

Comparison of data structs and algos so far...

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| Unsorted List Priority Queue | $O(n)$ | $O(1)$ | $O(n)$ | |
| Sorted List Priority Queue | $O(n)$ | $O(n)$ | $O(n)$ | |
| Heap Priority Queue | $O(n)$ | $O(\log n)$ | $O(n)$ | Designed for operations on "min" in $O(\log n)$ |
| Skip List | $\log n$ high prob. | $\log n$ high prob. | $\log n$ high prob. | Randomized insertion algorithm |
| Hash Table | 1 expected | 1 expected | 1 expected | $O(n)$ worst case |

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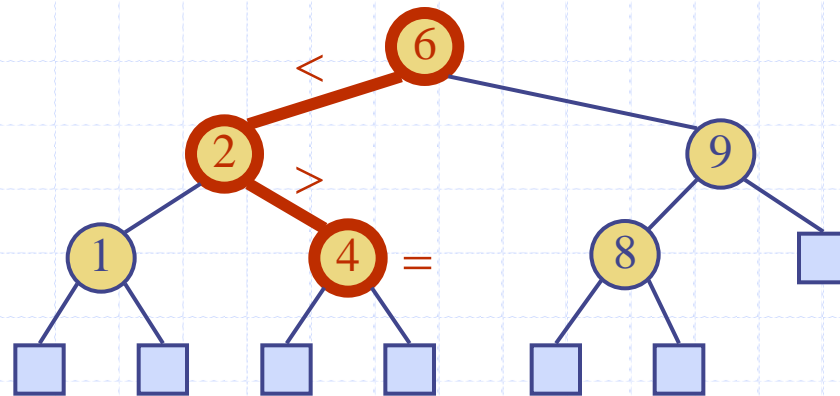
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Find/Search

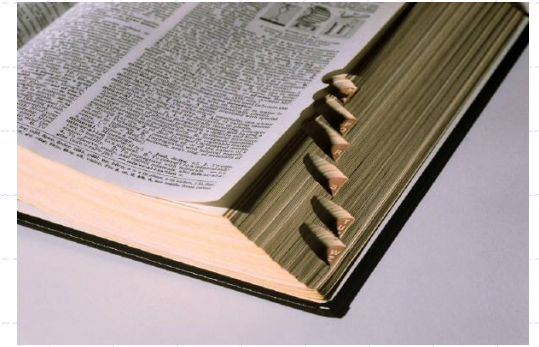
- ◆ Performance of delete depends on find/search
 - (performance of insert might as well...)
- ◆ Thus, we focus on improving find/search
- ◆ This brings us to...

Binary Search Trees

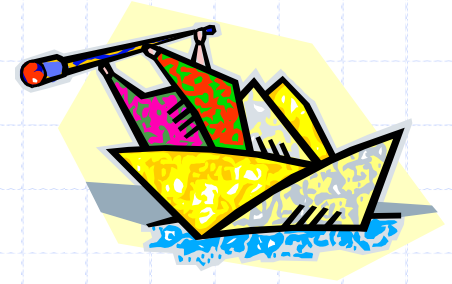
(and several others methods eventually...)



Assumptions

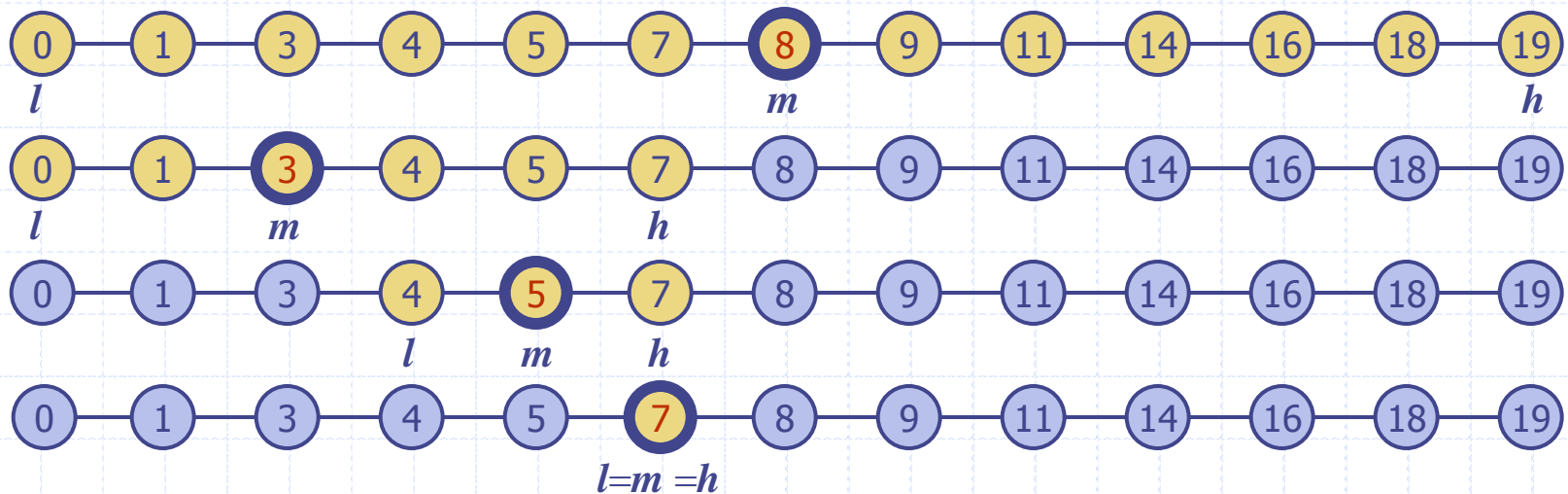


- ◆ We have an “ordered dictionary”
 - Keys are assumed to come from a total order
 - ◆ E.g., you can compare any key to any key and get a proper ordering
 - (this is as opposed to a partial ordering, where only adjacent keys can be compared with other keys)
 - New operations:
 - ◆ `closestBefore(k)`
 - ◆ `closestAfter(k)`

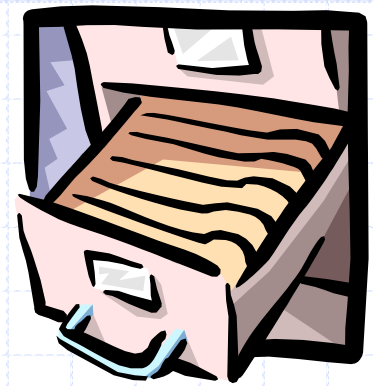


Binary Search (array-based)

- ◆ Perform operation **find**(k) on a dictionary implemented by means of an array-based sequence, sorted by key
 - at each step, the number of candidate items is halved
 - terminates after $O(\log n)$ steps
- ◆ Example: **find**(7)

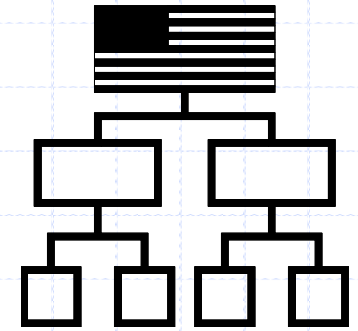


Lookup Table



- ◆ A lookup table is a dictionary implemented by means of a sorted sequence and uses binary search
 - We store the items of the dictionary in an array-based sequence, sorted by key
 - We use an external comparator for the keys
- ◆ Performance:
 - **Find:**
 - ◆ $O(\log n)$ time (using binary search)
 - **insertItem:**
 - ◆ $O(n)$ time since in the worst case we have to shift $n/2$ items to make room for the new item
 - **removeElement:**
 - ◆ $O(n)$ time since in the worst case we have to shift $n/2$ items to compact the items after the removal
- ◆ The lookup table is effective for small dictionaries on which searches are the most common operations, while insertions and removals are rare

Binary Search Tree



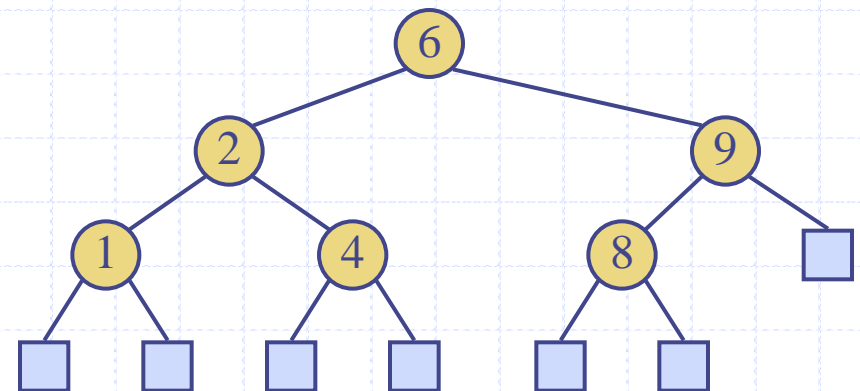
◆ A binary search tree is a binary tree storing key-element pairs at its internal nodes and satisfying the following property:

- Let u , v , and w be three nodes such that u is in the left subtree of v and w is in the right subtree of v . We have $key(u) \leq key(v) \leq key(w)$

◆ External nodes do not store items

◆ Thus, how do you visit all keys in increasing order?

- inorder traversal...



Find/Search

- ◆ To search for a key k , we trace a downward path starting at the root
- ◆ The next node visited depends on the outcome of the comparison of k with the key of the current node
- ◆ If we reach a leaf, the key is not found and we return a null position
- ◆ Example: **find**(4)

Algorithm *find* (k, v)

if *T.isExternal* (v)

return *Position*(*null*)

if $k < \text{key}(v)$

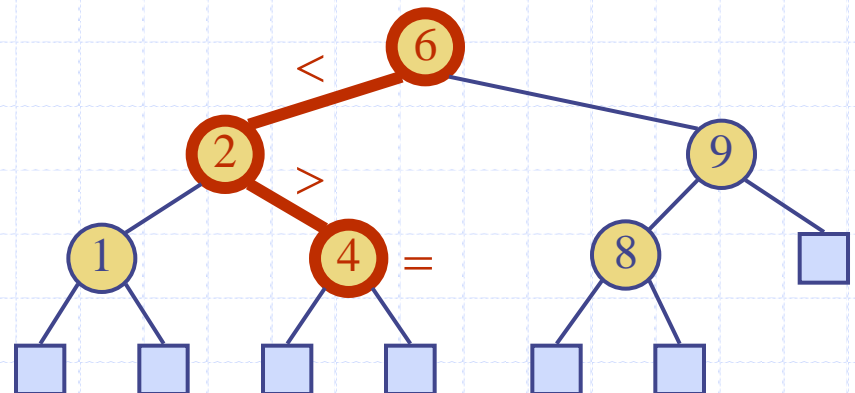
return *find*($k, T.\text{leftChild}(v)$)

else if $k = \text{key}(v)$

return *Position*(v)

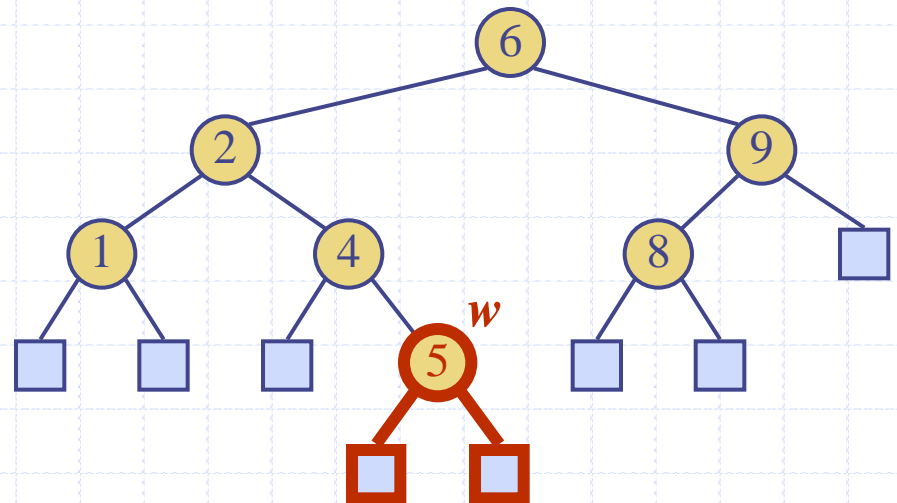
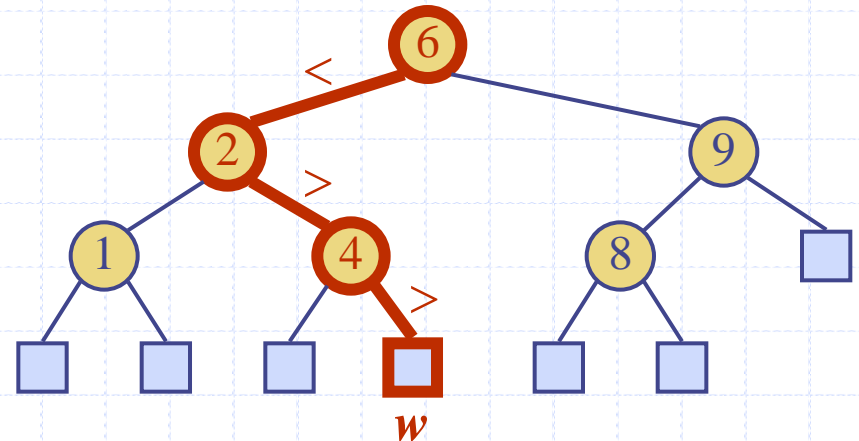
else { $k > \text{key}(v)$ }

return *find*($k, T.\text{rightChild}(v)$)



Insertion

- ◆ To perform operation **insertItem(k, o)**, we search for key k
- ◆ Assume k is not already in the tree, and let w be the leaf reached by the search
- ◆ We insert k at node w and expand w into an internal node
- ◆ Example: insert 5

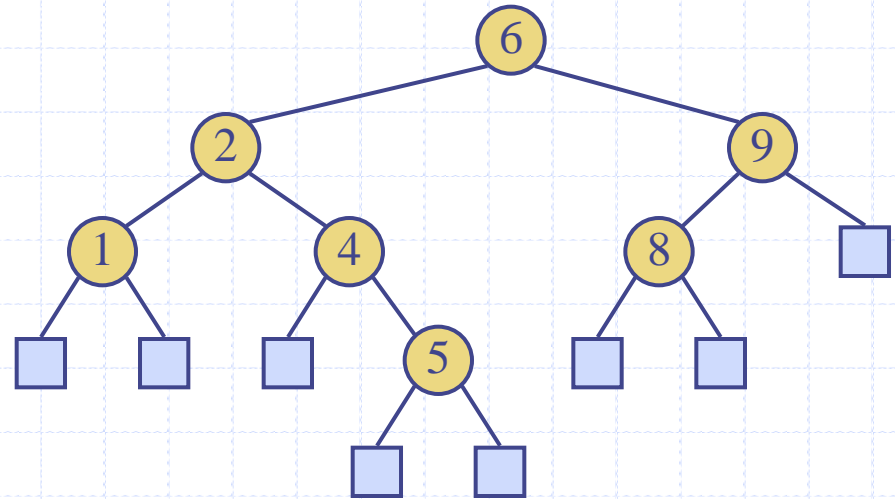


Deletion

- ◆ Three cases:
 - Zero children
 - One child
 - Two children

Deletion: zero children

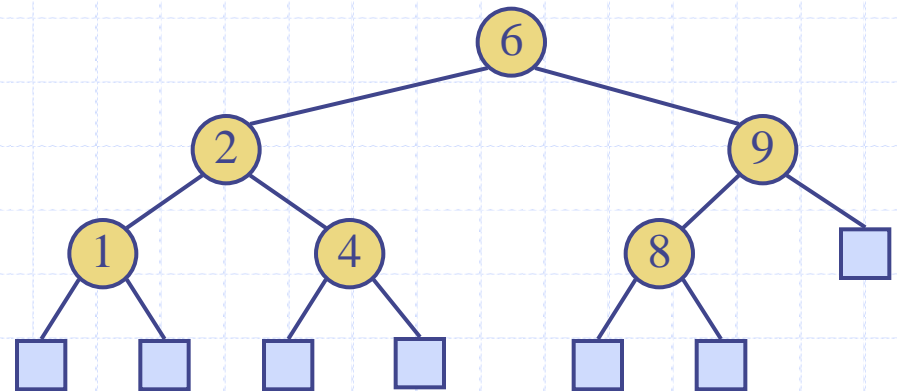
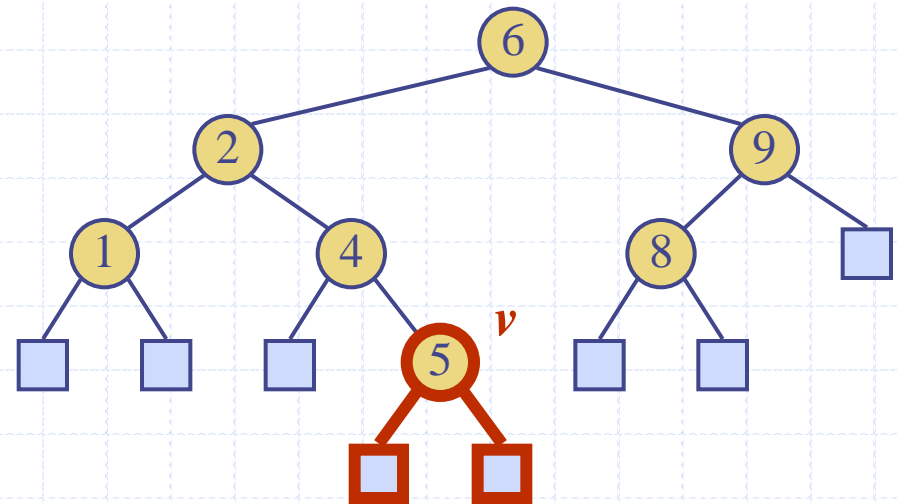
- ◆ Must be a leaf node – simple (e.g., remove 5)



Deletion: zero children

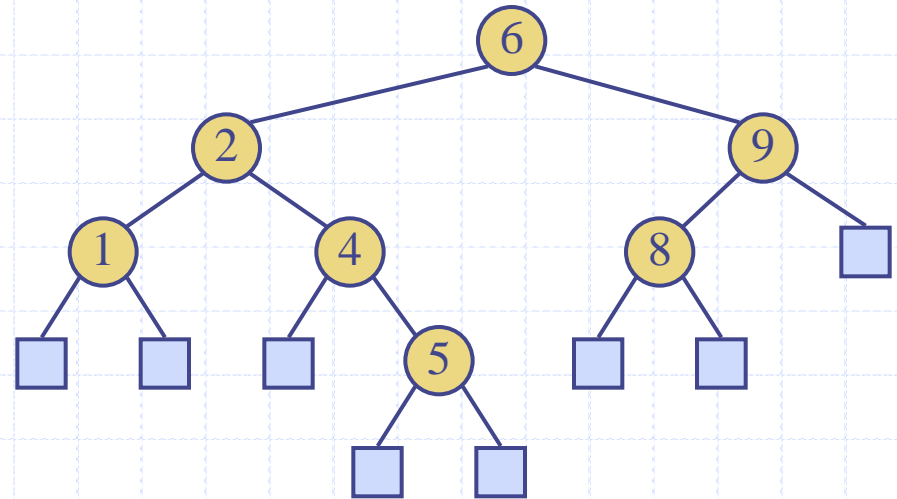
◆ Must be a leaf node – simple (e.g., remove 5)

- Assume key k is in tree, and let v be the node storing k
- We search for key k
- Remove node



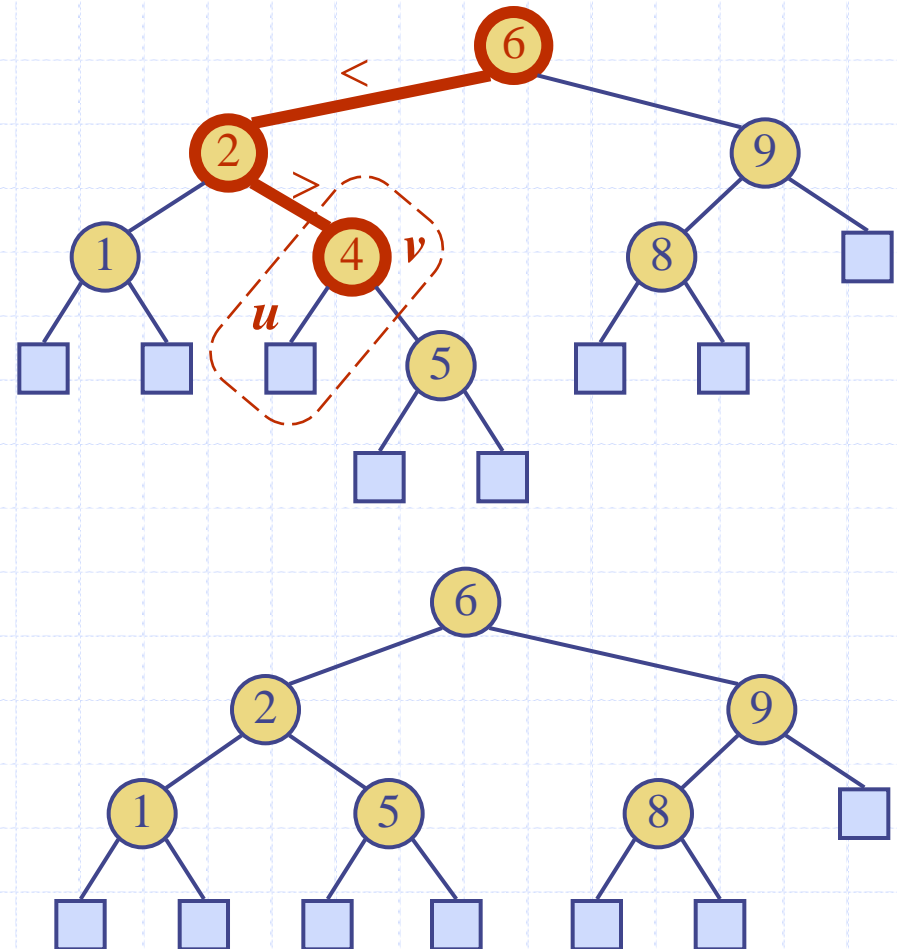
Deletion: one child

- ◆ To perform operation, we search for key k (e.g., remove 4)



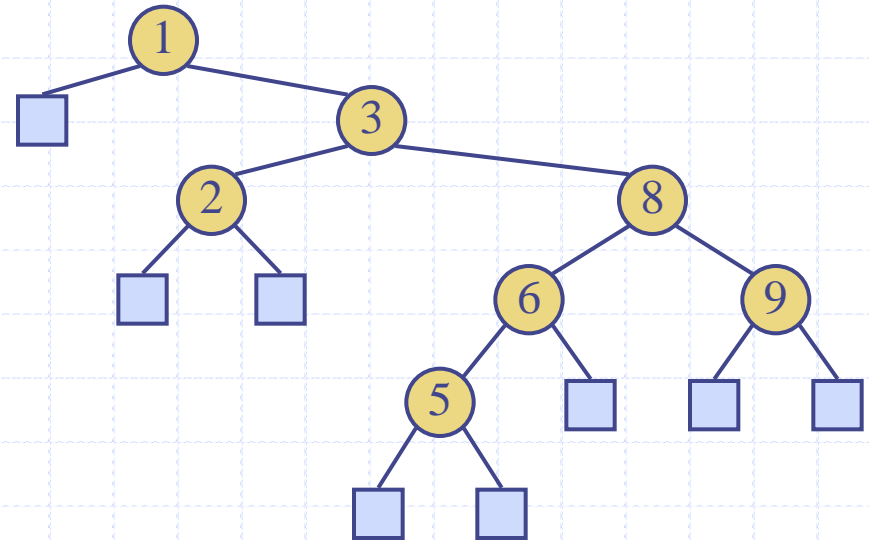
Deletion: one child

- ◆ To perform operation, we search for key k (e.g., remove 4)
- ◆ Assume key k is in tree, and let v be the node storing k
- ◆ If node v has **one** leaf child u , we remove v and u from the tree with operation **removeAboveExternal(u)**



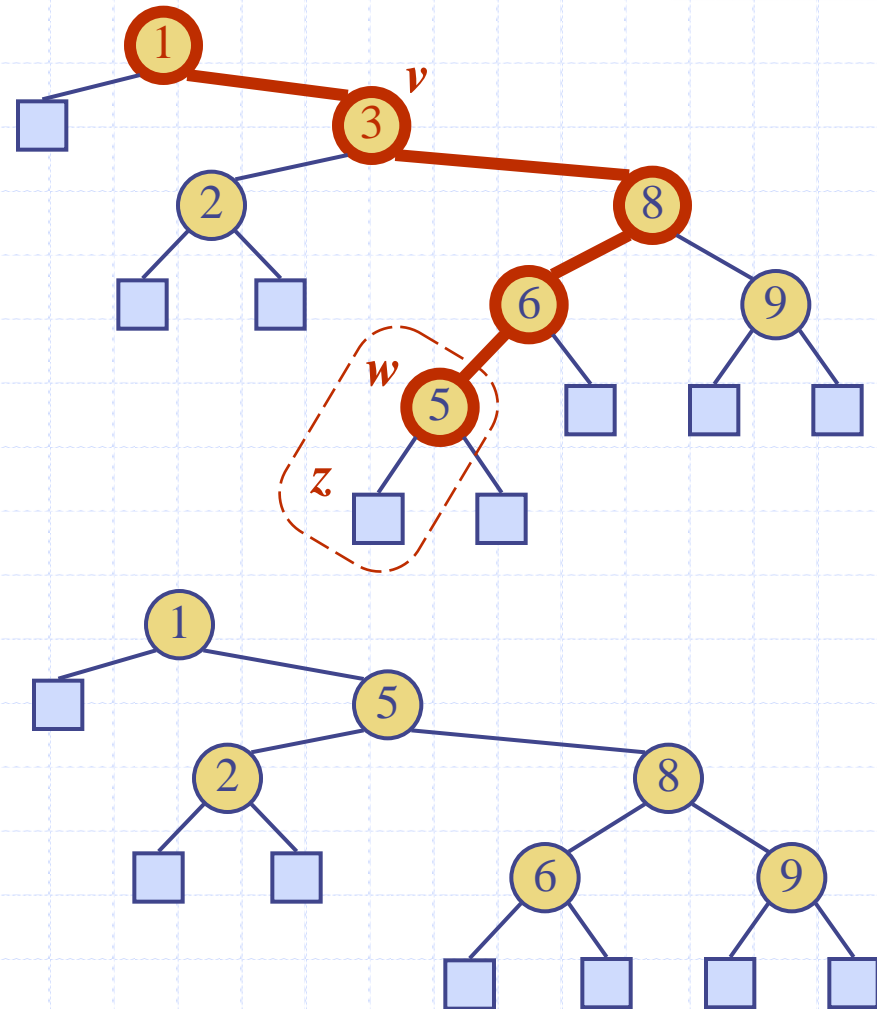
Deletion: two children

- ◆ What if the key k to be removed has **two** internal nodes as children, e.g. “remove 3”
 - we find the internal node w that follows v in an inorder traversal



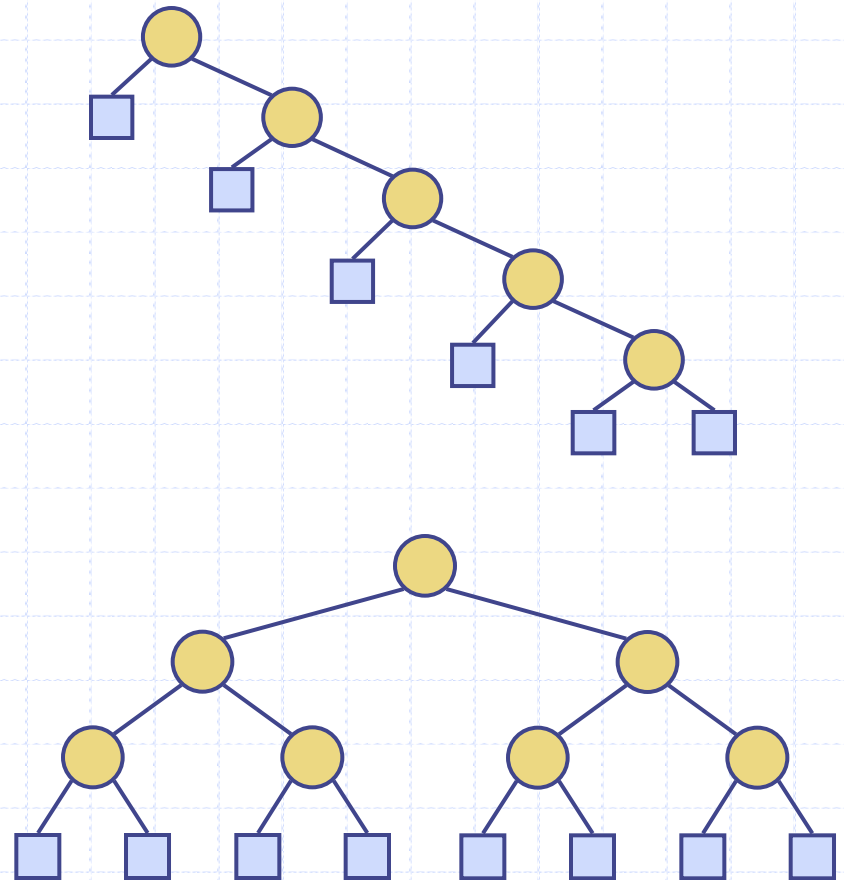
Deletion: two children

- What if the key k to be removed has **two** internal nodes as children, e.g. "remove 3"
 - we find the internal node w that follows v in an inorder traversal
 - we copy $key(w)$ into node v
 - we remove node w and its left child z (which must be a leaf) by means of operation **removeAboveExternal(z)**



Performance

- ◆ A dictionary with n items implemented with a binary search tree of height h
 - Space is:
 - ◆ $O(n)$
 - Time `findElement()` , `insertItem()` and `removeElement()` is:
 - ◆ $O(h)$ time
- ◆ Height h is $O(n)$ in the worst case and $O(\log n)$ in the best case
- ◆ How can we “prevent” $O(n)$ height?



Searching++

◆ That brings us to our next (more complex) set of searching algorithms and data structures:

- 2-3-4 trees
- (AVL trees)
- Red-black trees