

The ethics of artificial intelligence: What talk data ?

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Problem Statement

It is necessary to explore the full ethical, social and legal aspects of AI systems if we are to avoid unintended, negative consequences and risks arising from the implementation of AI in society.

software-based AI and intelligent robots (i.e. robots with an embedded AI) when exploring ethical issues.

Artificial intelligence refers to systems that can be designed to take cues from their environment and, based on those inputs, proceed to solve problems, assess risks, make predictions, and take actions. In the era predating powerful computers and big data, such systems were programmed by humans and followed rules of human invention, but advances in technology have led to the development of new approaches.

Objective

Objective: Exploring ethical data and finding homogeneous subgroups such that variables in the same group (clusters) are more similar to each other than the others. Based on this clustering, we can assess the global ethic index of Issuer.

- Aim 1: Clustering for Classification
- Aim 2: assessment the global ethic index of Issuer

Data

Who are the main producers?

What are they? What are the principles?

Navigate in this intense production

Open data available on Google Sheets – Licence Creative Commons BY-NC-SA

Digital Policies Frameworks Database Data Set(UCI Repository:

https://docs.google.com/spreadsheets/d/1mU2brATV_fgd5MRGfT2ASOFepAl1pivwhGm0VCT2
https://docs.google.com/spreadsheets/d/1mU2brATV_fgd5MRGfT2ASOFepAl1pivwhGm0VCT2
 are used for this analysis.

Variables

Somes Attribute Information(Categorical & Numerical):

Categorical variable

- Issuer('Berkman Klein Center (University of Harvard)', 'Cyberjustice Laboratory', 'ETH Zurich', 'Fraunhofer, Institute for Intelligent Analysis and Information Systems IAIS', 'Handelsblatt Research Institute')
- Reference('Principled Artificial Intelligence', 'ACT Project - Projet AJC (Autonomisation des acteurs judiciaires par la Cyberjustice)', 'AI, the global landscape of ethics guidelines')
- Type ('Meta-analysis', 'Research project', 'Academic paper', 'Report/Study', 'Principles/Guidelines/Charters', 'Policy paper', 'Non binding instrument', 'Parliamentary proceeding', 'Binding instrument')
- Link('https://ssrn.com/abstract=3518482' (<https://ssrn.com/abstract=3518482>), 'https://www.ajcact.org' (<https://www.ajcact.org>), 'https://arxiv.org/ftp/arxiv/papers/1906/1906.11668.pdf', 'https://arxiv.org/abs/1809.03400' (<https://arxiv.org/ftp/arxiv/papers/1906/1906.11668.pdf>), 'https://arxiv.org/abs/1809.03400')
- Origin('Academia', 'Civil Society', 'International Organisation', 'Multistakeholder', 'National Authorities', 'Private Sector', 'Professional association', 'Think Tank')
- Source('United States', 'Canada', 'Switzerland', 'Germany', 'Slovenia', 'United Kingdom', 'Italy', 'China', 'Australia', 'Netherlands', 'Austria', 'France', 'Denmark', 'Sweden', 'Lithuania', 'EU - Article 29 Working Party', 'Council of Europe', 'EU - Council of the European Union', 'EU - European Union')
- CoE MS('No', 'Yes')
- Year (2018, 2019, 2020, 2015, 2017, 2014, 2011, 2016, 2010)
- Comments('Updated', 'New')
- Update('Data updated on 02 January 2021')

Numerical variable

- fundamental rights
- solidarity
- sustainability
- transparency
- explainability
- fairness
- freedom
-

```
[1]: # This Python 3 environment comes with many helpful analytics libraries i
# It is defined by the kaggle/python Docker image: https://github.com/kag
# For example, here's several helpful packages to load

import numpy as np # linear algebra
import pandas as pd # data processing, CSV file I/O (e.g. pd.read_csv)

# Input data files are available in the read-only "../input/" directory
# For example, running this (by clicking run or pressing Shift+Enter) wil

import os
for dirname, _, filenames in os.walk('/kaggle/input'):
    for filename in filenames:
        print(os.path.join(dirname, filename))

# You can write up to 20GB to the current directory (/kaggle/working/) th
# You can also write temporary files to /kaggle/temp/, but they won't be
```

```
/kaggle/input/digital-policiers-frameworks/Digital Policies Frameworks.xlsx
/kaggle/input/digital-policies-framework-database/Digital_Policies_Frameworks
_Database.csv
```

```
[2]: from sklearn.feature_extraction.text import CountVectorizer
import re
# Tutorial about Python regular expressions: https://pymotw.com/2/re/ imp
from nltk.corpus import stopwords

from tadm import tadm
```

```
import os
import nltk
import spacy
import random
from spacy.util import compounding
from spacy.util import minibatch
import string

#Importing all the needed libraries

import pandas as pd
import numpy as np
import seaborn as sns
import matplotlib.pyplot as plt
import plotly as plt
from matplotlib.pyplot import xticks

from matplotlib import *
import sys
from pylab import *

%matplotlib inline
plt.rcParams['figure.figsize']=10,6
plt.rcParams['axes.grid']=True
plt.gray()

from io import BytesIO

import requests

## https://python-graph-gallery.com/wordcloud/

pd.set_option('display.max_rows', 500)
pd.set_option('display.max_columns', 500)
pd.set_option('display.width', 1000)

use_cuda = True
pd.set_option('display.max_columns', None)
```

<Figure size 720x432 with 0 Axes>

```
[3]: import pandas as pd

#r = requests.get('https://docs.google.com/spreadsheets/d/1mU2brATV_fgd5M
#data = r.content
```

**Import dataset from Digital Policies Frameworks **

```
[4]: ### Import data from externe source
## link to dataset source : https://docs.google.com/spreadsheets/d/1mU2brA
## https://inventory.algorithmwatch.org/
## https://www.europarl.europa.eu/RegData/etudes/STUD/2020/634452/EPRS_ST
## https://papers.ssrn.com/sol3/papers.cfm?abstract_id=3518482
## https://www.nature.com/articles/s42256-019-0088-2
## https://blog.einstein.ai/frameworks-tool-kits-principles-and-oaths-oh-
## https://fra.europa.eu/en/project/2018/artificial-intelligence-big-data
## https://duckduckgo.com/

#dfs = pd.read_excel("/kaggle/input/digital-policiers-frameworks/Digital
dfs = pd.ExcelFile('/kaggle/input/digital-policiers-frameworks/Digital Po

## Data sources description
Database = pd.read_excel(dfs, 'Database')
```

```
[5]: nrow, ncol = Database.shape
nrow, ncol
```

Out[5]: (405, 41)

```
[6]: Database.head()
```

Out[6]:

	Issuer	Reference	Type	Link	Origin
0	Berkman Klein Center (University of Harvard)	Principled Artificial Intelligence	Meta-analysis	https://ssrn.com/abstract=3518482	Academia
1	Cyberjustice Laboratory	ACT Project - Projet AJC (Autonomisation des a...	Research project	https://www.ajcact.org	Academia
2	ETH Zurich	AI, the global landscape of ethics guidelines	Meta-analysis	https://arxiv.org/ftp/arxiv/papers/1906/1906.1...	Academia
3	ETH Zurich	A Moral Framework for Understanding of Fair ML...	Academic paper	https://arxiv.org/abs/1809.03400	Academia
4	Fraunhofer Institute for Intelligent Analysis ...	Trustworthy Use of Artificial Intelligence	Report/Study	https://www.iais.fraunhofer.de/content/dam/iai...	Academia

```
[7]: #Database['fundamental rights'].unique()
```

```
[8]: for col in Database.columns:  
      print(col)
```

```
Issuer  
Reference  
Type  
Link  
Origin  
Source  
CoE MS  
Year  
Comments  
Update  
fundamental rights  
human agency  
human rights  
non discrimination  
non maleficence  
rule of law  
sustainable development  
well being  
accountability  
autonomy  
beneficence  
democracy  
dignity  
diversity  
explainability  
fairness  
freedom  
inclusive  
justice  
liability  
literacy  
oversight  
privacy  
responsibility  
robustness  
safe  
solidarity  
sustainability  
transparency  
trust  
trustworthy
```

Exploratory Data Analysis

Data Inspection

```
[9]: #Define missing data function to identify the total number of missing data
def missing_data(data):
    total = data.isnull().sum()
    percent = (data.isnull().sum()/data.isnull().count()*100)
    tt = pd.concat([total, percent], axis=1, keys=['Total', 'Percent'])
    types = []
    for col in data.columns:
        dtype = str(data[col].dtypes)
        types.append(dtype)
    tt['Types'] = types
    return(np.transpose(tt))
```

```
[10]: missing_data(Database)
```

Out[10]:

	Issuer	Reference	Type	Link	Origin	Source	CoE MS	Year	Comments	Update	fundamental
Total	0	0	0	0	0	0	0	0	383	0	
Percent	0	0	0	0	0	0	0	0	94.5679	0	6
Types	object	object	object	object	object	object	object	int64	object	object	


```
[11]: Database[Database.duplicated()== True]
```

Out[11]:

	Issuer	Reference	Type	Link	Origin	S
118	European Parliament	Comprehensive European industrial policy on ar...	Policy paper	https://oeil.secure.europarl.europa.eu/oeil/po...	International Organisation	Eur Parli

```
[12]: Database.shape
```

Out[12]: (405, 41)

```
[13]: Database.describe()
```

Out[13]:

	Year	fundamental rights	human agency	human rights	non discrimination	non maleficence	rule of law
count	405.000000	148.000000	148.000000	148.000000	148.000000	148.000000	148.000000
mean	2018.276543	0.000196	0.000017	0.001288	0.000113	0.000010	0.000143
std	1.284935	0.000579	0.000078	0.003047	0.000325	0.000072	0.000619
min	2010.000000	0.000000	0.000000	0.000000	0.000000	0.000000	0.000000
25%	2018.000000	0.000000	0.000000	0.000000	0.000000	0.000000	0.000000
50%	2018.000000	0.000000	0.000000	0.000050	0.000000	0.000000	0.000000
75%	2019.000000	0.000026	0.000000	0.000946	0.000000	0.000000	0.000000
max	2020.000000	0.004255	0.000639	0.018059	0.002106	0.000745	0.006024

```
[14]: Database.info()
```

```

<class 'pandas.core.frame.DataFrame'>
RangeIndex: 405 entries, 0 to 404
Data columns (total 41 columns):
#   Column                                Non-Null Count  Dtype
---  -
0   Issuer                                405 non-null    object
1   Reference                             405 non-null    object
2   Type                                  405 non-null    object
3   Link                                  405 non-null    object
4   Origin                               405 non-null    object
5   Source                               405 non-null    object
6   CoE MS                               405 non-null    object
7   Year                                  405 non-null    int64
8   Comments                             22 non-null     object
9   Update                               405 non-null    object
10  fundamental rights                   148 non-null    float64
11  human agency                         148 non-null    float64
12  human rights                         148 non-null    float64
13  non discrimination                   148 non-null    float64
14  non maleficence                      148 non-null    float64
15  rule of law                          148 non-null    float64
16  sustainable development              148 non-null    float64
17  well being                           148 non-null    float64
18  accountability                       148 non-null    float64
19  autonomy                             148 non-null    float64
20  beneficence                          148 non-null    float64
21  democracy                            148 non-null    float64
22  dignity                              148 non-null    float64
23  diversity                            148 non-null    float64
24  explainability                       148 non-null    float64
25  fairness                             148 non-null    float64
26  freedom                              148 non-null    float64
27  inclusive                            148 non-null    float64
28  justice                              148 non-null    float64
29  liability                             148 non-null    float64
30  literacy                             148 non-null    float64
31  oversight                            148 non-null    float64
32  privacy                              148 non-null    float64
33  responsibility                       148 non-null    float64
34  robustness                           148 non-null    float64
35  safe                                 148 non-null    float64
36  solidarity                           148 non-null    float64
37  sustainability                       148 non-null    float64
38  transparency                         148 non-null    float64
39  trust                                148 non-null    float64
40  trustworthy                          148 non-null    float64
dtypes: float64(31), int64(1), object(9)
memory usage: 129.9+ KB

```

```
[15]: from nltk.tokenize import RegexpTokenizer
from nltk.corpus import stopwords
from wordcloud import WordCloud

stopWords = stopwords.words('english')
tokenizer = RegexpTokenizer(r'\w+')

def get_wordcloud(series): #simple function to tokenize and plot a said c
    word_cloud = ''

    for job in series:
        tokens = tokenizer.tokenize(job)
        for token in tokens:
            if token not in stopWords:
                word_cloud += ''.join(token) + ' '

    #wordcloud = WordCloud(height=800,margin=1,max_words=500, colormap='S
    #wordcloud = WordCloud( width = 3000, height = 2000, random_state=1, l

    wordcloud = WordCloud(width = 3000, height = 2000, random_state=1,
                           background_color='black', colormap='Set2', coll

    plt.imshow(wordcloud)
    plt.axis("off")
    plt.tight_layout(pad = 0)
    #plt.savefig('Plotly-World_Cloud.png')
```

Univariate Analysis

Categorical Variables

Grouped bar charts

Stacked bar charts

Issuer

```
[16]: Database['Issuer'].unique()
```

```
Out[16]: array(['Berkman Klein Center (University of Harvard)',
                'Cyberjustice Laboratory', 'ETH Zurich',
                'Fraunhofer Institute for Intelligent Analysis and Information System
s IAIS',
                'Handelsblatt Research Institute',
                'Institute of Criminology at the Faculty of Law of Ljubljana',
                'MIT', 'Montreal AI Ethics Institute',
                'National Academies of Science, Engineering & Medecine',
                'Oxford Internet Institute', 'PLOS Computational Biology',
                'Polytechnic University of Turin',
                'Research Center for Brain-inspired Intelligence, Institute of Automa
tion, Chinese Academy of Sciences',
                'Royal Australian and New Zealand College of Radiologists',
                'Special Interest Group on Artificial Intelligence (SIGAI), ICT Platf
orm Netherlands (IPN)',
                'Stanford Law School', 'Technical University of Delft',
                'The Alan Turing Institute', 'The Royal Society', 'TU Wien',
```

```
[17]: len(Database['Issuer'].unique())
```

```
Out[17]: 298
```

```
[18]: Database['Issuer'].describe()
```

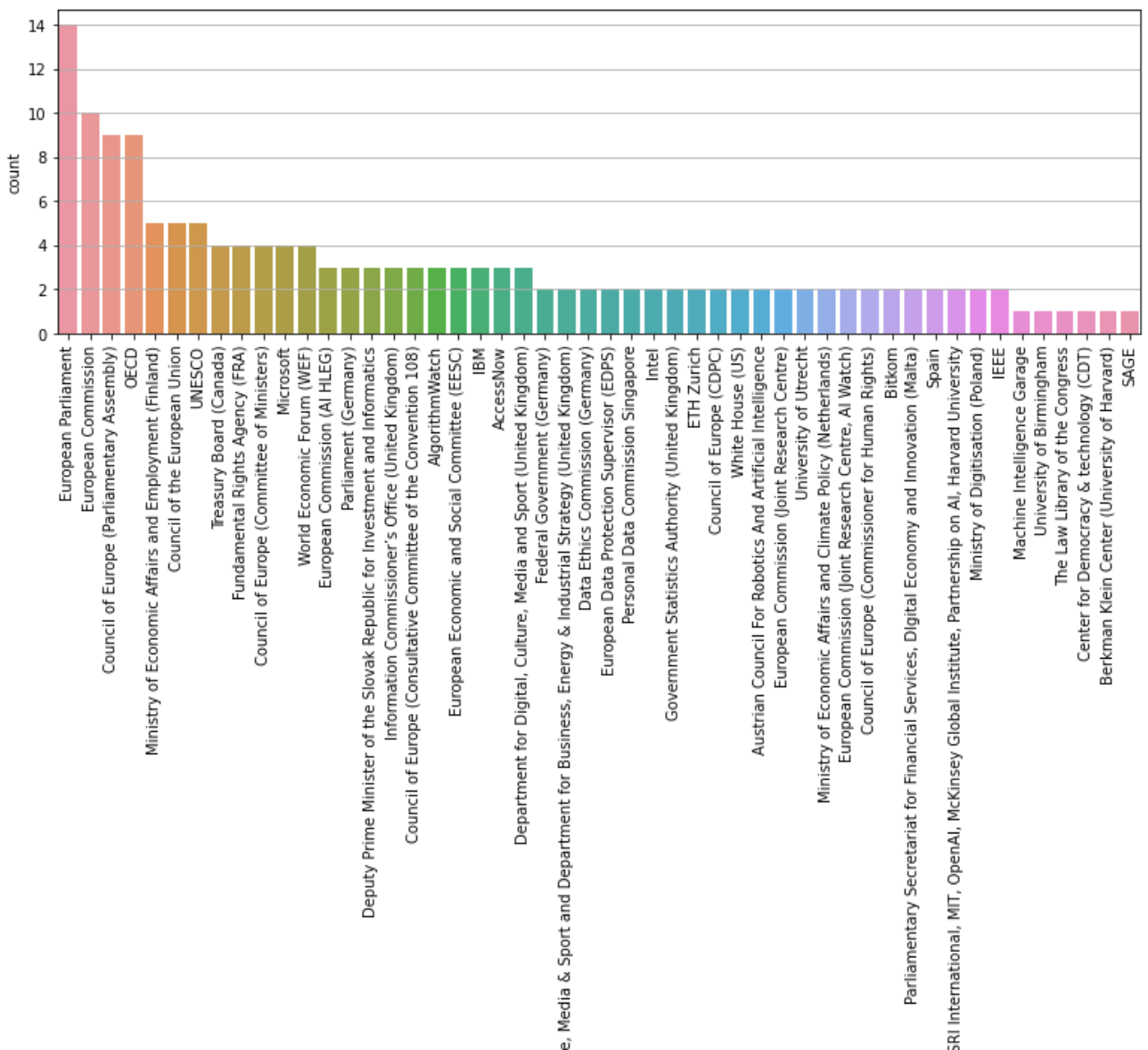
```
Out[18]: count          405
         unique         298
         top      European Parliament
         freq              14
         Name: Issuer, dtype: object
```

```
Database['Issuer'].nunique()
```

Out[19]: 298

```
#plt.figure(figsize=(13, 4))
#http://stackoverflow.com/questions/32891211/limit-the-number-of-groups-s
#sns.countplot(Database.Issuer.dropna(), order = Database.Issuer.value_co
#sns.violinplot(data=df, x='', y='')
```

```
plt.figure(figsize=(13, 4))
sns.countplot(Database.Issuer.dropna(), order = Database.Issuer.value_counts().index)
plt.xticks(rotation=90);
```

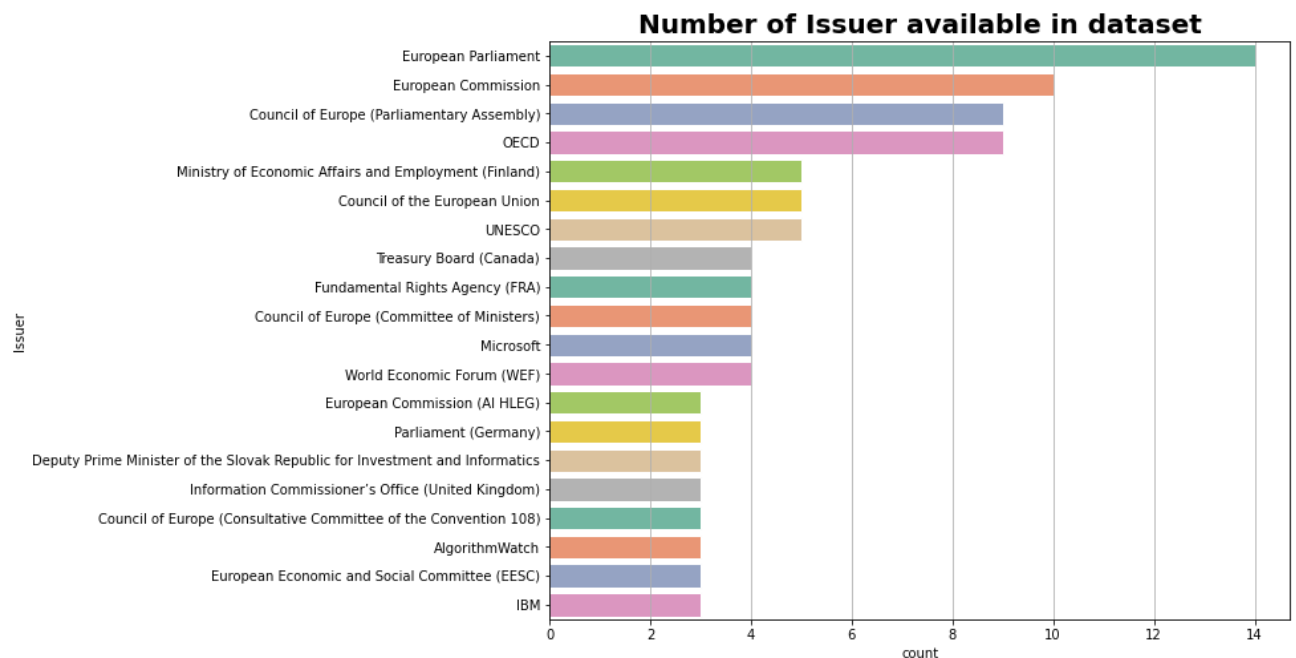


Department for Digital, Cultur
Issuer
Stanford University, 4

OK, this is too much to inspect, let's see only the top 50. But the shape is very nice\smooth, looks like an exponential decay.

```
[21]:
#Source

ax = plt.subplots(figsize = (10, 8))
sns.set_style('dark')
plt.title('Number of Issuer available in dataset', fontweight='bold', fon
ax = sns.countplot(y = 'Issuer', data = Database, order = Database['Issue
plt.savefig('Issuer.png')
```



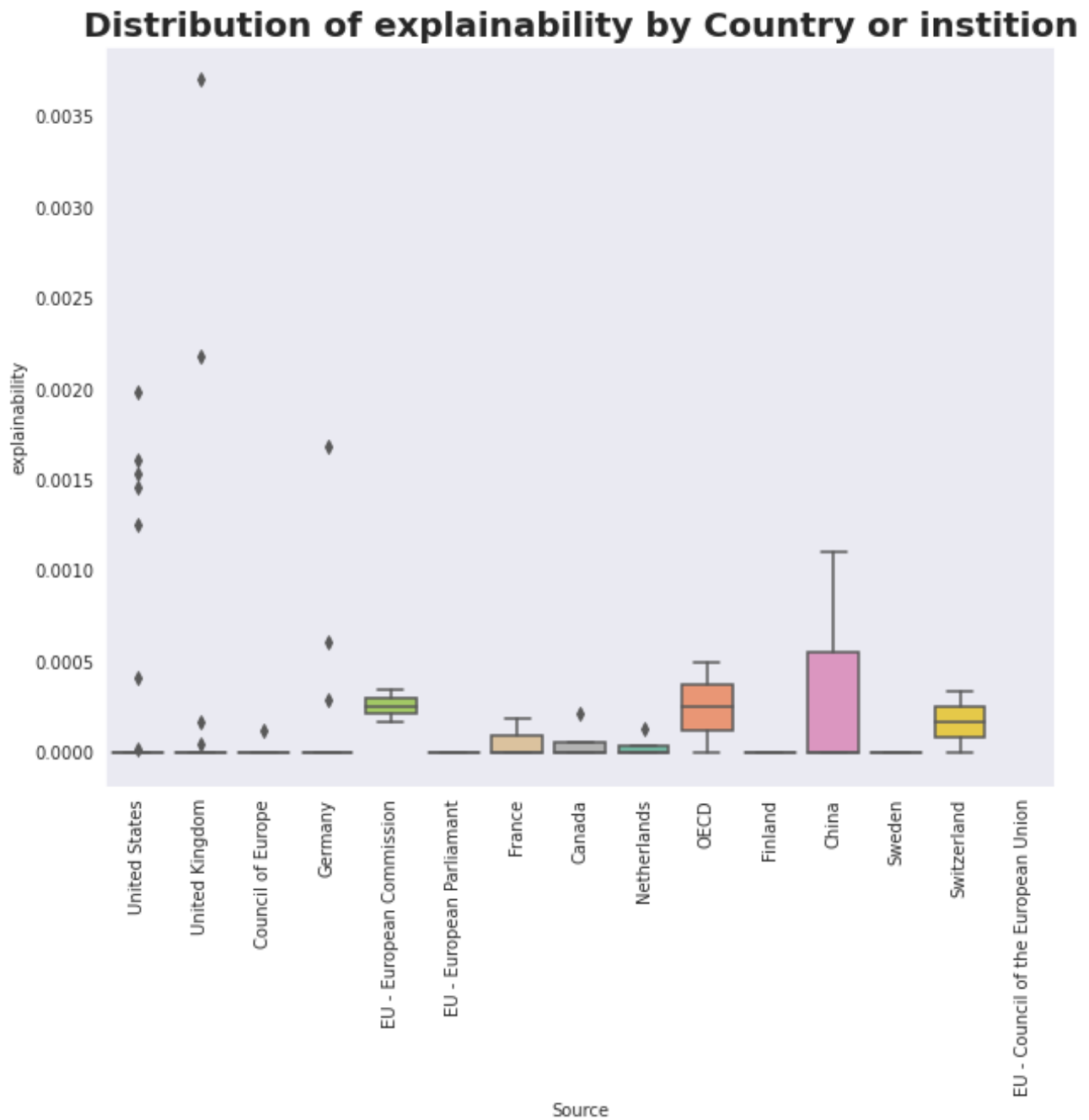
```
[22]: Database['Issuer'].isnull().sum() / nrow

#Let's multiple by 100 and keep only 1 decimal places
(Database['Issuer'].isnull().sum() / nrow).round(3) * 100
```

Out[22]: 0.0

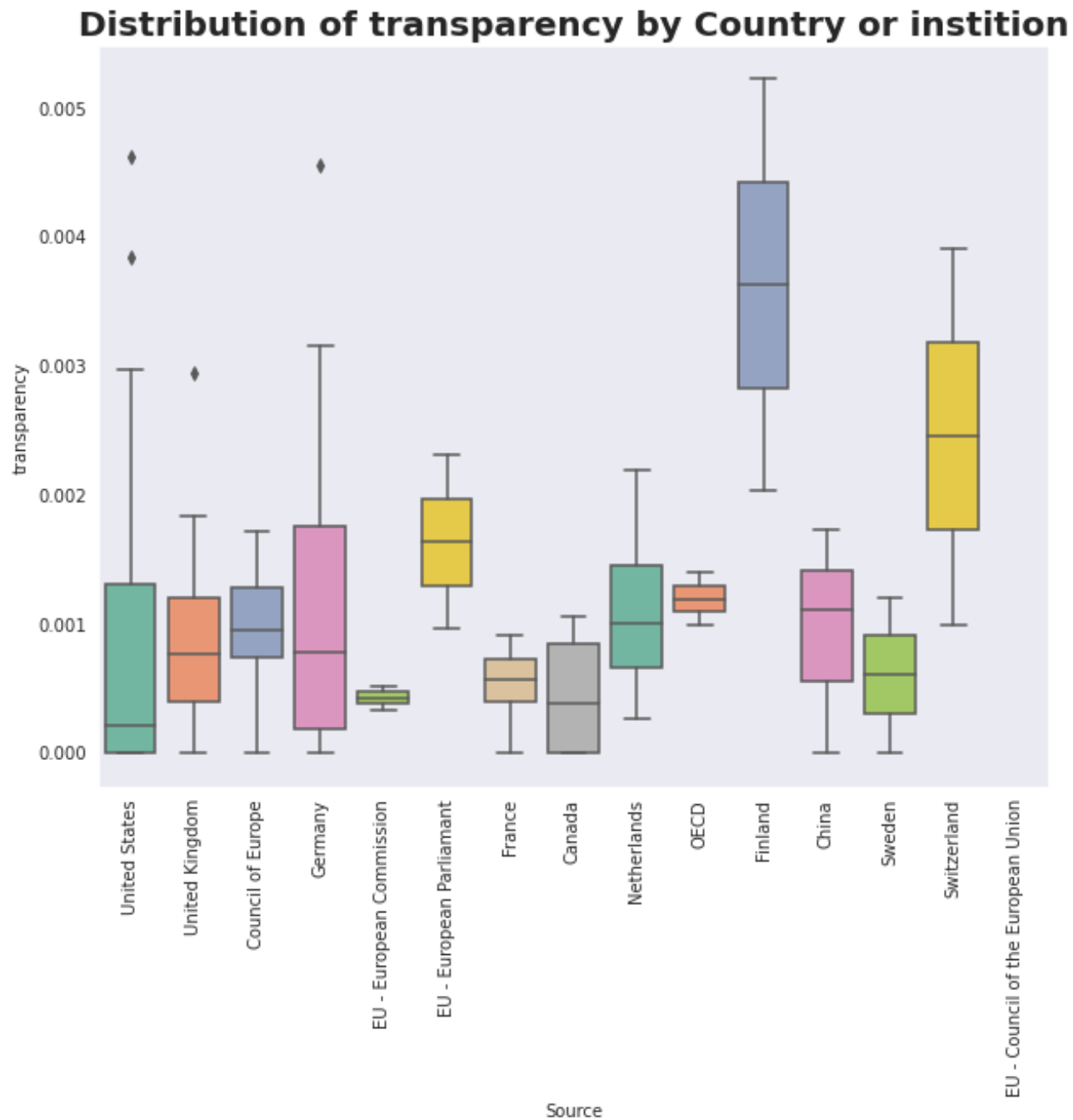
```
[23]:
ax = plt.subplots(figsize = (10, 8))
sns.set_style('dark')
plt.title('Distribution of explainability by Country or institution', fontwe

ax = sns.boxplot(x='Source',y='explainability',data=Database,order = Datab
ax.set_xticklabels(ax.get_xticklabels(), rotation=90)
plt.savefig('histor.png')
```

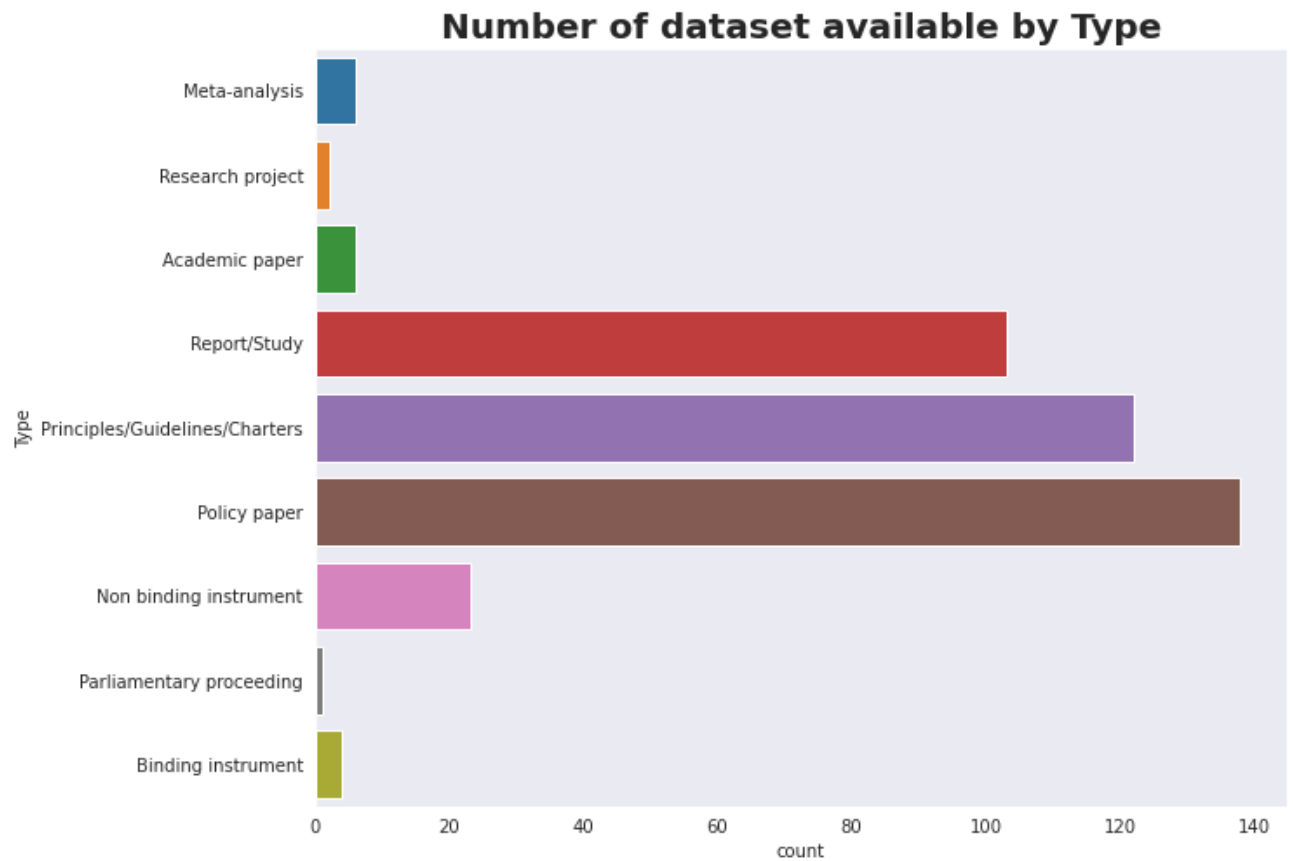



```
[24]:
ax = plt.subplots(figsize = (10, 8))
sns.set_style('dark')
plt.title('Distribution of transparency by Country or instition', fontwei

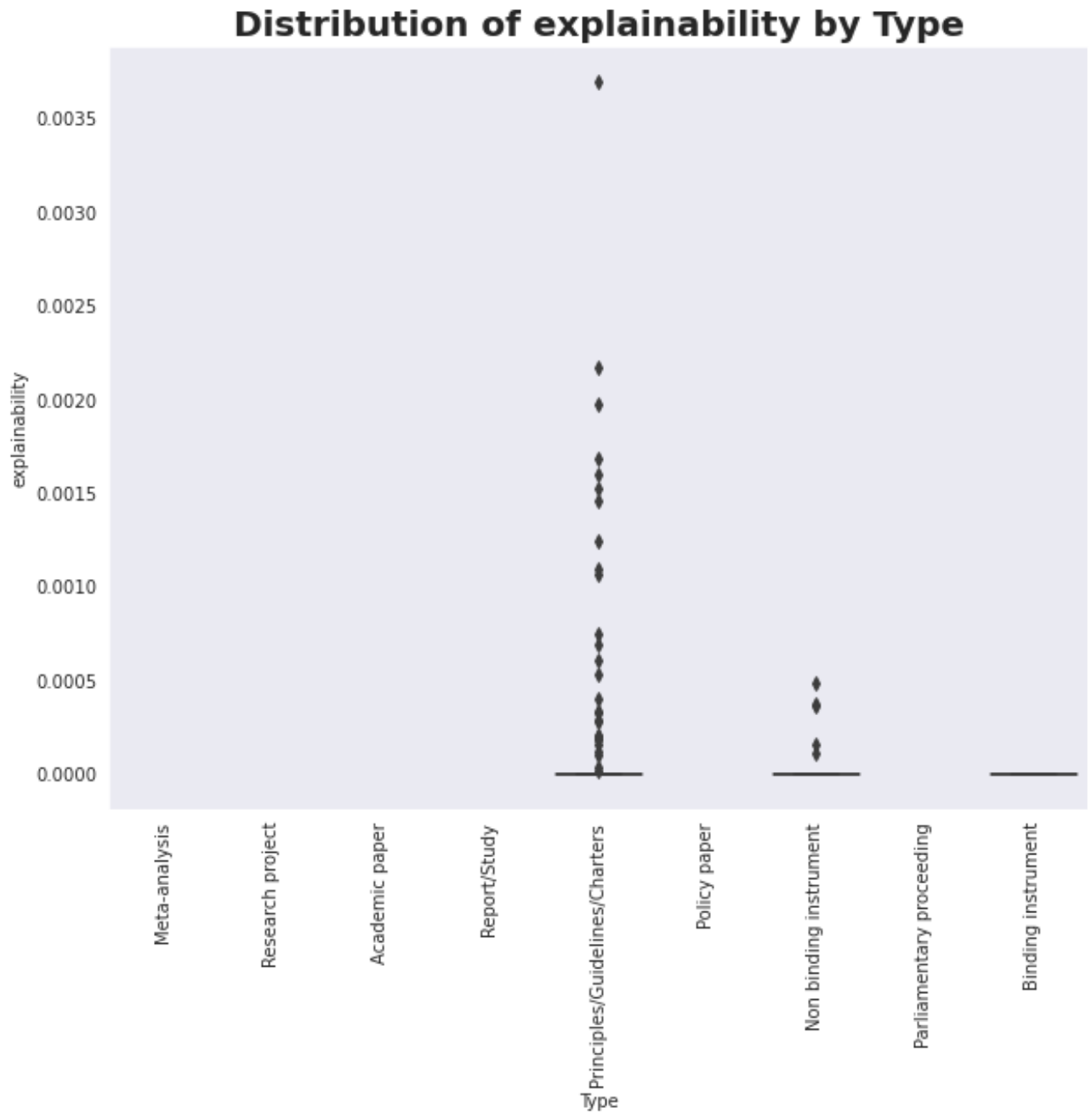
ax = sns.boxplot(x='Source',y='transparency',data=Database,order = Databa
ax.set_xticklabels(ax.get_xticklabels(), rotation=90)
plt.savefig('histo2.png')
```



```
[25]: ax = plt.subplots(figsize = (10, 8))
sns.set_style('dark')
plt.title('Number of dataset available by Type', fontweight='bold', fontsize=12)
ax = sns.countplot(y = 'Type', data = Database, palette='tab10')
plt.savefig('Type.png')
```

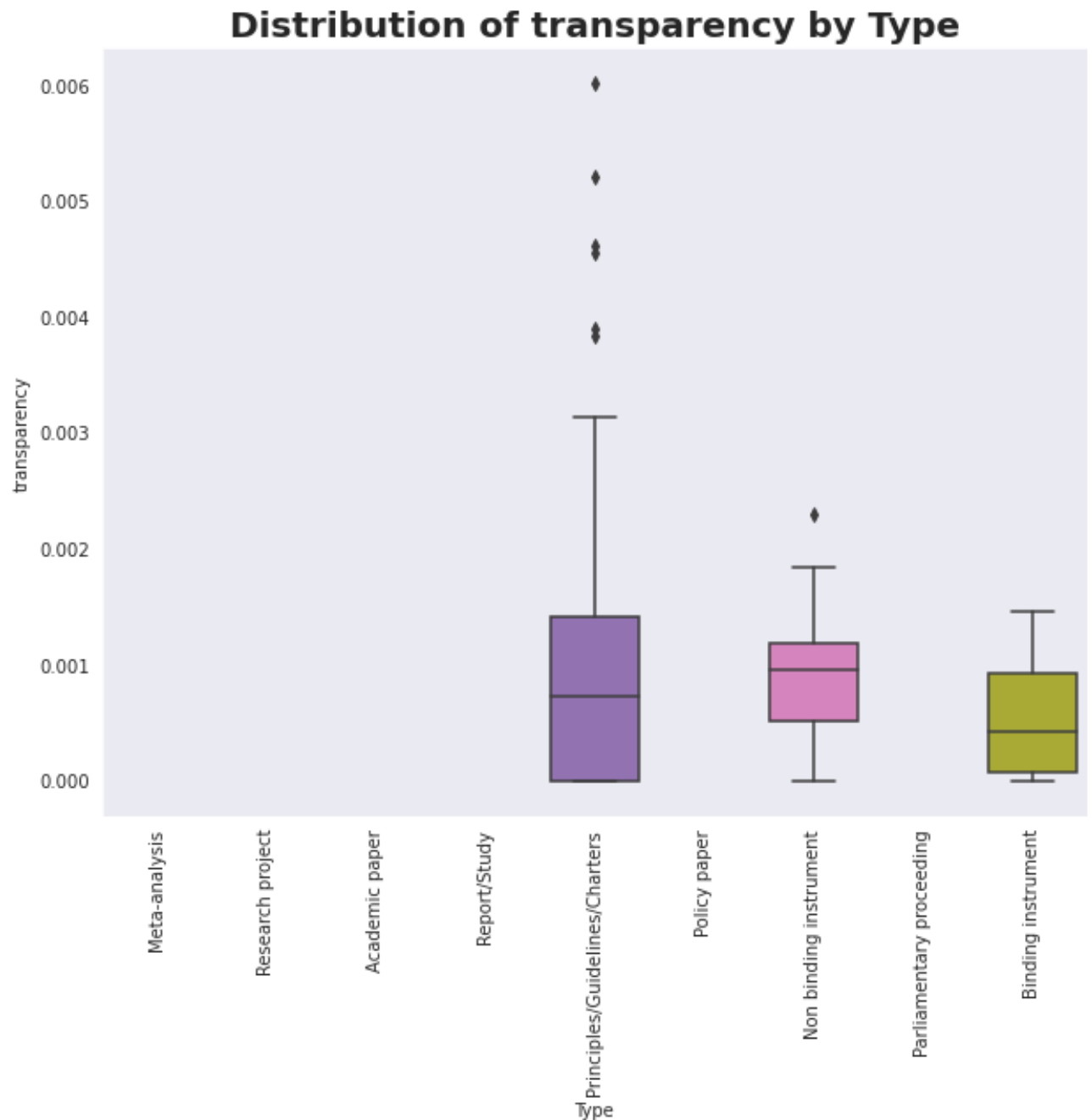


```
[26]:  
  
ax = plt.subplots(figsize = (10, 8))  
sns.set_style('dark')  
plt.title('Distribution of explainability by Type', fontweight='bold', fo  
  
ax = sns.boxplot(x='Type',y='explainability',data=Database,palette='tab10  
ax.set_xticklabels(ax.get_xticklabels(), rotation=90)  
plt.savefig('explainability_type.png')
```



```
[27]: ax = plt.subplots(figsize = (10, 8))
sns.set_style('dark')
plt.title('Distribution of transparency by Type', fontweight='bold', font

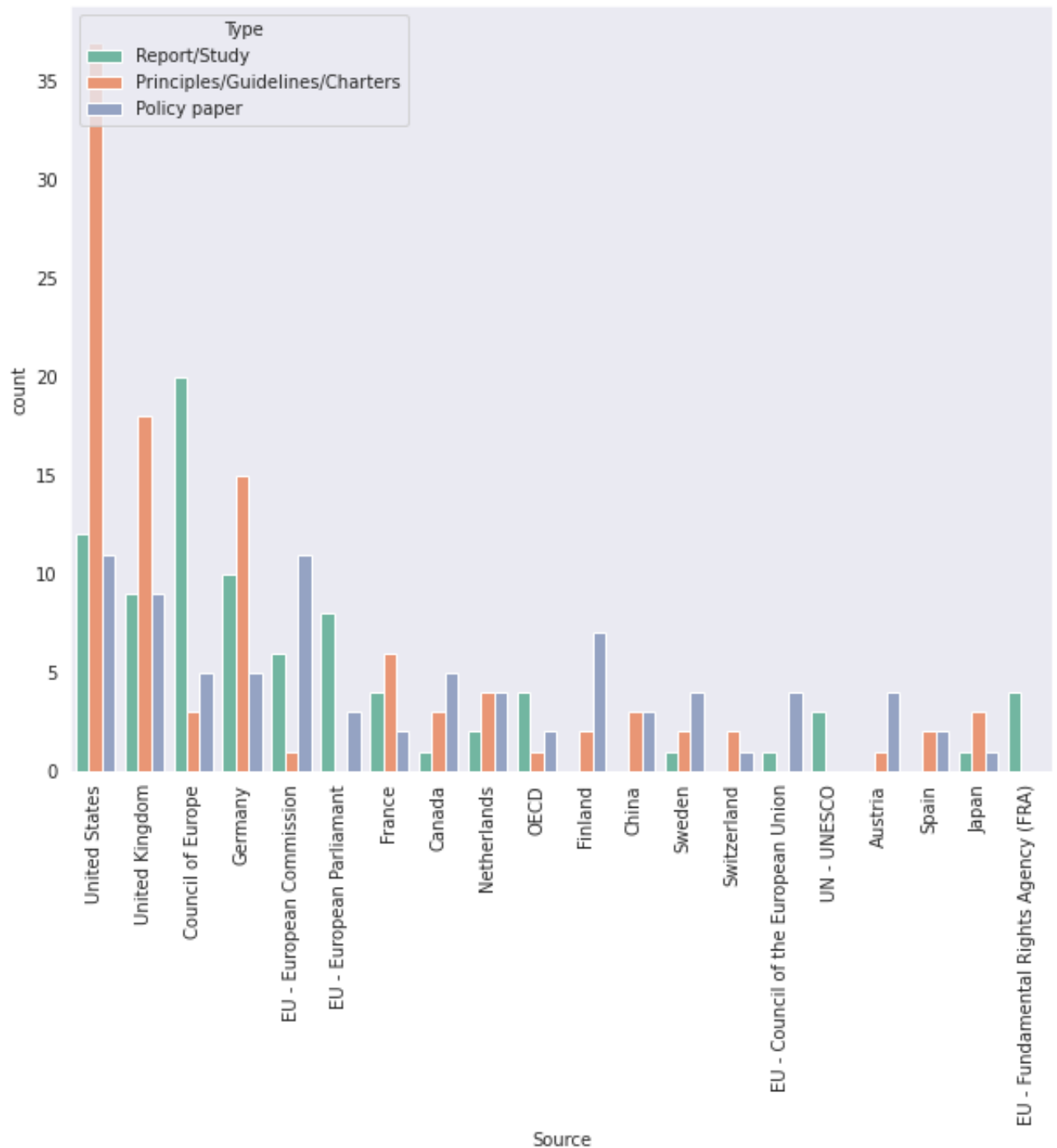
ax = sns.boxplot(x='Type',y='transparency',data=Database,palette='tab10')
ax.set_xticklabels(ax.get_xticklabels(), rotation=90)
plt.savefig('transparency_type.png')
```



[]:

```
[28]: plus100 = Database['Type'].map(Database['Type'].value_counts()) > 100 # M

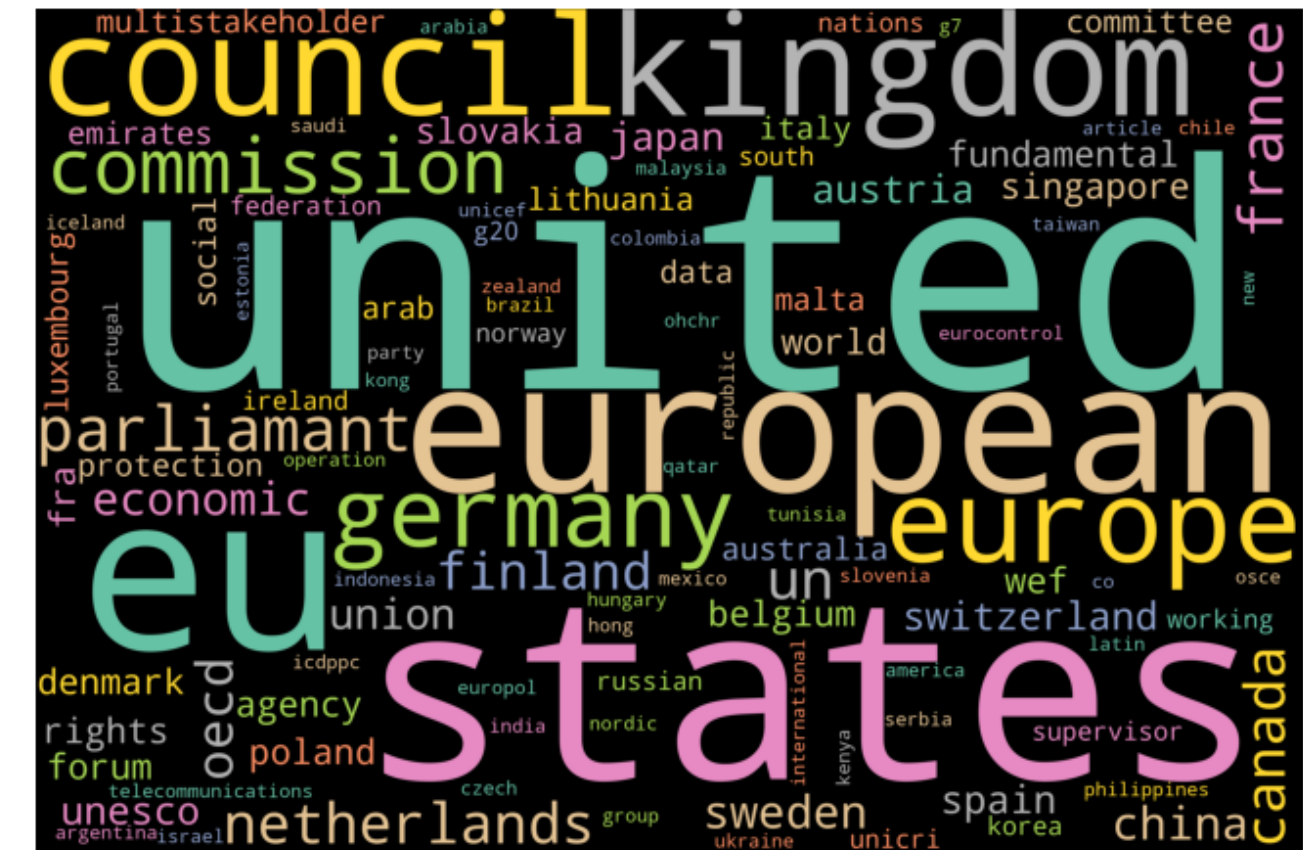
ax = plt.subplots(figsize = (10, 8))
sns.set_style('dark')
ax = sns.countplot(x='Source', hue='Type', data=Database[plus100], order = D
ax.set_xticklabels(ax.get_xticklabels(), rotation=90)
plt.savefig('Source_type.png')
```



```
Source = Database['Source'].apply(lambda x: x.lower())

plt_source = get_wordcloud(Source)

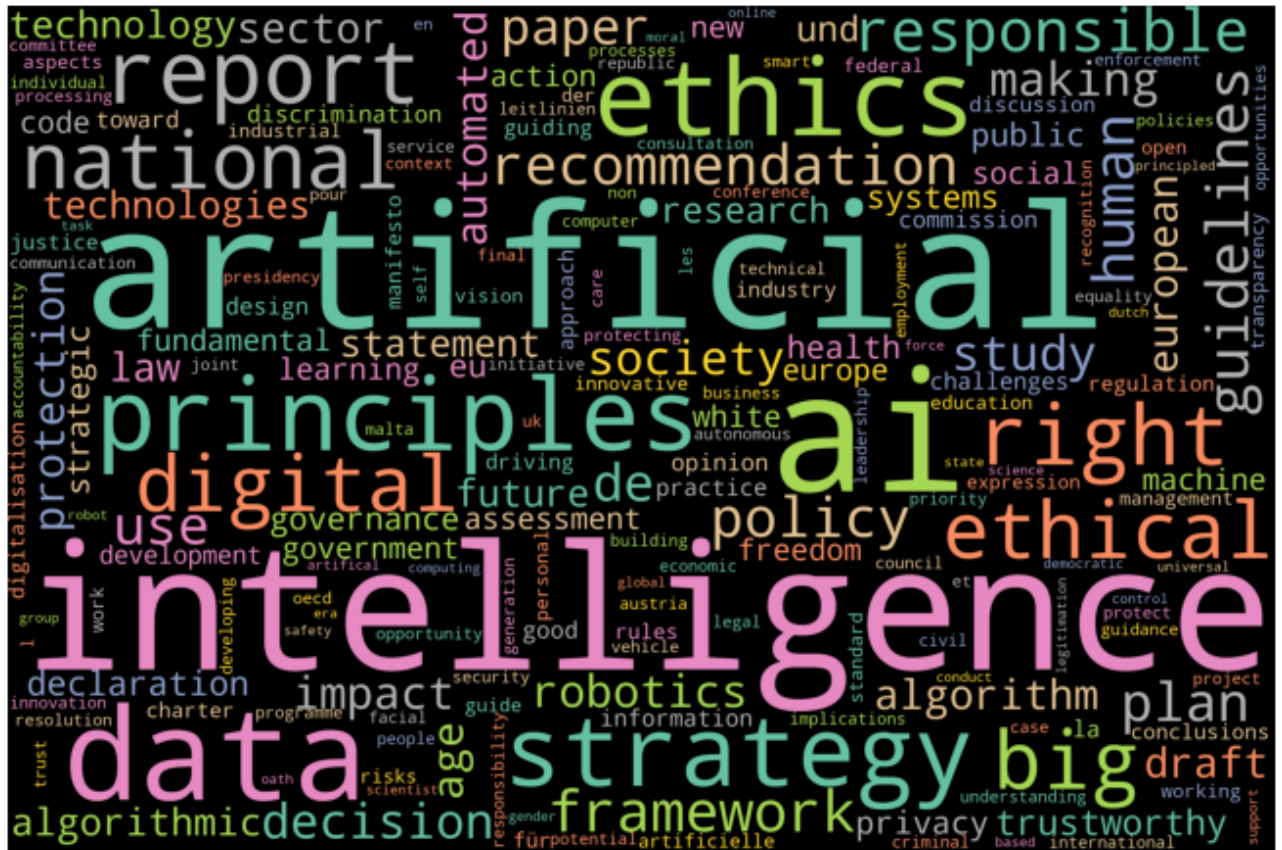
plt.savefig('plt_source.png')
```



```
Reference = Database['Reference'].apply(lambda x: x.lower())

plt_Reference = get_wordcloud(Reference)

plt.savefig('plt_Reference.png')
```



[31]:

```
Origin = Database['Origin'].apply(lambda x: x.lower())  
plt_origin = get_wordcloud(Origin)  
plt.savefig('plt_origin.png')
```



```
Issuer = Database['Issuer'].apply(lambda x: x.lower())

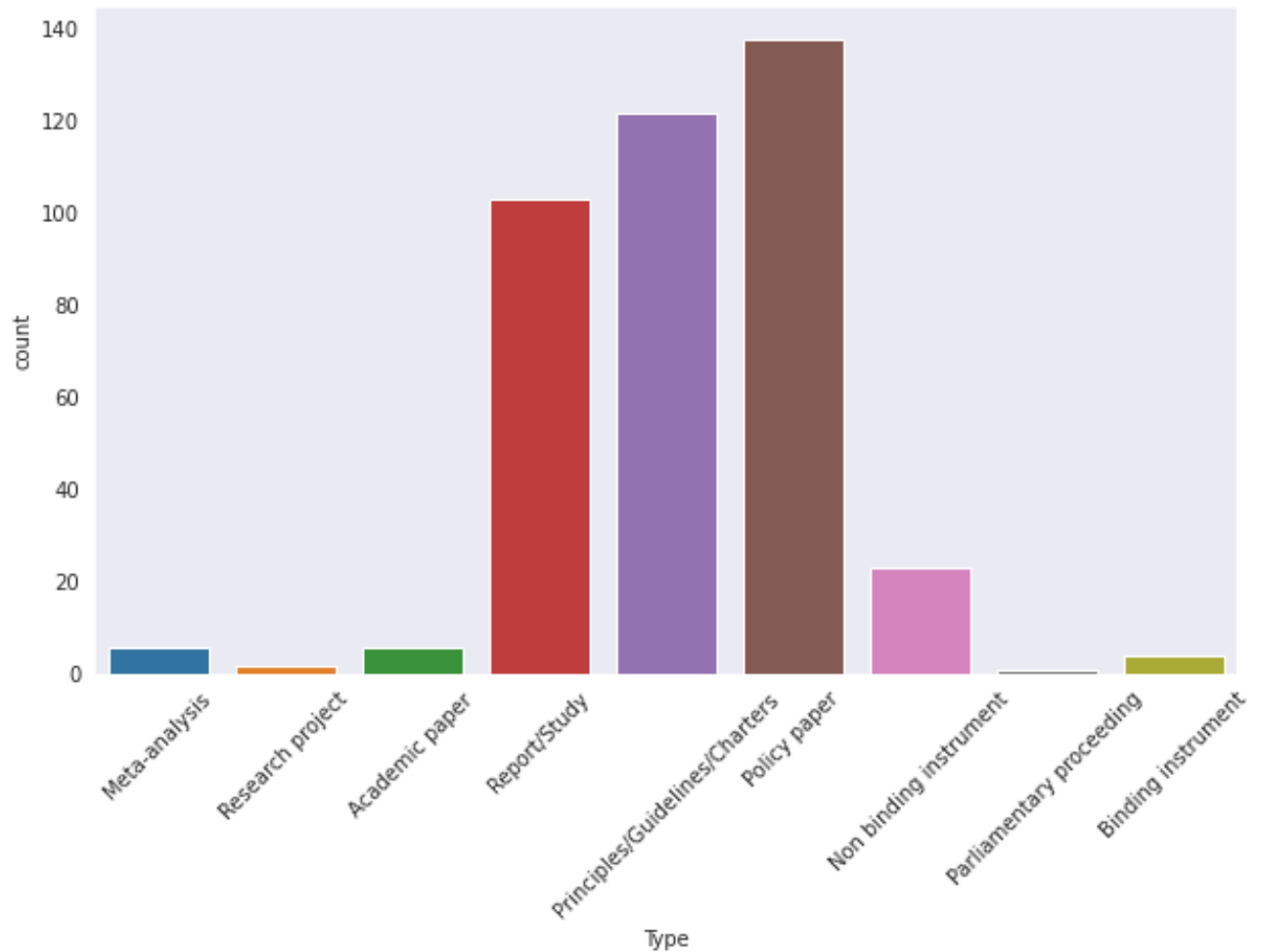
plt_Issuer = get_wordcloud(Issuer)

plt.savefig('plt_Issuer.png')
```



[33]:

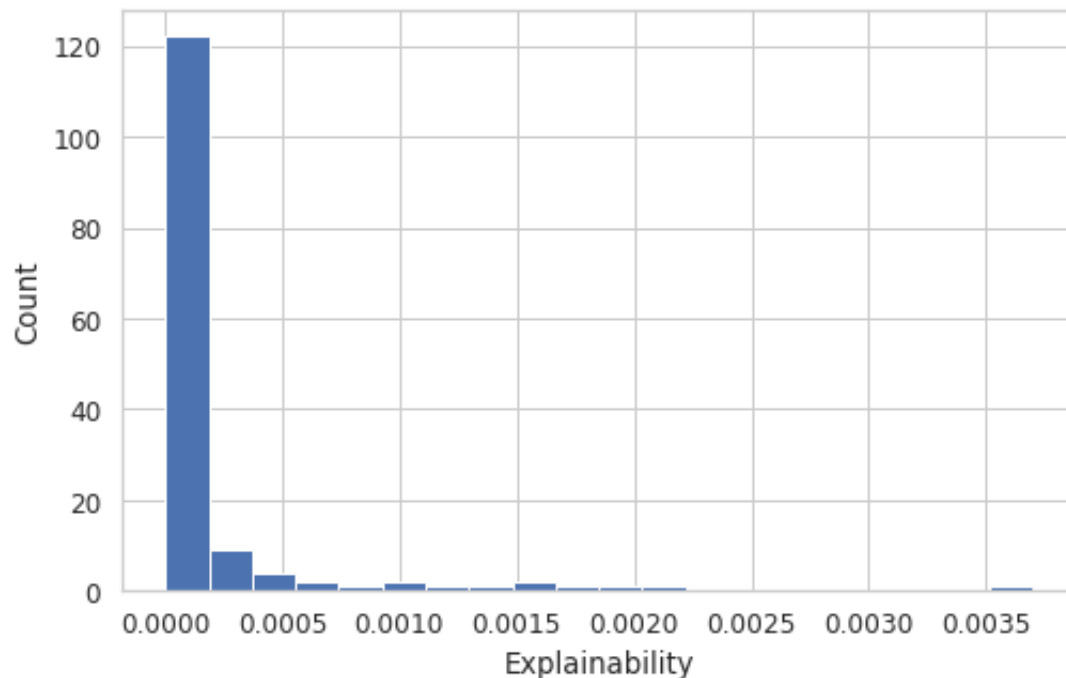
```
ax = sns.countplot(Database[ 'Type' ])
ax.set_xticklabels(ax.get_xticklabels(),rotation=45)
plt.savefig('Type1.png')
```



```
[34]: numerical = ['fundamental rights', 'human agency', 'human rights', 'non disc  
'rule of law', 'sustainable development', 'well being', 'accountability', 'au  
, 'dignity', 'diversity', 'explainability', 'fairness', 'freedom', 'inclusive  
, 'privacy', 'responsibility', 'robustness', 'safe', 'solidarity', 'sustainabil  
]  
categorical = ['Issuer', 'Reference', 'Type', 'Link', 'Origin', 'Source', 'CoE  
]  
  
Database = Database[numerical + categorical]  
Database.shape
```

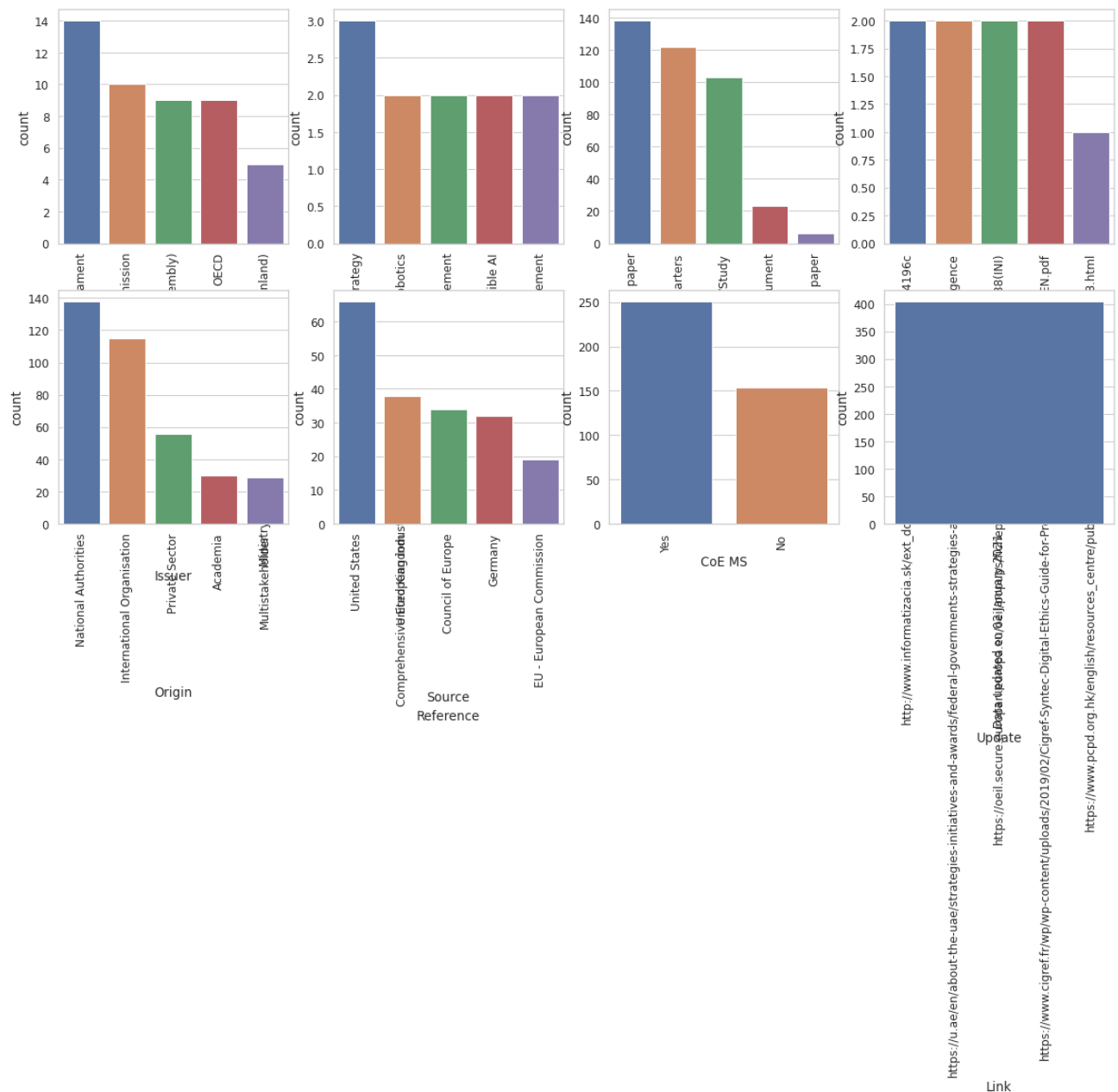
Out[34]: (405, 40)

```
[35]: sns.set(style='whitegrid', palette="deep", font_scale=1.1, rc={"figure.fi  
sns.distplot(  
    Database['explainability'], norm_hist=False, kde=False, bins=20, hist  
) .set(xlabel='Explainability', ylabel='Count');
```



```
[36]: # Database[numerical].plot.barh(stacked=True);
```

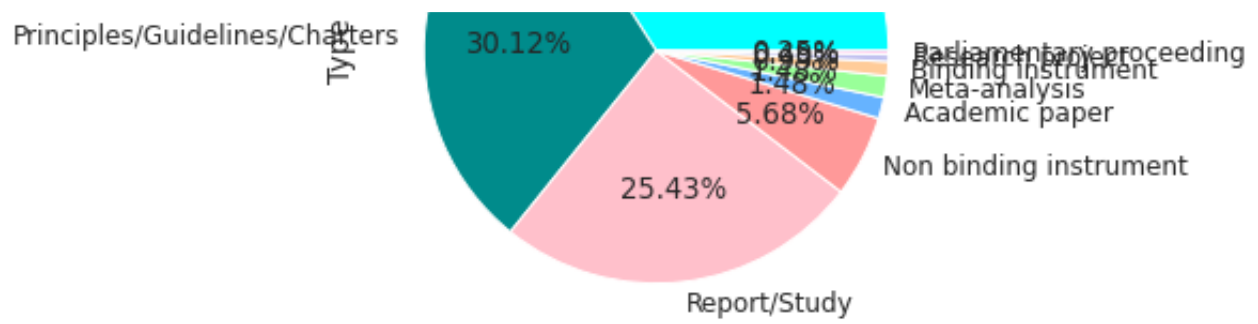
```
[37]: fig, ax = plt.subplots(2, 4, figsize=(20, 10))
for variable, subplot in zip(categorical, ax.flatten()):
    sns.countplot(Database[variable], order = Database[variable].value_cou
    for label in subplot.get_xticklabels():
        label.set_rotation(90)
```



```
[38]: # Plotly Libraris
import plotly.express as px
import plotly.graph_objects as go

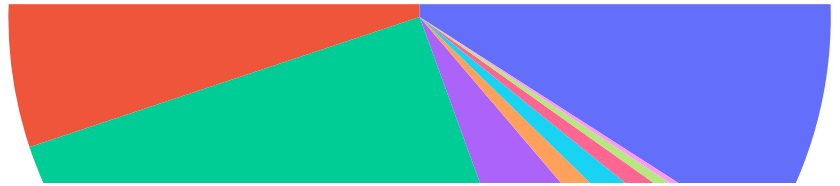
Database['Type'].value_counts().plot.pie(autopct='%2.2f%%', colors = ['cy
```

Out[38]: <matplotlib.axes._subplots.AxesSubplot at 0x7fbf04938250>



```
[39]: import plotly.express as px

fig = px.pie(Database['Type'], values=Database['Type'].value_counts().val
fig.update_traces(hoverinfo='label+percent', textinfo='value')
fig.show()
```



```
[40]: #sns.catplot(x = 'Type', hue = 'Source', data = Database, order = Database['
#sns.catplot(x = 'Type', col = 'Source', data = Database, order = Database['
```

```
[41]: dfs = pd.ExcelFile('/kaggle/input/digital-policiers-frameworks/Digital Po

## Data sources description
data = pd.read_excel(dfs, 'Database')
```

Issuer

```
[42]: #Database.head()

fill_data = data.fillna(' ')
fill_data.head()
```

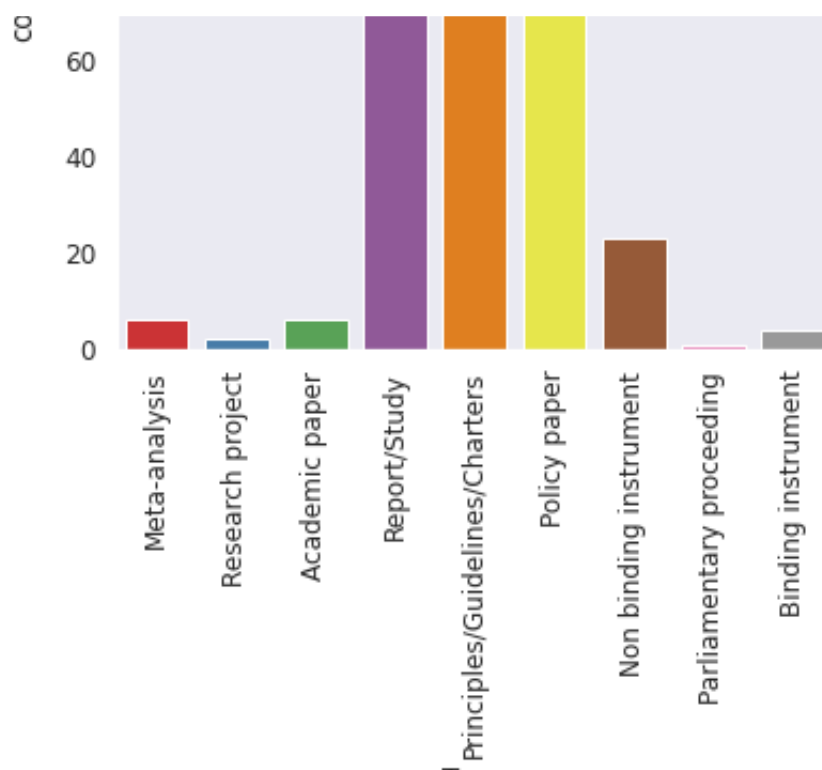
Out[42]:

	Issuer	Reference	Type	Link	Origin
0	Berkman Klein Center (University of Harvard)	Principled Artificial Intelligence	Meta-analysis	https://ssrn.com/abstract=3518482	Academia
1	Cyberjustice Laboratory	ACT Project - Projet AJC (Autonomisation des a...	Research project	https://www.ajcact.org	Academia
2	ETH Zurich	AI, the global landscape of ethics guidelines	Meta-analysis	https://arxiv.org/ftp/arxiv/papers/1906/1906.1...	Academia
3	ETH Zurich	A Moral Framework for Understanding of Fair ML...	Academic paper	https://arxiv.org/abs/1809.03400	Academia
4	Fraunhofer Institute for Intelligent Analysis ...	Trustworthy Use of Artificial Intelligence	Report/Study	https://www.iais.fraunhofer.de/content/dam/iai...	Academia


```
[43]: # creating Countplot from Seaborn to show max available content in NETFLI

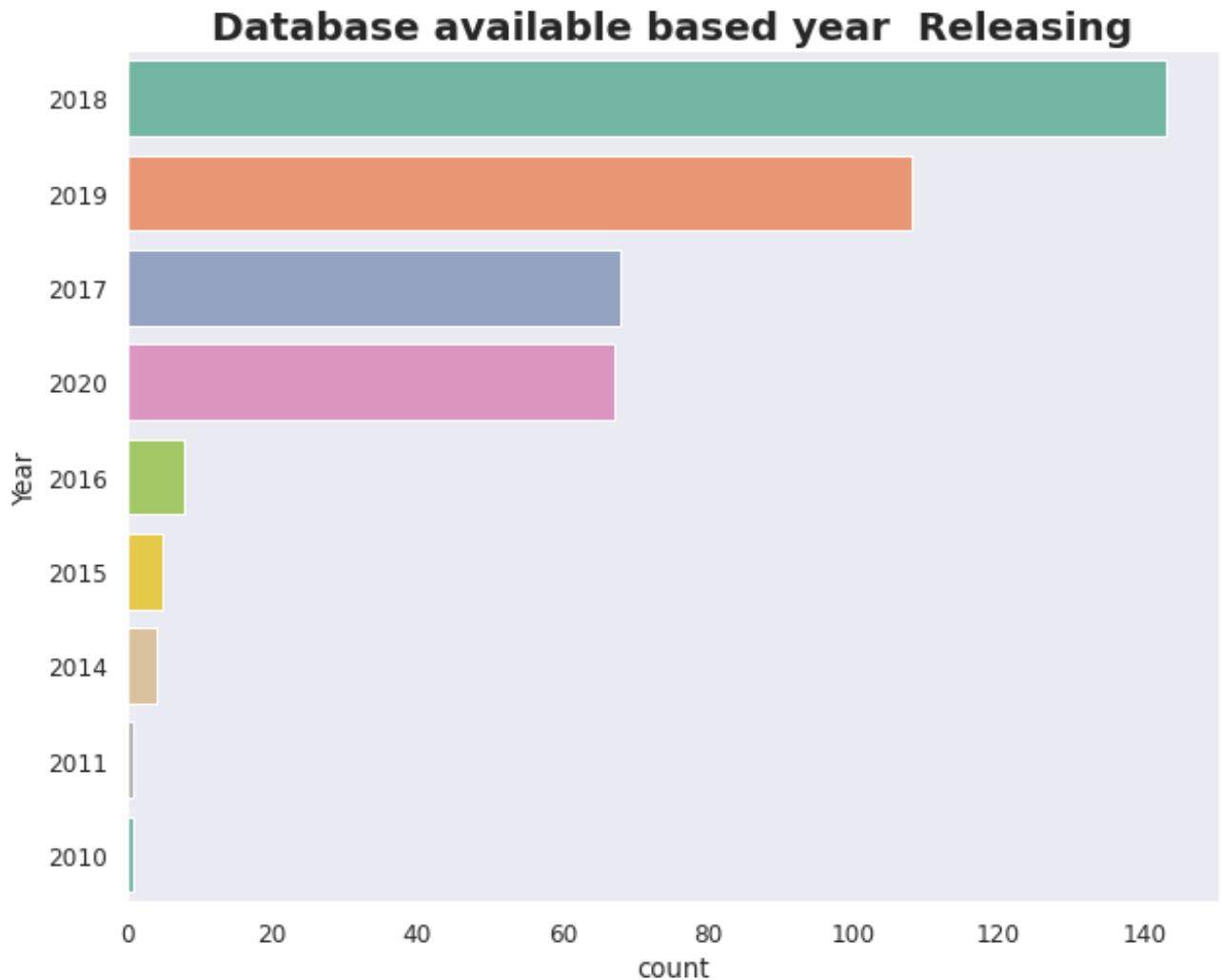
sns.set_style('dark')
ax = plt.subplots(figsize = (6, 6))
plt.title('Countplot for Type for Issuer ', fontweight='bold')
ax = sns.countplot(x = 'Type', data=data, palette='Set1')
ax.set_xticklabels(ax.get_xticklabels(), rotation=90)
```

```
Out[43]: [Text(0, 0, 'Meta-analysis'),
Text(0, 0, 'Research project'),
Text(0, 0, 'Academic paper'),
Text(0, 0, 'Report/Study'),
Text(0, 0, 'Principles/Guidelines/Charters'),
Text(0, 0, 'Policy paper'),
Text(0, 0, 'Non binding instrument'),
Text(0, 0, 'Parliamentary proceeding'),
Text(0, 0, 'Binding instrument')]
```



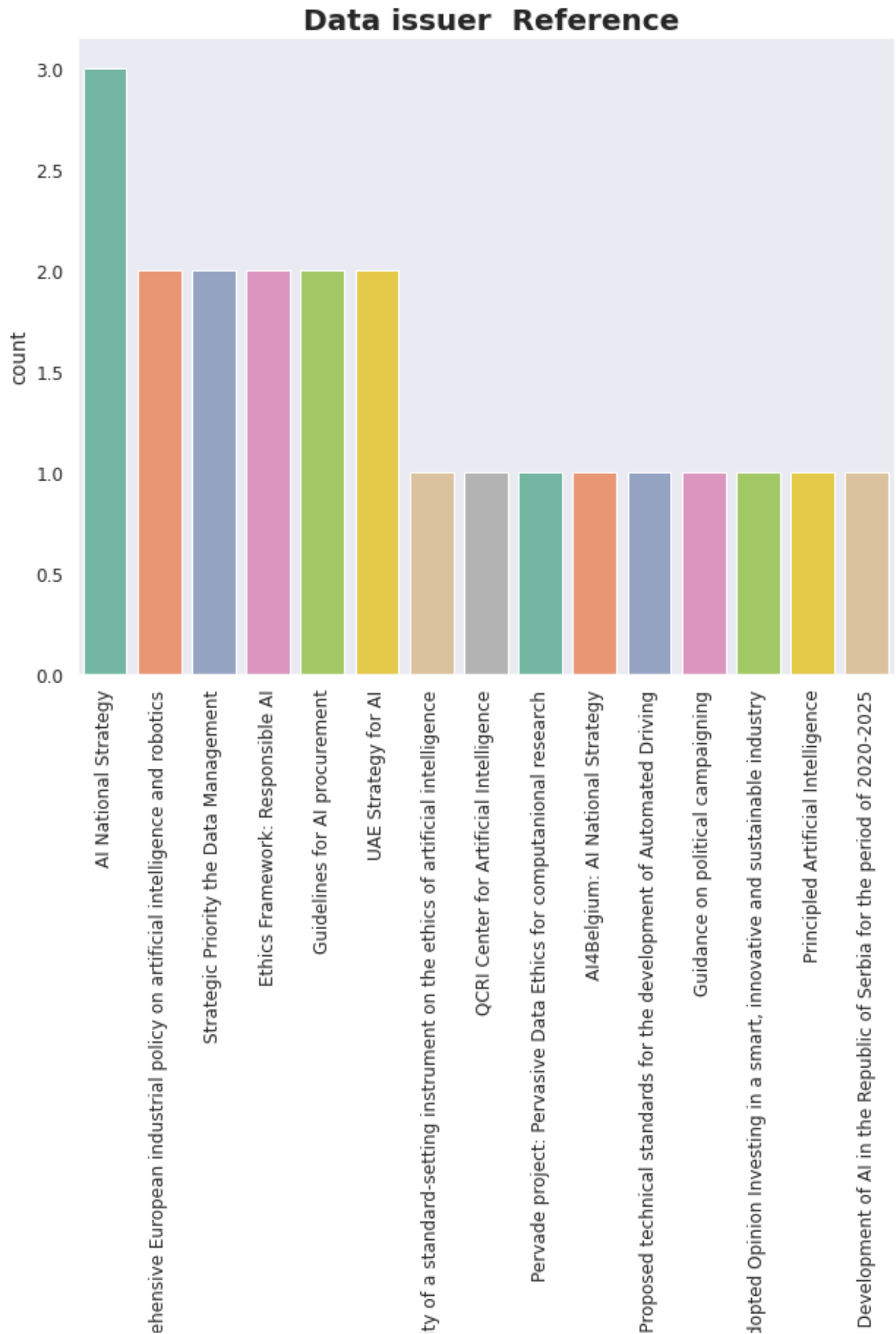
type

```
[92]: ax = plt.subplots(figsize = (10, 8))
sns.set_style('dark')
plt.title('Database available based year Releasing', fontweight='bold',
ax = sns.countplot(y = 'Year', data = data, order = data['Year'].value_co
plt.savefig('Year_release.png')
```

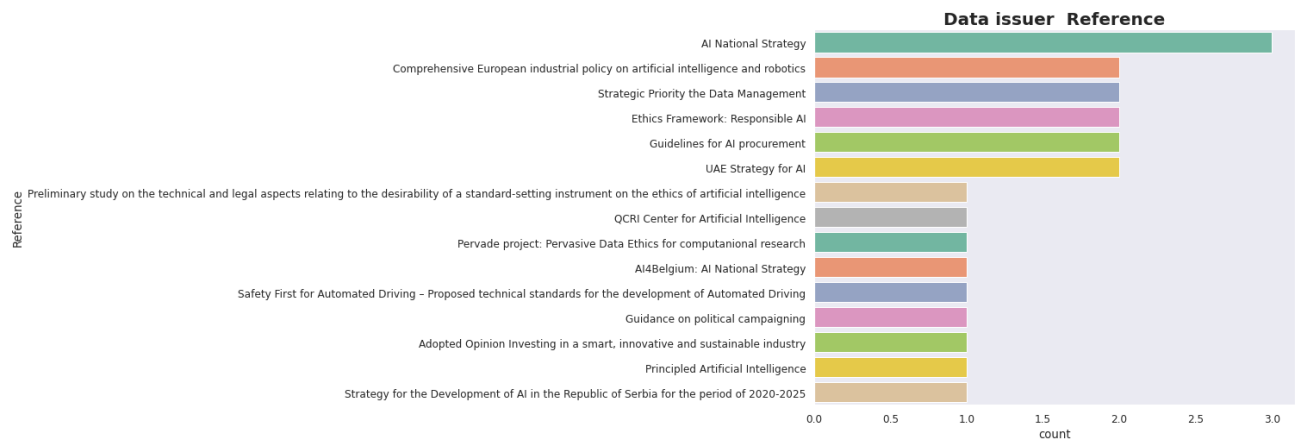


```
[45]: ax = plt.subplots(figsize = (10, 8))
sns.set_style('dark')
plt.title('Data issuer Reference', fontweight='bold', fontsize=20)
ax = sns.countplot(x = 'Reference', data = data, palette = 'Set2', order
#ax.set_xticklabels(labels, rotation=45)
ax.set_xticklabels(ax.get_xticklabels(), rotation=90)
```

```
Out[45]: [Text(0, 0, 'AI National Strategy'),
Text(0, 0, 'Comprehensive European industrial policy on artificial intelligence and robotics'),
Text(0, 0, 'Strategic Priority the Data Management'),
Text(0, 0, 'Ethics Framework: Responsible AI'),
Text(0, 0, 'Guidelines for AI procurement'),
Text(0, 0, 'UAE Strategy for AI'),
Text(0, 0, 'Preliminary study on the technical and legal aspects relating to the desirability of a standard-setting instrument on the ethics of artificial intelligence'),
Text(0, 0, 'QCRI Center for Artificial Intelligence'),
Text(0, 0, 'Pervade project: Pervasive Data Ethics for computational research'),
Text(0, 0, 'AI4Belgium: AI National Strategy'),
Text(0, 0, 'Safety First for Automated Driving – Proposed technical standards for the development of Automated Driving'),
Text(0, 0, 'Guidance on political campaigning'),
Text(0, 0, 'Adopted Opinion Investing in a smart, innovative and sustainable industry'),
Text(0, 0, 'Principled Artificial Intelligence'),
Text(0, 0, 'Strategy for the Development of AI in the Republic of Serbia for the period of 2020-2025')]
```

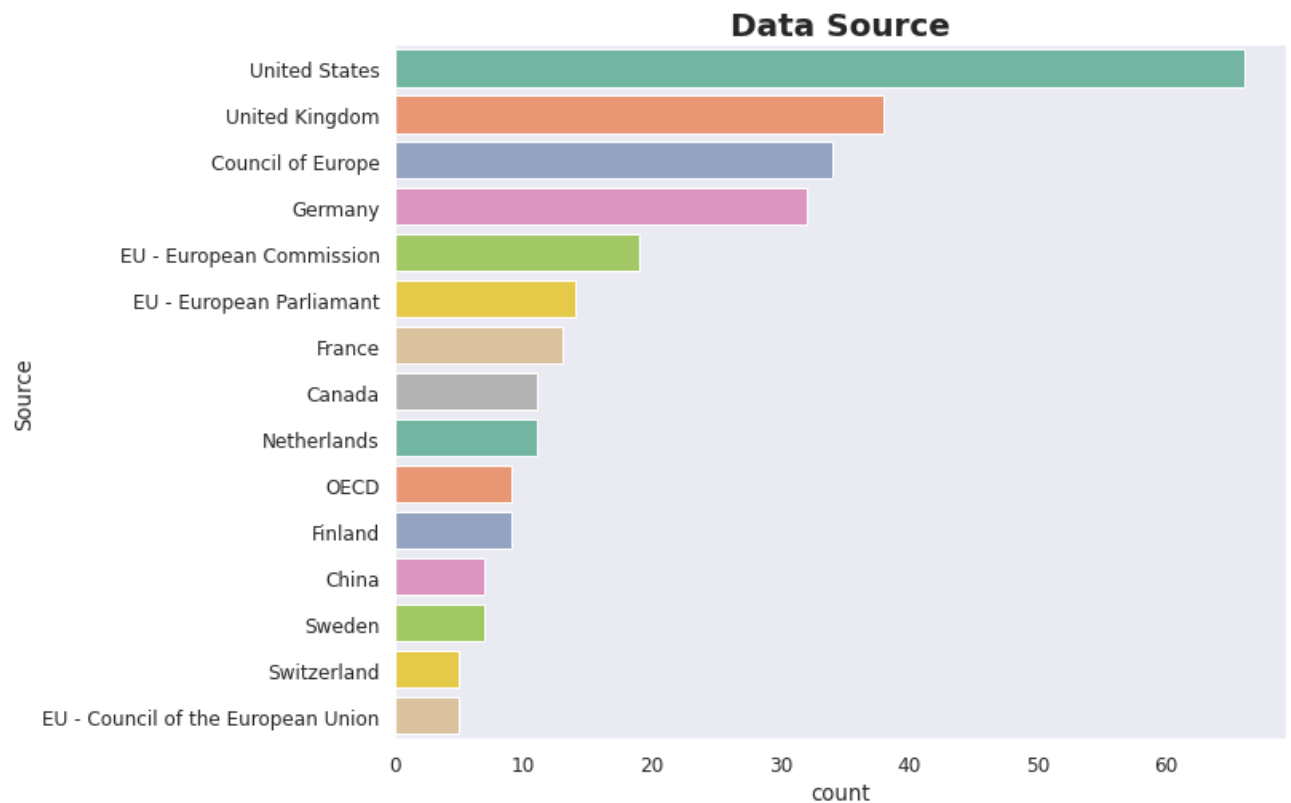


```
[46]: ax = plt.subplots(figsize = (10, 8))
sns.set_style('dark')
plt.title('Data issuer Reference', fontweight='bold', fontsize=20)
#ax = sns.countplot(x = 'Reference', data = data, palette = 'Set2', order
ax = sns.countplot(y = 'Reference', data = data, order = data['Reference'
```



```
[47]: #Source

ax = plt.subplots(figsize = (10, 8))
sns.set_style('dark')
plt.title('Data Source', fontweight='bold', fontsize=20)
ax = sns.countplot(y = 'Source', data = data, order = data['Source'].valu
```



```
[48]: # More Issuer content creating countries

countries = {}
data['Issuer'] = data['Issuer'].fillna('Unknown')

list_countries = list(data['Issuer'])

for i in list_countries:
    i = list(i.split(','))

    if len(i) is 1:
        if i in list(countries.keys()):
            countries[i] += 1
        else:
            countries[i[0]] = 1
    else:
        for j in i:
            if j in list(countries.keys()):
                countries[j] += 1
            else:
                countries[j] = 1

[49]: final_countries = {}

for country, no in countries.items():
    country = country.replace(' ', '')

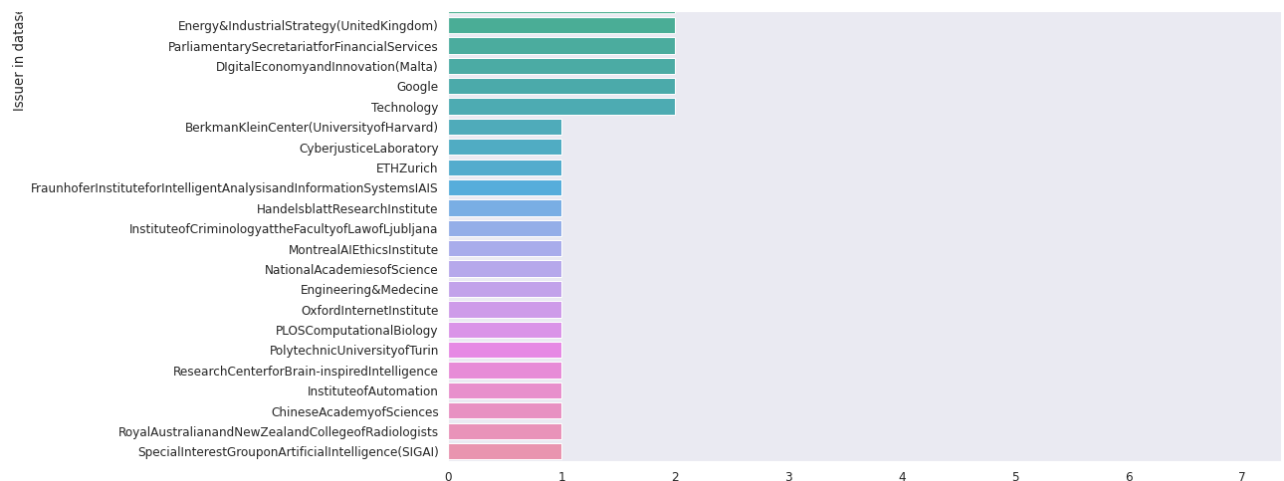
    if country in list(final_countries.keys()):
        final_countries[country] += no
    else:
        final_countries[country] = no

final_countries = {k : v for k, v in sorted(final_countries.items(), key
```

```
[50]: plt.figure(figsize = (15, 15))
plt.title(' Issuer Creating Countries', fontweight = 'bold', fontsize=15)

y_ver = list(final_countries.keys())
x_hor = list(final_countries.values())
sns.barplot( y = y_ver[0:40], x = x_hor[0:40])
plt.ylabel('Issuer in dataset')
```

Out[50]: Text(0, 0.5, 'Issuer in dataset')



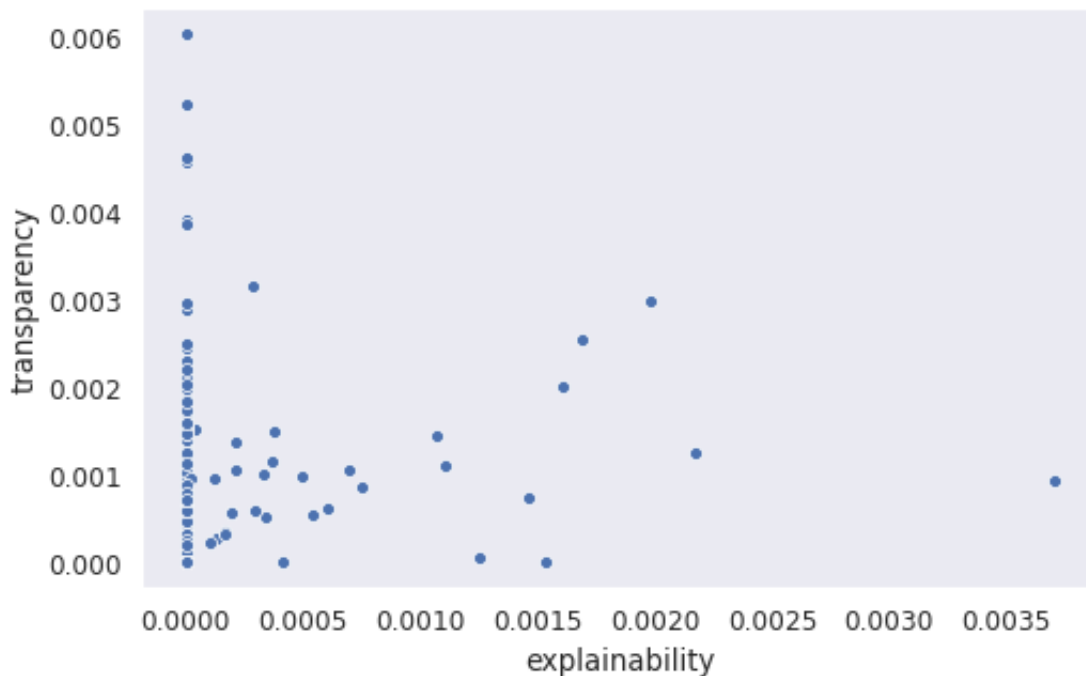

```
[51]: data[data['Year'] == 2020].groupby('Type')['Year'].count()
```

```
Out[51]: Type
Binding instrument      1
Meta-analysis          2
Non binding instrument  3
Policy paper           21
Principles/Guidelines/Charters  9
Report/Study           31
Name: Year, dtype: int64
```

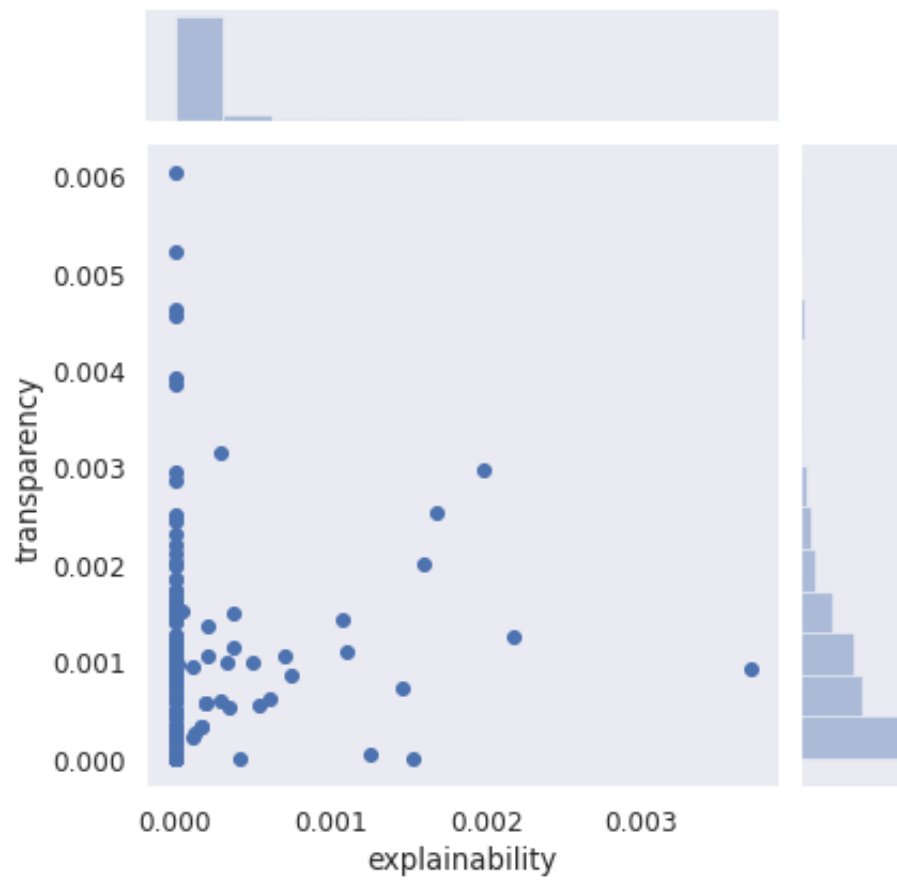
Analyzing Relationships Between Numerical Variables

```
[93]: ## relationship between transparency and explainability

sns.scatterplot(x=data['explainability'], y=data['transparency']);
plt.savefig('explainability.png')
```



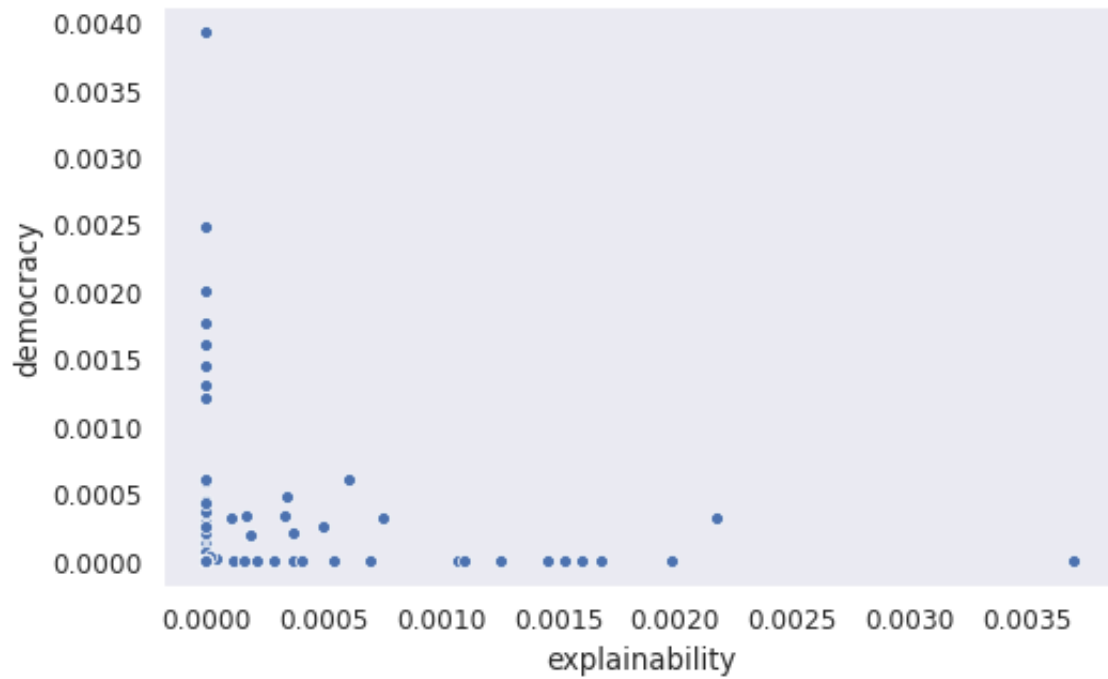
```
[53]: sns.jointplot(x=data['explainability'], y=data['transparency']);
```



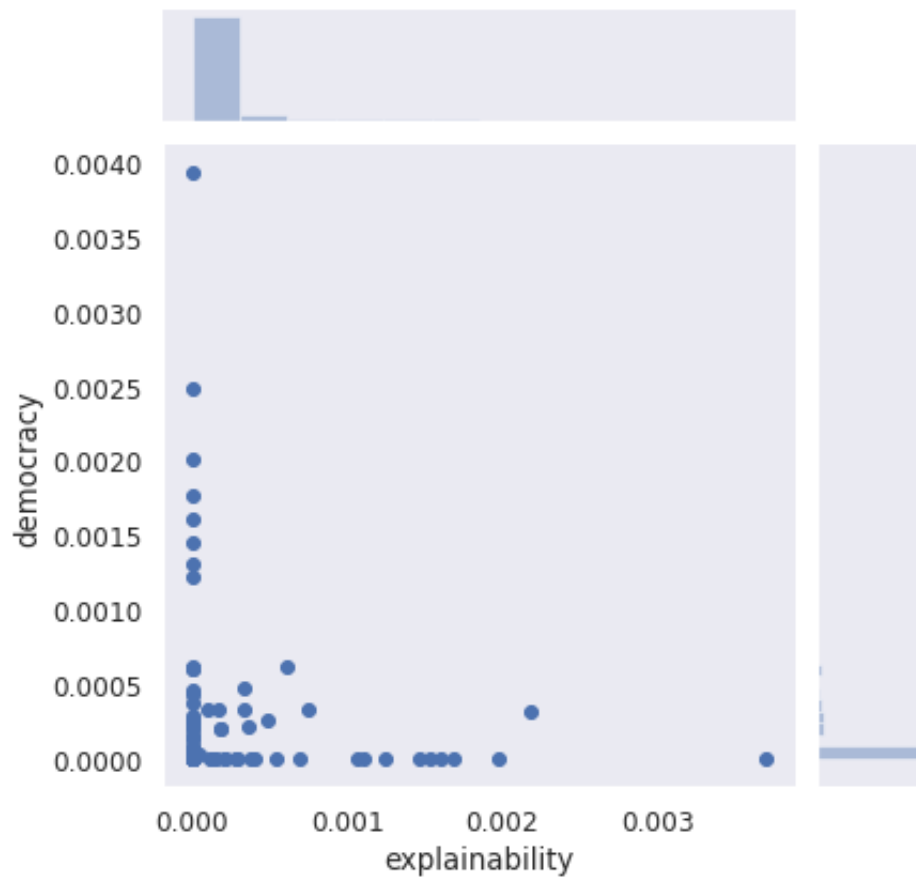
[54]:

```
## relationship between democracy and explainability
```

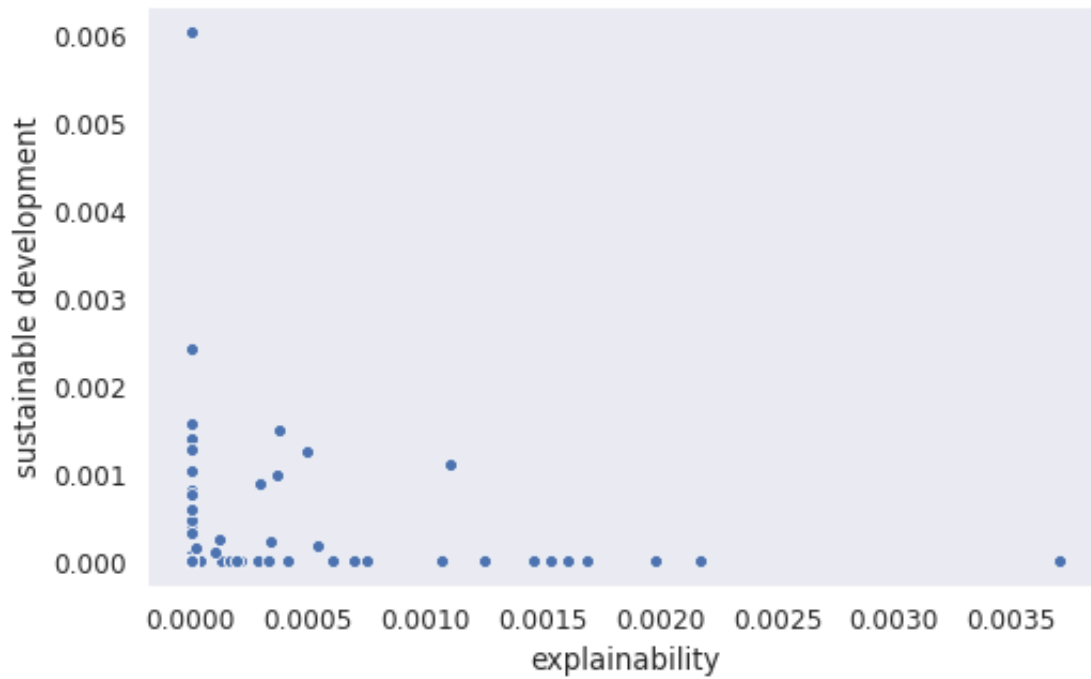
```
sns.scatterplot(x=data['explainability'], y=data['democracy']);
```



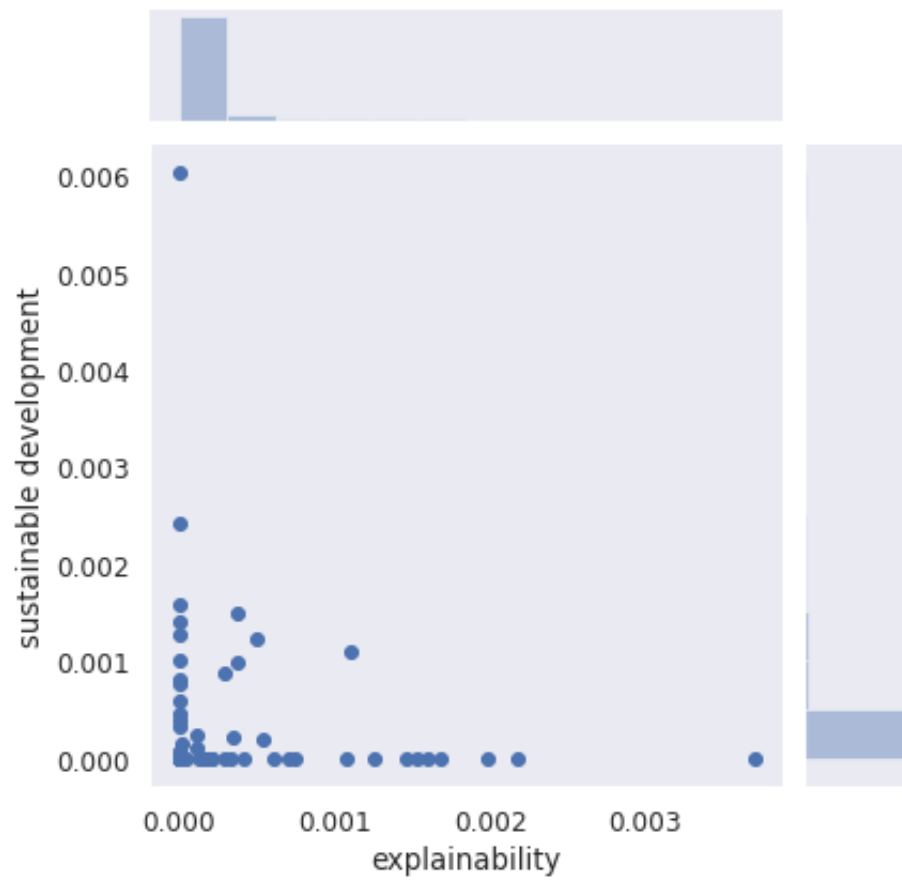
```
[55]: sns.jointplot(x=data['explainability'], y=data['democracy']);
```



```
[56]: ## relationship between sustainable development and explainability  
sns.scatterplot(x=data['explainability'], y=data['sustainable development
```



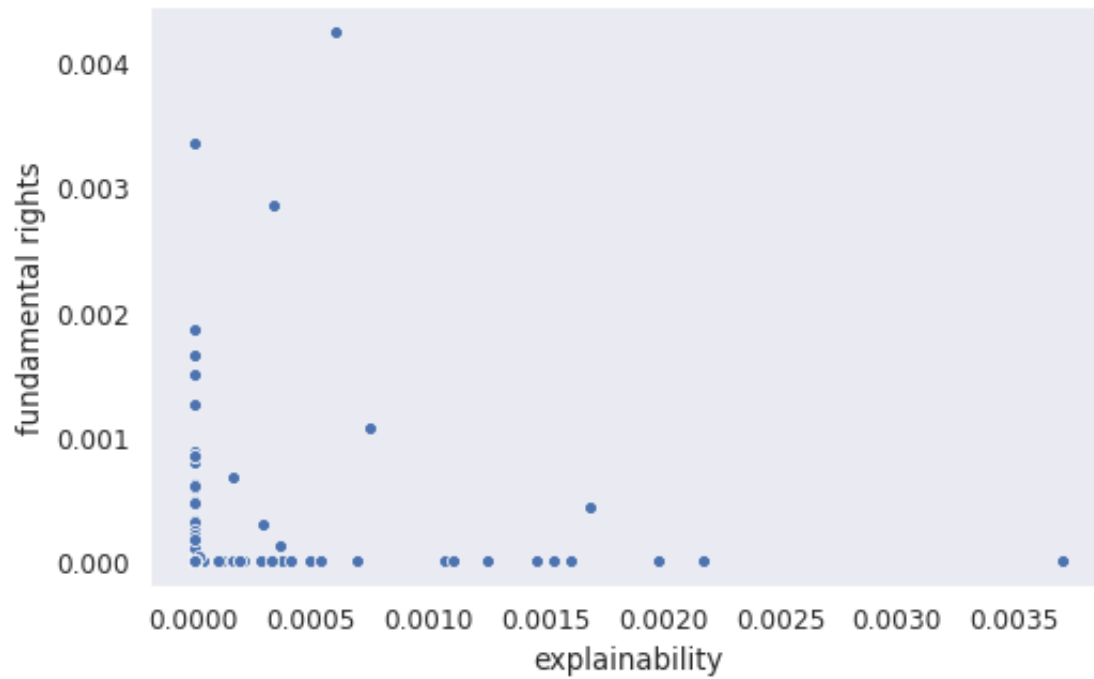
```
[57]: sns.jointplot(x=data['explainability'], y=data['sustainable development'])
```



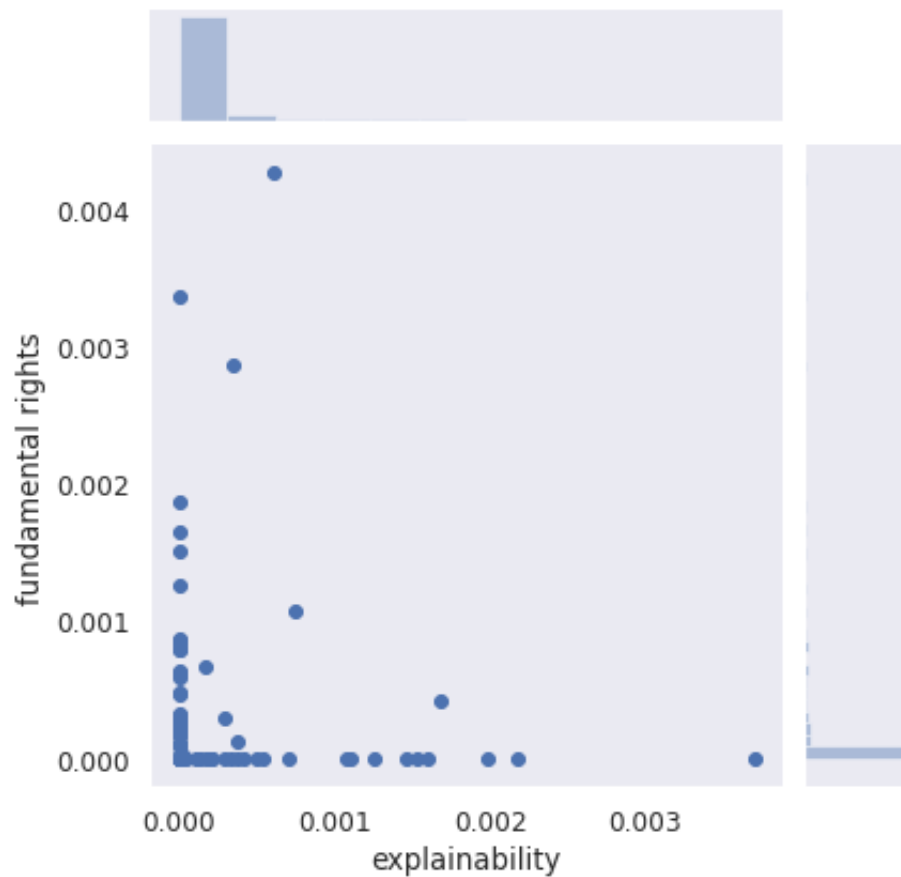
[58]:

```
## relationship between fundamental rights and explainability
```

```
sns.scatterplot(x=data['explainability'], y=data['fundamental rights']);
```



```
[59]: sns.jointplot(x=data['explainability'], y=data['fundamental rights']);
```



```
[60]: #sns.pairplot(Database[numerical], kind="scatter")  
#plt.show()
```

Analyzing Relationships Between Numerical and Categorical Variables


```
[61]: pd.crosstab(Database.Type, Database.Source)
```

Out[61]:

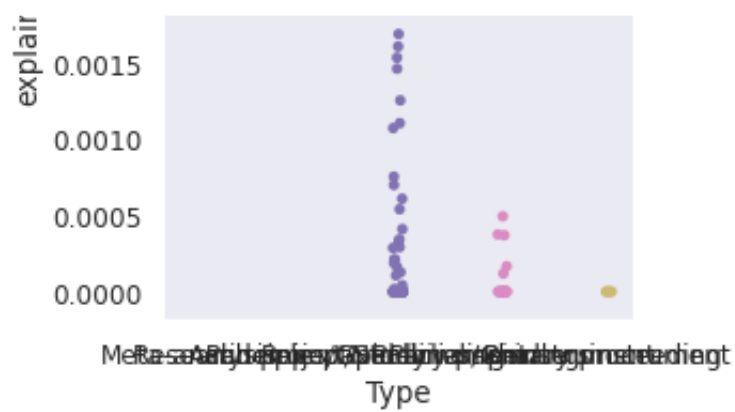
	Source	Argentina	Australia	Austria	Belgium	Brazil	Canada	Chile	China
Type									
Academic paper		0	0	0	0	0	0	0	0
Binding instrument		0	0	0	0	0	1	0	0
Meta-analysis		0	0	0	0	0	0	0	1
Non binding instrument		0	0	0	0	0	0	0	0
Parliamentary proceeding		0	0	0	0	0	0	0	0
Policy paper		1	0	4	3	1	5	1	3
Principles/Guidelines/Charters		0	3	1	1	0	3	0	3
Report/Study		0	0	0	0	0	1	0	0
Research project		0	0	0	0	0	1	0	0

```
[62]: from scipy.stats import chi2_contingency
      chi2_contingency(pd.crosstab(Database.Type, Database.Source))
```

```
Out[62]: (597.7809100308771,
          0.7684950281913201,
          624,
          array([[1.48148148e-02, 4.44444444e-02, 7.40740741e-02, 5.92592593e-02,
                    1.48148148e-02, 1.62962963e-01, 1.48148148e-02, 1.03703704e-01,
                    1.48148148e-02, 5.03703704e-01, 1.48148148e-02, 5.92592593e-02,
                    1.48148148e-02, 7.40740741e-02, 2.81481481e-01, 2.96296296e-02,
                    4.44444444e-02, 2.07407407e-01, 1.48148148e-02, 5.92592593e-02,
                    1.48148148e-02, 1.48148148e-02, 1.48148148e-02, 1.33333333e-01,
                    1.92592593e-01, 2.96296296e-02, 1.48148148e-02, 4.74074074e-01,
                    1.48148148e-02, 1.48148148e-02, 1.48148148e-02, 1.48148148e-02,
                    1.48148148e-02, 1.48148148e-02, 1.48148148e-02, 2.96296296e-02,
                    1.48148148e-02, 4.44444444e-02, 7.40740741e-02, 1.48148148e-02,
                    1.48148148e-02, 1.48148148e-02, 4.44444444e-02, 4.44444444e-02,
                    1.48148148e-02, 4.44444444e-02, 1.48148148e-02, 4.44444444e-02,
                    1.62962963e-01, 1.48148148e-02, 1.48148148e-02, 2.96296296e-02,
                    1.33333333e-01, 1.48148148e-02, 1.48148148e-02, 5.92592593e-02,
```

```
[63]: sns.catplot(x="Type", y="explainability", data=Database)
```

```
Out[63]: <seaborn.axisgrid.FacetGrid at 0x7fbefec73f90>
```



```
[64]: from sklearn.cluster import KMeans
```

```
[65]: categorical = Database[categorical]
```

```
[66]: categorical.describe()
```

Out[66]:

	Year
count	405.000000
mean	2018.276543
std	1.284935
min	2010.000000
25%	2018.000000
50%	2018.000000
75%	2019.000000
max	2020.000000

```
[67]: categorical.info()
```

```
<class 'pandas.core.frame.DataFrame'>
RangeIndex: 405 entries, 0 to 404
Data columns (total 9 columns):
 #   Column      Non-Null Count  Dtype
---  -
 0   Issuer      405 non-null    object
 1   Reference   405 non-null    object
 2   Type        405 non-null    object
 3   Link        405 non-null    object
 4   Origin      405 non-null    object
 5   Source      405 non-null    object
 6   CoE MS     405 non-null    object
 7   Update      405 non-null    object
 8   Year        405 non-null    int64
dtypes: int64(1), object(8)
memory usage: 28.6+ KB
```

```
[68]: categorical.isnull().sum()*100/categorical.shape[0]
```

```
Out[68]: Issuer      0.0
Reference  0.0
Type       0.0
Link       0.0
Origin     0.0
Source     0.0
CoE MS     0.0
Update     0.0
Year       0.0
dtype: float64
```

Model Building K-modes

```
[69]: categorical_copy = categorical.copy()
```

```
[70]: from sklearn import preprocessing
from kmodes.kmodes import KModes
le = preprocessing.LabelEncoder()
categorical = categorical.apply(le.fit_transform)
categorical.head()
```

```
Out[70]:
```

	Issuer	Reference	Type	Link	Origin	Source	CoE MS	Update	Year
0	21	271	2	203	0	77	0	0	6
1	66	15	8	240	0	5	0	0	6
2	86	37	2	72	0	66	1	0	7
3	86	8	0	70	0	66	1	0	6
4	111	380	7	313	0	27	1	0	8

```
[71]: #Using K-Mode with "Cao" initialization
km_cao = KModes(n_clusters=2, init = "Cao", n_init = 1, verbose=1)
fitClusters_cao = km_cao.fit_predict(categorical)
```

```
Init: initializing centroids
Init: initializing clusters
Starting iterations...
Run 1, iteration: 1/100, moves: 15, cost: 2241.0
```

```
[72]: # Predicted Clusters
fitClusters_cao
```

```
Out[72]: array([1, 0, 0, 0, 0, 0, 0, 1, 1, 1, 0, 1, 0, 1, 1, 0, 0, 0, 0, 0, 1, 1,
0, 0, 1, 1, 1, 0, 0, 1, 1, 1, 1, 0, 0, 0, 1, 0, 0, 0, 0, 0, 0, 1,
1, 1, 1, 0, 1, 0, 0, 1, 0, 0, 0, 0, 0, 0, 1, 0, 0, 1, 0, 1, 1, 1,
1, 0, 0, 1, 1, 0, 1, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0,
0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 1, 0, 0, 1, 0, 0, 0,
0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 1, 0, 1, 0,
1, 1, 0, 1, 0, 0, 1, 1, 1, 1, 1, 1, 1, 1, 1, 1, 1, 1, 1, 1, 1, 1,
1, 1, 1, 1, 1, 0, 0, 1, 1, 1, 0, 1, 1, 1, 1, 1, 0, 0, 0, 1, 1, 0,
1, 0, 1, 1, 1, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0,
1, 0, 1, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 1, 0,
0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0,
0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 1, 0, 0, 0, 0, 0, 0, 0,
0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 1, 0, 0, 0, 0, 0,
0, 0, 0, 0, 0, 0, 0, 1, 0, 0, 0, 1, 1, 1, 1, 0, 1, 0, 1, 0, 0, 1,
1, 0, 0, 1, 1, 0, 0, 0, 1, 1, 1, 0, 1, 1, 1, 1, 1, 1, 1, 1, 1, 1,
1, 1, 1, 0, 1, 0, 1, 1, 1, 0, 1, 1, 0, 1, 0, 0, 1, 0, 0, 0, 1, 0,
1, 1, 0, 1, 1, 0, 0, 1, 0, 0, 1, 1, 1, 1, 1, 1, 0, 1, 0, 1, 0, 0,
0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 1, 0, 1, 1, 1, 1, 1,
0, 1, 0, 0, 0, 0, 0, 0, 0, 0], dtype=uint16)
```

```
[73]: clusterCentroidsDf = pd.DataFrame(km_cao.cluster_centroids_)
clusterCentroidsDf.columns = categorical.columns
```

```
[74]: # Mode of the clusters
      clusterCentroidsDf
```

Out[74]:

	Issuer	Reference	Type	Link	Origin	Source	CoE MS	Update	Year
0	99	22	5	48	4	76	1	0	6
1	198	4	6	5	2	77	0	0	7

```
[75]: #Using K-Mode with "Huang" initialization
      km_huang = KModes(n_clusters=2, init = "Huang", n_init = 1, verbose=1)
      fitClusters_huang = km_huang.fit_predict(categorical)
```

```
Init: initializing centroids
Init: initializing clusters
Starting iterations...
Run 1, iteration: 1/100, moves: 58, cost: 2243.0
Run 1, iteration: 2/100, moves: 19, cost: 2243.0
```

```
# Predicted clusters
fitClusters_huang
```

```
Out[76]: array([1, 0, 0, 0, 0, 0, 0, 0, 1, 1, 1, 1, 0, 1, 0, 1, 1, 1, 0, 0, 0, 0, 0, 0, 1, 1,
0, 0, 1, 1, 1, 0, 0, 1, 1, 1, 1, 0, 0, 0, 1, 0, 0, 0, 0, 0, 0, 0, 0, 1,
1, 1, 1, 0, 1, 0, 0, 1, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 1, 0,
0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0,
0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 1, 0, 0, 0, 0, 0, 0, 0, 0,
0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0,
1, 1, 0, 0, 0, 0, 1, 1, 1, 0, 1, 1, 0, 1, 1, 1, 0, 1, 1, 0, 1, 0,
1, 0, 1, 1, 1, 0, 0, 1, 1, 1, 0, 1, 1, 1, 1, 1, 0, 0, 0, 1, 1, 0,
1, 0, 1, 1, 1, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0,
1, 0, 1, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 1, 0,
0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0,
0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 1, 0, 0, 0, 0, 0, 0, 0, 0,
0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 1, 1, 0, 0, 0, 0, 0, 0,
0, 0, 0, 0, 0, 0, 0, 1, 0, 0, 0, 1, 1, 1, 1, 0, 1, 0, 1, 0, 1, 1,
1, 1, 0, 1, 1, 0, 0, 0, 0, 1, 1, 1, 0, 1, 1, 1, 1, 1, 1, 1, 1, 1,
1, 1, 1, 1, 1, 0, 1, 1, 1, 1, 1, 1, 1, 1, 0, 1, 1, 0, 0, 1, 0,
1, 1, 0, 1, 1, 0, 0, 1, 0, 0, 1, 1, 1, 1, 1, 1, 0, 1, 0, 1, 0, 0,
0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 1, 1, 0, 1, 1, 1, 1, 1,
0, 1, 0, 0, 0, 0, 0, 0, 0, 0], dtype=uint16)
```

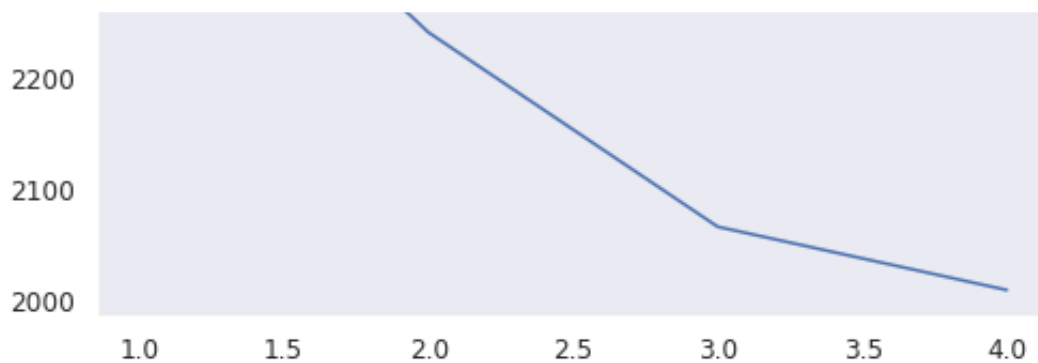
Choosing K by comparing Cost against each K


```
[77]: cost = []  
      for num_clusters in list(range(1,5)):  
          kmode = KModes(n_clusters=num_clusters, init = "Cao", n_init = 1, ver  
              kmode.fit_predict(categorical)  
              cost.append(kmode.cost_)
```

```
Init: initializing centroids  
Init: initializing clusters  
Starting iterations...  
Run 1, iteration: 1/100, moves: 0, cost: 2485.0  
Init: initializing centroids  
Init: initializing clusters  
Starting iterations...  
Run 1, iteration: 1/100, moves: 15, cost: 2241.0  
Init: initializing centroids  
Init: initializing clusters  
Starting iterations...  
Run 1, iteration: 1/100, moves: 39, cost: 2066.0  
Init: initializing centroids  
Init: initializing clusters  
Starting iterations...  
Run 1, iteration: 1/100, moves: 52, cost: 2009.0  
Run 1, iteration: 2/100, moves: 1, cost: 2009.0
```

```
[78]: y = np.array([i for i in range(1,5,1)])  
      plt.plot(y,cost)
```

Out[78]: [<matplotlib.lines.Line2D at 0x7fbefb89afd0>]



```
[79]: ## Choosing K=2  
  
km_cao = KModes(n_clusters=2, init = "Cao", n_init = 1, verbose=1)  
fitClusters_cao = km_cao.fit_predict(categorical)
```

```
Init: initializing centroids  
Init: initializing clusters  
Starting iterations...  
Run 1, iteration: 1/100, moves: 15, cost: 2241.0
```

```
[80]: fitClusters_cao
```

```
Out[80]: array([1, 0, 0, 0, 0, 0, 0, 1, 1, 1, 0, 1, 0, 1, 1, 0, 0, 0, 0, 0, 1, 1,
 0, 0, 1, 1, 1, 0, 0, 1, 1, 1, 1, 0, 0, 0, 1, 0, 0, 0, 0, 0, 0, 1,
 1, 1, 1, 0, 1, 0, 0, 1, 0, 0, 0, 0, 0, 0, 1, 0, 0, 1, 0, 1, 1, 1,
 1, 0, 0, 1, 1, 0, 1, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0,
 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 1, 0, 0, 1, 0, 0, 0, 0,
 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 1, 0, 1, 0,
 1, 1, 0, 1, 0, 0, 1, 1, 1, 1, 1, 1, 1, 1, 1, 1, 1, 1, 1, 1, 1, 1,
 1, 1, 1, 1, 1, 0, 0, 1, 1, 1, 0, 1, 1, 1, 1, 1, 0, 0, 0, 1, 1, 0,
 1, 0, 1, 1, 1, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0,
 1, 0, 1, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 1, 0,
 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0,
 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 1, 0, 0, 0, 0, 0, 0, 0,
 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 1, 0, 0, 0, 0, 0, 0,
 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 1, 0, 0, 0, 0, 0,
 0, 0, 0, 0, 0, 0, 0, 1, 0, 0, 0, 1, 1, 1, 1, 0, 1, 0, 1, 0, 0, 1,
 1, 0, 0, 1, 1, 0, 0, 0, 0, 1, 1, 1, 0, 1, 1, 1, 1, 1, 1, 1, 1,
 1, 1, 1, 0, 1, 0, 1, 1, 1, 0, 1, 1, 0, 1, 0, 0, 1, 0, 0, 0, 1, 0,
 1, 1, 0, 1, 1, 0, 0, 1, 0, 0, 1, 1, 1, 1, 1, 1, 0, 1, 0, 1, 0, 0,
 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 1, 0, 1, 1, 1, 1, 1,
 0, 1, 0, 0, 0, 0, 0, 0, 0, 0], dtype=uint16)
```

```
[81]: #Combining the predicted clusters with the original DF
categorical = categorical_copy.reset_index()
```

```
[82]: clustersDf = pd.DataFrame(fitClusters_cao)
clustersDf.columns = ['cluster_predicted']
combinedDf = pd.concat([categorical, clustersDf], axis = 1).reset_index()
combinedDf = combinedDf.drop(['index', 'level_0'], axis = 1)
```

```
[83]: combinedDf.head()
```

Out[83]:

	Issuer	Reference	Type	Link	Origin
0	Berkman Klein Center (University of Harvard)	Principled Artificial Intelligence	Meta-analysis	https://ssrn.com/abstract=3518482	Academia
1	Cyberjustice Laboratory	ACT Project - Projet AJC (Autonomisation des a...	Research project	https://www.ajcact.org	Academia
2	ETH Zurich	AI, the global landscape of ethics guidelines	Meta-analysis	https://arxiv.org/ftp/arxiv/papers/1906/1906.1...	Academia
3	ETH Zurich	A Moral Framework for Understanding of Fair ML...	Academic paper	https://arxiv.org/abs/1809.03400	Academia
4	Fraunhofer Institute for Intelligent Analysis ...	Trustworthy Use of Artificial Intelligence	Report/Study	https://www.iais.fraunhofer.de/content/dam/iai...	Academia

Cluster Identification

```
[84]: cluster_0 = combinedDf[combinedDf['cluster_predicted'] == 0]
cluster_1 = combinedDf[combinedDf['cluster_predicted'] == 1]
```

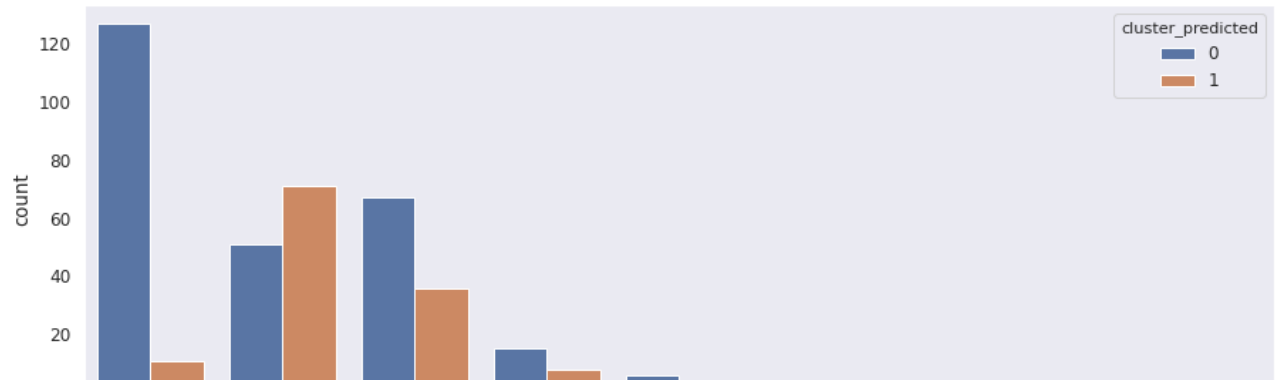
[85]: `cluster_0.info()`

```
<class 'pandas.core.frame.DataFrame'>
Int64Index: 271 entries, 1 to 404
Data columns (total 10 columns):
#   Column                Non-Null Count  Dtype
---  -
0   Issuer                271 non-null   object
1   Reference             271 non-null   object
2   Type                  271 non-null   object
3   Link                  271 non-null   object
4   Origin                271 non-null   object
5   Source                271 non-null   object
6   CoE MS                271 non-null   object
7   Update                271 non-null   object
8   Year                  271 non-null   int64
9   cluster_predicted     271 non-null   uint16
dtypes: int64(1), object(8), uint16(1)
memory usage: 21.7+ KB
```

[86]: `cluster_1.info()`

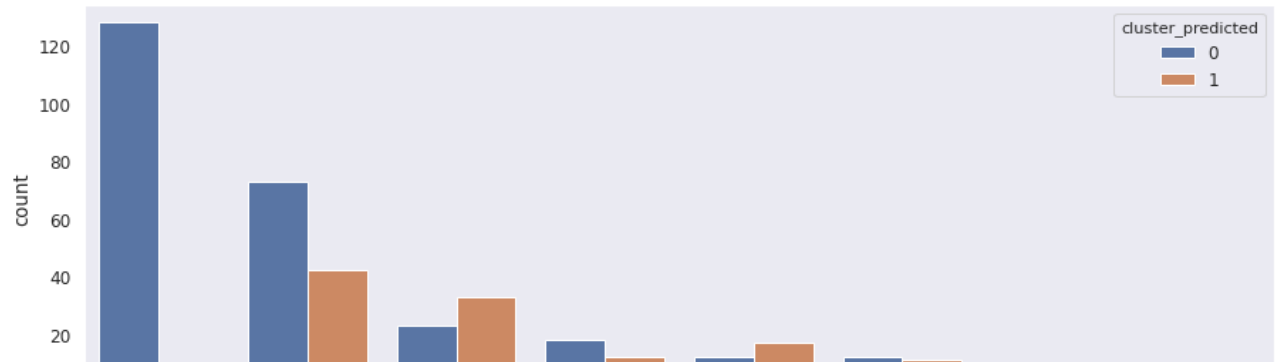
```
<class 'pandas.core.frame.DataFrame'>
Int64Index: 134 entries, 0 to 397
Data columns (total 10 columns):
#   Column                Non-Null Count  Dtype
---  -
0   Issuer                134 non-null   object
1   Reference             134 non-null   object
2   Type                  134 non-null   object
3   Link                  134 non-null   object
4   Origin                134 non-null   object
5   Source                134 non-null   object
6   CoE MS                134 non-null   object
7   Update                134 non-null   object
8   Year                  134 non-null   int64
9   cluster_predicted     134 non-null   uint16
dtypes: int64(1), object(8), uint16(1)
memory usage: 10.7+ KB
```

```
[94]: plt.subplots(figsize = (15,5))
      ax = sns.countplot(x=combinedDf['Type'],order=combinedDf['Type'].value_co
      ax.set_xticklabels(ax.get_xticklabels(), rotation=90)
      plt.show()
      plt.savefig('cluster_type.png')
```



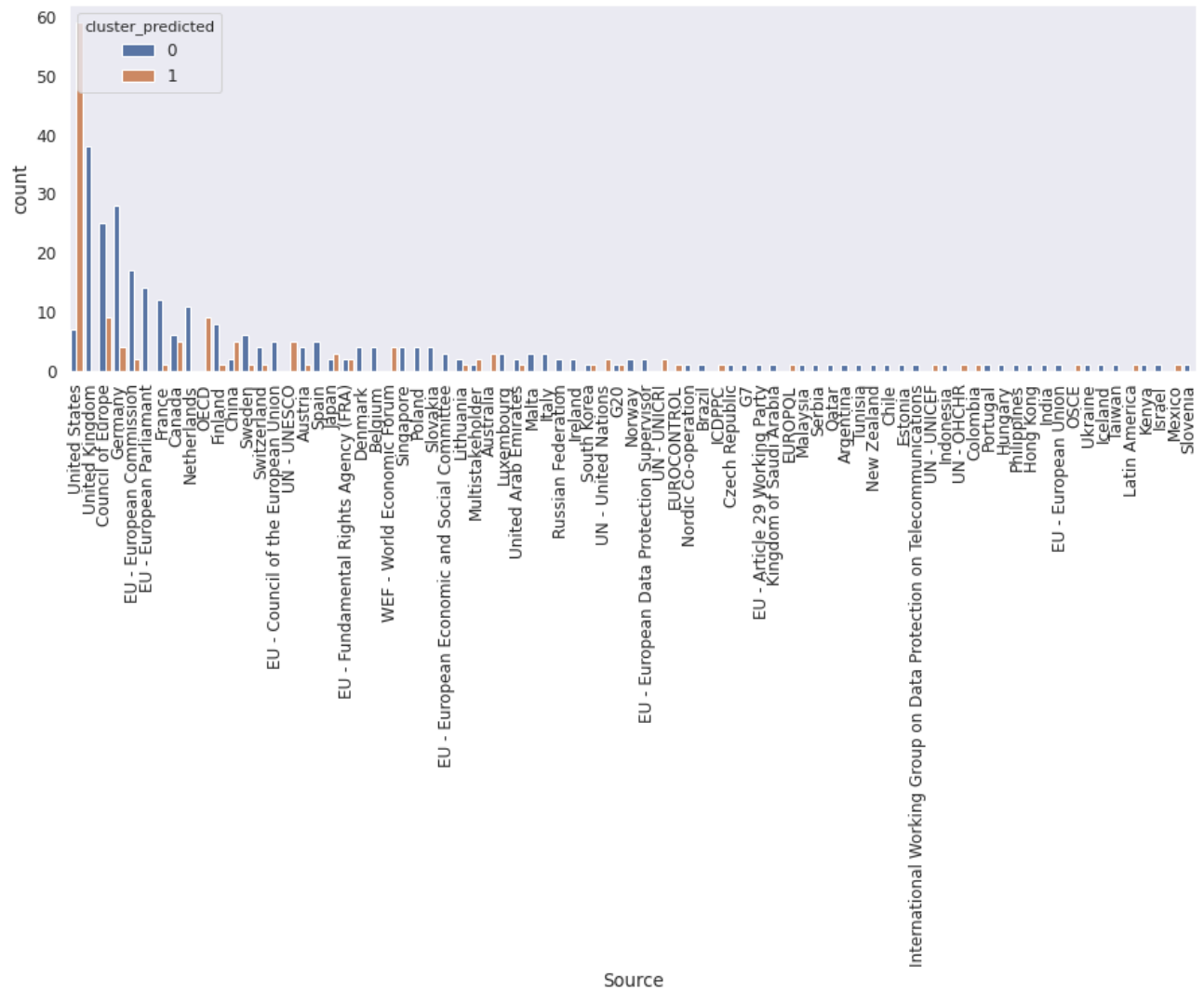
<Figure size 576x360 with 0 Axes>

```
[95]: plt.subplots(figsize = (15,5))
      ax = sns.countplot(x=combinedDf['Origin'],order=combinedDf['Origin'].valu
      ax.set_xticklabels(ax.get_xticklabels(), rotation=90)
      plt.show()
      plt.savefig('cluster_origin.png')
```



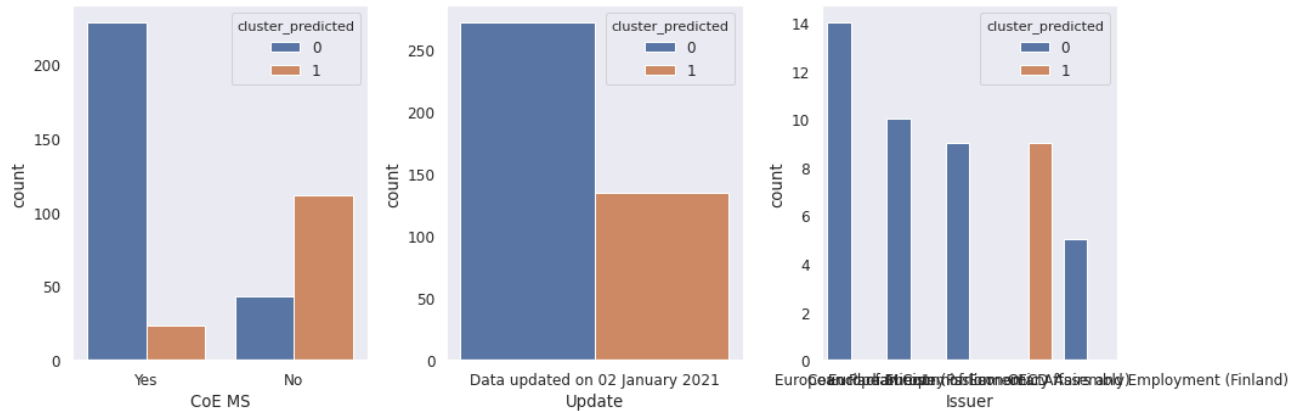
<Figure size 576x360 with 0 Axes>

```
[96]: plt.subplots(figsize = (15,5))
ax = sns.countplot(x=combinedDf['Source'],order=combinedDf['Source'].valu
ax.set_xticklabels(ax.get_xticklabels(), rotation=90)
plt.savefig('Cluster_source.png')
plt.show()
```

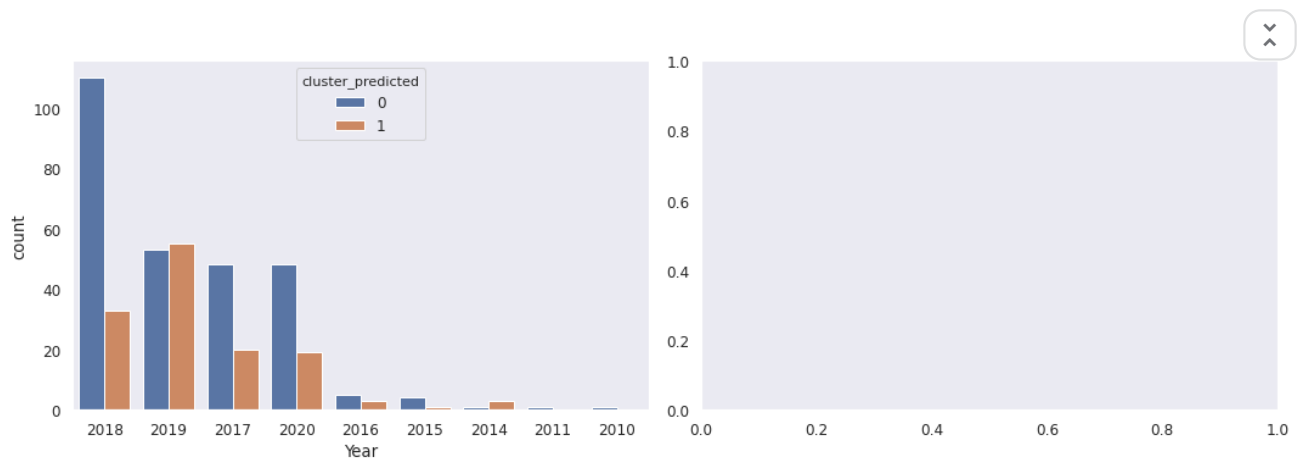



```
[90]: f, axs = plt.subplots(1,3,figsize = (15,5))
sns.countplot(x=combinedDf['CoE MS'],order=combinedDf['CoE MS'].value_cou
sns.countplot(x=combinedDf['Update'],order=combinedDf['Update'].value_cou
sns.countplot(x=combinedDf['Issuer'],order=combinedDf['Issuer'].value_cou

plt.tight_layout()
plt.show()
```



```
▶ f, axs = plt.subplots(1,2,figsize = (15,5))
sns.countplot(x=combinedDf['Year'],order=combinedDf['Year'].value_counts(
plt.tight_layout()
plt.show()
```



+ Code

+ Markdown

