## Learning and memory with complex synaptic plasticity

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#### My research areas

#### **Learning and memory**

Structure of synapses & function. Learning v. remembering tradeoff. Success & failure in trying to enhance learning.

#### **Energy use in living systems**

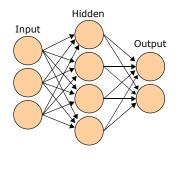
Energy cost of signalling/sensing. Tradeoffs with accuracy & speed. Thermodynamics  $\leftrightarrow$  information geometry.

#### High dimensional statistics

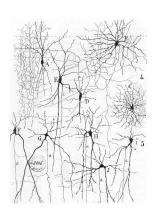
Theory of random projections and the geometry of data. Neural recordings as projections.

## What is a synapse?

Comp-neuro/machine learning



Cellular biology



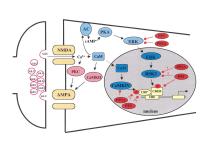
[Cajal (1899)]

## What is a synapse?

Comp-neuro/machine learning

Cellular biology





[Klann (2002)]

#### Storage capacity of synaptic memory

Hopfield, perceptron have capacity  $\propto N$ , (# synapses).

Assumes unbounded analogue synapses

With discrete, finite synapses:

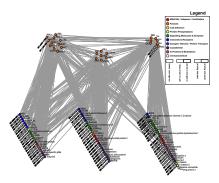
 $\implies$  memory capacity  $\sim \mathcal{O}(\log N)$ .

[Amit and Fusi (1992), Amit and Fusi (1994)]

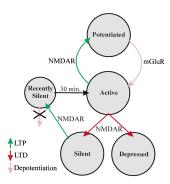
[Petersen et al. (1998), O'Connor et al. (2005)]

New memories overwrite old  $\implies$  stability-plasticity dilemma.

### Synapses are complex



[Coba et al. (2009)]



[Montgomery and Madison (2002)]

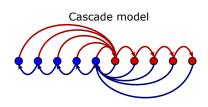
## Synapses are complex



[Coba et al. (2009)]



[Montgomery and Madison (2002)]

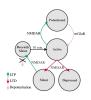


Capacity  $\propto N^{2/3}$ . [Fusi et al. (2005)]

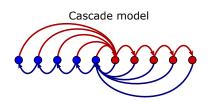
## Synapses are complex



 $[\mathsf{Coba}\ \mathsf{et}\ \mathsf{al}.\ (2009)]$ 



 $[\mathsf{Montgomery} \ \mathsf{and} \ \mathsf{Madison} \ (2002)]$ 



Capacity  $\propto N^{2/3}$ . [Fusi et al. (2005)] Capacity  $\propto N$ . [Benna and Fusi (2016)]

#### My approach

We want to study the structure-function relationship of biological processes.

Not trying to build a single model.

Instead, we build a broad framework of models to find:

- → underlying mechanisms and principles.
- ightarrow trade-offs between aspects of performance (e.g. learning vs. memory).
- $\rightarrow$  properties of models that best manage these trade-offs.

#### Outline

- Memory over different timescales
  - Quantifying memory quality
  - Frontiers of memory
  - Implications of memory limits

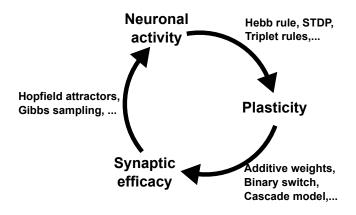
2 Designing experiments

#### Section 1

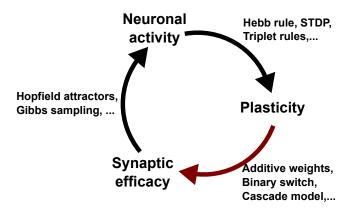
#### Memory over different timescales

"A memory frontier for complex synapses", S Lahiri and S Ganguli. *Adv. Neural Inf. Process. Syst. 26, pp. 1034–1042., (2013).* NeurIPS 2013 Outstanding Paper Award.

#### Synaptic learning and memory

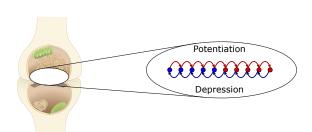


#### Synaptic learning and memory





- $\bullet$  Internal functional state of synapse  $\to$  synaptic weight.
- weakstrong
- $\bullet \ \, \mathsf{Candidate} \ \mathsf{plasticity} \ \mathsf{events} \to \mathsf{transitions} \ \mathsf{between} \ \mathsf{states} \\$



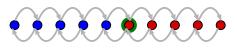
States: #AMPAR, #NMDAR, NMDAR subunit composition, CaMK II autophosphorylation, activating PKC, p38 MAPK,...

[Fusi et al. (2005), Fusi and Abbott (2007), Barrett and van Rossum (2008)]
[Smith et al. (2006), Lahiri and Ganguli (2013)]

- $\bullet$  Internal functional state of synapse  $\to$  synaptic weight.
- weak
- ullet Candidate plasticity events o transitions between states

strong

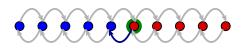
Potentiation event



- $\bullet$  Internal functional state of synapse  $\to$  synaptic weight.
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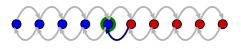
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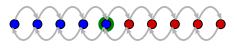
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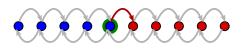
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Potentiation event



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- weak
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- strong

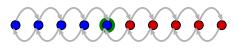
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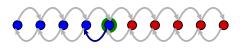
Potentiation event



- $\bullet$  Internal functional state of synapse  $\to$  synaptic weight.
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strong

#### Potentiation event

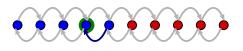


### Depression event

- $\bullet$  Internal functional state of synapse  $\to$  synaptic weight.
- weak
- ullet Candidate plasticity events o transitions between states

strong

#### Potentiation event

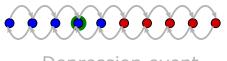


### Depression event

- $\bullet$  Internal functional state of synapse  $\to$  synaptic weight.
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Potentiation event

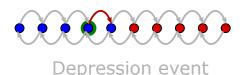


Depression event

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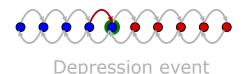
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#### Potentiation event



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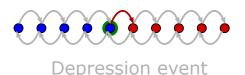
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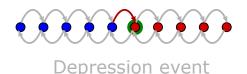
#### Potentiation event



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## strong

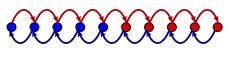
#### Potentiation event



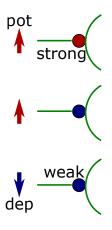
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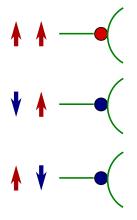
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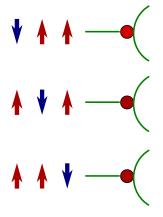
#### Potentiation

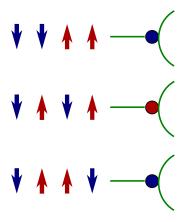


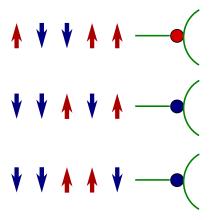
## Depression

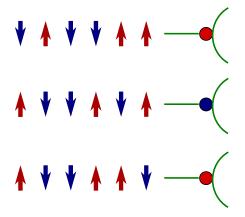


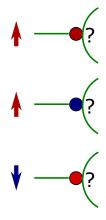




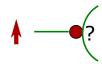




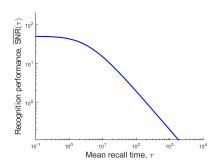




# Synaptic memory curves

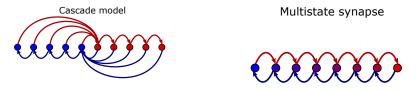


Recognition memory: has this pattern been seen before? Performance described by SNR of  $\vec{w}(t) \cdot \vec{w}_{\text{test}}$ .



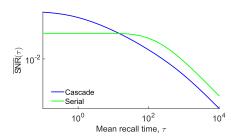
# Specific models of complex synaptic dynamics

Two example models of complex synapses.

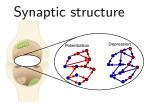


[Fusi et al. (2005), Leibold and Kempter (2008), Ben-Dayan Rubin and Fusi (2007)]

### These have different memory storage properties



# General principles relating structure and function?



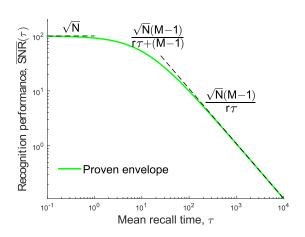
# Synaptic function

- What are the fundamental limits of memory?
- Which models achieve these limits?
- What are the theoretical principles behind the optimal models?

# Proven envelope: memory frontier

Upper bound on memory curve at any timescale.

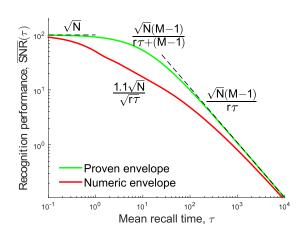
N: # synapses,
M: # states.



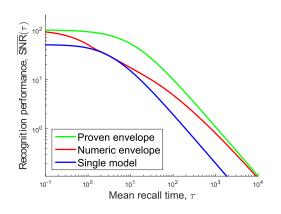
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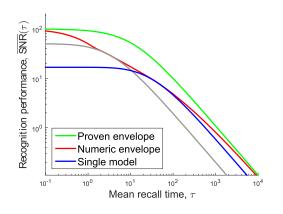


# Models that maximize memory for one timescale



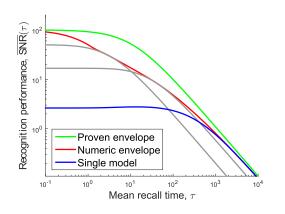


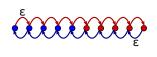
# Models that maximize memory for one timescale





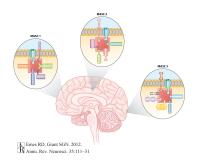
# Models that maximize memory for one timescale





# Synaptic diversity and timescales of memory

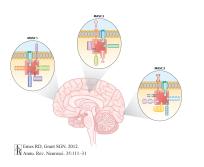
Different synapses have different molecular structures.



[Emes and Grant (2012)]

# Synaptic diversity and timescales of memory

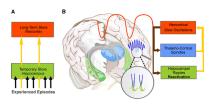
Different synapses have different molecular structures.



[Emes and Grant (2012)]

# Memories stored in different places for different timescales

[Squire and Alvarez (1995)] [McClelland et al. (1995)]



[Born and Wilhelm (2012)]

Also: Cerebellar cortex  $\rightarrow$  nuclei.

[Attwell et al. (2002)]

[Cooke et al. (2004)]

# Synaptic structure and function: general principles

Real synapses limited by molecular building blocks. Evolution had larger set of priorities.

What can we conclude?

Short timescale  $\longrightarrow$  Moderate timescale  $\longrightarrow$  Long timescale  $\longrightarrow$   $\longrightarrow$   $\bigcirc$ 

# Synaptic structure and function: general principles

Real synapses limited by molecular building blocks. Evolution had larger set of priorities.

What can we conclude?

Short timescale 
$$\longrightarrow$$
 Moderate timescale  $\longrightarrow$  Long timescale  $\longrightarrow$  short topology  $\longrightarrow$  long topology

# Synaptic structure and function: general principles

Real synapses limited by molecular building blocks. Evolution had larger set of priorities.

What can we conclude?

Short timescale 
$$\longrightarrow$$
 Moderate timescale  $\longrightarrow$  Long timescale short topology  $\longrightarrow$  long topology deterministic synapse  $\longrightarrow$  stochastic synapse

# Experimental tests?

### Traditional experiments:



### Experimental tests?

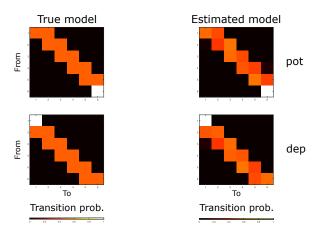
### Traditional experiments:



To fit a model: long sequence of small plasticity events. Observe the changes in synaptic efficacy.



# Simulated experiment



Problem: need long sequences.

Whole cell patch of postsynaptic neuron  $\rightarrow$  Ca washout.

### Summary

- We have formulated a general theory of learning and memory with complex synapses.
- We find a memory envelope: a single curve that cannot be exceeded by the memory curve of any synaptic model.
- We understood which types of synaptic structure are useful for storing memories for different timescales.
- We studied more than a single model. We studied *all possible models*, to extract general principles relating synaptic structure to function

### Future directions

### Learning and memory

- Multiple presentations.
- Correlations.
- More realistic tasks.
- Relation to molecular structure?

### Energy use in living systems

- Include space as well as time.
- Coarse graining: molecules  $\rightarrow$  cells  $\rightarrow$  systems.

### High dimensional statistics

• Theory of noisy random projections.

## Acknowledgements

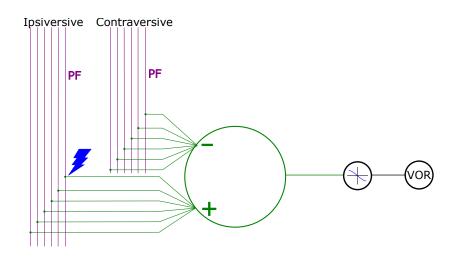
| Surya Ganguli         | Niru Maheswaranathan | Jennifer Raymond  |
|-----------------------|----------------------|-------------------|
| Jascha Sohl-Dickstein | Ben Poole            | Barbara Nguyen-Vu |
| Friedemann Zenke      | Kiah Hardcastle      | Grace Zhao        |
| Sam Ocko              | Lane McIntosh        | Aparna Suvrathan  |
| Stephane Deny         | Alex Williams        | Rhea Kimpo        |
| Jonathan Kadmon       | Christopher Stock    |                   |
| Madhu Advani          | Sarah Harvey         | Carla Shatz       |
| Peiran Gao            | Aran Nayebi          | Hanmi Lee         |
|                       |                      |                   |
| David Sussillo        | Stefano Fusi         | Marcus Benna      |

Funding: Swartz Foundation, Stanford Bio-X Genentech fellowship.

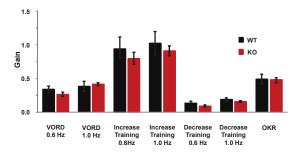
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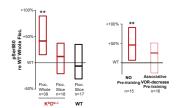
### Model of circuit



### Baseline



# Evidence: level of depression



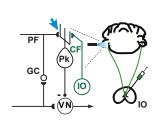
Basal level of GluR2 phosphorylation at serine 880 in AMPA receptor.

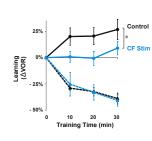
Biochemical signature of PF-Pk LTD.

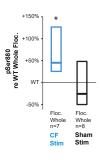
Shows that # depressed synapses in flocculus is larger in KO than WT.

# Evidence: saturation by CF stimulation

Use Channelrhodopsin to stimulate CF  $\rightarrow$ increase LTD in PF-Pk synapses  $\rightarrow$ simulate saturation in WT.

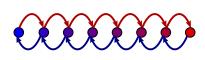




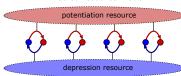


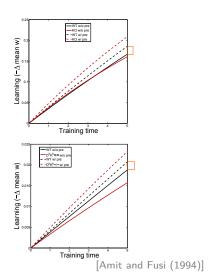
### Other models that fail

### Multistate synapse



Pooled resource model

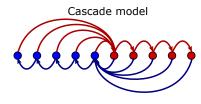


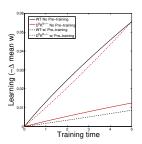


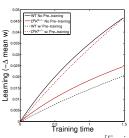
### Other models that work

Non-uniform multistate model



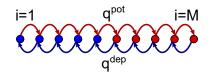






[Fusi et al. (2005)]

# Mathematical explanation



Serial synapse:  $\pi_i \sim \mathcal{N}\left(\frac{q^{\mathrm{pot}}}{q^{\mathrm{dep}}}\right)^i$ .

Learning rate 
$$\sim \pi_{M/2}\left(rac{q^{\mathsf{dep}}}{q^{\mathsf{pot}}}
ight) = \mathcal{N}\left(rac{q^{\mathsf{pot}}}{q^{\mathsf{dep}}}
ight)^{rac{M}{2}-1}.$$

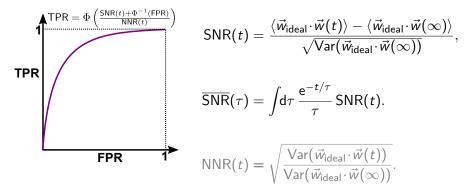
For M > 2: larger  $q^{\text{dep}} \implies$  slower learning.

For M=2: larger  $q^{\mathrm{dep}} \implies \mathrm{larger} \; \mathcal{N} \implies \mathrm{faster} \; \mathrm{learning}.$ 

# Quantifying memory quality

Test if 
$$\vec{w}_{ideal} \cdot \vec{w}(t) \ge \theta$$
?

[Sommer and Dayan (1998)]



Also: KL divergence, Chernoff distance, ...

# Parameters for synaptic dynamics

 $f^{\text{pot/dep}} = \text{fraction of events that are pot/dep,}$ 

pot. event: 
$$M_{ij}^{\text{pot}} = \text{transition prob. } i \to j,$$
  $\mathbf{W}^{\text{pot}} = f^{\text{pot}}(\mathbf{M}^{\text{pot}} - I),$  dep. event:  $M_{ii}^{\text{dep}} = \text{transition prob. } i \to j,$   $\mathbf{W}^{\text{dep}} = f^{\text{dep}}(\mathbf{M}^{\text{dep}} - I).$ 

Constraints:

$$f^{ ext{pot/dep}}, \mathbf{M}^{ ext{pot/dep}}_{ij} \in [0,1], \qquad f^{ ext{pot}} + f^{ ext{dep}} = \sum_j \mathbf{M}^{ ext{pot/dep}}_{ij} = 1.$$

Memory curve given by

$$\overline{\mathsf{SNR}}(\tau) = \sqrt{N} \, \pi \left( \mathbf{W}^{\mathsf{pot}} - \mathbf{W}^{\mathsf{dep}} \right) \left[ \mathbf{I} - r\tau \left( \mathbf{W}^{\mathsf{pot}} + \mathbf{W}^{\mathsf{dep}} \right) \right]^{-1} \mathbf{w}.$$

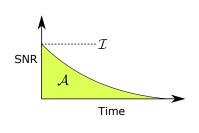
$$= \sqrt{N} \sum_{\mathbf{A}} \frac{\mathcal{I}_{\mathbf{A}}}{1 + r\tau / \tau_{\mathbf{A}}}.$$

# Upper bounds on measures of memory

### Initial SNR:

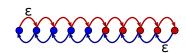
$$\mathcal{I} = \mathsf{SNR}(0) = \sum_{a} \mathcal{I}_a \leq \sqrt{N}.$$





### Area under curve:

$$\mathcal{A} = \int_0^\infty \mathsf{SNR}(t) \, \mathsf{d}t = \sum_a \mathcal{I}_a au_a \le \sqrt{N} (M-1)/r.$$



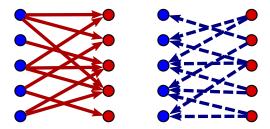
[Lahiri and Ganguli (2013)]

### Initial SNR as flux

Initial SNR is closely related to flux between strong & weak states

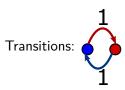
$$\mathsf{SNR}(0) \leq \frac{4\sqrt{N}}{r}\,\mathbf{\Phi}_{-+}.$$

Max when potentiation guarantees  $\mathbf{w} \to +1$ , depression guarantees  $\mathbf{w} \to -1$ .



### Two-state model

Two-state model equivalent to previous slide:



$$\implies \mathsf{SNR}(t) = \sqrt{N} \left( 4 f^{\mathsf{pot}} f^{\mathsf{dep}} \right) \mathrm{e}^{-rt}.$$

Maximal initial SNR:

$$\mathsf{SNR}(0) \leq \sqrt{\textit{N}}.$$

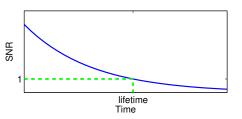
# Area under memory curve

$$\mathcal{A} = \int_0^\infty\!\!\mathrm{d}t\; \mathsf{SNR}(t),$$

$$\mathcal{A} = \int_0^\infty \! \mathrm{d}t \; \mathsf{SNR}(t), \qquad \overline{\mathsf{SNR}}( au) o rac{\mathcal{A}}{ au} \quad \mathsf{as} \quad au o \infty.$$

Area bounds memory lifetime:

$$\mathsf{SNR}(\mathsf{lifetime}) = 1$$
  $\Longrightarrow$   $\mathsf{lifetime} < \mathcal{A}.$ 



This area has an upper bound:

$$A \leq \sqrt{N}(M-1)/r.$$

Saturated by a model with linear chain topology.

### Proof of area bound

For any model, we can construct perturbations that

- preserve equilibrium distribution.
- increase area.



e.g. decrease "shortcut" transitions, increase bypassed "direct" ones. Endpoint: linear chain

The area of this model is

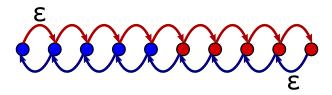
$$A = \frac{2\sqrt{N}}{r} \sum_{k} \pi_{k} |k - \langle k \rangle|.$$

Max: equilibrium probability distribution concentrated at both ends.

[Barrett and van Rossum (2008)]

# Saturating model

Make end states "sticky"



Has long decay time, but terrible initial SNR.

$$\lim_{\varepsilon \to 0} A = \sqrt{N}(M-1)/r.$$

### Technical detail: ordering states

Let  $T_{ii}$  = mean first passage time from state i to state j. Then:

$$\eta = \sum_{i} \mathsf{T}_{ij} \pi_{j},$$

is independent of the initial state i (Kemeney's constant).

[Kemeny and Snell (1960)]

We define:

$$\eta_i^+ = \sum_{j \in \mathsf{strong}} \mathbf{T}_{ij} \boldsymbol{\pi}_j, \qquad \eta_i^- = \sum_{j \in \mathsf{weak}} \mathbf{T}_{ij} \boldsymbol{\pi}_j.$$

They can be used to arrange the states in an order (increasing  $\eta^-$  or decreasing  $\eta^+$ ). Dack

# Technical detail: upper/lower triangular

With states in order:

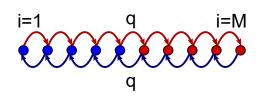




Endpoint: potentiation goes right, depression goes left.



# Intuition for using topology



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