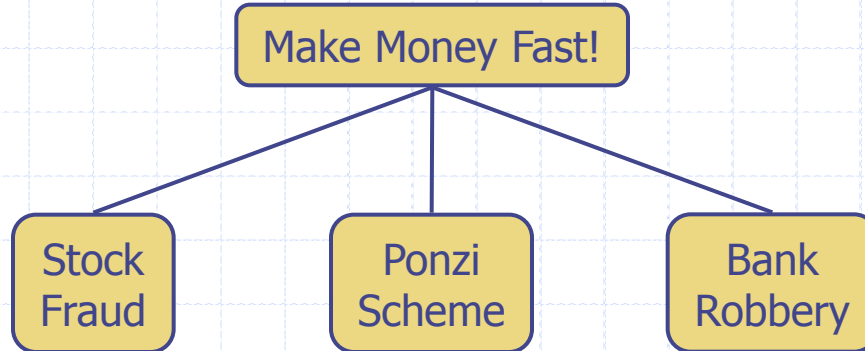


Trees



Outline and Reading

- ◆ Tree ADT
- ◆ Preorder and postorder traversals
- ◆ BinaryTree ADT
- ◆ Inorder traversal
- ◆ Euler Tour traversal
- ◆ Template method pattern
- ◆ Data structures for trees
- ◆ C++ implementation

What is a Tree?

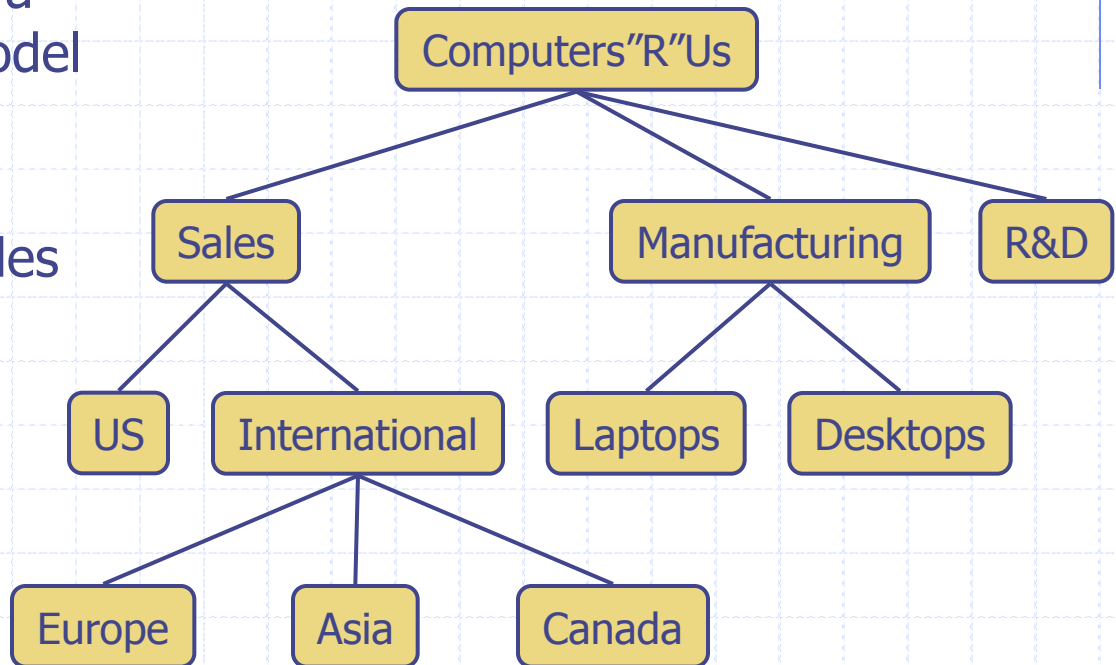


What is a Tree?



What is a Tree?

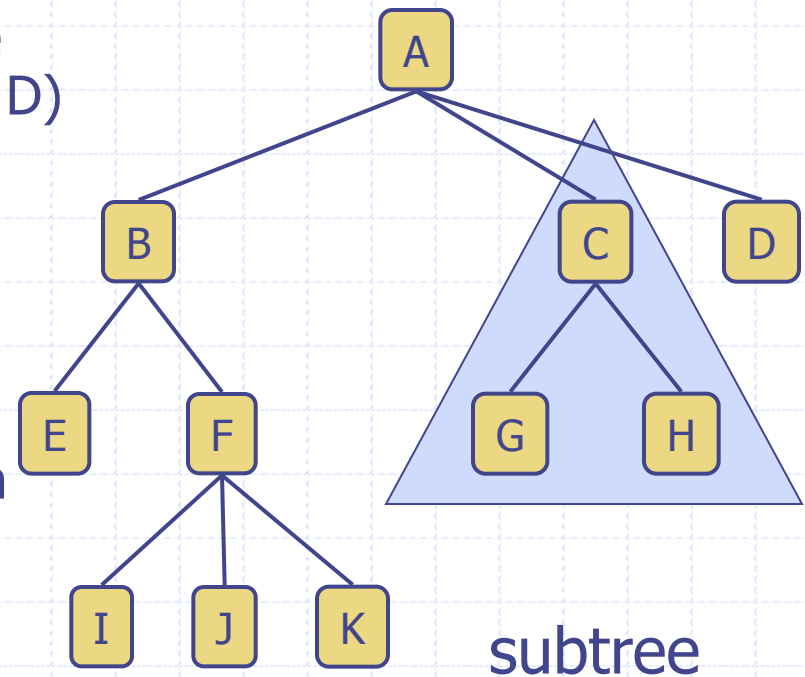
- ◆ In computer science, a tree is an abstract model of a hierarchical structure
- ◆ A tree consists of nodes with a parent-child relation
- ◆ Applications:
 - Organization charts
 - File systems
 - Programming environments



Tree Terminology

- ◆ Root: node without parent (A)
- ◆ Internal node: node with at least one child (A, B, C, F)
- ◆ External node (a.k.a. leaf): node without children (E, I, J, K, G, H, D)
- ◆ Ancestors of a node: parent, grandparent, grand-grandparent, etc.
- ◆ Depth of a node: number of ancestors
- ◆ Height of a tree: maximum depth of any node (3)
- ◆ Descendant of a node: child, grandchild, grand-grandchild, etc.

- ◆ Subtree: tree consisting of a node and its descendants



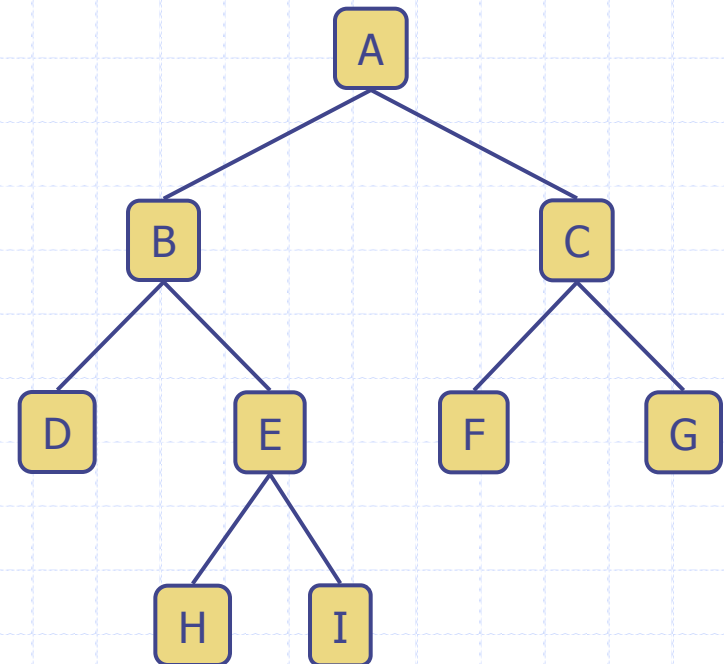
Tree ADT (not a list/sequence!)

- ◆ We use positions to abstract nodes
- ◆ Generic methods:
 - integer **size()**
 - boolean **isEmpty()**
 - objectIterator **elements()**
 - positionIterator **positions()**
- ◆ Accessor methods:
 - position **root()**
 - position **parent(p)**
 - positionIterator **children(p)**
- ◆ Query methods:
 - boolean **isInternal(p)**
 - boolean **isExternal(p)**
 - boolean **isRoot(p)**
- ◆ Update methods:
 - **swapElements(p, q)**
 - object **replaceElement(p, o)**
- ◆ Additional update methods may be defined by data structures implementing the Tree ADT

Binary Tree

- ◆ A binary tree is a tree with the following properties:
 - Each internal node has two children
 - The children of a node are an ordered pair
- ◆ We call the children of an internal node left child and right child
- ◆ Alternative recursive definition: a binary tree is either
 - a tree consisting of a single node, or
 - a tree whose root has an ordered pair of children, each of which is a binary tree

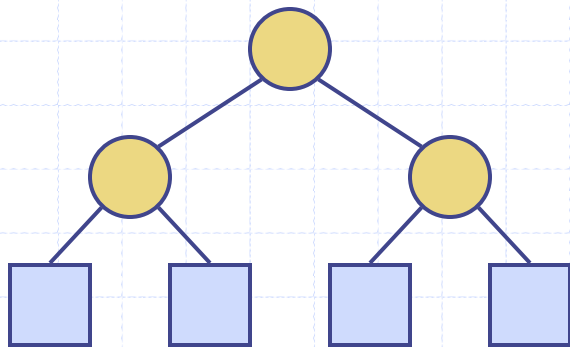
- ◆ Applications:
 - arithmetic expressions
 - decision processes
 - searching



Properties of Binary Trees

◆ Notation

- n number of nodes
- e number of external nodes
- i number of internal nodes
- h height



◆ Properties:

- $e =$

- ◆ $i + 1$

- ◆ $e \leq 2^h$

- $n =$

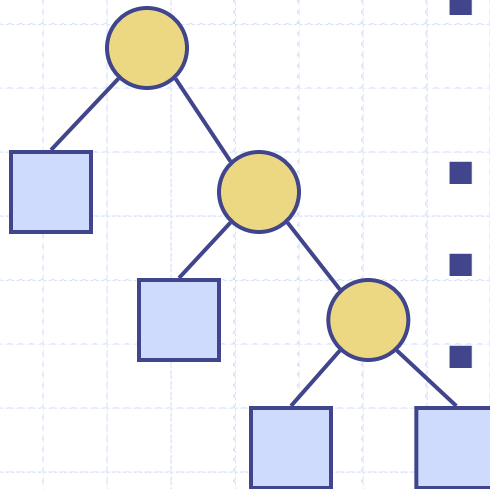
- ◆ $2e - 1$

- $h \leq i$

- $h \leq (n - 1)/2$

- $h \geq \log_2 e$

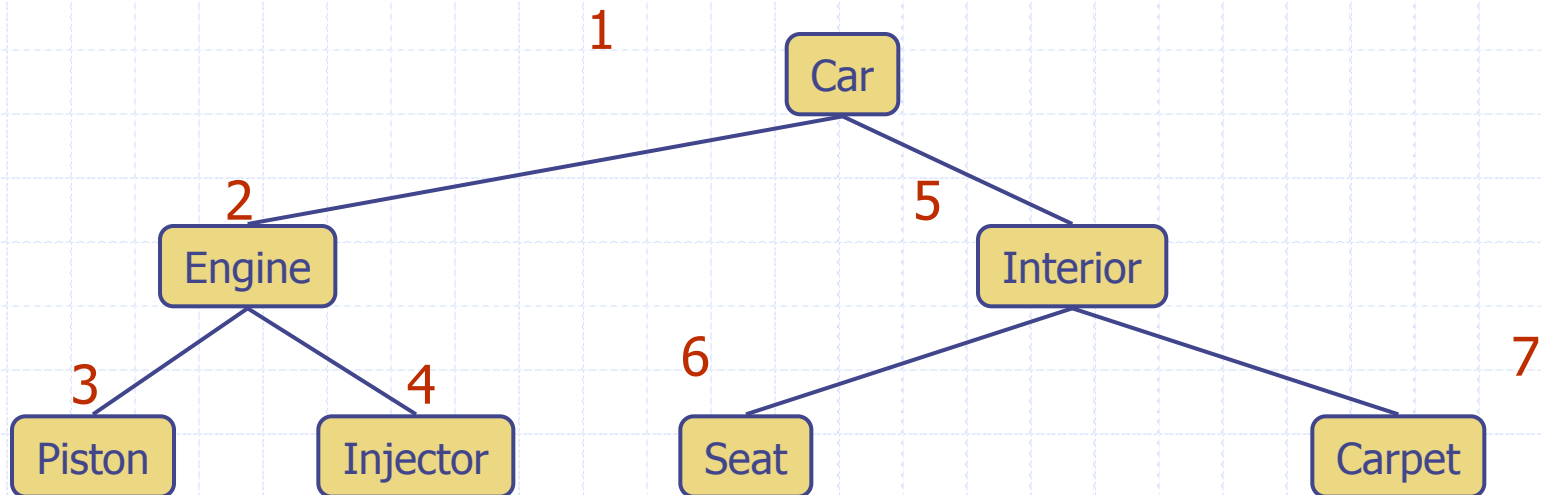
- $h \geq \log_2 (n + 1) - 1$



Preorder Traversal

- ◆ A traversal visits the nodes of a tree in a systematic manner
- ◆ In a preorder traversal, a node is visited before its descendants
- ◆ Application: print a *structured document*

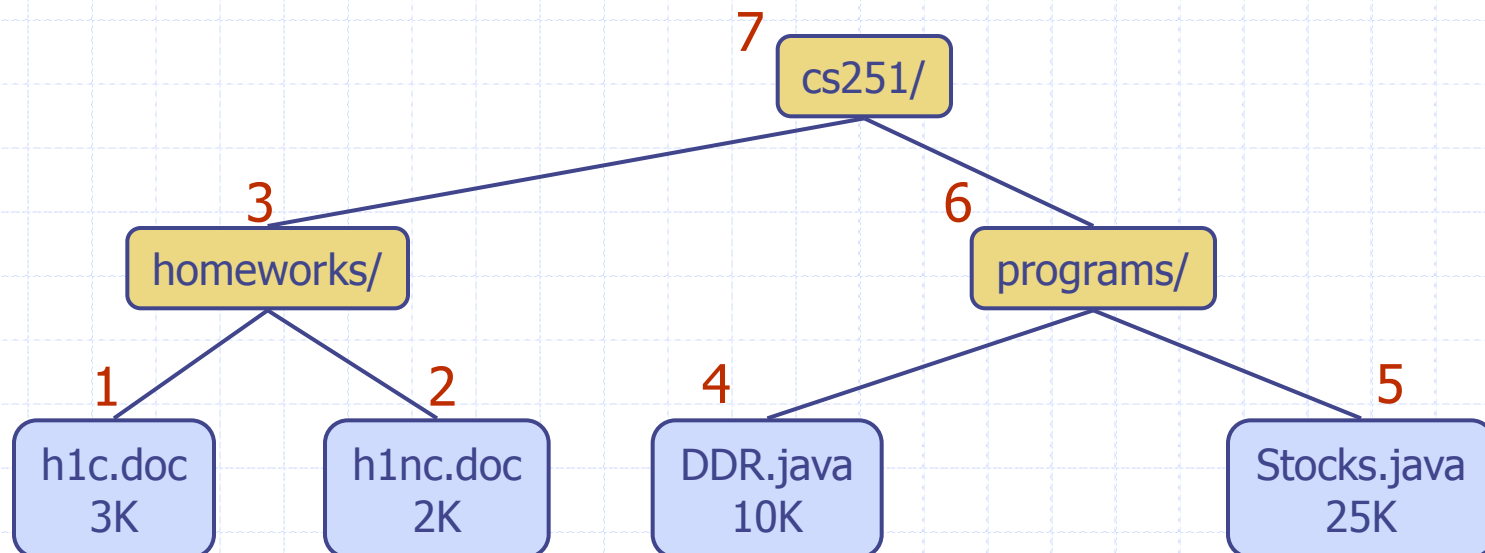
```
Algorithm preOrder(v)  
  visit(v)  
  for each child w of v  
    preorder (w)
```



Postorder Traversal

- ◆ In a postorder traversal, a node is visited after its descendants
- ◆ Application: compute space used by files in a directory and its subdirectories

Algorithm *postOrder*(v)
 for each child w of v
 postOrder (w)
 visit(v)



Inorder Traversal

- ◆ In an inorder traversal a node is visited after its left subtree and before its right subtree
- ◆ Application: draw a binary tree
 - $x(v)$ = inorder rank of v
 - $y(v)$ = depth of v

Algorithm *inOrder*(v)

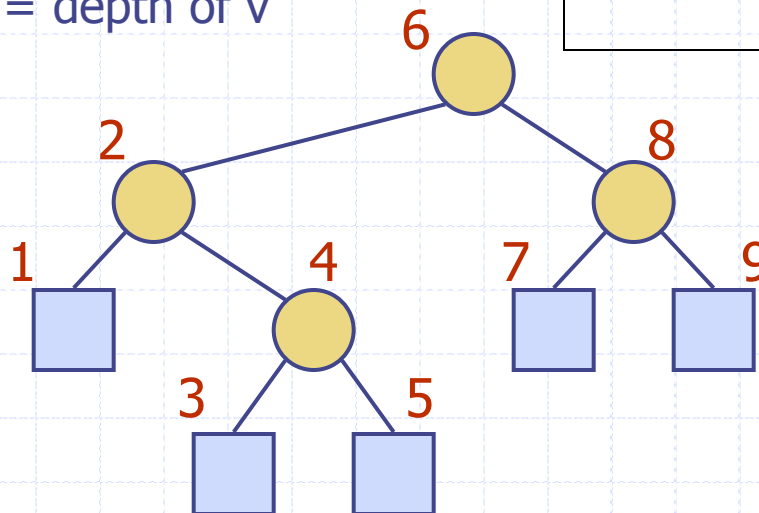
if *isInternal* (v)

inOrder (*leftChild* (v))

visit(v)

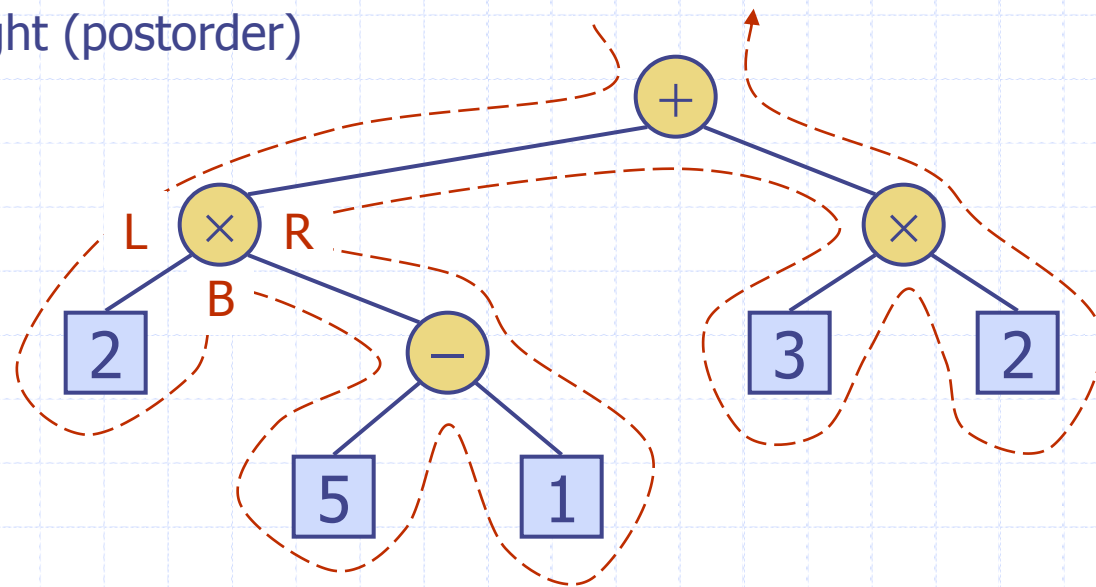
if *isInternal* (v)

inOrder (*rightChild* (v))



Euler Tour Traversal

- ◆ Generic traversal of a binary tree
- ◆ Includes a special cases the preorder, postorder and inorder traversals
- ◆ Walk around the tree and visit each node three times:
 - on the left (preorder)
 - from below (inorder)
 - on the right (postorder)



Example

- ◆ 1. [TEAMS?] Build a Binary Tree for the following:

City

- Residential Area
 - ◆ House1, House2
 - Each has Kitchen, Bedroom 1, Bedroom 2
- Commercial Area
 - ◆ Store 1, Store 2, Store 3
 - Each has Lobby, Storage
 - Each Lobby has a Cashier and Aisles

Example



Example

◆ 2. [TEAMS?] What traversal would print out the “structure”?)

e.g.: City

- Residential Area
 - ◆ House1
 - Kitchen
 - Bedroom 1, Bedroom 2
 - ◆ House2
 - Kitchen
 - Bedroom 1, Bedroom 2
- Commercial Area
 - ◆ Store 1
 - Lobby
 - Cashier, Aisles
 - ◆ Store 2
 - Lobby
 - Cashier, Aisles
 - ◆ Store 3
 - Lobby
 - Cashier, Aisles

Example

- ◆ 2. [TEAMS?] What traversal would print out the “structure”? [answer: pre-order]

e.g.: City

- Residential Area
 - ◆ House1
 - Kitchen
 - Bedroom 1, Bedroom 2
 - ◆ House2
 - Kitchen
 - Bedroom 1, Bedroom 2
- Commercial Area
 - ◆ Store 1
 - Lobby
 - Cashier, Aisles
 - ◆ Store 2
 - Lobby
 - Cashier, Aisles
 - ◆ Store 3
 - Lobby
 - Cashier, Aisles

Example

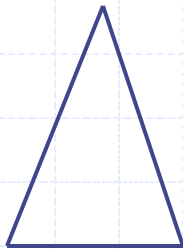
◆ 3. [TEAMS] What happens to the binary tree if:

City

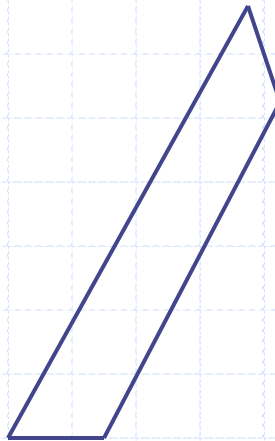
- Residential Area
 - ◆ House1, House2, House 3, House 4, House 5
 - Each has Kitchen, Bedroom 1, Bedroom 2
- Commercial Area
 - ◆ Store 1, Store 2, Store 3, Store 4, Store 5, Store 6, Store 7
 - Each has Lobby, Storage
 - Each Lobby has a Cashier and Aisles

Example

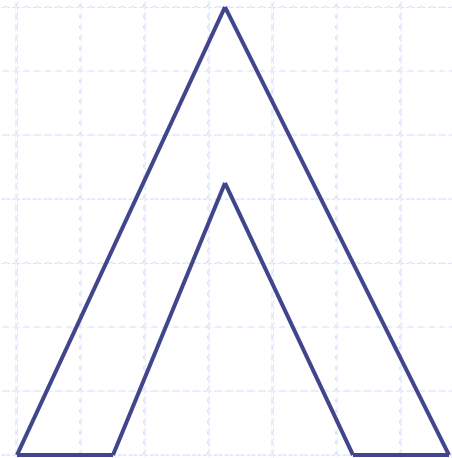
- ◆ An “unbalanced” tree is produced
- ◆ Conceptually:



balanced



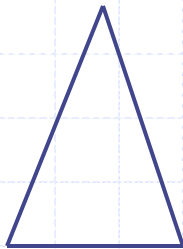
unbalanced



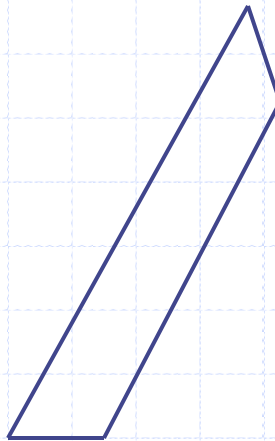
??

Example

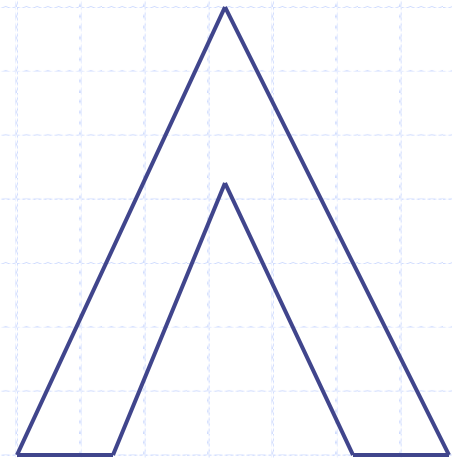
- ◆ An “unbalanced” tree is produced
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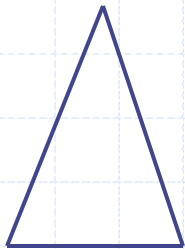
unbalanced



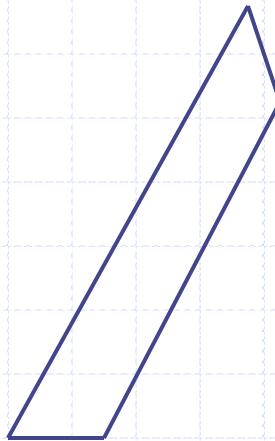
unbalanced

Example

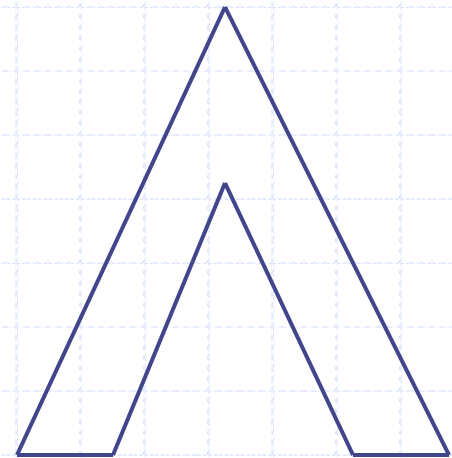
◆ What is the height of the tree of n nodes?



balanced



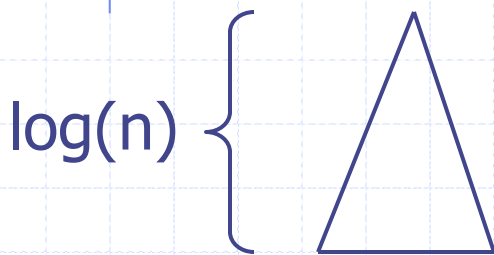
unbalanced



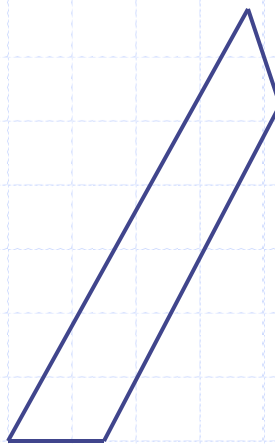
unbalanced

Example

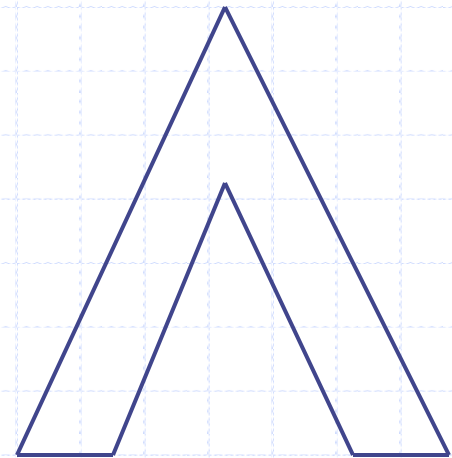
◆ What is the height of the tree of n nodes?



balanced



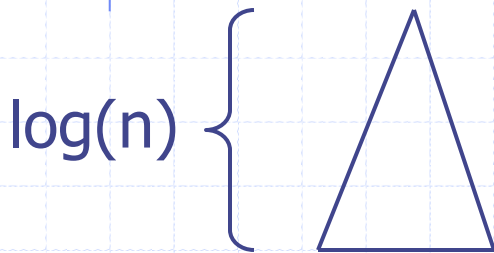
unbalanced



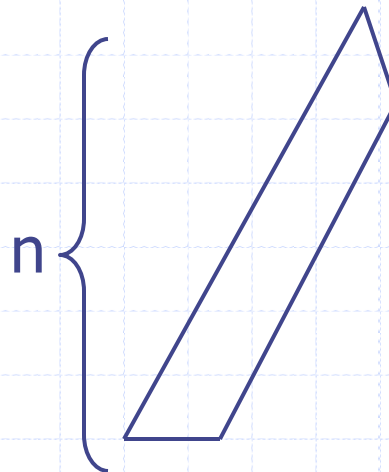
unbalanced

Example

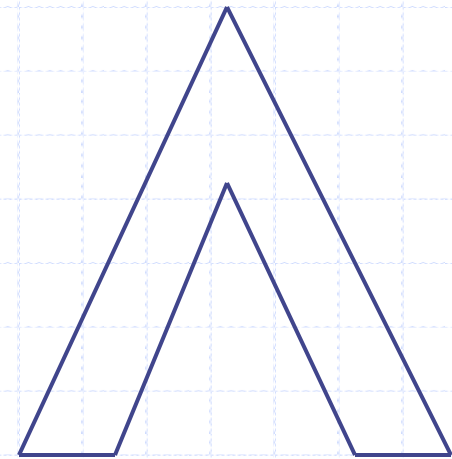
◆ What is the height of the tree of n nodes?



balanced



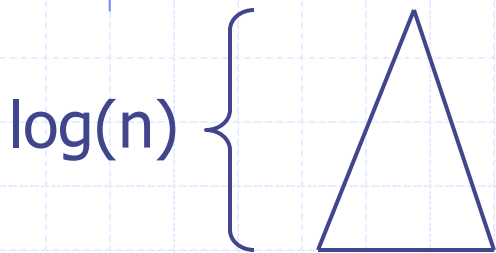
unbalanced



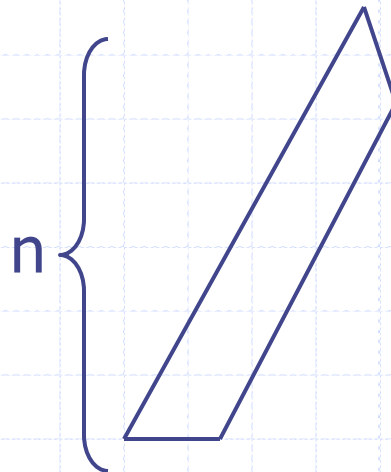
unbalanced

Example

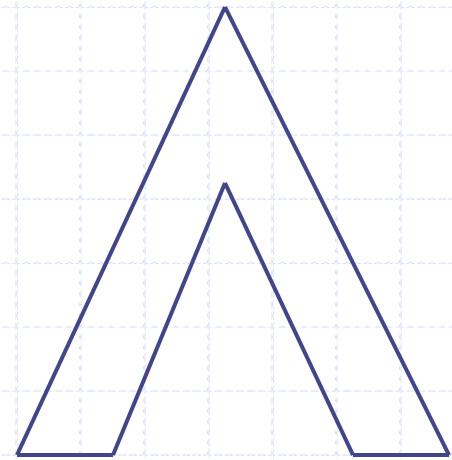
◆ Why do we care?



balanced



unbalanced

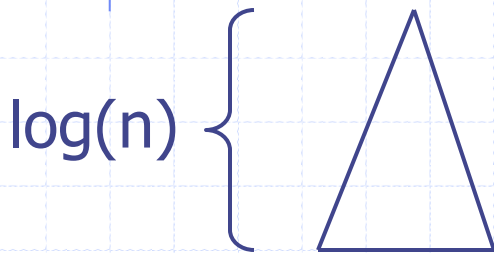


unbalanced

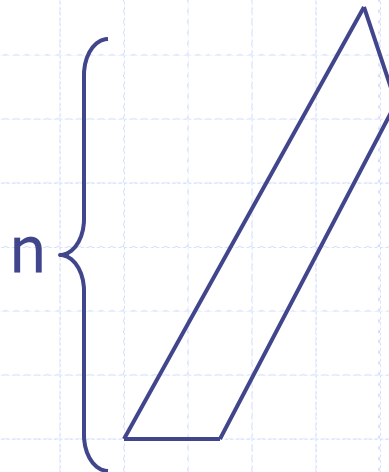
Example

◆ Efficiency!

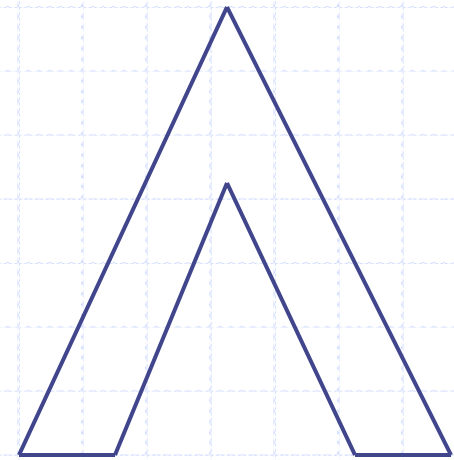
- $O(\log n)$ time to reach any node in a balanced tree and $O(n)$ time to reach any node in an unbalanced tree



balanced



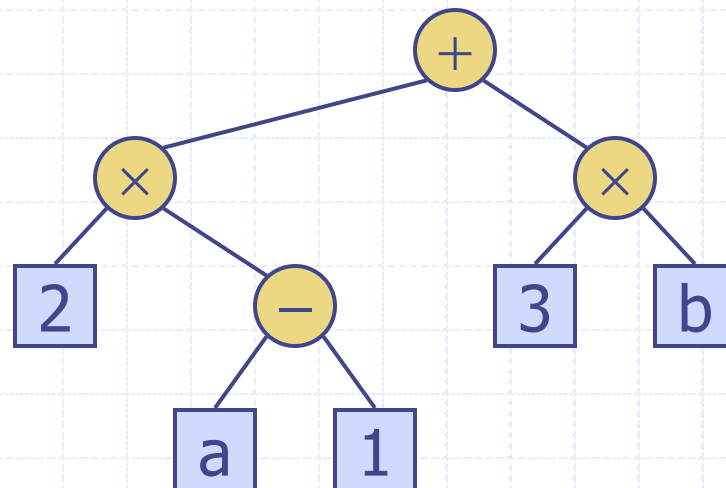
unbalanced



unbalanced

Arithmetic Expression Trees

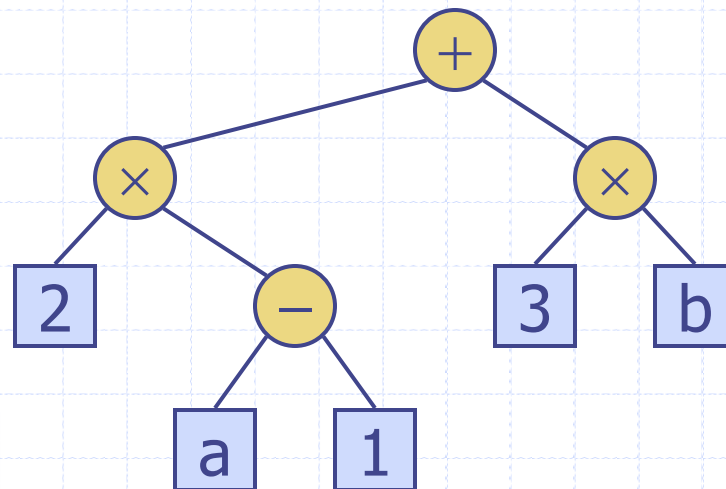
- ◆ Binary tree associated with an arithmetic expression
 - internal nodes: operators
 - external nodes: operands
- ◆ Example: arithmetic expression tree for the expression $(2 \times (a - 1) + (3 \times b))$
- ◆ What traversal order is used here?



Arithmetic Expression Trees

◆ Trick question!:

- "evaluation order"
- "print order"
- "notation/construction order"



Evaluate Arithmetic Expressions

- ◆ Specialization of a postorder traversal
 - recursive method returning the value of a subtree
 - when visiting an internal node, combine the values of the subtrees

Algorithm *evalExpr(v)*

if *isExternal* (*v*)

return *v.element* ()

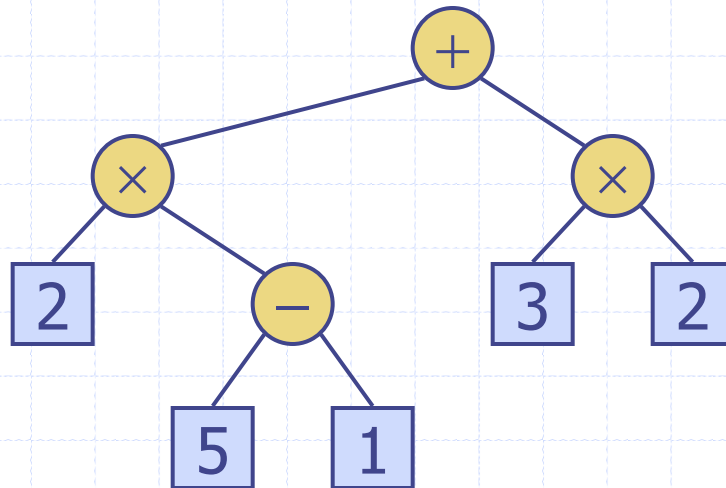
else

x \leftarrow *evalExpr*(*leftChild* (*v*))

y \leftarrow *evalExpr*(*rightChild* (*v*))

\diamond \leftarrow operator stored at *v*

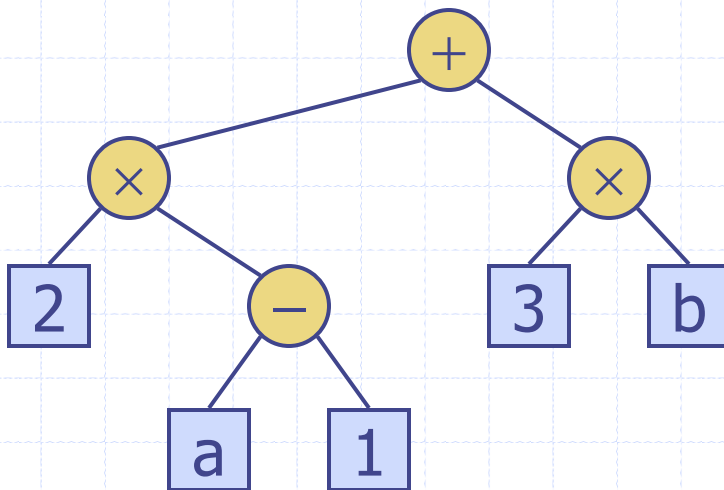
return *x* \diamond *y*



Print Arithmetic Expressions

◆ Specialization of an inorder traversal

- print operand or operator when visiting node
- print "(" before traversing left subtree
- print ")" after traversing right subtree



Algorithm *printExpression(v)*

if *isInternal* (v)

print("(")

inOrder (*leftChild* (v))

print(v.*element* ())

if *isInternal* (v)

inOrder (*rightChild* (v))

print (")")

$((2 \times (a - 1)) + (3 \times b))$

Notation/Construction Order

- ◆ Prefix notation

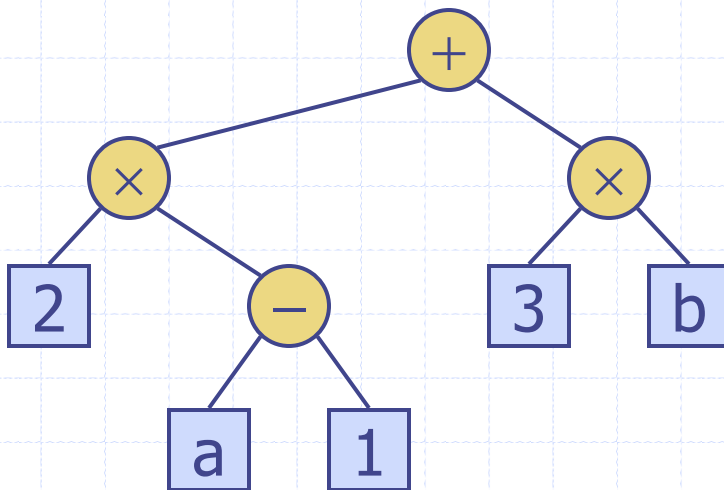
- $+x2-a1x3b$

- ◆ Inorder notation

- $2x(a-1)+(3xb)$

- ◆ Postfix notation

- $a1-2x3bx+$



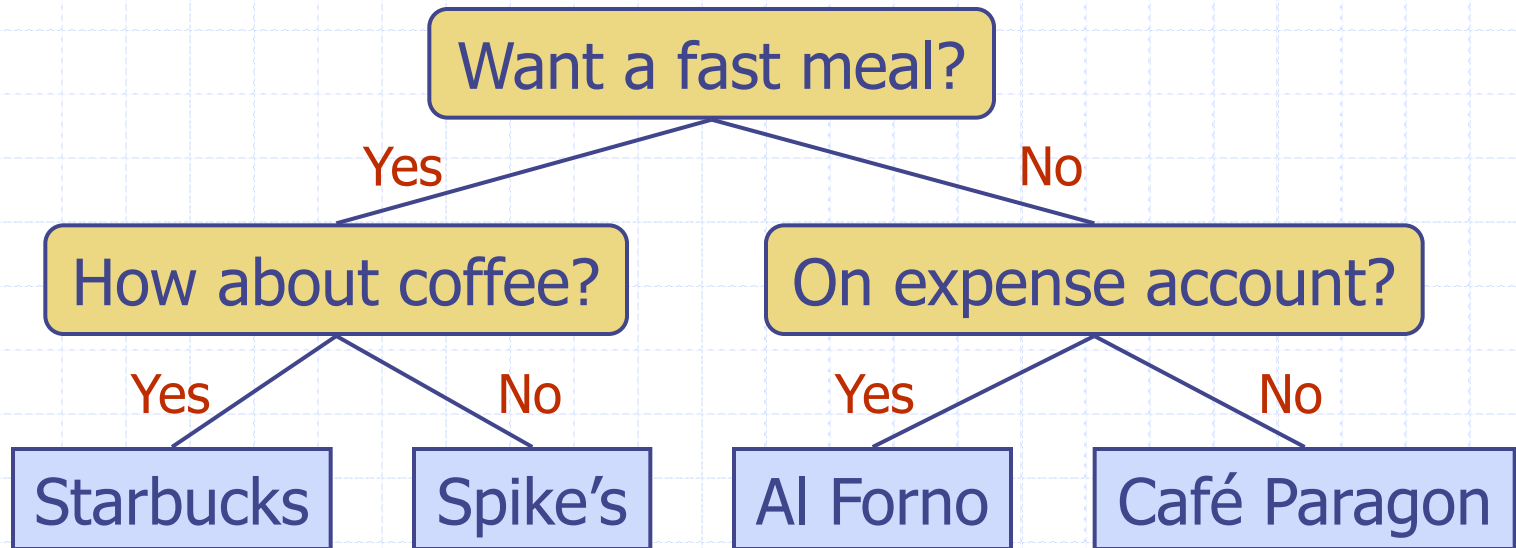
$$((2 \times (a - 1)) + (3 \times b))$$

Example



Decision Tree

- ◆ Binary tree associated with a decision process
 - internal nodes: questions with yes/no answer
 - external nodes: decisions
- ◆ Example: dining decision



BinaryTree ADT

◆ The BinaryTree ADT extends the Tree ADT, i.e., it inherits all the methods of the Tree ADT

◆ Additional methods:

- position **leftChild**(p)
- position **rightChild**(p)
- position **sibling**(p)

◆ Update methods may be defined by data structures implementing the BinaryTree ADT

Template Method Pattern

- ◆ Generic algorithm that can be specialized by redefining certain steps
- ◆ Implemented by means of an abstract C++ class
- ◆ Visit methods that can be redefined by subclasses
- ◆ Template method `eulerTour`
 - Recursively called on the left and right children
 - A `Result` object with fields `leftResult`, `rightResult` and `finalResult` keeps track of the output of the recursive calls to `eulerTour`

```
class EulerTour {
protected:
    BinaryTree* tree;
    virtual void visitExternal(Position p, Result r) {}
    virtual void visitLeft(Position p, Result r) {}
    virtual void visitBelow(Position p, Result r) {}
    virtual void visitRight(Position p, Result r) {}
    int eulerTour(Position p) {
        Result r = initResult();
        if (tree->isExternal(p)) { visitExternal(p, r); }
        else {
            visitLeft(p, r);
            r.leftResult = eulerTour(tree->leftChild(p));
            visitBelow(p, r);
            r.rightResult = eulerTour(tree->rightChild(p));
            visitRight(p, r);
            return r.finalResult;
        } // ... other details omitted
    }
};
```

Specializations of EulerTour

◆ We show how to specialize class EulerTour to evaluate an arithmetic expression

◆ Assumptions

- External nodes support a function **value()**, which returns the value of this node.
- Internal nodes provide a function **operation(int, int)**, which returns the result of some binary operator on integers.

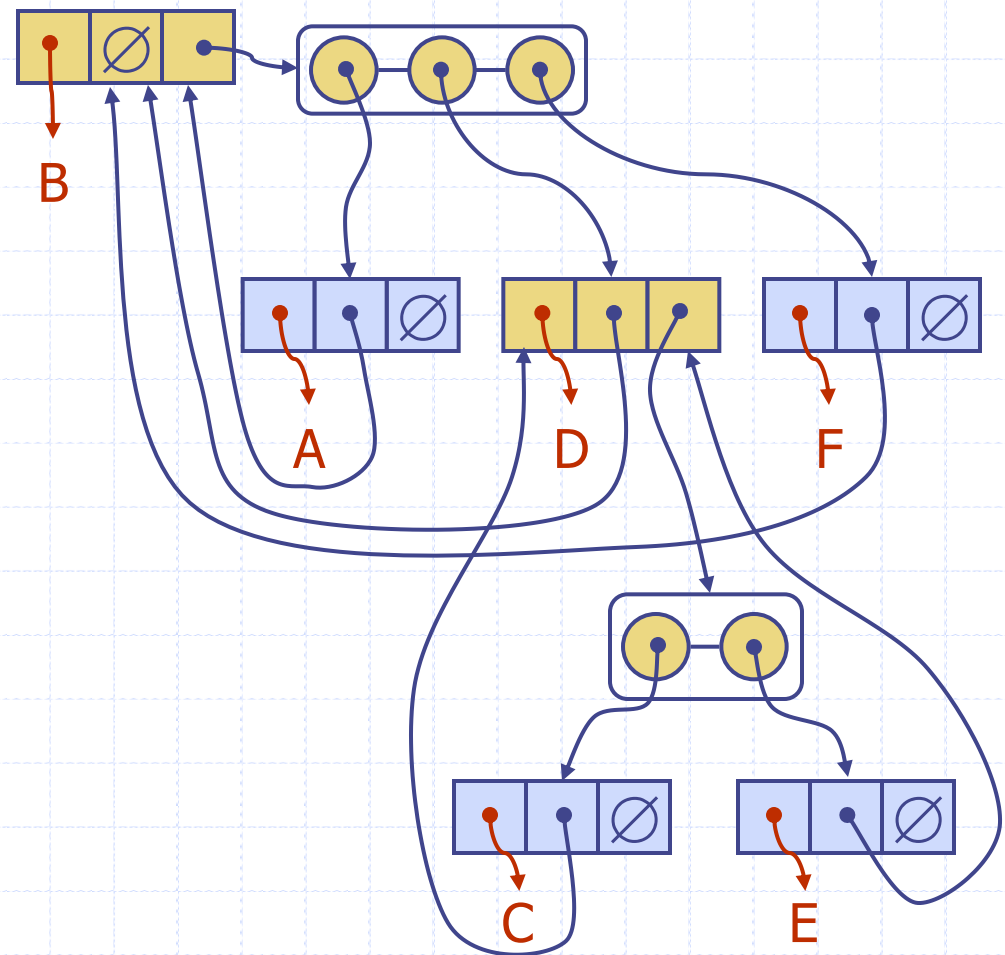
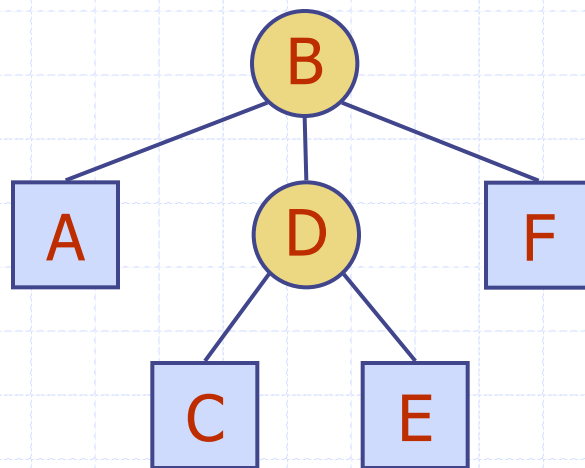
```
class EvaluateExpression
    : public EulerTour {
protected:
    void visitExternal(Position p, Result r) {
        r.finalResult = p.element().value();
    }

    void visitRight(Position p, Result r) {
        Operator op = p.element().operator();
        r.finalResult = p.element().operation(
            r.leftResult, r.rightResult);
    }

    // ... other details omitted
};
```

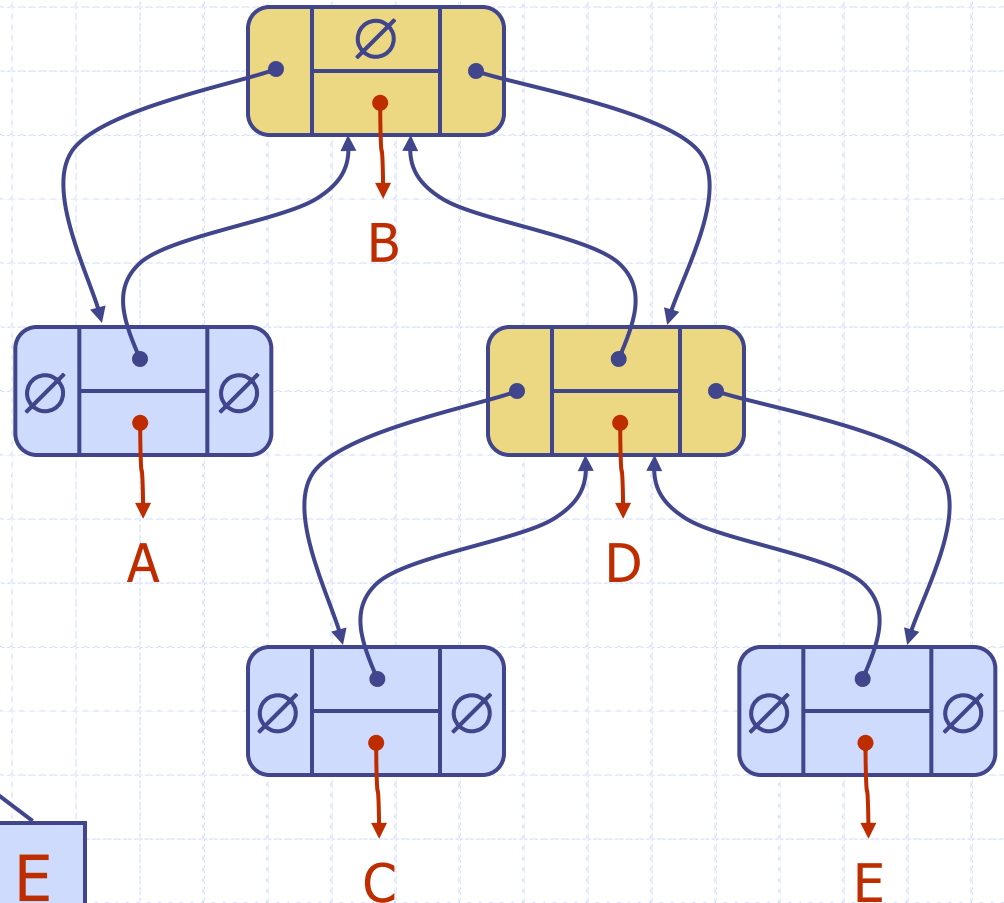
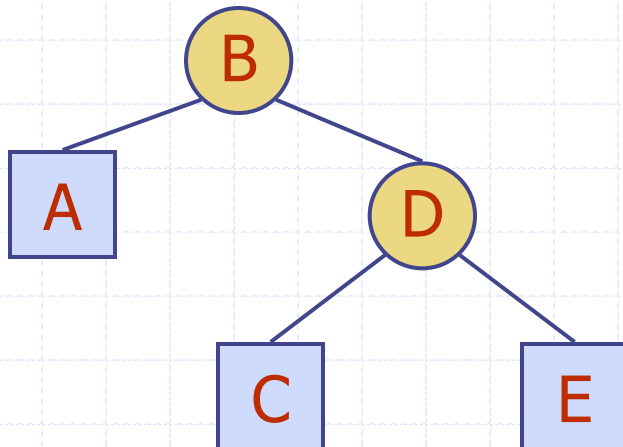
Data Structure for Trees

- ◆ A node is represented by an object storing
 - Element
 - Parent node
 - Sequence of children nodes
- ◆ Node objects implement the Position ADT



Data Structure for Binary Trees

- ◆ A node is represented by an object storing
 - Element
 - Parent node
 - Left child node
 - Right child node
- ◆ Node objects implement the **Position** ADT



C++ Implementation

- ◆ Tree interface
- ◆ BinaryTree interface extending Tree
- ◆ Classes implementing Tree and BinaryTree and providing
 - Constructors
 - Update methods
 - Print methods
- ◆ Examples of updates for binary trees
 - `expandExternal(v)`
 - `removeAboveExternal(w)`

