

## Lecture 6: The Pigeonhole Principle

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## 6.1 The Pigeonhole Principle

**Theorem 6.1** (The Pigeonhole Principle). Let  $X$  be a set of  $n$  objects. Suppose  $\{X_1, \dots, X_k\}$  from a partition of  $X$  (i.e. a family of disjoint sets whose union is  $X$ ). If  $k < n$ , then  $\exists i \in \{1, \dots, k\}$  such that  $|X_i| \geq 2$ .

**Proof.** Suppose for contradiction that for all  $i \in \{1, \dots, k\}$ ,  $|X_i| = 1$ . Since  $\{X_1, \dots, X_k\}$  is a partition,

$$n = |X| = \sum_{i=1}^k |X_i| = k.$$

But this is contradiction since  $k < n$ . ■

Alternatively, we can state the Pigeonhole Principle in terms of a mapping function.

**Theorem 6.2** (The Pigeonhole Principle). Let  $X$  be a set of  $n$  objects. Let  $s : X \rightarrow \{1, \dots, k\}$ . If  $k < n$ , then  $s$  cannot be injective. That is, there exist distinct  $x, y \in X$  such that  $s(x) = s(y)$ .

**Proof.** For  $i \in \{1, \dots, k\}$ , let  $X_i = \{x \in X \mid s(x) = i\}$ . Suppose for contradiction that  $s$  is injective. Then, it follows that  $X_i$  are disjoint and

$$\bigcup_{i=1}^k X_i = X.$$

But again, since  $s$  is injective  $|X_i| \leq 1$  for all  $i \in \{1, \dots, k\}$  and this is a contradiction since  $k < n$ . ■

## 6.2 Applications of the Pigeonhole Principle