Effective C++ Programming

NIE-EPC (v. 2021):

UNDEFINED BEHAVIOR, OBJECT LIFETIME, STATIC AND DYNAMIC STORAGE ALLOCATION, INITIALIZATION AND DESTRUCTION

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Undefined behavior

• Recall:

- C++ standards define observable behavior of a C++ program on the abstract machine.
- C++ implementations provide the same observable behavior on a particular computer system.
- Is the observable behavior always defined?
 - No, it is defined only if C++ standard rules/requirements are satisfied.
- What happens if they are violated?
 - Then, C++ standards do not define program/code behavior.
- This case is referred to as "undefined behavior" (UB).
- Examples:
 - signed integer overflow, dereferencing invalid pointer (pointer that does not point to an existing object of a given type), accessing out-ofbound array element, creating an object in not properly aligned storage, data race, ...

- Once some standard rule is violated, the C++ standard no longer define behavior on the abstract machine.
- In practice, this violation mostly happens at runtime, but it can also happen at compile time.
 - In the first case, the behavior is undefined for the executed program.
 - In the second case, the behavior is undefined even for compile-time
 C++ implementation tools (compiler, linker,...).
- Example program source file:

```
#include <iostream>
#include <limits>
int main() {
   int i = std::numeric_limits<int>::max();
   i++; // causes signed integer overflow => undefined behavior
   std::cout << i;
}</pre>
```

Question: When does the overflow happen?

```
int i = std::numeric_limits<int>::max();
i++; // causes signed integer overflow => undefined behavior
```

- When does the overflow happen?
 - With disabled optimizations, the calculation will likely be performed at runtime ⇒ the overflow will happen at runtime ⇒ the program behavior is undefined at runtime once the overflow takes place.
 - 2. With enabled optimizations, the calculation will likely be performed at compile time ⇒ the overflow will happen at compile time ⇒ the behavior is undefined already at compile time.
- Ad 1. Once the overflow occurs, the behavior of the program is no longer defined by the C++ standard.
 - It may crash, it may behave as expected, it may behave unexpectedly,...
- Ad 2. Once the overflow occurs, the behavior of the implementation tools is no longer defined by the C++ standard.
 - It may throw a compilation error or warning, it may crash, it may generate some machine code with some expected or unexpected behavior,...

- Example: GCC/x86_64/Linux implementation:
 - In both cases (enabled/disabled optimization) a compiler generated program machine code without any error/warning message.
 - Relevant part of generated machine code for enabled optimizations:

```
mov esi, -2147483648 ←
mov edi, OFFSET FLAT:_ZSt4cout
call std::basic_ostream<char, std::char_traits<char> >::operator<<(int)</pre>
```

- \Rightarrow The overflow occurred at compile time.
- Relevant part of generated machine code for disabled optimizations:

```
mov  DWORD PTR [rbp-4], 2147483647
add  DWORD PTR [rbp-4], 1
mov  eax, DWORD PTR [rbp-4]
mov  esi, eax
mov  edi, OFFSET FLAT:_ZSt4cout
call std::basic_ostream<char, std::char_traits<char> >::operator<<(int)</pre>
```

The overflow occurs at runtime when add instruction is executed.

```
int i = std::numeric_limits<int>::max();
i++; // causes signed integer overflow => undefined behavior
```

- With this code, overflow happens always (unconditionally) and may happen both at compile time or at runtime.
- What if we change this code to?

```
std::cin >> i;
i++; // causes overflow only if i equals max value of int
```

- Then, the overflow:
 - cannot happen at compile time (the calculation cannot be performed at compile time when the input value is not known);
 - happens only if 2147483647 is read from the standard input.
- Conclusion: The behavior of the program is now:
 - undefined only if 2147483647 is read from the standard input,
 - (well) defined otherwise (if user inputs 1, i will be incremented to 2).
- Note: This implies that, generally, undefined behavior cannot be detected by static code analysis (more details later).

• The C++ standard does not define behavior for this program once the overflow occurs:

```
#include <iostream>
#include <limits>
int main() {
   int i = std::numeric_limits<int>::max();
   i++; // causes signed integer overflow => undefined behavior
   std::cout << i;
}</pre>
```

 Yet, a C++ implementation generated a program binary executable file with some machine code:

```
mov esi, -2147483648
mov edi, OFFSET FLAT:_ZSt4cout
call std::basic_ostream<char...
```

```
mov DWORD PTR [rbp-4], 2147483647 add DWORD PTR [rbp-4], 1 mov eax, DWORD PTR [rbp-4] mov esi, eax mov edi, OFFSET FLAT:_ZSt4cout call std::basic_ostream<char...
```

In both cases, execution renders same behavior:

```
$ ./a.out
-2147483648
```

- Once some condition for undefined behavior is met, from the perspective of the C++ standard, anything can happen (undefined = anything is allowed).
- This "anything" includes also the possibility of the observed behavior...

\$./a.out
-2147483648

- ...just it is not defined by the C++ standard.
- Instead, it is defined by a given implementation (in our case, by GCC/x86_64/Linux).
- Implication:
 - When the behavior is implementation-defined, there is no portability.
 - With another C++ implementation / on other system / with other built setup / ..., the observed behavior may be completely different.

• (Partial) summary:

- When the rules of the C++ standard are not violated:
 - C++ standard defines behavior observable on the abstract machine.
 - C++ implementation must provide the same behavior observable on a particular computer system.
 - This must hold for all C++ implementations on all systems (portability).
- 2. When the rules of the C++ standard are violated:
 - C++ standard does not define any behavior on the abstract machine.
 - C++ implementation can do whatever it wants; typically, it provides some behavior.
 - This behavior is implementation-specific; generally, it is different for different implementation/systems (no portability).
- Conclusion:
 - To have correctly-running portable programs, one should avoid undefined behavior as much as possible.

Perils of undefined behavior

 When a condition for undefined behavior is met, the actuallyobserved behavior (defined by a given C++ implementation) may correspond with some "expected behavior".

```
int i = std::numeric_limits<int>::max();
i++;
```

```
$ ./a.out
-2147483648
```

- Is this result expected?
 - Mathematically, no adding two positive numbers cannot give negative result.
 - However, this outcome might be expected under the assumption of two's complement binary representation of integer numbers.
 - With other representations (such as one's complement, where negative zero exists), the result might be different.
- "Expected behavior" is subjective different people may have different expectations.
- Frequent argumentation that "the code is correct since it behaves as I expect" is very dangerous and wrong once UB is involved.

Perils of undefined behavior (cont.)

• In our example, the implementation-specific behavior was always the same (hardcoded in the program machine code):

```
mov esi, -2147483648
mov edi, OFFSET FLAT:_ZSt4cout
call std::basic_ostream<char...</pre>
```

```
mov DWORD PTR [rbp-4], 2147483647
add DWORD PTR [rbp-4], 1
mov eax, DWORD PTR [rbp-4]
mov esi, eax
mov edi, OFFSET FLAT:_ZSt4cout
call std::basic_ostream<char...
```

```
$ ./a.out
-2147483648
```

- Once this machine code is generated, each execution of the program is guaranteed to have the same behavior.
- However, generally, this does not hold.
- Example:
 - Undefined behavior caused by data race (unsynchronized read-write access to the same memory location from multiple threads).
 - Each time data race occurs, it may have different unpredictable impact on observable program behavior.

Perils of undefined behavior (cont.)

• Example:

```
int i = 0; // global variable shared by threads
void inc_i() { i++; }
```

- When function inc_i is executed N times by each of T threads, one might expect that the value of i would be incremented by N × T in total.
- This "expected" behavior is undefined:
 - According to the C++ standard, this is data race, which causes undefined behavior.
- Note: To get rid of data race / undefined behavior, i would need to have atomic data type, such as std::atomic<int>, instead of int.
- However, in practice, this expected behavior may be observed in most cases and, only occasionally, it may be different.

Perils of undefined behavior (cont.)

- Undefined behavior when the program mostly behaves as expected:
 - Extremely dangerous for production environments and mission-critical applications (server applications, databases, banking, embedded systems in planes, cars, space crafts,...).
- Program can pass all tests and run without problems for long time, until once...:
 - In best cases, occasional unexpected behavior causes program to crash.
 - In worst cases, it continues to run with incorrect state.
- Once a problem is identified, it might be very hard to find its cause:
 - We would need to replicate this unexpected behavior during testing / debugging.
 - Since it happens only occasionally, it may be almost impossible.

Detection undefined behavior

- Can undefined behavior be detected?
 - We have seen, that this is generally impossible at compile time (with static code analysis).
- Is it possible at runtime?
 - In theory, maybe.
 - In practice, no.
- Why?
 - Even if we could detect violation of C++ standard rules at runtime, it would impose a large runtime and memory overhead into C++ programs.
 - The purpose of programming in C++ is mostly performance and efficiency.
- ⇒ Generally, in programming, we can either have safety or performance. It's not possible to have both at once.
- C++ goes for performance.

Detection undefined behavior (cont.)

• Example: (library) source file (left), translation unit (right):

```
// deref_int.cpp:
int deref_int(int* ptr) {
  return *ptr;
}
```

```
int deref_int(int* ptr) {
  return *ptr;
}
```

- According to the C++ standard, dereferencing of a pointer (*ptr here) is valid only if this pointer actually points to an existing object of a given type (int here).
- If this requirement is not satisfied, the behavior is undefined once the dereferencing takes place.
- → To detect undefined behavior with this code, a C++ implementation would need to test the "validity" of a pointer.
- · How?

Detection undefined behavior (cont.)

- The most efficient representation of a pointer is just the value of the address.
- This representation allows generating the following optimal machine code (GCC/x86_64/Linux):

```
int deref_int(int* ptr) {
  return *ptr;
}
```

```
deref_int(int*):
   mov eax, DWORD PTR [rdi]
   ret
```

- A compiler has no idea what will be passed as a function argument.
- → Detection of UB would require some mechanism of how to find out whether a pointer actually points to an existing object.
- Is such a mechanism even possible? Likely not.
- Even if it was, it would have tremendous negative impact on performance:
 - When some object would be destroyed, all pointers that pointed to it would need to be informed about this destruction.
 - In the function, there would need to be a test for whether the pointer is valid or not.

Non-standard C++ extensions

- What happens in case of undefined behavior is mostly implementation-specific.
- Implementations can do anything they want and, typically, they do not care much about what happens:

```
int deref_int(int* ptr) {
  return *ptr;
}
```

```
deref_int(int*):
   mov eax, DWORD PTR [rdi]
   ret
```

- Observation:
 - GCC does not care whether the passed pointer actually points to an existing object of type int.
 - This is a function user (caller) responsibility to guarantee that.
 - Machine code simply reads a 32-bit value from the passed address.
 - In case of undefined behavior, if this address is accessible, the function will just return some value.
 - Otherwise, it will likely cause the running program (process) to crash (with something like segfault).

Non-standard C++ extensions (cont.)

- In some (rare) cases, C++ implementations explicitly specify what happens even when C++ standard rules are violated.
- These cases form non-standard C++ extensions.
- Example:

```
union U {
  int i;
  float f;
};
```

- Union is a class-like type.
- In contrast to classes and structs, union's non-static member variables share the same storage:

```
struct S { // same with class
  int i;
  float f;
};
```

```
std::cout << sizeof(U); // prints '84' (x86_64/Linux)</pre>
```

Non-standard C++ extensions (cont.)

- C++ standard rules:
 - Only one union's member variable is so-called "active", namely the one most recently written into.
 - Only the active member variable may be read.
 - \Rightarrow Reading the inactive member variable = undefined behavior.

```
union U {
  int i;
  float f;
};
int main() {
  U u;
  u.f = 1.0f;
  return u.i; // undefined behavior according to C++ standards
}
```

- However, GCC explicitly allows this code and specifies a particular behavior for it:
 - "The relevant bytes of the representation of the object are treated as an object of the type used for the access."
 - u.i has the value of an object of type int that has the same binary representation as the float object with value 1.0f.

Non-standard C++ extensions (cont.)

- *GCC/x86_64/Linux:*
 - Floating-point values are represented in IEEE 754 format.
 - float is a single-precision IEEE 754 floating-point data type.
 - Value 1 has binary representation ox3f8ooooo in hex with this data type.

```
int main() {
    U u;
    u.f = 1.0f;
    return u.i;
}
```

```
main:
mov eax, 0x3F800000
ret
```

- The behavior of the resulting program is:
 - undefined by the C++ standard,
 - but guaranteed by the used GCC implementation.
- $\bullet \Rightarrow$ This source code is generally not portable.
- Similar case:

```
int main() {
  float f = 1.0f;
  return *reinterpret_cast<int*>(&f);
}
```

```
WARNING
```

```
main:
mov eax, <mark>0x3F800000</mark>
ret
```

Object lifetime

- Object lifetime time interval during which the object exist at runtime (when the program is run).
- Simplified rules:
- Lifetime begins with object initialization, which constructs the object.
- In case of class types:
 - object initialization involves calling a class constructor,
 - object lifetime ends when its destructor is called.
- Before an object can be constructed/initialized, a storage must be allocated for it.
 - Storage = place where a binary representation of the object will be stored.
 - Recall this storage must be properly aligned and sized.

Storage allocations

- How is object storage allocated?
- Two separate cases:
- 1. Object existence is known when the program is compiled:
 - For storage allocation for this object, a compiler is responsible.
 - This case is referred to as a "static allocation".
 - Meaning of static here = resolvable-at-compile-time.
 - Effect allocation is hard-code in the program (it's machine code).
- 2. Object existence is not known until runtime:
 - Storage allocation must be explicitly initiated by a programmer.
 - This case is referred to as a "dynamic allocation".
 - Meaning of dynamic = resolvable-not-until-runtime.
 - Effect allocation needs to be resolved at runtime by some external mechanisms (memory allocation functions).

Ambiguity of "static"

- "Static" vs "static" ambiguity:
 - Static allocations are not related to the static specifier/keyword:

```
void f() {
  int i = 1;
  static int j = 2;
  ...
}
```

- Non-static function-local variable i:
 - It's lifetime is restricted to the function body.
 - ⇒ Each time f is called the lifetime of i starts (with its initialization)
 and ends (when the function is left).
- Static function-local variable j:
 - It's lifetime basically covers the whole program run.
 - Typically, it starts when f is called first time (at the latest) and ends when the program is terminated.
- Storage for both i and j variables are statically allocated allocation is "hard-coded" in the program at compile time.

Ambiguity of "static" (cont.)

- The word "static" can have many different meaning in C++.
- We have seen:
 - Static entities (functions, variables) with the meaning of being local to translation units with respect to linking (having internal linkage).
 - Static class members (functions, variables) with the meaning of belonging to the class itself instead of to its instances.
 - Static allocations with the meaning of being resolvable at compile time.
- The last meaning of the word "static" is used more generally.
- Example static vs dynamic polymorphism:
 - Polymorphism = writing a unified code that can operate on objects of different types.
 - Dynamic polymorphism is provided by virtual member functions.
 - Function calls are resolved at runtime according to the actual (dynamic)
 object type.
 - Static polymorphism is provided by templates.
 - Templates are resolved at compile time (they do not exist at runtime).

Static allocations — example

• Translation unit (*left*) and generated machine code (*right*):

```
void g(int*, int*);
void f() {
   int i = 1;
   static int j = 2;
   g(&i, &j);
}
```

```
f():
  push
        rax
        dword ptr [rsp + 4], 1
        rdi, [rsp + 4]
 lea
        esi, offset f()::j
  mov
        g(int*, int*)
 call
  pop
        rax
  ret
f()::j:
  .long
          2
```

- Storage for i and j variables is statically allocated:
 - Allocations in both cases are hard-coded in the program file / generated machine code (at compile time).
- Note: observable behavior or f involves pointers to i and j.
 - Function f passes these pointers as arguments to function g.
 - $\bullet \Rightarrow$ A compiler is "forced" to allocate storage for i and j in memory.
 - According to ABI, pointers are passed through rdi and rsi registers.
 - On the contrary, pointers &i and &j are not stored in memory.

Static allocations — example (cont.)

```
void g(int*, int*);
void f() {
  int i = 1;
  static int j = 2;
  g(&i, &j);
}
```

- Where in memory are i and j variables stored?
- C++ standards do not specify this; they "do not care".
- C++ standards only prescribe so-called "storage duration".
 - i has "automatic storage duration" storage is allocated when the code block is entered and deallocated when it is exited.
 - j has "static storage duration" storage is allocated when the program is started and deallocated when it is exited.
- Storage in memory (address space) is specified by a given C++ implementation.
- Implementations need to conform to a system ABI.

Static allocations — example (cont.)

```
void g(int*, int*);
void f() {
  int i = 1;
  static int j = 2;
  g(&i, &j);
}
```

```
f():
    push rax
    mov dword ptr [rsp + 4], 1
    lea rdi, [rsp + 4]
    mov esi, offset f()::j
    call g(int*, int*)
    pop rax
    ret

f()::j:
    .long 2
```

- Used implementation: Clang/x86_64/Linux:
 - Storage for non-static function-local variable i is allocated on the stack.
 - Allocation is effectively performed by "reserving" stack space by lowering the stack pointer register rsp (this is what the push instruction does).
 - Deallocation is performed by raising the stack pointer back to the original value (by using the pop instruction).
 - Storage for static function-local variable j is allocated on the so-called program data segment.
 - Memory for data segment is allocated when the program is executed and deallocated when it is exited.

Allocation and initialization

- Once there is a storage allocated for some object, its lifetime begins with its initialization.
- The object lifetime ends with its destruction, which takes place before its storage is deallocated.
- There are many forms of initialization, which, generally, have different effect for objects of different types.
- "Rough" distinction of two different cases.
- 1. Class types:
 - Initialization involves a constructor call.
 - Destruction involves a destructor call.
- 2. Non-class types (such as "fundamental" language-intrinsic types):
 - Initialization requires setting a binary representation to a desired value (determined by the initialization expression).
 - Destruction does not require any action.

Allocation and initialization (cont.)

```
void g(int*, int*);
void f() {
  int i = 1;
  static int j = 2;
  g(&i, &j);
}
```

```
f():
  push
        rax
        dword ptr [rsp + 4], 1
  mov
        rdi, [rsp + 4]
  lea
        esi, offset f()::j
  mov
        g(int*, int*)
  call
        rax
  pop
  ret
f()::j:
  .long
```

- In our case, int is a fundamental non-class type.
- i is initialized by = 1 expression:
 - It is initialized each time f is executed after its storage has been allocated.
 - Initialization = setting its binary representation to "have" value 1.
 - Its lifetime ends when the function is left (no action required).
- j is initialized by = 2 expression:
 - Initialization = setting its binary representation to "have" value 2.
 - This value is hard-code in the program file and loaded to the data segment when the program is executed.

Allocation and initialization (cont.)

- For a *class type*:
 - allocation/deallocation works the very same way,
 - initialization involves constructor, destruction involves destructor.

```
struct X {
    X(int);
    ~X();
};

void g(X*) noexcept;

void f() {
    X x(1);
    g(&x);
}
```

```
f():
       rbx
  push
       rsp, 16
  sub
  lea
       rbx, [rsp + 8]; address of the storage
       rdi, rbx ; passed by rdi to constructor
  mov
       esi, 1
                       ; initialization argument passed by rsi
  mov
  call X::X(int)
                       ; address of x passed to q by rdi
       rdi, rbx
  mov
  call g(X^*)
                       ; to destructor as well
  mov
       rdi, rbx
  call X::~X()
  add
       rsp, 16
       rbx
  pop
  ret
```

• Notes:

- A static function-local variable would be initialized only the first time f
 is called and destructed when the program exits.
- Destructor for x would need to be called even if g threw an exception.
 - $\bullet \Rightarrow$ In case of *not*-noexcept g, machine code would be more complicated.

Static vs dynamic allocations

- Static allocations always take place when the program is run
 ⇒ can be hard-coded in the program machine code.
- In some cases, allocations cannot be resolved until runtime.
- Examples:
 - Conditional allocations dependent on some runtime-evaluable condition.
 - Allocations of storage for multiple objects, where their number is not known until runtime.
 - Etc...
- Such allocations need to be resolved at runtime, i.e., dynamically.
- Example:

```
void g(int*, int*);
void f(bool b, long n) {
  int* pi = b ? new int(1) : nullptr;
  int* pa = new int[n]{};
  g(pi, pa);
}
```

Dynamic allocations

- "Dynamically-allocated objects" the very same concept:
 - 1) First, a storage for an object needs to be allocated.
 - Second, that object is in this storage initialized.
- Ad 1) How is a storage allocated in such a case?
 - Again, C++ standard do not specify this.
 - They only define so-called allocation functions.
 - These functions are called operator new and return a block of "uninitialized" memory of a desired size of bytes.
 - Uninitialized = there is no object in this memory yet.
 - How do these functions work is implementation-defined.
- Typical implementations:
 - Internally allocate memory on the heap (a system-provided mechanism for dynamic memory allocations).
 - ⇒ operator new internally calls some heap allocation function, such as malloc.

operator new vs new expression

- Allocation function operator new only provides storage for dynamically-allocated objects.
- Then, objects need to be in that storage constructed / initialized.
- Usually, both these steps are required (by programmers) to be performed together.
- \Rightarrow They are "bound" in a mechanism called new expression.
- new expression is the most common form of new.
- It is responsible for both:
 - 1) allocation storage for an object,
 - 2) its initialization in this storage.
- For allocation, it internally uses operator new.

operator new vs new expression (cont.)

• Example with a fundamental type...

```
void g(int*);
void f() {
  int* pi = new int(1); // new expression
  g(pi);
}
```

```
f():
   push rax
   mov edi, 4
   call operator new(unsigned long)
   mov dword ptr [rax], 1
   mov rdi, rax
   pop rax
   jmp g(int*)
```

• and with a class type:

```
void g(X*);
void f() {
   X* px = new X(1); // new expression
   g(px);
}
```

```
f():
    ...
    mov edi, 1
    call operator new(unsigned long)
    mov rbx, rax
    mov rdi, rax
    mov esi, 1
    call X::X(int)
    ...
```

- Observation:
 - new expression internally allocates storage with operator new,
 - and then initializes the object in this storage.

Dynamic allocations (cont.)

- With *statically-allocated objects*:
 - objects are automatically destructed,
 - storage is automatically deallocated.
- The same does not hold for dynamically-allocated objects:
 - object is not destructed (no destructor call),
 - storage is not deallocated (no deallocation function call).

 Objects "manually" allocated and initialized with expression new need to be "manually" destructed and deallocated with its counterpart — expression delete.

```
struct X {
                   f():
  X(int);
                     push
                            rbx
  ~X();
                            rsp, 16
                     sub
                            rbx, [rsp + 8]
                     lea
                            rdi, rbx
                     mov
void g(X^*)
                            esi, 1
                     mov
  noexcept;
                     call
                           X::X(int)
void f() {
                            rdi, rbx
                     mov
  X \times (1);
                     call
                           g(X^*)
  g(&x);
                            rdi, rbx
                     mov
                     call
                           X::\sim X()
                     add
                            rsp, 16
                     pop
                            rhx
                     ret
                       f():
void g(X*);
void f() {
                         call
                               X::X(int)
  X* px
                                rdi, rbx
                         mov
    = new X(1);
                         add
                                rsp, 8
```

pop

pop

jmp

rbx r14

 $g(X^*)$

g(px);

operator delete vs delete expression

new expression:

- allocates storage for an object,
- 2) initializes object in this storage.

delete expression:

- a) destructs object,
- b) deallocates its storage.

operator new:

- C++ allocation function internally called by new expression in ad 1).
- Typically implemented by calling some heap allocation function (such as malloc).

operator delete:

- C++ deallocation function internally called by delete expression in ad b).
- Typically implemented by calling a heap deallocation function (free).

operator delete vs delete expr. (cont.)

```
void g(X*);
void f() {
   X* px = new X(1);
   g(px);
   delete px; // delete expression
}
```

- Observation delete expression:
 - destructs object by calling its destructor,
 - deallocates its storage by calling C++ deallocation function operator delete.

```
f():
        edi, 1
  mov
  call
        operator new(unsigned long)
        rbx, rax
  mov
        rdi, rax
  mov
        esi, 1
  mov
  call X::X(int)
        rdi, rbx
  mov
  call g(X^*)
        rdi, rbx
  mov
  call
        X::\sim X()
        rdi, rbx
  mov
        rsp, 8
  add
        rbx
  pop
        r14
  pop
        operator delete(void*)
  jmp
```

Notes:

- (De)allocation functions operator new and operator delete are provided by the C++ standard library.
- $\bullet \Rightarrow$ In practice, they are provided by library implementations.
- Example operator new in libc++ invokes malloc: https://github.com/llvm/llvm-project/blob/main/libcxx/src/new.cpp.