# **Backtracking**

# **Problem Modelling**

At present, problems are modelled after an abstract Problem class. This class contains the variables of the problem in the form of an int array with a size one greater than the total number of variables in the problem (variable 0 is assumed a fake variable (if the solver ends up checking this variable then no consistent solution was found).

# **Backtracking Solver**

The BacktrackSolver class inherits the basic search procedure from Solver - an abstract class which also implements the SolverMethods label, unlabel, check and solution - since most binary constraint satisfaction solvers derive from a similar search procedure with differences in their labelling and unlabelling methods.

### Search Method

The search algorithm for the solvers involved stem from the following algorithm as discussed in [1]: n

#### Algorithm 1: Search

```
1 \ search(n, status)
2 begin
3
      consistent := true
      status := UNKNOWN
5
      while status is UNKNOWN do
6
         if consistent then
 7
            i := label(i, consistent)
         else
 8
            i := unlabel(i, consistent)
 9
      if i > n then
10
         status := SOLUTION
11
      else if i == 0 then
12
         status := IMPOSSIBLE
13
```

represents an integer value corresponding to the number of variables in the Problem instance. consistent represents the current state of the solution: i.e., whether or not it meets all of the current required constraints with its current variables's values. status too is associated with a Problem instance: if the current search has found a solution, know's if a solution is not possible or if either has yet to be determined. i is the index for the current variable being checked: as variables contains a dummy value at index 0 and contains n+1 elements, the search starts with index at 1. If the search loop ever reaches this

dummy variable then there are no more possibilities to search and therefore, the search must terminate. On the other hand, if the search is consistent on the choice of a final variable then a solution is found.

#### Label

The label method listed prior has its algorithm given below. Here, square brackets are used to subscript indices such that, for example, variable[i] is the ith variable present. In this example, we assume that consistent is a global value, possibly tied to a Problem object the Solver interacts with. We initially assume that the problem is not yet consistent. Then, while we do not have a consistent solution, each variable value remaining to be checked in the current variable's domain is investigated. The value picked from this domain is checked against all other prior variable values set in the search run and if it is inconsistent with any such variables as per the given constraint, it is excluded from the current variable's domain. At the end of this search, if the current variable's value is consistent with all prior variables, we advance to the next variable - otherwise, we stay on the current variable for another iteration of search() to "unlabel" it.

#### Algorithm 2: Backtrack Label

```
1 \ label(i)
2 begin
      consistent := false
3
      foreach variable in current-domain[i] while not consistent do
4
          consistent := true
5
6
          for h := 1 to i - 1 and consistent do
             consistent := check(i, h)
7
             if not consistent then
8
               remove variable[i] from current-domain[i]
          if consistent then
10
11
             return i + 1
          else
12
             return i
```

### Unlabel

Where i is the current variable's index, h is the previous variable's index and the square brackets denote subscripting such that, for example, current-domain[h] specifies the current consistent domain set for the previous variable. The symbol := is used in this context to mean "assigned the value of". We first begin with clearing and resetting the current variable's domain: no suitable variable value was found so we reset the domain to the original no consistent value was found given a previous variable's so we must assume any value may be correct if we decide to search this variable again for a given history. We then backtrack one variable to h and remove its current value from its domain, given that it just failed to find a value for the variable after it (an assumption in backtracking search). Our problem is then consistent providing that variable h still has values in its domain to choose from. We then finally backtrack by returning h as the current variable index.

The check(i,j) method mentioned in 2 relates to checking the constraint relationship bewtween variable i and variable j: if there are no constraints between them or the constraint between them holds for their current values, then check returns true. Otherwise, check returns false.

## Algorithm 3: Backtrack Unlabel

### Trailing Method

# Copying Method

## **Code Listings**

### The Problem of NQueens

```
package uk.ac.gla.confound.problem;
3
   import uk.ac.gla.confound.constraint.AlwaysTrueConstraint;
   import uk.ac.gla.confound.constraint.Constraint;
   import uk.ac.gla.confound.constraint.IndexPair;
   import java.util.*;
   public abstract class Problem {
10
       public boolean consistent;
11
       public int numVariables;
12
13
       14
15
16
       public ArrayList<Integer >[] current;
17
18
19
       public Constraint[][] constraints; // Constraint for each variable pair
20
21
22
       public List<int[]> solutions;
23
24
       public Problem(int numVariables) {
25
           // Initialise the domain
26
           domain = new Domain(numVariables);
27
28
29
30
           current = new ArrayList[numVariables+1];
           current[0] = new ArrayList<Integer >();
           for (int i = 1; i < numVariables+1; i++)
31
               current[i] = domain.copy();
32
33
           this.numVariables = numVariables;
           variables = new Variable [this.numVariables + 1];
34
35
36
           37
               variables [i] = new Variable (domain);
38
39
40
           // Initialise a constraint mapping such that the pair of indices of the concerned
               variables\ map\ to\ the
           // binary constraint they both share
41
42
           constraints = new Constraint [numVariables] [numVariables];
43
44
           solutions = new ArrayList <>();
45
       }
```

```
47
48
         public void print(int x)
49
              StringBuilder s = new StringBuilder();
50
51
              for (int i = 0; i < numVariables; i++) {
52
                  for (int j = 0; j < numVariables; j++) {
53
                       if (j=solutions.get(x)[i])
54
                            s.append("*");
55
                       else
56
                           s.append(" ");
57
                  \dot{s}. append ("\n");
58
59
60
                  (int i = 0; i < numVariables; i++)
61
                  s.append("==");
62
              s.append("\n");
63
             System.out.print(s.toString());
64
65
66
         public boolean check(int i, int j)
67
             //System.out.println("v["+i+"] v["+j+"] \ t"+this.variables[i].value+" "+this.variables[i].value+" \ t"+this.constraints[i-1][j-1].check());
68
69
             return constraints [i-1][j-1]. check ();
70
71
72
         public void printAll()
73
74
              for (int i = 0; i < solutions.size(); i++)
75
                  print(i);
76
         }
77
```

```
package uk.ac.gla.confound;
 3
    import uk.ac.gla.confound.constraint.AlwaysTrueConstraint;
 4
    import uk.ac.gla.confound.constraint.Constraint;
 5
    import uk.ac.gla.confound.problem.Problem;
    import uk.ac.gla.confound.solver.*;
 8
 9
     public class NQueens extends Problem {
10
11
          public NQueens(int numVars) {
               super(numVars);
12
13
               \label{eq:formula} \textbf{for (int } i = 0; \ i < \textbf{this}.numVariables; \ i++) \ \{
14
                    for (int j = 0; j < this.numVariables; j++) { if (i != j) {
15
16
                               constraints [i][j] = new \ Queen Constraint(this, i, j);
17
18
                            else {
                               constraints[i][j] = new AlwaysTrueConstraint();
19
20
21
                    }
22
               }
23
24
          }
25
26
27
          \mathbf{public} \ \mathbf{static} \ \mathbf{void} \ \mathrm{main} (\, \mathrm{String} \, [\,] \ \mathrm{args} \,) \ \{
28
               if (args.length != 2) {
    System.out.println("USAGE: NQueens [Solver] [Num Queens] ");
    System.out.println("BacktrackSolver, ForwardCheckSolver, BackjumpSolver,
29
30
31
                          ConflictBackjumpSolver, DynamicBacktrackSolver");
32
               } else {
33
                    Problem nQueens = new NQueens(Integer.parseInt(args[1]));
                    {\tt Solver}\ s\,;
34
35
                    switch (args[0])
                          case "Forward Check Solver":
36
37
                              s = new ForwardCheckSolver(nQueens);
38
                              break;
39
                          case "BackjumpSolver":
40
                               s = new BackjumpSolver(nQueens);
```

```
41
                           break;
                       case "ConflictBackjumpSolver":
42
                            s = new ConflictBackjumpSolver(nQueens);
43
44
                            \mathbf{break}\:;
                       /* case "DynamicBacktrackSolver":
45
46
                            s = new \ DynamicBacktrackSolver();
47
                            break;
48
49
                       case "BacktrackSolver":
50
                            // FALL-THROUGH
51
52
                       default:
53
                           s = new BacktrackSolver(nQueens);
54
55
56
                  s.solve();
57
                  s.report (nQueens);
58
             }
59
         }
60
61
         public static class QueenConstraint extends Constraint {
62
             int ix0;
63
              int ix1;
64
65
              public QueenConstraint(Problem p, int i, int j) {
66
                  \mathbf{super}\left(\,p\,\,,\ i\,\,,\ j\,\,\right)\,;
67
                  i \times 0 = i;
68
                  ix1 = j;
69
              }
70
71
              @Override
72
73
              public boolean check() {
                  return ! var0.equals(var1)
74
75
                           && Math.abs(var0.value - var1.value) != Math.abs(ix0 - ix1);
76
77
```

#### Solver

```
package uk.ac.gla.confound.solver;
 3
     import uk.ac.gla.confound.problem.Problem;
 4
 5
 6
      * Abstract base class Solver defines common variables and methods between all extending
            solvers such as
      *\ \textit{Problem p, the problem class being solved}
 7
      * Status status, the current status of the search undertaken by the solver * int numIterations, the number of search iterations undertaken * int numSolutions, the number of consistent solutions found * ArrayList previousValues, a list of the previous values found
 8
 9
10
11
12
     public abstract class Solver implements SolverMethods {
13
14
          public static String NAME = "Base Solver";
15
          public Problem p;
16
          public Status status;
17
          int numIterations;
18
19
          int numSolutions;
20
          int backtracks;
21
          double duration;
^{22}
           public Solver(Problem p) {
^{23}
24
                numIterations = 0;
25
                numSolutions = 0;
^{26}
                backtracks = 0;
27
                \mathbf{this} \cdot p = p;
28
29
30
          public void solve()
```

```
32
               duration = System.currentTimeMillis();
33
               status = Status.UNKNOWN;
34
               p.consistent = true;
35
36
               int i = 1:
37
38
               while (status == Status.UNKNOWN) {
39
40
                    \label{eq:formula} \textbf{for } (\textbf{int} \ \textbf{x} = 1; \ \textbf{x} < \textbf{p.numVariables} + 1; \ \textbf{x} + +)
41
                         if (x==i)
                              42
43
                    System.out.print(p.variables[x].value+", ");
System.out.println("\n"+p.consistent);
44
45
46
47
                    if (p.consistent) {
48
                         i = label(i);
49
                    } else {
50
                         i = unlabel(i);
51
                         ++backtracks;
52
53
                    \begin{array}{lll} \textbf{if} & (i>p.numVariables) \\ & ++numSolutions; & // \textit{Now we've found one iteration}, \textit{ we try to find another} \end{array}
54
55
                              until there is none
56
57
                         int[] solution = new int[p.variables.length-1];
                         for (int j = 1; j < p.variables.length; j++) {
solution [j-1] = p.variables [j].value;
58
59
60
61
                         p. solutions . add (solution);
62
63
                         i = 1;
64
                         p.consistent = false;
65
                     else if (i == 0)
                         status = Status.IMPOSSIBLE;
66
67
68
69
                    ++numIterations:
70
71
               duration = System.currentTimeMillis() - duration;
72
73
74
          }
          public void report(Problem p)
75
76
77
78
               79
80
81
82
83
               for (int[] arr: p.solutions) {
                    System.out.print("[");
for (int x : arr) {
84
85
                         System.out.print(x + ", ");
86
87
                    \hat{S}ystem.out.println("\b\b]");
88
89
90
               System.out.println("====
91
92
93
          public String solution()
94
95
96
               StringBuilder s = new StringBuilder();
               s.append("Solution[");
if (this.status == Status.IMPOSSIBLE){
    s.append("]");
97
98
99
100
                    return s.toString();
101
102
               for (int i = 1; i < this.p.variables.length-1; <math>i++)
103
                    s.append(this.p.variables[i] + ", ");
               s.append(this.p.variables[this.p.variables.length-1]+"]");
104
105
               return s.toString();
```

```
1 package uk.ac.gla.confound.solver;

public interface SolverMethods {
   int label(int i);
   int unlabel(int i);
```

#### **Backtrack Solver**

6

```
package uk.ac.gla.confound.solver;
4
    import uk.ac.gla.confound.NQueens;
5
    import uk.ac.gla.confound.constraint.IndexPair;
    import uk.ac.gla.confound.problem.Problem;
    import uk.ac.gla.confound.problem.Variable;
    import java.util.Scanner;
10
    public class BacktrackSolver extends Solver {
11
12
         public BacktrackSolver(Problem p) {
13
14
             super(p);
NAME = "BacktrackSolver";
15
         }
16
17
18
          * Label takes in a variable index and searches through that variable's current domain
19
             until a value consistent with all preceding variables and their constraints is found or all possibilities are
20
               exhausted.
21
          st @param i The index of the variable to check
          * @return The succeeding variable's index if a solution is found, otherwise the current
22
               variable index
23
         public int label(int i)
24
25
26
              p.consistent = false;
              // Check each value variable[i] *could* be until we have a consistent value or we exhaust all current possibilities
27
              for (int j = 0; j < p.current[i].size() && !p.consistent; j++) {
   p.variables[i].value = p.current[i].get(j);</pre>
28
29
30
31
32
                   p.consistent = true;
33
                   // Run through all previously chosen variables and check if they are all
                        consistent \ with \ the \ current \ candidate
34
                   35
                   for (int h = 1; h < i && p.consistent; h++) {
                        \begin{tabular}{ll} // & \textit{Remove value from candidates on constraint failure} \\ \textbf{if } (!(p.consistent = p.check(i, h))) \end{tabular}
36
37
38
                            p.current[i-1].remove(Integer.valueOf(p.variables[i-1].value));
39
40
41
              }
42
43
              if (p.consistent)
44
                  return i + 1;
45
46
                  return i;
47
         }
49
50
          * Unlabel is called when the current solution thus far is inconsistent by the
               introduction of variable i's value.
```

```
51
           The current domain of variable i is reset to the original, full domain and the current
              domain \ of \ the \ preceding
           variable has the value of the preceding variable removed from it. If this causes the
52
              current domain of the
           preceding variable to become empty then the overall solution is still inconsistent.
53
           @param i The index of the current variable to check
54
55
           @return The index of the preceding variable
56
57
        public int unlabel(int i)
58
59
            int h = i - 1;
            // Rather than store any domain set for the fake variable, we assign the domain as a
60
                 null pointer and just
                check for when we try to unlabel the first possible variable
61
62
            p.current[i] = p.domain.copy();
63
            p. current [h]. remove(Integer. valueOf(p. variables[h]. value));
64
            p.consistent = !p.current[h].isEmpty();
65
66
            return h:
67
68
69
70
        public static void main(String... args) {
71
            int n = 0:
72
73
            if (args.length > 1 && args[1].startsWith("-n=")) {
74
                n = new Scanner (args [1]) . nextInt();
75
              else {
76
                 System.out.println("Usage: Solver.java -n=[NUM]");
77
78
79
80
            Problem nQueens = new NQueens(n);
81
            Solver solver = new BacktrackSolver(nQueens);
82
            solver.solve():
            solver.report(nQueens);
83
84
85
86
```

# Forward-Check Solver

The Forward-Check Solver is an extension to the chronologically backtracking solver discussion in the previous section. In fact, an attempt has been made to generalise the search method body such that the differences between the search algorithms studied are made more prominent. This is intended to suggest precisely where performance improvements and hits come from.

As with Backtrack Solver, the Forward-Check Solver advances on and retreats from instantiating variables through label and unlabel methods. The Forward-Checking Solver, being a modification of the Backtrack Solver, has very similar label and unlabel methods; however, additions have been added to attempt to backtrack less.

When the solver instantiates a value it then "looks forwards" at each variable not yet visited. Looking forward involves modifying the current domain of the future variable such that all values which conflict with the current variable's value are removed. The changes made are recorded in an array of stacks. Each element corresponds to each variable in the problem and stores the indices of the future variable's whose domain was modified by a forward check in order of last modified. This is to ensure that when a forward check clears a variable's entire domain, the changes to that domain can be undone and the current variable re-instantiated with a different value. The elements removed by a forward check are stored in an array of stacks, each corresponding to the variable which had its values

## Algorithm 4: Checking forward

```
 \begin{array}{c|cccc} \mathbf{1} & checkForward(i,j) \\ \mathbf{2} & \mathbf{begin} \\ \mathbf{3} & & \mathbf{h} := \mathbf{i} - 1 \\ \mathbf{4} & & \text{current-domain[i] reset to original domain[i]} \\ \mathbf{5} & & \text{remove variable[h] from current-domain[h]} \\ \mathbf{6} & & \text{consistent} := \text{false if current-domain[h] is empty otherwise true} \\ \mathbf{7} & & & \text{return h} \\ \end{array}
```

## Algorithm 5: Undoing Reductions

removed. A forward check involves a call of checkForward, which returns the inverse of whether or not it has cleared the variable's domain. Updating the current domain to remove domain values that are not permitted is done via updatedCurrentDomain. The changes made to a variable's domain can be undone via undoReductions.

# References

[1] P. Prosser. Hybrid algorithms for the constraint satisfaction problem. *Computational Intelligence*, 1993.

### Algorithm 6: Updating the current domain using reductions

- 1 updatedCurrentDomain(i)
- 2 begin