# Synaptic learning and memory

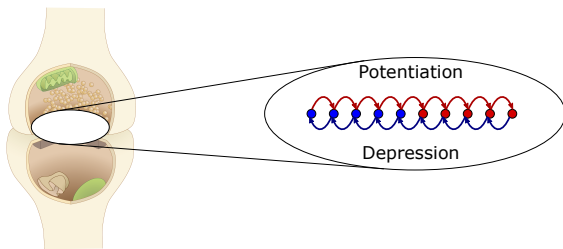


## Models of complex synaptic dynamics



## Models of complex synaptic dynamics

- Internal functional state of synapse  $\rightarrow$  synaptic weight. ● weak
- Candidate plasticity events  $\rightarrow$  transitions between states ● strong



States: #AMPA, #NMDAR, NMDAR subunit composition,  
CaMK II autophosphorylation, activating PKC, p38 MAPK,...

[Fusi et al. (2005), Fusi and Abbott (2007), Barrett and van Rossum (2008)]

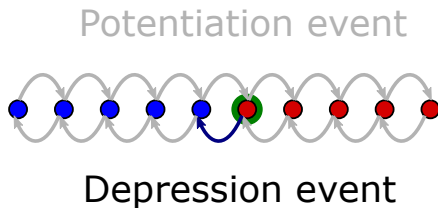
[Smith et al. (2006), Lahiri and Ganguli (2013)]





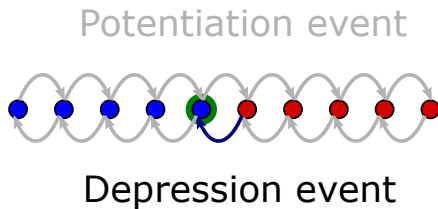
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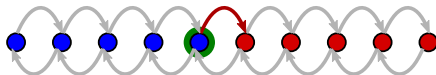




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Potential event



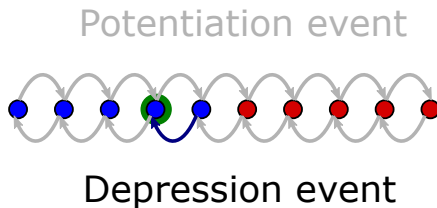
Depression event





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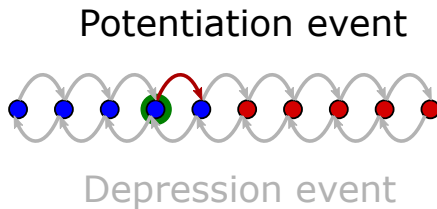
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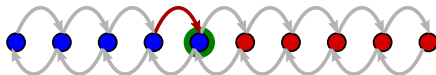


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Potential event



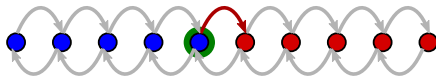
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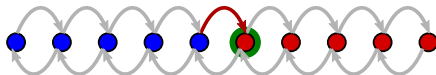
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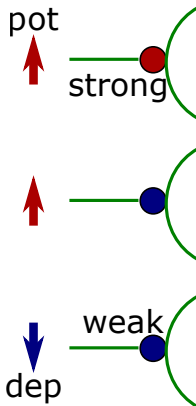


Depression event

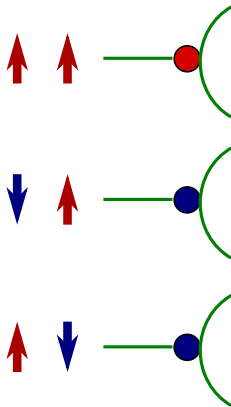
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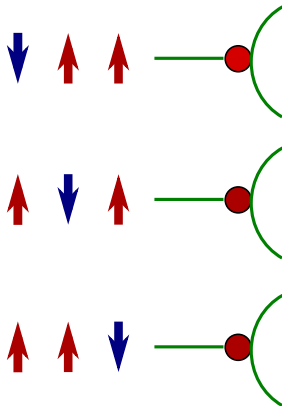
# Synaptic memory curves



# Synaptic memory curves

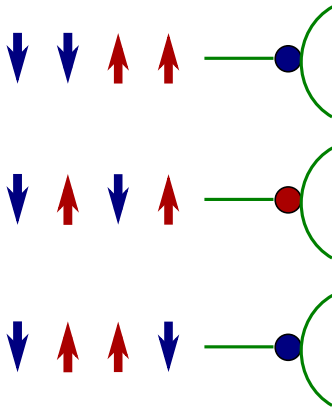


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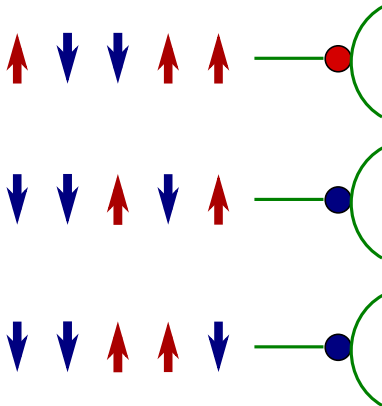




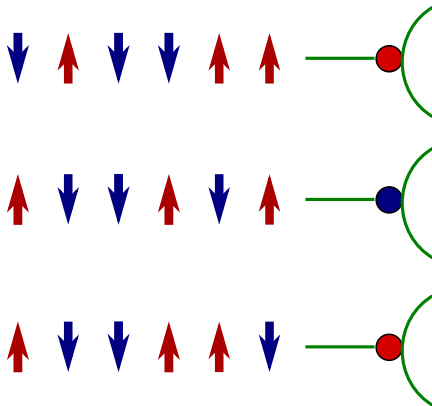
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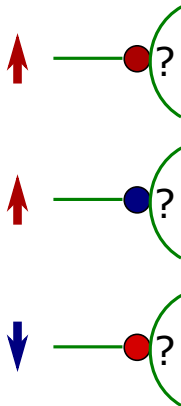
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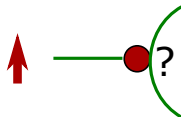
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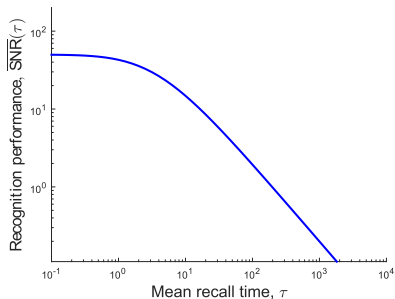


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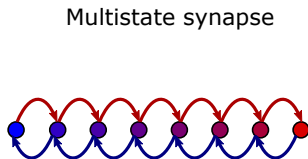
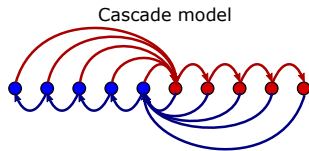
Recognition memory: has this pattern been seen before?

Performance described by SNR of  $\vec{w}(t) \cdot \vec{w}_{\text{test}}$ .



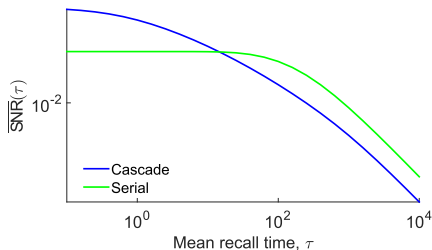
# Specific models of complex synaptic dynamics

Two example models of complex synapses.

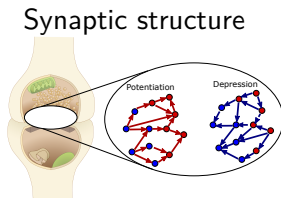


[Fusi et al. (2005), Leibold and Kempster (2008), Ben-Dayan Rubin and Fusi (2007)]

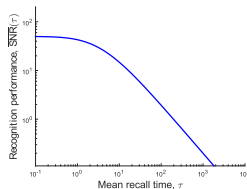
These have different memory storage properties



# General principles relating structure and function?



Synaptic function

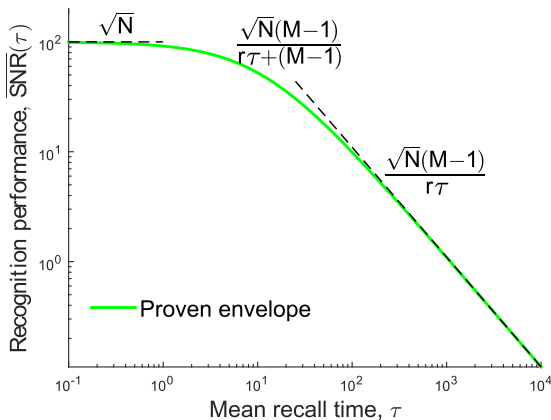


- What are the fundamental limits of memory?
- Which models achieve these limits?
- What are the theoretical principles behind the optimal models?

# Proven envelope: memory frontier

Upper bound on memory curve at *any* timescale.

$N$ : # synapses,  
 $M$ : # states.

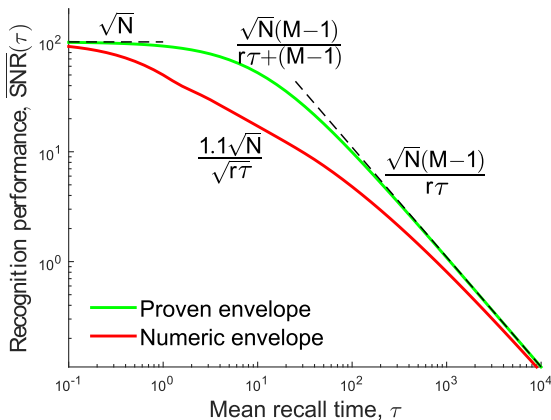




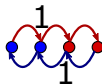
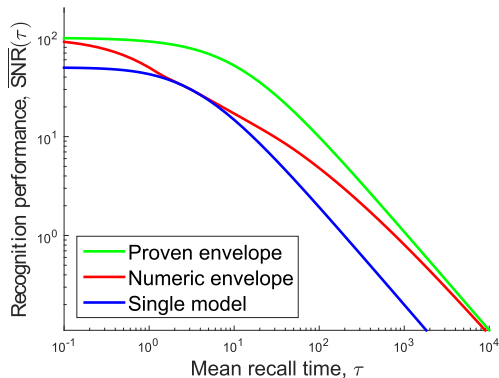
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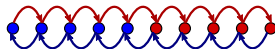
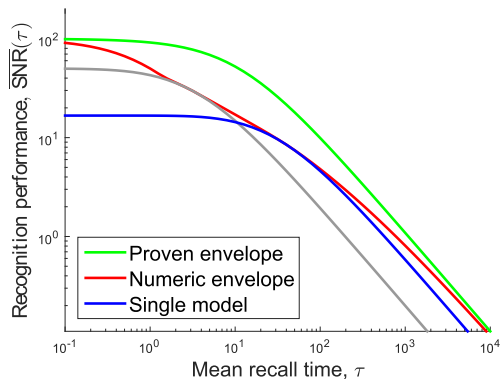
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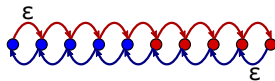
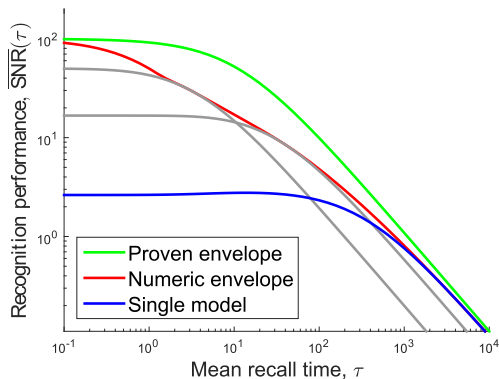
# Models that maximize memory for one timescale



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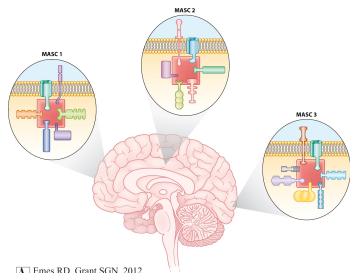


# Models that maximize memory for one timescale



# Synaptic diversity and timescales of memory

Different synapses have different molecular structures.

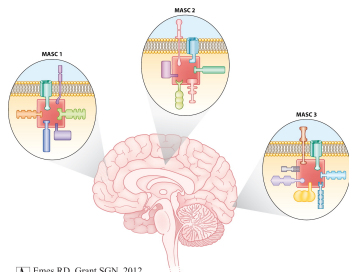


Emes RD, Grant SGN, 2012.  
Annu. Rev. Neurosci. 35:111-31

[Emes and Grant (2012)]

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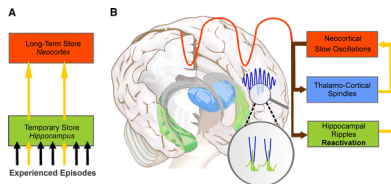
Emes RD, Grant SGN. 2012.  
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[Emes and Grant (2012)]

Memories stored in different places for different timescales

[Squire and Alvarez (1995)]

[McClelland et al. (1995)]



[Born and Wilhelm (2012)]

Also: Cerebellar cortex → nuclei.

[Attwell et al. (2002)]

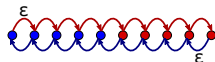
[Cooke et al. (2004)]

# Synaptic structure and function: general principles

Real synapses limited by molecular building blocks.  
Evolution had larger set of priorities.

What can we conclude?

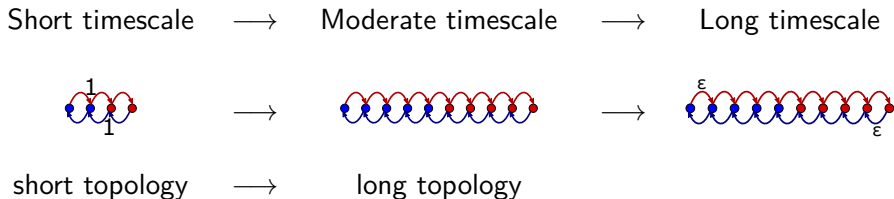
Short timescale  $\longrightarrow$  Moderate timescale  $\longrightarrow$  Long timescale



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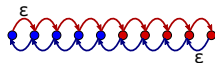


# Synaptic structure and function: general principles

Real synapses limited by molecular building blocks.  
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short topology  $\longrightarrow$  long topology

deterministic synapse  $\longrightarrow$  stochastic synapse

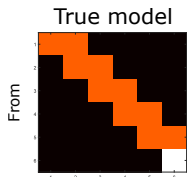
# Experimental tests?

Traditional experiments:

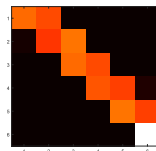




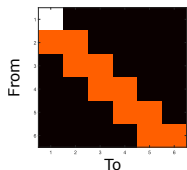
# Simulated experiment



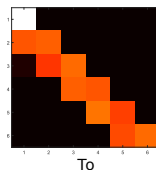
Estimated model



pot



Transition prob.



Transition prob.



dep

Problem: need *long* sequences.

Whole cell patch of postsynaptic neuron → Ca washout.

# Summary

- We have formulated a general theory of learning and memory with complex synapses.
- We find a memory envelope: a single curve that cannot be exceeded by the memory curve of *any* synaptic model.
- We understood which types of synaptic structure are useful for storing memories for different timescales.
- We studied more than a single model. We studied *all possible models*, to extract general principles relating synaptic structure to function

# Future directions

## Learning and memory

- Multiple presentations.
- Correlations.
- More realistic tasks.
- Relation to molecular structure?

## Energy use in living systems

- Include space as well as time.
- Coarse graining: molecules  $\rightarrow$  cells  $\rightarrow$  systems.

## High dimensional statistics

- Theory of noisy random projections.

# Acknowledgements

## **Surya Ganguli**

Jascha Sohl-Dickstein

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Sam Ocko

Stephane Deny

Jonathan Kadmon

Madhu Advani

Peiran Gao

Niru Maheswaranathan

Ben Poole

Kiah Hardcastle

Lane McIntosh

Alex Williams

Christopher Stock

Sarah Harvey

Aran Nayebi

## **Jennifer Raymond**

Barbara Nguyen-Vu

Grace Zhao

Aparna Suvrathan

Rhea Kimpo

## **Carla Shatz**

Hanmi Lee

David Sussillo

Stefano Fusi

Marcus Benna

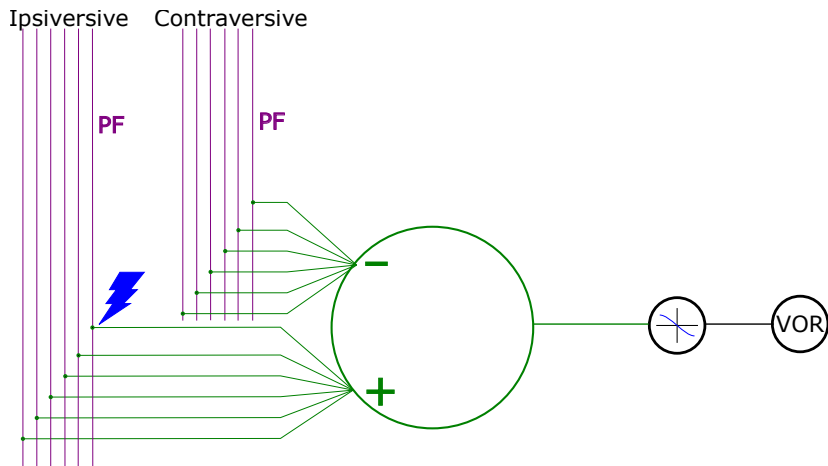
**Funding:** Swartz Foundation, Stanford Bio-X Genentech fellowship.

# Summary

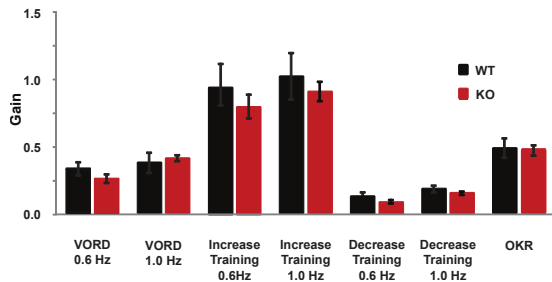
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# Model of circuit

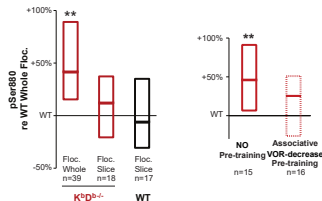


# Baseline



# Evidence: level of depression

Basal level of GluR2 phosphorylation at serine 880 in AMPA receptor.



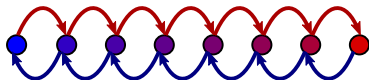
Biochemical signature of PF-Pk LTD.

Shows that # depressed synapses in flocculus is larger in KO than WT.

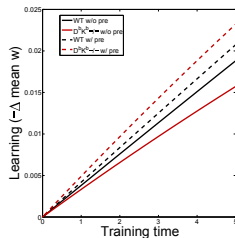
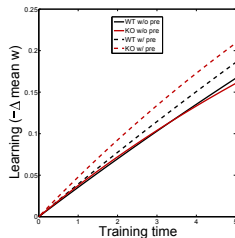
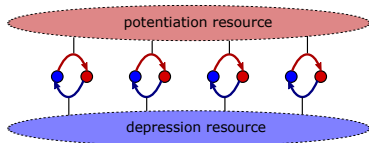


# Other models that fail

## Multistate synapse



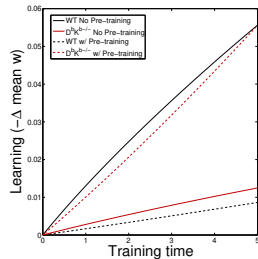
Pooled resource model



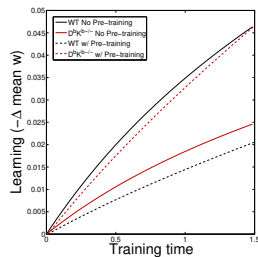
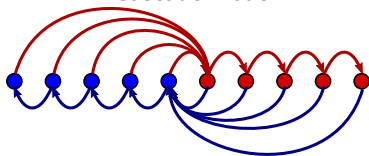
[Amit and Fusi (1994)]

# Other models that work

Non-uniform multistate model

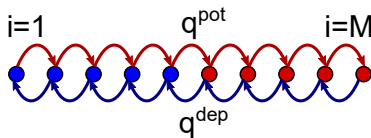


Cascade model



[Fusi et al. (2005)]

# Mathematical explanation



Serial synapse:  $\pi_i \sim \mathcal{N} \left( \frac{q^{\text{pot}}}{q^{\text{dep}}} \right)^i$ .

Learning rate  $\sim \pi_{M/2} \left( \frac{q^{\text{dep}}}{q^{\text{pot}}} \right) = \mathcal{N} \left( \frac{q^{\text{pot}}}{q^{\text{dep}}} \right)^{\frac{M}{2}-1}$ .

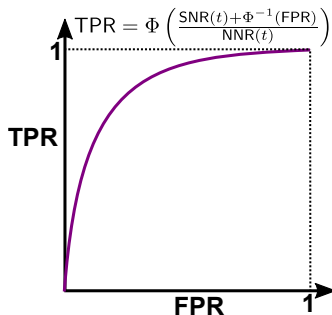
For  $M > 2$ : larger  $q^{\text{dep}} \implies$  slower learning.

For  $M = 2$ : larger  $q^{\text{dep}} \implies$  larger  $\mathcal{N} \implies$  faster learning.

# Quantifying memory quality

Test if  $\vec{w}_{\text{ideal}} \cdot \vec{w}(t) \geq \theta$ ?

[Sommer and Dayan (1998)]



$$\text{SNR}(t) = \frac{\langle \vec{w}_{\text{ideal}} \cdot \vec{w}(t) \rangle - \langle \vec{w}_{\text{ideal}} \cdot \vec{w}(\infty) \rangle}{\sqrt{\text{Var}(\vec{w}_{\text{ideal}} \cdot \vec{w}(\infty))}},$$

$$\overline{\text{SNR}}(\tau) = \int d\tau \frac{e^{-t/\tau}}{\tau} \text{SNR}(t).$$

$$\text{NNR}(t) = \sqrt{\frac{\text{Var}(\vec{w}_{\text{ideal}} \cdot \vec{w}(t))}{\text{Var}(\vec{w}_{\text{ideal}} \cdot \vec{w}(\infty))}}.$$

Also: KL divergence, Chernoff distance, ...



# Parameters for synaptic dynamics

$f^{\text{pot/dep}}$  = fraction of events that are pot/dep,

pot. event:  $M_{ij}^{\text{pot}}$  = transition prob.  $i \rightarrow j$ ,

$$\mathbf{w}^{\text{pot}} = f^{\text{pot}} (\mathbf{M}^{\text{pot}} - \mathbf{I}),$$

dep. event:  $M_{ij}^{\text{dep}}$  = transition prob.  $i \rightarrow j$ ,

$$\mathbf{w}^{\text{dep}} = f^{\text{dep}} (\mathbf{M}^{\text{dep}} - \mathbf{I}).$$

Constraints:

$$f^{\text{pot/dep}}, \mathbf{M}_{ij}^{\text{pot/dep}} \in [0, 1], \quad f^{\text{pot}} + f^{\text{dep}} = \sum_j \mathbf{M}_{ij}^{\text{pot/dep}} = 1.$$

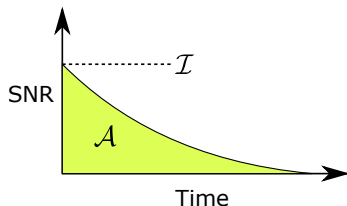
Memory curve given by

$$\begin{aligned} \overline{\text{SNR}}(\tau) &= \sqrt{N} \pi \left( \mathbf{w}^{\text{pot}} - \mathbf{w}^{\text{dep}} \right) \left[ \mathbf{I} - r\tau \left( \mathbf{w}^{\text{pot}} + \mathbf{w}^{\text{dep}} \right) \right]^{-1} \mathbf{w}. \\ &= \sqrt{N} \sum_a \frac{\mathcal{I}_a}{1 + r\tau/\tau_a}. \end{aligned}$$

# Upper bounds on measures of memory

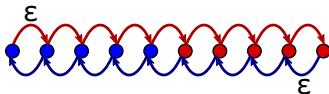
Initial SNR:

$$\mathcal{I} = \text{SNR}(0) = \sum_a \mathcal{I}_a \leq \sqrt{N}.$$



Area under curve:

$$\mathcal{A} = \int_0^\infty \text{SNR}(t) dt = \sum_a \mathcal{I}_a \tau_a \leq \sqrt{N}(M-1)/r.$$



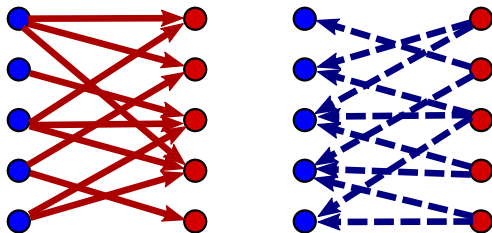
[Lahiri and Ganguli (2013)]

# Initial SNR as flux

Initial SNR is closely related to flux between strong & weak states

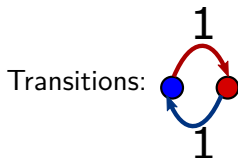
$$\text{SNR}(0) \leq \frac{4\sqrt{N}}{r} \Phi_{-+}.$$

Max when potentiation guarantees  $\mathbf{w} \rightarrow +1$ ,  
depression guarantees  $\mathbf{w} \rightarrow -1$ .



## Two-state model

Two-state model equivalent to previous slide:



$$\Rightarrow \text{SNR}(t) = \sqrt{N} (4f^{\text{pot}} f^{\text{dep}}) e^{-rt}.$$

Maximal initial SNR:

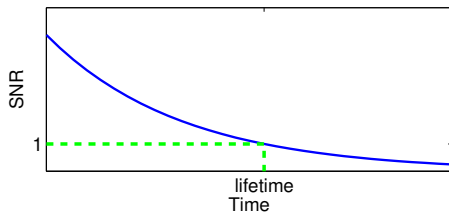
$$\text{SNR}(0) \leq \sqrt{N}.$$

## Area under memory curve

$$\mathcal{A} = \int_0^\infty dt \text{ SNR}(t), \quad \overline{\text{SNR}}(\tau) \rightarrow \frac{\mathcal{A}}{\tau} \quad \text{as } \tau \rightarrow \infty.$$

Area bounds memory lifetime:

$$\begin{aligned} \text{SNR}(\text{lifetime}) &= 1 \\ \Rightarrow \quad \text{lifetime} &< \mathcal{A}. \end{aligned}$$



This area has an upper bound:

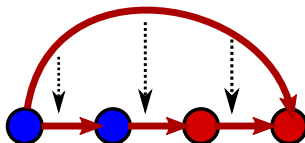
$$\mathcal{A} \leq \sqrt{N}(M-1)/r.$$

Saturated by a model with linear chain topology.

## Proof of area bound

For any model, we can construct perturbations that

- preserve equilibrium distribution,
- increase area.

[details](#)

e.g. decrease “shortcut” transitions, increase bypassed “direct” ones.

Endpoint: linear chain

The area of this model is

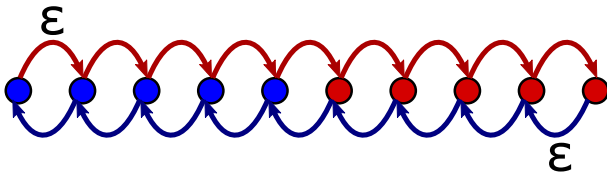
$$A = \frac{2\sqrt{N}}{r} \sum_k \pi_k |k - \langle k \rangle|.$$

Max: equilibrium probability distribution concentrated at both ends.

[Barrett and van Rossum (2008)]

# Saturating model

Make end states “sticky”



Has long decay time, but terrible initial SNR.

$$\lim_{\epsilon \rightarrow 0} A = \sqrt{N}(M-1)/r.$$

## Technical detail: ordering states

Let  $\mathbf{T}_{ij}$  = mean first passage time from state  $i$  to state  $j$ . Then:

$$\eta = \sum_j \mathbf{T}_{ij} \pi_j,$$

is independent of the initial state  $i$  (Kemeney's constant).

[Kemeny and Snell (1960)]

We define:

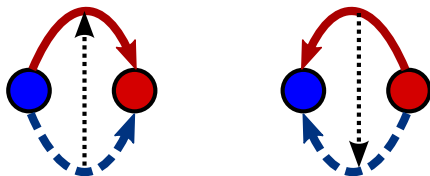
$$\eta_i^+ = \sum_{j \in \text{strong}} \mathbf{T}_{ij} \pi_j, \quad \eta_i^- = \sum_{j \in \text{weak}} \mathbf{T}_{ij} \pi_j.$$

They can be used to arrange the states in an order (increasing  $\eta^-$  or decreasing  $\eta^+$ ). [back](#)



## Technical detail: upper/lower triangular

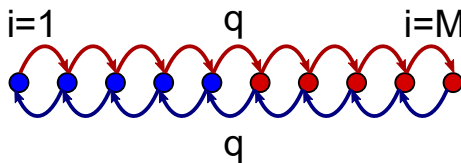
With states in order:



Endpoint: potentiation goes right, depression goes left.

[back](#)

# Intuition for using topology



$$\begin{aligned}\mathcal{I} &\propto q, & \max_a \tau_a &\propto \frac{1}{q}, \\ \mathcal{I} &\propto \frac{1}{M}, & \max_a \tau_a &\propto M^2,\end{aligned}$$

$\Rightarrow$

$$\begin{aligned}\text{Stochasticity: } \mathcal{I} &\propto \frac{1}{\tau_{\max}}, \\ \text{Topology: } \mathcal{I} &\propto \frac{1}{\sqrt{\tau_{\max}}}.\end{aligned}$$

















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