

# Algorithms of Information Security: Error-correcting codes III

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# Reed–Muller codes

- Reed-Muller codes are named after David E. Muller, who developed the codes in 1954, and Irving S. Reed, who designed the first efficient decoding algorithm.
- Reed-Muller codes are error correcting codes that are used in wireless communication applications, especially in space communication.
- Reed-Muller codes with parameters  $r$  and  $m$  are denoted by  $R(r, m)$ , where  $r$  and  $m$  are integers such that  $0 \leq r \leq m$ .
- Reed-Muller codes can be considered as a generalization of Reed-Solomon codes.
- Reed-Muller codes are linear codes defined by evaluating polynomials of several variables. In the lecture we consider mainly binary Reed-Muller codes.

# Basic Definitions

## Definition

The Boolean function of  $m$  variables is a map  $F_2^m \rightarrow F_2$ .

## Definition

Polynomial  $f(x_1, \dots, x_m)$  in  $m$  variables over  $F_2$  is *boolean polynomial*, if in each member of the sum

$$f(x_1, \dots, x_m) = \sum_{(i_1, \dots, i_m)} a_{i_1 \dots i_m} x_1^{i_1} \dots x_m^{i_m}$$

all exponents  $i_1, \dots, i_m$  are equal to 0 or 1.

# Basic Definitions

- Boolean polynomial  $f(x_1, \dots, x_m)$  is thus the sum of monomials in a form

$$x_{j_1} x_{j_2} \dots x_{j_k}$$

where  $1 \leq j_1 < \dots < j_k \leq m$ .

- Each set  $I \subset \{1, \dots, m\}$  corresponds to a monomial

$$x_I = \prod_{i \in I} x_i.$$

- Monomial  $x_\emptyset$  is denoted by the symbol 1.
- Polynomial 0 denotes the sum of an empty set of monomials.
- The total degree of the polynomial  $f \in F_q[x_1, \dots, x_m]$  is the value  $\max \sum_{j=1}^m i_j$ , where the maximum is over all members  $x_1^{i_1} \dots x_m^{i_m}$ , which have a non-zero coefficient.

# Basic Definitions

- Since in the field  $F_2$  holds that  $0^2 = 0$  and  $1^2 = 1$ , then for  $i = 1, \dots, m$  the following equality holds:

$$x_i^2 = x_i.$$

- Using this property, we can (uniquely) modify the product of two Boolean polynomials into a polynomial, which is again Boolean. For example:

$$x_1x_3 \cdot (x_1 + x_2) = x_1x_3 + x_1x_2x_3.$$

- Each Boolean polynomial  $f$  determines the Boolean function  $\hat{f}$ : if we substitute for individual variables, the resulting value is uniquely determined.
- The number of Boolean functions of  $m$  variables is the same as the number of Boolean polynomials in the variables

$$x_1, \dots, x_m.$$

# Basic Definitions

## Theorem

*For every Boolean function  $h$  with  $m$  variables, there is a Boolean polynomial  $f \in F_2[x_1, \dots, x_m]$  having the property that  $h = \hat{f}$ .*

*Note.* The above theorem allows us to identify a Boolean function with a uniquely determined Boolean polynomial.

*Notation.* If  $b = (b_1, \dots, b_m)$  is an ordered  $m$ -tuple of elements of the field  $F_q$ , then the symbol  $f(b)$  denotes the value  $f(b_1, \dots, b_m)$ .

## Definition

Let  $B_0, \dots, B_{q^m-1}$  be the numbering of all ordered  $m$ -tuples over  $F_q$ . Reed-Muller code  $R_q(r, m)$  consists of the words in a form:

$$(f(B_0), f(B_1), \dots, f(B_{q^m-1}))$$

where words are obtained from all polynomials  $f$  in  $F_q[x_1, \dots, x_m]$ , whose total degree is at most  $r$ . The length of the code  $R_q(r, m)$  is therefore  $q^m$ .

# Binary Reed–Muller codes

*Notation.* For any polynomial  $f \in F_2[x_1, \dots, x_m]$  let's denote

$$N(f) = \{(i_1, \dots, i_m) \in F_2^m : f(i_1, \dots, i_m) = 1\}.$$

The lower bound on the size of the set  $N(f)$  implies an estimate of the minimum distance of the (binary) Reed-Muller codes.

## Theorem

*Let  $f \in F_2[x_1, \dots, x_m]$  be nonzero Boolean polynomial of total degree at most  $r$ . Then*

$$|N(f)| \geq 2^{m-r}.$$

**Consequence.** A set  $B_r \subset R(r, m)$ , consisting of the evaluations of all monomials of the total degree at most  $r$  is the base of the code  $R(r, m)$ .

**Consequence.** Reed–Muller code  $R(r, m)$  has length  $2^m$ , dimension  $\binom{m}{0} + \dots + \binom{m}{r}$  and minimal weight  $2^{m-r}$ .

## Theorem

*The codes  $R(r, m)$  and  $R(m - r - 1, m)$  are dual to each other.*

# Binary Reed–Muller codes

*Example.* Let  $r = 1$  and  $m = 3$ , then the length of  $R_2(1, 3)$  code is  $n = 8$ . Monomials in  $F_2[x_1, x_2, x_3]$  of degree at most 1 are  $\{1, x_1, x_2, x_3\}$ . When evaluating, consider the elements of the set  $F_2^3$  in the order:

$$(x_3x_2x_1) : 000, 001, 010, 011, 100, 101, 110, 111.$$

Vectors over  $F_2^8$  associated with these monomials are:

$$\begin{aligned}(\text{evaluation of } 1) &\rightarrow (1 \ 1 \ 1 \ 1 \ 1 \ 1 \ 1 \ 1) \\(\text{evaluation of } x_1) &\rightarrow (0 \ 1 \ 0 \ 1 \ 0 \ 1 \ 0 \ 1) \\(\text{evaluation of } x_2) &\rightarrow (0 \ 0 \ 1 \ 1 \ 0 \ 0 \ 1 \ 1) \\(\text{evaluation of } x_3) &\rightarrow (0 \ 0 \ 0 \ 0 \ 1 \ 1 \ 1 \ 1).\end{aligned}$$

Therefore, the generating matrix of the code  $R_2(1, 3)$  is as follows:

$$\begin{pmatrix} 1 & 1 & 1 & 1 & 1 & 1 & 1 & 1 \\ 0 & 1 & 0 & 1 & 0 & 1 & 0 & 1 \\ 0 & 0 & 1 & 1 & 0 & 0 & 1 & 1 \\ 0 & 0 & 0 & 0 & 1 & 1 & 1 & 1 \end{pmatrix}$$



# Fuzzy extractors - motivation

- Fuzzy extractors present an approach for handling secret biometric data in cryptographic applications.
- Fuzzy extractor extracts a uniformly random string  $R$  from its input  $w$  in a noise-tolerant way.
- If the input  $w$  changes to  $w'$ , which is only "slightly" different from  $w$ , the string  $R$  can be reproduced exactly.
- Fuzzy extractors are used for encryption and authentication, using biometric input as a key.

# Fuzzy extractors - basic definitions and notations

- $U_\ell$  denotes the uniform distribution  $\{0, 1\}^\ell$ .
- If a function  $f$  is *randomized*, we denote by  $f(x; r)$  the result of computing  $f$  on input  $x$  with randomness  $r$ .
- *Predictability* of a random variable  $A$  is  $\max_a \mathbb{P}[A = a]$ .
- *min-entropy*  $H_\infty(A)$  is  $-\log(\max_a \mathbb{P}[A = a])$ .  $H_\infty(A)$  can be viewed as the “worst-case” entropy.
- A random variable with min-entropy at least  $m$  is called an  $m$ -source.

# Fuzzy extractors - basic definitions and notations

- Consider now a pair of (possibly correlated) random variables  $A$  and  $B$ . If the adversary finds out the value  $b$  of  $B$ , then the predictability of  $A$  becomes  $\max_a \mathbb{P}[A = a|B = b]$ .
- On average, the adversary's chance of success in predicting  $A$  is  $\mathbb{E}_{b \leftarrow B} [\max_a \mathbb{P}[A = a|B = b]]$ . (We are taking the average over  $B$  (which is not under adversarial control), but the worst case over  $A$ ).
- *Conditional min-entropy*

$$\begin{aligned}\tilde{H}_\infty(A|B) &\stackrel{\text{def}}{=} -\log \mathbb{E}_{b \leftarrow B} [\max_a \mathbb{P}[A = a|B = b]] = \\ &= -\log \mathbb{E}_{b \leftarrow B} [2^{-H_\infty(A|B=b)}]\end{aligned}$$

# Conditional min-entropy

- Conditional min-entropy satisfies a *weak chain rule*, namely, revealing any  $\lambda$  bits of information about  $A$  can cause its entropy to drop by at most  $\lambda$ .
- The definition of conditional min-entropy is suitable for cryptographic purposes and, in particular, for extracting “nearly” uniform randomness from  $A$ .
- “nearly” here corresponds to the *statistical distance* between two probability distributions  $A$  and  $B$ , defined as
$$SD[A, B] = \frac{1}{2} \sum_v |\mathbb{P}[A = v] - \mathbb{P}[B = v]|.$$
- $SD$  can be interpreted as a measure of distinguishability. We write  $A \approx_\varepsilon B$  to say that  $A$  and  $B$  are at distance at most  $\varepsilon$ .

# Strong extractor

## Definition

A randomized function  $Ext : \mathcal{M} \rightarrow \{0, 1\}$  with randomness of length  $r$  is an  $(m, \ell, \varepsilon)$ -strong extractor if for all  $m$ -sources  $W$  on  $\mathcal{M}$ ,  $(Ext(W; I), I) \approx_\varepsilon (U_\ell, U_r)$ , where  $I = U_r$  is independent of  $W$ .

We think of the output of the extractor as a key generated from  $w \leftarrow W$  with the help of a seed  $i \leftarrow I$ .

## Lemma

*Strong extractors can extract at most  $\ell = m - 2\log(1/\varepsilon) + \mathcal{O}(1)$  bits from (arbitrary)  $m$ -sources.*

# Properties of strong extractor

## Definition

$Ext(w; i)$  with an  $\ell$ -bit output is universal if for each  $w_1 \neq w_2$  ,  
 $\mathbb{P}_i[Ext(w_1; i) = Ext(w_2; i)] = 2^{-\ell}$ .

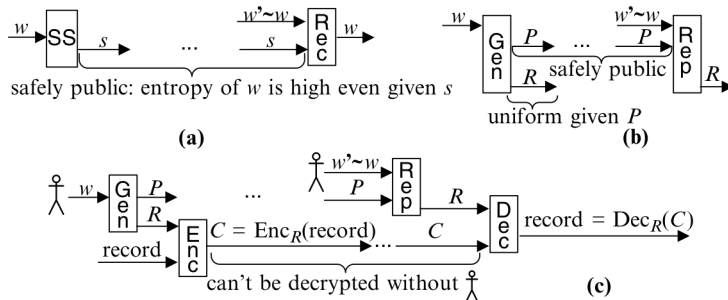
If elements of  $\mathcal{M}$  can be represented as  $n$ -bit strings, *universal hash functions* can be built using seeds of the length  $n$ : for instance, simply view  $w$  and  $x$  as members of  $GF(2^n)$  and let  $Ext(w; x)$  be  $\ell$  least significant bits of  $wx$ .

Using universal hash functions we can extract  
 $\ell = m + 2 - 2 \log(1/\varepsilon)$  bits:

## Lemma

Let for any  $E$  (possibly dependent on  $W$ ), if  $\tilde{H}_\infty(W|E) \geq m$  and  $\ell = m + 2 - 2 \log(1/\varepsilon)$ , then  $(Ext(W; I), I, E) \approx_\varepsilon (U_\ell, I, E)$ .

# Secure sketches and fuzzy extractors



**Fig. 5.1.** (a) Secure sketch; (b) fuzzy extractor; (c) a sample application. The user encrypts a sensitive record using a key  $R$  extracted from biometric  $w$  via a fuzzy extractor; both  $P$  and the encrypted record may be sent or stored in the clear.

# Secure sketch

Let  $\mathcal{M}$  be a metric space with distance function  $dis$ . Informally, a *secure sketch* enables recovery of a string  $w \in \mathcal{M}$  from any “close” string  $w' \in \mathcal{M}$  without leaking too much information about  $w$ .

## Definition

An  $(m, \tilde{m}, t)$ -secure sketch is a pair of efficient randomized procedures  $(SS, Rec)$  (“sketch” and “recover”) such that the following hold:

- 1 The sketching procedure  $SS$  on input  $w \in \mathcal{M}$  returns a string  $s \in \{0, 1\}^*$ . The recovery procedure  $Rec$  takes an element  $w' \in \mathcal{M}$  and  $s \in \{0, 1\}^*$ .
- 2 Correctness: If  $dis(w, w') \leq t$ , then  $Rec(w', SS(w)) = w$ .
- 3 Security: For any  $m$ -source over  $\mathcal{M}$ , the min-entropy of  $W$  given  $s$  is high: For any  $(W, E)$ , if  $\tilde{H}_\infty(W|E) \geq m$ , then  $\tilde{H}_\infty(W|SS(W), E) \geq \tilde{m}$ .



# Fuzzy extractor -informal

Fuzzy extractors do not recover the original input but, rather, enable generation of a close-to-uniform string  $R$  from  $w$  and its subsequent reproduction given any  $w'$  close to  $w$ .

The reproduction is done with the help of the helper string  $P$  produced during the initial extraction; yet  $P$  need not remain secret, because  $R$  is nearly uniform even given  $P$ .

# Fuzzy extractor

## Definition

An  $(m, \ell, t, \varepsilon)$ -fuzzy extractor is a pair of efficient randomized procedures  $(Gen, Rep)$  (“generate” and “reproduce”) such that the following hold:

- 1  $Gen$ , given  $w \in \mathcal{M}$ , outputs an extracted string  $R \in \{0, 1\}^\ell$  and a helper string  $P \in \{0, 1\}^*$ .  $Rep$  takes an element  $w' \in \mathcal{M}$  and a string  $P \in \{0, 1\}^*$ .
- 2 Correctness: If  $dis(w, w') \leq t$  and  $(R, P) \leftarrow Gen(w)$ , then  $Rep(w', P) = R$ .
- 3 Security: For all  $m$ -sources  $W$  over  $\mathcal{M}$ , the string  $R$  is nearly uniform even given  $P$ ; that is, if  $\tilde{H}_\infty(W|E) \geq m$ , then  $(R, P, E) \approx_\varepsilon (U_\ell, P, E)$ .

# Fuzzy extractor - notes

- *Entropy loss* of a secure sketch (resp. fuzzy extractor) is  $m - \tilde{m}$  (resp.  $m - \ell$ ).
- the nearly-uniform random bits output by a fuzzy extractor can be used in a variety of cryptographic contexts that require uniform random bits (e.g., for secret keys).
- The slight nonuniformity of the bits may decrease security, but by no more than their distance  $\varepsilon$  from uniform.
- By choosing  $\varepsilon$  sufficiently small (e.g.,  $2^{-100}$ ) one can make the reduction in security irrelevant.
- If more than  $\ell$  random bits are needed, then pseudorandom bits can be obtained by inputting  $R$  to a pseudorandom generator.

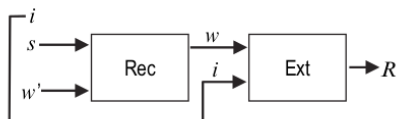
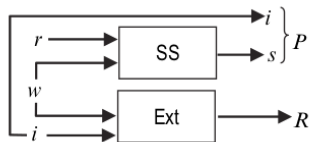
# Secure Sketches Imply Fuzzy Extractors

Given a secure sketch, we can always construct a fuzzy extractor that generates a key of length almost  $\tilde{m}$  by composing the sketch with a good (standard) strong extractor. The following lemma is stated for universal hash functions:

## Lemma

*Suppose we compose an  $(m, \tilde{m}, t)$ -secure sketch  $(SS, Rec)$  for a space  $\mathcal{M}$  and a universal hash function  $Ext : M \rightarrow \{0, 1\}^*$  as follows: In  $Gen$ , choose a random  $i$  and let  $P = (SS(w), i)$  and  $R = Ext(w; i)$ ; let  $Rep(w', (s, i)) = Ext(Rec(w', s), i)$ . The result is an  $(m, \ell, t, \varepsilon)$ -fuzzy extractor with  $\ell = \tilde{m} + 2 - 2\log(1/\varepsilon)$ .*

# Secure Sketches Imply Fuzzy Extractors



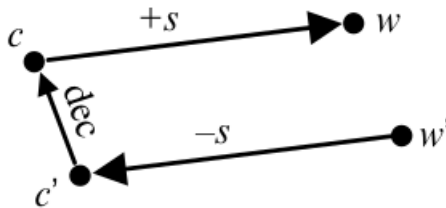
# Construction of secure sketch for Hamming distance

- Constructions of secure sketches are based on error-correcting codes.
- To obtain a secure sketch for correcting Hamming errors over  $\mathbb{F}^n$  ( $\mathbb{F}$  is a field), we start with a  $[n, k, 2t + 1]$  error-correcting (linear) code  $C$ .
- The idea is to use  $C$  to correct errors in  $w$ , even though  $w$  may not be in  $C$ , by shifting the code so that a codeword matches up with  $w$  and storing the shift as the sketch.

# Construction of secure sketch for Hamming distance

## Definition

**Construction 1 (Code-offset construction).** On input  $w$ , select a uniformly random codeword  $c \in C$ , and set  $SS(w)$  to be the shift needed to get from  $c$  to  $w$ :  $SS(w) = w - c$ . To compute  $Rec(w', s)$ , subtract the shift  $s$  from  $w'$  to get  $c' = w' - s$ , decode  $c'$  to get  $c$  (note that since  $dis_{\text{Ham}}(w', w) \leq t$  then  $dis_{\text{Ham}}(c', c) \leq t$ ), and compute  $w$  by shifting back to get  $w = c + s$ .



# Construction of fuzzy extractor for Hamming distance

## Theorem

*For any  $m$ , given an  $[n, k, 2t + 1]$  error-correcting code, Construction 1 is an  $(m, m - (n - k) \log |\mathbb{F}|, t)$ -secure sketch for the Hamming distance over  $\mathbb{F}^n$ . Combined with Lemma "Secure Sketches Imply Fuzzy Extractors", this construction give, for any  $\varepsilon$ , an  $(m, m - (n - k) \log |\mathbb{F}| + 2 - 2 \log(1/\varepsilon), t, \varepsilon)$  fuzzy extractor for the same metric.*



# Construction of fuzzy extractor for Hamming distance

- The trade-off between the error tolerance and the entropy loss depends on the choice of error-correcting code.
- For large alphabets ( $\mathbb{F}$  is a field of size  $\geq n$ ), one can use Reed-Solomon codes to get the optimal entropy loss of  $2t \log |\mathbb{F}|$ .
- No secure sketch construction can have a better trade-off between error tolerance and entropy loss than *Construction 1* (there are more constructions, see [2]), as searching for better secure sketches for the Hamming distance is equivalent to searching for better error-correcting codes.

- [1] [Czech] Samoopravné kódy, učební text prof. Kaisera  
<http://home.zcu.cz/kaisert/kody/kody.pdf>
- [2] Tuyls, P., Škoric, B., & Kevenaar, T. (Eds.). (2007). *Security with noisy data: on private biometrics, secure key storage and anti-counterfeiting*. Springer Science & Business Media.