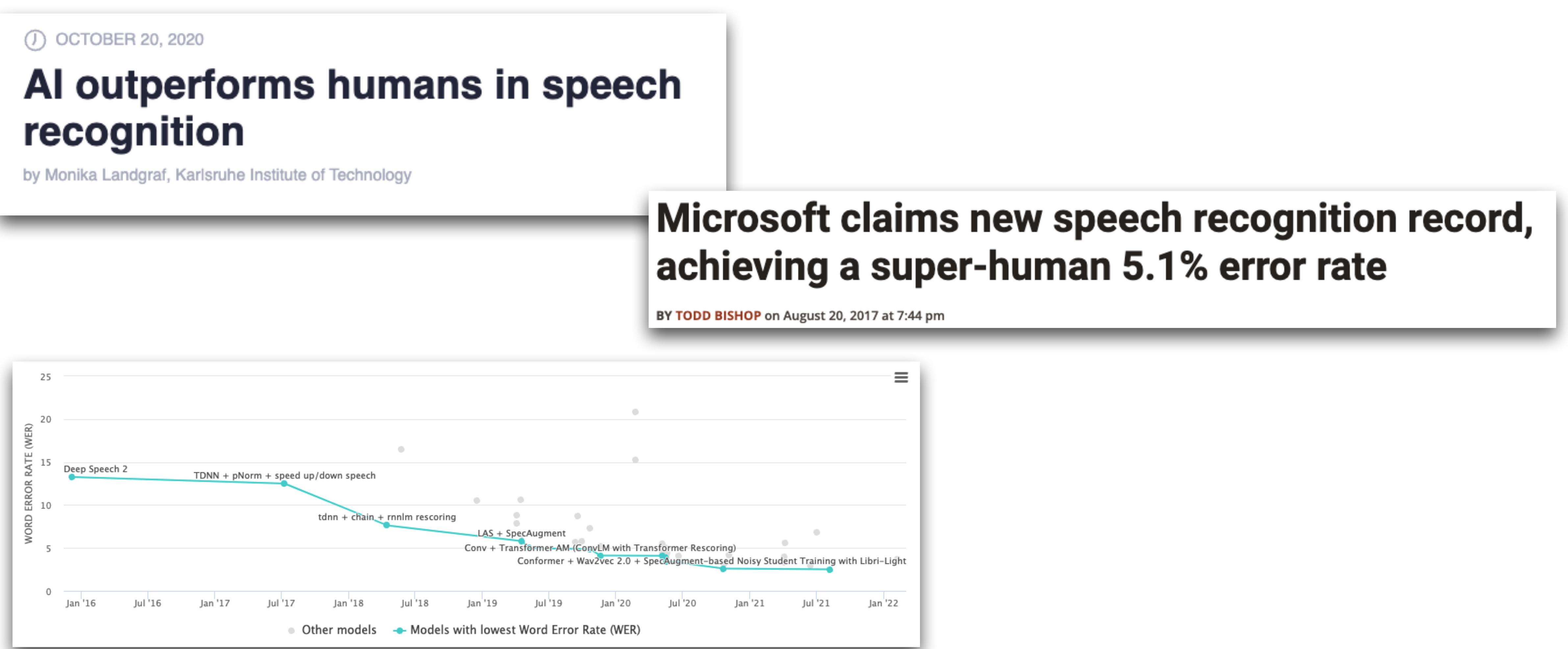


# **Listening to Multi-talker Conversations**

## **Modular and End-to-end Perspectives**

**Desh Raj**  
**August 18, 2023**

# Motivation



# Motivation



Single-user applications



Smart Assistants



Language Learning



Customer Service



Voice-based Search



Multi-user applications



Meeting summaries



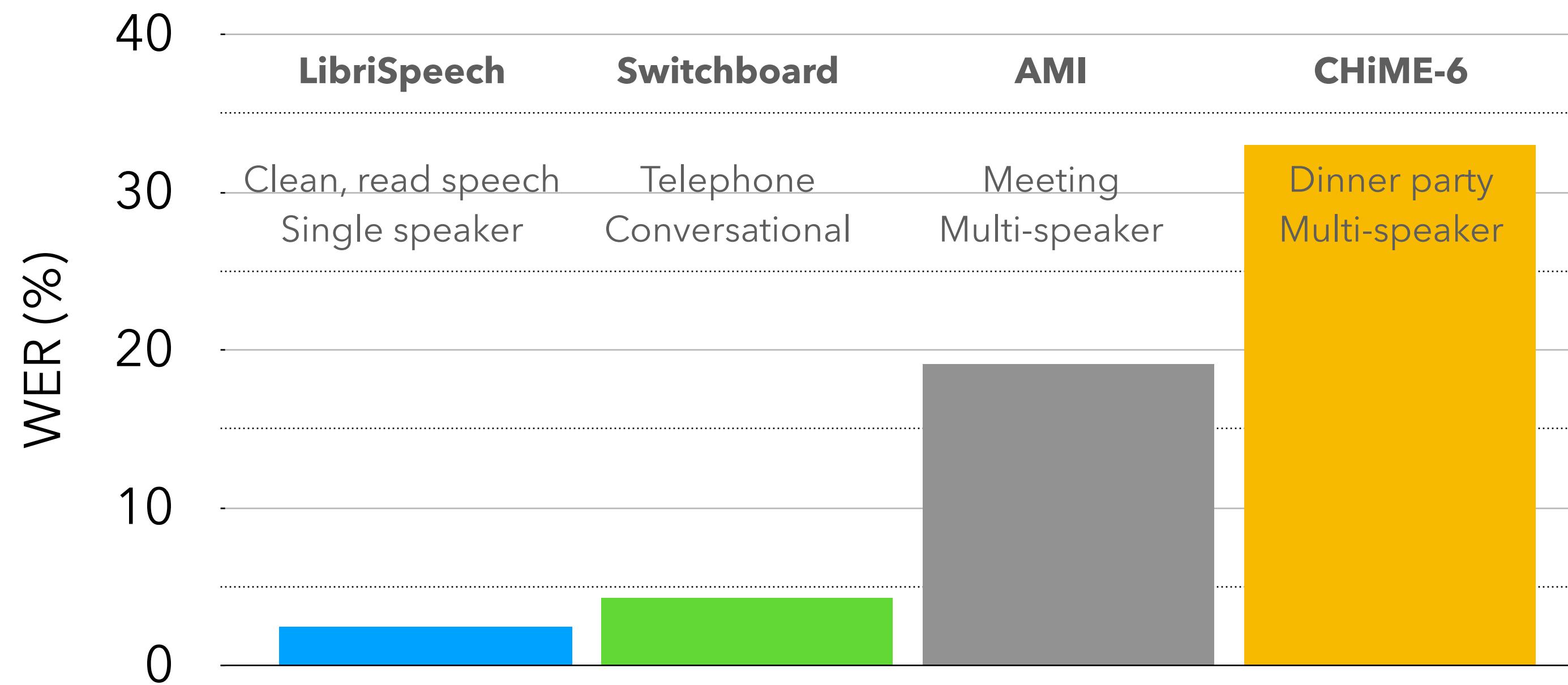
Collaborative Learning



Cocktail-party Problem

# Motivation

Common ASR benchmarks



## What changed?

- Conversational speech
- Far-field audio: noise and reverberation
- Overlapping speakers

# Problem Statement

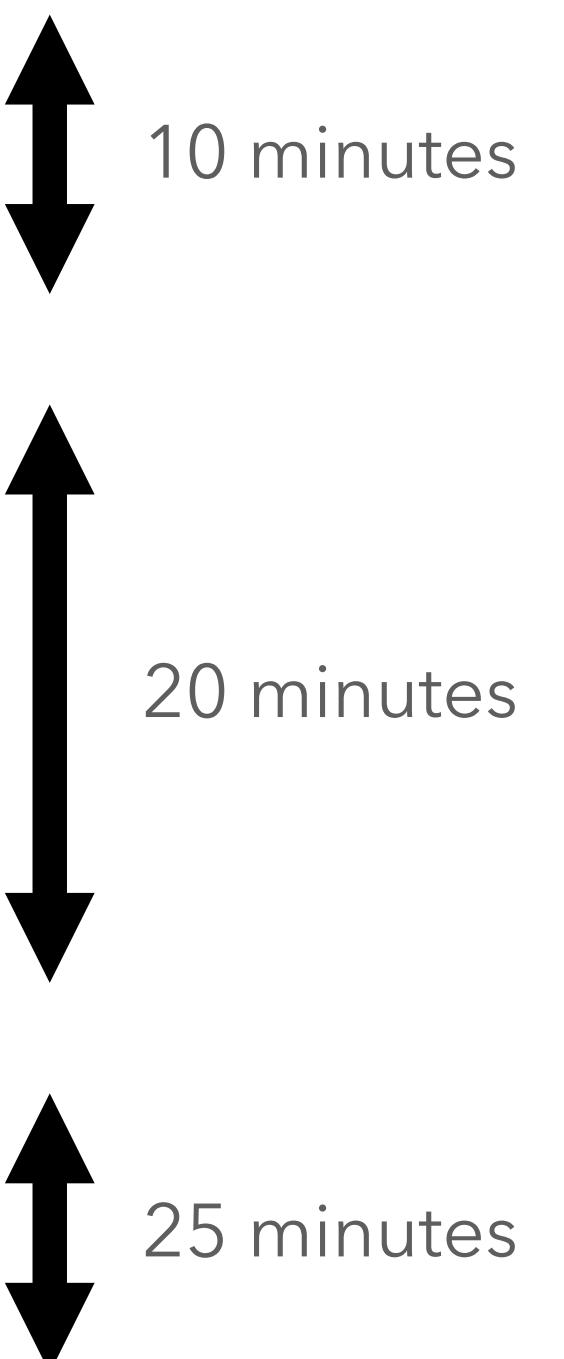
## Multi-talker speaker-attributed ASR

- **Input:** long unsegmented (possibly multi-channel) recording containing multiple speakers.
- **Output:**
  - Transcription of the recording (speech recognition)
  - Speaker attribution (diarization)
  - Additional constraints: streaming, i.e., real-time transcription
- We specifically look at “meetings”: LibriCSS, AMI, AliMeeting

# Today's talk

## “Modular” and “end-to-end” perspectives

1. Overlap-aware speaker diarization
2. Target-speaker methods
  - (i) Extraction using guided source separation
  - (ii) Recognition using neural transducers
3. Streaming Unmixing and Recognition Transducer (SURT)



# Overlap-aware Speaker Diarization

# Background

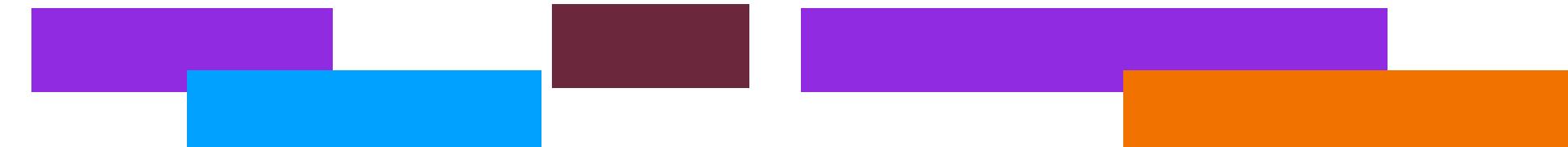
## What is speaker diarization?

Task of “who spoke when”

**Input:** *recording containing multiple speakers*

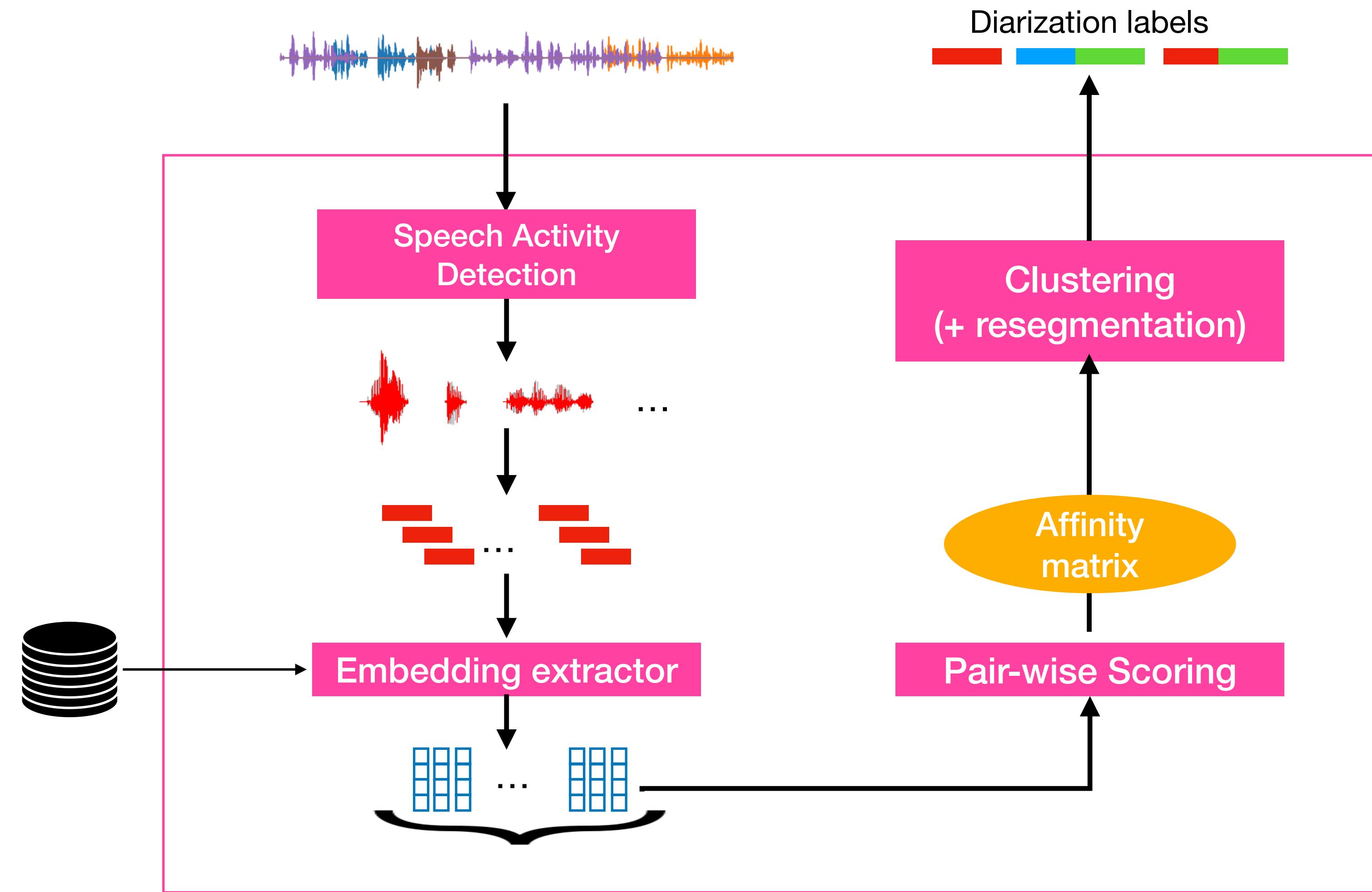


**Output:** *homogeneous speaker segments*



# Clustering-based diarization

## Overview of the process



# Clustering paradigm assumes single-speaker segments

So overlapping speakers are completely ignored!

"Roughly 8% of the absolute error in our systems was from overlapping speech ... it will likely require a complete rethinking of the diarization process ... This is an important direction, but could not be addressed ..." - JHU team (2018)

"Given the current performance of the systems, the overlapped speech gains more relevance ... more than 50% of the DER in our best systems ... has to be addressed in the future ..." - BUT team (2019)

# Overlap-aware diarization

## MULTI-CLASS SPECTRAL CLUSTERING WITH OVERLAPS FOR SPEAKER DIARIZATION

*Desh Raj<sup>1</sup>, Zili Huang<sup>1</sup>, Sanjeev Khudanpur<sup>1,2</sup>*

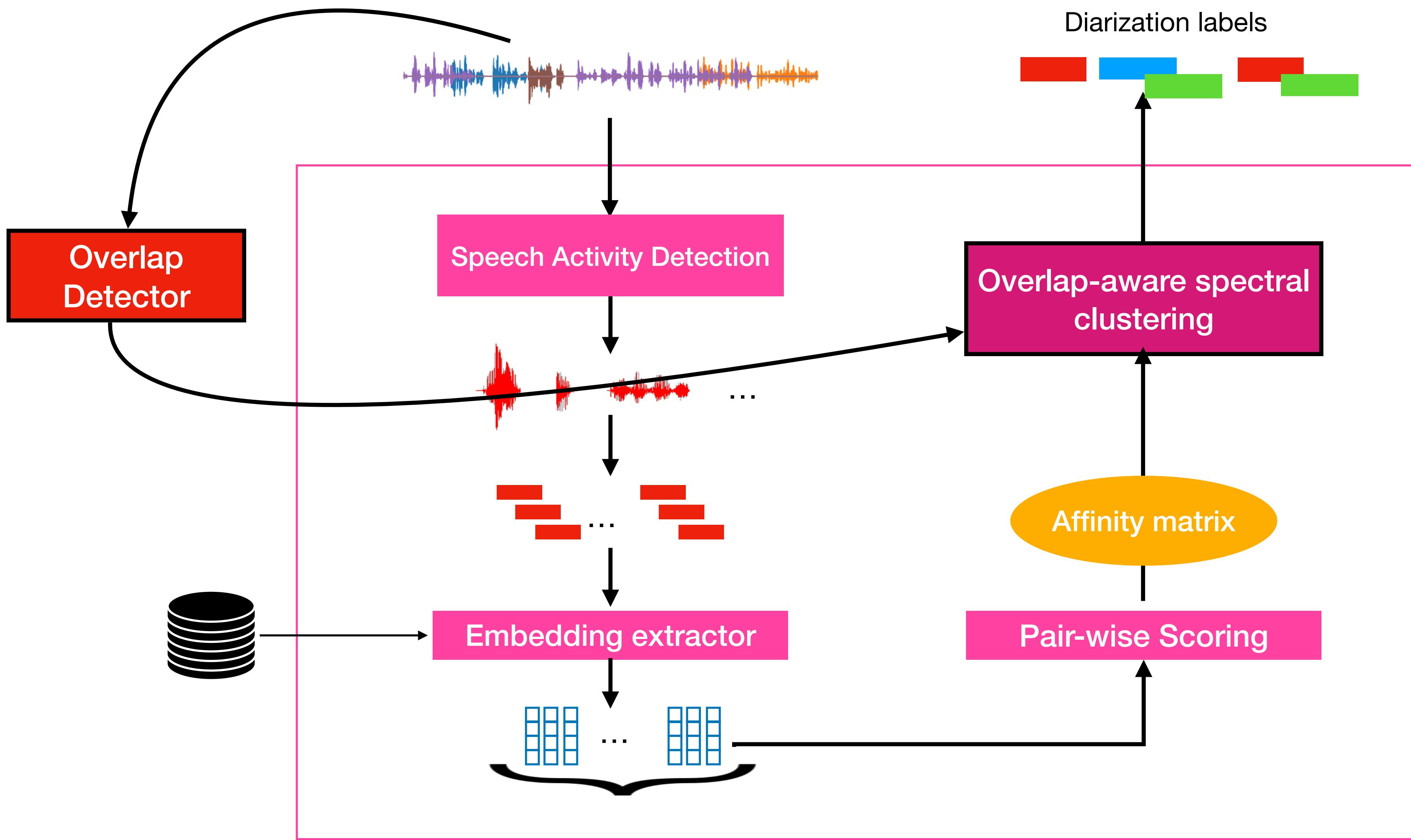
<sup>1</sup>Center for Language and Speech Processing & <sup>2</sup>Human Language Technology Center of Excellence  
The Johns Hopkins University, Baltimore, MD 21218, USA.

Published at



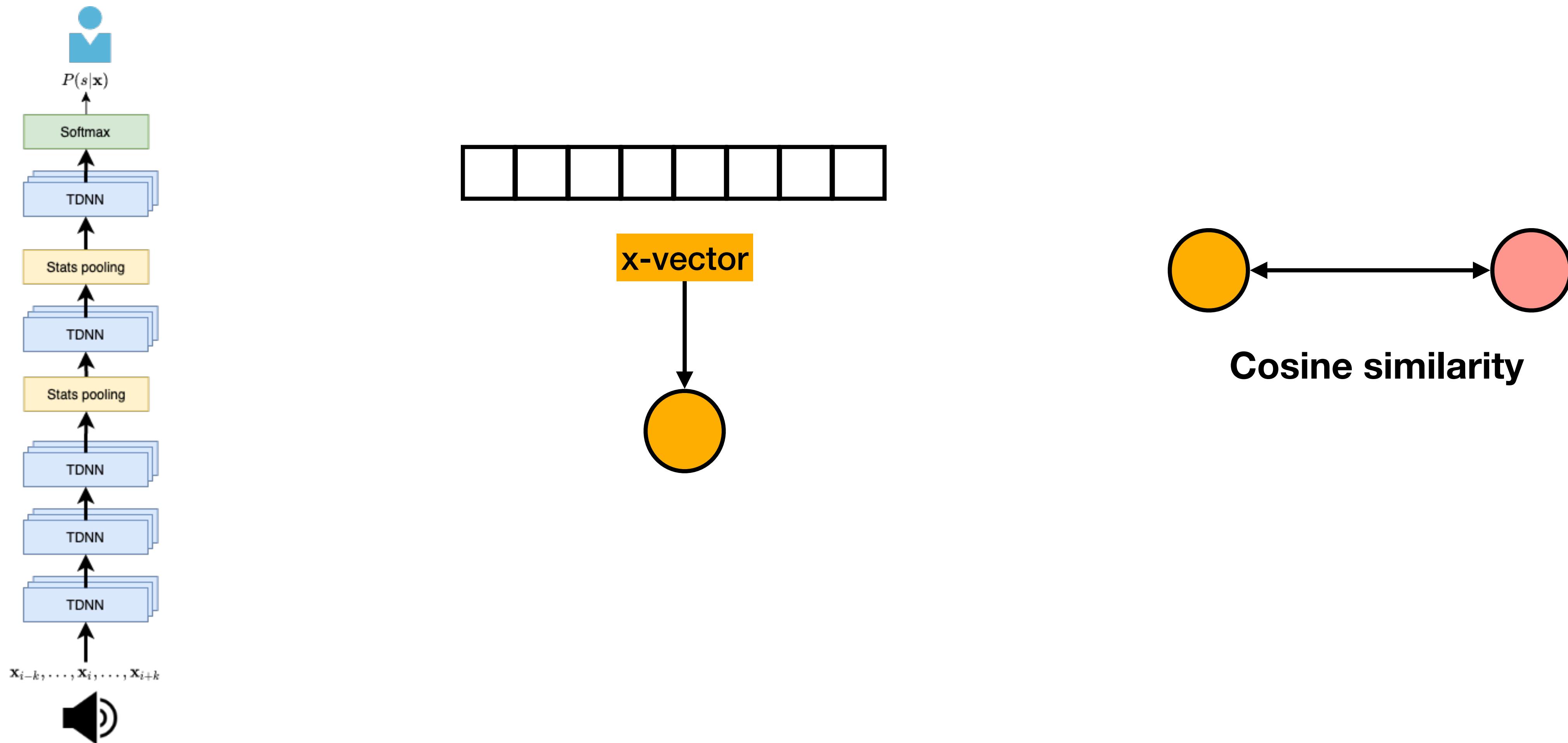
IEEE SLT 2021

# Overlap-aware spectral clustering



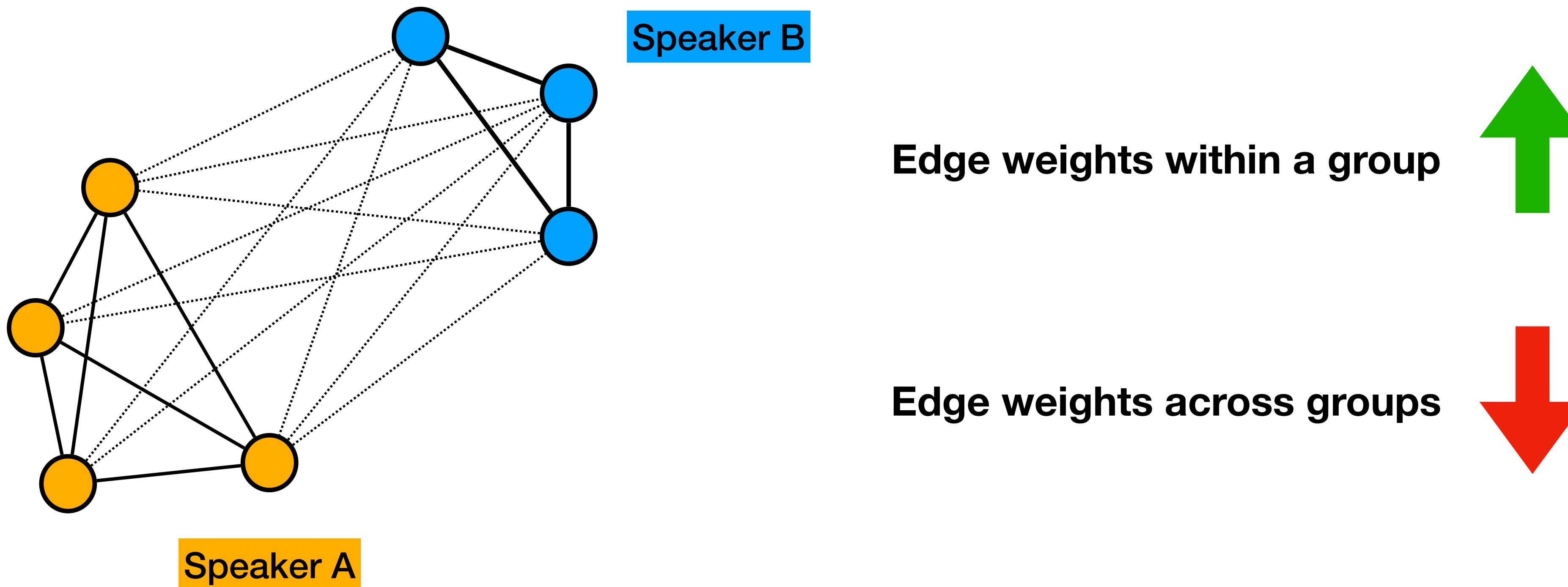
# New formulation for spectral clustering

## The basic clustering problem: a graph view



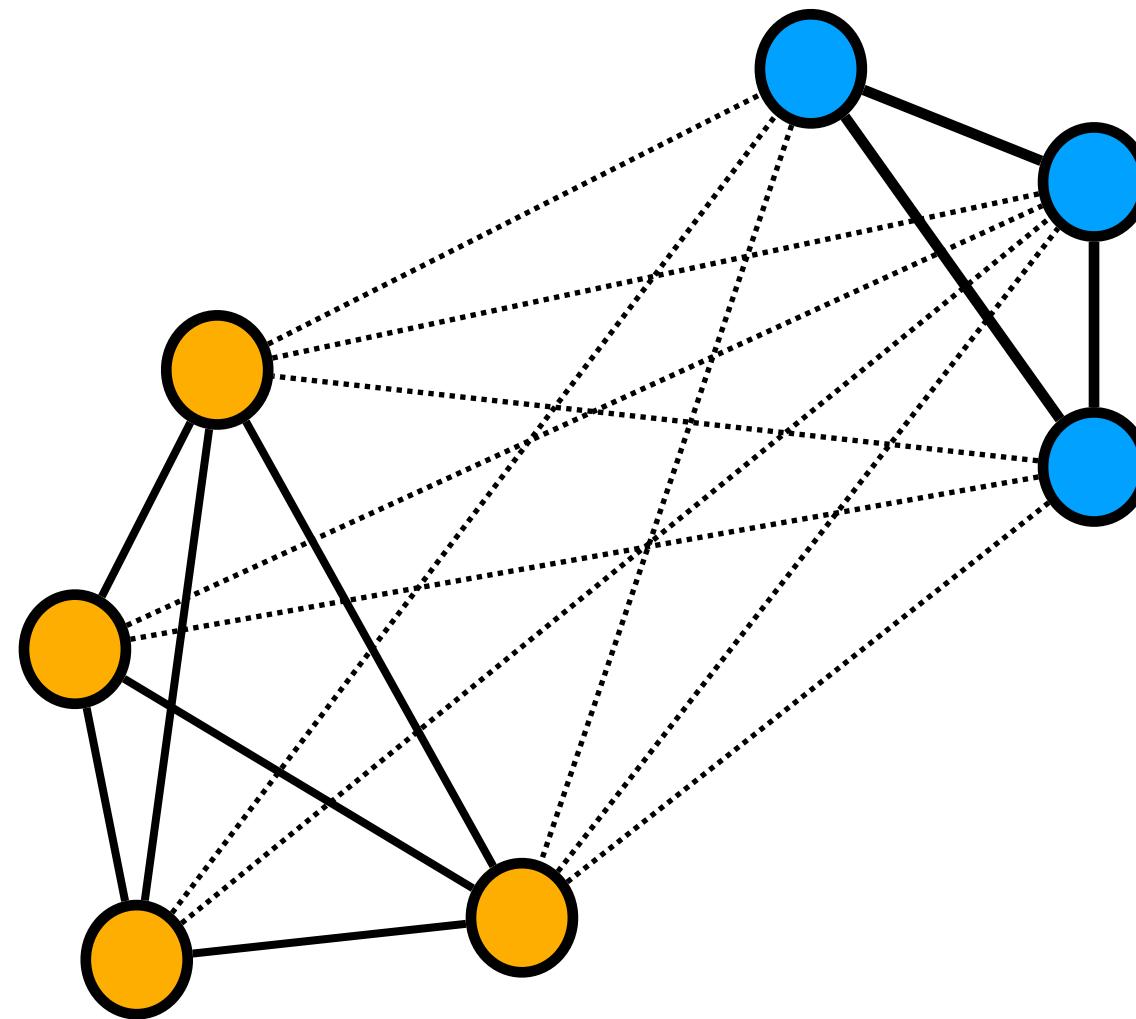
# New formulation for spectral clustering

The basic clustering problem: a graph view



# New formulation for spectral clustering

The basic clustering problem: a graph view



*maximize*

Edge weights within a group

maximize

$$\epsilon(X) = \frac{1}{K} \sum_{k=1}^K \frac{X_k^T \mathbf{A} X_k}{X_k^T \mathbf{D} X_k}$$

subject to

$$X \in \{0,1\}^{N \times K},$$

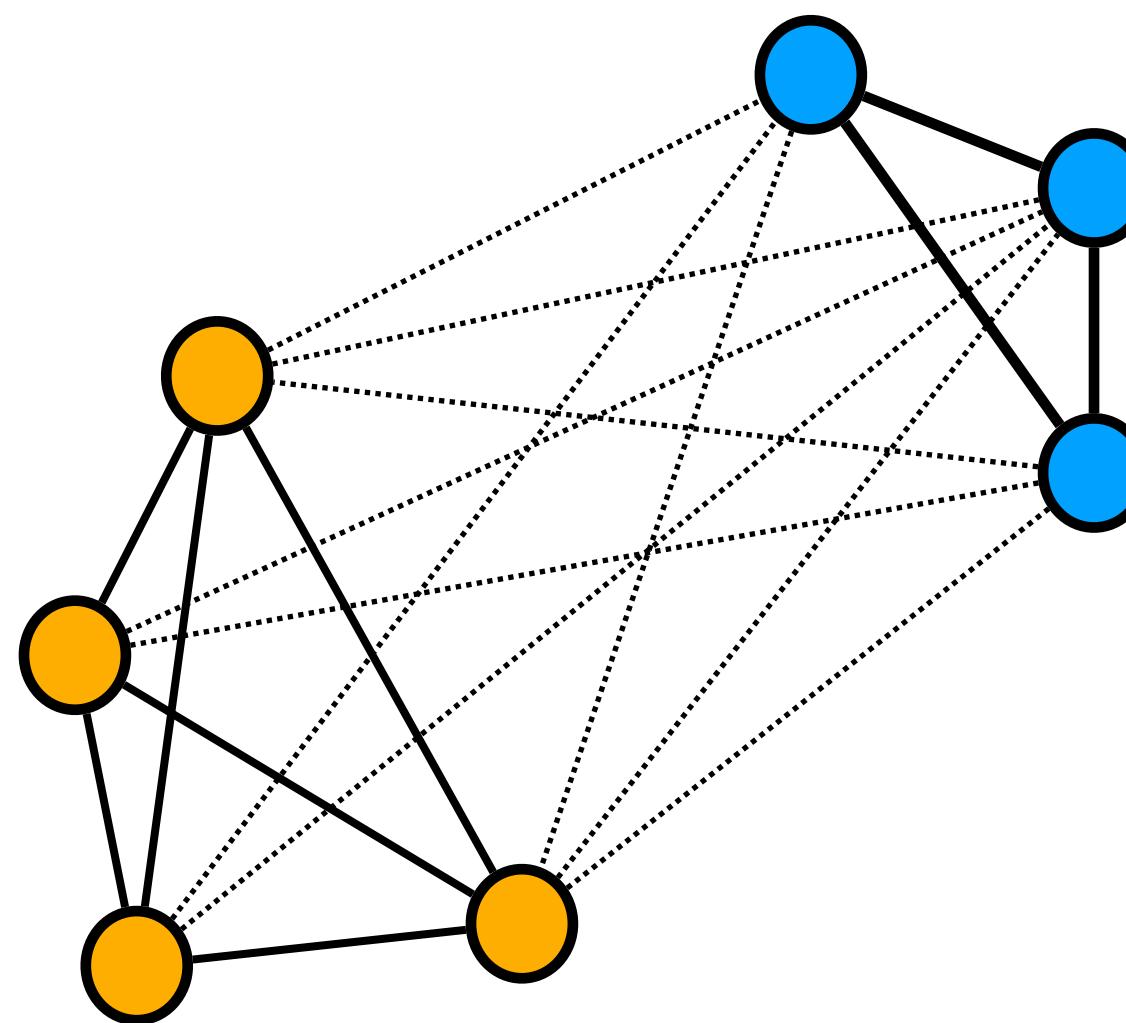
$$X\mathbf{1}_K = \mathbf{1}_N.$$

**K** speakers, **N** segments

Edge weights across groups

# New formulation for spectral clustering

The basic clustering problem: a graph view



maximize

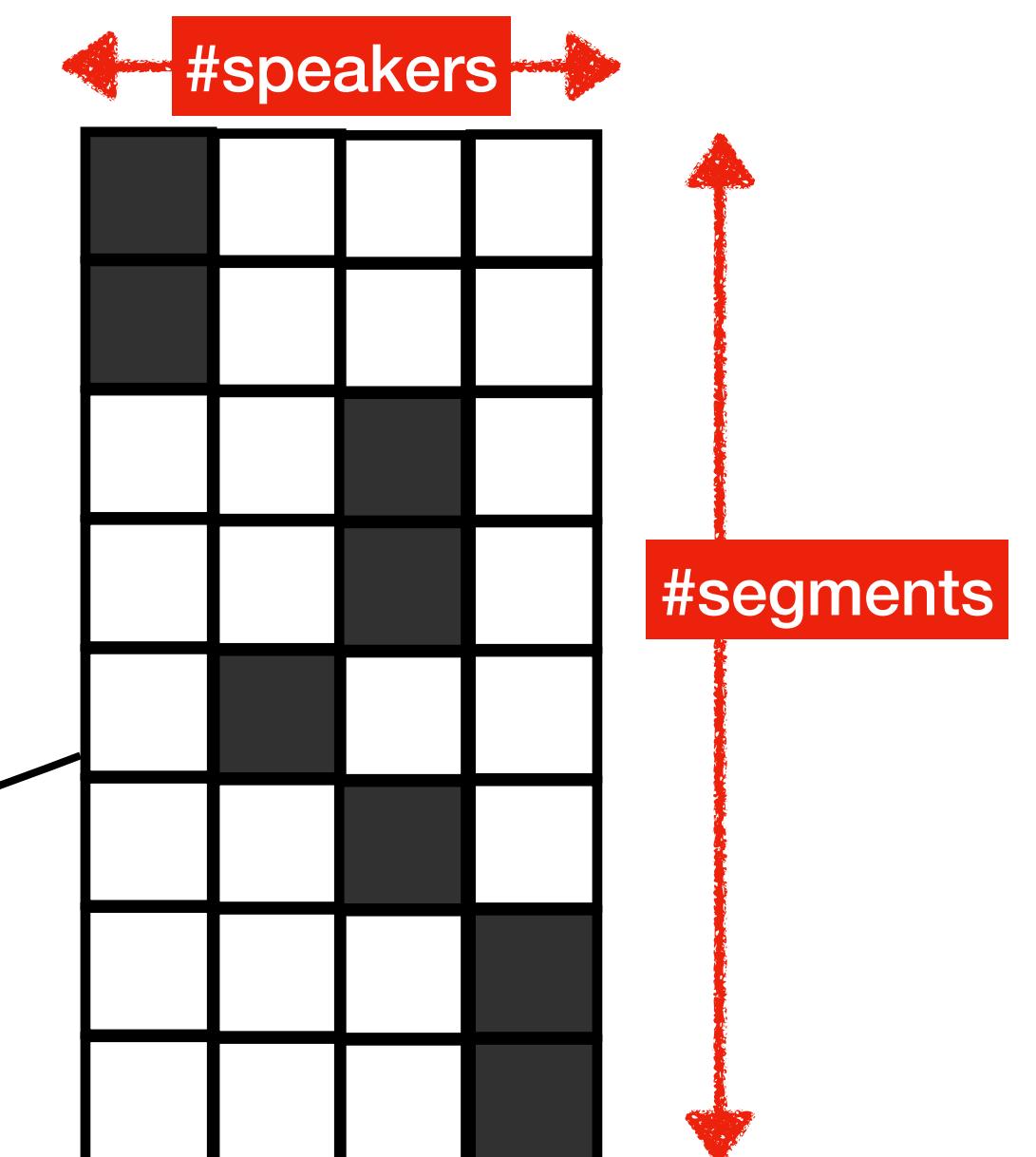
$$\epsilon(X) = \frac{1}{K} \sum_{k=1}^K \frac{X_k^T \mathbf{A} X_k}{X_k^T \mathbf{D} X_k}$$

subject to

$$X \in \{0,1\}^{N \times K},$$

$$X \mathbf{1}_K = \mathbf{1}_N.$$

Final cluster assignment matrix



# New formulation for spectral clustering

This problem is NP-hard!

$$\begin{aligned} \text{maximize} \quad & \epsilon(X) = \frac{1}{K} \sum_{k=1}^K \frac{X_k^T \mathbf{A} X_k}{X_k^T \mathbf{D} X_k} \\ \text{subject to} \quad & X \in \{0,1\}^{N \times K}, \\ & X \mathbf{1}_K \in \mathbb{R}^K. \end{aligned}$$

**Remove the discrete constraints** to make the problem solvable

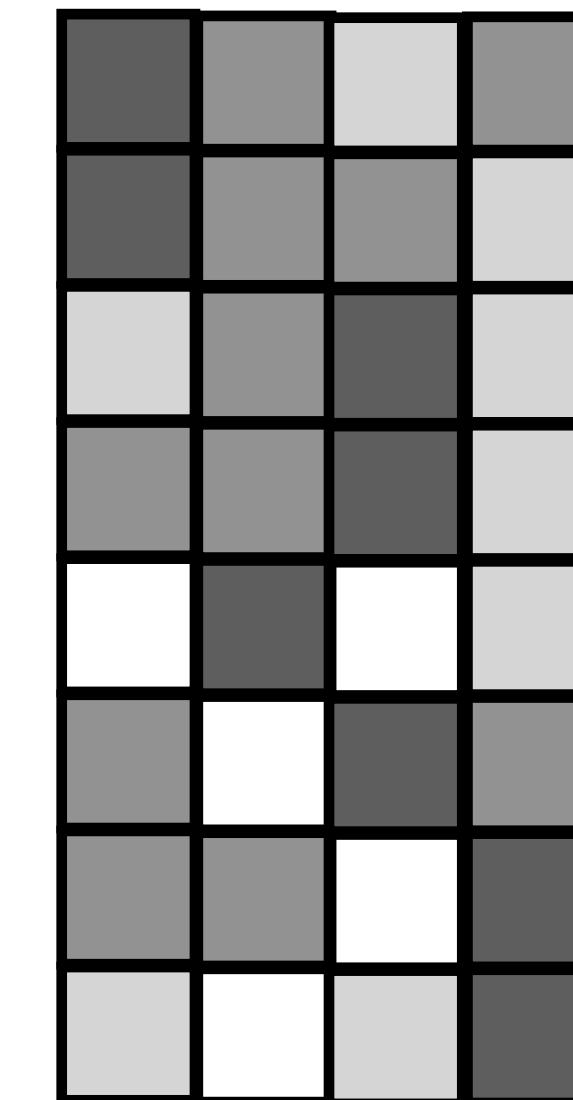
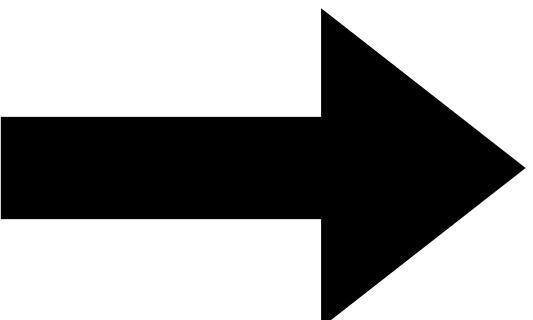
# New formulation for spectral clustering

Relaxed problem has a set of solutions

$$\text{maximize } \epsilon(X) = \frac{1}{K} \sum_{k=1}^K \frac{X_k^T \mathbf{A} X_k}{X_k^T \mathbf{D} X_k}$$

subject to

$$X \in \{-1, 1\}^{N \times K},$$
  
 ~~$X \mathbf{1}_K = \mathbf{1}_K$ .~~



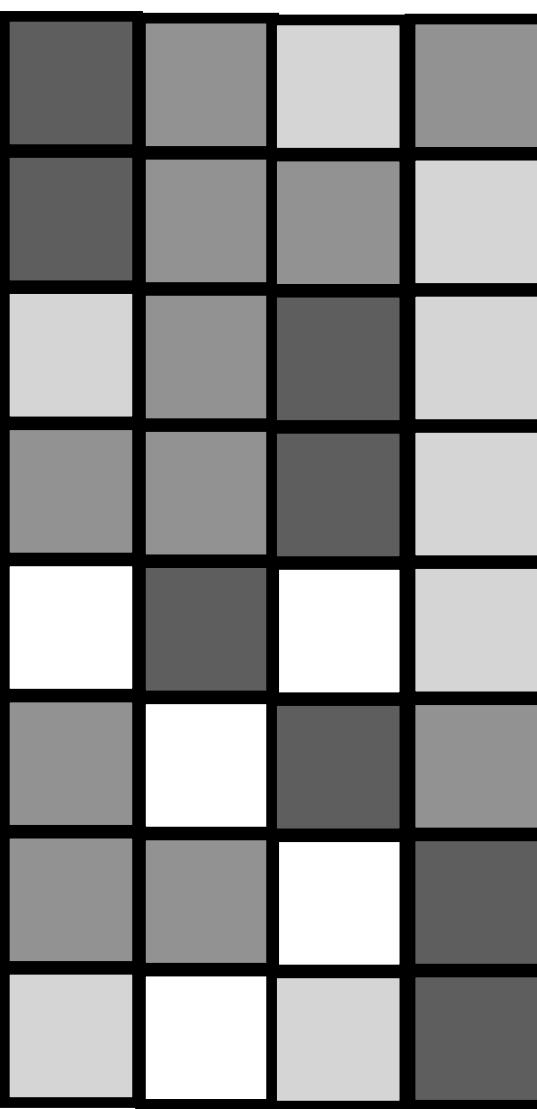
and its orthonormal  
transforms

Taking the Eigen-decomposition of  $\mathbf{D}^{-1}\mathbf{A}$

Set of solutions to the relaxed problem

# New formulation for spectral clustering

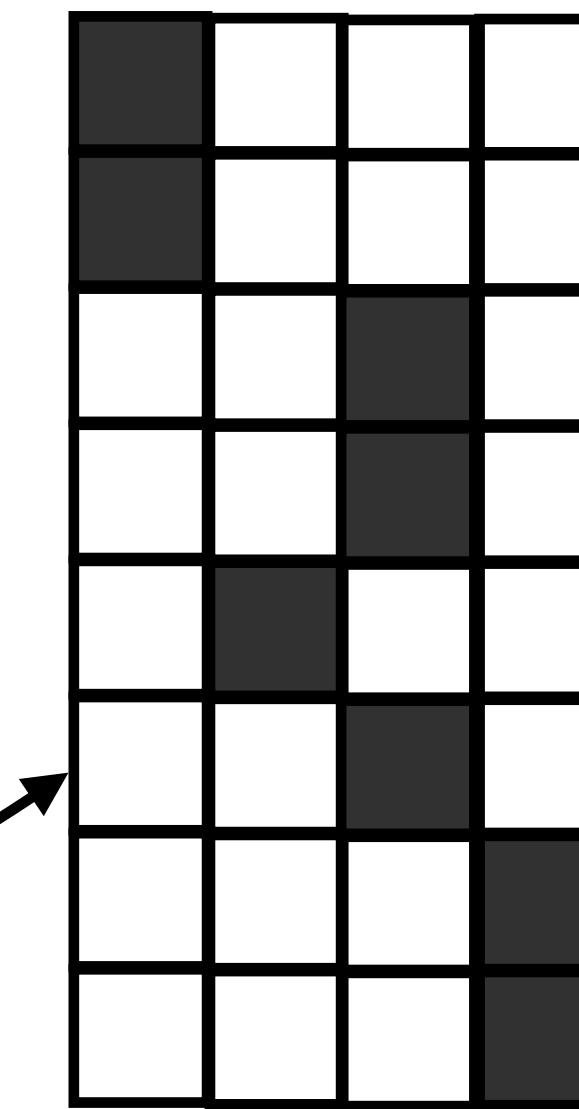
Now we need to **discretize** this solution!



and its orthonormal  
transforms

subject to

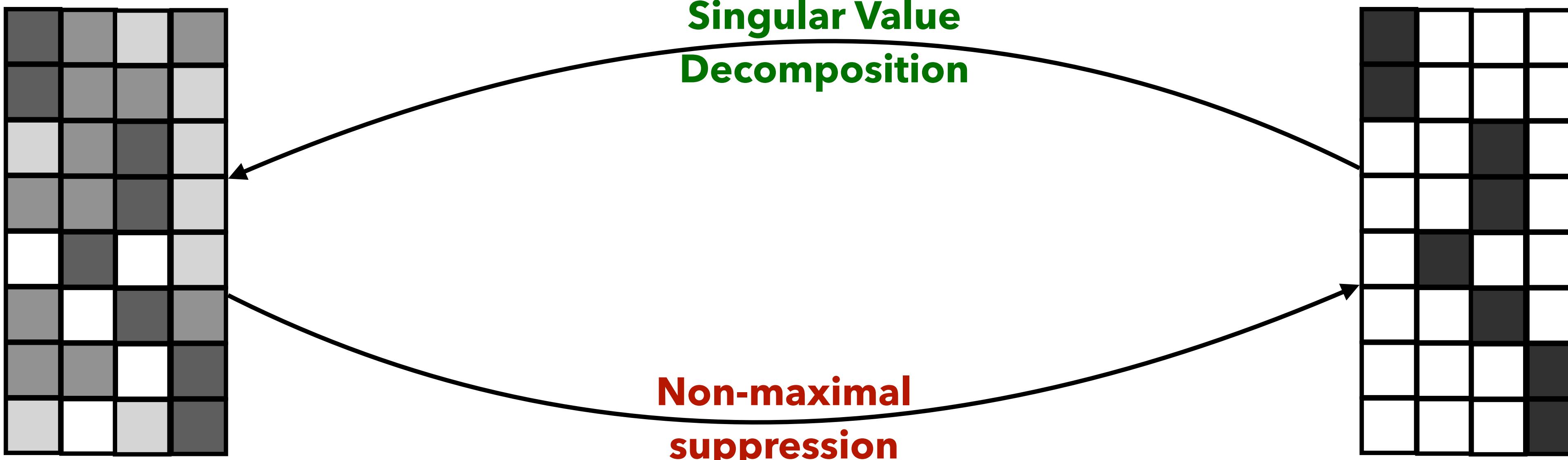
$$X \in \{0,1\}^{N \times K}, \\ X\mathbf{1}_K = \mathbf{1}_N.$$



Find a matrix which is **discrete** and also close  
to any one of the **orthonormal**  
**transformations** of the relaxed solution

# New formulation for spectral clustering

Now we need to **discretize** this solution!



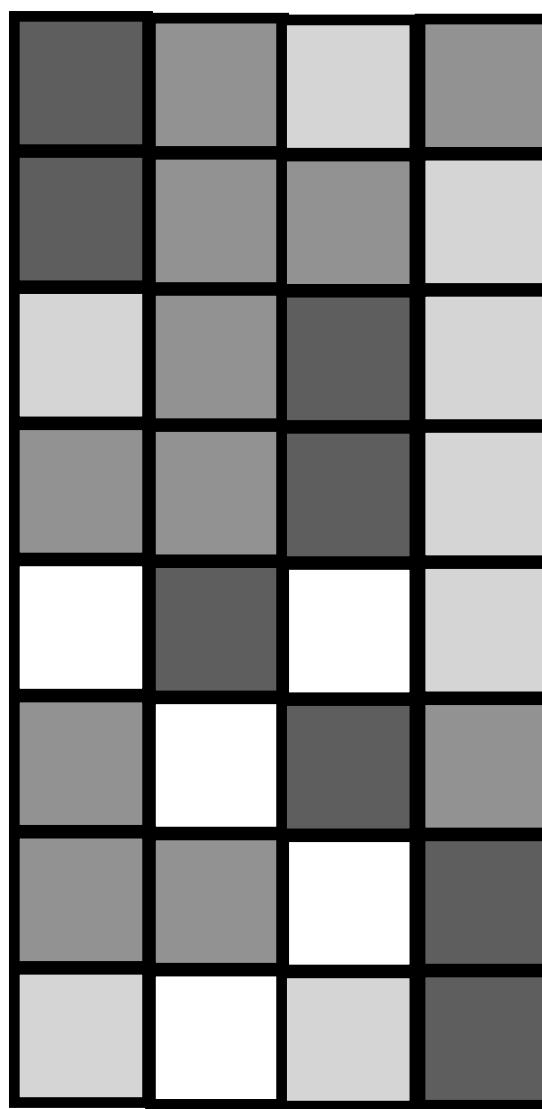
and its orthonormal  
transforms

Iterate until convergence

# Let us now make it overlap-aware

Suppose we have

$$v_{OL}$$

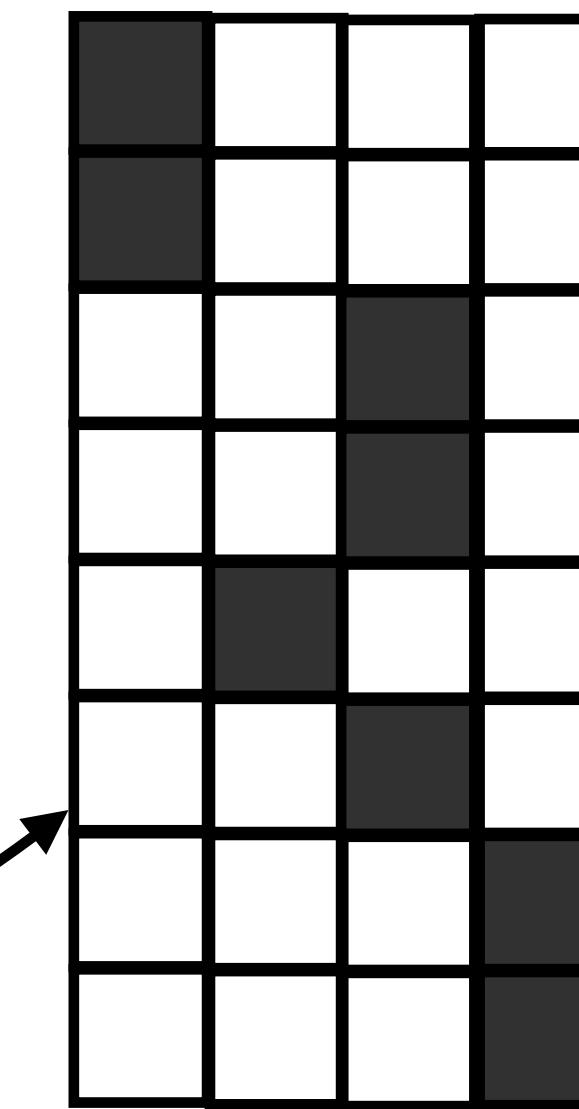


and its orthonormal  
transforms



subject to

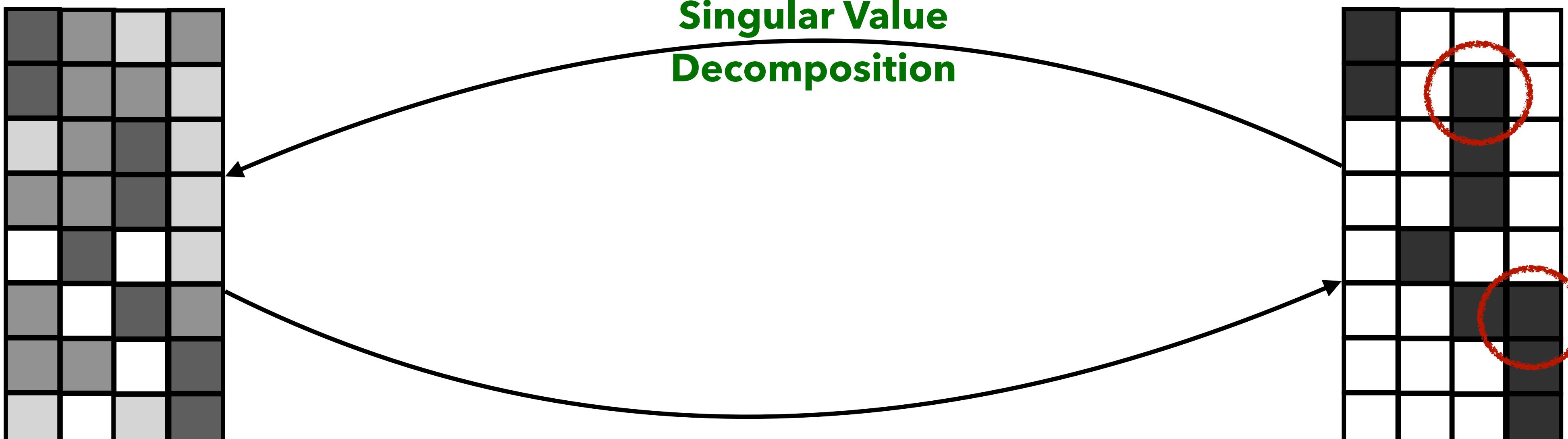
$$X \in \{0,1\}^{N \times K},$$
$$X\mathbf{1}_K = \mathbf{1}_N + v_{OL}.$$



**Discrete constraint is modified to include  
overlap detector output**

# Let us now make it overlap-aware

## Modify non-maximal suppression to pick top 2 speakers

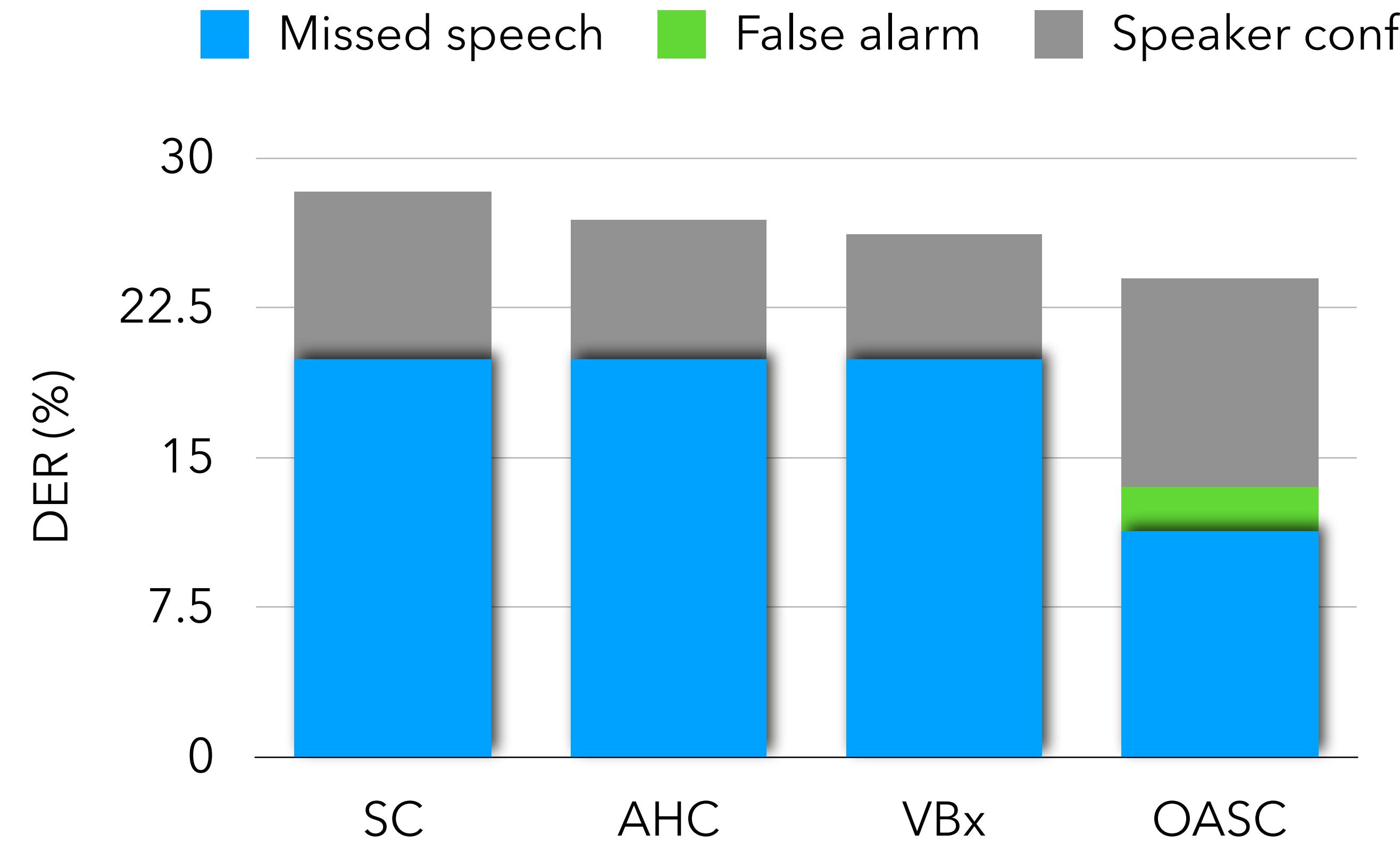


and its orthonormal  
transforms

**Iterate until convergence**

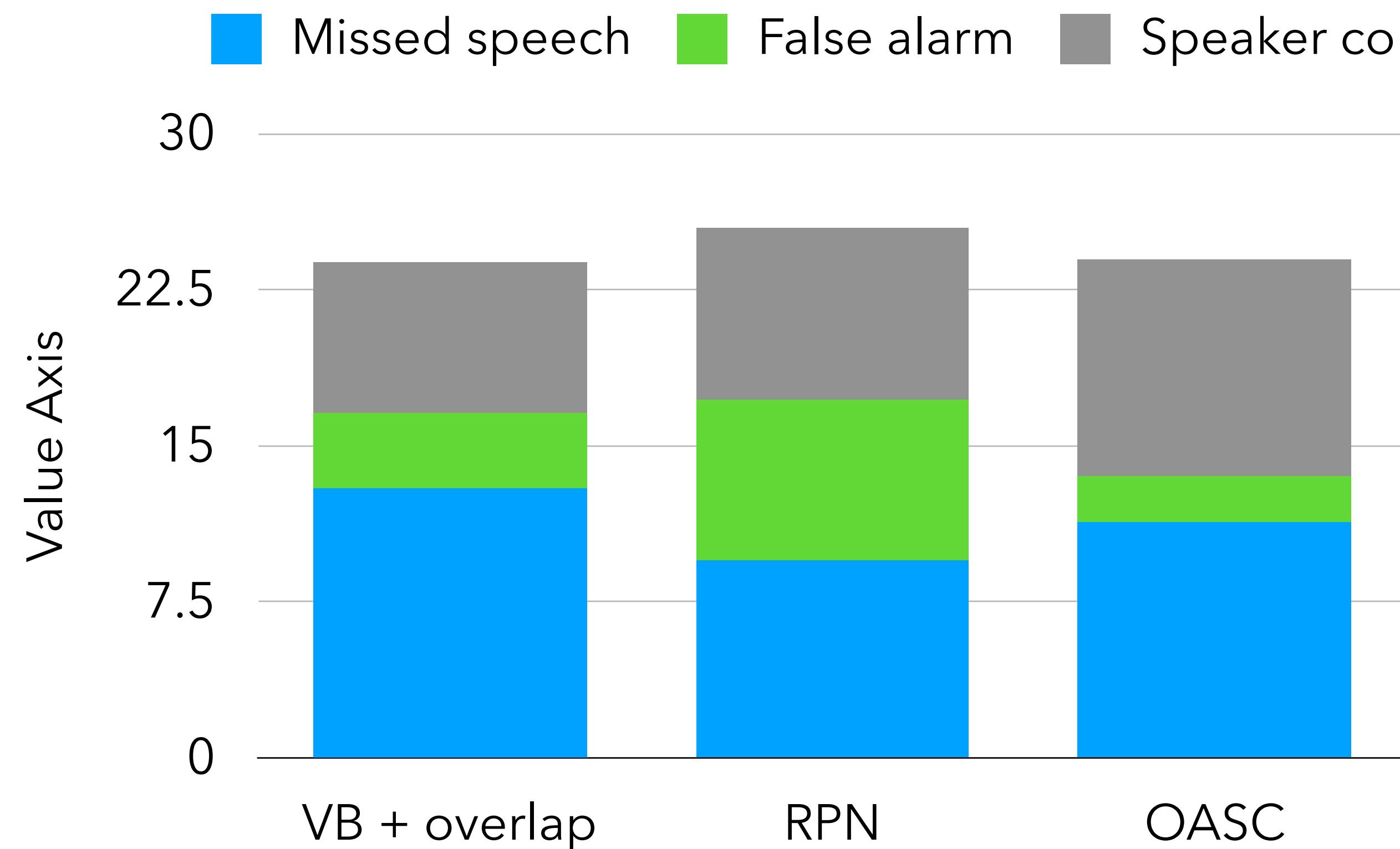
# Results on AMI Mix-Headset eval

12.0% relative improvement over spectral clustering baseline



# Results on AMI Mix-Headset eval

## Comparable with other overlap-aware diarization methods



Does not require **matching training data** or **initialization** with other diarization systems.

# Overlap-aware Diarization

## Further reading

1. "Probing the information encoded in x-vectors." **D. Raj**, D. Snyder, D. Povey, S. Khudanpur. *IEEE ASRU 2019*.
2. "DOVER-Lap: A method for combining overlap-aware diarization outputs." **D. Raj**, P. Garcia, Z. Huang, S. Watanabe, D. Povey, A. Stolcke, S. Khudanpur. *IEEE SLT 2021*.
3. "The Hitachi-JHU DIHARD III system: Competitive end-to-end neural diarization and x-vector clustering systems combined by DOVER-Lap." S. Horiguchi, N. Yalta, P. Garcia, Y. Takashima, Y. Xue, **D. Raj**, Z. Huang, Y. Fujita, S. Watanabe, S. Khudanpur. *Third DIHARD Speech Diarization Challenge*.
4. "Target-speaker voice activity detection with improved i-vector estimation for unknown number of speaker." M. He, **D. Raj**, Z. Huang, J. Du, Z. Chen, S. Watanabe. *InterSpeech 2021*
5. "Reformulating DOVER-Lap label mapping as a graph partitioning problem." **D. Raj**, S. Khudanpur. *InterSpeech 2021*
6. "Low-Latency Speech Separation Guided Diarization for Telephone Conversations." G. Morrone, S. Cornell, **D. Raj**, Luca Serafini, Enrico Zovato, Alessio Brutti, Stefano Squartini. *IEEE SLT 2022*.

# Remember DIHARD?

Top 2 teams used DOVER-Lap for system fusion in DIHARD III

All top teams at **VoxSRC-21** and **VoxSRC-22**, and **M2MeT** challenges, used DOVER-Lap!

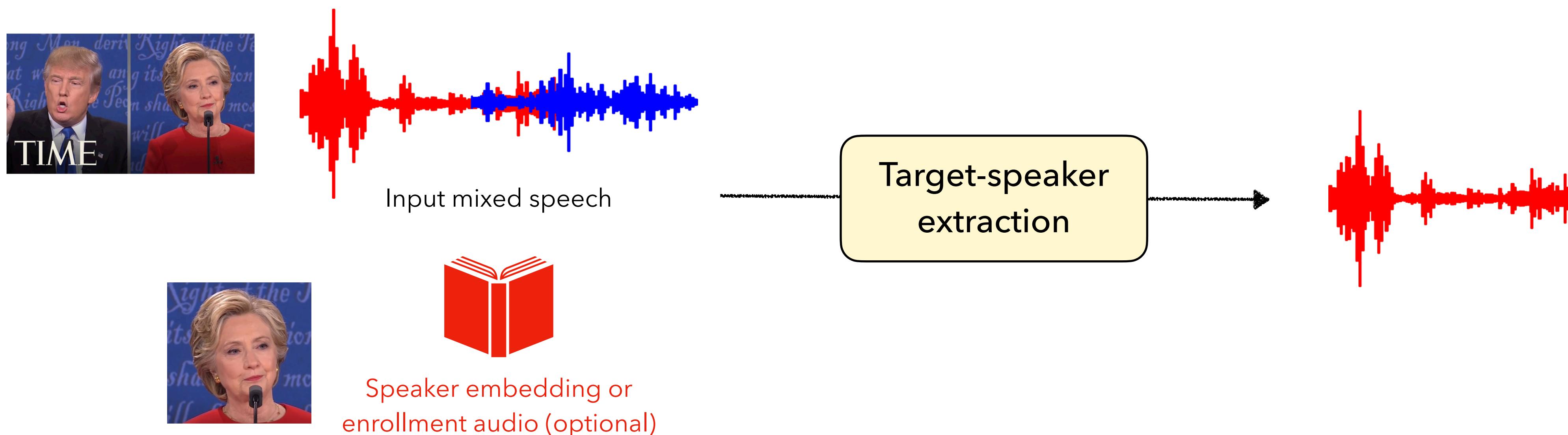


# Target-speaker Methods for ASR

# What is target-speaker ASR?

## Preliminary: Target speaker extraction

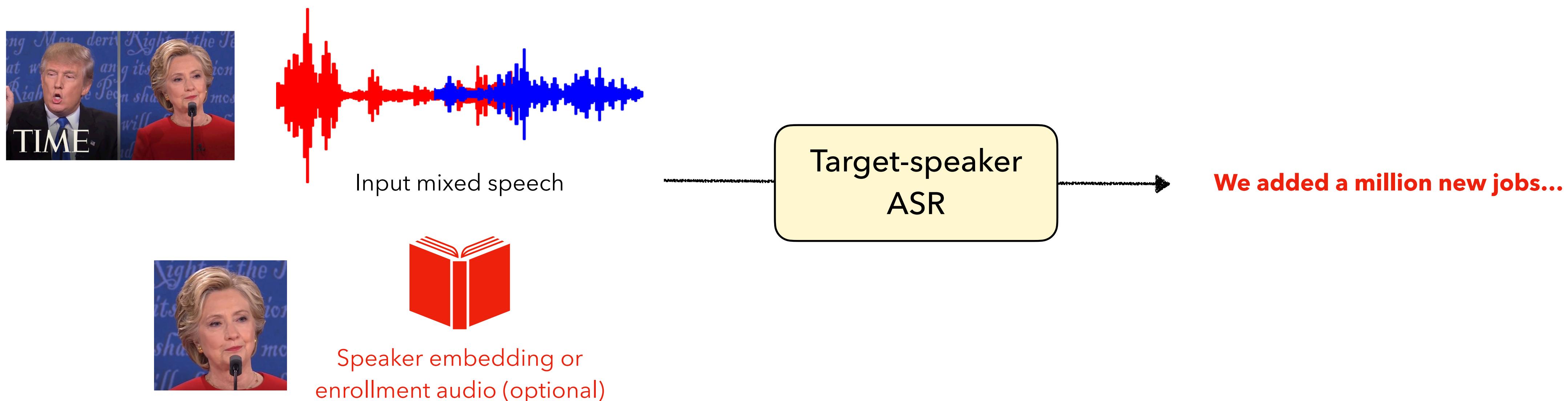
- Given an audio containing mixed speech, extract the speech of a **target speaker**
- Auxiliary information: enrollment audio or speaker embedding



# What is target-speaker ASR?

## Target speaker extraction + ASR

- Given an audio containing mixed speech, transcribe the speech of a **target speaker**
- Auxiliary information: enrollment audio or speaker embedding



# What is target-speaker ASR?

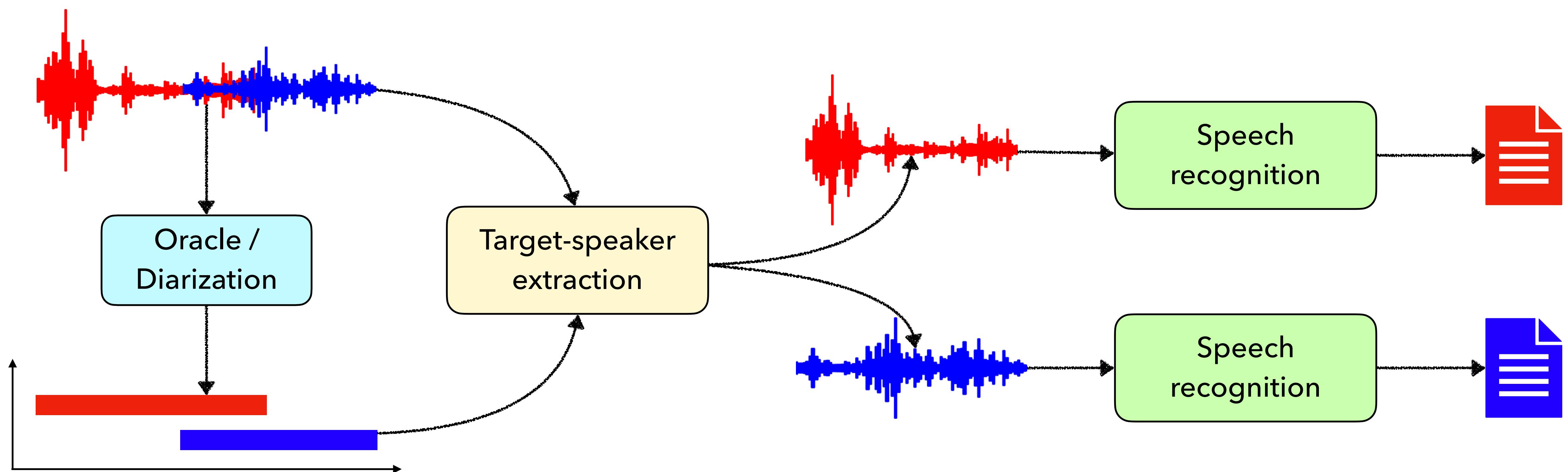
## Methods

- Methods used for **Target-speaker ASR** depend on the application scenario.

<b>Scenario</b>	<b>Meeting Transcription</b>	<b>Voice-based Assistant</b>
<b>Recording device</b>	Multi-channel microphone array	Single microphone
<b>Speakers</b>	Multiple primary	1 primary + background
<b>Wake-word</b>	None	"Hey Siri", "Alexa", etc.
<b>Real-time?</b>	Optional	Required

# Meeting Transcription

## Approach using target speaker methods



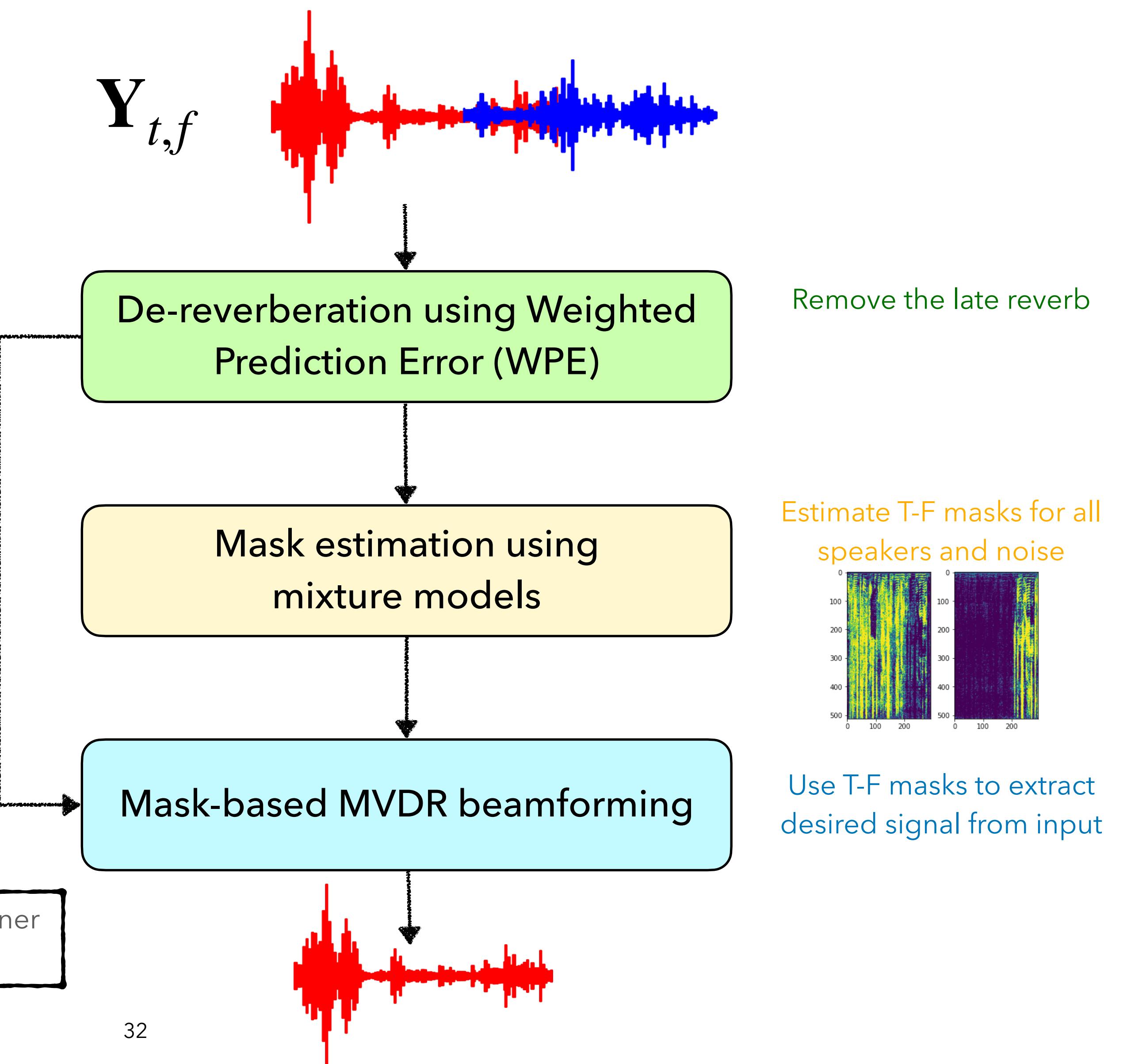
# Guided source separation

Consists of 3 main steps

[https://github.com/fgnt/pb\\_chime5](https://github.com/fgnt/pb_chime5)

$$\mathbf{Y}_{t,f} = \sum_k \mathbf{X}_{t,f,k}^{\text{early}} + \sum_k \mathbf{X}_{t,f,k}^{\text{tail}} + \mathbf{N}_{t,f}$$

Sum of speaker signals      Sum of reverb tails      Noise



Boeddeker, Christoph et al. "Front-end processing for the CHiME-5 dinner party scenario." *CHiME Workshop, 2018*.

# Guided source separation

## Limitations with original implementation

- Several iterative parts, e.g., mask estimation using complex angular GMMs.
- All implementation on CPU (with NumPy).
- Example: Applying GSS on CHiME-6 *dev* set takes ~20h with 80 jobs!

# Guided source separation

## GPU-acceleration + engineering tricks

### GPU-accelerated Guided Source Separation for Meeting Transcription

*Desh Raj<sup>1</sup>, Daniel Povey<sup>2</sup>, Sanjeev Khudanpur<sup>1,3</sup>*

<sup>1</sup>CLSP & <sup>3</sup>HLTCOE, Johns Hopkins University, Baltimore, USA; <sup>2</sup>Xiaomi Corp., Beijing, China

[draj@cs.jhu.edu](mailto:draj@cs.jhu.edu), [dpovey@gmail.com](mailto:dpovey@gmail.com), [khudanpur@jhu.edu](mailto:khudanpur@jhu.edu)

To appear at



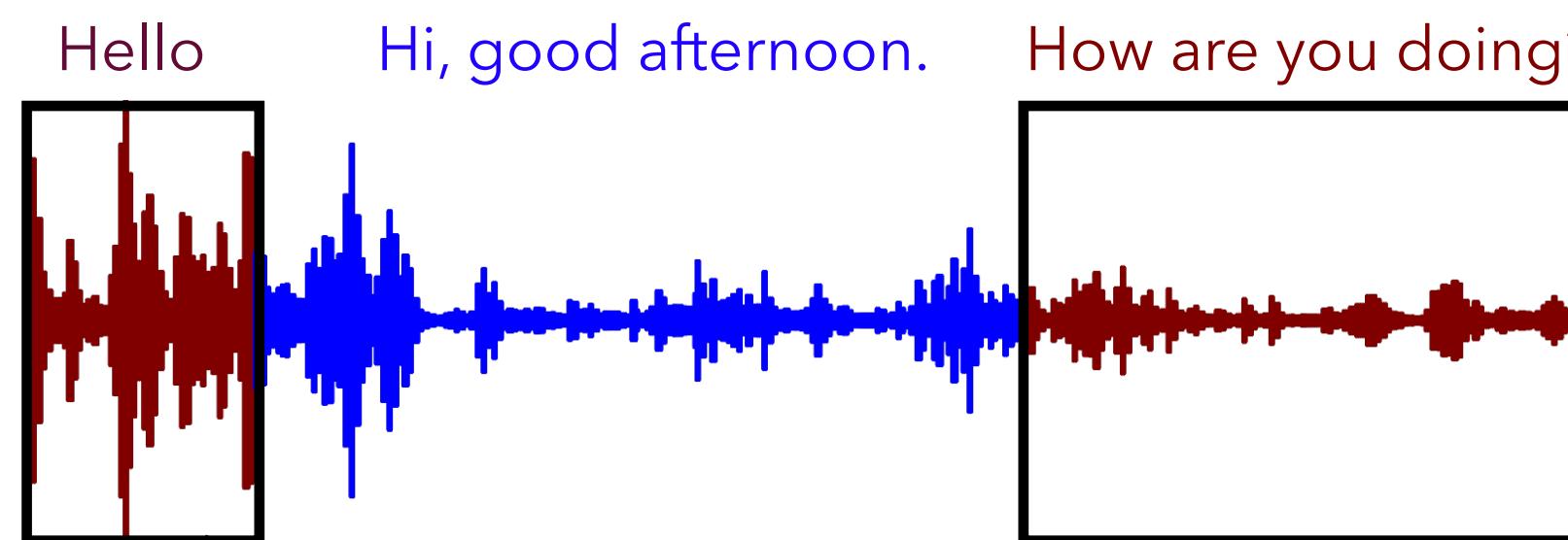
InterSpeech 2023



# Guided source separation

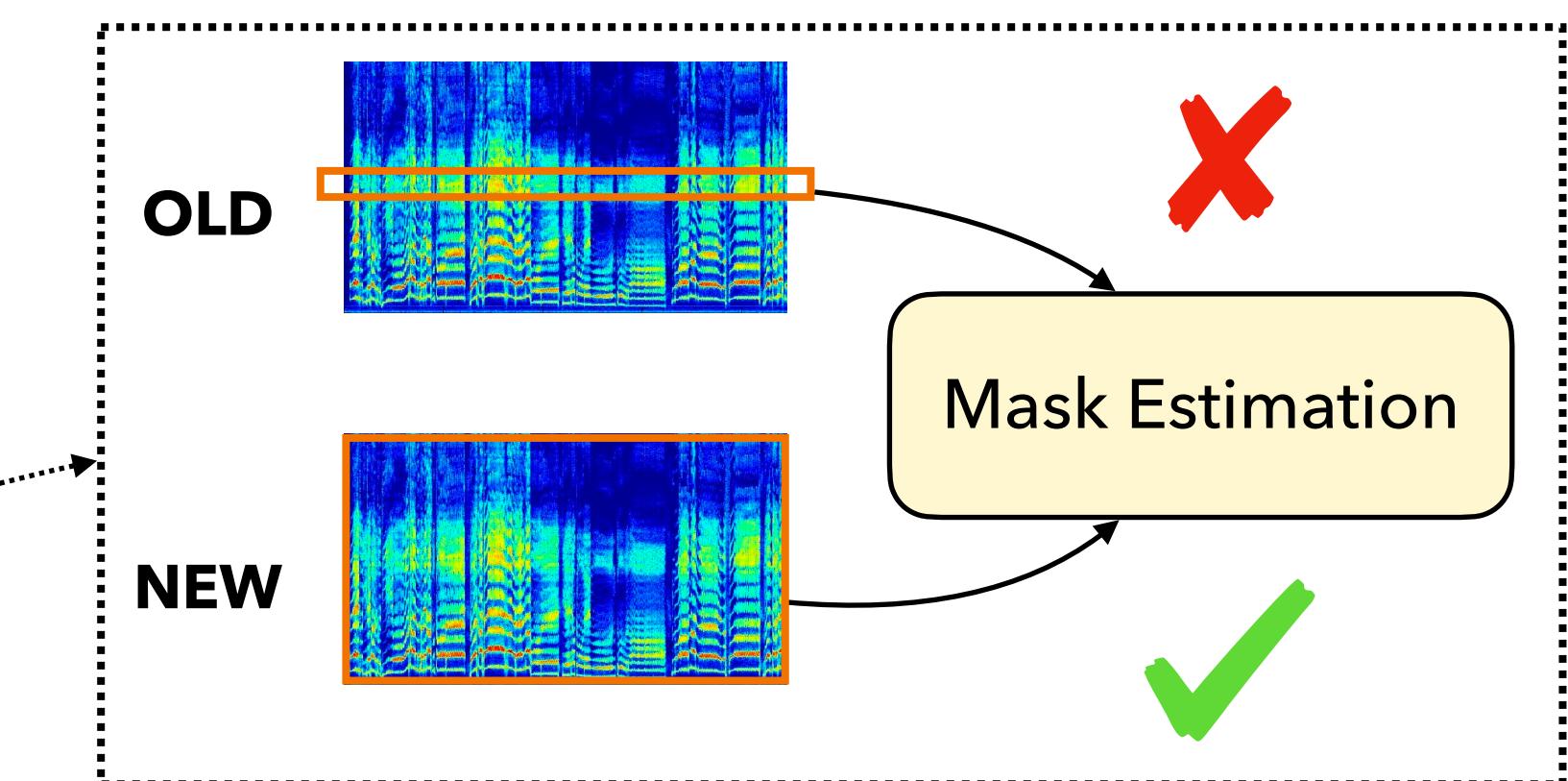
## GPU-acceleration + engineering tricks

<https://github.com/desh2608/gss>



1. CPU-based data-loader performs smart batching of segments

2. STFT computation, WPE, mask estimation on GPU using CuPy



3. Batched processing of STFT frequency bins

```
covariance = D * cp.einsum(  
    "...dn,...Dn,...n->...dD",  
    y,  
    y.conj(),  
    (saliency / quadratic_form),  
    optimize=einsum_path,  
)
```

Cache optimized path on first iteration.

Use same path on subsequent iterations.

4. einsum path caching

# Guided source separation

## Speed-up

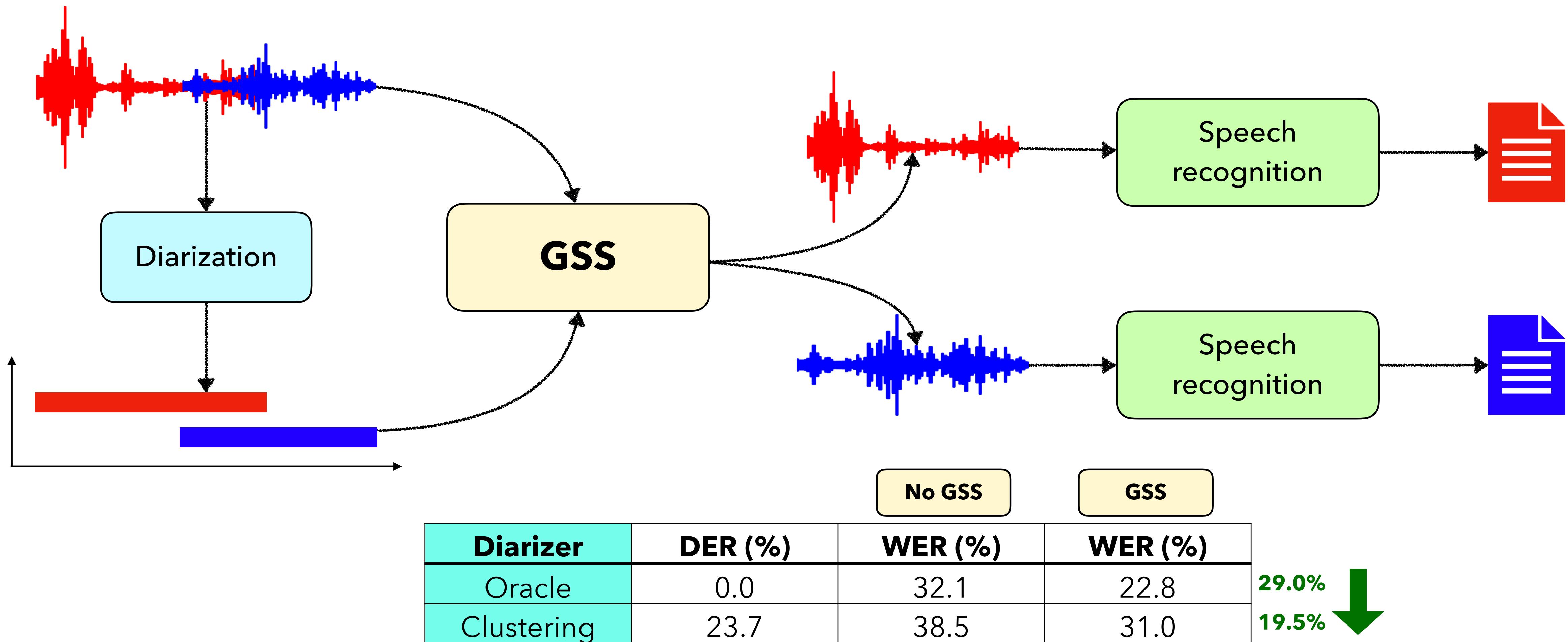
- Comparison on CHiME-6 dev set
- Old GSS: Takes **19.3** hours using 80 jobs
- New GSS: Takes **1.3** hours using 4 GPUs

CHiME-7 DASR Baseline

- Part of the official baseline in CHiME-7 DASR challenge: <https://www.chimechallenge.org/current/task1/index>

# Meeting Transcription

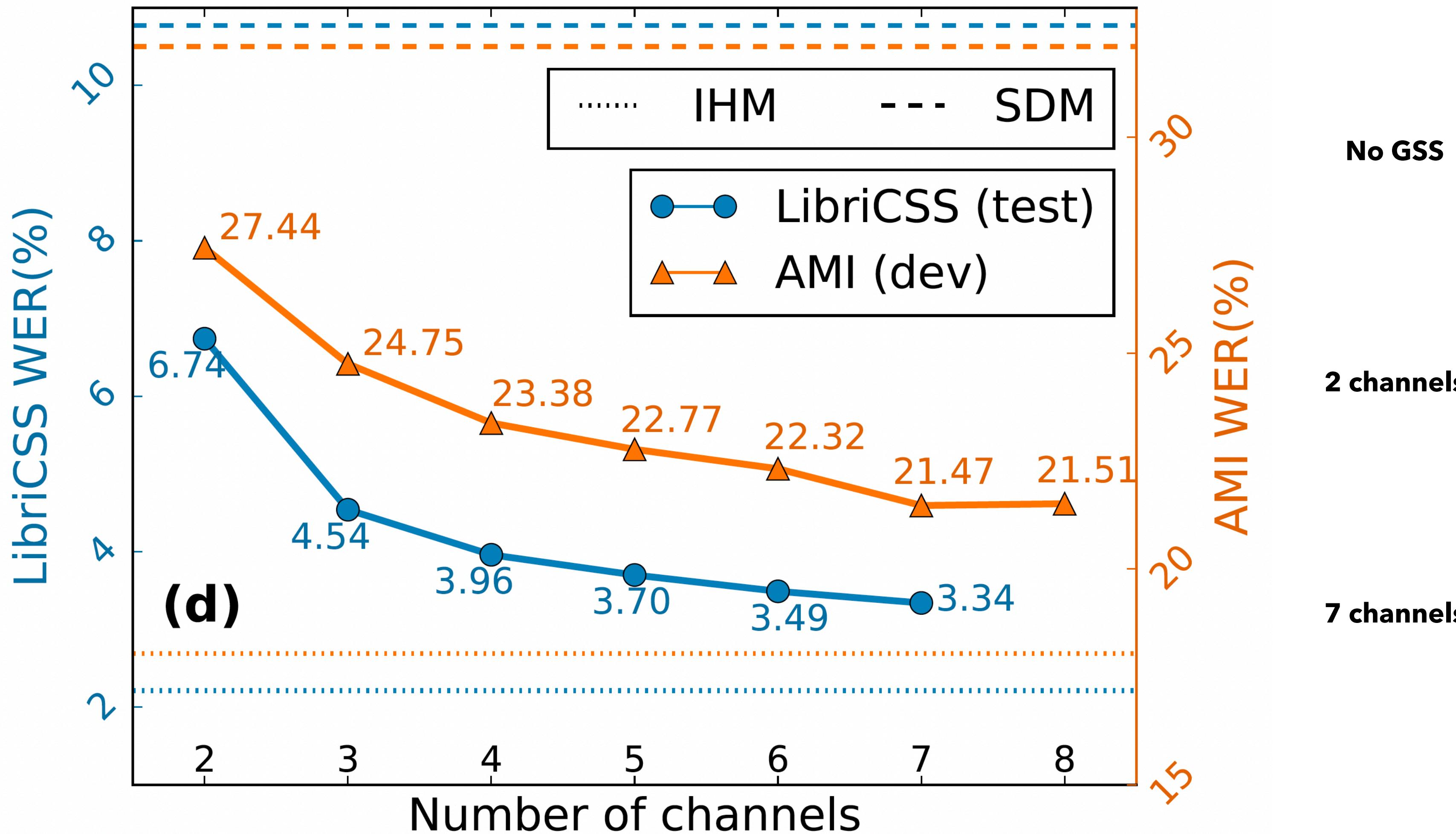
## Results on AMI with GSS



# Guided source separation

## Effect of number of channels

### LibriCSS example



### REFERENCE:

Paul declares that the false apostles were called or sent neither by men nor by man

All declares of the false apostles [were] recalled or sent neither by men [nor by man]

All declares that the false apostles were called or sent neither by men nor by man

# Recall from earlier...

**Voice assistant is very different from meeting transcription**

- Methods used for **Target-speaker ASR** depend on the application scenario.

Scenario	Meeting Transcription	Voice-based Assistant
<b>Recording device</b>	Multi-channel microphone array	Single microphone
<b>Speakers</b>	Multiple primary	1 primary + background
<b>Wake-word</b>	None	"Hey Siri", "Alexa", etc.
<b>Real-time?</b>	Optional	Required

# Voice-based Assistant

## Approach using target speaker methods

### ANCHORED SPEECH RECOGNITION WITH NEURAL TRANSDUCERS

*Desh Raj<sup>\*1</sup>, Junteng Jia<sup>2</sup>, Jay Mahadeokar<sup>2</sup>, Chunyang Wu<sup>2</sup>, Niko Moritz<sup>2</sup>, Xiaohui Zhang<sup>2</sup>, Ozlem Kalinli<sup>2</sup>*

<sup>1</sup>Center for Language and Speech Processing, Johns Hopkins University, USA, <sup>2</sup>Meta AI, USA

Published at



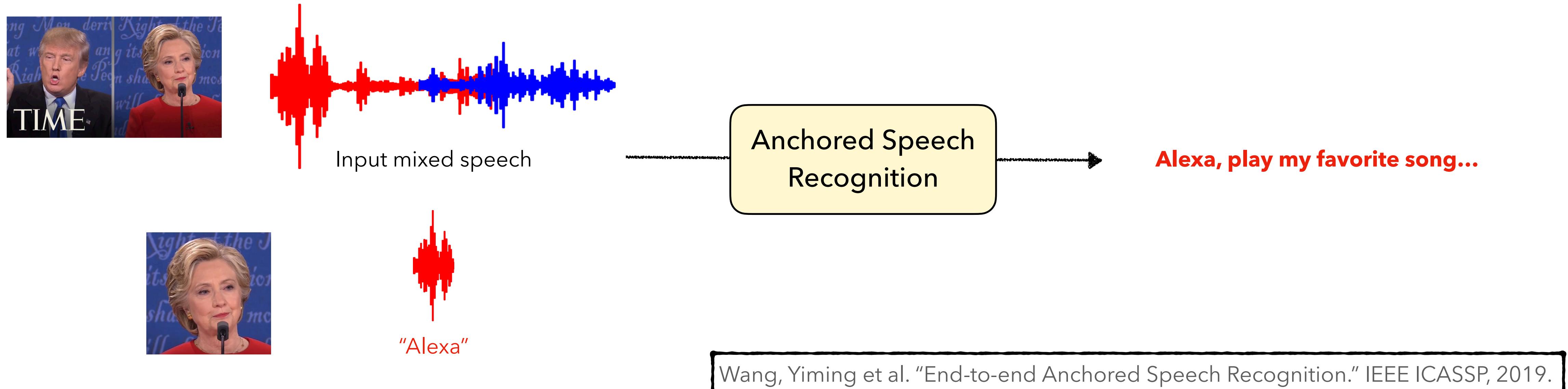
IEEE ICASSP 2023



# From GSS to anchored speech recognition

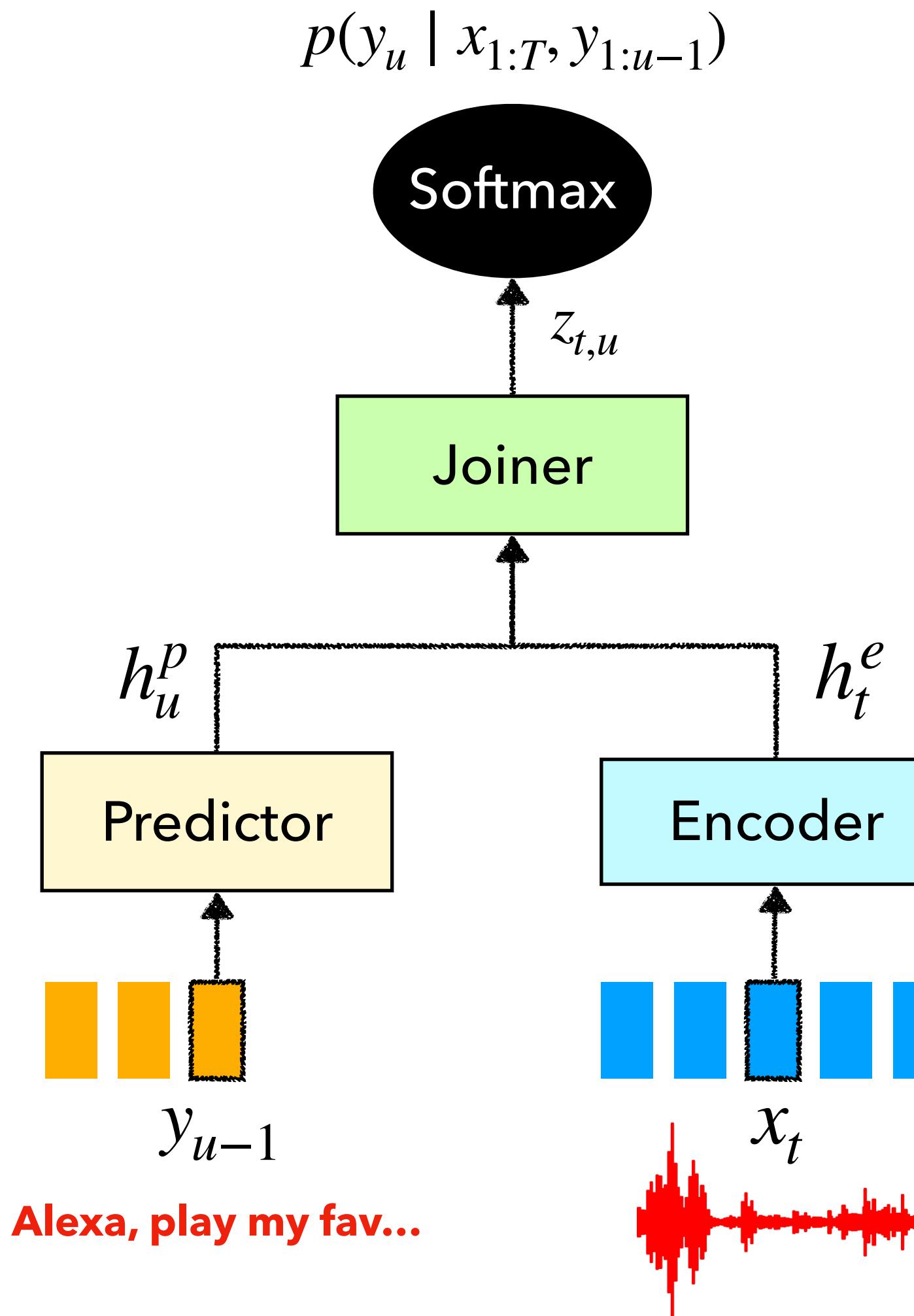
“Anchor” = wake-word

- “**Alexa**, play my favorite song.”
- Auxiliary information: “Alexa”



# Voice-based Assistant

## The basic ASR system: Neural transducer



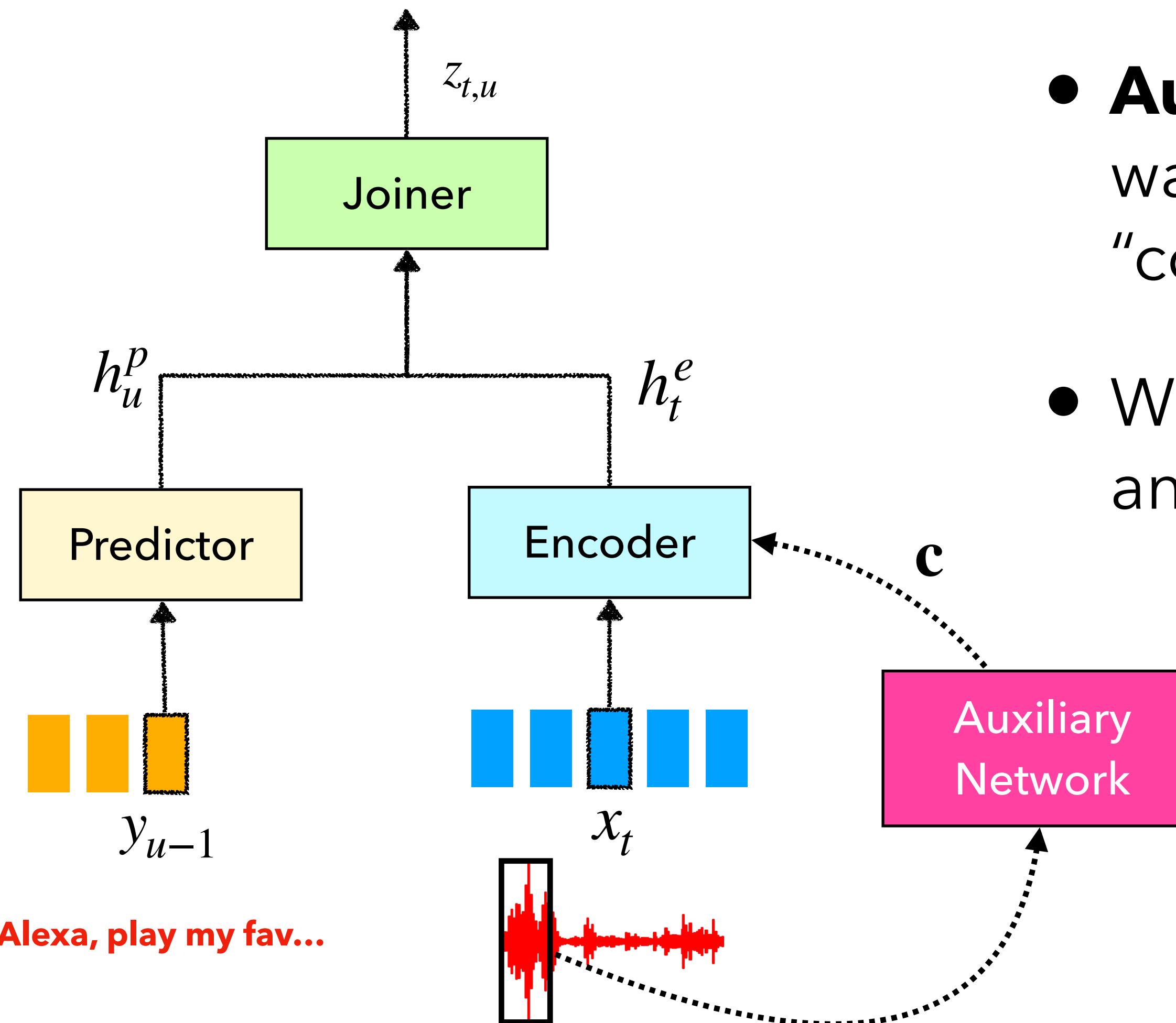
- **Encoder** converts input audio to high-dimensional representation
- **Predictor** is an autoregressive model that encodes input text
- **Joiner** combines audio and text representations to predict next token

# Voice-based Assistant

## 1. Biasing the encoder with context



Encoder can use context embedding to suppress background speech.



- **Auxiliary network** encodes the wake-word segment into a “context” embedding
- We concat this to input features and project to original dimension

\*Only 1% of the model size

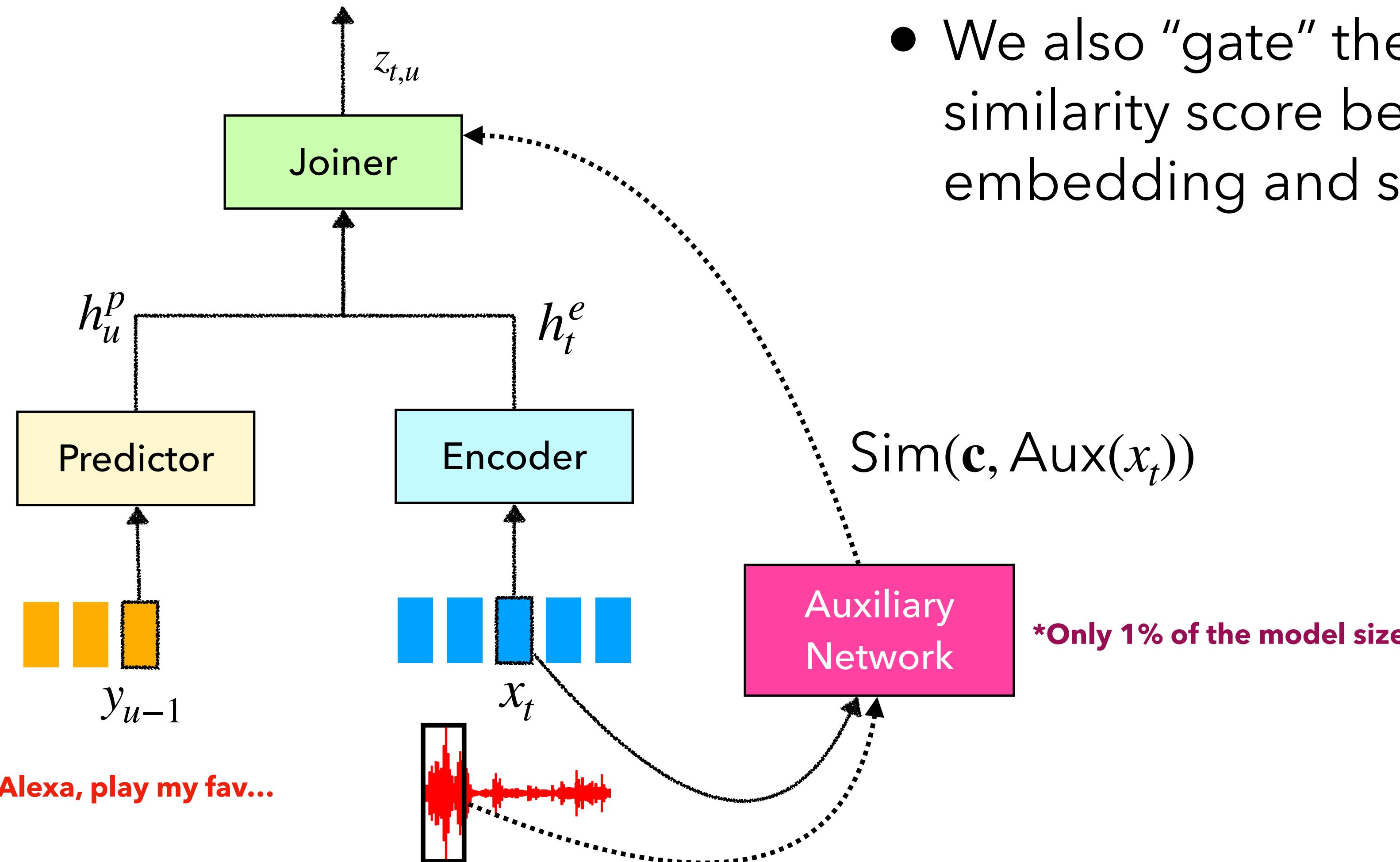
# Voice-based Assistant

## 2. Gating the joiner



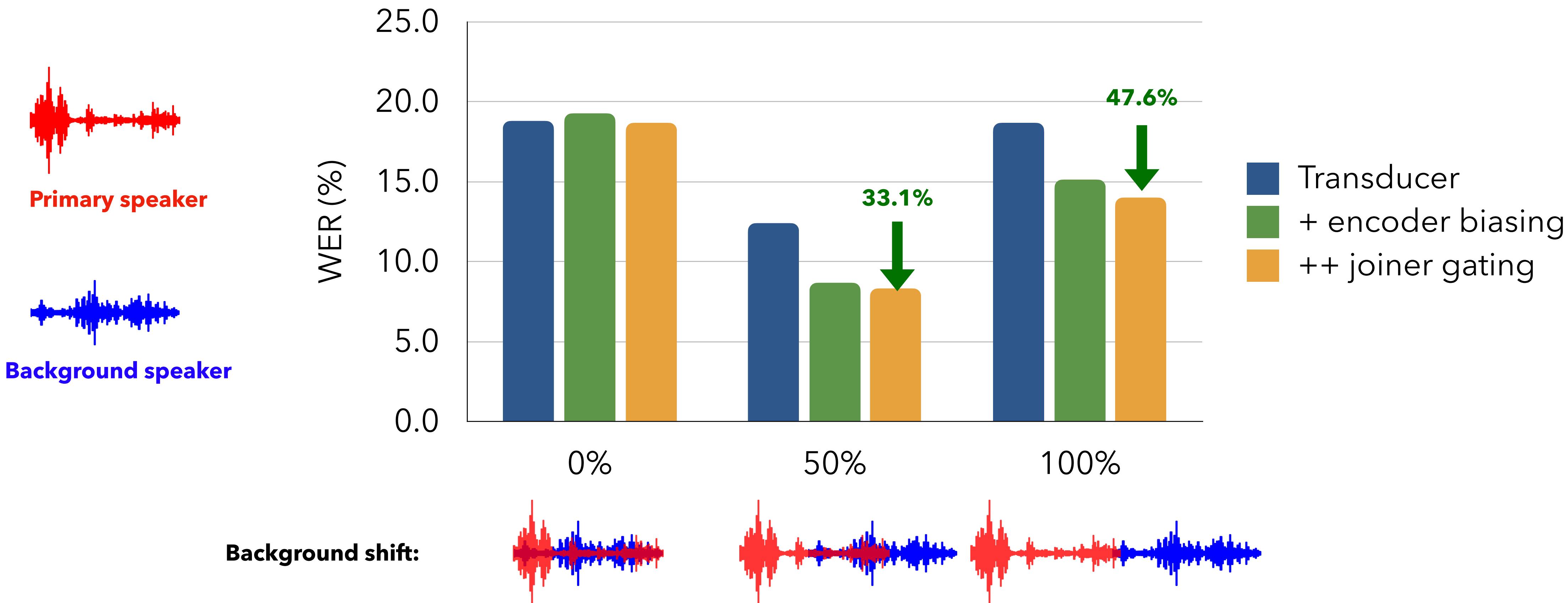
Boost the logits for blank tokens when speaker is different from wake-word segment.

- We also “gate” the logits with the similarity score between context embedding and segments.



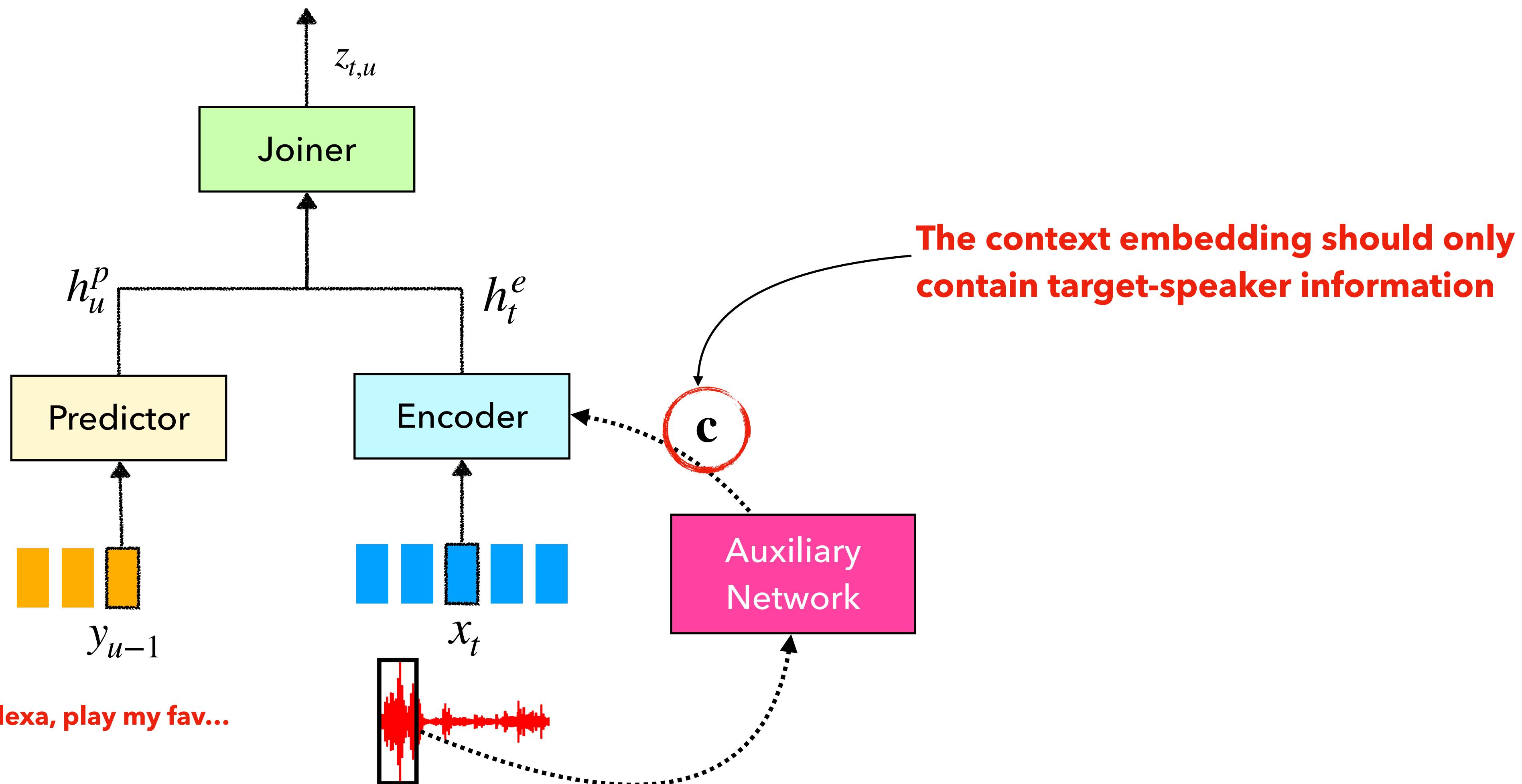
# Effect of TS-ASR

WER on LibriSpeech mixtures (average over SNRs 1~20 dB)



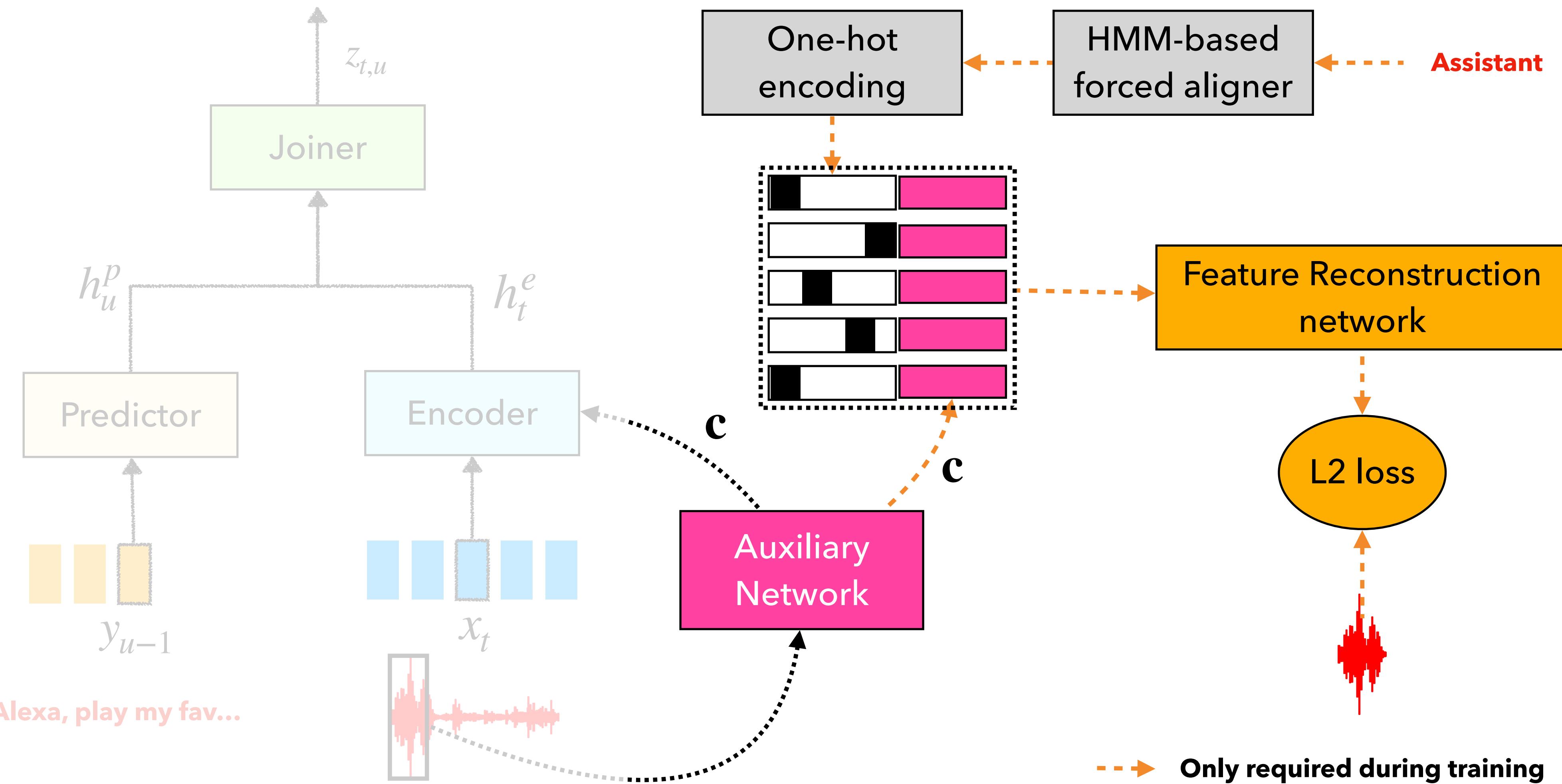
# Let's think about the context embedding

We want to disentangle "style" from "content"



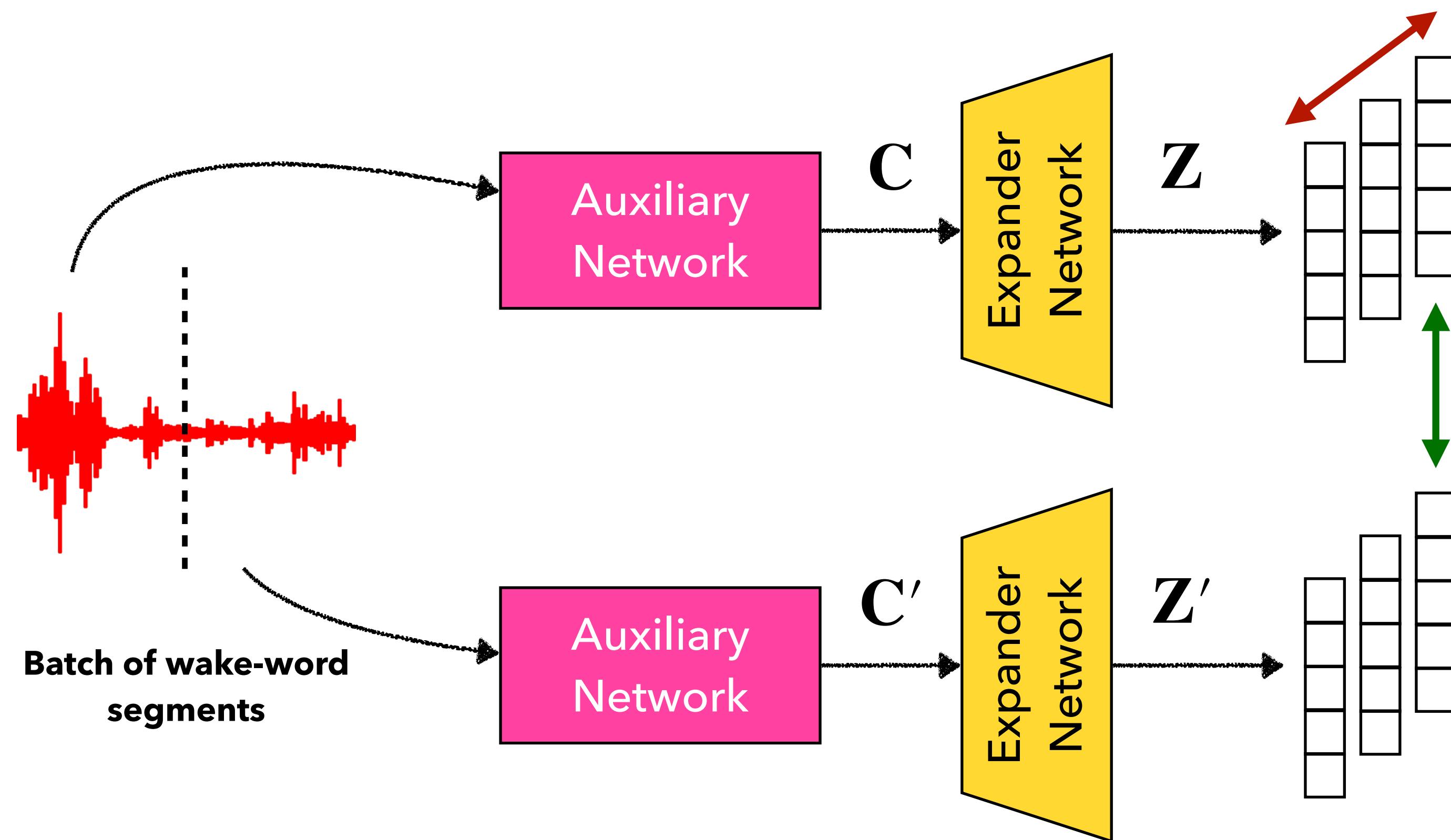
# Disentangling “style” from “content”

## Method 1: Feature Reconstruction



# Disentangling “style” from “content”

## Method 2: VIC Regularization



**Variance** prevents representations from collapsing to a constant

$$v(\mathbf{Z}) = \frac{1}{\mathfrak{D}} \sum_{j=1}^{\mathfrak{D}} \max \left( 0, 1 - \sqrt{\text{Var}(\mathbf{z}^j)} \right)$$

**Invariance** ensures that representations are similar for the two halves

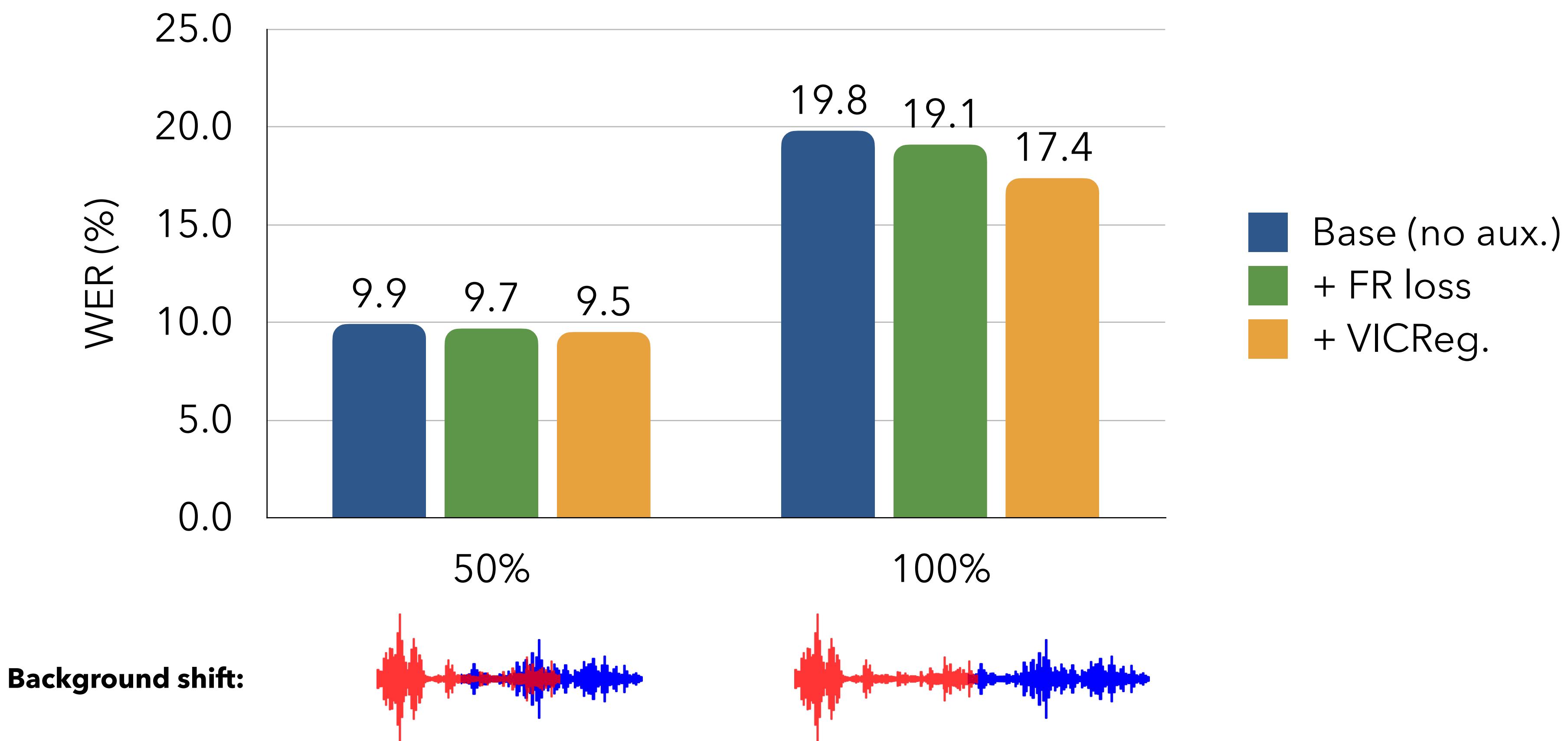
$$s(\mathbf{Z}, \mathbf{Z}') = \text{MSE}(\mathbf{Z}, \mathbf{Z}')$$

**Covariance** reduces correlation among dimensions to increase information content

$$c(\mathbf{Z}) = \frac{1}{\mathfrak{D}} \sum_{i \neq j} [\text{cov}(\mathbf{Z})]_{i,j}^2$$

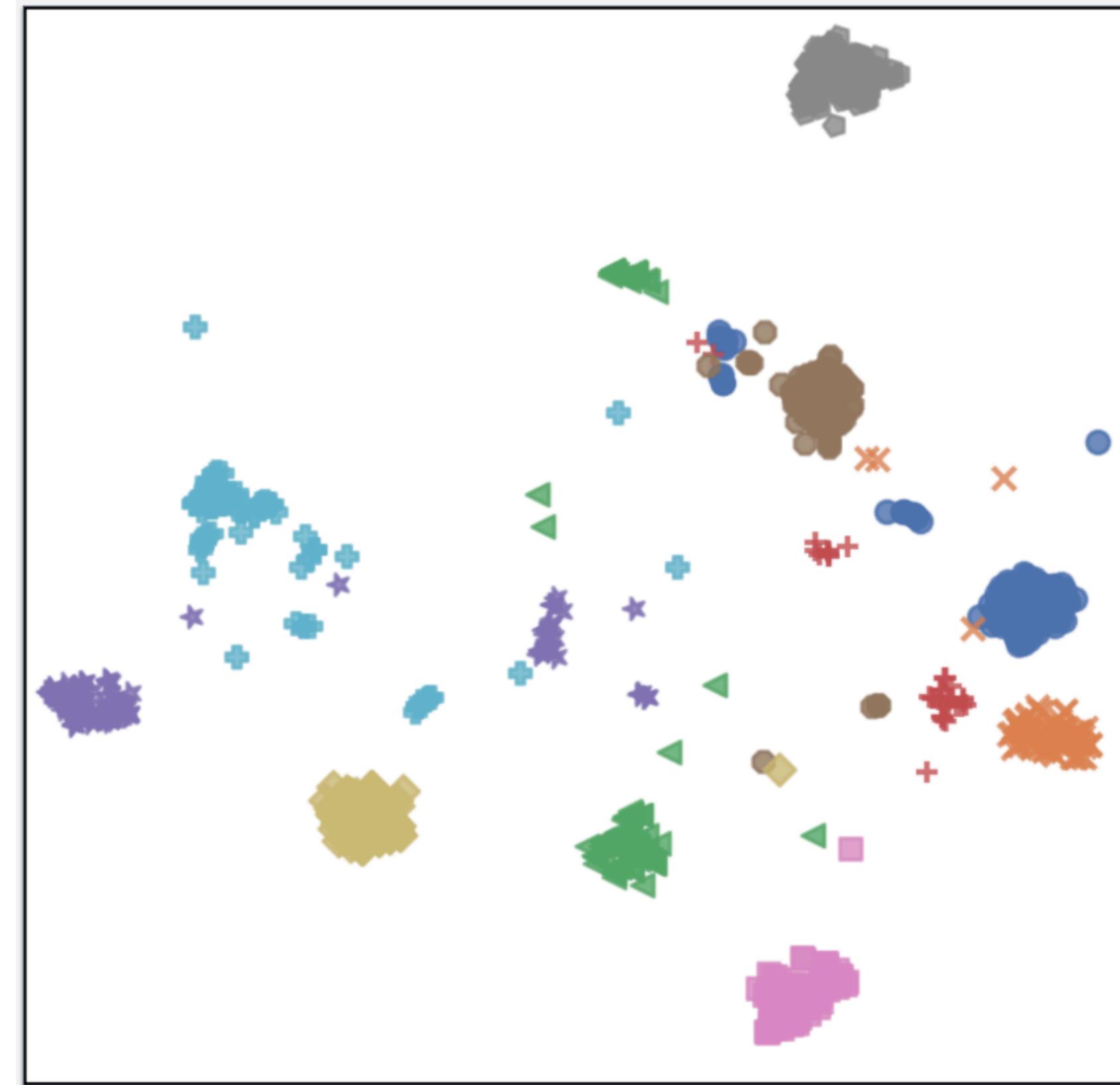
# Effect of auxiliary objectives

WER on LibriSpeech mixtures (average over SNRs 1~10 dB)



# Context embeddings capture speaker characteristics

## T-SNE clustering of context embeddings



# Target-speaker methods

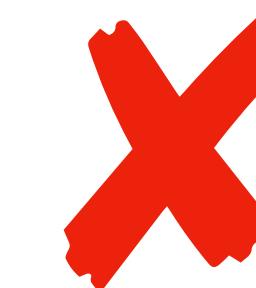
## Further reading

1. “Auxiliary loss function for target speech extraction and recognition with weak supervision based on speaker characteristics.” K. Zmolikova, M. Delcroix, **D. Raj**, S. Watanabe, J. Černocký. *InterSpeech 2021*.
2. “Adapting self-supervised models to multi-talker speech recognition using speaker embeddings.” Z. Huang, **D. Raj**, P. Garcia, S. Khudanpur. *IEEE ICASSP 2023*.

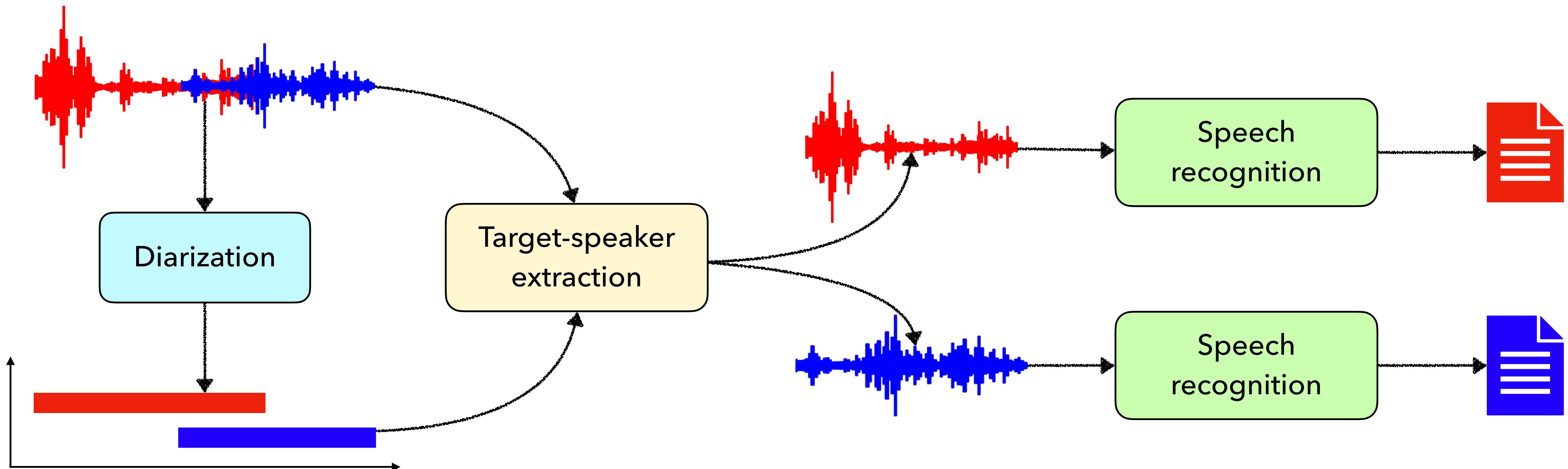
# End-to-end Multi-talker ASR

# Motivation

## The problem with modular systems



- Modules are independently optimized
- Higher accumulated latency
- Requires engineering efforts to maintain

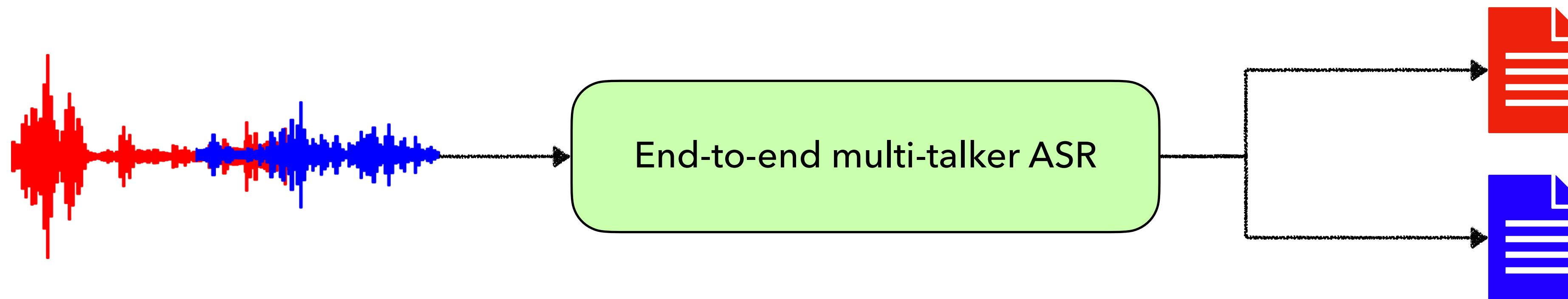


# Motivation

## Towards end-to-end multi-talker ASR



- Optimized for end objective
- Single model → Lower latency
- Easy to maintain and extend



# Multi-talker ASR

## Streaming Unmixing and Recognition Transducers (SURT)

**CONTINUOUS STREAMING MULTI-TALKER ASR WITH DUAL-PATH TRANSDUCERS**

*Desh Raj<sup>\*1</sup>, Liang Lu<sup>2</sup>, Zhuo Chen<sup>2</sup>, Yashesh Gaur<sup>2</sup>, Jinyu Li<sup>2</sup>*

<sup>1</sup>Center for Language and Speech Processing, Johns Hopkins University, USA, <sup>2</sup>Microsoft Corp., USA

Published at



IEEE ICASSP 2022

**SURT 2.0: Advances in Transducer-based  
Multi-talker Speech Recognition**

*Desh Raj, Student Member, IEEE, Daniel Povey, Fellow, IEEE, and Sanjeev Khudanpur, Member, IEEE*

Under review at

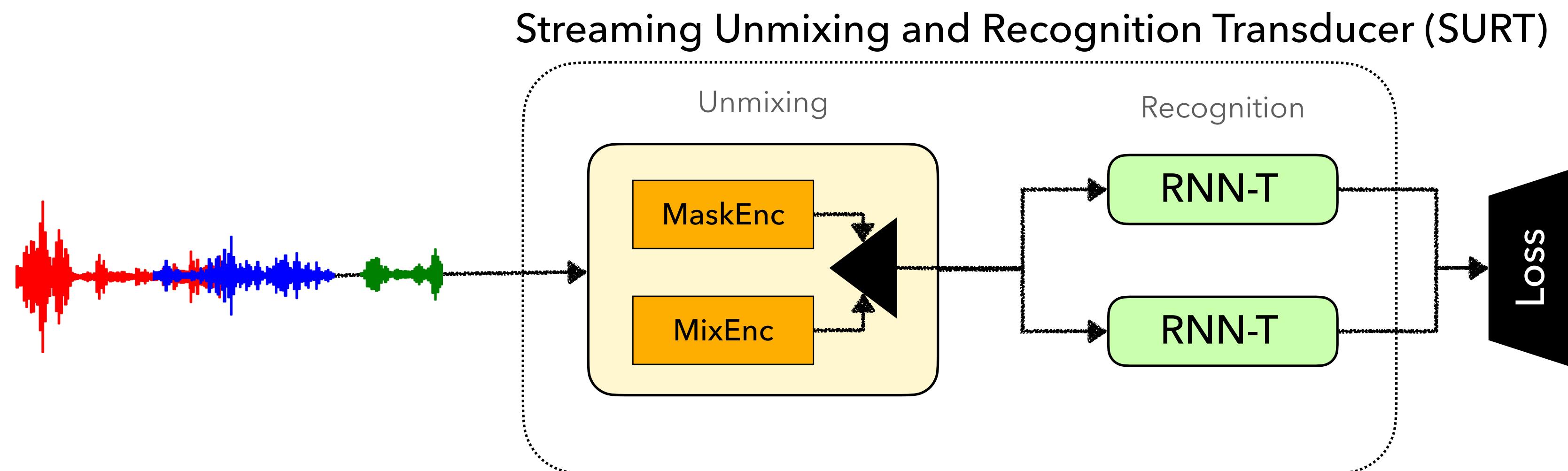


IEEE TASLP



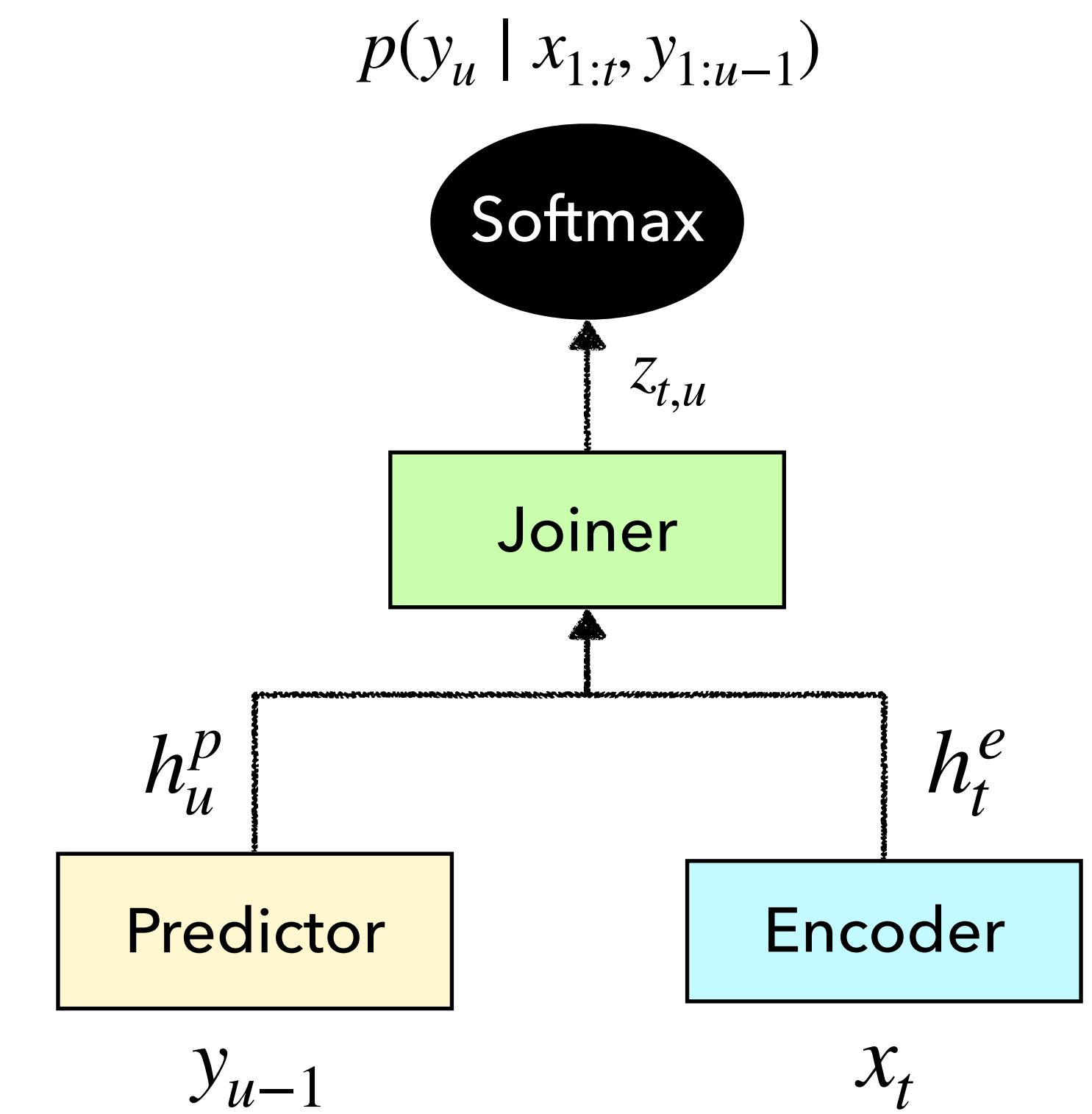
# The original SURT

## Basics



- Unmixing part separates mixed speech into non-overlapping features
- Made of convolutional layers

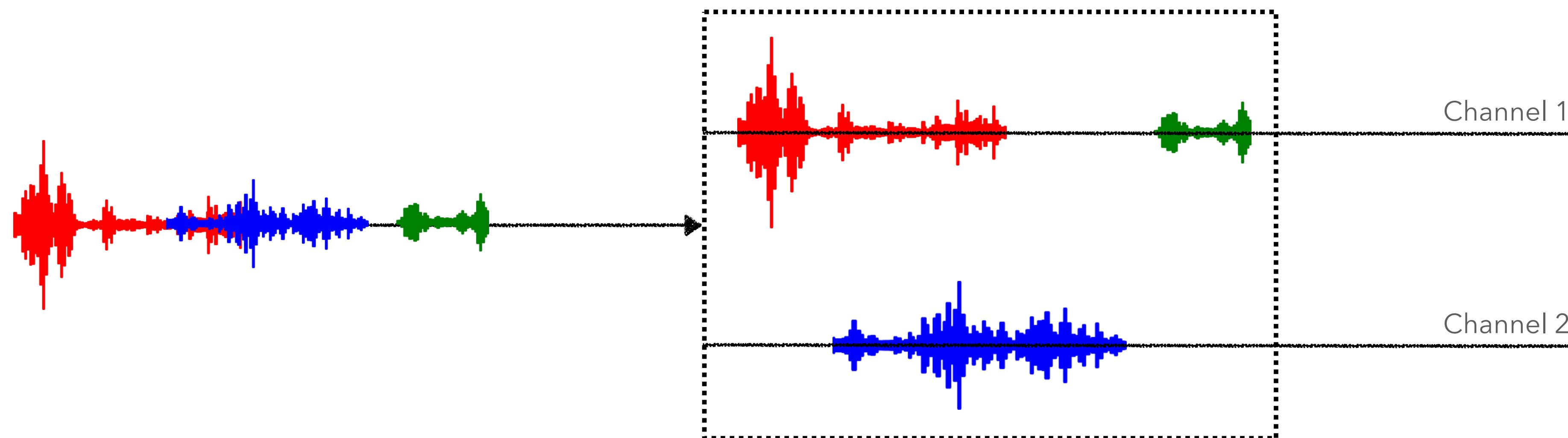
- Transducer model is used as the recognizer
- Output of unmixing component is fed into encoder
- Use HEAT loss over the transducer loss



# SURT objective function

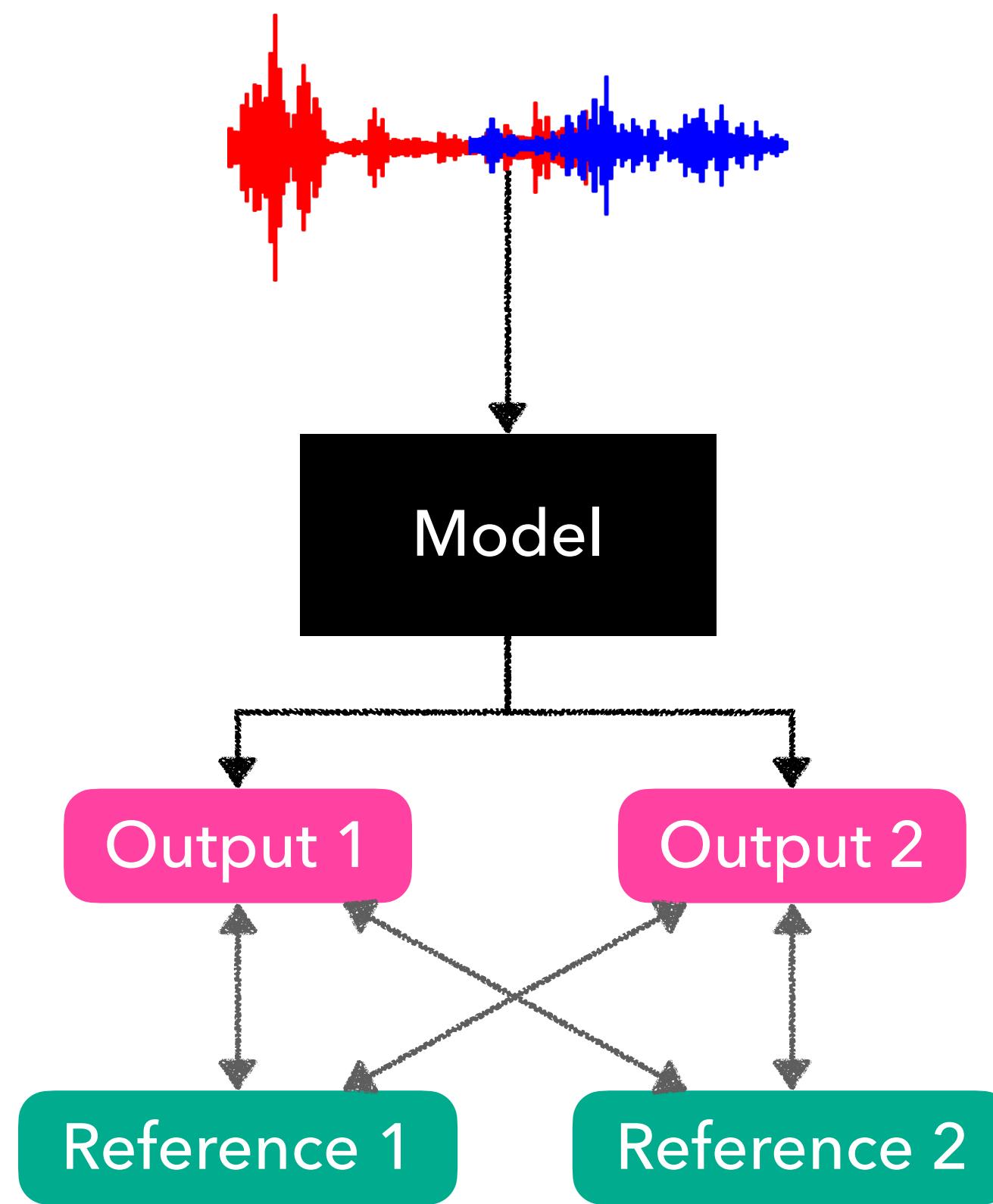
## Heuristic error assignment training (HEAT)

- Assign utterances to output channels in order of start time.

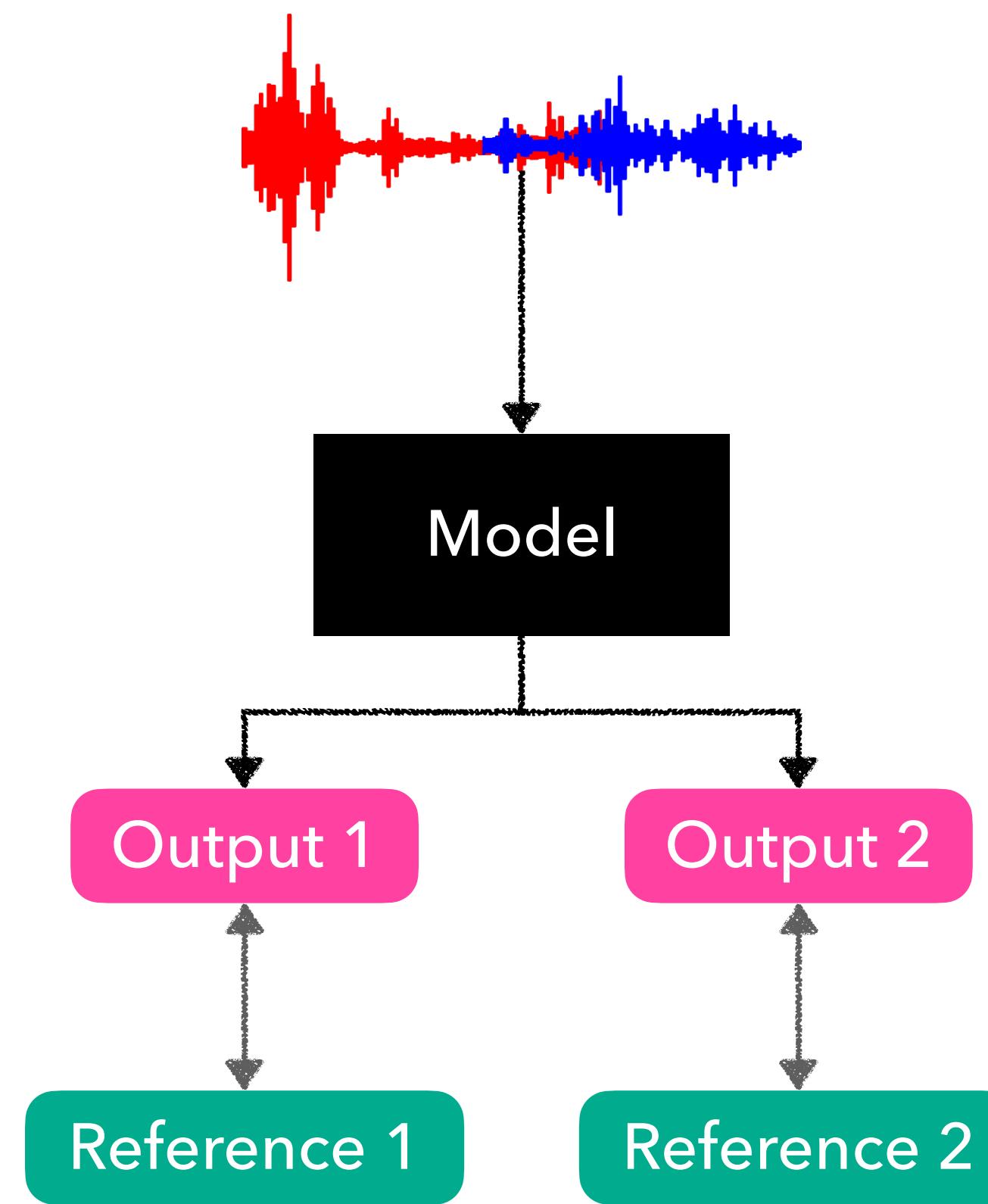


# SURT objective function

## HEAT vs. PIT



Permutation invariant training (PIT)



Heuristic error assignment training (HEAT)

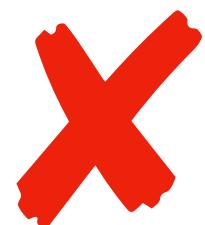
# SURT objective function

## HEAT vs. PIT

### Permutation invariant training (PIT)



Requires computing all permutations of outputs and references



Can be prohibitively slow when  $N \gg 2$  (exponential in  $N$ )

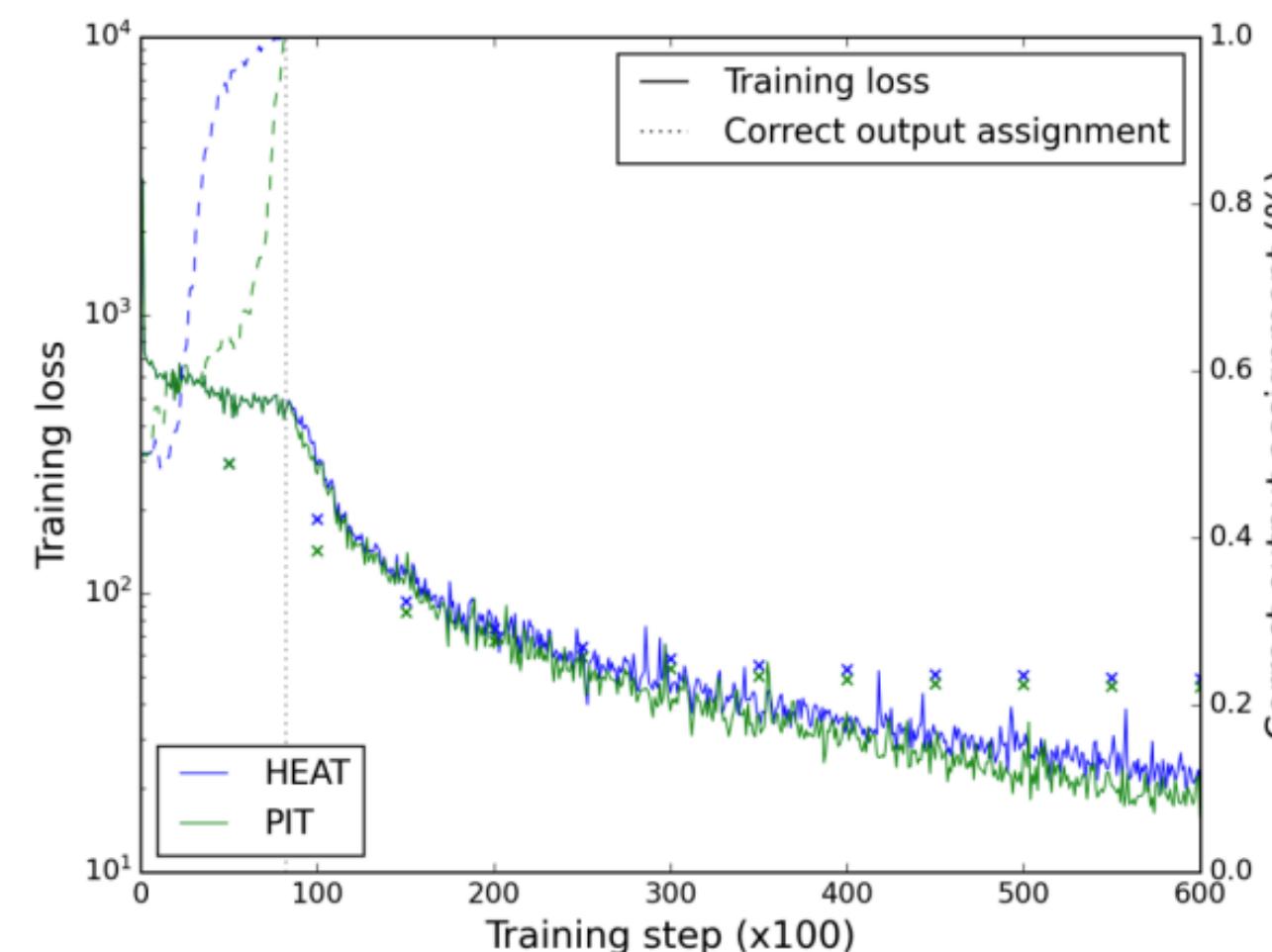
### Heuristic error assignment training (HEAT)



Requires computing only 1 permutation of output and reference



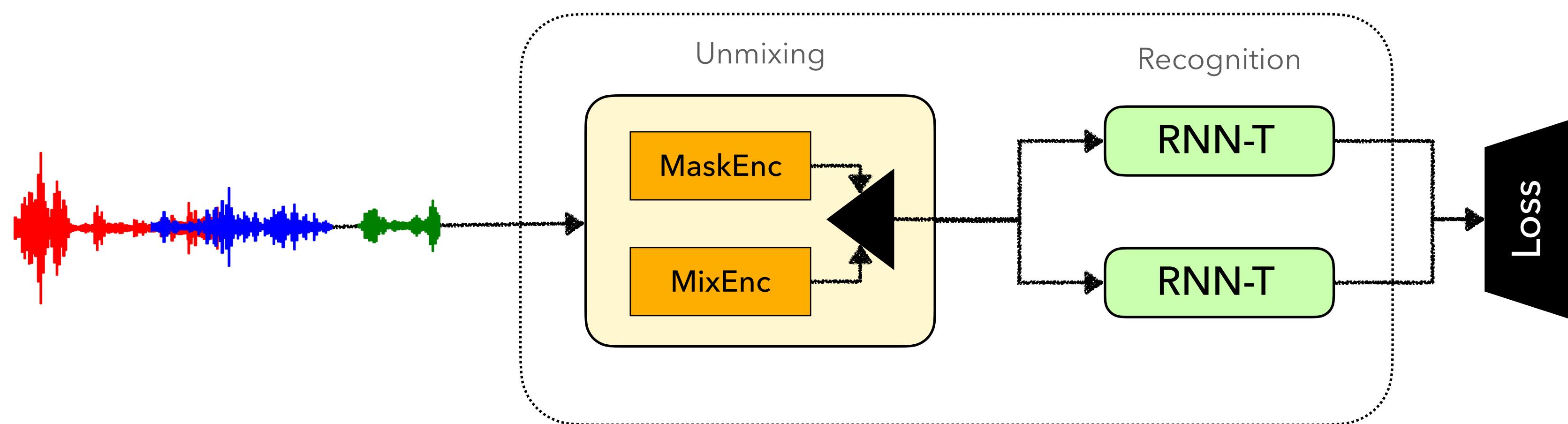
Complexity increases linearly with  $N$



For utterances with non-zero delay, PIT learns the same heuristic as HEAT

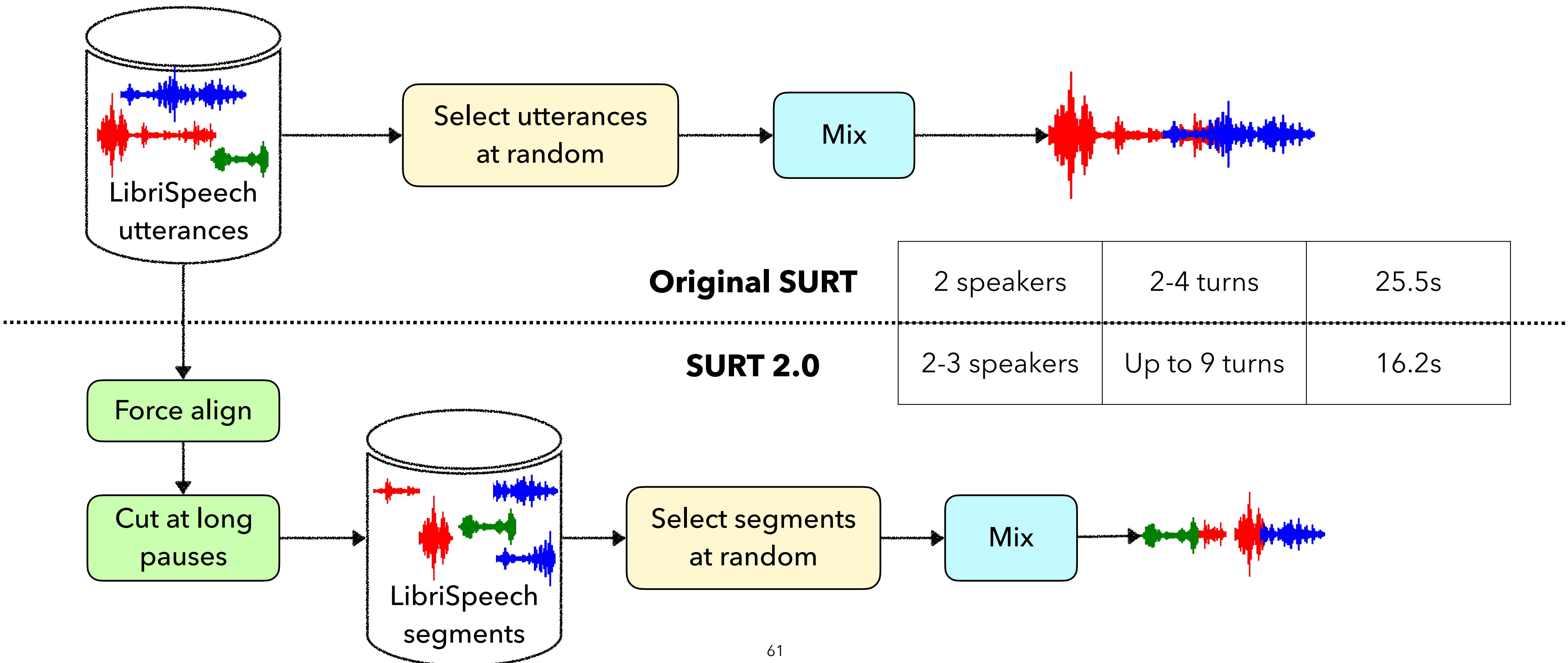
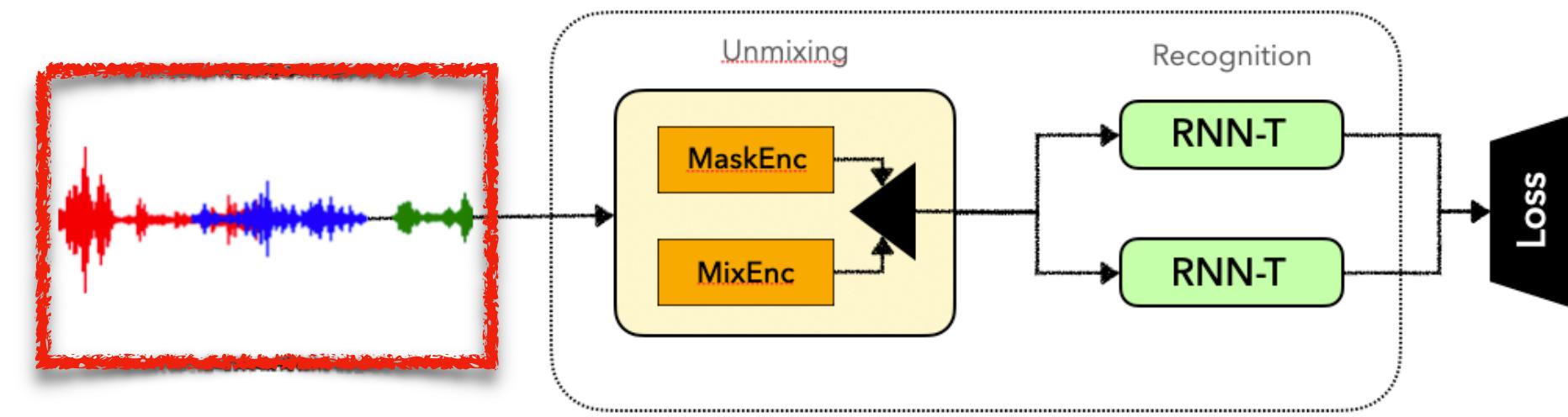
# The original SURT Problems

1. Infeasible to train on an academic cluster.
2. Suffers from *omission* and *leakage* errors.
3. How to make it work on real meetings?



# Making training efficient

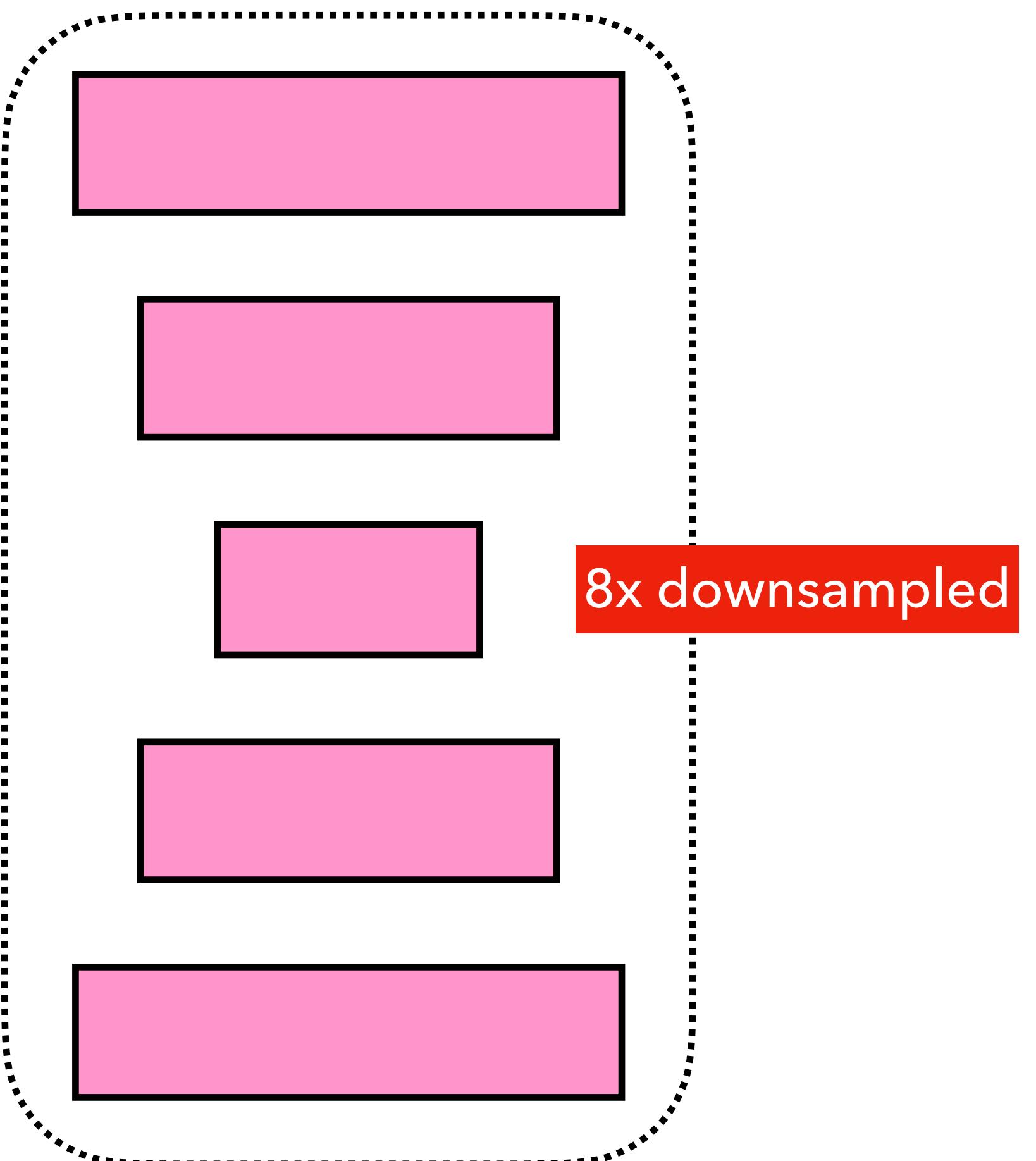
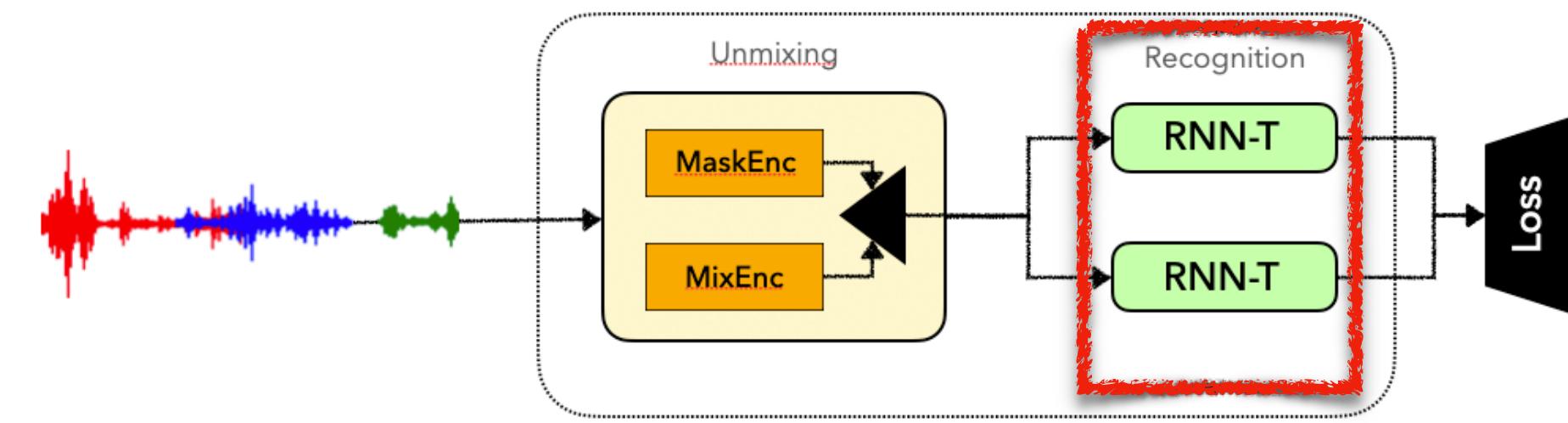
## #1: Shorter training mixtures



# Making training efficient

## #2: Zipformer encoder

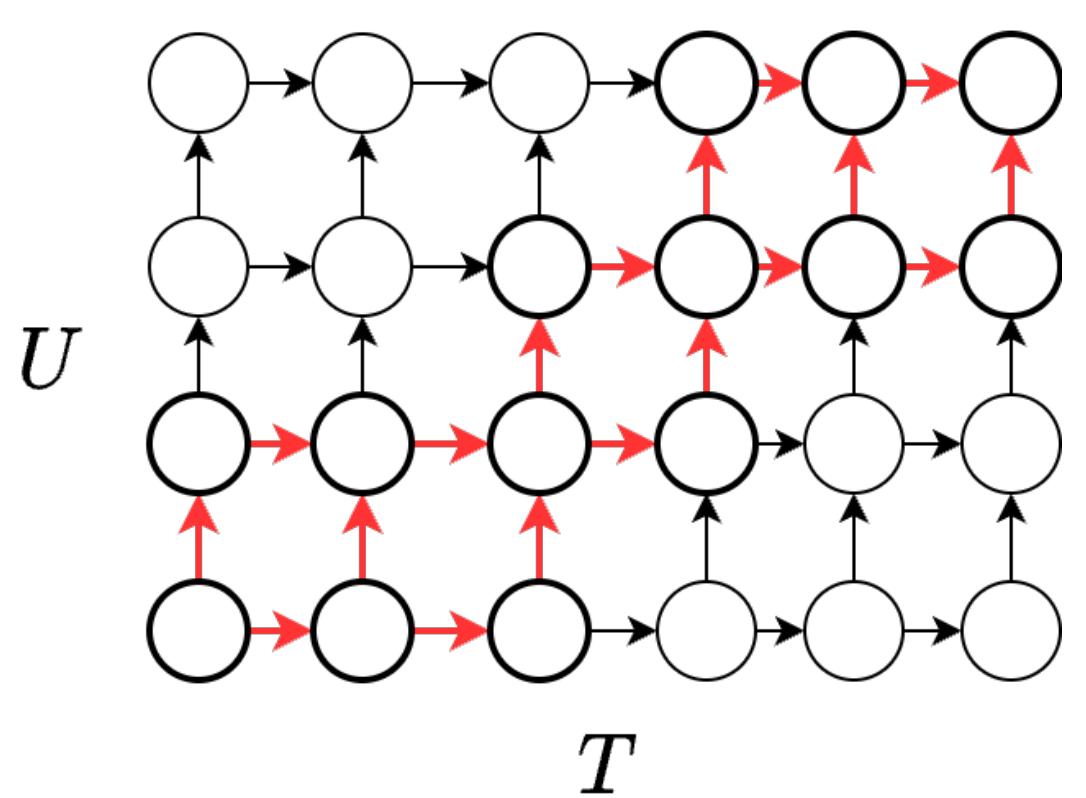
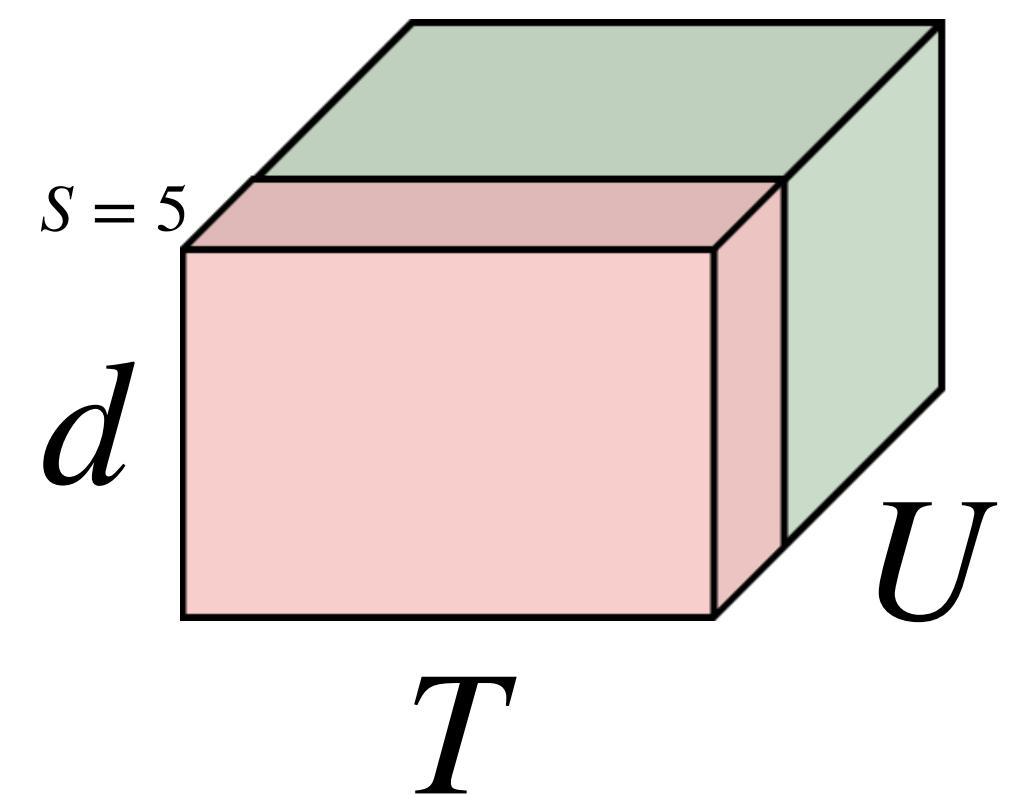
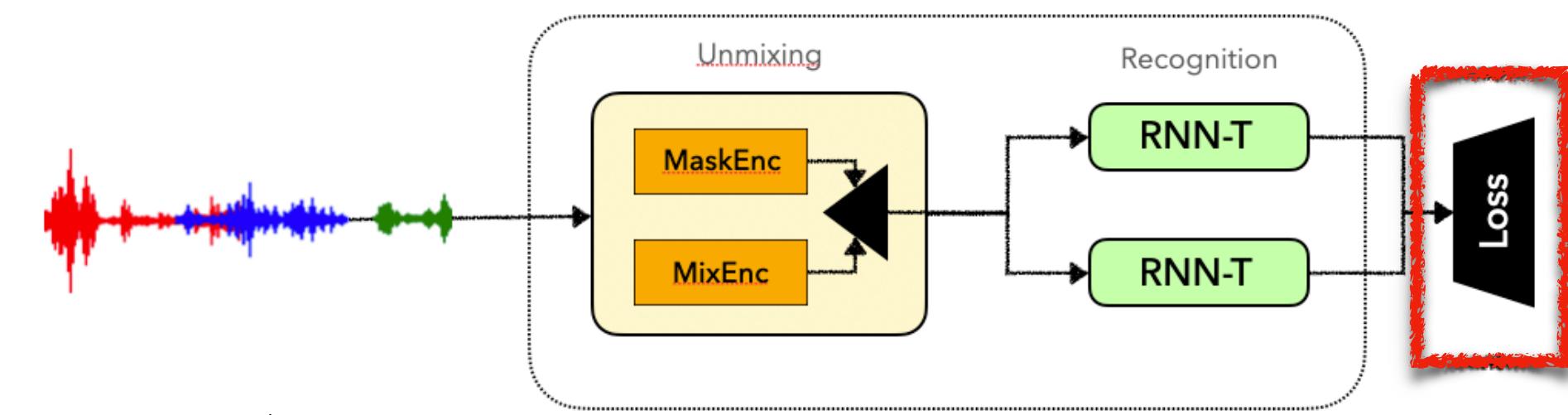
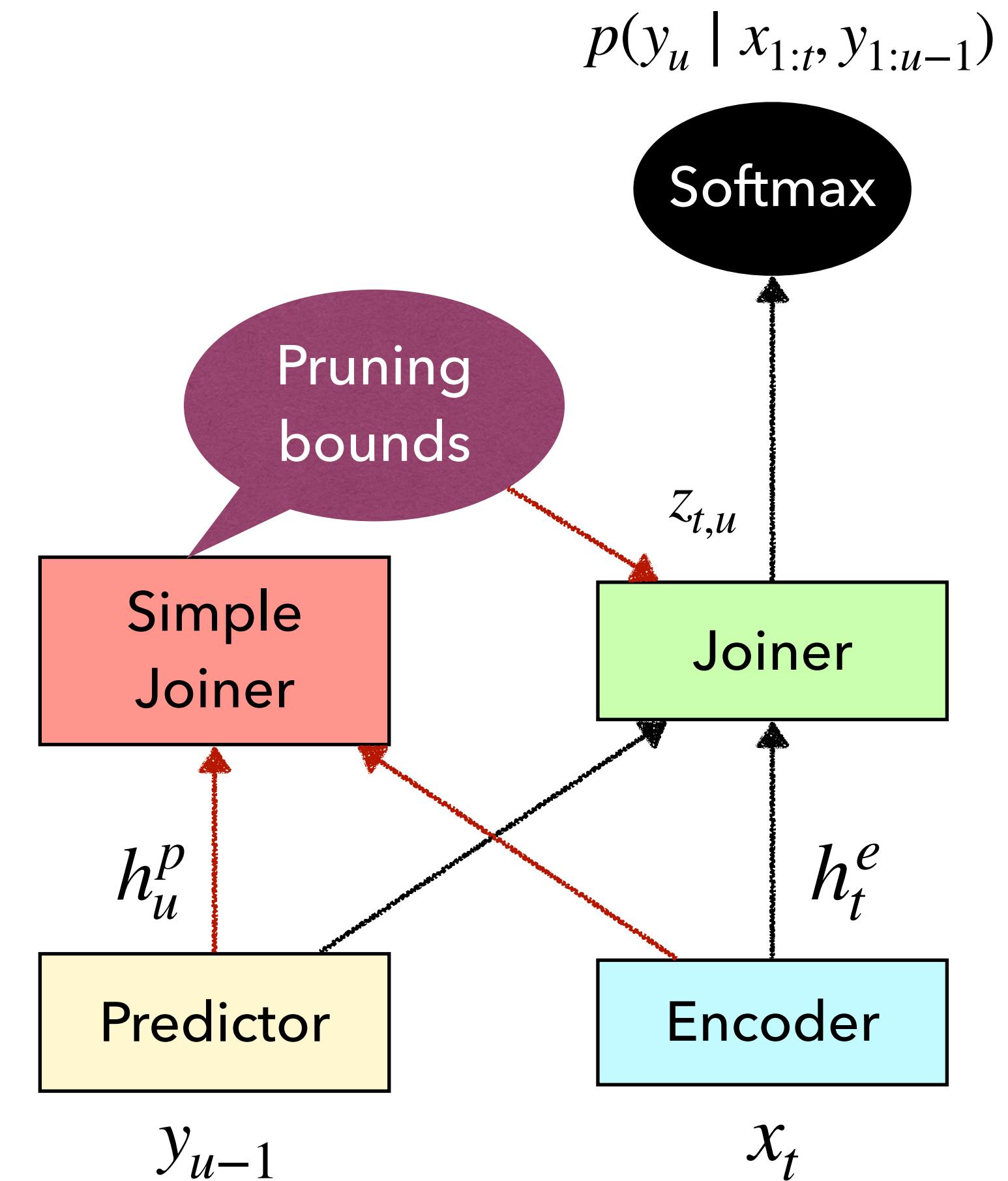
1. Subsampling in intermediate layers
2. Shared self-attention weights in each zipformer “block”
3. Other things (e.g., ScaledAdam)



# Making training efficient

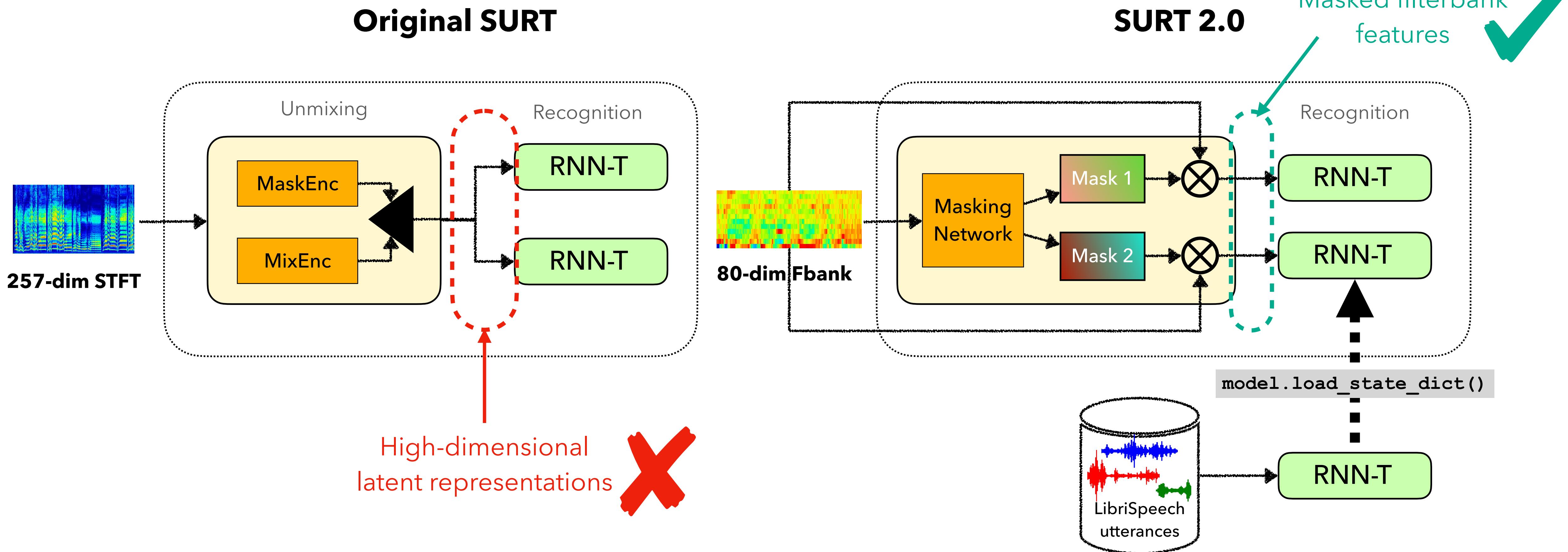
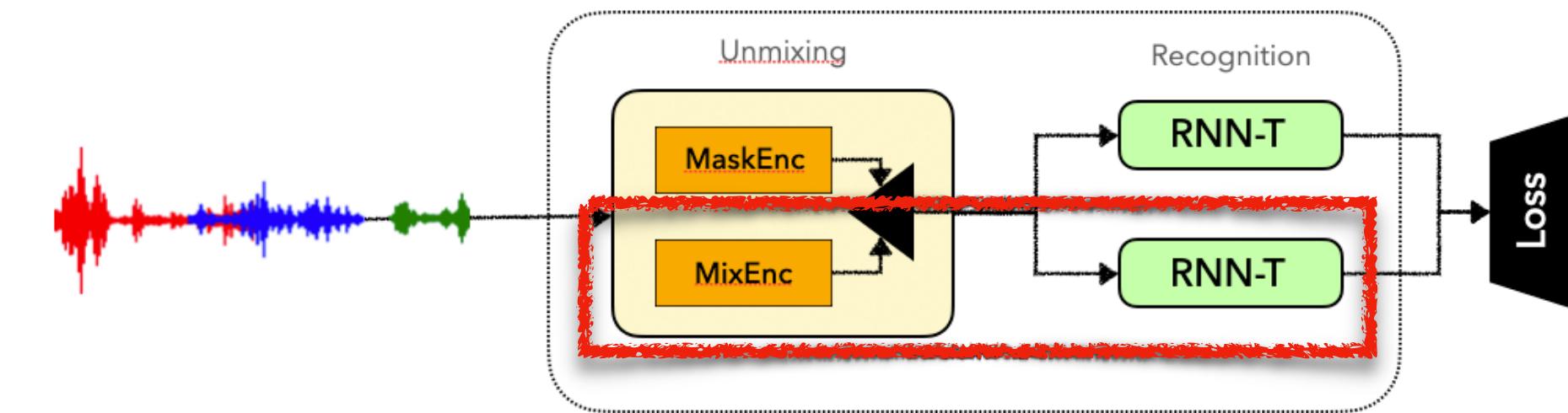
## #3: Pruned transducer loss

1. Compute pruning range using a “simple” joiner
2. Compute full loss on pruned alignments



# Making training efficient

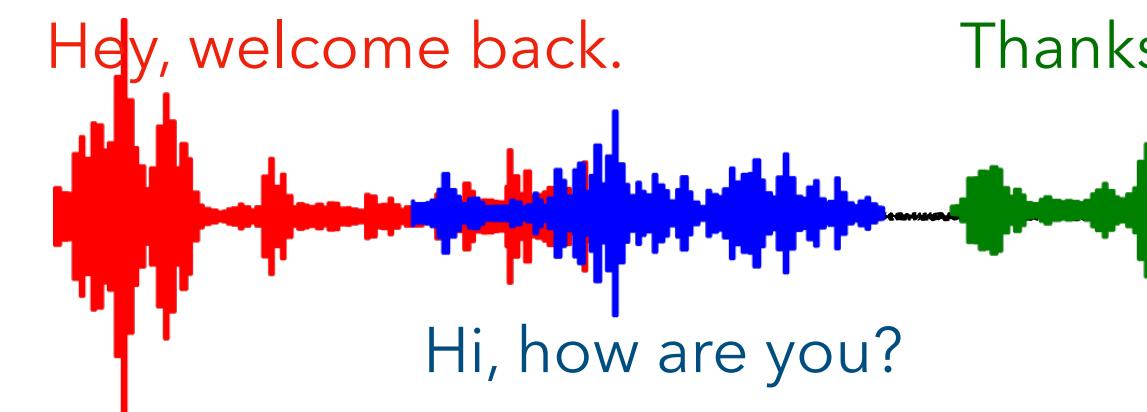
## #4: Single-speaker pre-training



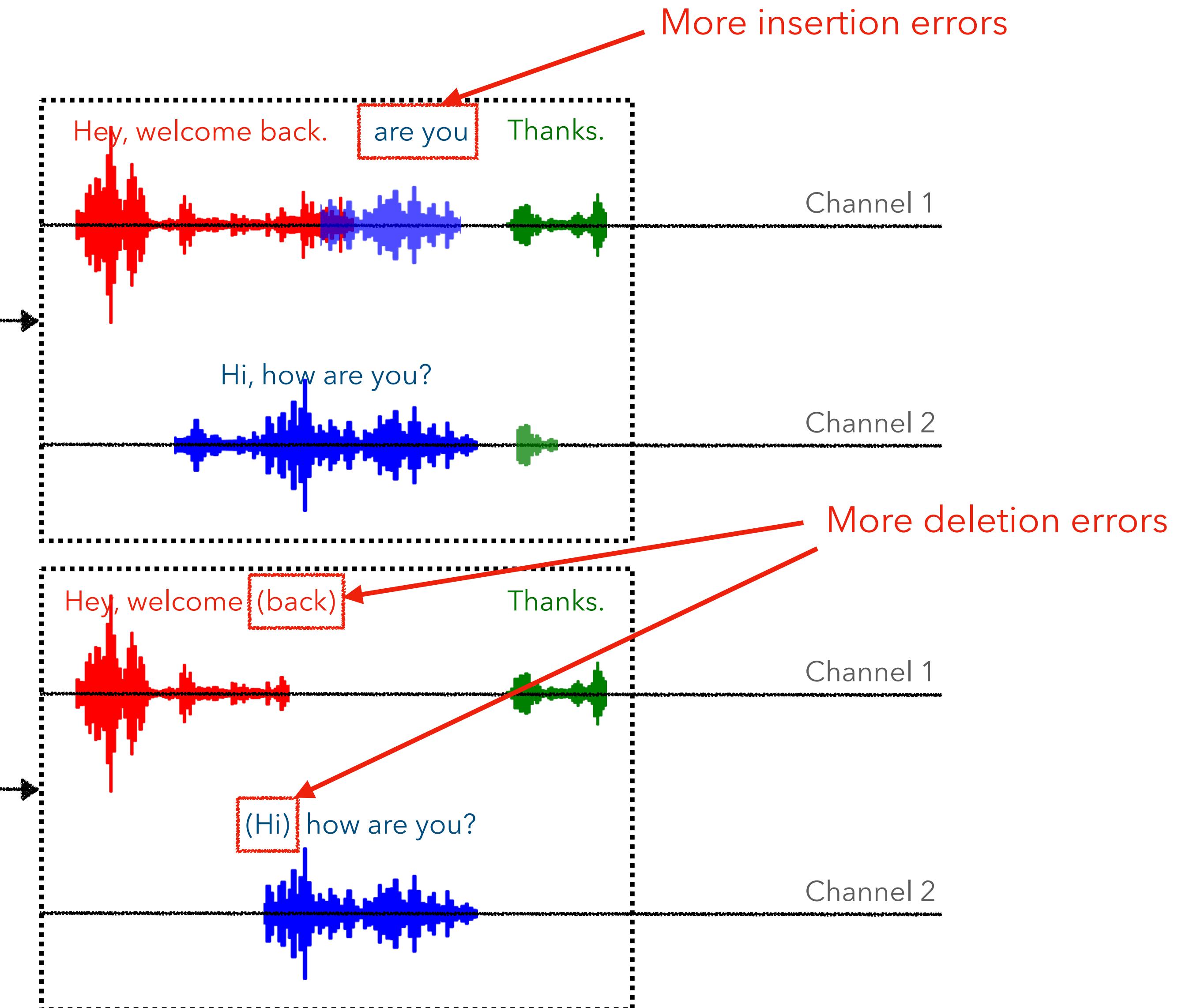
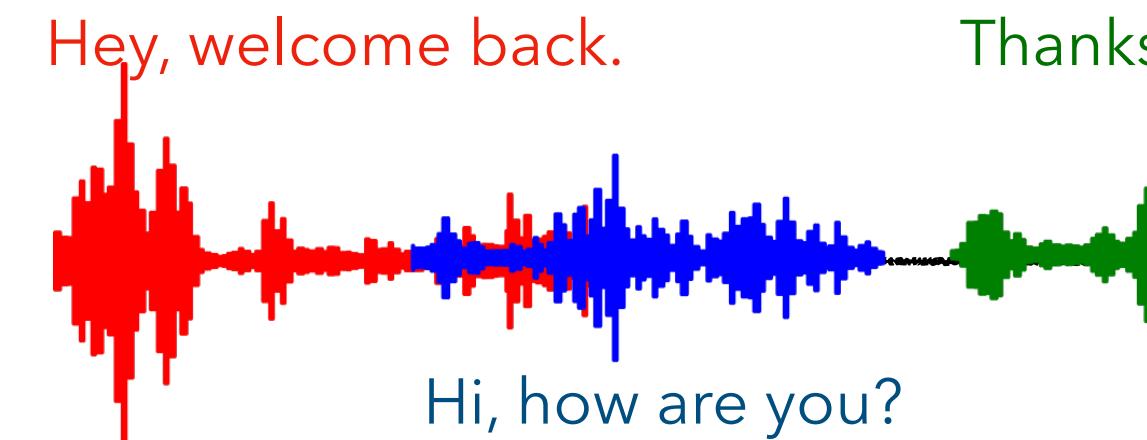
# Leakage and omission errors

Caused by sparse overlaps

**Leakage**



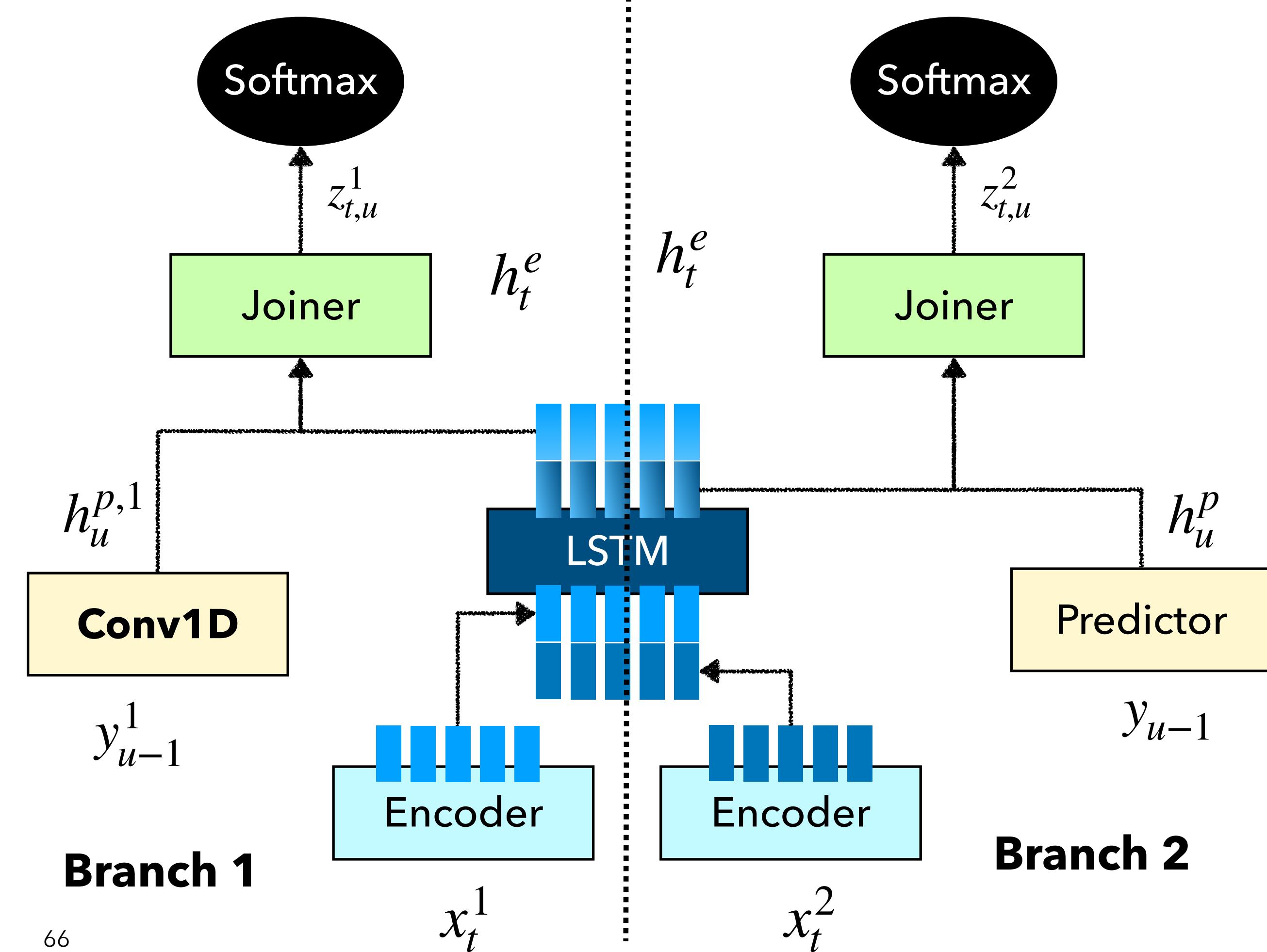
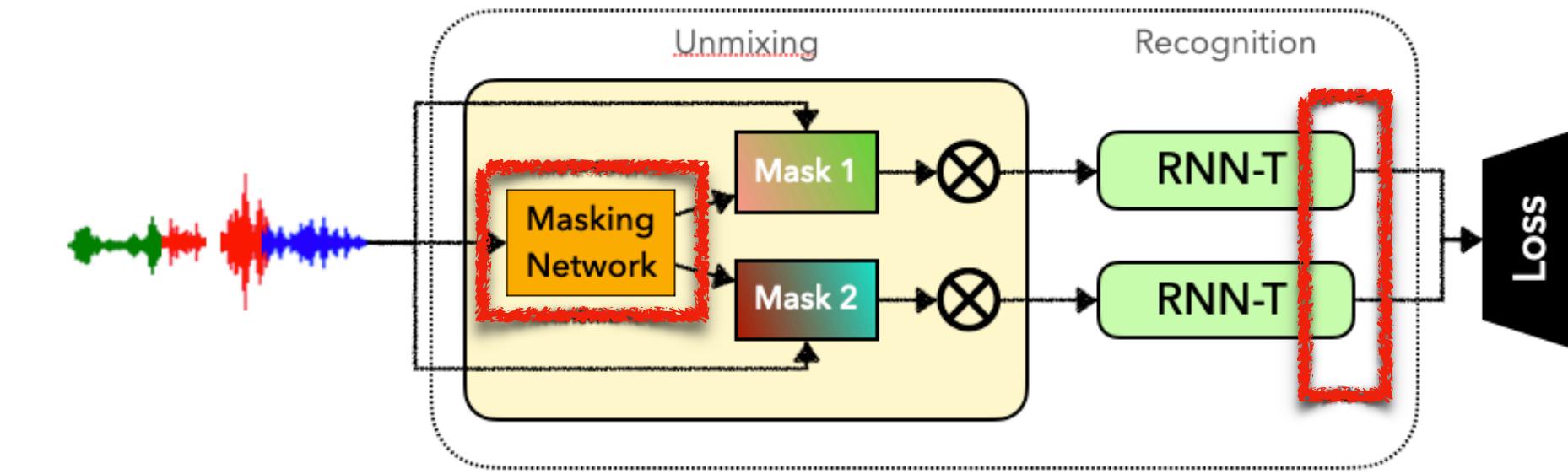
**Omission**



# Leakage and omission errors

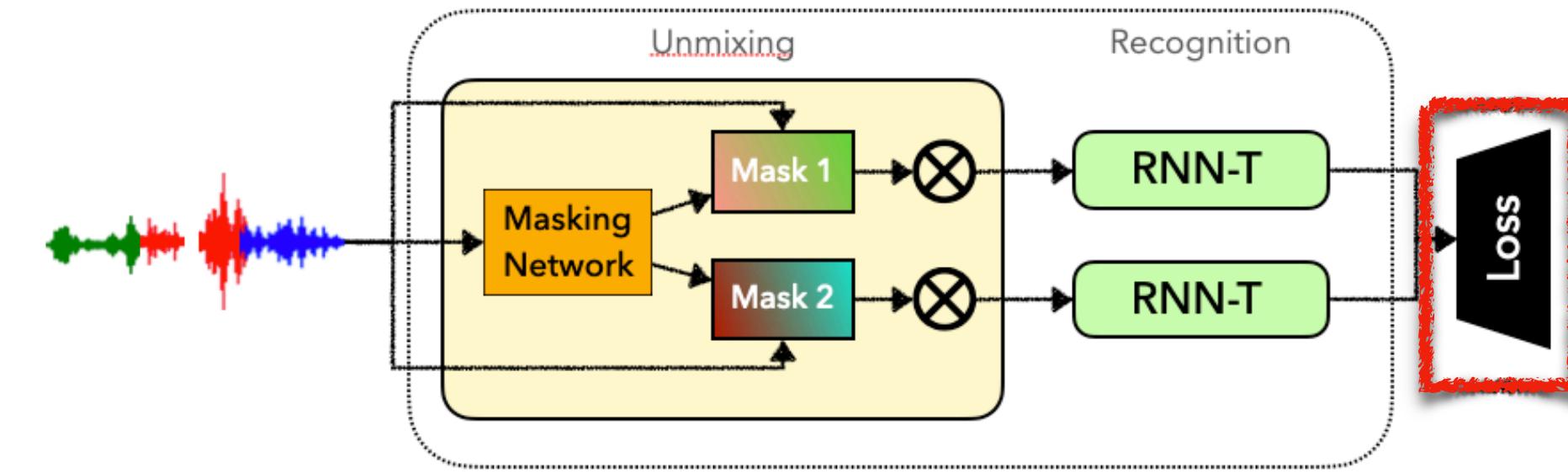
## #1: DP-LSTM, branch tying, stateless decoder

1. Use **dual-path LSTM** instead of Conv2D in masking network
2. Encoder branches are “tied” at the output
3. “Stateless” decoder to improve short turn-taking



# Leakage and omission errors

## #2: Masking loss and encoder CTC loss



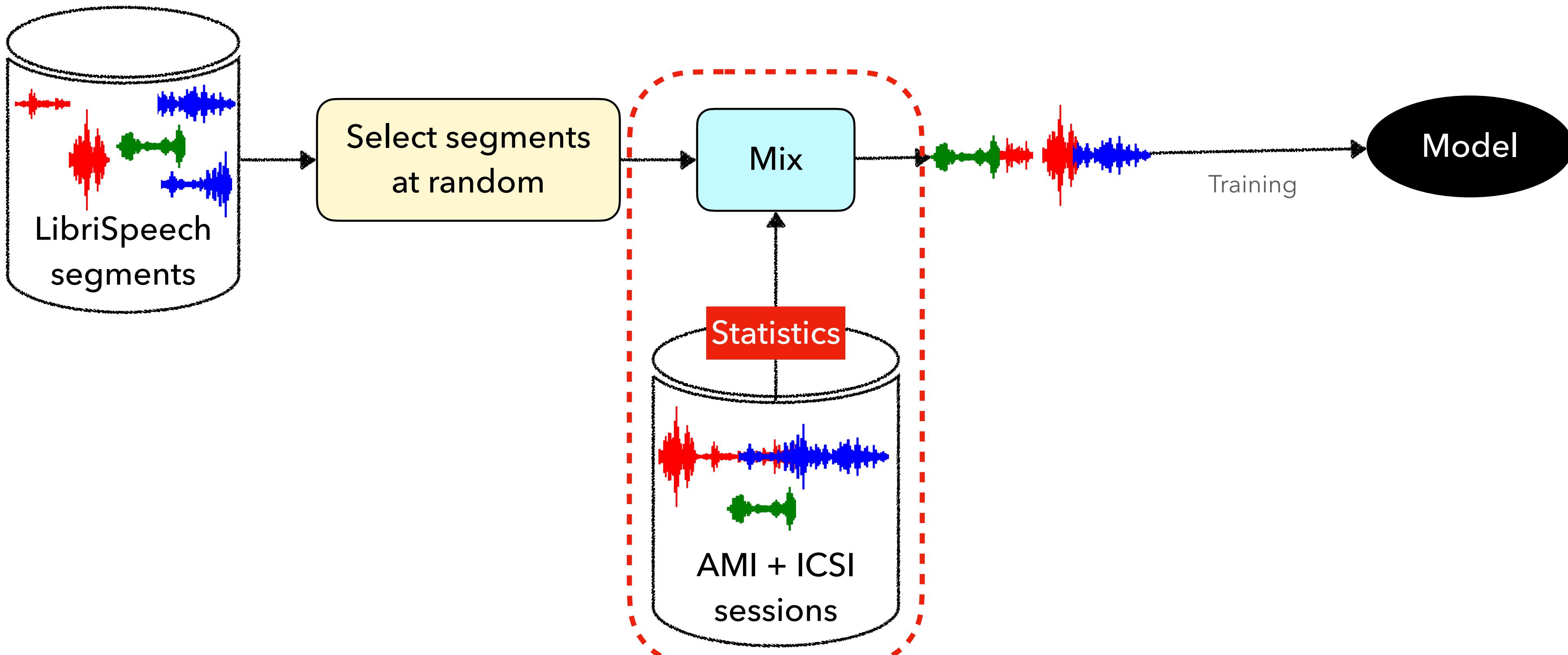
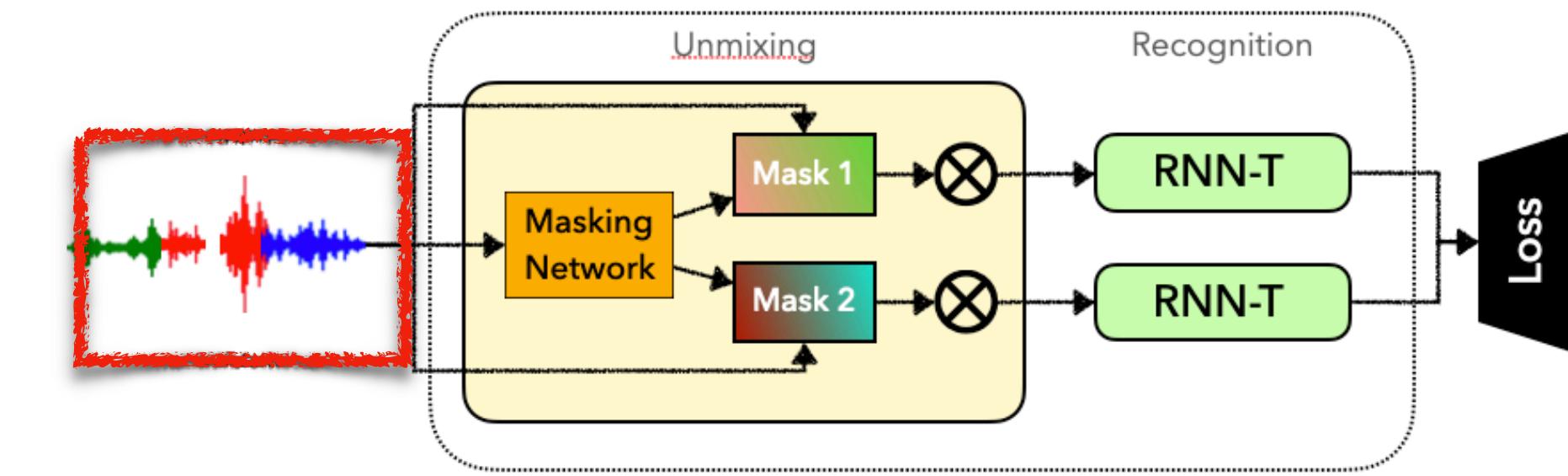
We use 2 auxiliary loss functions:

1. **CTC loss** at the output of the encoder (for better alignment)
2. **MSE loss** on the masked filterbanks (for better separation)

$$\mathcal{L} = \mathcal{L}'_{\text{rnnt}} + \lambda_{\text{ctc}} \mathcal{L}_{\text{ctc}} + \lambda_{\text{mask}} \mathcal{L}_{\text{mask}}$$

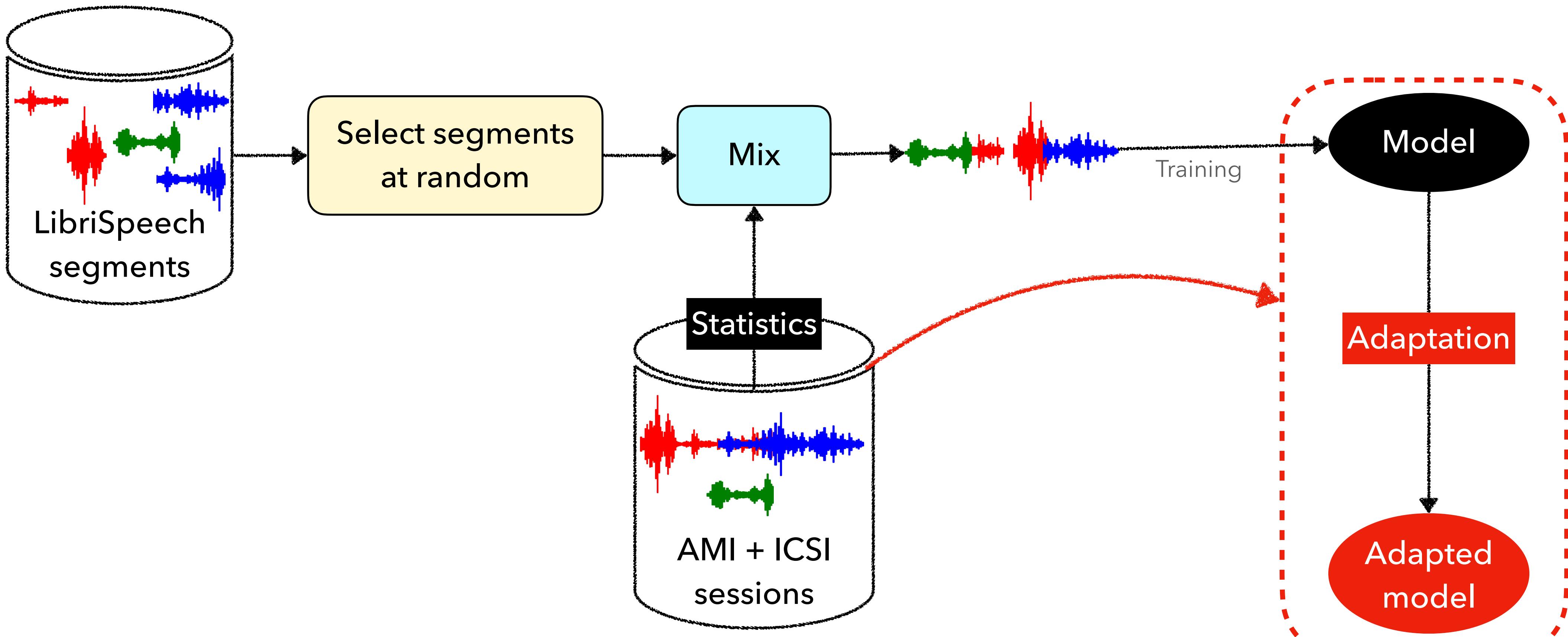
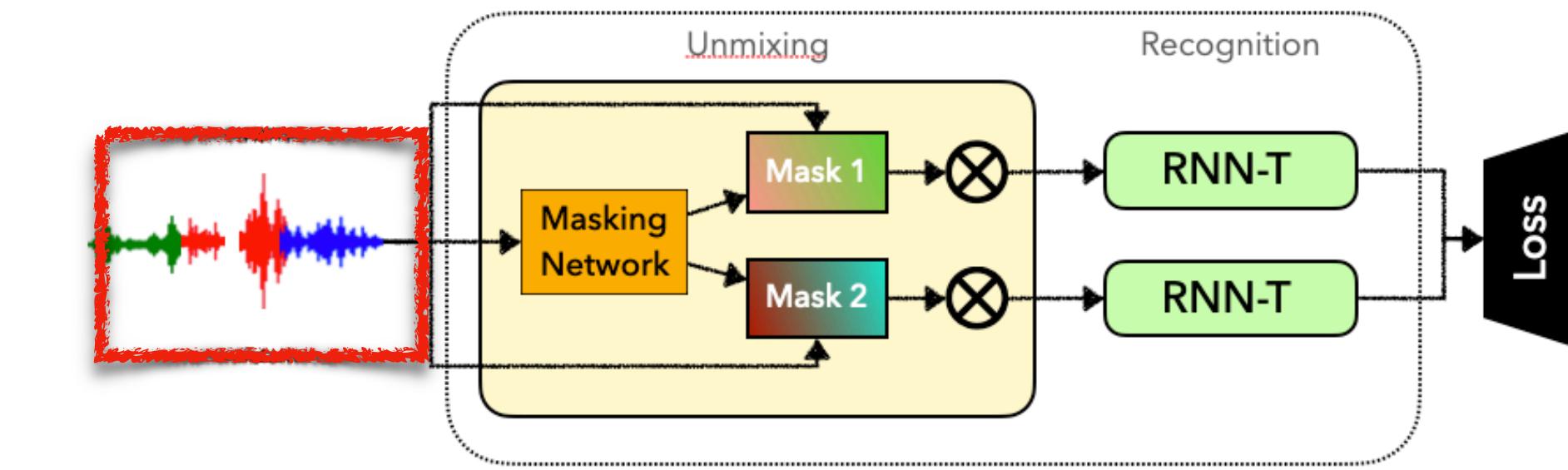
# Performance on real meetings

## #1: Simulation using real meeting statistics



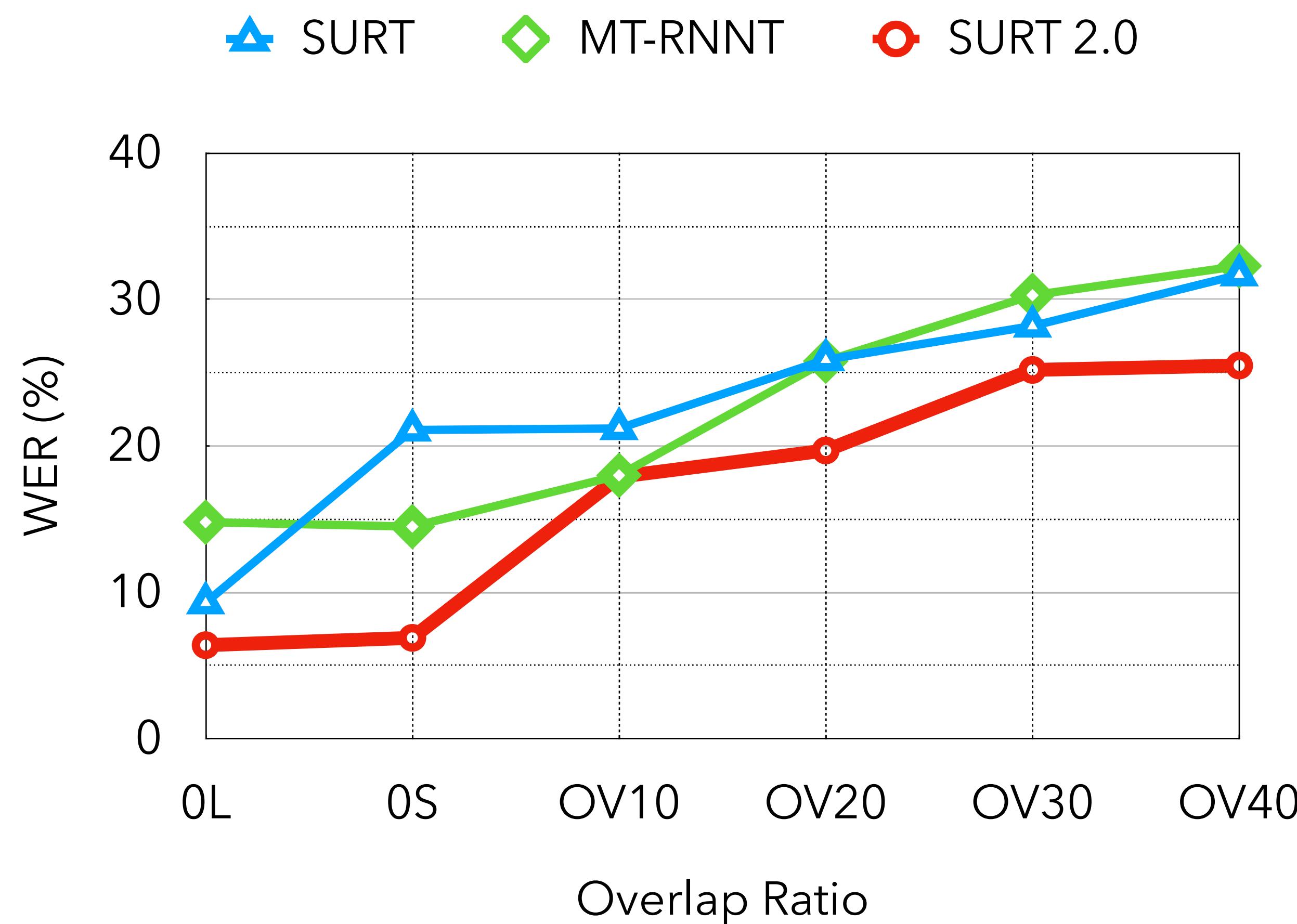
# Performance on real meetings

## #2: Domain adaptation



# Results on LibriCSS

#1: SURT 2.0 outperforms original SURT



Model	# params (M)	WER (%)
SURT	42.9	22.9
MT-RNNT	81.0	22.6
<b>SURT 2.0</b>	<b>37.9</b>	<b>16.9</b>

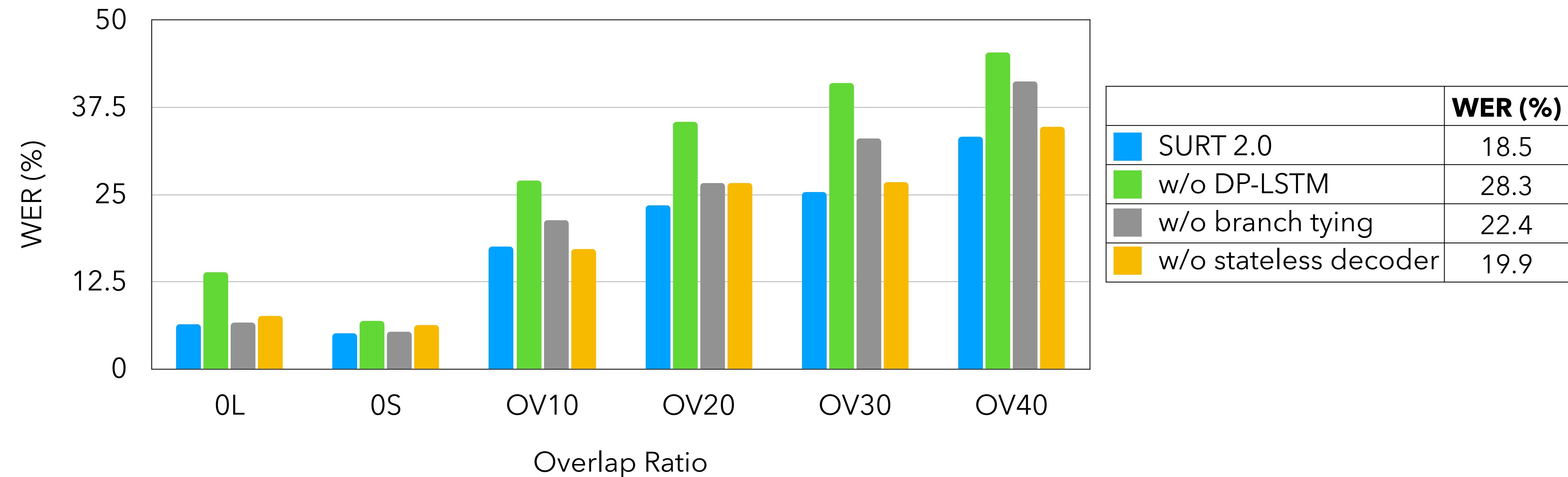
16 x V100

4 x V100

# Results on LibriCSS

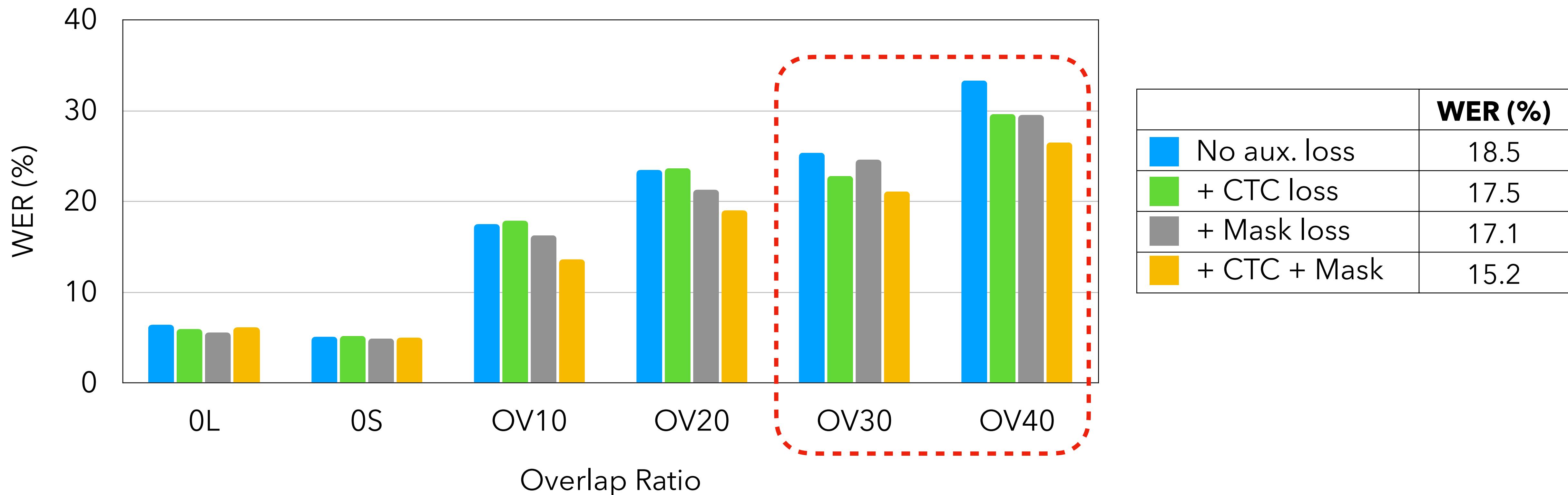
## #2: Effect of architectural changes

- Most improvement comes from using DP-LSTM in masking network.



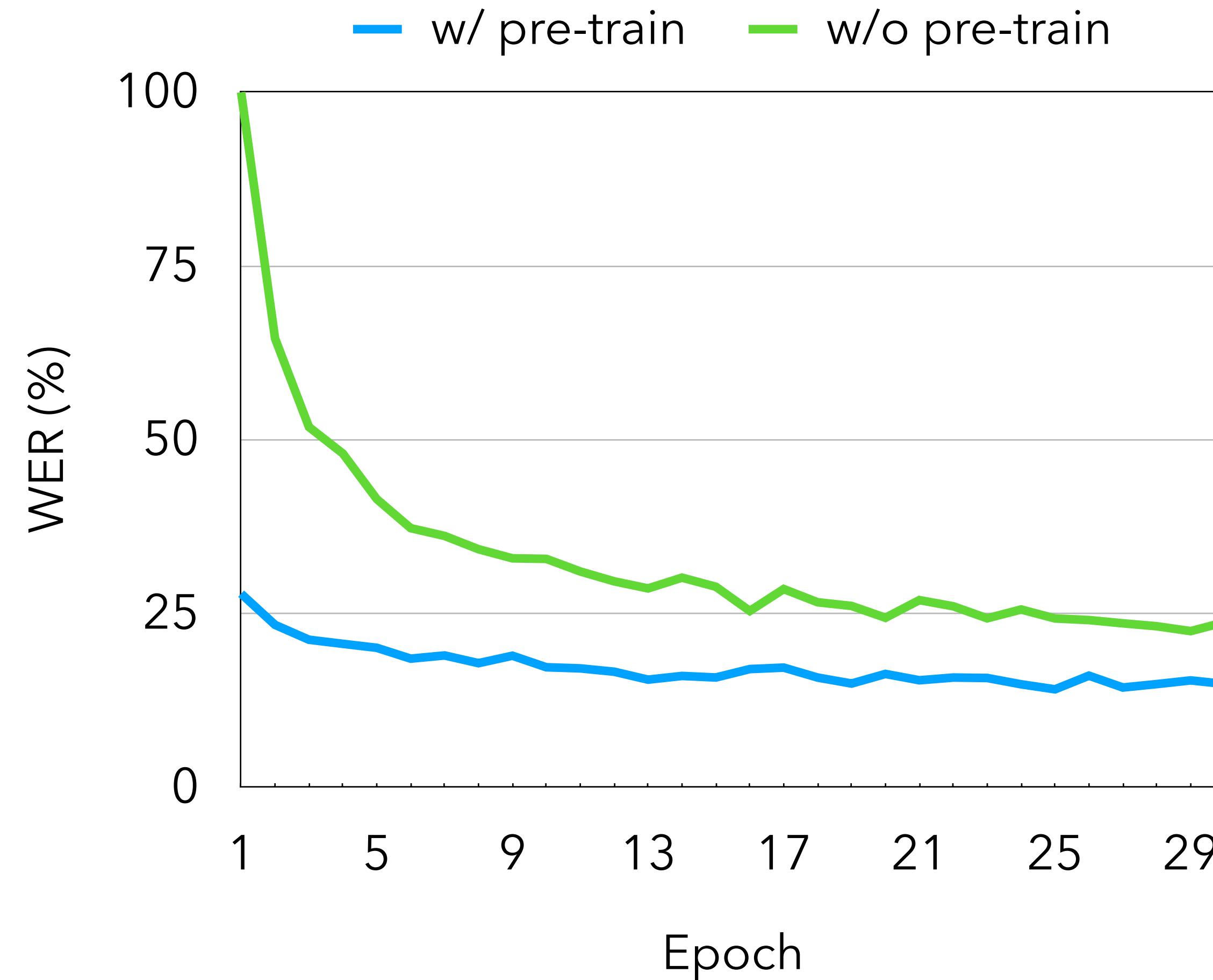
# Results on LibriCSS

## #3: Effect of auxiliary objectives



# Results on LibriCSS

#4: Single speaker pre-training is critical



# Results on real meetings

## AMI and ICSI

AMI

	IHM-Mix	SDM	MDM (beamform)
SURT 2.0	36.8	62.5	44.4
+ adapt.	35.1	44.6	41.4

ICSI

	IHM-Mix	SDM
SURT 2.0	27.8	59.7
+ adapt.	24.4	32.2

# End-to-end multi-talker ASR

## Next steps

1. How to perform word-level speaker attribution?
2. Decoding/rescoring across branches
3. Can we use pre-trained self-supervised models for the encoder?



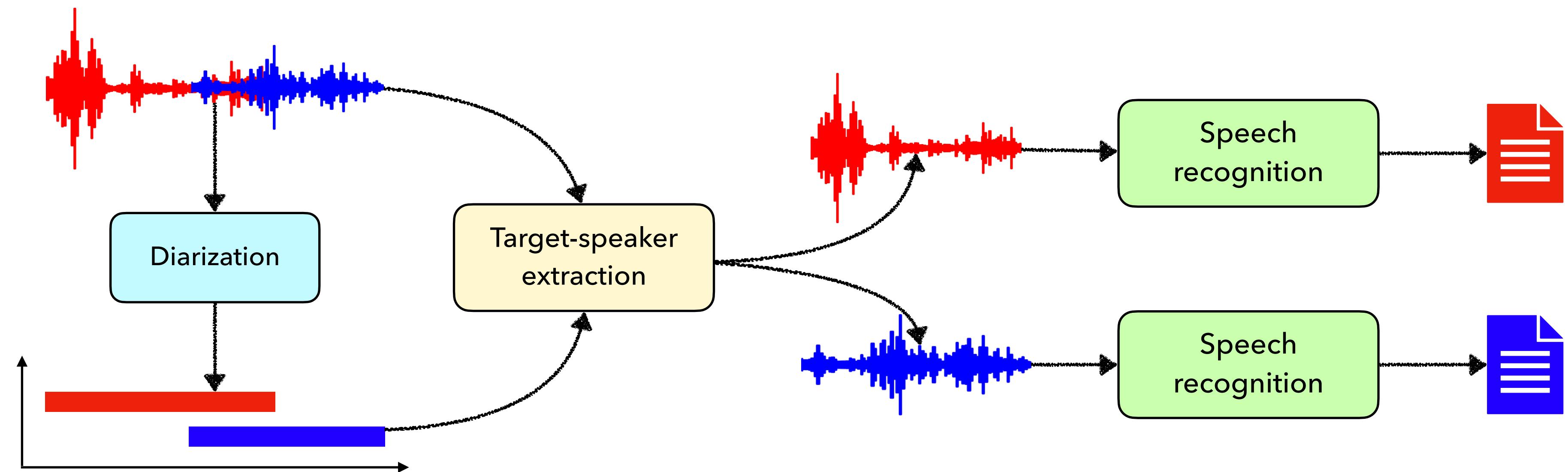
# Multi-talker ASR + diarization

## Further reading

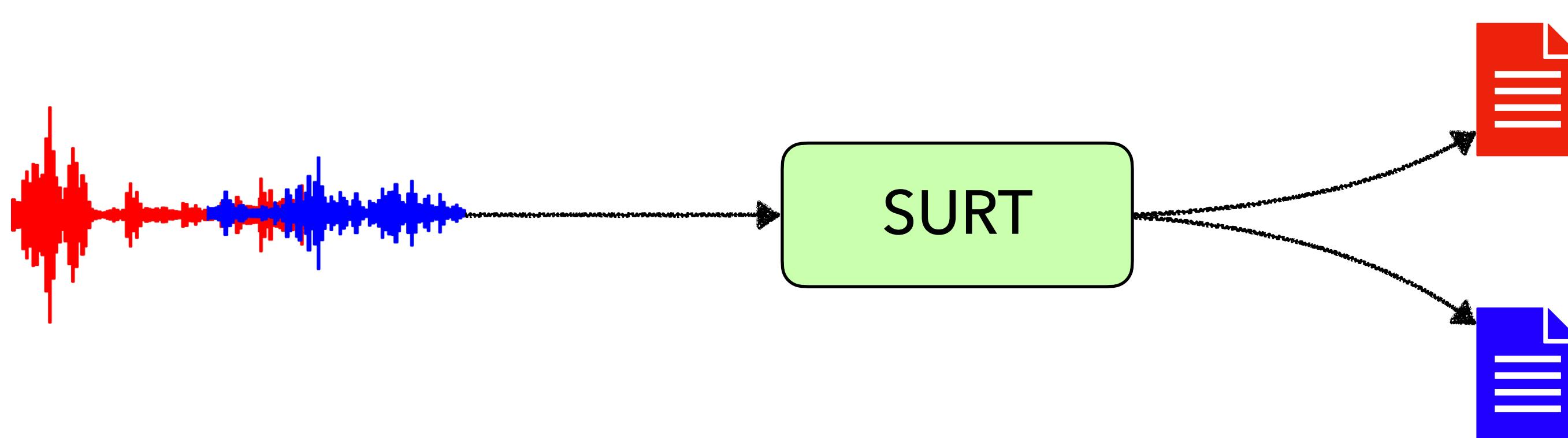
1. “The JHU multi-microphone multi-speaker ASR system for the CHiME-6 challenge.” A. Arora\*, **D. Raj\***, A. S. Subramanian\*, K. Li\*, B. Benyair, M. Maciejewski, P. Zelasko, P. Garcia, S. Watanabe, S. Khudanpur. *The 6th CHiME Workshop*, 2020.
2. “Integration of speech separation, diarization, and recognition for multi-speaker meetings: System description, comparison, and analysis.” **D. Raj**, P. Denisov, Z. Chen, H. Erdogan, Z. Huang, M. He, S. Watanabe, J. Du, T. Yoshioka, Y. Luo, N. Kanda, J. Li, S. Wisdom, J. R. Hershey. *IEEE SLT 2021*.
3. “Joint speaker diarization and speech recognition based on region proposal networks.” Z. Huang, M. Delcroix, P. Garcia, S. Watanabe, **D. Raj**, S. Khudanpur. *Computer, Speech, and Language*, Vol. 72.
4. “The CHiME-7 DASR Challenge: Distant Meeting Transcription with Multiple Devices in Diverse Scenarios.” S. Cornell, M. Wiesner, S. Watanabe, **D. Raj**, X. Chang, P. García, Y. Masuyama, Z. Wang, S. Squartini, and S. Khudanpur. *ArXiv*, 2023.

- Overlap-aware spectral clustering
- Ensemble methods

- GPU-accelerated **GSS** for multi-channel extraction
- Using wake-words for **target-speaker ASR**



# Questions?



- **Efficient training** of transducer-based multi-talker ASR
- Improvements in modeling, mixture simulation, and training strategies