# Effective C++ Programming

NIE-EPC (v. 2021):
INTRODUCTION, OBSERVABLE BEHAVIOR, AS-IF RULE,
OPTIMIZATIONS, TRANSLATION UNITS, ABI
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### Programming languages

- Programming writing some functionality with a special syntax (source code).
- "Normal" programs execution of the functionality by a CPU.
- $\bullet \ \ \mathsf{CPUs} \ \mathsf{understand} \ \mathsf{only} \ \mathsf{a} \ \mathsf{single} \ \mathsf{``language''} \mathsf{machine} \ \mathsf{code}.$
- Implication: source code must be translated into machine code.
- The role of programming languages implementations (compilers, interpreters, libraries, linkers,...).
- Example:

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```
// C++ function source code
int absmax(int a, int b)
{
  int abs_a = std::abs(a);
  int abs_b = std::abs(b);
  return std::max(abs_a, abs_b);
```

// function machine code in hex...
// ... generated by GCC on x86\_64:
89 fa 89 f0 c1
fa 1f 31 d7 29
d7 99 31 d0 29
d6 39 c7 0f 4d
c7 c3

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### Abstraction

- How do programming languages differ?
- Among others (different syntax, target application domain,...), they provide different sets of abstraction mechanisms.
- Abstraction
- A programming language concept that makes expressing the required functionality easier.
- Examples: variables, function parameters, function return values, loop constructs, structures, classes, inheritance, polymorphism, templates, reflection,...
- None of these concepts exist at a machine code level.

```
// C++ function source code
int absmax(int a, int b)
{
   int abs_a = std::abs(a);
   int abs_b = std::abs(b);
   return std::max(abs_a, abs_b);
}
```

89 fa 89 f0 c1 fa 1f 31 d7 29 d7 99 31 d0 29 d0 39 c7 8f 4d c7 c7 // machine instruction ONLY for... // ... register operations... // ... plus final ret

### Abstraction levels

- Classification of programming languages according to abstraction mechanisms they provide:
- Low-level programming languages:
  - Low-level = simple abstraction mechanisms (variables, function parameters,...).
- High-level programming languages:
- High-level = complex and more powerful abstraction mechanisms (classes, polymorphism, templates,...).
- Allow us to express some functionality more easily...
- · ...at a price of loosing a control over a system.
- Low-level programming languages (cont.):
- More effort to express some functionality...
- ...but provide better system control.
- Generally: the higher level of abstraction, the less system control, and vice versa.

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### Exemplary languages

### • Assembler

- the lowest-level programming language,
- total system control 1-to-1 correspondence between assembler instructions and machine code instructions,
- · extreme effort to write some functionality,
- not portable.
- ٠ ر
  - portable,
- large to moderate effort to write some functionality,
- relatively high level of system control due to low-level abstraction mechanisms (pointers, atomics, memory model control).
- Pythor
- easy to learn, easy to write some functionality with,
- almost no control of what happens at a machine code level (no pointers, no atomics,...).





C++

### C++ unique feature:

- widely adopted language that...
- ... has high-level abstraction mechanisms...
- ... and provide high-level of system control at the same time.
- High level of abstraction a relatively easy way how to put together some functionality, if all necessary building blocks are available.
- Example: Writing a program that works with strings is easy by using the std::string class (no need to understand what happens at the machine code level).
- **High level of system control** development of building blocks with maximum performance and efficiency.
- Example (cont.): implementing std::string efficiently requires high level of system control (pointers, stack/heap allocations, alignment, cache system, memory representation bits manipulation,...).

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### C++ (cont.)

- Control = opposite of safety:
- More control ⇒ more we can do with the system ⇒ more bad things we can do with the system.
- "Writing in C or C++ is like running a chain saw with all the safety guards removed." [Bob Gray]
- Control over system = control over performance ≠ performance:
  - "C++ doesn't give you performance, it gives you control over performance." [Chandler Carruth (?)]
- Performance is not provided automatically by C++; it's easy to write an inefficient and slow program.
- Doing the opposite requires a high level of knowledge and experience.
- Mastering C++ is very hard!
- The latest C++ Standard has over 1850 pages



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### C++ standards

- Definition of C++ C++ standards.
- Evolution of C++ multiple standards:
- "Old C++": C++98, C++03
- "Modern C++": C++11, C++14, C++17, C++20 (latest), C++23 (upcoming)
- New standards are mostly backwards-compatible.
- "Release" versions are paid ISO/IEC documents.
- Working drafts are available, e.g., here: [hyperlink]
- Prior to publication, drafts were/are referred to as C++1x, ..., C++2b.
- Standards are (try to be) comprehensive and exact.
   Implication: they are hard to read (like a law code).
- Better for learning: books, tutorials, lectures, language references, Stack Overflow,...
- references, Stack Overflow,...

   These sources may contain imprecise, misleading, or even incorrect information.
  - When you are in trouble or have doubts, always check with the C++ standard.

# C++ standards (cont.)

C++ Standards have 2 main parts:

1. C++ language

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- Defines core language.
- Exemplary entities: types, variables, functions, classes, templates,...
- 2. C++ standard library
- Defines some useful building blocks for different functionality domains.
- Exemplary entities: algorithms, containers, iterators, smart pointers, threading, I/O streams,...
- No implementation details C++ standards define only API (application programming interface) for library entities and optionally some requirements for their implementation.
- Example: std::sort API + the requirement for O(n log n) time complexity on average ⇒ can be implemented with quicksort, heapsort,... but not with insertion sort, bubblesort etc.

### How do C++ standards define C++?

- C++ Standards define how source code should behave when it is translated and executed on an abstract machine.
- Abstract machine an abstract (imaginary, virtual) computer system with a relatively small set of capabilities:
- abstract CPU arithmetic operations, bitwise operations,...;
- $\bullet \ \ \mathsf{memory} \mathsf{static} \ \mathsf{allocations}, \ \mathsf{dynamic} \ \mathsf{allocations}, \ \mathsf{addressing}, \ldots,$
- files reading/writing data;
- file system (since C++17);
- standard input/output/error streams;
- threading and memory model (since C++11),
- some other abstract operation system mechanisms (program arguments, program exit code, signals,...).
- No screen, no keyboard, no mouse, no camera, no GPU, no networking, no heap, no stack, no instruction set, no caches, no cores,...

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### Observable behavior

- Prescribed behavior for some code = effects of this code observable from outside of this code, that is, from the perspective of its exterior/surroundings.
- $\bullet$  The term "observable behavior" is typically used.
- Example:

```
void f()
{
    std::cout << "some string";
    }
}
```

- Observable behavior of function f:
- 1. writing the string "some string" into the program output stream,
- 2. implicit return = returning the control flow to the function caller.
- This behavior may be observed from outside of the function:
   by reading the standard output stream on the abstract machine,
- 2. by the function caller.

Observable behavior (cont.)

Another example:

```
void g() {
  int a = 1, b = 2, c; // declaration (+initialization) of local variables
  c = a + b; // addition and assignment
```

- Observable behavior of function g:
- implicit return = returning the control flow to the function caller;
- nothing of the explicitly written code inside the function body contributes to its observable behavior (function-local non-static variables cannot be accessed from outside of this function).
- Yet another example:

```
• ret another example:
int h() {
   int a = 1, b = 2, c;
   c = a + b;
   return c;
}
```

- Observable behavior of function h:
- Returning the value 3 to the function caller.
- Nothing else inside its definition contributes to its observable behavior

### Observable behavior (cont.)

- The boundary between observable and non-observable behavior is not always clear.
- · Observable behavior:
- reading/writing standard input/output/error streams;
- · writing data to files;
- · passing return values from functions to their callers;
- modifying data in memory, if this memory may be accessed from outside;
- updates of objects of volatile and atomic data types;
- · program termination with some exit code;
- etc.

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- · Hard case example:
- · dynamic memory allocations.



### Observable behavior (cont.)

 $\bullet \ ... example \ from \ the \ previous \ slide:$ 

```
int main() {
  int* ptr = new int(1);
  delete ptr;
}
```

· Another example:

```
int* f() {
  int* ptr = new int(1);
  return ptr;
}
```

- Observable behavior of function f:
- Returning a pointer to dynamically allocated object of type int with value 1.
- The effect of both:
  - · dynamic memory allocation and
- initialization of the allocated object to 1
- is observable from outside of the function.

### C++ implementation

- C++ standard defines observable behavior for some code executed on the abstract machine.
- How does C++ work on a real computer system?
- There, we need a C++ implementation:
- A tool that for some source code yields on a particular computer system the same observable behavior as is prescribed to it by the C++ standard.
- Typically, a collection of tools rather than a single tool only.
- CPUs do not understand C++ source code ⇒ it must be translated into machine code.
- Such translation is the main purpose of a C++ compiler.
- Mainstream C++ compiler vendors:
   GNU/GCC, LLVM/Clang, Microsoft/MSVC, Intel, IBM, PGI, Cray,...



### Observable behavior (cont.)

• Example:

```
int main() {
   int* ptr = new int(1);
   delete ptr;
   }
}
```

- Observable behavior of function main:
- Implicit "return 0" at the end (since C++11).
- Nothing else? The allocated memory cannot be accessed from outside of the function.
- Controversy: memory allocation may be observed from outside of the code (e.g., by measuring program memory consumption, by memory debuggers like Valgrind or Heaptrack, etc.).
- In the past, dynamic memory allocations were mostly considered as a part of the observable behavior.
- · Now, "mostly" not:
- Experiments: GCC since version 10, Clang since version 3.2.

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### Observable behavior (cont.)

· Yet another example:

```
int* f() {
    int* ptr = new int(1);
    return ptr;
    }
    int main() {
    int* ptr = f();
    delete ptr;
    }
```

- Observable behavior of function f is the very same as on the previous slide:
- Returning a pointer to dynamically allocated object of type int with value 1.
- Observable behavior of function main:
- Implicit "return 0" only, provided that allocations themselves are not considered to contribute to the observable behavior (as with latest GCC and Clang).
- Calling f itself cannot be observed from outside of main.

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### C++ implementation (cont.)

- C++ implementation = C++ compiler only? Not at all...
- Preprocessor:
- Processes preprocessor directives and symbols (#include, #define, macros, conditional compilation,...).
- Linker
  - $\bullet \ \ \, \text{Links different parts of machine code together}.$
  - Generates the final binary executable file (with a particular structure given by the system, such as ELF on Linux).
- Implementation of the C++ standard library:
- Implements the C++ Standard library API defined by C++ standards with respect to their requirements.
- May or may not be bound to a compiler.
- Mainstream implementations: libstdc++ (GNU), libc++ (LLVM), Microsoft STL (note: their source code is available on GitHub).
- And possibly others...

### As-if rule

- One of the most fundamental and essential C++ concept.
- C++ standard prescribes observable behavior for some source code when it is executed on the abstract machine.
- For the same source code, a C++ implementation generates machine code executable on a particular computer system.
- Conforming implementation: the observable behavior of the generated machine code must be the same as the observable behavior prescribed to the source code by the C++ standard
- The implementation must guarantee that the machine code will observably behave as if the source code was executed on the abstract machine 

  know as the "as-if rule".









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## Optimizations

- · The as-if rule:
- The standard tells the implementation what behavior it should provide for some source code.
- · It does not tell anything about how this behavior should be provided.
- How implementations work in this regard? Generally, they are two options:

### 1. Disabled optimizations

- Semantics: a user does not care about performance and efficiency.
- Typical situation: debugging (debug builds).
- Example: g++ or clang++ with -00 command line option.

### 2. Enabled optimizations

- Semantics: a user needs performance and efficiency.
- Typical situations: release (production) builds.
- Example: g++ or clang++ with -02 command line option.

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### Optimizations (cont.)

- Effects:
- 1. Disabled optimizations:
- a compiler generates machine code for everything that is in the source code.
- 2. Enabled optimizations:
- a compiler generates optimal machine code for observable behavior only.
- Example (Clang/Linux/x86\_64):







 Recall: observable behavior of function g = returning the control flow to the function caller.

### Disassembling

- Reading machine code is hard for humans.
- Each machine code instruction (*opcode*) can be translated into a corresponding assembler instruction, which are much easier to read.
- This process is called disassembling.
- Special tools = disassemblers:
- objdump, gdb, Compiler Explorer (online),...
- Compiler explorer (<u>https://godbolt.org/</u>):
- excellent easy-to-use online disassembler;
- many programming languages including C++;
- many C++ implementations ("compilers") and their versions (GCC, Clang, Intel, MSVC,...);
- multiple target architectures (x86, x86\_64, ARM64, MIPS64, power64, RISC-V...):
- created and maintained by Matt Godbolt.

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# • Example: \*\*Example: \*\*Source code language selection | Compiler Explorer | Compiler arguments | Compiler argu

### Optimizations (cont.)

• Example (cont.) — disassembled generated machine code with disabled optimizations:

```
void g()
{
  int a = 1;
  int b = 2;
  int c;
  c = a + b;
  // implicit return
}
```

- Observation: machine code reflects all the source code, independently of whether it does or does not contribute to the observable behavior.
- Live demo: https://godbolt.org/z/6fGGEd.

### Optimizations (cont.)

• Example (cont.) — disassembled generated machine code with enabled optimizations:

```
int a = 1;
int b = 2;
int c;
```

- Observation: machine code reflects only observable function behavior.
- Live demo: <a href="https://godbolt.org/z/aWx7c5">https://godbolt.org/z/aWx7c5</a>.
- Common C++ (or C) myth: function-local (non-static) variables are stored on the stack  $\rightarrow$  false.
- Note: CPUs don't know the concept of a variable ⇒ better considering variable binary representation being stored somewhere than variables themselves.
- Truth: Variable binary representation may be stored on the stack, somewhere else (e.g., in registers), nowhere at all.

### Use case — benchmarking

- The as-if rule is highly relevant to writing benchmarks.
- Benchmarking measuring runtime or performance of some operations.
- Example: measuring single-core floating-point performance on small (fit-into-cache) matrix multiplication:

```
C_{i,j} \leftarrow C_{i,j} + \sum A_{i,k} B_{k,j}
double A[32][32] = {};
double B[32][32] = {};
double C[32][32] = {};
for (int = 0; i < 32; i++)
for (int = 0; i < 32; i++)
for (int i = 0; i < 32; i++)
for (int i = 0; j < 32; j++)
for (int i = 0; k < 32; k++)
[Cil[j] + A[i][k] * B[k][j];
```

- Total number of FP operations: N = 65.536 trillions.
- FP performance = measured function runtime / N.
- Measurement on Microsoft Surface (i5, 2017): 40.96 EFlop/s.

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### Use case — benchmarking (cont.)

- Microsoft Surface, single core: 40.96 EFlop/s.
- Fugaku supercomputer, 7.6 million cores: 0.442 EFlop/s.
- Apparent conclusion: my Surface is 93× faster then the fastest supercomputer in the world (in  $\Omega_1/2021$ ).



• Explanation: observable behavior of benchmark function is only implicit return  $\Rightarrow$  measured number of FP operations = 0.



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· Conclusion: benchmarked operations must contribute to the observable behavior to avoid them being optimized away.

### Machine code $\rightarrow$ source code? Can we obtain source code from which some machine code has

int i\_;

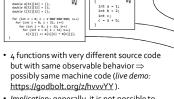
public:
 Int(int i) : i\_(i) { }
 operator int8() { return i\_; }

ret

Int a = 1; Int b = 2; int c; c = a + b;

been generated?

void g()



- · Implication: generally, it is not possible to "link" machine code back to source code.
- If needed, special mechanisms must be involved (debugging information,...).

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double A[32][32] = {}; double B[32][32] = {}; double C[32][32] = {};

- Observable behavior is related to translation units.
- Translation unit a piece of source code that is translated into machine code (compiled) at once.

Translation units

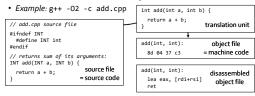
- Basically: a translation unit = source file:
  - · without comments
- and processed by a C++ preprocessor.
- Example: source file (left), its translation unit with undefined INT (right above) and INT defined as long (right below):



tools, such as "g++ -DINT=long -E -P add.cpp"

### Translation units (cont.)

- · Compilers translate each translation unit separately.
- Machine code for a single translation unit is typically stored in socalled object file (.o or .obj file extension).
- To generate an object file with the machine code translated from a translation unit related to some source file, GCC or Clang uses:
- · -c command line option.
- · source file name as a command line argument.



### GCC/Clang workflow

- How do g++ and clang++ commands work?
- a) Input = source file(s) and -c option ⇒ translation/compilation:
- 1. transforms the source file into a translation unit,
- 2. translates the source code of the translation unit into machine code,
- 3. stores that machine code in an object file.
- Example: g++ -O2 -c main.cpp.
- b) Input = object file(s) ⇒ linking:
- 1. merges/links machine code from object file(s),
- stores it into an executable binary file.
- Note: one of the object files must contain machine code of the main function.
- Example: g++ main.o (executable file is called a.out by default).
- c) Input = source file(s), no -c option ⇒ translation + linking:
- Ad a) and ad b) at once.
- Note: an object file is not explicitly stored (it is stored only temporarily, for example in /tmp directory with some random file name).

### GCC/Clang workflow (cont.)

• Example — source code:

// main.cpp
int main() {
 return 12;

· Separate compilation and linking:

\$ g++ -02 -c main.cpp \$ g++ main.o \$ ./a.out \$ echo \$?

Single-command alternative:

\$ g++ -02 main.cpp \$ ./a.out \$ echo \$?

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- Observable behavior of main: returns 12.
- C++ standard: value returned from main = program exit status.
- Bash: exit status of terminated program \$? shell parameter.

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### Translation units (cont.)

- Previous example: only a single source file ⇒ a single translation unit
- Source code of programs usually consists of multiple translation units.
- Problems:
- Multiple translation units must cooperate at the source code level.
- Machine code translated from multiple translation units must cooperate at the machine code level.
- How is observable behavior resolved in the context of multiple translation units?
- Example:

// add.cpp source file
int add(int a, int b) {
 return a + b;

// main.cpp source file ver.1
int main() {
 return add(1, 2) + 3;

 In one source file, we want to use a function defined in another source file.

# Translation units (cont.)

• Translation units for add.cpp and main.cpp, respectively:

int add(int a, int b) {
 return a + b;

int main() {
 return add(1, 2) + 3;

• Compilation of add.cpp is ok:

\$ g++ -02 -c add.cpp

• Problem: compilation of main.cpp is not ok:

\$ g++ -O2 -c main.cpp error: 'add' was not declared in this scope

- Observable behavior of main:
- calling function named add with 1 and 2 integer arguments,
- adding returned value with integer 3,
- returning the result out of main.
- A compiler needs to generate machine code for this observable behavior. Why did it fail?

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### Translation units (cont.)

 During translation, a compiler always works only with a single translation unit.

int main() { return add(1, 2) + 3; }

- When a compiler translates main.cpp, what does it "see"?
  - 1. add function call,
  - 2. passed arguments (integer literals having values 1 and 2),
  - 3. what is done with the returned value (addition with 3).
- This is not enough for generating machine code for the observable behavior. Why?
- For example, are the arguments passed to add by value or by reference?
- Or, is there any implicit conversion required?
- Such things can make difference at the machine code level.
- Implication: to be able to translate a function call, a compiler must see the type of its parameters as well as its return type.

### Function declaration

- Type of function parameters and its return type makes part of its interface (API).
- In C++, function API = function declaration (a.k.a. prototype).
- Using (= calling) a function in some translation unit requires its
- declaration to be present in that translation unit as well.

   *Note:* function definition is also its declaration.
- Minimal declaration of add function:

int add(int, int);

Addition into main.cpp:

// main.cpp source file ver.2
int add(int, int);
int main() { return add(1, 2) + 3; }

- Compilation is now ok:
- types of parameters are known, return type is known.

\$ g++ -02 -c main.cpp

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### Translation units (cont.)

- API (such as declarations for functions) resolves cooperation of translation units at a source code level.
- · What about the machine code level?
- Translation unit of main.cpp:

int add(int, int);
int main() { return add(1, 2) + 3; }

• Its compilation with...

\$ g++ -02 -c main.cpp

- ...results in an object file main. o that contains machine code of function main that reflects its observable behavior.
- If we try to build an executable program file from it, it fails:

\$ g++ main.o
undefined reference to `add(int, int)'

· Why?

# Translation units (cont.)

- The part of the observable behavior of main is calling add.
- This observable behavior is reflected in its machine code:

main:
sub rsp, 8
mov esi, 2
mov edi, 1
call add(int, int)
add rsp, 8
add eax, 3
ret

- To build the executable binary, the linker needs to connect (link) this call instruction with the machine code of the add function.
- However, the linker cannot find this machine code.
- Machine code of add cannot be generated from the translation unit of main.cpp ⇒ it is not included in main.o object file.
- This problem triggers the "well-known" undefined reference error:

undefined reference to `add(int, int)'

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### Translation units (cont.)

- The only way the machine code of add can be generated is from its definition.
- Function definition basically = function declaration + its body.
- In our case, this definition is in the translation unit of add.cpp (left) 

  its compilation therefore generates machine code of add function in add.o object file (right):

int add(int a, int b) {
 return a + b;

add(int, int):
lea eax, [rdi+rsi]
ret

 To generate the final executable file, we need to provide both main.o and add.o object files to the linker:

\$ g++ main.o add.o

ABI

\$ g++ main.o add.o

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 The final executable file a .out contains machine code of both functions main and add:

int add(int, int);
int main()
{
 return add(1, 2) + 3;
}

int add(int a, int b)

return a + b;

 Both pieces of machine code need to cooperate at a machine code level.

Why? Because of passing arguments from main to add and the return value from add back to main.

main: sub rsp, 8 mov esi, 2 mov edi, 1 call add(int, int) add rsp, 8 add eax, 3 ret add(int, int): lea eax, [rdi+rsi] ret

- The call instruction doesn't provide such a functionality (it only stores the current value of the *instruction pointer* on the stack and sets its new value to the address of the machine code of add).
- The arguments and the return value must be passed by some explicit mechanism.

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### ABI (cont.)

- In order to allow different functions to cooperate at a machine code level, there must be some agreement about how to pass arguments and return values to/from functions.
- This agreement (calling conventions) is a part of so-called application binary interface (ABI).
- API
- enables cooperation of translation units at a source code (programming) level;
- is defined by C++ standards;
- example: function declaration allows to compile function calls.
- ABI:
- enables cooperation of machine code generated from different translation units at a machine code (binary) level;
- is not defined by C++ standards (typically, it is defined by the architecture + operating system + C++ implementation);
- example: specification of how to pass arguments to functions.

ABI (cont.)

- In my case x86\_64 + Linux + GCC the applied ABI specifies that:
- int is a 32-bit signed integer;
- argument for the first parameter of type int is passed into a function through the edi register;
- argument for the second parameter of type int is passed into a function through the esi register;
- return value of type int is passed from a function through the eax register.

int main()
{
 return add(1, 2) + 3;
}

main:
sub rsp, 8
mov esi, 2
mov edi, 1
call add(int, int)
add rsp, 8
add eax, 3

add(int, int):
lea eax, [rdi+rsi]
ret

- Note: the latter holds for add as well as for main.
- Note: the ABI requires stack frames to be 16-byte aligned ⇒ rsp register manipulations.

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### ABI (cont.)

- Importance of ABI it allows linking the machine code generated:
- by different C++ implementations,
  at different places (different computers),
- at different times.
- \$ clang++ -O2 -c add.cpp \$ g++ -O2 -c main.cpp \$ g++ main.o add.o \$ ./a.out \$ echo \$?

- ABI is a must for using libraries.
- Library:
- A collection of object files that implements some functionality bundled in a file with specific format (. a, . so, .1ib, .d11,...).

  Plus API (usually header files) that enables to work with that functionality at a source code level (for example, library function declarations).