

Tropospheric Ozone Production Pathways with Detailed Chemical Mechanisms

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Vorgelegt von
Jane Coates
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1. Gutachter: Prof. Dr. Mark Lawrence
2. Gutachter: Prof. Dr. Peter Builtjes

Abstract

Acknowledgements

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Chapter 1

Introduction

Air pollution is the leading environmental health risk in many areas around the world. The effects of air pollution to the general population range from chronic to less severe health impacts and reduced growth rates of vegetation resulting in economic losses of billions of euros (EEA, 2015). Moreover, the International Agency for Research on Cancer labelled air pollution as carcinogenic (IARC, 2013). Due to these impacts, many governed areas introduced legislation designed to reduce concentrations of many air pollutants.

Tropospheric ozone (O_3) is one of the most problematic air pollutants over Europe with up to 98 % of Europe's urban population exposed to concentrations of ozone above the WHO guidelines (EEA, 2015). Furthermore, in 2011 the EU ozone target value for human health (the EU has no limit value for ozone) was exceeded in 65 % of the EU member states and Europe's ozone target value for vegetation was exceeded in 27 % of the EU-28 agricultural areas (EEA, 2013).

Reducing atmospheric concentrations of tropospheric ozone is a complex problem as ozone is not directly emitted into the troposphere. Tropospheric ozone is produced from the reactions of volatile organic compounds (VOCs) in the presence of nitrogen oxides ($NO_x \equiv NO + NO_2$) and sunlight (Atkinson, 2000). Meteorology and transport also influence tropospheric ozone levels (Jacob and Winner, 2009).

Air quality (AQ) models are an important tool for understanding ozone pollution and predicting future air quality. Many AQ models are available with different scales and dimensions depending on the scope of the modelling experiment. Accurately representing the complexity of ozone production in a computationally efficient model is an ongoing challenge for the modelling community (Russell and Dennis, 2000).

Model intercomparison projects (MIPs) compare the outputs from different models showing differences in tropospheric ozone due to differing representations of key processes. For example, ACCMIP (Atmospheric Chemistry and Climate Model Intercomparison Project) showed different magnitudes of future ozone burden in the same region (Young et al., 2013). A current MIP, CCMI (Chemistry Climate Model Initiative), aims to investigate differences in the representation of chemistry, emissions and transport processes between models to understand the differences between predictions from global models (Eyring et al., 2013).

Detailed process studies are key to understanding differences between simulated ozone levels from different models. This thesis determines the effects of VOC degradation chemistry, VOC emissions and temperature on modelled ozone predictions. This assessment should be beneficial to the modelling community in understanding potential differences between model outputs and improving AQ models.

1.1 Ozone

Ozone is a atmospheric gas found in the stratosphere and troposphere, however its atmospheric effects are very different in these regions. The stratosphere contains $\sim 90\%$ of the atmospheric ozone with a peak mixing ratio of ~ 12 ppm (Seinfeld and Pandis, 2006). Stratospheric ozone absorbs the sun's ultraviolet radiation which is important due to the adverse effects of excess UV radiation on humans and ecosystems.

In contrast, tropospheric (or surface) ozone is both a pollutant and a greenhouse gas. Increased levels of tropospheric ozone are harmful to humans, plants and other living systems. High ozone exposure may lead to pulmonary problems in humans and can decrease both crop yields and forest growth (World Meteorological Organisation, 2011).

Tropospheric ozone is formed via photochemical production from the reactions between VOCs and NO_x , described in Sect. 1.2, while meteorology and atmospheric transport also influence ozone concentrations. For example, a spring-time peak in tropospheric ozone is common in the Northern Hemisphere (NH) mid-latitudes, originally attributed to transport of ozone from the stratosphere into the troposphere via the Stratosphere-Troposphere Exchange (STE) (Monks, 2000). However, ozone transported via the STE rarely influences surface ozone levels

(Lelieveld and Dentener, 2000) and the spring maximum is due to the photochemical reactions occurring in the NH spring after the buildup of reservoir species over winter (Penkett and Brice, 1986).

Understanding the intricacies of surface ozone pollution requires a combined effort from the modelling, observational and chemical kinetic communities – called the “three-legged stool” approach by Abbatt et al. (2014). Modelling of ozone production helped understand the complexity of atmospheric chemistry, such as the non-linear relationship of ozone production with precursor (VOC and NO_x) emissions. Modelling studies attempt to reproduce observational trends of surface ozone and model predictions may inspire new observational studies. Chemical kinetic studies performed by laboratories give insights to missing or incorrect representations of atmospheric chemistry to be included in updated models.

This thesis focuses on the influence on ozone production from the representation of VOC degradation chemistry, VOC emissions and the ozone-temperature relationship within models. Ozone production chemistry is outlined in Sect. 1.2, while sources of emissions of ozone precursors are described in Sect. 1.3. Finally, the effects of meteorology, in particular temperature, on ozone production are presented in Sect. 1.4. For the rest of this thesis, ozone refers to tropospheric ozone.

1.2 Ozone Chemistry

Ozone absorbs UV radiation producing either ground-state atomic oxygen (O(³P)) or excited singlet (O(¹D)) oxygen atoms.

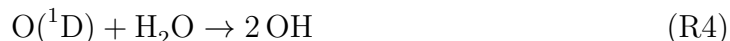


Ground-state oxygen quickly reacts with oxygen to reform ozone.

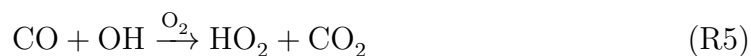


Thus there is no net loss or production of ozone through (R1) and (R3). O(¹D) may collide with N₂ or O₂ (represented as M in chemical reactions) stabilising to the ground-state. This process again leads to a null cycle with ozone destruction balanced by production. However, O(¹D) can react with water vapour producing hydroxyl (OH) radicals. The OH radical is a highly reactive chemical species reacting

with almost all trace chemical species in the troposphere. (Seinfeld and Pandis, 2006; Monks, 2005)



The initial oxidation of VOCs by OH initiates a reaction chain which may lead to net production or loss of ozone depending on the atmospheric conditions. For example, when carbon monoxide (CO) reacts with OH in the presence of oxygen, carbon dioxide and the hydroperoxy (HO_2) radical are formed. In polluted areas with high- NO_x concentrations, HO_2 readily reacts with nitrogen oxide (NO) regenerating OH and producing nitrogen dioxide (NO_2).



Photolysis of NO_2 produces ground-state atomic oxygen leading to ozone production via (R3).



The reaction between OH and NO_2 produces nitric acid (HNO_3) limiting the recycling of OH and NO_2 . Nitric acid may be removed through deposition processes and is a sink for both OH and NO_2 .



In low- NO_x conditions away from polluted areas, OH and HO_2 are interconverted through reactions with ozone.



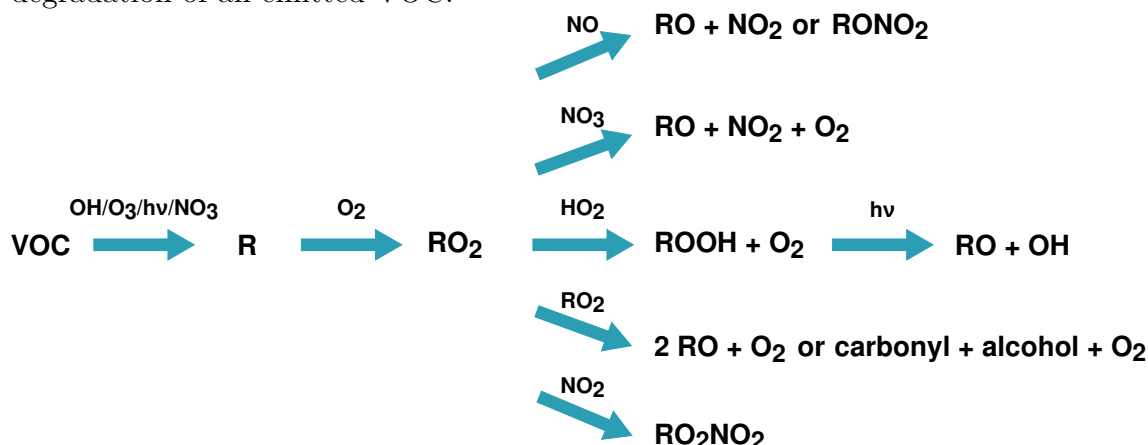
OH and HO_2 may also react in a termination reaction producing water vapour and oxygen.



Other termination reactions involve combination reactions of HO_2 radicals producing hydrogen peroxide (H_2O_2).



Figure 1.2: Schematic diagram outlining general pathways of the secondary degradation of an emitted VOC.



Similar to CO oxidation, NO_x conditions play a crucial role in the fate of CH_3O_2 and whether ozone is produced or destroyed, depicted in Fig. 1.1.

The general types of secondary degradation products formed during CH_4 degradation can be extended to more complex non-methane VOCs (NMVOCs). Initial oxidation pathways of NMVOCs are reaction with OH, while unsaturated VOCs, such as alkenes, may react with ozone and photolysis is important for carbonyl species. During the night-time, reaction with the nitrate (NO_3) radical is typically more important than OH-oxidation due to the relatively higher concentrations of NO_3 during night-time.

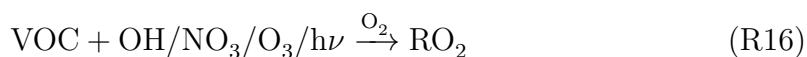
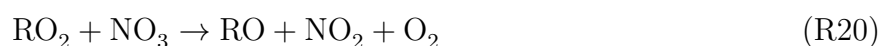
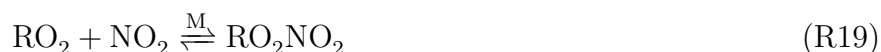


Figure 1.2 represents a general and simplified reaction scheme for VOCs in the troposphere. The initial oxidation of NMVOC produces RO_2 radicals and the fate of the RO_2 determines whether net loss or production of ozone occurs.



All degradation pathways of RO_2 that produce NO_2 result in O_3 formation due to

(R7) and (R3). Reaction with the HO_2 radical forms a hydroperoxide (ROOH) which may either be removed from the system or photolysed producing an alkoxy (RO) radical and OH . The carbonyl and alcohol products resulting from reactions between RO_2 radicals follows a similar sequence of reactions and can produce further O_3 . Thus the subsequent reactions of secondary degradation products of a VOC may lead to further production of ozone.

Reaction of RO_2 with NO_2 (R19) forms peroxy nitrates (RO_2NO_2) which are a temporary reservoir for RO_2 and NO_x . The thermal decomposition rate of RO_2NO_2 is highly temperature dependent. At lower temperatures, RO_2NO_2 builds up and may be transported away from the region of formation. Thus releasing RO_2 and NO_2 in areas away from large sources of NO_x and fuelling ozone production.

The reaction between NO and ozone is another important reaction in polluted regions.



Reactions (R24), (R7) and (R3) form a null cycle of ozone production and destruction which limits ozone levels. On the local urban scale close to NO sources, (R24) decreases ozone levels called ozone titration. Ozone titration is also important during the night where the lack of photochemistry does not regenerate ozone. Urban measurement studies have confirmed the importance of ozone titration near source of NO (Syri et al., 2001).

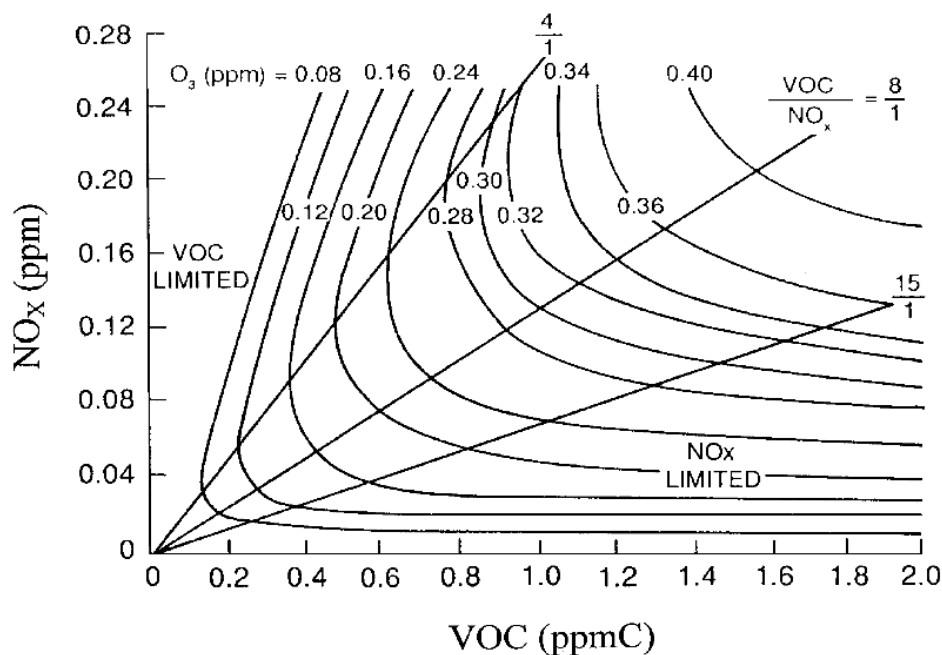
1.2.1 VOC and NO_x Chemistry

The fate of peroxy radicals produced during VOC degradation depends on the ratio of the concentrations of radicals and NO_x (Kleinman, 1991, 1994). In regions with low- NO_x concentrations, RO_2 are more likely to react with other radicals rather than convert NO to NO_2 leading to ozone production. This is *NO_x -limited* chemistry.

On the other hand, reactions between radicals and NO_x in regions with high levels of NO_x are more likely to occur. The production of HNO_3 increases through (R8) removing OH and NO_x . This is *VOC-limited* or *NO_x -saturated* chemistry.

The chemistry in low- NO_x and high- NO_x conditions indicates that ozone production is a non-linear process. Figure 1.3, from Jenkin and Clemitshaw (2000), depicts the non-linear relationship between ozone as a function of VOC and NO_x . This

Figure 1.3: Ozone isopleth plots for various initial mixing ratios of NO_x and VOCs. Taken from Jenkin and Clemitshaw (2000).



relationship can be divided into distinct regimes of ozone production: NO_x -sensitive (or NO_x -limited), NO_x -saturated (or VOC -limited) and VOC -and- NO_x -sensitive regimes.

In the NO_x -sensitive regime, increasing NO_x increases the number of NO to NO_2 conversions by peroxy radicals leading to ozone production. However, increasing VOC levels has little effect on O_3 production due to increased radical-radical reactions.

The NO_x -saturated regime corresponds to high NO_x concentrations where radicals tend to react with NO_x . Increasing levels of VOC increase the likelihood of RO_2 converting NO to NO_2 leading to ozone production. Increasing NO_x levels will not increase O_3 production.

The VOC -and- NO_x -sensitive regime (contour ridges in Fig. 1.3) is characterised by O_3 production being sensitive to both VOC and NO_x levels. Moreover, it is in this atmospheric regime that the maximum amount of ozone is produced. Kleinman (1994) showed that this non-linear relationship can be thought of as a titration process between radicals and NO_x with the VOC -and- NO_x -sensitive regime being the turning point.

The non-linear nature of ozone production is one of the challenges in controlling ozone levels. The difficulty is exacerbated by the fact that the troposphere

can alternate between these regimes depending on the meteorological conditions. Moreover, fresh emissions tend to occur in NO_x -saturated areas before being transported to VOC-and- NO_x -sensitive and NO_x -sensitive regions.

1.2.2 Representing Atmospheric Chemistry in Models

Representing the degradation chemistry for each VOC in a chemical transport model (CTM) is unrealistic. Even if all the secondary degradation pathways and products were known for every VOC, a CTM is unable to efficiently numerically solve the differential equations.

The representation of atmospheric chemistry in a CTM is called a chemical mechanism. Chemical mechanisms are developed by simplifying and aggregating VOCs, degradation products and reactions. Less aggressive simplification approaches may result in a chemical mechanism having thousands of species while more aggressive simplification may result in only a hundred species. Chemical mechanisms are verified by comparing the concentrations of field studies or controlled chamber study experiments to model simulations (Stockwell et al., 2012). Section ?? includes further details of the simplification techniques used to develop chemical mechanisms.

Chemical mechanism comparison studies, such as Kuhn et al. (1998) and Emmerson and Evans (2009), compare the outputs of different chemical mechanisms using the same model setup and initial conditions. These studies showed that the differences in representing atmospheric chemistry can lead to large differences in simulated ozone concentrations. These comparisons of the concentrations of key atmospheric species (such as ozone, OH and NO_x) indicate that the chemical mechanisms leads to differences but does not help in pointing out the root cause.

Determining the source of differences between chemical mechanisms is a difficult task due to the interlinked chemistry of many key species. As part of this study, the ozone production from different chemical mechanisms is compared and differences in the treatment of VOC degradation chemistry is determined. The research questions driving this comparison are presented in Sect. 1.5 and the results are described in Sect. 3.1.

1.3 Source and Sinks of Ozone Precursors

Ozone precursors are emitted from many anthropogenic and biogenic sources with varying emissions throughout the year, month or time of day. In many regions, reduced road transport during the weekend leads to a noticeable reduction in NO_x emissions influencing ozone levels. This is called the “weekend-effect”. For example, ozone production is NO_x -saturated during weekdays in San Joaquin Valley, California but during the weekend higher ozone levels are recorded as the reduction in NO_x levels leads to VOC-and- NO_x -sensitive chemistry (Pusede et al., 2014). Many sources of NMVOC, such as industry and solvent use, also reduce activities during the weekend. Residential combustion is highest during the winter months and lowest during the summer (Denier van der Gon et al., 2011).

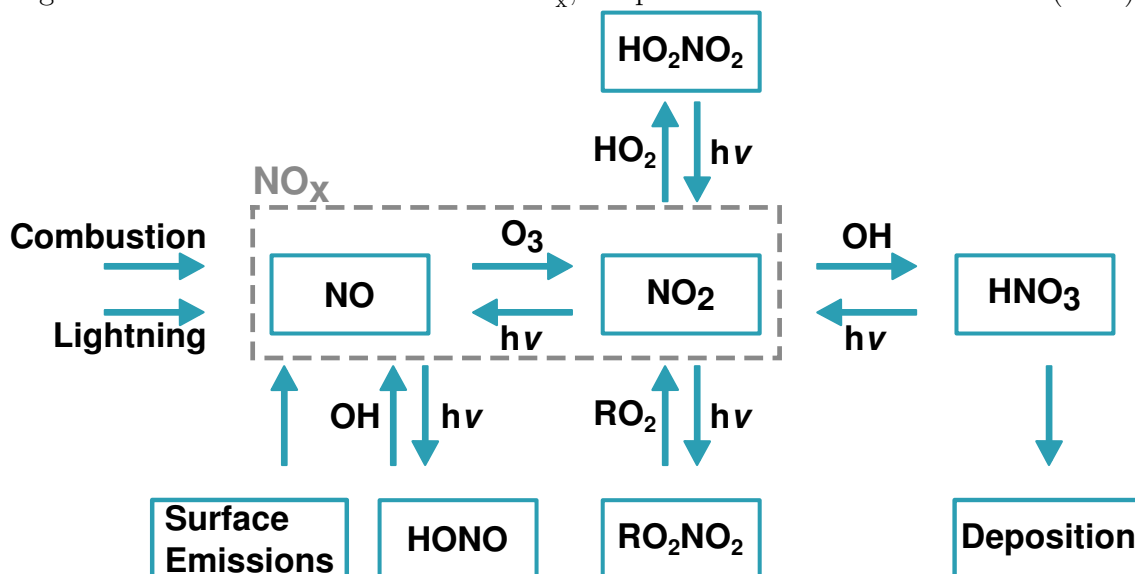
1.3.1 NO_x

Anthropogenic activities are the main source of NO_x emissions into the atmosphere. In the year 2000, almost 52 Tg N were emitted with 65 % through fossil fuel combustion (Seinfeld and Pandis, 2006). Examples of fossil fuel combustion emitting NO_x are transportation using diesel or petrol vehicles, industrial activities and domestic heating (von Schneidmesser et al., 2015).

Up to 95 % of NO_x emissions from combustion are emitted as NO, which is oxidised to form NO_2 through (R24) and (R6). However, due to the increase in diesel vehicles and the implementation of diesel filters the fraction of emitted NO_2 from vehicles has increased. Grice et al. (2009) showed that over Europe, emissions of NO_2 from diesel vehicles have increased from 8.6 % in 2000 to 12.4 % in 2004.

Despite the majority of NO_x emissions coming from human activities, there are also natural sources of NO_x . Lightning is an important source of NO_x in the free troposphere while emissions of NO_x from soils are important in remote regions with little anthropogenic influence. Lightning and soils each contributed ~ 10 % to global NO_x emissions in 2000 (Seinfeld and Pandis, 2006).

The main sink of NO_x is through deposition of nitric acid, formed via (R8). Temporary reservoirs, such as peroxy nitrates and HONO, may be transported away from sources into areas devoid of large sources of NO_x . These sources and sinks of NO_x are illustrated in Fig. 1.4.

Figure 1.4: The sources and sinks of NO_x , adapted from Seinfeld and Pandis (2006).

1.3.2 VOCs

The main sink of VOCs is the oxidation chemistry described in Sect. 1.2. The degradation of a VOC yields the maximum possible amount of ozone when every peroxy radical converts NO to NO_2 called the *ozone production potential* (OPP) of a VOC. In reality, the OPP of a VOC is never achieved as other reactions with peroxy radicals occur however the OPP is useful for assessing the amount of ozone produced from emitted VOCs.

Carbon Monoxide

Carbon monoxide is emitted directly into the troposphere through combustion and industrial processes. An equally-important source of CO is its formation during the degradation of VOCs. Hauglustaine et al. (1998) estimated that 881 Tg yr^{-1} of CO was produced globally from chemical oxidation of VOC while 1219 Tg yr^{-1} of CO was directly emitted.

The reaction between CO and OH (R5) is the main sink of CO . The OPP of CO is one as the degradation of CO produces one peroxy radical (HO_2), thus a maximum of one molecule of ozone may be produced during CO degradation.

Methane

Emissions of methane range between 500 and 600 Tg CH₄ yr⁻¹ with ~ 60 % of the emissions from anthropogenic sources. The main anthropogenic sources of CH₄ are agriculture, fossil fuels and biomass burning with agriculture contributing 60 % of the anthropogenically emitted CH₄. Emissions from wetlands are the main natural source of methane emissions (Kirschke et al., 2013).

Methane has a lifetime of about 9 years, significantly longer than other VOCs. Thus, methane influences ozone production on the global rather than the regional scale.

Reaction with OH (R15) is the main sink of methane and the secondary degradation of CH₄ (Fig. 1.1) produces CO and four peroxy radicals (1× CH₃O₂, 3× HO₂). Thus the OPP of methane is five as methane degradation can produce a maximum five molecules of O₃ per molecule of CH₄ oxidised.

NMVOCs

A wide variety of NMVOCs are emitted from anthropogenic activities directly into the troposphere. Solvent use, industry, fossil fuel burning and transportation are all major activities emitting NMVOCs of varying functional groups, carbon numbers and reactivity. Emissions of NMVOC from vegetation depends on meteorological variables (such as, temperature and radiation) and biological variables (such as, leaf age and leaf area index) (Guenther et al., 2012).

Lamarque et al. (2010) estimated that in 2000, 130 Tg NMVOC were globally emitted from anthropogenic sources. This amount is dwarfed by emissions from biogenic sources – 1000 Tg NMVOC yr⁻¹ (Guenther et al., 2012). Isoprene (C₅H₈) emitted from vegetation dominates at the global scale however emissions of monoterpenes and sesquiterpenes from vegetation may also be significant.

Although isoprene is considered as a biogenic VOC (BVOC), it has been measured in the urban areas of London and Paris away from biogenic emission sources. Transport of isoprene is unlikely as isoprene is a highly reactive NMVOC indicating anthropogenic sources of isoprene (von Schneidmesser et al., 2011). Other NMVOC emitted from anthropogenic sources, such as methanol and acetaldehyde, are also emitted from vegetation (Guenther et al., 2012).

The maximum number of molecules of O₃ produced per degradation of an

Table 1.1: Emission source sectors for anthropogenic emissions listed in the TNO_MACCIII inventory (Kuenen et al., 2014).

Description	Description
Public Power	Road Transport: Others
Residential Combustion	Road Transport: Evaporation
Industry	Road Transport: Wear
Fossil Fuel	Non-road Transport
Solvent Use	Waste
Road Transport: Gasoline	Agriculture
Road Transport: Diesel	

emitted NMVOC depends on the type and the number of carbons of the NMVOC leading to a wide range of OPPs for different NMVOC. Unsaturated NMVOC, such as alkenes, tend to have larger OPPs than alkanes (saturated NMVOC). Even within a functional group of NMVOC different OPPs are calculated. For example, benzene and xylene are both aromatic compounds but as benzene is a more chemically stable molecule it has a lower OPP than xylene (Carter, 1994).

OPPs for complex NMVOCs are calculated using models by incrementally varying the concentration of an NMVOC and calculating the change in ozone. Different scales calculating the OPP of NMVOC have been developed using different NO_x conditions. The Maximum Incremental Reactivity (MIR) and Maximum Ozone Incremental Reactivity scales of Carter (1994) and the Tagged Ozone Production Potential (TOPP) are examples of OPP scales for NMVOCs.

1.3.3 Representing NMVOC Emissions in Models

Emissions of NMVOC species are a critical input in models and emission inventories are used to specify the type and quantity of emissions from source categories. Table 1.1 lists the source sectors of emissions used by the TNO_MACCIII emission inventory (Kuenen et al., 2014). Emission inventories are available for the global or regional emissions. For example, EDGAR (JGJ et al., 1996) specifies global emissions while TNO_MACCIII (Kuenen et al., 2014) specifies european emissions.

Many uncertainties are associated with emission inventories. For example, Coll et al. (2010) showed that large discrepancies arise between ambient measurements and emission inventories. Also, the temporal variation of emissions are not captured by emission inventories (Boynard et al., 2014).

BVOC emissions depend on meteorological and biological variables and

Table 1.2: Influence of meteorological variables on ozone production, taken from Jacob and Winner (2009).

Meteorological Variable	Influence on Ozone
Temperature	Consistently positive
Stagnation	Consistently positive
Wind Speed	Generally negative
Mixing Height	Weak or variable
Humidity	Weak or variable
Cloud Cover	Generally negative
Precipitation	Weak or variable

algorithms estimating BVOC emissions may be calculated as part of the model simulation instead of an emission inventory. The Model of Emissions of Gases and Aerosols from Nature (MEGAN) (Guenther et al., 2006, 2012) calculates BVOC emissions at each model time-step using the temperature and radiation values determined from the model. The choice of on-line algorithm or emission inventory to specify BVOC emissions influences modelled ozone concentrations. For example, Curci et al. (2009) noted large differences in summertime ozone concentrations over Europe when using a gridded emission inventory or an on-line algorithm.

Emissions of the NMVOCs specified by an emission inventory are mapped to the chemical mechanism species used in the model. This mapping is not standardised throughout the modelling community with the same NMVOC emissions possibly being allocated to different chemical species even if using the same chemical mechanism (Carter, 2015).

The influence of the speciation of NMVOC emissions on modelled ozone production is determined as part of this work. Moreover, the effect of using the same speciations of NMVOC emissions with different chemical mechanisms is also explored. Section 1.5 outlines the research questions and the results are presented in Sect. 3.2.

1.4 Effects of Meteorology on Ozone Production

Meteorological conditions influence the production of ozone with clear and calm summer days typically having high ozone levels (Dueñas et al., 2002). Comrie (1997) noted a complex relationship between meteorology and ozone due to competing positive and negative effects on ozone production. Table 1.2, taken from Jacob and Winner (2009), details the effects of specific meteorological variables on ozone production.

Climate change is predicted to influence many meteorological variables and increase the number of heatwaves. Thus understanding the influence of meteorology on ozone production is particularly important for future predictions of air quality and tackling ozone pollution in a changing climate.

Humidity

Humidity influences ozone production both positively and negatively. When $O(^1D)$, originating from ozone photolysis (R2) reacts with water vapour (R4), the production of OH radicals leads to ozone loss. However, the initiation of VOC degradation through reaction with OH can lead to ozone production (Sect. 1.2). These competing effects of water vapour on ozone production lead to a weak correlation of ozone production with water vapour (Jacob and Winner, 2009).

Wind Speed

High wind speeds transport ozone precursors away from their sources leading to a generally negative effect on ozone pollution over a region. Model projections of Doherty et al. (2013) showed that while climate change is expected to change large-scale atmospheric transport there is little influence on the spatial patterns of mean concentrations of ozone.

Stagnation

During periods of low wind speeds, emissions of ozone precursors remain close to their sources. These stagnant conditions over polluted urban areas are highly correlated with increased ozone production over urban areas (Jacob and Winner, 2009). Heatwaves result from stagnant conditions along with high temperatures enhancing the ozone pollution over a region.

Mixing Height

The effects of the mixing height of the planetary boundary layer (PBL) with the free troposphere depend on the region. For example, Dawson et al. (2007) found that over the Eastern U.S., regions with low ozone are positively correlated with mixing height whereas regions with high ozone levels are negatively affected.

This spatial effect of mixing height on ozone production depends on the difference between ozone levels within the PBL and the free troposphere (Jacob and Winner, 2009).

Mixing between the PBL and free troposphere into regions with levels of surface ozone lower than the free troposphere is an additional source of ozone. Conversely, mixing of the elevated levels of ozone from polluted areas into the free troposphere reduces the surface ozone burden.

Temperature

Temperature is positively correlated with ozone in many areas. Otero et al. (2016) showed that temperature was the main driver of summertime ozone values over many areas of central Europe while Camalier et al. (2007) correlated ozone with temperature over the Eastern US. Sillman and Samson (1995) illustrated that only ozone pollution produced from the chemistry described in Sect. 1.2 is correlated with temperature rather than background ozone, the ozone levels without the influence of anthropogenic emissions.

Temperature directly influences ozone levels in two ways: increasing the emissions of VOCs from vegetation and speeding up the rates of chemical reactions. The review of Pusede et al. (2015) showed that the temperature dependence of radical production, organic reactivity, the shorter lifetime of RO_2NO_2 and the formation of alkyl nitrates (R18) affects ozone production.

There is a lack of detailed process studies separating the direct effects of temperature on ozone over differing NO_x conditions despite observational and regional modelling studies correlating temperature with ozone production. The final part of this work addresses whether the increase in BVOC emissions or faster reaction rates with temperature is more important for ozone production. The research questions for this study are detailed in Sect. 1.5 and results are presented in Sect. 3.3.

1.5 Research Questions

The detailed chemistry producing ozone cannot be fully represented in models for reasons of computational efficiency. Thus models select a particular representation of atmospheric chemistry raising the overarching research questions for this thesis:

- How do representations of detailed atmospheric chemistry influence simulated ozone production?
- What are the most important chemical processes when simulating ozone production?

This question is addressed through detailed modelling studies highlighting the chemical processes having the largest impact on simulated ozone production under three different conditions.

Firstly, different simplified versions of the ozone production chemistry are available to the modelling community with comparison studies showing that ozone concentrations vary between chemical mechanisms. These chemical mechanism comparison studies do not determine the root causes of the differences between chemical mechanism, leading to the research questions:

- How do the simplification techniques used by different chemical mechanisms affect ozone production?
- Which processes are responsible for differences in ozone production with different chemical mechanisms?

Secondly, NMVOC emissions are a known source of uncertainty in modelling experiments. The choice of emission inventory influences the speciation of individual NMVOC emissions possibly influencing ozone production. By comparing the ozone produced using different emission inventories, the following research questions are addressed:

- What is the influence on modelled ozone production when using different speciations of emitted NMVOCs?
- Does this influence change when using different chemical mechanisms?

Finally, meteorology influences ozone production with temperature having the strongest positive correlation with ozone. Temperature directly influences ozone production through increasing biogenic emissions and speeding up the reaction rates of chemical reactions.

- Are temperature-dependent emissions or chemical processes more important for ozone production with increasing temperature?
- How is the ozone-temperature relationship treated by different chemical mechanisms?

Detailed processed studies are performed using a box model to address these research questions, details of the experiments are presented in Chap. 2. The results of the experiments are found in Chap. 3, the general discussion and conclusions of the thesis are in Chap. 4.

Chapter 2

Methodology

This chapter describes the methods and materials used throughout the study to address the research questions of the study (Section). Details of the modelling set-up, including initial and boundary conditions are included in this chapter.

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2.1 Air Quality Modelling

Photochemical models are used to predict future air quality scenarios. A large array of these models are used depending on the study focus, for example, global photochemical models can predict air quality on a global scale and include the relevant chemical and dynamical processes whereas an urban model focuses on a particular urban area and includes the relevant processes (such as topography, local emission source) to the area being studied. Despite differing scopes between models, there are a number of common inputs including emissions of chemical species into the model, transport of the species, atmospheric physical and chemical transformation and numerical solutions to the applicable differential equations.

Models are usually defined as either Eulerian or Lagrangian, with Eulerian models constituting most of the models used in the air quality modelling community (Russell and Dennis, 2000). Eulerian models describe the atmosphere by fixed computational cells where species enter in and out of the cell walls and the concentrations of the species within each cell are calculated as a function of time. Whilst Lagrangian models simulate changes of selected air parcels during advection through the atmosphere, hence there is no mass exchange between the surroundings and the air parcel (besides the emissions) and the model calculates concentrations at

different locations at different times (Seinfeld and Pandis, 2006).

Photochemical models also have different dimensions, ranging from zero-dimensional (box model) to three-dimensional models where the simplicity and computing power increase with the dimension of the model. 3-D models calculate atmospheric concentrations as a function of latitude, longitude, altitude and time. While 2-D models assume that concentration is a function of latitude and altitude (but not longitude) and time. Column models (or 1-D models) use concentrations that are a function of time and height. Box models are the simplest type of a model and have uniform atmospheric concentrations that are only a function of time (Seinfeld and Pandis, 2006).

Box models lack physical realism and essentially focus on processes relevant to a point in the atmosphere. Despite the lack of realism, box models are extremely useful for studying the detailed processes that influence air quality. Examples of modelling studies that have used box models include Qi et al. (2007), Li et al. (2014) and Nölscher et al. (2014).

All photochemical models numerically solve the chemical species conservation equation which describes the processes affecting the concentration of the different species:

$$\frac{\partial c_i}{\partial t} + \nabla \cdot \bar{U}c_i = \nabla \rho D \nabla (c_i/\rho) + R_i(c_1, c_2, \dots, c_n, T, t) + S_i(\bar{x}, t), \quad i = 1, 2, \dots, n. \quad (2.1)$$

In Eq. (2.1), c_i is the concentration (in mass or volume) of species i , \bar{U} is the wind velocity vector, D_i is the molecular diffusivity of species i , R_i is the rate of concentration change of species i through chemical reactions, $S_i(\bar{x}, t)$ is the source or sink of i at location \bar{x} , ρ is the air density and n is the number of predicted species. R may also be a function of meteorological parameters such as temperature T and S includes emission and deposition processes affecting i (Russell and Dennis, 2000).

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The dimension and type of the model determine the set of differential equations that will be solved at each time step of the model run. Numerical methods to determine the concentration of species i in Eq. (2.1) vary between models, examples include Runge-Kutta (Sandu et al., 1997b), Finite Element (Russell and Dennis, 2000) or Rosenbrock methods (Sandu et al., 1997a).

Initial and boundary conditions are required to numerically solve the system of differential equations. Boundary conditions are typically the most difficult input to set accurately as this requires knowledge of the investigated species concentrations

and transport at the boundary edges (if applicable) of the model grid. Setting the initial conditions involves fixing the starting concentrations of the species being studied, these conditions are dependent on the area being studied and whether it is an urban or rural area, amongst other considerations.

2.1.1 Model Description and Setup

In order to assess the detailed processes producing tropospheric ozone within general air quality modelling, we used a box model to focus on the gas-phase chemistry affecting tropospheric ozone. All simulations in this study were performed using the MECCA (Module Efficiently Calculating the Chemistry of the Atmosphere) box model developed by Sander et al. (2005) that was adapted to include MCM v3.1 chemistry as described in Butler et al. (2011). The MECCA box model has been used for numerous detailed process studies of atmospheric gas-phase chemistry including Kubistin et al. (2010), Xie et al. (2008) and Lourens et al. (2016).

MECCA is written using the FORTRAN programming language and runs on UNIX/Linux platforms. The setup of MECCA that we used uses the KPP (Kinetic Pre-Processor) (Damian et al., 2002) to efficiently setup up the system of differential equations (Eq. (2.1)). KPP processes the specified chemistry scheme in the chemical mechanism and generates Fortran code that is then compiled by MECCA. KPP also has numerous choices for the numerical solver used to numerically determine the concentrations of all the species described by the chemistry. We have used a Rosenbrock solver (the `ros3` option) throughout the study.

Aside from the chemistry, MECCA also calculates physical parameters at every time step of the simulations. In our simulations, the pressure, temperature, relative humidity and boundary layer height are held constant at the set values of Table 2.1. The specific changes to these parameters that were systematically varied to answer the research question related to are detailed in the relevant publication (Chapter).

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Photolysis rates in this study are calculated by using a paramaterisation that calculates the photolysis rate as a function of the solar zenith angle. This paramaterisation requires the degree of latitude for the study to be a defined variable in MECCA, we have chosen the 34° N latitude which is roughly that of the city of Los Angeles. The simulations start at the spring equinox (27th March) at 6am and allowed to run for seven diurnal cycles.

Table 2.1: General settings used for MECCA box model in this study

Model Parameter	Setting
Pressure	1013 hPa
Temperature	293 K
Relative Humidity	81 %
Boundary Layer Height	1000 m
Latitude	34° N
Starting Date and Time	27th March 06:00
Model Time Step	20 mins
Model Run Time	7 days

In our setup of MECCA, all fluxes into and out of the box are handled by KPP. The chemical mechanism file, processed by KPP, includes specific pseudo-unimolecular reactions specifying the emissions and dry deposition of chemical species along with the relevant rate. The chemical species that are emitted into the model and the emission rates are read into the model using a namelist file. Namelist files are also used to specify the initial conditions of chemical species and the mixing ratios of those chemical species that are fixed throughout the model. In all simulations, methane (CH_4) was fixed to 1.75 ppmv while carbon monoxide (CO) and O_3 are initialised at 200 ppbv and 40 ppbv and then allowed to evolve freely.

2.2 Chemical Mechanisms

The atmospheric chemistry in AQ models is described by the chemical mechanism used by the AQ model. The chemical mechanism includes rate coefficients, reaction pathways with the corresponding branching ratios, photolysis rates and reaction products which are required to solve the concentrations of each chemical species within the system using Equation (2.1).

Different modelling scopes and models determine the level of chemical detail of the chemical mechanism used by the AQ model, thus many different chemical mechanisms have been developed by the AQ modelling community. The level of detail included in the chemical mechanism determines the amount of computing resources required for the model simulations, hence the chemical mechanism in a 3-D model will typically be less detailed than the chemical mechanism used by a box model.

Chemical mechanisms range from highly-detailed (explicit) chemical mechanisms to the less-detailed lumped-structure and lumped-molecule chemical

Table 2.2: Chemical mechanisms used in the study.

Chemical Mechanism	Lumping Type	Reference
MCM v3.1 and v3.2	No lumping	Jenkin et al. (1997), Jenkin et al. (2003) Saunders et al. (2003), Bloss et al. (2005) Rickard et al. (2015)
CRIV2	Lumped intermediates	Jenkin et al. (2008)
MOZART-4	Lumped molecule	Emmons et al. (2010)
RADM2	Lumped molecule	Stockwell et al. (1990)
RACM	Lumped molecule	Stockwell et al. (1997)
RACM2	Lumped molecule	Goliff et al. (2013)
CBM-IV	Lumped structure	Gery et al. (1989)
CB05	Lumped structure	Yarwood et al. (2005)

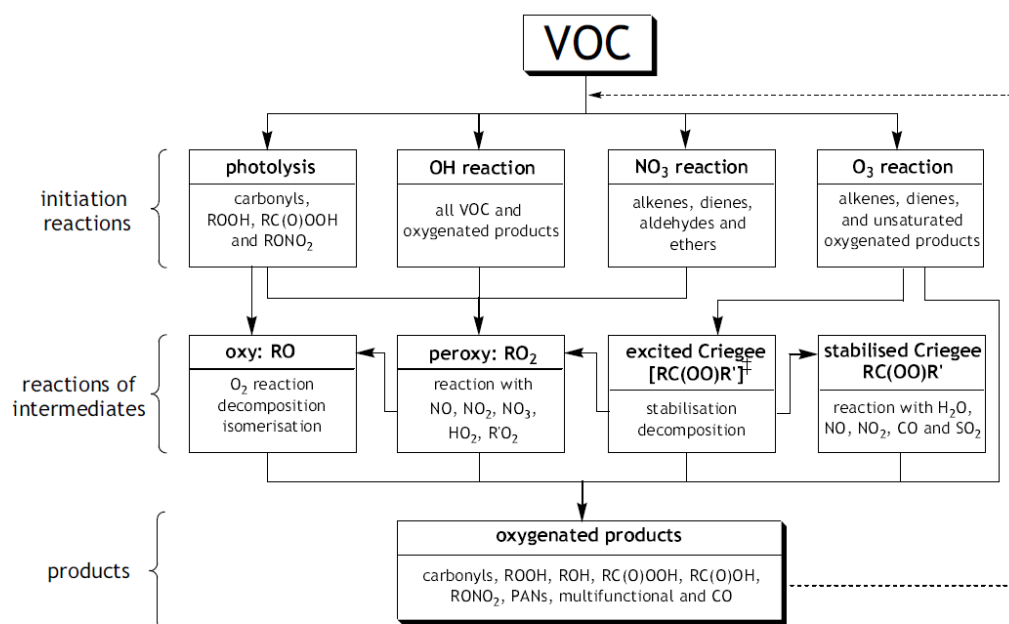
mechanisms. The self-generating chemical mechanism of Aumont et al. (2005) is an example of an explicit chemical mechanism and includes many thousands of reactions outlining the degradation chemistry of VOCs, outlining even the reactions generating degradation products of minor importance in the atmosphere. Near-explicit chemical mechanisms, such as the Master Chemical Mechanism (MCM) of Jenkin et al. (1997), Jenkin et al. (2003), Saunders et al. (2003), are less-detailed than self-generating mechanisms but still contain many thousands of reactions and as such are mainly used in box models. The MCM representation of VOC degradation chemistry is discussed in more detail in Sect. 2.2.1.

Many other chemical mechanisms have been developed to describe atmospheric chemistry using less-detailed descriptions as those used by explicit and near-explicit chemical mechanisms so that these chemical mechanisms are computationally efficient for use within 3-D models. These reduced chemical mechanisms have been developed using a number of different techniques which ultimately lead to aggregating (lumping) VOC into mechanism species, these mechanism species are then degraded in such a way that the chemical production of ozone is similar to that from observational records. The first part of this study compares the maximal ozone produced from a number of reduced chemical mechanisms, listed in Table 2.2, a description of the different reduction techniques used by the reduced mechanisms is found in Sect. 2.2.2, Sect. 2.2.3 and Sect. 2.2.4. The main results from the chemical mechanism comparison study are presented in Sect. 3.1.

2.2.1 Near-Explicit Chemical Mechanisms

The Master Chemical Mechanism (MCM v3) is a near-explicit mechanism describing the chemical degradation of 107 non-aromatic VOCs in (Saunders et al.,

Figure 2.1: Flowchart of the major reactions of primary VOCs, intermediates and products considered in the MCM. Taken from Fig. 1 in Saunders et al. (2003)



2003) and 18 aromatic VOCs in (Jenkin et al., 2003). The MCM v3.2 was used as the reference mechanism for this study as it was the most recent version of the MCM at the time of the first experiments related to the chemical mechanism study; the MCM v3.2 was obtained from the world wide web (<http://mcm.leeds.ac.uk/MCMv3.2/>). In total, the MCM v3.2 has 12,691 reactions including 4351 organic compounds and 46 inorganic compounds. The primary VOCs represented by the MCM v3.2 were determined by which VOC have the most emissions (by mass) as listed by the UK National Atmospheric Emissions Inventory and makes up about 70% of the mass emissions of unique species achieved.

Each primary VOC and each degradation product, is individually degraded until it is broken down to CO₂, H₂O, CO or an organic product (or radical) already represented by the MCM (Jenkin et al., 1997). Jenkin et al. (1997) outlines the main assumptions used when developing the MCM in order to reduce the number of chemical species and reactions, these include:

1. limiting the number of product channels resulting from reaction with the OH radical by disregarding pathways of low probability,
2. representing permutation (self and cross) reactions of organic peroxy radicals by a single parameterised reaction, and
3. simplifying the degradation chemistry, especially for those products deemed to be of minor importance.

Figure 2.1 shows the reaction pathways represented in the MCM of the primary VOC. The main reaction pathway for VOC degradation is by reaction with the OH radical, while ozonolysis is only important for alkenes, dienes, monoterpenes, some aromatic VOCs and some unsaturated oxygenated products. Reaction with the NO₃ radical is mainly important during the night-time and only included for alkenes, dienes, aromatics, aldehydes and ethers. Rate constants and branching ratios of the reactions represented in the MCM v3.2 are those recommended by IUPAC. If no data was available then they are estimated using structure activity representation (SAR) or group reactivity (GR) methods.

The degradation products of the primary VOCs are also treated in detail, with the first generation products also degraded further as part of the MCM. Those degradation products having significant tropospheric concentrations are treated in detail by the MCM otherwise the further degradation is limited to reaction with the OH radical. Those products deemed of minor importance are also greatly simplified whilst retaining product lifetimes and maintaining the carbon and nitrogen balance.

2.2.2 Lumped Intermediate Chemical Mechanisms

Chemical mechanisms which aggregate (lump) the degradation products rather than primary VOC are called lumped intermediate chemical mechanisms. The Common Representative Intermediates (CRI) chemical mechanism (Jenkin et al., 2008) is an example of a lumped intermediate mechanism.

The CRI is a reduced version of the MCM that can be used in 3-D AQ models. Reducing the complexity of the MCM was achieved by representing many degradation products (intermediates) in the CRI by a single mechanism species, rather than lumping primary VOC into a mechanism species. These intermediates are designed to produce the same amount of ozone as when using the MCM. The CRI v2 was used in this study and the intermediates in this version of the CRI mirror the ozone production from the MCM v3.1.

The CRI v2 is available online (<http://mcm.leeds.ac.uk/CRI>) in a full version and further reduced variants that include even further reductions to the chemistry represented in MCM v3.1 by also lumping VOCs into lumped mechanism species. Jenkin et al. (2008) describes the main assumptions made in order to condense the organic chemistry of the MCM v3.1 to the lumped intermediate chemistry of the CRI v2, while Watson et al. (2008) describes the further reductions made to the CRI v2 to produce the five lumped emission variants. The most reduced version

of the CRI v2 has also been implemented into the widely used 3-D regional model WRF-CHEM as described in Archer-Nicholls et al. (2014).

The approach used to develop the CRI involves determining the potential number of NO-to-NO₂ conversions by the peroxy radicals formed during the degradation of a VOC, this is called the CRI-index. The CRI-index is thus the potential number of ozone molecules produced during the degradation of a VOC as the number of potential NO-to-NO₂ conversions by peroxy radicals is directly related to the potential number of O₃ molecules (Sect.). A single “common representative” is created to represent a part of the secondary degradation of a large number of species based on the CRI-index that was calculated based on the description of the degradation of the VOC in the MCM (Jenkin et al., 2008). This approach greatly reducing the number of species and reactions in the CRI compared to the near-explicit representation of the MCM.

The mechanism intermediate species are further optimised by performing multi-day model runs comparing the ozone produced from a single VOC in the CRI v2 to that in MCM v3.1. These model runs were performed for each of the primary VOC represented in the MCM v3.1 and CRI v2, starting with the smallest VOC of a particular functional group and only moving to the next largest VOC once the ozone production was optimised to that of the MCM v3.1. The primary criterion in these tests was ozone formation, the ozone formation of the mechanism intermediate species was optimised to that in the MCM v3.1 using a non-linear least squares fitting and the agreement was improved by varying the OH-reactivity and photolysis rate of the intermediate species. The individual testing of the intermediates for each VOC also showed that some intermediates did not directly follow the CRI-index rule and in-place adjustments were required to retain the ozone formation in the MCM v3.1. This approach was used for OH, O₃ and NO₃ initiated degradation (Jenkin et al., 2008).

Despite the large reductions to the MCM v3.1 chemistry to produce the CRI v2 described in Jenkin et al. (2008), the number of reactions are still too many to guarantee computational efficiency for use in 3-D models. Further reductions were made by lumping VOC emissions to produce five further reduced variants of the CRI v2; the methodology for these reductions is described in Watson et al. (2008) and summarised below.

The focus of the reductions to the full CRI v2 was on reducing the number of species and reactions representing anthropogenically emitted VOC, as on a global scale these VOC are less significant than biogenically emitted VOC. The primary

Table 2.3: Summary of the CRI v2 and its five reduced variants. Data from Table 1 in Watson et al. (2008).

CRI version	v2	v2-R1	v2-R2	v2-R3	v2-R4	v2-R5
Primary VOCs	115	67	55	42	33	22
Species ^a	434(4361)	373(3466)	352(3099)	296(2649)	219(1983)	195(1244)
Reactions ^a	1183(12775)	1012(10150)	988(9099)	882(7833)	643(5884)	555(3670)

^a Data in brackets represents the number of species and reactions required to degrade the same VOCs in the MCM v3.1.

VOC represented by the full CRI v2 were reduced into lumped species based upon two methods, first by re-distributing VOCs of minor importance into lumped mechanism species that represent the chemistry of separate functional classes (alkane, alkene, aromatic, alcohol/ether, aldehyde, ketone, ester/acid). POCPs of the primary VOC being lumped into mechanism species were used to determine the ozone production from the primary VOC and then select the appropriate mechanism species. This approach created three reduced variants (CRI v2-R1, CRI v2-R2 and CRI v2-R3), with progressively increased lumping of the primary VOC (Watson et al., 2008).

The second approach to reducing the CRI v2 chemistry involved stricter reductions by using definitions of individual VOC emissions from the Global Emissions Inventory Activity (GEIA). Again, POCP values of the individual VOC were used to assign the lumped mechanism species. This approach produced the two most reduced variants of the CRI v2—CRI v2-R4 and CRI v2-R5, where the latter is the most reduced form of the CRI v2 (Watson et al., 2008). A summary of the five reduced variants of the CRI v2 compared to the full CRI v2 is presented in Table 2.3.

2.2.3 Lumped Molecule Chemical Mechanisms

Lumped molecule chemical mechanisms reduce atmospheric chemistry by aggregating primary VOC into mechanism species; this is the most common technique used when developing a reduced chemical mechanism. Different chemical mechanisms use different approaches when creating these mechanism species that are used represent a multitude of primary VOC. Typically NMVOC, such as isoprene, ethane and ethene, that make up a large fraction of NMVOC emissions are represented by dedicated (explicit) species and mechanism species are used to represent specific groups of NMVOC. These lumped mechanism species typically represent NMVOC based on functional group or OH-reactivity. Table 2.2 lists the lumped-molecule chemical mechanisms used in this study and Table 2.4 provides further information about these chemical mechanisms.

Table 2.4: Explicitness of each of the lumped-molecule chemical mechanisms listed in Table 2.2

Chemical Mechanism	Number of Primary VOC	Number of Species	Number of Reactions
MOZART-4	20	85	157
RADM2	21	63	157
RACM	25	77	237
RACM2	40	120	363

MOZART

The Model for OZone and Related chemical Tracers (MOZART) chemical mechanism is an example of a lumped molecule chemical mechanism used in global and regional 3-D models. MOZART was developed for global chemical transport models and describes chemical processes within the boundary layer, free troposphere and stratosphere. MOZART-4 (Emmons et al., 2010) is the version used in this study and includes updates to the tropospheric chemistry from the previous MOZART-2 version (Horowitz et al., 2003); MOZART-3 (Kinnison et al., 2007) provided updated stratospheric chemistry.

MOZART-4 represents organic VOCs of methane, ethane, propane, ethene, propene, isoprene and formaldehyde by explicit species. Lumped mechanism species, BIGALK, BIGENE and TOLUENE, are used to represent alkanes and alkenes with four or more carbon atoms and all aromatic VOC (Emmons et al., 2010). Thus, the lumping species used by MOZART are based on the functionality of the VOC. There are no mechanism species representing emissions of esters, ethers or chlorinated NMVOC, when representing emissions of these less-reactive NMVOC are added to the BIGALK mechanism species as this has the slowest OH-reactivity.

MOZART-4 is also capable of representing aerosol chemistry and directly calculating both photolysis and dry deposition rates. For the purpose of this study, we were only interested in gas-phase chemical processes occurring with the boundary layer and so all processes relating to stratospheric, free troposphere and aerosol processes were not used. We also used the MCM approach to calculating photolysis and dry deposition rates.

RADM2

One of the older lumped molecule, but still widely used, chemical mechanisms is the second version of the Regional Acid Deposition Model (RADM2)

originally described in Stockwell et al. (1990). RADM2 is typically used in regional modelling studies and the chemical mechanism has been used extensively since its inception.

The organic VOC methane, ethane, ethene, isoprene and formaldehyde are represented explicitly in RAMD2. RADM2 uses lumped mechanism species to represent many VOC based upon the OH-reactivity and functional group of the VOC. In particular, there are three mechanism species (HC3, HC5, HC8) representing three types of hydrocarbons based on their OH-reactivity. These three species are then used to not only represent alkanes, but also many other species – such as alcohols, ethers, chlorinated VOC – based on their OH-reactivity.

All alkenes having more than two carbons are represented by either OLT or OLI depending on the position of the double bond (OLT: terminal alkenes and OLI: internal alkenes). The exception to this is isoprene, whose degradation is treated by an explicit species, due to the importance of isoprene chemistry as it is globally the VOC with the most emissions.

Aromatic VOC are represented by TOL, XYL or CSL depending on whether their OH-reactivity is slow, fast or they are hydroxy-substituted. Carbonyls (aldehydes and ketones) and organic acids are also represented by lumped mechanism species. Typically the NMVOC having the least number of carbons (e.g. formaldehyde and formic acid) is represented by an explicit species and then all other species from that functional group are represented by a lumped species.

The reaction rate coefficients of the lumped mechanism species were obtained by using a weighted mean of all the rate coefficients of the organic species aggregated into the model species, this was done to account for the difference in reactivities between the model and chemical species. The secondary degradation of the lumped species is described by chemistry that takes into account all the known VOC that are represented by the lumped species.

RADM2 reduces the number of peroxy radicals that need to be represented by using an operator species (XO2) that converts NO to NO₂ in an attempt to replicate the ozone produced by NMVOC when represented by the mechanism species. Thus, XO2 is one such mechanism species that appears in the secondary degradation of most of the lumped mechanism species. Another way of reducing the number of peroxy radicals is that only reactions of RO₂ with HO₂, CH₃O₂ and CH₃CO₃ are included as these are the most abundant RO₂.

RACM

Stockwell et al. (1997) describes the Regional Atmospheric Chemistry Mechanism (RACM) which is an updated to the RADM2 chemical mechanism. RACM includes lumped mechanism species not included in RADM2 such as API and LIM to represent cyclic terpenes with one double bond and all other cyclic terpenes.

Once again, the primary VOC are grouped into lumped mechanism species based upon functional group similarity and OH radical reactivity. The final mechanism species was determined by first grouping hundreds of anthropogenic VOCs into 32 emission categories and then finally aggregating into the final 16 lumped mechanism species.

The secondary chemistry of many of the lumped mechanism species included in both RADM2 and RACM was extensively updated which meant the inclusion of many new and additional mechanism species produced during the degradation of these lumped primary species. These product species are calculated as a weighted mean of the product yields of all the chemical species represented by the model species, where the individual yields are taken from literature.

The same approach to representing RO_2 - RO_2 reactions as used in RADM2 is used in RACM. Further details for calculating the reaction rate coefficients are described in Kirchner and Stockwell (1996).

RACM2

RACM was further extended and updated to RACM2, described in Goliff et al. (2013), once again the main updates included more lumped mechanism species to represent primary VOC emissions as well as updates to the secondary chemistry of lumped mechanism species. Alkane and alkene chemistry is largely unchanged from RACM except for some updates to reaction rate coefficients. Moreover, there were no major changes to the approach used when describing gas-phase chemistry from RADM2 or RACM.

Aromatic VOC and subsequent secondary chemistry was overhauled in RACM2 from RACM. RACM2 represents aromatic VOC by eight mechanism species instead of three species as in RACM, with explicit representation of benzene and each xylene isomer having its own mechanism species. The secondary chemistry of the aromatic VOC was updated to be similar to that of the MCM, with differences arising from the different treatments of gas-phase chemistry in the MCM and RACM2. The

Table 2.5: Carbon bonds and mechanism species represented in CBM-IV and CB05.

Mechanism Species	Carbon Bond
PAR	C–C
OLE	C=C
ALD2	C=O

main difference is the different treatment of $\text{RO}_2\text{-RO}_2$ reactions.

Acetone and methyl ethyl ketone (MEK) are now treated as separate species rather than being represent as a single mechanism species, KET, in RADM2 and RACM. Alcohols are now also represented in RACM2, whereas in the previous versions, alcohols were represented by HC3, HC5 or HC8.

2.2.4 Lumped Structure Chemical Mechanisms

The technique used by lumped-structure chemical mechanisms to reducing atmospheric chemistry is to express VOC emissions as permutations of building blocks that represent the structure of the emitted VOC. Thus, each lumped-structure chemical mechanism includes a number of these building blocks which are then emitted according to the initial VOC emissions being studied. The Carbon Bond mechanism is the most widely used lumped-structure chemical mechanism and in the first part of this thesis we have looked at the fourth version (CBM-IV, (Gery et al., 1989)) and the fifth version (CB05, (Yarwood et al., 2005)). Both Carbon Bond versions include mechanism species representing the different carbon bonds present in typically emitted VOC, the representation of the carbon bonds included in CBM-IV and CB05 are outlined in Table 2.5.

CBM-IV

The fourth version of the Carbon Bond mechanism was developed to represent the chemistry producing ozone in polluted urban conditions and is described in Gery et al. (1989). CBM-IV represents 20 organic species and requires 46 reactions to fully represent the secondary chemistry. Explicitly represented emitted NMVOC are those with the most significant emissions: isoprene, ethene and formaldehyde. In addition to the mechanism species listed in Table 2.5, there are mechanism species representing both slower and faster reacting aromatic VOC (TOL and XYL). Acetaldehyde (ALD2) is also represented as it is an important degradation product of the secondary chemistry from a number of VOC. CBM-IV uses an operator species

(XO2) to represent NO to NO₂ conversion by organic peroxy radical, similar to many lumped-molecule chemical mechanisms.

NMVOC emissions emitted by the mechanism species are described in Hogo and Gery (1989). For example, if heptane, having seven carbons each with a single bond, has emissions of 1×10^9 molecules cm⁻³ s⁻¹ then using the CBM-IV, these emissions would be represented by 7 PAR and thus PAR emissions would be 7×10^9 molecules cm⁻³ s⁻¹. Also, propene is represented as 1 OLE and 1 PAR and so emissions of 1×10^9 molecules cm⁻³ s⁻¹ would be emitted as 1×10^9 molecules cm⁻³ s⁻¹ of OLE and 1×10^9 molecules cm⁻³ s⁻¹ of PAR.

CB05

CBM-IV was updated to CB05, described in Yarwood et al. (2005), to include more species representing emitted VOC. CB05 now includes 99 organic reactions to represent the degradation of 37 organic species. Mechanism species for terpenes (TERP), ethane (ETHA), aldehydes with more than three carbons (ALDX), methanol (MEOH), ethanol (ETOH) and alkenes with both internal (IOLE) and external (OLE) double bonds were included.

In addition to updating reaction rate constants and including more mechanism species to represent atmospheric chemistry more explicitly, the CB05 was also updated to include chemistry reflecting low-NO_x conditions. Thus reactions involving peroxide formation, a characteristic of low-NO_x conditions, were included in CB05.

2.3 Using the Chemical Mechanisms in MECCA

As outlined in Sect. 2.1.1, the MECCA boxmodel is based upon the KPP pre-processor and so required that all chemical mechanisms listed in Table 2.2 be written in the KPP format. The KPP format of each chemical mechanism was either obtained from the WRF/Chem (Grell et al., 2005) model, which includes KPP files of RADM2, RACM and CBM-IV for use within the model. For all the other chemical mechanisms, the chemistry published in the original reference were adapted to the KPP format.

Some changes were made to the original chemistry specified by each chemical mechanism. Firstly, the inorganic chemistry of the MCM v3.2 was used in each

chemical mechanism in order to focus on differences between chemical mechanisms of their representation of the secondary degradation chemistry of emitted NMVOC. Other changes included adopting the MCM v3.2 approaches to photolysis and peroxy-peroxy reactions, a more detailed description of these changes are found in the supplementary material of the first paper of this thesis (Chap. ??).

Include
supplementary
material

Chapter 3

Presentation of Papers

This chapter will outline the main findings in each of the scientific papers that were published as part of the PhD.

3.1 Paper 1: A comparison of chemical mechanisms using tagged ozone production potential (TOPP) analysis

Published: J. Coates and T. M. Butler. A comparison of chemical mechanisms using tagged ozone production potential (TOPP) analysis. *Atmospheric Chemistry and Physics*, 15(15):8795–8808, 2015. doi:10.5194/acp-15-8795-2015. URL <http://www.atmos-chem-phys.net/15/8795/2015/>.

The first paper described a box modelling study in which the secondary chemistry represented in many reduced chemical mechanisms (Table) for VOC

 typical of urban environments (Table 2 of the article) were compared to the detailed MCM chemical mechanisms. The research question addressed in this paper was to verify whether these different representations of this secondary chemistry influence ozone production.

The degradation of each VOC prescribed in each chemical mechanism was “tagged” so that the O_x production, a proxy for O_3 production, could be attributed to the individual VOC sources. Tagging the chemical mechanisms involved labelling every organic degradation product from a VOC with the name of the emitted VOC,

thus each VOC has a separate set of reactions fully describing its degradation until the final products, CO_2 and H_2O , are produced.

The ozone mixing ratios from reduced chemical mechanisms were generally lower than the mixing ratios from the reference MCM chemical mechanisms on the first two days of the simulations. The VOC degradation prescribed in CRI v2, a lumped-intermediate mechanism, produced the most similar amounts of O_x to the MCM v3.2 for each VOC. Thus, the approach of using lumped-intermediate species whose degradation are based upon more detailed chemical mechanisms is preferable when developing future chemical mechanisms.

Many VOC are broken down into smaller-sized degradation products faster on the first day in reduced chemical mechanisms than the MCM v3.2 leading to lower amounts of larger-sized degradation products that can further degrade and produce O_x . Thus, many VOC in reduced chemical mechanisms produce a lower maximum of O_x than the MCM v3.2 ultimately leading to lower O_3 mixing ratios from the reduced chemical mechanisms compared to the MCM v3.2.

Reactive VOC, such as unsaturated aliphatic and aromatic VOC, produce maximum O_x on the first day of the simulations. Unsaturated aliphatic VOC produce similar amounts of O_x on the first day between mechanisms; differences in O_x production arise when mechanism species are used to represent individual VOC. Large inter-mechanism differences in O_x production result from the degradation of aromatic VOC on the first day due to the faster break down of the mechanism species representing aromatic VOC in reduced chemical mechanisms.

The less-reactive alkanes produce maximum O_x on the second day of simulations and this maximum is lower in each reduced chemical mechanism than the MCM v3.2 due to the faster break down of alkanes into smaller sized degradation products on the first day. The lower maximum in O_x production during alkane degradation in reduced mechanisms would lead to an underestimation of the O_3 levels downwind of VOC emissions, and an underestimation of the VOC contribution to tropospheric background O_3 when using reduced mechanisms in regional or global modelling studies.

3.2 Paper 2:

3.3 Paper 3:

Chapter 4

Overall Discussion and Conclusions

Chapter 5

Summary and Zusammenfassung

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including a first validation ; uncertainties and limitations ; policy applications ; and conclusions summarising the achievements of this project.

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Chapter 6

Paper 1: A comparison of
chemical mechanisms using tagged
ozone production potential
(TOPP) analysis



A comparison of chemical mechanisms using tagged ozone production potential (TOPP) analysis

J. Coates and T. M. Butler

Institute for Advanced Sustainability Studies, Potsdam, Germany

Correspondence to: J. Coates (jane.coates@iass-potsdam.de)

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Abstract. Ground-level ozone is a secondary pollutant produced photochemically from reactions of NO_x with peroxy radicals produced during volatile organic compound (VOC) degradation. Chemical transport models use simplified representations of this complex gas-phase chemistry to predict O_3 levels and inform emission control strategies. Accurate representation of O_3 production chemistry is vital for effective prediction. In this study, VOC degradation chemistry in simplified mechanisms is compared to that in the near-explicit Master Chemical Mechanism (MCM) using a box model and by “tagging” all organic degradation products over multi-day runs, thus calculating the tagged ozone production potential (TOPP) for a selection of VOCs representative of urban air masses. Simplified mechanisms that aggregate VOC degradation products instead of aggregating emitted VOCs produce comparable amounts of O_3 from VOC degradation to the MCM. First-day TOPP values are similar across mechanisms for most VOCs, with larger discrepancies arising over the course of the model run. Aromatic and unsaturated aliphatic VOCs have the largest inter-mechanism differences on the first day, while alkanes show largest differences on the second day. Simplified mechanisms break VOCs down into smaller-sized degradation products on the first day faster than the MCM, impacting the total amount of O_3 produced on subsequent days due to secondary chemistry.

gen oxides ($\text{NO}_x = \text{NO} + \text{NO}_2$) in the presence of sunlight (Atkinson, 2000).

Background O_3 concentrations have increased during the last several decades due to the increase of overall global anthropogenic emissions of O_3 precursors (HTAP, 2010). Despite decreases in emissions of O_3 precursors over Europe since 1990, EEA (2014) reports that 98 % of Europe’s urban population are exposed to levels exceeding the WHO air quality guideline of $100 \mu\text{g m}^{-3}$ over an 8 h mean. These exceedances result from local and regional O_3 precursor gas emissions, their intercontinental transport and the non-linear relationship of O_3 concentrations to NO_x and VOC levels (EEA, 2014).

Effective strategies for emission reductions rely on accurate predictions of O_3 concentrations using chemical transport models (CTMs). These predictions require adequate representation of gas-phase chemistry in the chemical mechanism used by the CTM. For reasons of computational efficiency, the chemical mechanisms used by global and regional CTMs must be simpler than the nearly explicit mechanisms which can be used in box modelling studies. This study compares the impacts of different simplification approaches of chemical mechanisms on O_3 production chemistry focusing on the role of VOC degradation products.



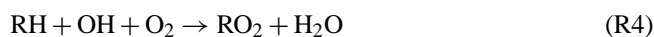
The photochemical cycle (Reactions R1–R3) rapidly produces and destroys O_3 . NO and NO_2 reach a near-steady state via Reactions (R1) and (R2) which is disturbed in two cases. Firstly, via O_3 removal (deposition or Reaction R1 during night-time and near large NO sources) and secondly,

1 Introduction

Ground-level ozone (O_3) is both an air pollutant and a climate forcer that is detrimental to human health and crop growth (Stevenson et al., 2013). O_3 is produced from the reactions of volatile organic compounds (VOCs) and nitro-

when O_3 is produced through VOC– NO_x chemistry (Sillman, 1999).

VOCs (RH) are mainly oxidised in the troposphere by the hydroxyl radical (OH) forming peroxy radicals (RO_2) in the presence of O_2 . For example, Reaction (R4) describes the OH oxidation of alkanes proceeding through abstraction of an H from the alkane. In high- NO_x conditions, typical of urban environments, RO_2 react with NO (Reaction R5) to form alkoxy radicals (RO), which react quickly with O_2 (Reaction R6) producing a hydroperoxy radical (HO_2) and a carbonyl species ($R'CHO$). The secondary chemistry of these first-generation carbon-containing oxidation products is analogous to the sequence of Reactions (R4–R6), producing further HO_2 and RO_2 radicals. Subsequent-generation oxidation products can continue to react, producing HO_2 and RO_2 until they have been completely oxidised to CO_2 and H_2O . Both RO_2 and HO_2 react with NO to produce NO_2 (Reactions R5 and R7) leading to O_3 production via Reactions (R2) and (R3). Thus, the amount of O_3 produced from VOC degradation is related to the number of NO to NO_2 conversions by RO_2 and HO_2 radicals formed during VOC degradation (Atkinson, 2000).

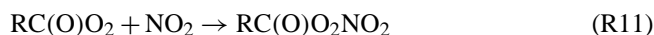


Three atmospheric regimes with respect to O_3 production can be defined (Jenkin and Clemitshaw, 2000). In the NO_x -sensitive regime, VOC concentrations are much higher than those of NO_x , and O_3 production depends on NO_x concentrations. On the other hand, when NO_x concentrations are much higher than those of VOCs (VOC-sensitive regime), VOC concentrations determine the amount of O_3 produced. Finally, the NO_x –VOC-sensitive regime produces maximal O_3 and is controlled by both VOC and NO_x concentrations.

These atmospheric regimes remove radicals through distinct mechanisms (Kleinman, 1991). In the NO_x -sensitive regime, radical concentrations are high relative to NO_x leading to radical removal by radical combination (Reaction R8) and bimolecular destruction (Reaction R9) (Kleinman, 1994).



However, in the VOC-sensitive regime, radicals are removed by reacting with NO_2 leading to nitric acid (HNO_3) (Reaction R10) and PAN species (Reaction R11).



The NO_x –VOC-sensitive regime has no dominant radical removal mechanism as radical and NO_x amounts are compara-

ble. This chemistry results in O_3 concentrations being a non-linear function of NO_x and VOC concentrations.

Individual VOCs impact O_3 production differently through their diverse reaction rates and degradation pathways. These impacts can be quantified using ozone production potentials (OPPs), which can be calculated through incremental reactivity (IR) studies using photochemical models. In IR studies, VOC concentrations are changed by a known increment and the change in O_3 production is compared to that of a standard VOC mixture. Examples of IR scales are the maximum incremental reactivity (MIR) and maximum ozone incremental reactivity (MOIR) scales in Carter (1994), as well as the photochemical ozone creation potential (POCP) scale of Derwent et al. (1996, 1998). The MIR, MOIR and POCP scales were calculated under different NO_x conditions, thus calculating OPPs in different atmospheric regimes.

Butler et al. (2011) calculate the maximum potential of a number of VOCs to produce O_3 by using NO_x conditions inducing NO_x –VOC-sensitive chemistry over multi-day scenarios using a “tagging” approach – the tagged ozone production potential (TOPP). Tagging involves labelling all organic degradation products produced during VOC degradation with the name of the emitted VOCs. Tagging enables the attribution of O_3 production from VOC degradation products back to the emitted VOCs, thus providing detailed insight into VOC degradation chemistry. Butler et al. (2011), using a near-explicit chemical mechanism, showed that some VOCs, such as alkanes, produce maximum O_3 on the second day of the model run; in contrast to unsaturated aliphatic and aromatic VOCs which produce maximum O_3 on the first day. In this study, the tagging approach of Butler et al. (2011) is applied to several chemical mechanisms of reduced complexity, using conditions of maximum O_3 production (NO_x –VOC-sensitive regime), to compare the effects of different representations of VOC degradation chemistry on O_3 production in the different chemical mechanisms.

A near-explicit mechanism, such as the Master Chemical Mechanism (MCM) (Jenkin et al., 2003; Saunders et al., 2003; Bloss et al., 2005), includes detailed degradation chemistry making the MCM ideal as a reference for comparing chemical mechanisms. Reduced mechanisms generally take two approaches to simplifying the representation of VOC degradation chemistry: lumped-structure approaches and lumped-molecule approaches (Dodge, 2000).

Lumped-structure mechanisms speciate VOCs by the carbon bonds of the emitted VOCs (e.g. the Carbon Bond mechanisms, CBM-IV (Gery et al., 1989) and CB05 (Yarwood et al., 2005)). Lumped-molecule mechanisms represent VOCs explicitly or by aggregating (lumping) many VOCs into a single mechanism species. Mechanism species may lump VOCs by functionality (MOdel for Ozone and Related chemical Tracers, MOZART-4, Emmons et al., 2010) or OH reactivity (Regional Acid Deposition Model, RADM2 (Stockwell et al., 1990), Regional Atmospheric Chemistry

Mechanism, RACM (Stockwell et al., 1997) and RACM2 (Goliff et al., 2013)). The Common Representative Intermediates mechanism (CRI) lumps the degradation products of VOCs rather than the emitted VOCs (Jenkin et al., 2008).

Many comparison studies of chemical mechanisms consider modelled time series of O₃ concentrations over varying VOC and NO_x concentrations. Examples are Dunker et al. (1984), Kuhn et al. (1998) and Emmerson and Evans (2009). The largest discrepancies between the time series of O₃ concentrations in different mechanisms from these studies arise when modelling urban rather than rural conditions and are attributed to the treatment of radical production, organic nitrate and night-time chemistry. Emmerson and Evans (2009) also compare the inorganic gas-phase chemistry of different chemical mechanisms; differences in inorganic chemistry arise from inconsistencies between IUPAC and JPL reaction rate constants.

Mechanisms have also been compared using OPP scales. OPPs are a useful comparison tool as they relate O₃ production to a single value. Derwent et al. (2010) compared the near-explicit MCM v3.1 and SAPRC-07 mechanisms using first-day POCP values calculated under VOC-sensitive conditions. The POCP values were comparable between the mechanisms. Butler et al. (2011) compared first-day TOPP values to the corresponding published MIR, MOIR and POCP values. TOPP values were most comparable to MOIR and POCP values due to the similarity of the chemical regimes used in their calculation.

In this study, we compare TOPP values of VOCs using a number of mechanisms to those calculated with the MCM v3.2, under standardised conditions which maximise O₃ production. Differences in O₃ production are explained by the differing treatments of secondary VOC degradation in these mechanisms.

2 Methodology

2.1 Chemical mechanisms

The nine chemical mechanisms compared in this study are outlined in Table 1 with a brief summary below. We used a subset of each chemical mechanism containing all the reactions needed to fully describe the degradation of the VOCs in Table 2. The reduced mechanisms in this study were chosen as they are commonly used in 3-D models and apply different approaches to representing secondary VOC chemistry. The recent review by Baklanov et al. (2014) shows that each chemical mechanism used in this study are actively used by modelling groups.

The MCM (Jenkin et al., 1997, 2003; Saunders et al., 2003; Bloss et al., 2005; Rickard et al., 2015) is a near-explicit mechanism which describes the degradation of 125 primary VOCs. The MCM v3.2 is the reference mechanism in this study due to its level of detail (16 349 organic reac-

tions). Despite this level of detail, the MCM had difficulties in reproducing the results of chamber study experiments involving aromatic VOCs (Bloss et al., 2005).

The CRI (Jenkin et al., 2008) is a reduced chemical mechanism with 1145 organic reactions describing the oxidation of the same primary VOCs as the MCM v3.1 (12 691 organic reactions). VOC degradation in the CRI is simplified by lumping the degradation products of many VOCs into mechanism species whose overall O₃ production reflects that of the MCM v3.1. The CRI v2 is available in more than one reduced variant, described in Watson et al. (2008). We used a subset of the full version of the CRI v2 (<http://mcm.leeds.ac.uk/CRI>). Differences in O₃ production between the CRI v2 and MCM v3.2 may be due to changes in the MCM versions rather than the CRI reduction techniques, hence the MCM v3.1 is also included in this study.

MOZART-4 represents global tropospheric and stratospheric chemistry (Emmons et al., 2010). Explicit species exist for methane, ethane, propane, ethene, propene, isoprene and α -pinene. All other VOCs are represented by lumped species determined by the functionality of the VOCs. Tropospheric chemistry is described by 145 organic reactions in MOZART-4.

RADM2 (Stockwell et al., 1990) describes regional-scale atmospheric chemistry using 145 organic reactions with explicit species representing methane, ethane, ethene and isoprene. All other VOCs are assigned to lumped species based on OH reactivity and molecular weight. RADM2 was updated to RACM (Stockwell et al., 1997) with more explicit and lumped species representing VOCs as well as revised chemistry (193 organic reactions). RACM2 is the updated RACM version (Goliff et al., 2013) with substantial updates to the chemistry, including more lumped and explicit species representing emitted VOCs (315 organic reactions).

CBM-IV (Gery et al., 1989) uses 46 organic reactions to simulate polluted urban conditions and represents ethene, formaldehyde and isoprene explicitly while all other emitted VOCs are lumped by their carbon bond types. All primary VOCs were assigned to lumped species in CBM-IV as described in Hogo and Gery (1989). For example, the mechanism species PAR represents the C–C bond. Pentane, having five carbon atoms, is represented as 5 PAR. A pentane mixing ratio of 1200 pptv is assigned to 6000 (= 1200 \times 5) pptv of PAR in CBM-IV. CBM-IV was updated to CB05 (Yarwood et al., 2005) by including further explicit species representing methane, ethane and acetaldehyde, and has 99 organic reactions. Other updates include revised allocation of primary VOCs and updated rate constants.

2.2 Model set-up

The modelling approach and set-up follows the original TOPP study of Butler et al. (2011). The approach is summarised here; further details can be found in the Supplement and in Butler et al. (2011). We use the MECCA box model,

Table 1. The chemical mechanisms used in the study are shown here. MCM v3.2 is the reference mechanism. The number of organic species and reactions needed to fully oxidise the VOCs in Table 2 for each mechanism are also included.

Chemical mechanism	Number of organic species	Number of organic reactions	Type of lumping	Reference	Recent study
MCM v3.2	1884	5621	No lumping	Rickard et al. (2015)	Koss et al. (2015)
MCM v3.1	1677	4862	No lumping	Jenkin et al. (1997)	Lidster et al. (2014)
				Saunders et al. (2003)	
				Jenkin et al. (2003)	
				Bloss et al. (2005)	
CRI v2	189	559	Lumped intermediates	Jenkin et al. (2008)	Derwent et al. (2015)
MOZART-4	61	135	Lumped molecule	Emmons et al. (2010)	Hou et al. (2015)
RADM2	42	105	Lumped molecule	Stockwell et al. (1990)	Li et al. (2014)
RACM	51	152	Lumped molecule	Stockwell et al. (1997)	Ahmadov et al. (2015)
RACM2	92	244	Lumped molecule	Goliff et al. (2013)	Goliff et al. (2015)
CBM-IV	19	47	Lumped structure	Gery et al. (1989)	Foster et al. (2014)
CB05	33	86	Lumped structure	Yarwood et al. (2005)	Dunker et al. (2015)

originally described by Sander et al. (2005), and as subsequently modified by Butler et al. (2011) to include MCM chemistry. In this study, the model is run under conditions representative of 34° N at the equinox (broadly representative of the city of Los Angeles, USA).

Maximum O₃ production is achieved in each model run by balancing the chemical source of radicals and NO_x at each time step by emitting the appropriate amount of NO. These NO_x conditions induce NO_x–VOC-sensitive chemistry. Ambient NO_x conditions are not required as this study calculates the maximum potential of VOCs to produce O₃. Future work should verify the extent to which the maximum potential of VOCs to produce O₃ is reached under ambient NO_x conditions.

VOCs typical of Los Angeles and their initial mixing ratios are taken from Baker et al. (2008), listed in Table 2. Following Butler et al. (2011), the associated emissions required to keep the initial mixing ratios of each VOC constant until noon of the first day were determined for the MCM v3.2. These emissions are subsequently used for each mechanism, ensuring the amount of each VOC emitted was the same in every model run. Methane (CH₄) was fixed at 1.8 ppmv while CO and O₃ were initialised at 200 and 40 ppbv and then allowed to evolve freely.

The VOCs used in this study are assigned to mechanism species following the recommendations from the literature of each mechanism (Table 1), the representation of each VOC in the mechanisms is found in Table 2. Emissions of lumped species are weighted by the carbon number of the mechanism species ensuring the total amount of emitted reactive carbon was the same in each model run.

The MECCA box model is based upon the Kinetic Pre-Processor (KPP) (Damian et al., 2002). Hence, all chemical mechanisms were adapted into modularised KPP format. The inorganic gas-phase chemistry described in the MCM v3.2 was used in each run to remove any differences between

treatments of inorganic chemistry in each mechanism. Thus, differences between the O₃ produced by the mechanisms are due to the treatment of organic degradation chemistry.

The MCM v3.2 approach to photolysis, dry deposition of VOC oxidation intermediates and RO₂–RO₂ reactions was used for each mechanism; details of these adaptations can be found in the Supplement. Some mechanisms include reactions which are only important in the stratosphere or free troposphere. For example, PAN photolysis is only important in the free troposphere (Harwood et al., 2003) and was removed from MOZART-4, RACM2 and CB05 for the purpose of the study, as this study considers processes occurring within the planetary boundary layer.

2.3 Tagged ozone production potential (TOPP)

This section summarises the tagging approach described in Butler et al. (2011) which is applied in this study.

2.3.1 O_x family and tagging approach

O₃ production and loss is dominated by rapid photochemical cycles, such as Reactions (R1)–(R3). The effects of rapid production and loss cycles can be removed by using chemical families that include rapidly inter-converting species. In this study, we define the O_x family to include O₃, O(³P), O(¹D), NO₂ and other species involved in fast cycling with NO₂, such as HO₂NO₂ and PAN species. Thus, production of O_x can be used as a proxy for production of O₃.

The tagging approach follows the degradation of emitted VOCs through all possible pathways by labelling every organic degradation product with the name of the emitted VOCs. Thus, each emitted VOC effectively has its own set of degradation reactions. Butler et al. (2011) showed that O_x production can be attributed to the VOCs by following the tags of each VOC.

Table 2. Non-methane volatile organic compounds (NMVOCs) present in Los Angeles. Mixing ratios are taken from Baker et al. (2008) and their representation in each chemical mechanism. The representation of the VOCs in each mechanism is based upon the recommendations of the literature for each mechanism (Table 1).

NMVOCs	Mixing ratio (pptv)	MCM v3.1, v3.2, CRI v2	MOZART-4	RADM2	RACM	RACM2	CBM-IV	CB05
Alkanes								
Ethane	6610	C2H6	C2H6	ETH	ETH	ETH	0.4 PAR	ETHA
Propane	6050	C3H8	C3H8	HC3	HC3	HC3	1.5 PAR	1.5 PAR
Butane	2340	NC4H10	BIGALK	HC3	HC3	HC3	4 PAR	4 PAR
2-Methylpropane	1240	IC4H10	BIGALK	HC3	HC3	HC3	4 PAR	4 PAR
Pentane	1200	NC5H12	BIGALK	HC5	HC5	HC5	5 PAR	5 PAR
2-Methylbutane	2790	IC5H12	BIGALK	HC5	HC5	HC5	5 PAR	5 PAR
Hexane	390	NC6H14	BIGALK	HC5	HC5	HC5	6 PAR	6 PAR
Heptane	160	NC7H16	BIGALK	HC5	HC5	HC5	7 PAR	7 PAR
Octane	80	NC8H18	BIGALK	HC8	HC8	HC8	8 PAR	8 PAR
Alkenes								
Ethene	2430	C2H4	C2H4	OL2	ETE	ETE	ETH	ETH
Propene	490	C3H6	C3H6	OLT	OLT	OLT	OLE + PAR	OLE + PAR
Butene	65	BUT1ENE	BIGENE	OLT	OLT	OLT	OLE + 2 PAR	OLE + 2 PAR
2-Methylpropene	130	MEPROPENE	BIGENE	OLI	OLI	OLI	PAR + FORM + ALD2	FORM + 3 PAR
Isoprene	270	C5H8	ISOP	ISO	ISO	ISO	ISOP	ISOP
Aromatics								
Benzene	480	BENZENE	TOLUENE	TOL	TOL	BEN	PAR	PAR
Toluene	1380	TOLUENE	TOLUENE	TOL	TOL	TOL	TOL	TOL
m-Xylene	410	MXYL	TOLUENE	XYL	XYL	XYM	XYL	XYL
p-Xylene	210	PXYL	TOLUENE	XYL	XYL	XYP	XYL	XYL
o-Xylene	200	OXYL	TOLUENE	XYL	XYL	XYO	XYL	XYL
Ethylbenzene	210	EBENZ	TOLUENE	TOL	TOL	TOL	TOL + PAR	TOL + PAR

O_x production from lumped-mechanism species are re-assigned to the VOCs of Table 2 by scaling the O_x production of the mechanism species by the fractional contribution of each represented VOC. For example, TOL in RACM2 represents toluene and ethylbenzene with fractional contributions of 0.87 and 0.13 to TOL emissions. Scaling the O_x production from TOL by these factors gives the O_x production from toluene and ethylbenzene in RACM2.

Many reduced mechanisms use an operator species as a surrogate for RO_2 during VOC degradation enabling these mechanisms to produce O_x while minimising the number of RO_2 species represented. O_x production from operator species is assigned as O_x production from the organic degradation species producing the operator. This allocation technique is also used to assign O_x production from HO_2 via Reaction (R7).

2.3.2 Definition of TOPP

Attributing O_x production to individual VOCs using the tagging approach is the basis for calculating the TOPP of a VOC, which is defined as the number of O_x molecules produced per emitted molecule of VOC. The TOPP value of

a VOC that is not represented explicitly in a chemical mechanism is calculated by multiplying the TOPP value of the mechanism species representing the VOCs by the ratio of the carbon numbers of the VOCs to the mechanism species. For example, CB05 represents hexane as 6 PAR, so the TOPP value of hexane in the CB05 is 6 times the TOPP of PAR. MOZART-4 represents hexane with the five carbon species BIGALK. Thus, hexane emissions are represented molecule for molecule as $\frac{6}{5}$ of the equivalent number of molecules of BIGALK, and the TOPP value of hexane in MOZART-4 is calculated by multiplying the TOPP value of BIGALK by $\frac{6}{5}$.

3 Results

3.1 Ozone time series and O_x production budgets

Figure 1 shows the time series of O_3 mixing ratios obtained with each mechanism. There is an 8 ppbv difference in O_3 mixing ratios on the first day between RADM2, which has the highest O_3 , and RACM2, which has the lowest O_3 mixing ratios when not considering the outlier time series of RACM. The difference between RADM2 and RACM, the low outlier, was 21 ppbv on the first day. The O_3 mixing ratios in

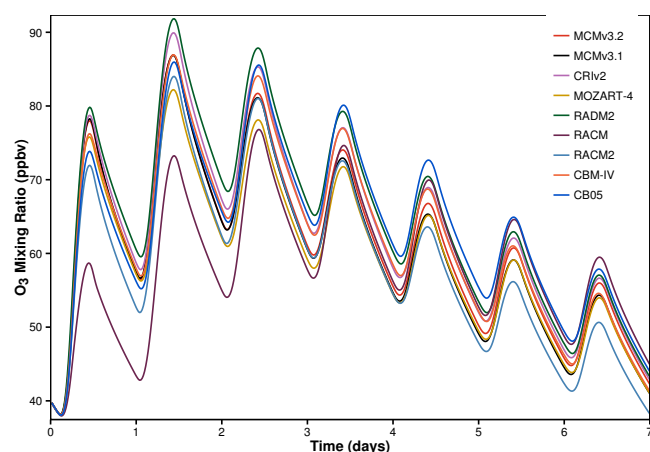


Figure 1. Time series of O_3 mixing ratios obtained using each mechanism.

the CRI v2 are larger than those in the MCM v3.1, which is similar to the results in Jenkin et al. (2008) where the O_3 mixing ratios of the CRI v2 and MCM v3.1 are compared over a 5-day period.

The O_3 mixing ratios in Fig. 1 are influenced by the approaches used in developing the chemical mechanisms and not a function of the explicitness of the chemical mechanism. For example, the O_3 mixing ratios obtained using the Carbon Bond mechanisms (CBM-IV and CB05) compare well with the MCM despite both Carbon Bond mechanisms having $\sim 1\%$ of the number of reactions in the MCM v3.2. Also, the O_3 mixing ratios from RACM2 and RADM2 show similar absolute differences from that of the MCM despite RACM2 having more than double the number of reactions of RADM2.

The day-time O_x production budgets allocated to individual VOCs for each mechanism are shown in Fig. 2. The relationships between O_3 mixing ratios in Fig. 1 are mirrored in Fig. 2 where mechanisms producing high amounts of O_x also have high O_3 mixing ratios. The conditions in the box model lead to a daily maximum of OH that increases with each day leading to an increase on each day in both the reaction rate of the OH oxidation of CH_4 and the daily contribution of CH_4 to O_x production.

The first-day mixing ratios of O_3 in RACM are lower than other mechanisms due to a lack of O_x production from aromatic VOCs on the first day in RACM (Fig. 2). Aromatic degradation chemistry in RACM results in net loss of O_x on the first day, described later in Sect. 3.2.1.

RADM2 is the only reduced mechanism that produces higher O_3 mixing ratios than the more detailed mechanisms (MCM v3.2, MCM v3.1 and CRI v2). Higher mixing ratios of O_3 in RADM2 are produced due to increased O_x production from propane compared to the MCM v3.2; on the first day, the O_x production from propane in RADM2 is triple that of the MCM v3.2 (Fig. 2). Propane is represented as HC3 in

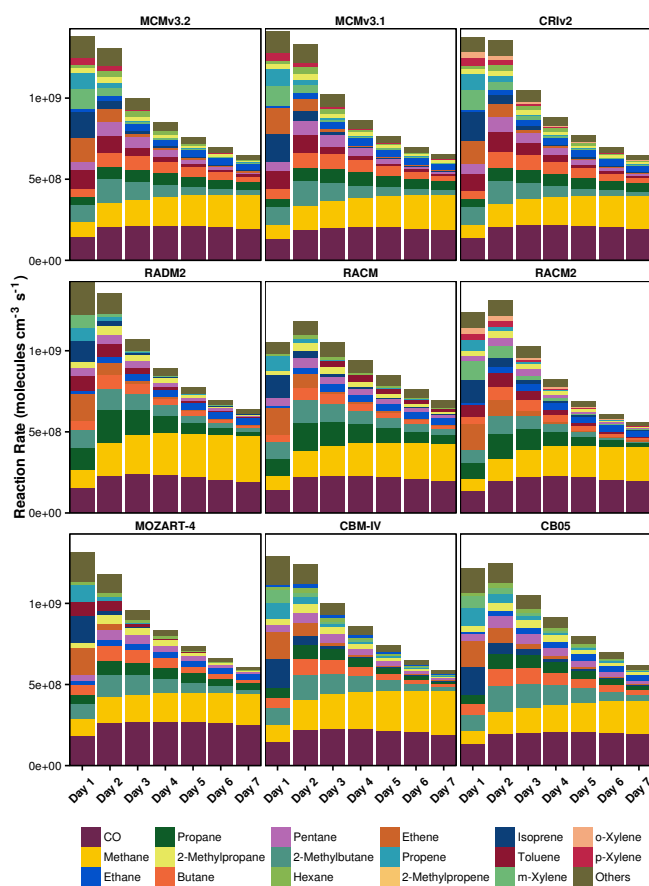


Figure 2. Day-time O_x production budgets in each mechanism allocated to individual VOCs.

RADM2 (Stockwell et al., 1990) and the degradation of HC3 has a lower yield of the less-reactive ketones compared to the MCM. The further degradation of ketones hinders O_x production due to the low OH reactivity and photolysis rate of ketones. Secondary degradation of HC3 proceeds through the degradation of acetaldehyde (CH_3CHO) propagating O_x production through the reactions of CH_3CO_3 and CH_3O_2 with NO. Thus, the lower ketone yields lead to increased O_x production from propane degradation in RADM2 compared to the MCM v3.2.

3.2 Time-dependent O_x production

Time series of daily TOPP values for each VOC are presented in Fig. 3 and the cumulative TOPP values at the end of the model run obtained for each VOC using each of the mechanisms, normalised by the number of atoms of C in each VOC are presented in Table 3. In the MCM and CRI v2, the cumulative TOPP values obtained for each VOC show that by the end of the model run, larger alkanes have produced more O_x per unit of reactive C than alkenes or aromatic VOCs. By the end of the runs using the lumped-structure mechanisms (CBM-IV and CB05), alkanes produce similar

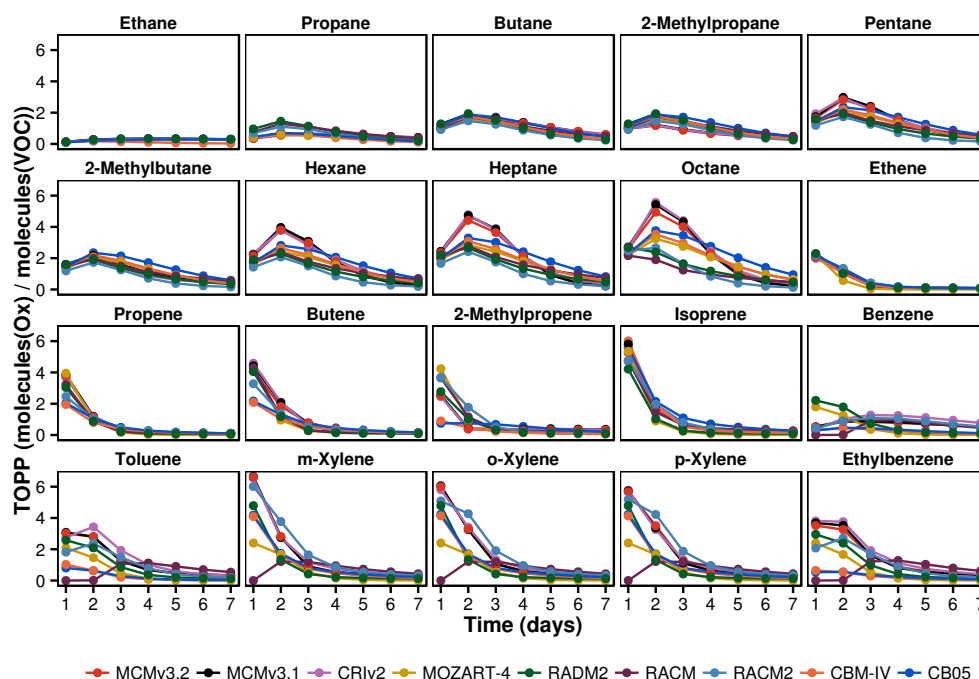


Figure 3. TOPP value time series using each mechanism for each VOC.

Table 3. Cumulative TOPP values at the end of the model run for all VOCs with each mechanism, normalised by the number of C atoms in each VOC.

NMVOCS	MCM v3.2	MCM v3.1	CRIV2	MOZART-4	RADM2	RACM	RACM2	CBM-IV	CB05
Alkanes									
Ethane	0.9	1.0	0.9	0.9	1.0	1.0	0.9	0.3	0.9
Propane	1.1	1.2	1.2	1.1	1.8	1.8	1.4	0.9	1.0
Butane	2.0	2.0	2.0	1.7	1.8	1.8	1.4	1.7	2.1
2-Methylpropane	1.3	1.3	1.3	1.7	1.8	1.8	1.4	1.7	2.1
Pentane	2.1	2.1	2.2	1.7	1.5	1.6	1.1	1.7	2.1
2-Methylbutane	1.6	1.6	1.5	1.7	1.5	1.6	1.1	1.7	2.1
Hexane	2.1	2.1	2.2	1.7	1.5	1.6	1.1	1.7	2.1
Heptane	2.0	2.1	2.2	1.7	1.5	1.6	1.1	1.7	2.1
Octane	2.0	2.0	2.2	1.7	1.2	1.0	1.0	1.7	2.1
Alkenes									
Ethene	1.9	1.9	1.9	1.4	2.0	2.0	2.2	1.9	2.2
Propene	1.9	2.0	1.9	1.7	1.5	1.6	1.5	1.2	1.4
Butene	1.9	2.0	2.0	1.5	1.5	1.6	1.5	0.8	0.9
2-Methylpropene	1.1	1.2	1.2	1.5	1.1	1.5	1.6	0.5	0.5
Isoprene	1.8	1.8	1.8	1.3	1.2	1.6	1.7	1.9	2.1
Aromatics									
Benzene	0.8	0.8	1.1	0.6	0.9	0.6	0.9	0.3	0.3
Toluene	1.3	1.3	1.5	0.6	0.9	0.6	1.0	0.3	0.3
m-Xylene	1.5	1.5	1.6	0.6	0.9	0.6	1.7	0.9	1.0
p-Xylene	1.5	1.5	1.6	0.6	0.9	0.6	1.7	0.9	1.0
o-Xylene	1.5	1.5	1.6	0.6	0.9	0.6	1.7	0.9	1.0
Ethylbenzene	1.3	1.4	1.5	0.6	0.9	0.6	1.0	0.2	0.3

amounts of O_x per reactive C, while aromatic VOCs and some alkenes produce less O_x per reactive C than the MCM. However, in lumped-molecule mechanisms (MOZART-4, RADM2, RACM, RACM2), practically all VOCs produce less O_x per reactive C than the MCM by the end of the run. This lower efficiency of O_x production from many individual VOCs in lumped-molecule and lumped-structure mechanisms would lead to an underestimation of O_3 levels downwind of an emission source, and a smaller contribution to background O_3 when using lumped-molecule and lumped-structure mechanisms.

The lumped-intermediate mechanism (CRI v2) produces the most similar O_x to the MCM v3.2 for each VOC, seen in Fig. 3 and Table 3. Higher variability in the time-dependent O_x production is evident for VOCs represented by lumped-mechanism species. For example, 2-methylpropene, represented in the reduced mechanisms by a variety of lumped species, has a higher spread in time-dependent O_x production than ethene, which is explicitly represented in each mechanism.

In general, the largest differences in O_x produced by aromatic and alkene species are on the first day of the simulations, while the largest inter-mechanism differences in O_x produced by alkanes are on the second and third days of the simulations. The reasons for these differences in behaviour will be explored in Sect. 3.2.1, which examines differences in first day O_x production between the chemical mechanisms, and Sect. 3.2.2, which examines the differences in O_x production on subsequent days.

3.2.1 First-day ozone production

The first-day TOPP values of each VOC from each mechanism, representing O_3 production from freshly emitted VOCs near their source region, are compared to those obtained with the MCM v3.2 in Fig. 4. The root mean square error (RMSE) of all first-day TOPP values in each mechanism relative to those in the MCM v3.2 are also included in Fig. 4. The RMSE value of the CRI v2 shows that first-day O_x production from practically all the individual VOC matches that in the MCM v3.2. All other reduced mechanisms have much larger RMSE values indicating that the first-day O_x production from the majority of the VOCs differs from that in the MCM v3.2.

The reduced complexity of reduced mechanisms means that aromatic VOCs are typically represented by one or two mechanism species leading to differences in O_x production of the actual VOCs compared to the MCM v3.2. For example, all aromatic VOCs in MOZART-4 are represented as toluene, thus less-reactive aromatic VOCs, such as benzene, produce higher O_x whilst more-reactive aromatic VOCs, such as the xylenes, produce less O_x in MOZART-4 than the MCM v3.2. RACM2 includes explicit species representing benzene, toluene and each xylene resulting in O_x production

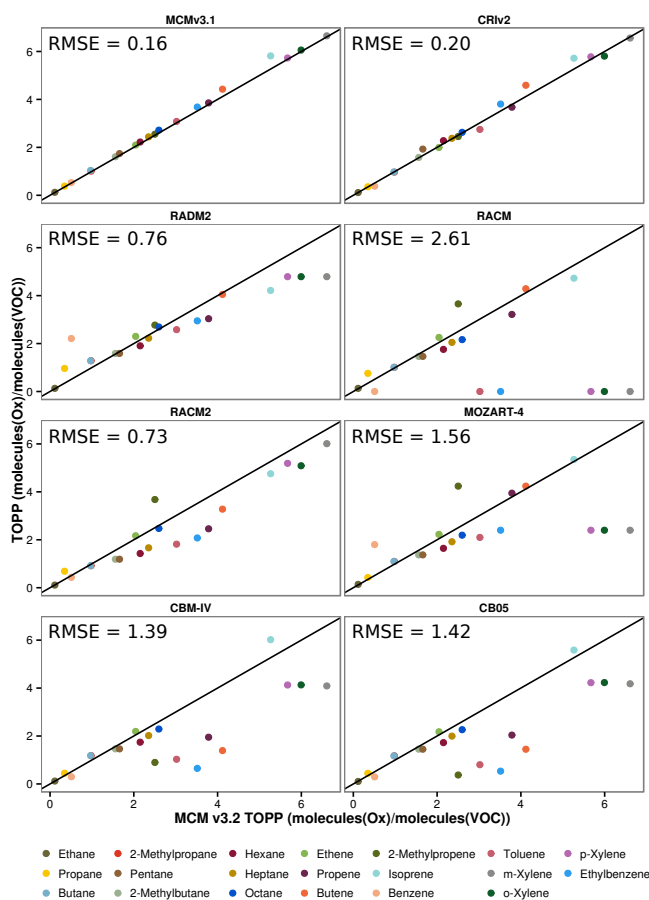


Figure 4. The first-day TOPP values for each VOC calculated using MCM v3.2 and the corresponding values in each mechanism. The root mean square error (RMSE) of each set of TOPP values is also displayed. The black line is the 1 : 1 line.

that is the most similar to the MCM v3.2 than other reduced mechanisms.

Figure 3 shows a high spread in O_x production from aromatic VOCs on the first day indicating that aromatic degradation is treated differently between mechanisms. Toluene degradation is examined in more detail by comparing the reactions contributing to O_x production and loss in each mechanism, shown in Fig. 5. These reactions are determined by following the “toluene” tags in the tagged version of each mechanism.

Toluene degradation in RACM includes several reactions consuming O_x that are not present in the MCM, resulting in net loss of O_x on the first 2 days. Ozonolysis of the cresol OH adduct mechanism species, ADDC, contributes significantly to O_x loss in RACM. This reaction was included in RACM due to improved cresol product yields when comparing RACM predictions with experimental data (Stockwell et al., 1997). Other mechanisms that include cresol OH adduct species do not include ozonolysis and these reactions are not included in the updated RACM2.

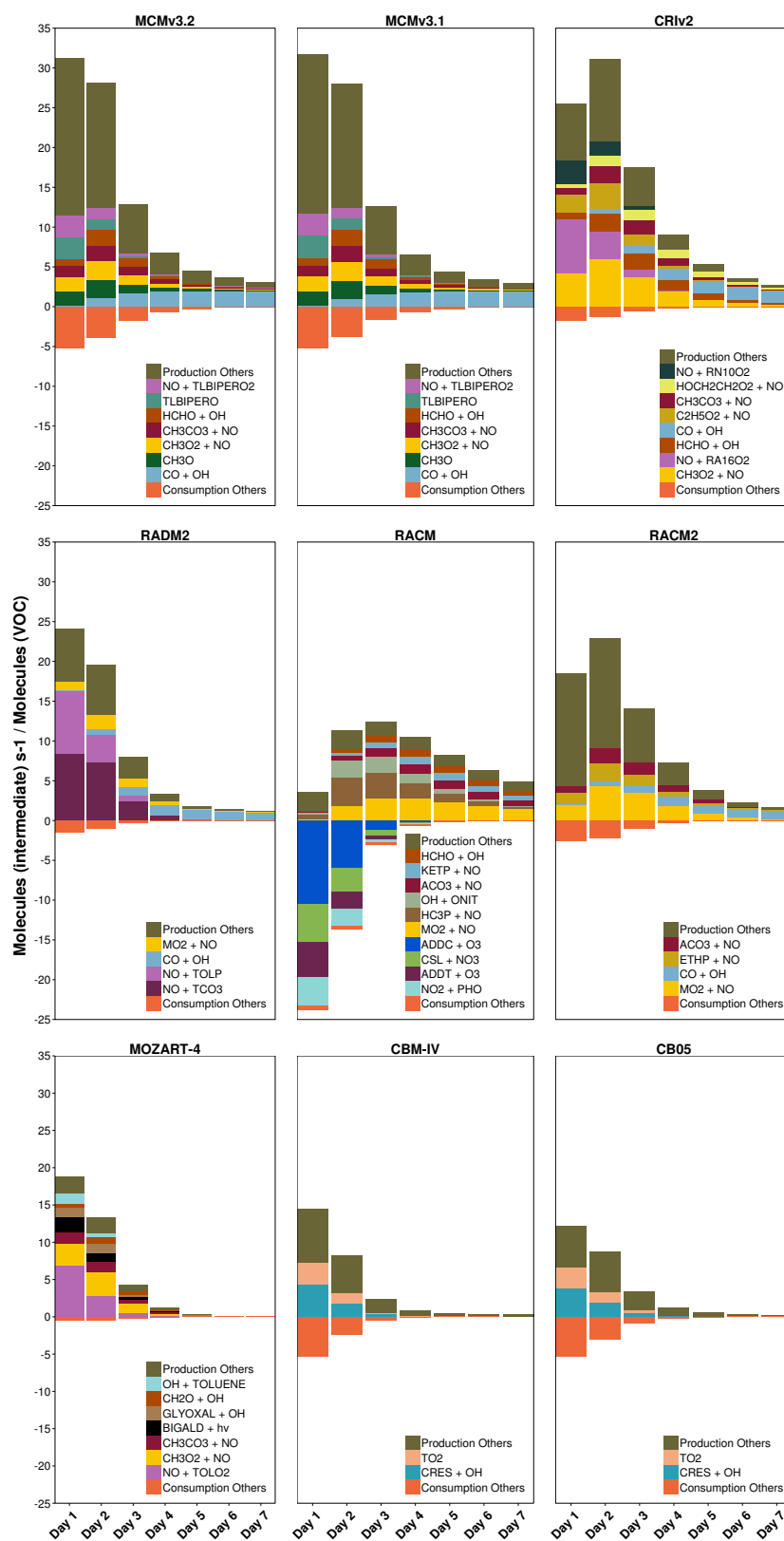


Figure 5. Day-time O_x production and loss budgets allocated to the responsible reactions during toluene degradation in all mechanisms. These reactions are presented using the species defined in each mechanism in Table 1.

The total O_x produced on the first day during toluene degradation in each reduced mechanism is less than that in the MCM v3.2 (Fig. 5). Less O_x is produced in all reduced mechanisms due to a faster breakdown of the VOCs into smaller fragments than the MCM, described later in Sect. 3.3. Moreover, in CBM-IV and CB05, less O_x is produced during toluene degradation as reactions of the toluene degradation products CH_3O_2 and CO do not contribute to the O_x production budgets, which is not the case in any other mechanism (Fig. 5).

Maximum O_x production from toluene degradation in CRI v2 and RACM2 is reached on the second day in contrast to the MCM v3.2 which produces peak O_x on the first day. The second-day maximum of O_x production in CRI v2 and RACM2 from toluene degradation results from more efficient production of unsaturated dicarbonyls than the MCM v3.2. The degradation of unsaturated dicarbonyls produces peroxy radicals such as $C_2H_5O_2$ which promote O_x production via reactions with NO.

Unsaturated aliphatic VOCs generally produce similar amounts of O_x between mechanisms, especially explicitly represented VOCs, such as ethene and isoprene. On the other hand, unsaturated aliphatic VOCs that are not explicitly represented produce differing amounts of O_x between mechanisms (Fig. 3). For example, the O_x produced during 2-methylpropene degradation varies between mechanisms; differing rate constants of initial oxidation reactions and non-realistic secondary chemistry lead to these differences; further details are found in the Supplement.

Non-explicit representations of aromatic and unsaturated aliphatic VOCs coupled with differing degradation chemistry and a faster breakdown into smaller-size degradation products results in different O_x production in lumped-molecule and lumped-structure mechanisms compared to the MCM v3.2.

3.2.2 Ozone production on subsequent days

Alkane degradation in CRI v2 and both MCMs produces a second-day maximum in O_x that increases with alkane carbon number (Fig. 3). The increase in O_x production on the second day is reproduced for each alkane by the reduced mechanisms, except octane in RADM2, RACM and RACM2. However, larger alkanes produce less O_x than the MCM on the second day in all lumped-molecule and lumped-structure mechanisms.

The lumped-molecule mechanisms (MOZART-4, RADM2, RACM and RACM2) represent many alkanes by mechanism species which may lead to unrepresentative secondary chemistry for alkane degradation. For example, 3 times more O_x is produced during the degradation of propane in RADM2 than the MCM v3.2 on the first day (Fig. 2). Propane is represented in RADM2 by the mechanism species HC3 which also represents other classes of VOCs, such as alcohols. The secondary chemistry of HC3 is

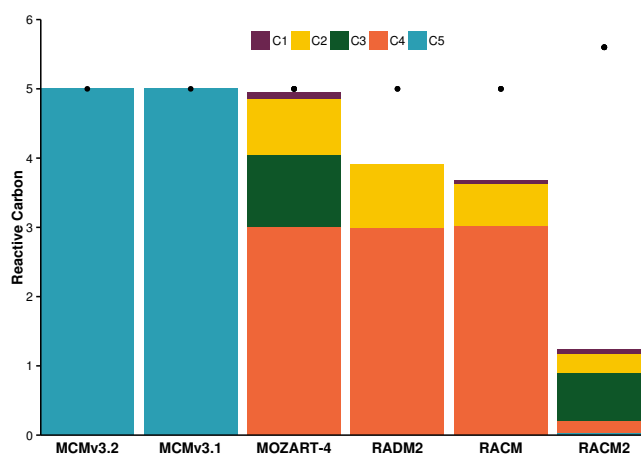


Figure 6. The distribution of reactive carbon in the products of the reaction between NO and the pentyl peroxy radical in lumped-molecule mechanisms compared to the MCM. The black dot represents the reactive carbon of the pentyl peroxy radical.

tailored to produce O_x from these different VOCs and differs from alkane degradation in the MCM v3.2 by producing less ketones in RADM2.

As will be shown in Sect. 3.3, another feature of reduced mechanisms is that the breakdown of emitted VOCs into smaller-sized degradation products is faster than the MCM. Alkanes are broken down quicker in CBM-IV, CB05, RADM2, RACM and RACM2 through a higher rate of reactive carbon loss than the MCM v3.2 (shown for pentane and octane in Fig. 8); reactive carbon is lost through reactions not conserving carbon. Despite many degradation reactions of alkanes in MOZART-4 almost conserving carbon, the organic products have less reactive carbon than the organic reactant also speeding up the breakdown of the alkane compared to the MCM v3.2.

For example, Fig. 6 shows the distribution of reactive carbon in the reactants and products from the reaction of NO with the pentyl peroxy radical in both MCMs and each lumped-molecule mechanism. In all the lumped-molecule mechanisms, the individual organic products have less reactive carbon than the organic reactant. Moreover, in RADM2, RACM and RACM2, this reaction does not conserve reactive carbon leading to faster loss rates of reactive carbon.

The faster breakdown of alkanes in lumped-molecule and lumped-structure mechanisms on the first day limits the amount of O_x produced on the second day, as less of the larger-sized degradation products are available for further degradation and O_x production.

3.3 Treatment of degradation products

The time-dependent O_x production of the different VOCs in Fig. 3 results from the varying rates at which VOCs break up into smaller fragments (Butler et al., 2011). Varying breakdown rates of the same VOCs between mechanisms could



Figure 7. Day-time O_x production during pentane and toluene degradation is attributed to the number of carbon atoms of the degradation products for each mechanism.

explain the different time-dependent O_x production between mechanisms. The breakdown of pentane and toluene between mechanisms is compared in Fig. 7 by allocating the O_x production to the number of carbon atoms in the degradation products responsible for O_x production on each day of the model run in each mechanism. Some mechanism species in RADM2, RACM and RACM2 have fractional carbon numbers (Stockwell et al., 1990, 1997; Goliff et al., 2013) and O_x production from these species was reassigned as O_x production of the nearest integral carbon number.

The degradation of pentane, a five-carbon VOC, on the first day in the MCM v3.2 produces up to 50 % more O_x from degradation products also having five carbon atoms than any reduced mechanism. Moreover, the contribution of the degradation products having five carbon atoms in the MCM v3.2 is consistently higher throughout the model run than in re-

duced mechanisms (Fig. 7). Despite producing less total O_x , reduced mechanisms produce up to double the amount of O_x from degradation products with one carbon atom than in the MCM v3.2. The lower contribution of larger degradation products indicates that pentane is generally broken down faster in reduced mechanisms, consistent with the specific example shown for the breakdown of the pentyl peroxy radical in Fig. 6.

The rate of change in reactive carbon during pentane, octane and toluene degradation was determined by multiplying the rate of each reaction occurring during pentane, octane and toluene degradation by its net change in carbon, shown in Fig. 8. Pentane is broken down faster in CBM-IV, CB05, RADM2, RACM and RACM2 by losing reactive carbon more quickly than the MCM v3.2. MOZART-4 also breaks pentane down into smaller-sized products quicker



Figure 8. Daily rate of change in reactive carbon during pentane, octane and toluene degradation. Octane is represented by the five carbon species, BIGALK, in MOZART-4.

than the MCM v3.2 as reactions during pentane degradation in MOZART-4 have organic products whose carbon number is less than the organic reactant, described in Sect. 3.2.2. The faster breakdown of pentane on the first day limits the amount of reactive carbon available to produce further O_x on subsequent days leading to lower O_x production after the first day in reduced mechanisms.

Figure 3 showed that octane degradation produces peak O_x on the first day in RADM2, RACM and RACM2 in contrast to all other mechanisms where peak O_x is produced on the second day. Octane degradation in RADM2, RACM and RACM2 loses reactive carbon much faster than any other mechanism on the first day so that there are not enough degradation products available to produce peak O_x on the second day (Fig. 8). This loss of reactive carbon during alkane degradation leads to the lower accumulated ozone production from these VOCs shown in Table 3.

As seen in Fig. 3, O_x produced during toluene degradation has a high spread between the mechanisms. Figure 7 shows differing distributions of the sizes of the degradation products that produce O_x . All reduced mechanisms omit O_x production from at least one degradation fragment size which produces O_x in the MCM v3.2, indicating that toluene is also broken down more quickly in the reduced mechanisms than the more explicit mechanisms. For example, toluene degradation in RACM2 does not produce O_x from degradation products with six carbons, as is the case in the MCM v3.2. Figure 8 shows that all reduced mechanisms lose reactive carbon during toluene degradation faster than the MCM v3.2. Thus, the degradation of aromatic VOCs in reduced mechanisms are unable to produce similar amounts of O_x as the explicit mechanisms.

4 Conclusions

Tagged ozone production potentials (TOPPs) were used to compare O_x production during VOC degradation in reduced chemical mechanisms to the near-explicit MCM v3.2. First-day mixing ratios of O_3 are similar to the MCM v3.2 for most mechanisms; the O_3 mixing ratios in RACM were much lower than the MCM v3.2 due to a lack of O_x production from the degradation of aromatic VOCs. Thus, RACM may not be the appropriate chemical mechanism when simulating atmospheric conditions having a large fraction of aromatic VOCs.

The lumped-intermediate mechanism, CRI v2, produces the most similar amounts of O_x to the MCM v3.2 for each VOC. The largest differences between O_x production in CRI v2 and MCM v3.2 were obtained for aromatic VOCs; however, overall these differences were much lower than any other reduced mechanism. Thus, when developing chemical mechanisms, the technique of using lumped-intermediate species whose degradation are based upon more detailed mechanism should be considered.

Many VOCs are broken down into smaller-sized degradation products faster on the first day in reduced mechanisms than the MCM v3.2 leading to lower amounts of larger-sized degradation products that can further degrade and produce O_x . Thus, many VOCs in reduced mechanisms produce a lower maximum of O_x and lower total O_x per reactive C by the end of the run than the MCM v3.2. This lower O_x production from many VOCs in reduced mechanisms leads to lower O_3 mixing ratios compared to the MCM v3.2.

Alkanes produce maximum O_3 on the second day of simulations and this maximum is lower in reduced mechanisms than the MCM v3.2 due to the faster breakdown of alkanes into smaller-sized degradation products on the first day. The lower maximum in O_3 production during alkane degradation in reduced mechanisms leads to an underestimation of the O_3 levels downwind of VOC emissions and an underestimation of the VOC contribution to tropospheric background O_3 when using reduced mechanisms in regional or global modelling studies.

This study has determined the maximum potential of VOCs represented in reduced mechanisms to produce O_3 ; this potential may not be reached as ambient NO_x conditions may not induce NO_x –VOC-sensitive chemistry. Moreover, the maximum potential of VOCs to produce O_3 may not be reached when using these reduced mechanisms in 3-D models due to the influence of additional processes, such as mixing and meteorology. Future work shall examine the extent to which the maximum potential of VOCs to produce O_3 in reduced chemical mechanisms is reached using ambient NO_x conditions and including processes found in 3-D models.

The Supplement related to this article is available online at [doi:10.5194/acp-15-8795-2015-supplement](https://doi.org/10.5194/acp-15-8795-2015-supplement).

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Chapter 7

Paper 2:

Chapter 8

Paper 3:

Chapter 9

Publication List

Appendix

