# CS186 Discussion Section Week 4

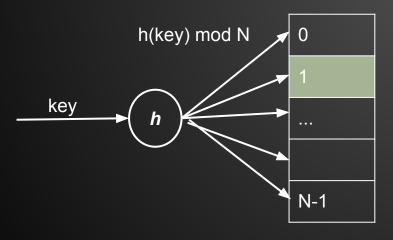
Hash-based Indexing and The Relational Algebra

# Today

- 1. Hash vs. Tree Indexes
- 2. Hash-based Indexing
- 3. The Relational Algebra
- 4. Exercises!

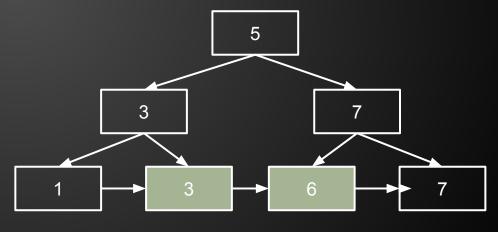
# Review: Trees vs. Hashing

- Hashes good at:
  - Equality
  - $\circ$  k == 1
- Because:



Ideally one lookup!

- Trees good at:
  - Range Queries
  - $\circ$  3 <= k <= 6
- Because:



Data laid out to scan!

# Today: Hash-based Indexes

We are concerned with 3 kinds of hash-based indexes.

#### 1. Static

- a. Like the ISAM of the hash world.
- b. Static structure overflow pages.

#### 2. Extendible

- a. Directory grows as data is added to it.
- b. Possibly too much!

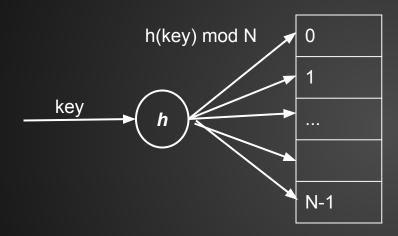
#### 3. Linear

a. Lazy, dynamic variant of Extendible Hashing.

# **Static Hashing**

- Assume we have a file of N buckets.
- One primary page per bucket.
- As many overflow pages as needed.

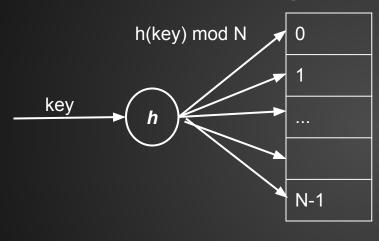
# **Static Hashing**



Primary Bucket Pages

# **Static Hashing - Insert**

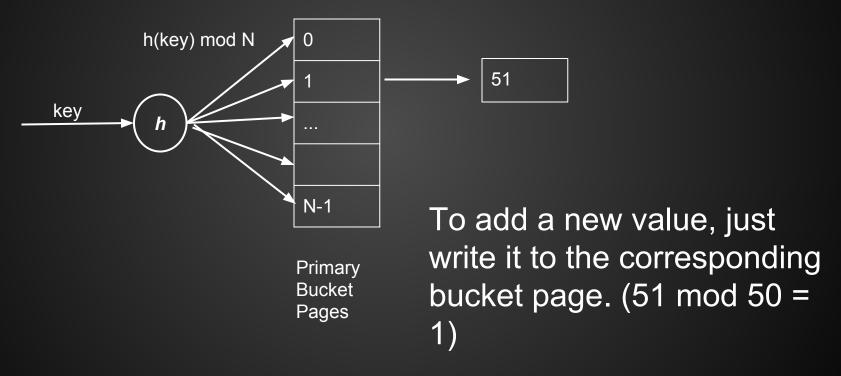
Assume N=50 and that we can fit 1 record per page - also assume all pages are full!



Primary Bucket Pages

# Static Hashing - Insert

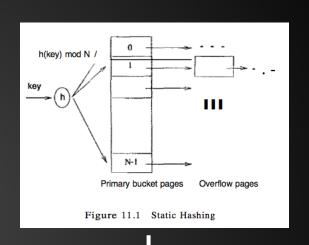
Assume N=50 and that we can fit 1 record per page - also assume all pages are full!

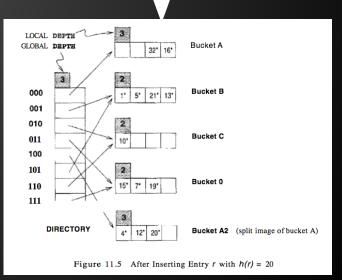


Allocate new overflow page if necessary.

# **Extendible Hashing**

- We don't want overflow chains.
- What if we just rehash everything when our buckets get full?
  - Instead of mod N use mod N+1
- Problem!
  - Have to rehash everything!
  - Have to rewrite pages.
- Solution! Indirection!
  - Use a Directory.



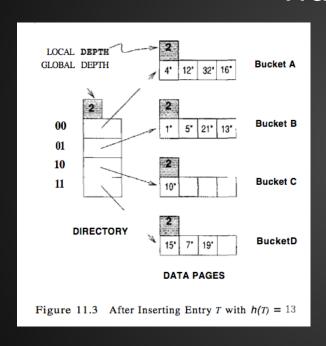


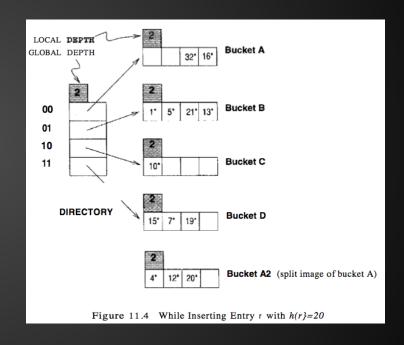
### **Extendible Hashing**

- Normal insert simple!
  - Look up the right page and write to it.
- Insert to a full page clever!
  - Redistribute the contents of the full page onto two new pages, increment local depth pointer.
  - If local depth <= global depth, update directory to point to two new pages.
  - Otherwise, double the size of the directory.
    - Update pointers to go to new page.
- Note we're doubling the size of the directory, which is probably small relative to our data pages.

# Extendible Hashing - Example

#### Want to insert 20

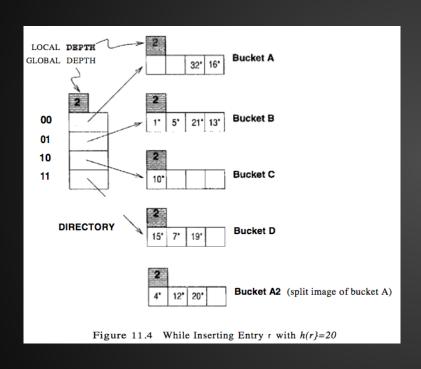


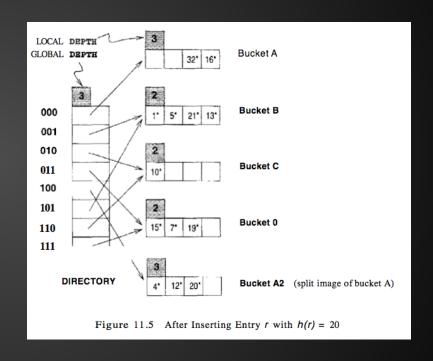


**Before** 

Reallocate the data and add 20

# Extendible Hashing - Example





Reallocate the data and add 20

Increase local depth, double directory, increase global depth.

# **Linear Hashing**

- Dynamic, like extendible hashing
- No directory! (yay!)
- Overflow pages (boo!)
  - But not too many! (huzzah!)
- Slightly more complicated...

# **Linear Hashing - Setup**

- Have a family of hash functions h1, h2, h3...
- With the property that each function has twice the RANGE of the previous one.
  - $\circ$  Usually  $h_i(val) = h(val) \mod (2^i N)$
  - Choose N to be a power of 2 (lets us look at last d<sub>i</sub> bits of h(val) to evaluate h<sub>i</sub>(val).
  - d<sub>i</sub> is related to our initial N (log<sub>2</sub>(N)+i)
- Example: take N=32 and h<sub>i</sub> as above.

i	0	1	2	3
d	5	6	7	8

# Linear Hashing - Rounds

- During a round L, we only use h<sub>L</sub> and h<sub>L+1</sub>
- Buckets present at the beginning of the round are split, one-by-one, until we've doubled the number of buckets.
- Keep track of Next bucket to be split (at beginning of round, Next=0).
- Round ends when *Next*==L.

# **Linear Hashing - Search**

- 1. Apply  $h_{l}(k)$
- 2. If  $h_{1}(k) = Next$ 
  - a. Key is in bucket h<sub>i</sub> (k)
- 3. Else
  - a. Key could be in h<sub>L</sub>(k) or h<sub>L</sub>(k)+2<sup>L</sup>\*N have to check h<sub>L+1</sub>(k) be sure.

### **Linear Hashing - Insert**

- 1. Apply h<sub>1</sub>(k) if record fits, add to bucket.
- 2. Else
  - a. Add overflow page to bucket h<sub>i</sub>(k) and insert record.
  - b. Split Next bucket and increment Next.
    - i. To split:
      - 1. Add new bucket to the end.
      - 2. Rehash all elements in *Next* according to h<sub>L+1</sub> send them to the right page
  - Note: Next is likely not same as h<sub>i</sub> (k)
  - We avoid long overflow chains by incrementally adding space
    - This breaks in the case of extreme skew.

# **Linear Hashing - Insert 43**

Level=0

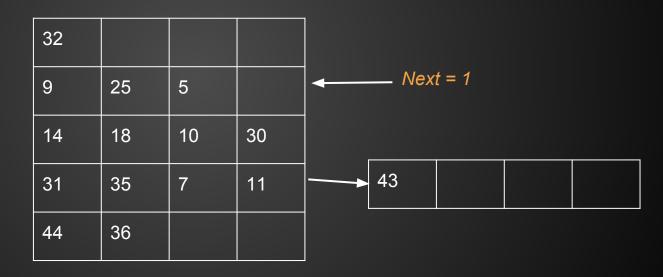
h <sub>1</sub>	h <sub>o</sub>
000	00
001	01
010	10
011	11

32	44	36		<b>→</b> Next = 0
9	25	5		
14	18	10	30	
31	35	7	11	

# Linear Hashing - Insert 43

Level=0

h <sub>1</sub>	h <sub>o</sub>
000	00
001	01
010	10
011	11
100	00



**Primary Pages** 

**Overflow Pages** 

Once Next > 3 we reset and increment the level.

# Today: Relational Algebra

- Way to ask queries of relations.
- Operators

Operation	Symbol	Explanation
Selection	σ	Selects rows
Projection	π	Selects columns
Union	U	
Intersection	n	
Cross-product	×	
Join	×	Join tables on some condition
Division	1	Later

### Selection

- Select rows
- Example: σ<sub>birth\_year < 1950</sub>(R)

Username	Email	Birth Year
теер	meep@gmail.com	1920
notmeep	notMeep@gmail.com	1920
beep	beep@gmail.com	1980
beepboop	blitzcrank@gmail.com	1985

### Selection

- Select rows
- Example: σ<sub>birth\_year < 1950</sub>(R)

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# Projection

- Select columns
- Example: π<sub>username, email</sub>(R)

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$$-\sigma_{birth\_year < 1950}(\pi_{username, email}(R)) \\ -\pi_{username, email}(\sigma_{birth\_year < 1950}(R))$$

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### Union

- Set union between two relations with same fields
- Example:  $\sigma_{birth\_year < 1950}(R) U \sigma_{birth\_year\%2==0}(R)$

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### **Set Difference**

- A B takes out all rows in B from A.
- Example:  $\sigma_{birth\ year\%2==0}(R)$   $\sigma_{birth\ year<1950}(R)$

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Username	Email	Birth Year
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# **Cross product**

- A x B takes all rows from A and combines them with all rows from B.
- Example:  $\pi_{username}(R) \times \pi_{birth\ year}(R)$

Username	Email	Birth Year
теер	meep@gmail.com	1920
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Username
meep
notmeep
beep
beepboop

V	Birth Year	
	1920	
	1980	E
	1985	

# **Cross product**

- A x B takes all rows from them with all rows from B
- Example: π<sub>username</sub>(R) x π

Username
meep
notmeep
beep
beepboop

	Birth Year
	1920
	1980
	1985
•	

A and combines			
Username	Birth Year		
meep	1920		
meep	1980		
meep	1985		
notmeep	1920		
notmeep	1980		
notmeep	1985		
beep	1920		
beep	1980		
beep	1985		
beepboop	1920		
beepboop	1980		
beepboop	1985		

### Join

- A ⋈ B joins A and B based on some column.
  - Natural join: Default, joins on matching columns.
  - Can also specify a particular join predicate.
- Example:  $\pi_{username,email}(R) \bowtie \pi_{username,birth\_year}(R)$

Username	Email
meep	meep@gmail.com
notmeep	notMeep@gmail.com
beep	beep@gmail.com
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 $\bowtie$ 

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### **Division**

- A / B projects the columns from A that are not in B, while selecting elements of A that fully intersect with B.
- Think of it as opposite of cross-product!
- Example:

Person	Class
meep	cs186
meep	cs162
moop	cs186
тоор	cs61
beep	cs186
beep	cs162

Class	
cs186	
cs162	

Person
теер
beep

### **Division**

Can be expressed with the following equation:

$$\pi_{x}(A) - \pi_{x}((\pi_{x}(A) \times B) - A)$$

- Things to eliminate: Things that are in the cross product of  $\pi_x(A)$  and B that are NOT in A.
- Subtract the left hand side (projection) of those candidates from π<sub>x</sub>(A).