# **Deep Learning for NLP**

When Big Data hits Machine Learning



**Nils Reimers** 

Course-Website: www.deeplearning4nlp.com



## What is Deep Learning?



- Deep Learning is a subfield of Machine Learning
- Most machine learning methods work well because of human-designed features
  - Recasens et al. (2009) lists >30 features for event coreference resolution
  - Most time spent on engineering features
- Machine learning becomes optimizing weights to make a final prediction given the features

Feature	Definition	
PRON_m <sub>1</sub>	m <sub>1</sub> is a pronoun	
PRON m2	m <sub>2</sub> is a pronoun	
HEAD_MATCH	Head match	
WORDNET MATCH	EuroWordNet match	
NP_m <sub>1</sub>	m <sub>1</sub> NP type	
21	mi iii ijpi	
$NP_{-m_2}$	m <sub>2</sub> NP type	
- 2	- 51	
$NE_{m_1}$	m <sub>1</sub> NE type	
$NE_{-m_2}$	m <sub>2</sub> NE type	
NE_MATCH	NE match	
SUPERTYPE_MATCH		
GENDER_AGR	Gender agreement	
NUMBER_AGR	Number agreement	
ACRONYM	m2 is an acronym of m1	
QUOTES	m <sub>2</sub> is in quotes	
$FUNCTION_{m_1}$	$m_1$ function	
$FUNCTION_m_2$	m <sub>2</sub> function	
COLINE		
COUNT_m <sub>1</sub>	m <sub>1</sub> count	
COUNT_m <sub>2</sub>	m <sub>2</sub> count	
SENT_DIST	Sentence distance	
MENTION_DIST	Mention distance	
WORD_DIST	Mention distance	



## Machine Learning vs. Deep Learning



#### **Traditional Machine Learning**

Feature Engineering:
Describe your data with features a computer can
understand

Machine Learning: Some hyperparameter tuning

- Task, language and text-domain specific
- Requires high domain expertise (Ph.D.-level)
- Often requires other tools and resources (POS-tagger, Wordnet ...)

#### **Deep Learning Approach**

Getting Domain Expertise

Design / select a suitable network architecture

Optimize architecture & fine-tune parameters



#### **Deep Learning**



#### **Deep Learning**

 Representation Learning attempts to automatically learn good features or representations



- Deep Learning attempts to learn multiple levels of representation from raw input
- Instead of modeling the problem by the design of features, deep learning attempts to learn by itself a good representation (i.e. features).
- Large amounts of data are typically needed
  - They must not necessarily be labeled (see autoencoders, word embeddings)
  - For small datasets: Hand-designed features can still be included



## **Neural Network Basics**



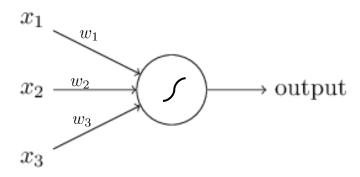




#### **Neural Network Basics**



• Given several **inputs**:  $x_1, x_2, x_3, ... \in \mathbb{R}$  and several **weights**:  $w_1, w_2, w_3, ... \in \mathbb{R}$  and a **bias** value:  $b \in \mathbb{R}$ 



A neuron produces a single output:

$$o_1 = s(\sum_i w_i x_i + b)$$

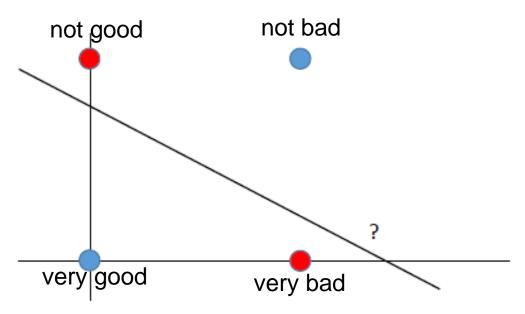
- This sum  $\sum_i w_i x_i + b$  is called the **activation** of the neuron
- The function s is called the activation function for the neuron
- The weights and bias values are typically initialized randomly and learned during training



## **Activation Function & Non-linearity**



- The critical part is the non-linearity
- Example: Create a classifier, that learns the XOR function
- No linear classifier can solve this problem



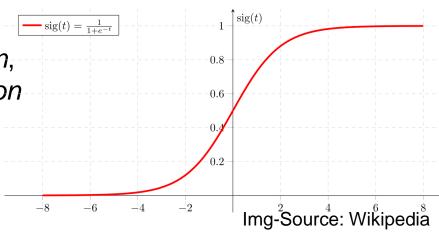
"not bad" != "not" + "bad"



#### **Activation Function**



- The non-linearity is a crucial concept that gives neural networks more representational power compared to some other techniques (linear SVM, logistic regression)
- Without the non-linearity, it is impossible to model certain combinations of features (like Boolean XOR function), unless we do manual feature engineering
- Typical non-linear activation functions for neural nets are the sigmoid function, the hyperbolic tangent or a step function

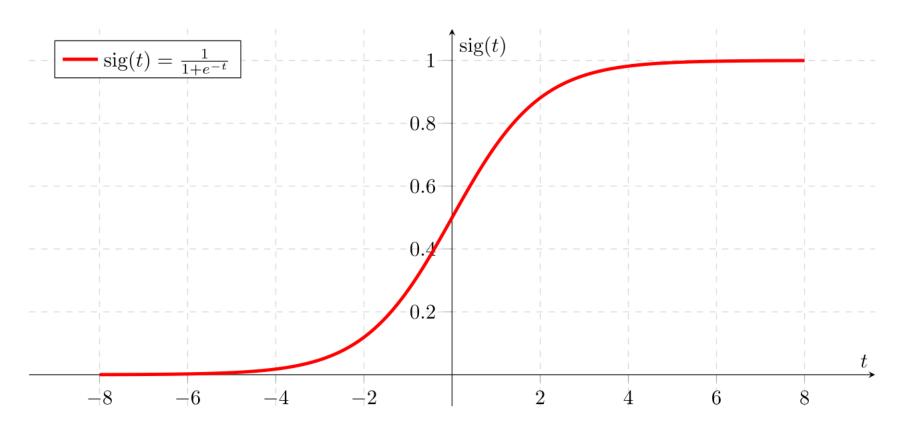




## **Typical Activation Function**



Sigmoid Function scales between 0 and 1



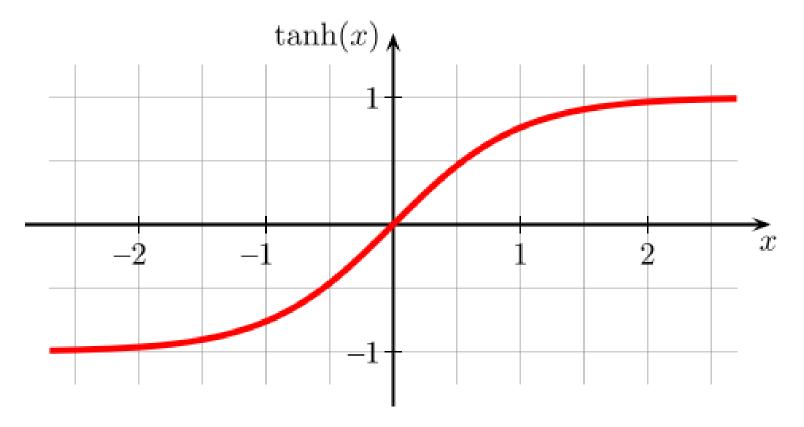
Img-Source: Wikipedia



## **Typical Activation Function**



hyperbolic tangent scales between -1 and 1



Img-Source: Wikipedia



## **Typical Activation Function**



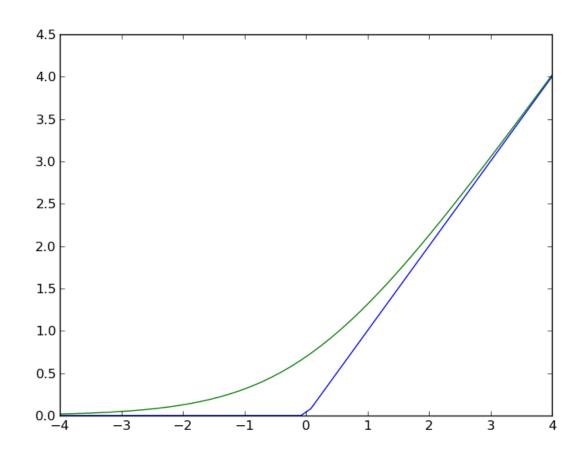
Rectifier (blue):

$$f(x) = \max(0, x)$$

Smooth approximation (green):

$$f(x) = \ln(1 + e^x)$$

 A unit using the rectifier function is called rectified linear unit (ReLU)



Img-Source: Wikipedia



#### Which Activation Function to use?

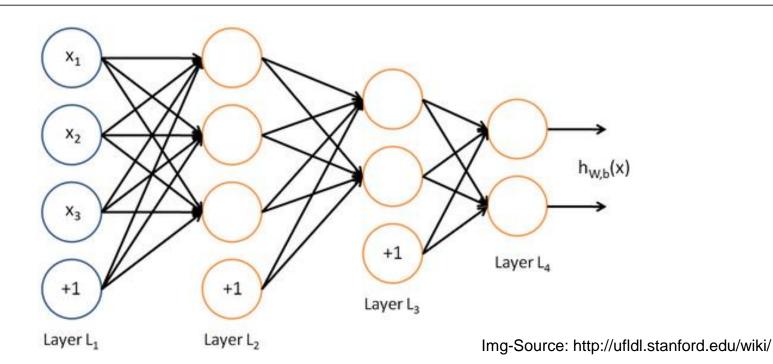


- Most papers use the tanh or ReLU
- For certain problems ReLU can be useful, especially for convolutional neural networks
  - Be careful with your input data, the activation can become arbitrarily large
    - -> "one input dimension could rule them all"



#### **Feed Forward Neural Networks**





#### **Feed Forward Networks**

- The most simple network architecture
- Information flows only in one direction
- Input Layer: Represents my data
- Output layer: Represents possible labels



## Hidden-Layer



- The hidden layer (L<sub>2</sub>, L<sub>3</sub>) represent learned non-linear combination of input data
- For solving the XOR problem, we need a hidden layer
  - some neurons in the hidden layer will activate only for some combination of input features
  - the output layer can represent combination of the activations of the hidden neurons
- Neural network with one hidden layer is a universal approximator
  - Every function can be modeled as a shallow feed forward network
  - Not all functions can be represented *efficiently* with a single hidden layer ⇒ we still need deep neural networks



#### **Matrix Notation**



Given 3-dimensional input

 $x \in \mathbb{R}^3$ 

Given first weight matrix:

 $W_1 \in \mathbb{R}^{3 \times 3}$ 

Given first bias vector:

 $b_1 \in \mathbb{R}^3$ 

Given second weight matrix:

 $W_2 \in \mathbb{R}^{3 \times 2}$ 

• Given second bias vector:

 $b_2 \in \mathbb{R}^2$ 

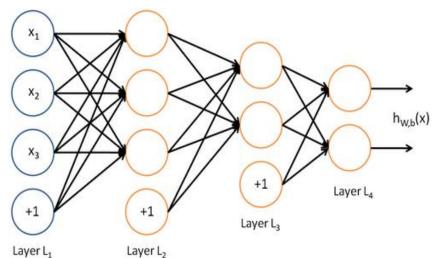
Given third weight matrix:

 $W_3 \in \mathbb{R}^{2 \times 2}$ 

Given third bias vector:

 $b_3 \in \mathbb{R}^2$ 

- Computation L<sub>2</sub>:  $l_2 = \tanh(W_1x + b_1)$
- Computation L<sub>3</sub>:  $l_3 = \tanh(W_2l_2 + b_2)$
- Computation L<sub>4</sub>:  $l_4 = \operatorname{softmax}(W_3 l_3 + b_3)$



Img-Source: http://ufldl.stanford.edu/wiki/



#### **Softmax-Classifier**



- For classification, most networks apply a softmax-classifier as final layer
- Softmax regression is a generalization of logistic regression to the case of multiple classes
- Given we have K classes (K = number of output units). Compute the activation z for the last layer:

$$z = W_3 l_3 + b_3 \in \mathbb{R}^K$$

Compute the final output y:

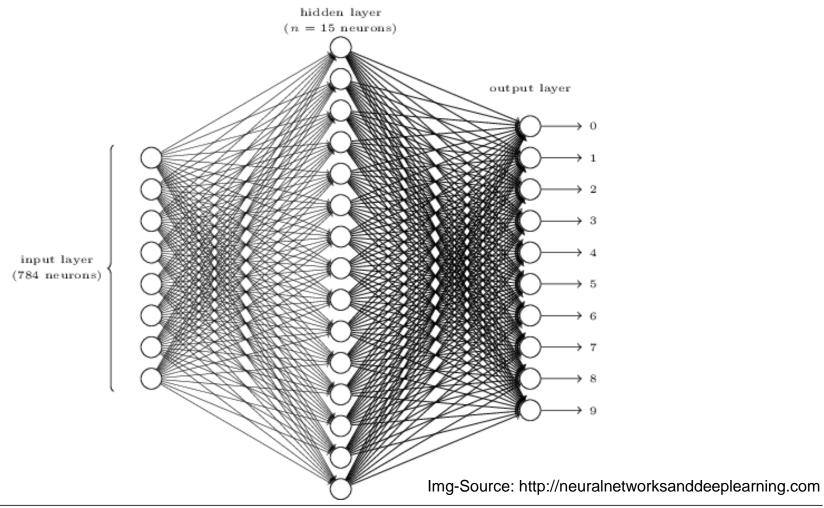
$$y_j = \operatorname{softmax}(z)_j = \frac{e^{z_j}}{\sum_{k=1}^K e^{z_k}}$$

- y<sub>i</sub> can have values between 0 and 1
- y<sub>i</sub> sums up to 1 -> It can be interpreted as probability distribution



# Feed-Forward Network for Handwritten Digit Recognition







#### **Hidden Layers**



#### Rule of Thumb

 The number and the size of the hidden layers can have a large impact on the performance



- More hidden layers ⇒ more parameters to learn ⇒ more data needed
- Start with a small number of hidden layers, i.e. with 1
- Increase number of hidden layers stepwise until you find an optimum
- Typically decreasing sizes for the hidden layers, for example 2000 dim  $\Rightarrow$  1500 dim  $\Rightarrow$  750 dim  $\Rightarrow$  100 dim  $\Rightarrow$  10 dim



#### **Initialization of Weights and Bias**



- The weights and bias vectors are the parameters that we learn during training
- The bias is typically initialized to 0
- The weights are randomly initialized Use Glorot-style uniform initialization
  - Xavier Glorot & Yoshua Bengio, Understanding the difficulty of training deep feedforward neural networks (<a href="http://jmlr.org/proceedings/papers/v9/glorot10a/glorot10a.pdf">http://jmlr.org/proceedings/papers/v9/glorot10a/glorot10a.pdf</a>)
  - Explanation of the algorithm:
     <a href="http://andyljones.tumblr.com/post/110998971763/an-explanation-of-xavier-initialization">http://andyljones.tumblr.com/post/110998971763/an-explanation-of-xavier-initialization</a>
  - Implementation / Usage in Theano & Keras is straight forward
  - Be careful: The maximal size of the weights is different for the *tanh* and the *sigmoid* activation function.



# **Training Neural Networks**





Img-Source: https://www.flickr.com/photos/jakerust/



#### **Back Propagation**



#### **Defining an Error Function**

- First we need an error function which computes the difference between the output of the network and the expected output (true label)
- In case of single label classification, we can use the negative log-likelihood:

$$E(x, W, b) = -\log(o_y)$$

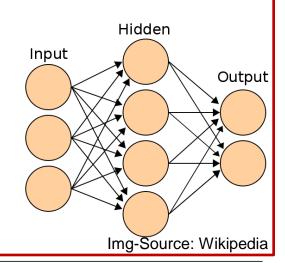
with y denoting the expected label and o the output vector.

In case of a distributional classification, we can use the mean squared error:

$$E(x, W, b) = \frac{1}{2} \sum (y_i - o_i)^2$$

with  $y_i$  denoting the expected value for node i

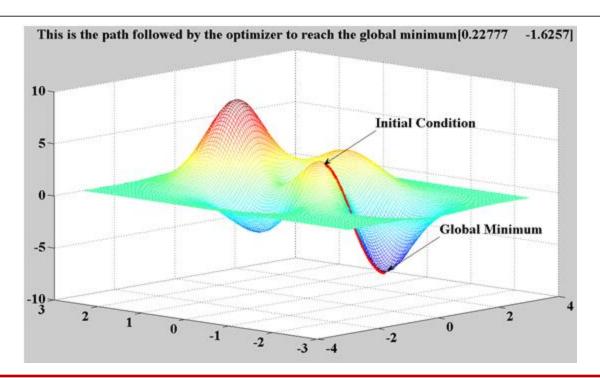
- We then want to minimize the error function
- Defining the error function is an important aspect in designing a deep neural network
  - Changing the error function changes what our network learns





## **Back Propagation – Gradient Descent**





Source: mathworks.de

#### **Gradient Descent**

- We want to minimize the error function by tuning the trainable parameters (weights and biases)
- The gradient (the derivative of a multi dim. function) points us torwards a local minima
- We follow the gradient by a certain step length. This length is called *learning rate*



## **Computation of Gradients in Theano/TF**



- Computation of the gradient (the derivative of a multi dimensional function) can be cumbersome
  - Especially as the computation must be computationally efficient, as we will perform billions of such computations
- Theano/TensorFlow is great, as it provides us automatic gradient computation
  - We don't need to compute the derivative
  - And we don't need to implement it into our program code
  - -> Awesome!
- Next slides look a bit complicated, but doing back propagation via stochastic gradient descent (SGD) is quite simple in Theano/TensorFlow



## **Back Propagation – Gradient Descent**



#### **Training with back propagation:**

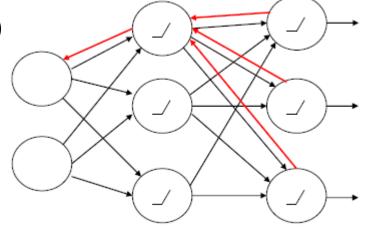
- 1. Given the input data, we compute the values for the output neurons
- 2. Compare the output to the gold labels compute error function
- 3. Compute the derivative for all tunable parameters (weights and parameters)
- 4. Update the parameters:

$$W^{(i)} := W^{(i)} - \lambda \frac{\partial}{\partial W^{(i)}} E(x, W, b)$$

$$b^{(i)} := b^{(i)} - \lambda \frac{\partial}{\partial b^{(i)}} E(x, W, b)$$

 $\lambda$  is denoting the learning rate

5. Each iteration is called an *epoch*. With each epoch, the error function decreases, converging to a local minima



INPUT LAYER HIDDEN LAYER

OUTPUT LAYER

Source: Mikolov, 2014



## **Motivation for Deep Learning**



- Feed forward networks with a single hidden layer can compute any function ⇒ in theory, no need for deep architectures
- However, learning shallow architectures is not always efficient
- To learn the parity function (N bits at input, output is 1 if the number of active input bits is odd) requires an exponential number of hidden units ⇒ requires exponentially more training data
- See the 2009 Yoshua Bengio Book Chapter 2 Theoretical Advantages of Deep Architectures for more information
  - http://www.iro.umontreal.ca/%7Ebengioy/papers/ftml\_book.pdf

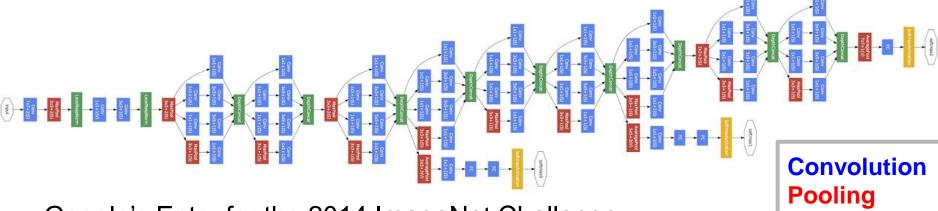


## What does a Deep Network can look like?



Softmax

Other



- Google's Entry for the 2014 ImageNet Challenge
- "Just" 5 million parameters 20MB model size
- Uses ReLU (sigmoid/tanh does not work in really deep networks)
  - See Glorot et al., 2011, Deep Sparse Rectifier Neural Networks

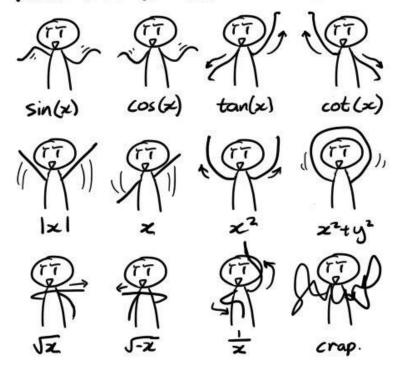
Source: Szegedy et al., 2014, Going Deeper with Convolutions



# **Everything is a Vector**



## Beautiful Dance Moves



Source: https://www.flickr.com/photos/dylanng/



## **Vectors in Deep Learning**



- Deep Learning loves dense vectors
- Everything is represented and understood as a vector
- Vectors allows an end-to-end-training
- No more in-between mapping to tag sets etc.
  - No problem with modeling hard cases and ambiguities
  - No problem with error propagation between pipeline components

#### **Dense Vector**

In a dense vector most values are non-zero



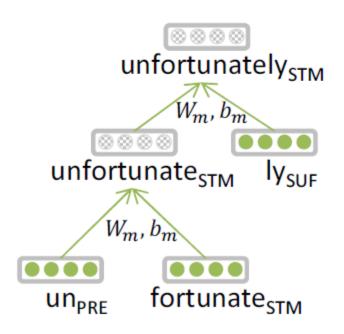


# Representations at NLP Levels: Morphology

Traditional: Morphemes

prefix stem suffix un interest ed

- DL:
  - every morpheme is a vector
  - a neural network combines two vectors into one vector
  - Thang et al. 2013



Source: Richard Socher, CS224d, http://cs224d.stanford.edu/syllabus.html
Lecture 1, Slide 21
Richard Socher 3/30/15

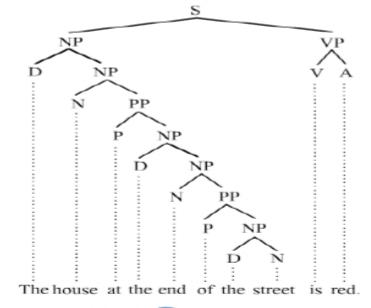
## Neural word vectors - visualization



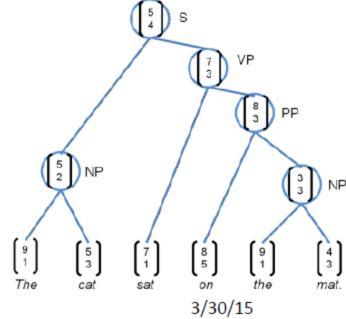
Source: Richard Socher, CS224d, http://cs224d.stanford.edu/syllabus.html

# Representations at NLP Levels: Syntax

Traditional: Phrases
 Discrete categories like NP, VP



- DL:
  - Every word and every phrase is a vector
  - a neural network combines two vectors into one vector
  - Socher et al. 2011



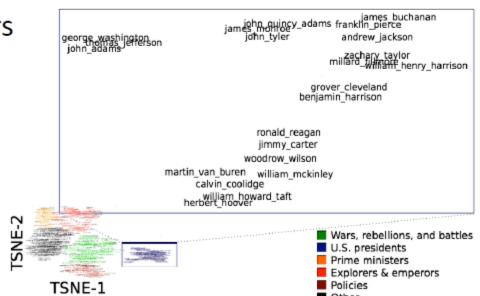
# **Question Answering**

 Common: A lot of feature engineering to capture world and other knowledge, e.g. regular expressions, Berant et al. (2014)

In marin and this are 0

Is main verb trigger?					
	Yes		No		
		•			
Condition	Regular Exp.		Condition	Regular Exp.	
Wh- word subjective?	AGENT		default	(ENABLE SUPER) <sup>+</sup>	
Wh- word object?	Тнеме		DIRECT	(ENABLE SUPER)	
			PREVENT	(Enable Super)*Prevent(Enable Super)*	

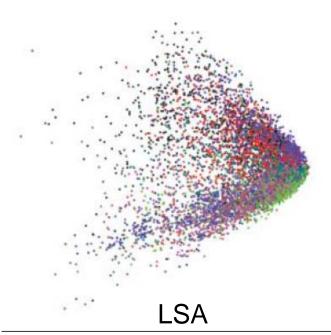
- DL: Same deep learning model that was used for morphology, syntax, logical semantics and sentiment can be used!
- Facts are stored in vectors

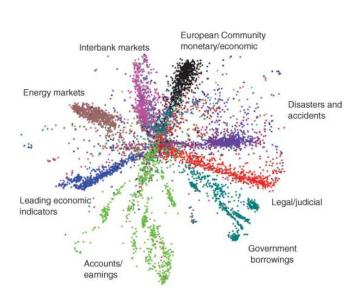


## **Learning Multiple Levels of Representation**



- Biologically inspired: The brain has a deep architecture
- Instead of solving a task directly, we learn intermediate representations
- Highly non-linear properties can be captured this way
- Insufficient model depth can be exponentially inefficient





Deep Autoencoder

Source: Hinton et al., 2006