

# Lab 4: Frequency Response and Sampling

Due Date: 3/23 @ 11:59PM

This lab will cover the frequency response of LSI systems, the frequency content of digital signals, and sampling basics. We have some interesting applications to get to, so let's get started!

## Discrete Time Fourier Transform and Frequency Response

We will begin with a brief overview of the Discrete Time Fourier Transform (DTFT) and the frequency response of LSI systems.

The DTFT is the discrete-time version of our continuous-time Fourier transform (CTFT) from ECE 210. Like the CTFT, the DTFT is a complex-valued function that allows us to examine the frequency content of a signal or system. The DTFT is defined by

$$X(\omega) = \sum_{n=-\infty}^{\infty} x[n]e^{-j\omega n}$$

We also must remember that the DTFT is completely represented by digital frequencies  $-\pi$  to  $\pi$  and is  $2\pi$  periodic. Be careful labeling your frequency axis when taking the DTFT. In Python, we are able to take the DTFT of a signal using numpy's `fft` module. The two main functions we will use from this module are `numpy.fft.fft()` and `numpy.fft.rfft()`. The "fft" in these functions is the Fast Fourier Transform, which is a computationally efficient way of computing the Discrete Fourier Transform of digital signals. You will learn more about the DFT and FFT in ECE 310 and Lab 5 of this course, but for this lab just think of it as a way of computing the DTFT of a digital signal.

Let's look at example usage for these two functions and what makes them different.

```
In [2]:
import numpy as np
import matplotlib.pyplot as plt

from IPython.display import Audio
from scipy import signal
from scipy.io import wavfile
from skimage.io import imread
from sklearn.cluster import KMeans

%matplotlib inline
```

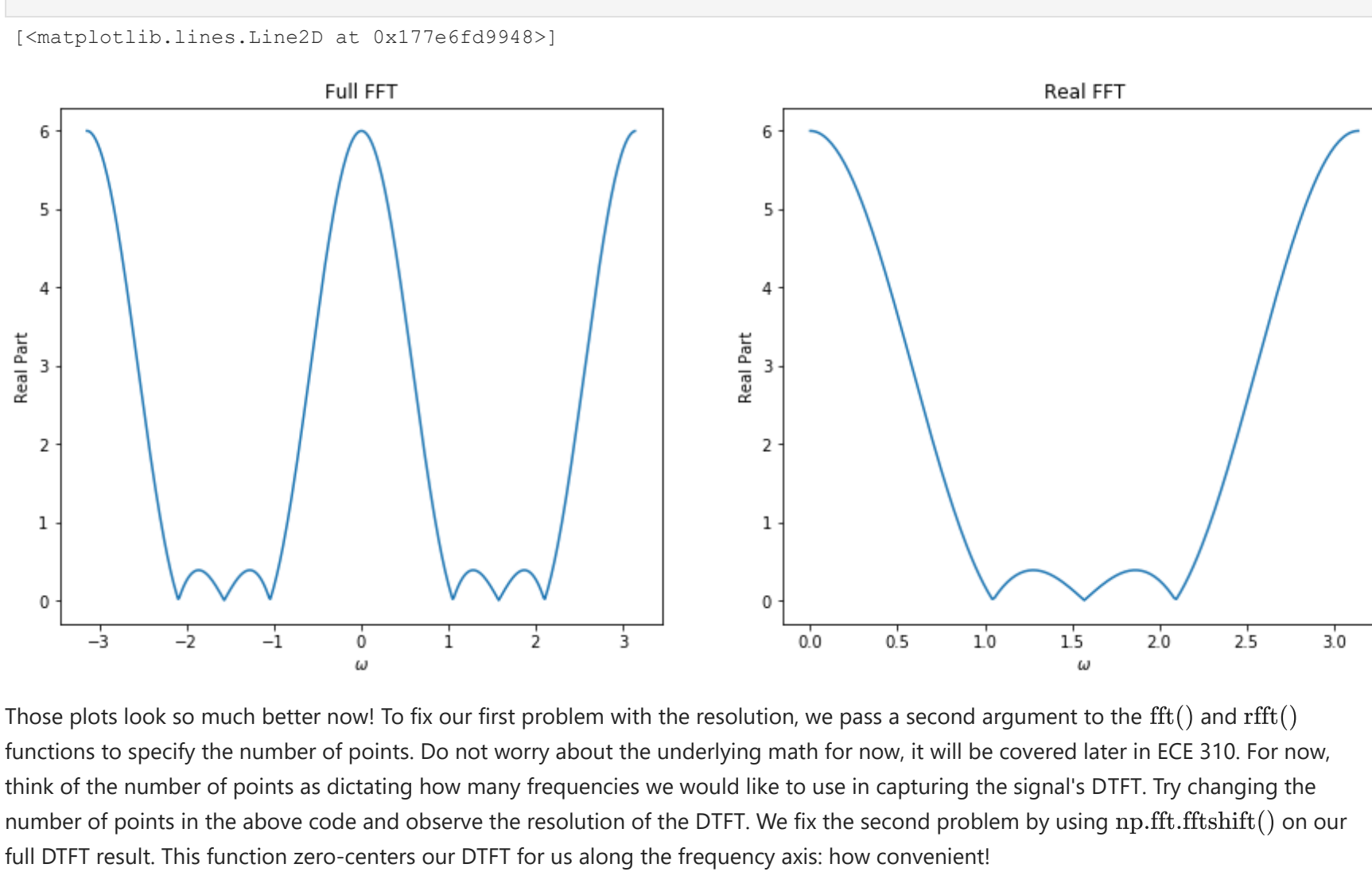
```
In [3]:
x = [0,1,0,2,0,2,0,1,0] #test signal
full_fft = np.fft.fft(x)
real_fft = np.fft.rfft(x)

omega_full = np.linspace(0,2*np.pi,len(full_fft)) #left limit, right limit, # pts
omega_real = np.linspace(0,np.pi,len(real_fft))

plt.figure(figsize=(15,6))
plt.subplot(121)
plt.title('Full FFT')
plt.xlabel('$\omega$')
plt.ylabel('Magnitude Response')
plt.plot(omega_full,np.absolute(full_fft))

plt.subplot(122)
plt.title('Real FFT')
plt.xlabel('$\omega$')
plt.ylabel('Magnitude Response')
plt.plot(omega_real,np.absolute(real_fft))

Out[3]:
<matplotlib.lines.Line2D at 0x177e6e4b1c8>
```



Observe the differences between the `fft()` and `rfft()` results. The way we have created the frequency axis points may have spoiled the answer, but we see that the `fft()` function returns a DTFT with frequencies from  $0$  to  $2\pi$  while `rfft()` just gives us  $0$  to  $\pi$ ; the `fft()` frequencies. It is important to acknowledge when the real frequencies are sufficient. If our signal is real-valued, we know that our spectrum will be Hermitian symmetric. In other words:

$$x[n] \text{ real} \implies X(\omega) = X^*(-\omega),$$

where  $X^*$  refers to the complex conjugate of the DTFT. Why is this important? Well, if our spectrum is Hermitian symmetric, then the spectrum's magnitude response is even symmetric and its phase response is odd symmetric:

$$x[n] \text{ real} \implies |X(\omega)| = |X(-\omega)| \text{ and } \angle X(\omega) = -\angle X(-\omega).$$

Thus, if we want to look at the magnitude spectrum of a real-valued signal, it is sufficient to just look at the  $0$  to  $\pi$  interval since it contains all unique information about the frequency content of our signal.

Now, let's make our plots look nicer too. We currently have a couple issues with them. First, they are very low resolution and coarse. Second, the full DTFT example is not zero-centered. Both problems can easily be fixed as follows:

```
In [6]:
x = [0,1,0,2,0,2,0,1,0]

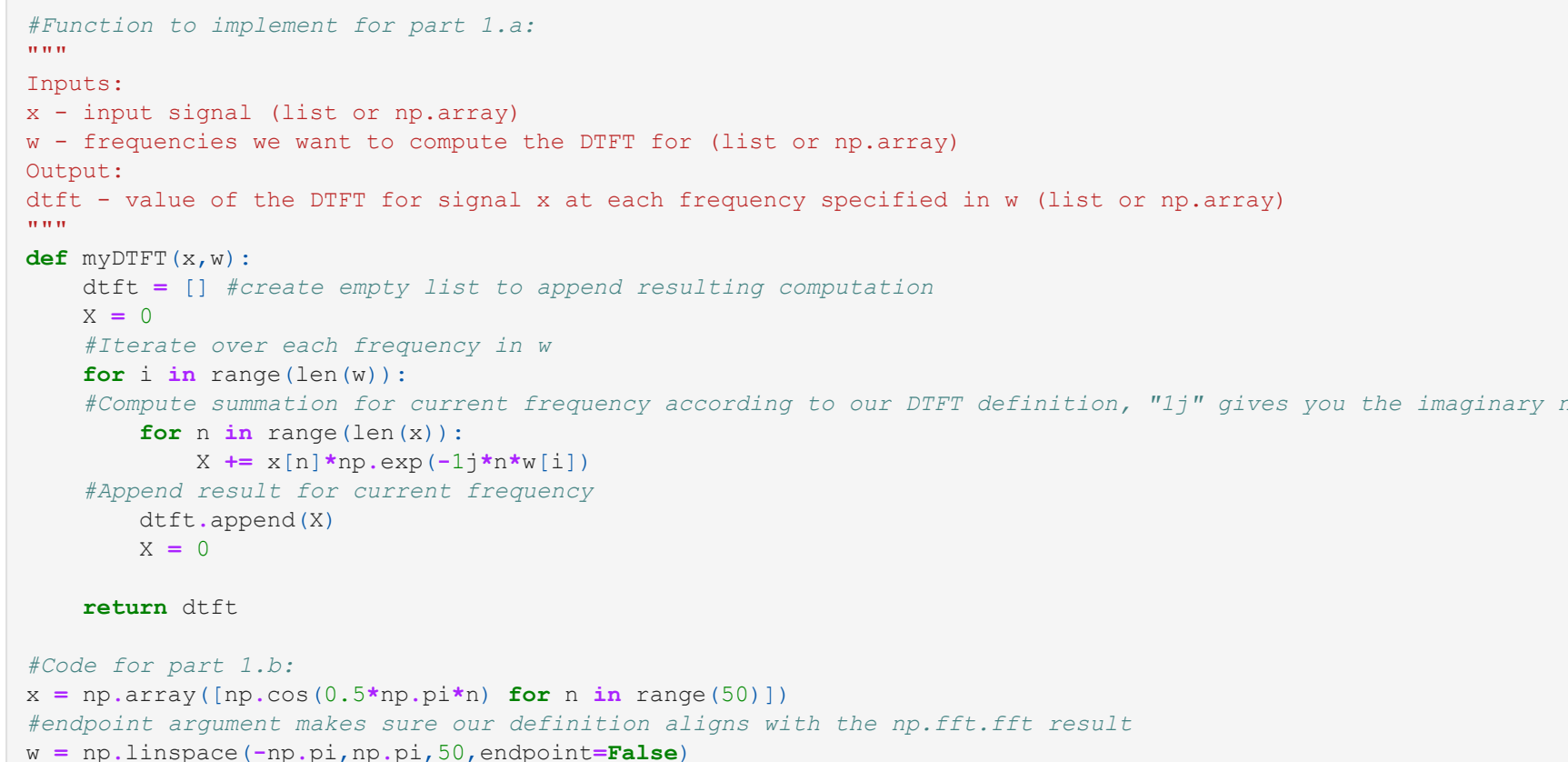
full_fft = np.fft.fft(x,512)
centered_fft = np.fft.fftshift(full_fft) #shifts central frequency to middle of array
real_fft = np.fft.rfft(x,512)

omega_full = np.linspace(-np.pi,np.pi,len(centered_fft)) #new frequency axis
omega_real = np.linspace(0,np.pi,len(real_fft))

plt.figure(figsize=(15,6))
plt.subplot(121)
plt.title('Full FFT')
plt.xlabel('$\omega$')
plt.ylabel('Magnitude Response')
plt.plot(omega_full,np.absolute(centered_fft))

plt.subplot(122)
plt.title('Real FFT')
plt.xlabel('$\omega$')
plt.ylabel('Magnitude Response')
plt.plot(omega_real,np.absolute(real_fft))

Out[6]:
<matplotlib.lines.Line2D at 0x177e6fd9948>
```



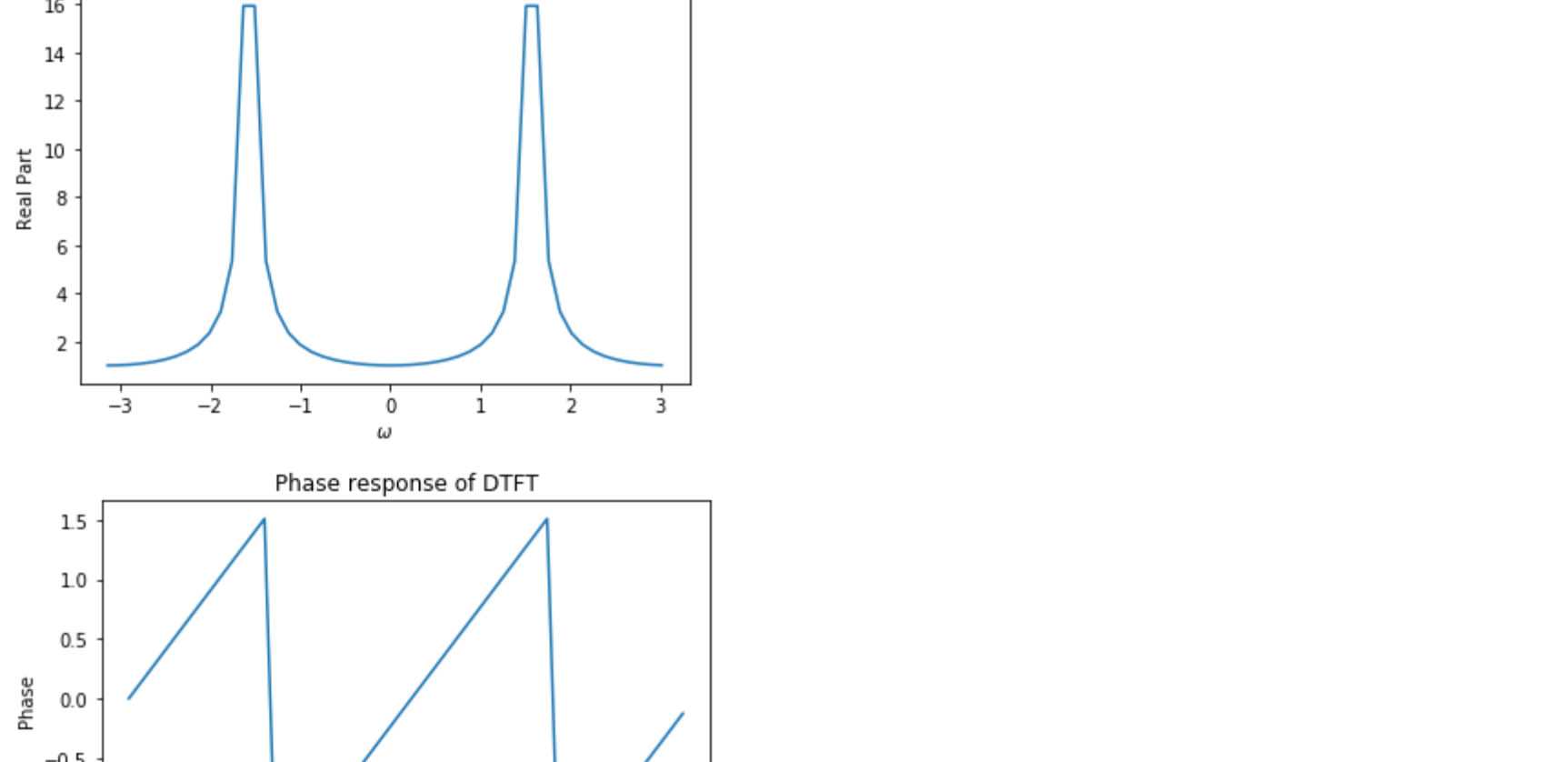
Those plots look so much better now! To fix our first problem with the resolution, we pass a second argument to the `fft()` and `rfft()` functions to specify the number of points. Do not worry about the underlying math for now, it will be covered later in ECE 310. For now, think of the number of points as dictating how many frequencies we would like to use in capturing the signal's DTFT. Try changing the number of points in the above code and observe the resolution of the DTFT. We fix the second problem by using `np.fft.fftshift()` on our full DTFT plot. This function zero-centers our DTFT for us along the frequency axis; how convenient!

**For the rest of this lab and in the future, it is critical you remember these tips. Always make sure your FFT has a enough points to look clean, and always make sure to appropriately label your frequency axis. Also, for this lab, when we say to "take the DTFT of a signal", use the `np.fft.rfft()` function unless noted otherwise since we will only work with real signals.**

Lastly, let's see how we can look at the frequency response of an LSI system. The function we will use is `signal.freqz()`, which returns the normalized digital frequencies and frequency response given the numerator and denominator coefficients for an LSI system's transfer function. It is convenient to plot a system's frequency response on a dB scale ( $20 \cdot \log_{10}(x)$ ).

```
In [5]:
b = [np.sin((np.pi/21)*n)/(0.5*np.pi*n) if n != 0 else 1 for n in range(-100,101)] #numerator coefficients
a = [1,0] #denominator coefficients
w,h = signal.freqz(b,a) #w = omega/digital frequencies, h = frequency response
plt.figure(figsize=(10,6))
plt.title('Toy Frequency Response')
plt.plot(w,20*np.log10(np.absolute(h))) #plot magnitude of frequency response with db-scaling on y-axis
real_fft = np.fft.rfft(h)
plt.ylabel('Magnitude Response (dB)')

Text(0, 0.5, 'Magnitude Response (dB)')
```



## Exercise 1: Implementing the DTFT

We will begin by implementing the DTFT according to the above definition for an arbitrary collection of frequencies. We will test using signals over a finite support, so we will modify our definition of the DTFT to simply say

$$X(\omega) = \sum_{n=0}^{N-1} x[n]e^{-j\omega n}$$

a. Implement the `myDTFT()` function below, which returns the DTFT values for a given list of digital frequencies.

b. Use your DTFT function to compute the DTFT of  $x[n] = \cos(\frac{\pi}{5}n)$ ,  $0 \leq n < 50$  for 50 evenly spaced frequencies from  $-\pi$  to  $\pi$  (non-inclusive). Also, compute the DTFT of  $x[n]$  using `np.fft.fft()`. Plot the magnitude and phase of the two implementations in separate figures to verify you achieve the same result. Use `np.absolute()` and `np.angle()` for the magnitude and phase responses, respectively. Don't forget to zero-center the frequency axis of the `np.fft.fft()` result using `np.fft.fftshift()`.

c. Theoretically, the DTFT of  $\cos(\omega_0 n)$  should give us Kronecker deltas at  $\pm\omega_0$ . However, we see our implementation and the numpy DTFT function result in some non-ideal representation, like in the ramping behavior around the frequencies of the cosine. Why does this happen? Hint: consider how our practical definition of the DTFT in this exercise differs from the theoretical definition of the DTFT.

```
In [14]:
#Function to implement for part 1.a:
def myDTFT(x,w):
    dtft = [] #create empty list to append resulting computation
    X = 0
    #Iterate over each frequency in w
    for i in range(len(w)):
        #Compute summation for current frequency according to our DTFT definition, "i" gives you the imaginary unit
        for n in range(len(x)):
            X = x[n]*np.exp(-1j*w[i]*n)
        #Append result for current frequency
        dtft.append(X)
        X = 0
    return dtft

#Code for part 1.b:
x = np.array([np.cos(0.5*np.pi*n) for n in range(50)])
#endplot argument makes sure our definition aligns with the np.fft.fft result
w = np.linspace(-np.pi,np.pi,np.pi,50,endpoint=False)

x_mydtft = myDTFT(x, w)
x_dft = np.fft.fft(x)
x_dft = np.fft.fftshift(x_dft)

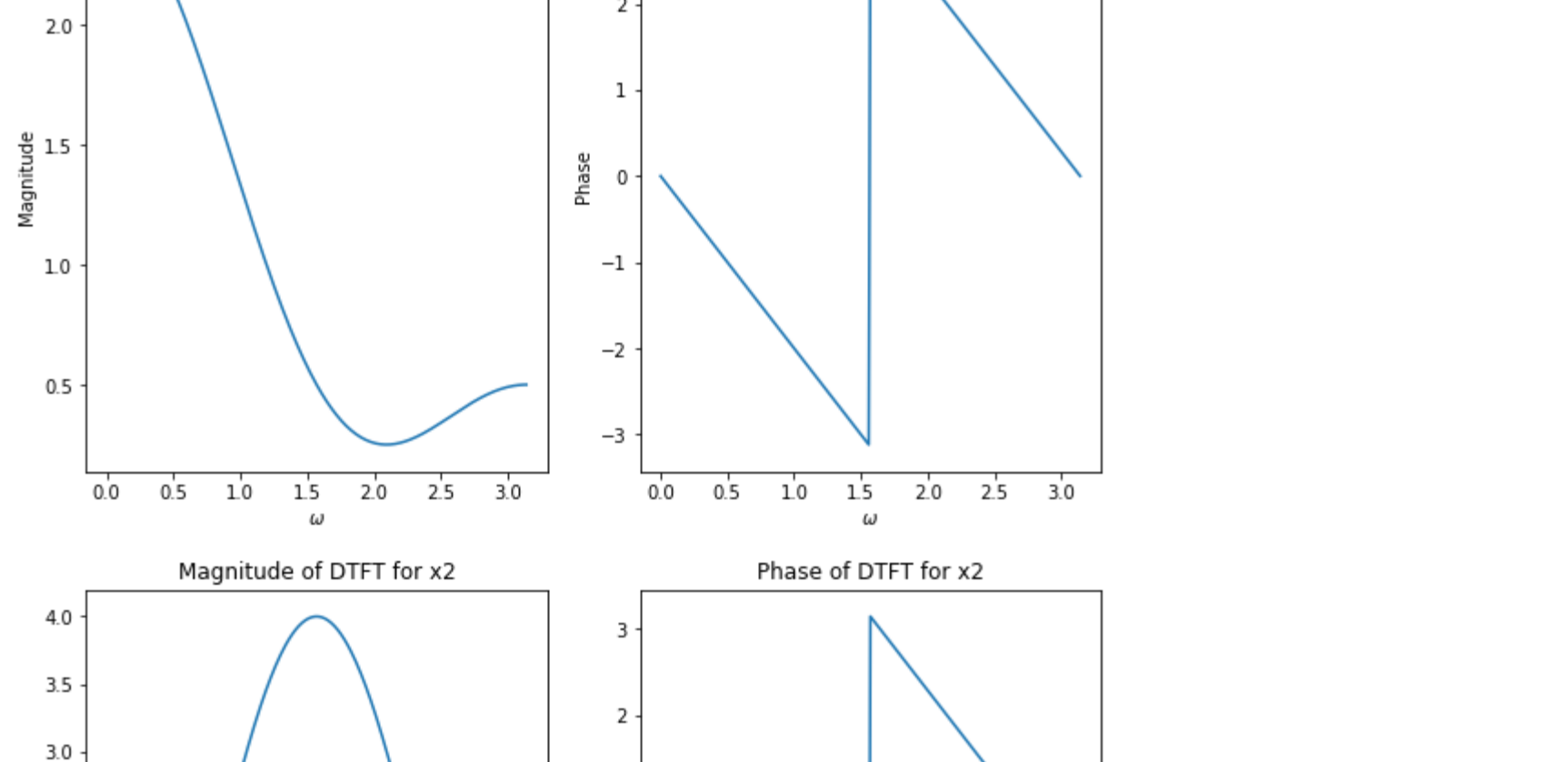
plt.figure(figsize=(10,6))
plt.title('My Full FFT')
plt.xlabel('$\omega$')
plt.ylabel('Magnitude Response')
plt.plot(w,np.absolute(x_mydtft))

plt.figure(figsize=(10,6))
plt.title('Full FFT')
plt.xlabel('$\omega$')
plt.ylabel('Magnitude Response')
plt.plot(w,np.absolute(x_dft))

plt.figure(figsize=(10,6))
plt.title('Phase response of myDTFT')
plt.xlabel('$\omega$')
plt.ylabel('Phase')
plt.plot(w,np.angle(x_mydtft))

plt.figure(figsize=(10,6))
plt.title('Phase response of FFT')
plt.xlabel('$\omega$')
plt.ylabel('Phase')
plt.plot(w,np.angle(x_dft))

Out[14]:
Text(0, 0.5, 'Phase')
```



Answer for part 1.c:

## Exercise 2: Toy LSI Signals and Systems

For this exercise, we will get some practice with inspecting the DTFT of digital signals and frequency response of LSI systems. For parts 2.a and 2.b, plot the resulting magnitude and phase of the DTFT of each signal. Please place the two plots for each signal side-by-side using `plt.subplot()`. Don't forget to specify a larger number of points in your DTFT like 512. For parts 1.c and 1.d, plot the magnitude response of each system as shown above using `signal.freqz()` use a dB scaling on the y-axis.

$$a. x_1[n] = \frac{1}{4}\delta[n] + \frac{1}{4}\delta[n-1] + \delta[n-2] + \frac{1}{4}\delta[n-3] + \frac{1}{4}\delta[n-4]$$

$$b. x_2[n] = -\delta[n] + 2\delta[n-2] - \delta[n-4]$$

$$c. H_3(z) = \frac{z^2 - 2z + 1}{z^2 - \frac{1}{2}z + \frac{1}{4}}$$

$$d. H_4(z) = \frac{z^4 + 2z^3 + z^2}{z^4 - \frac{1}{2}z^3 + \frac{1}{4}z^2 - \frac{1}{8}z + \frac{1}{16}}$$

```
In [9]:
#Code for 2.a:
#Remember to plot magnitude and phase of signals side-by-side with plt.subplot(nrows,ncols,plot number)
x1 = [0.25, 0.5, 1, 0.5, 0.25]
x1_dft = np.fft.fft(x1, 512)
w = np.linspace(0, np.pi, len(x1_dft))

plt.figure(figsize=(10,6))
plt.subplot(121)
plt.plot(w, np.absolute(x1_dft))
plt.title('Magnitude of DTFT for x1')
plt.xlabel('$\omega$')
plt.ylabel('Magnitude')

plt.subplot(122)
plt.plot(w, np.angle(x1_dft))
plt.title('Phase of DTFT for x1')
plt.xlabel('$\omega$')
plt.ylabel('Phase')

#Code for 2.b:
x2 = [-1, 0, 2, 0, -1]
x2_dft = np.fft.fft(x2, 512)
w = np.linspace(0, np.pi, len(x2_dft))

plt.figure(figsize=(10,6))
plt.subplot(121)
plt.plot(w, np.absolute(x2_dft))
plt.title('Magnitude of DTFT for x2')
plt.xlabel('$\omega$')
plt.ylabel('Magnitude')

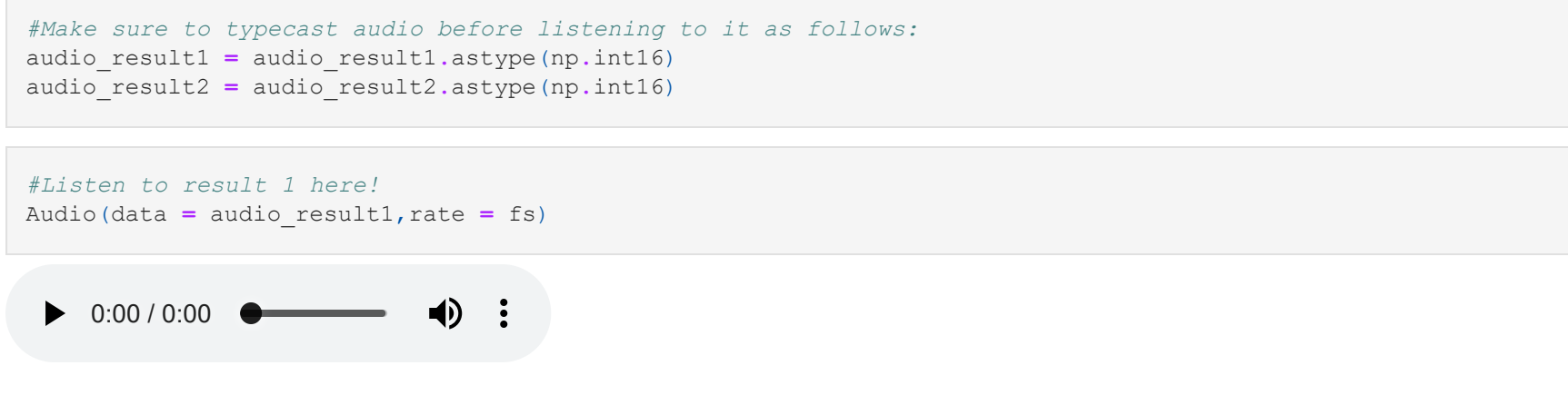
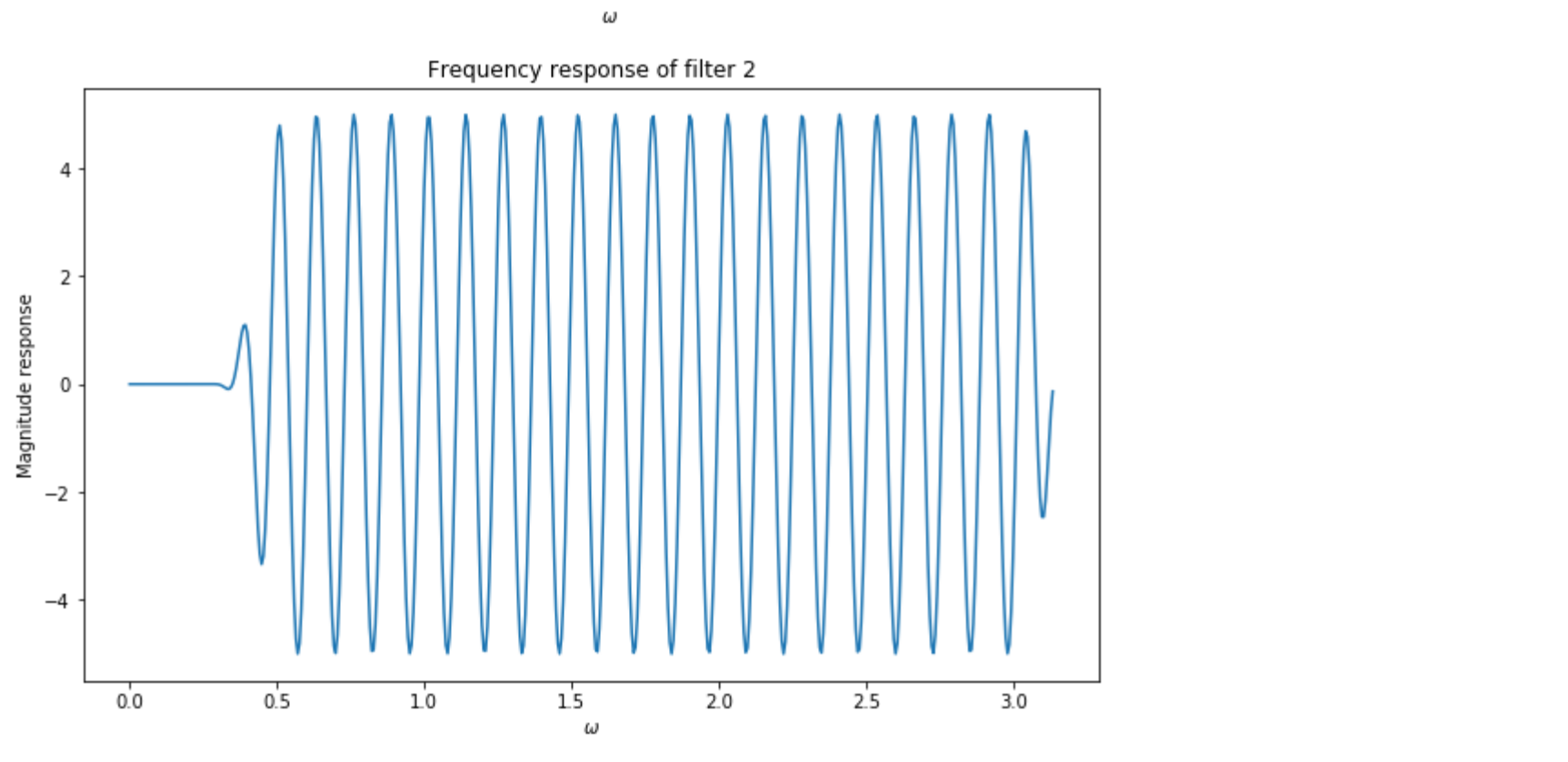
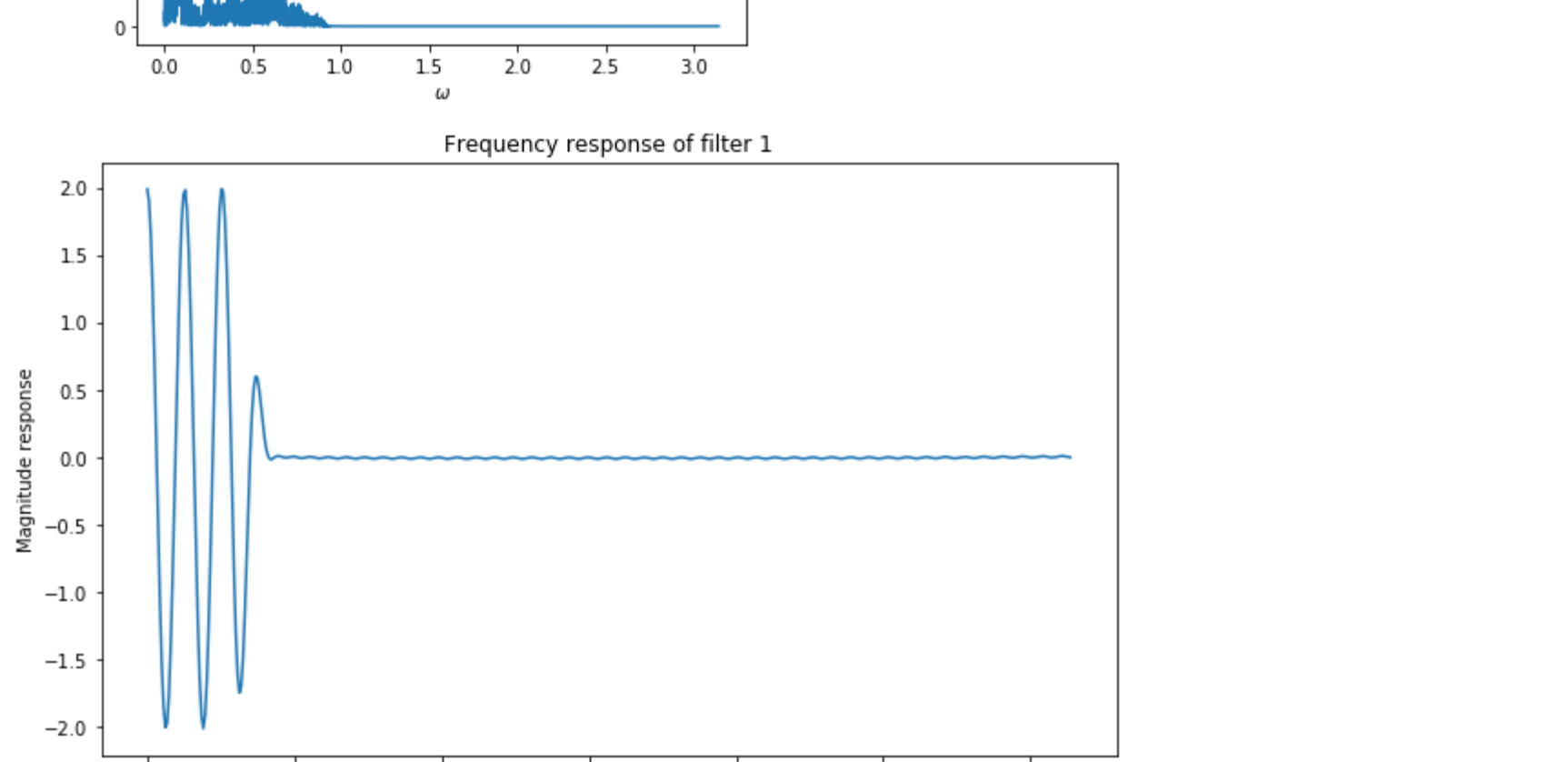
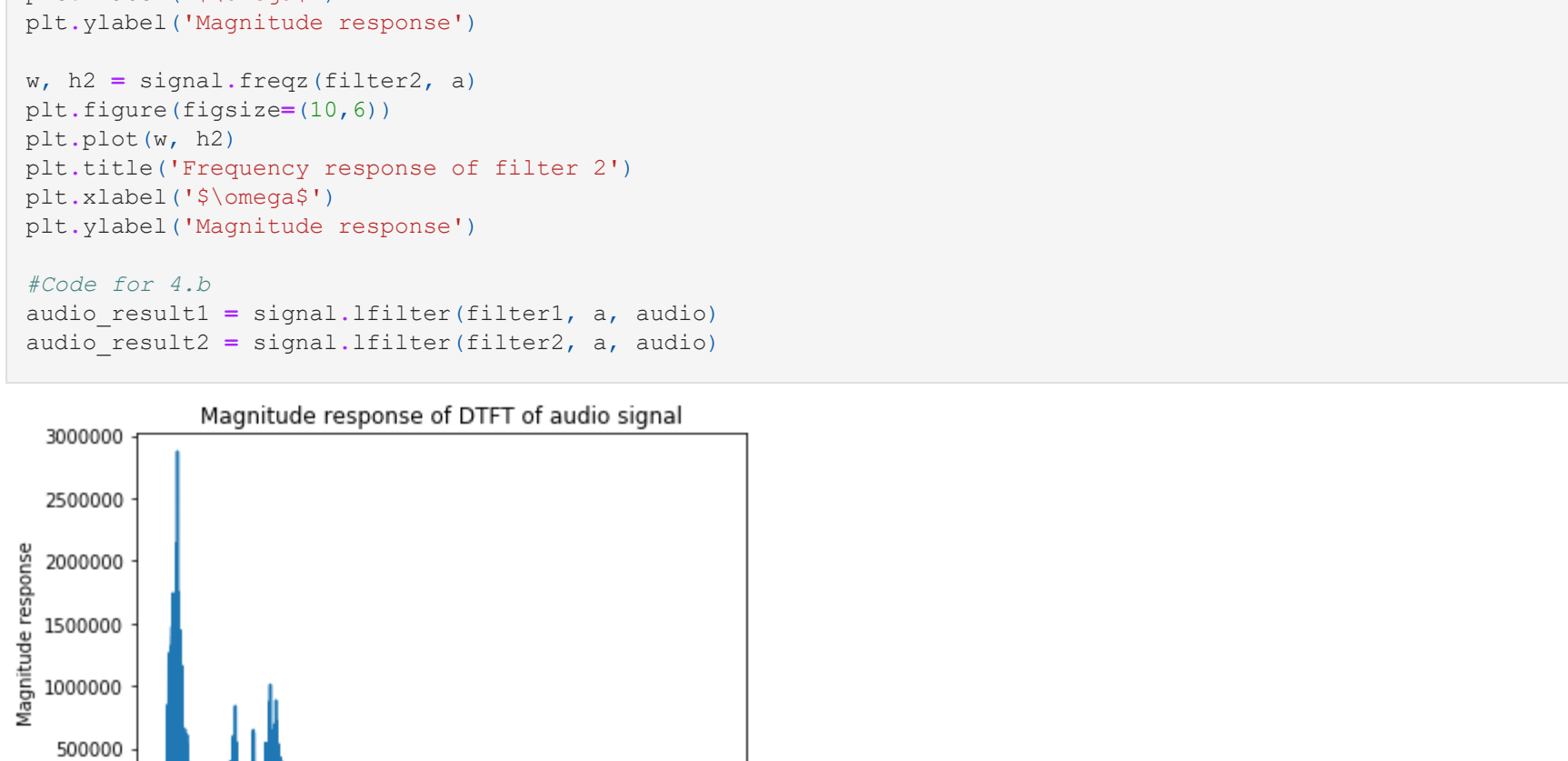
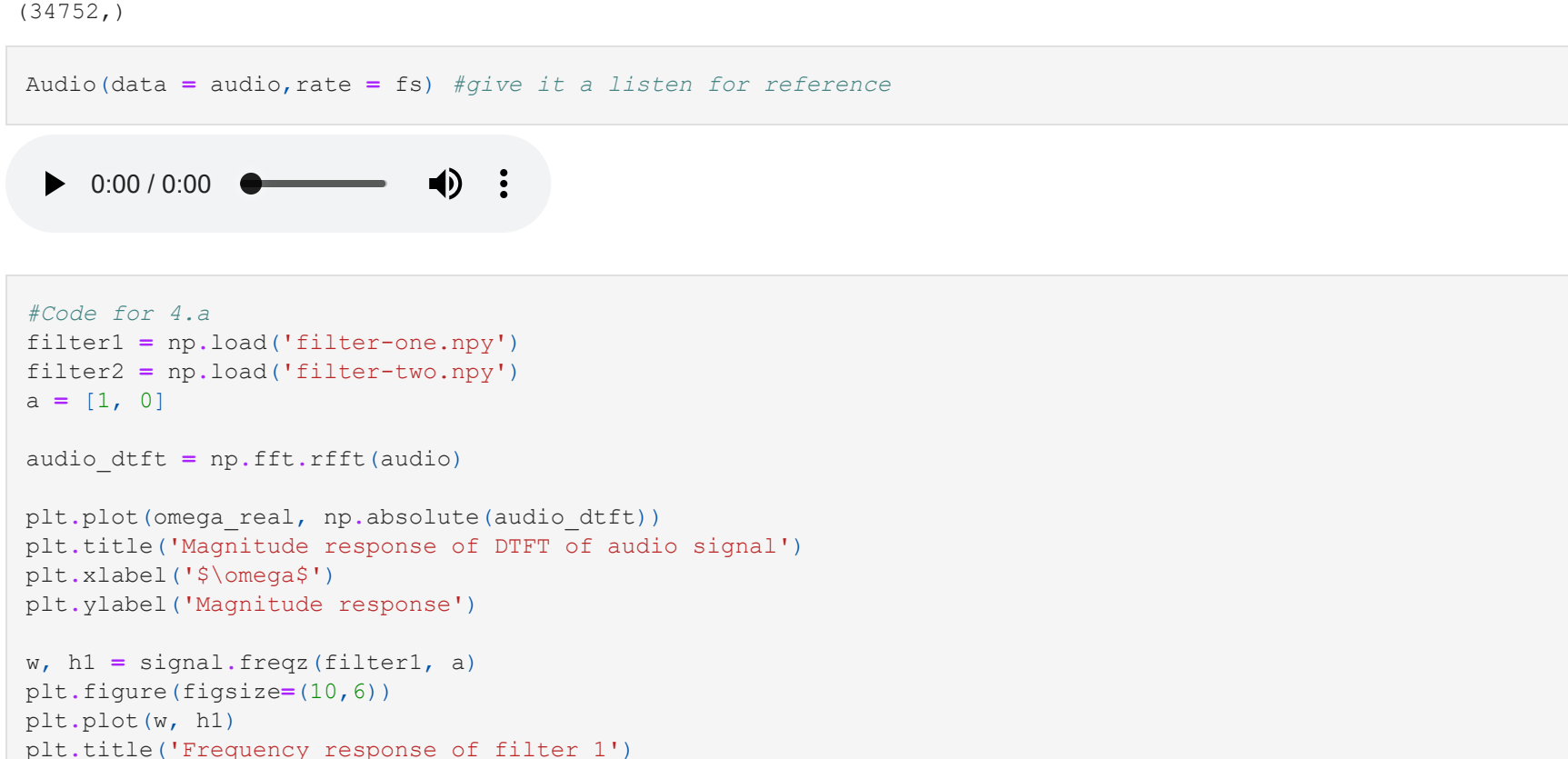
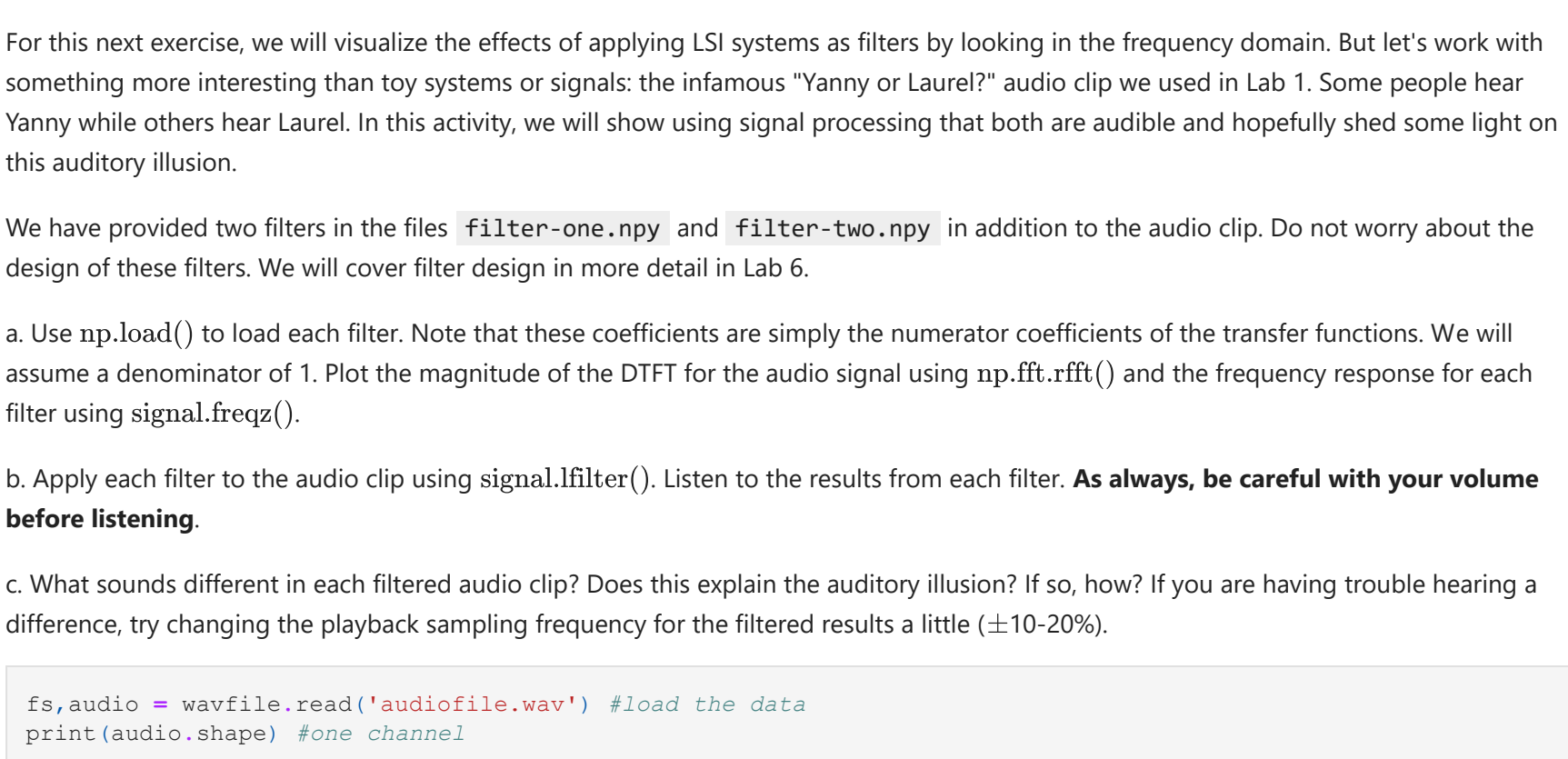
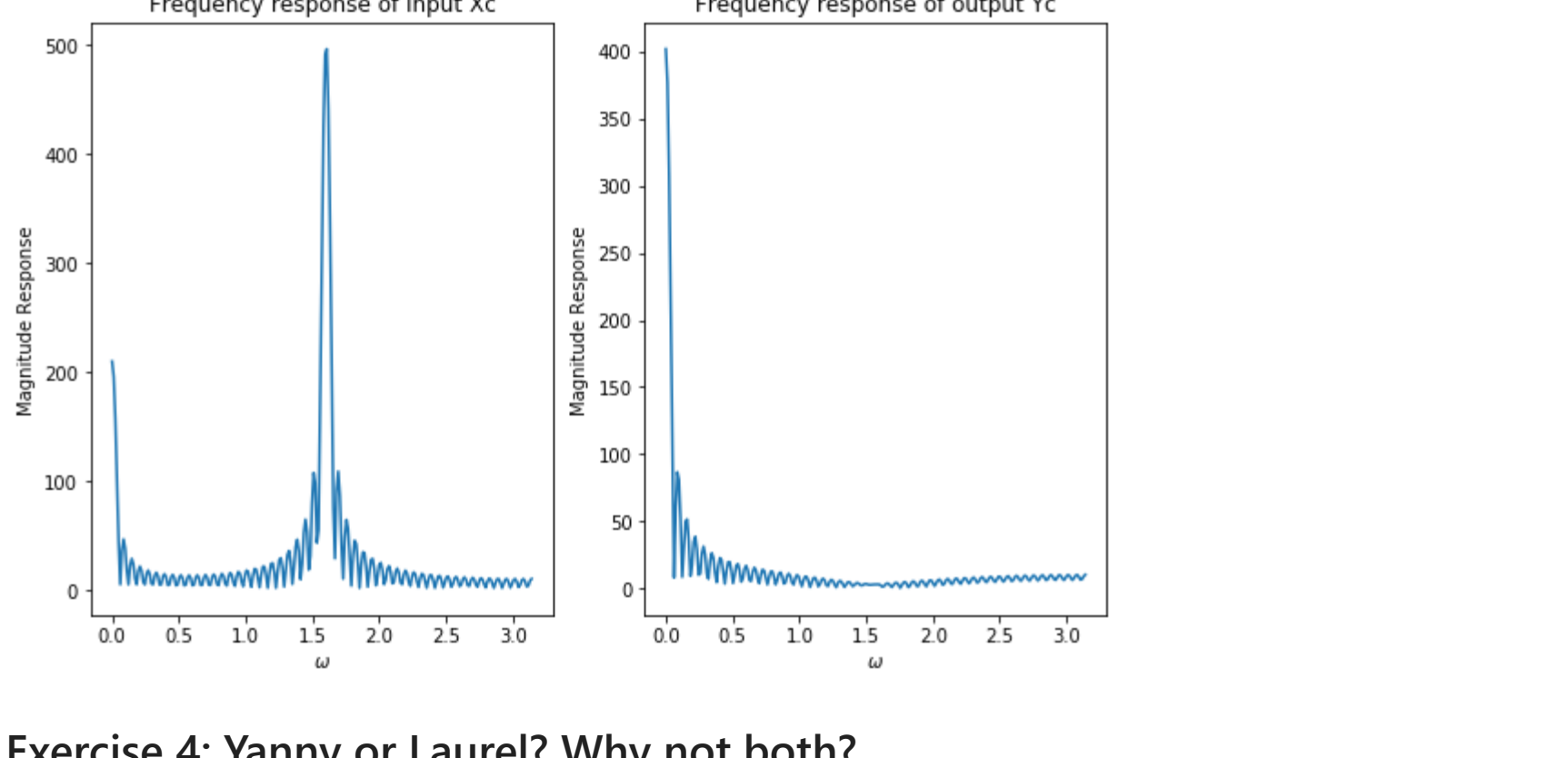
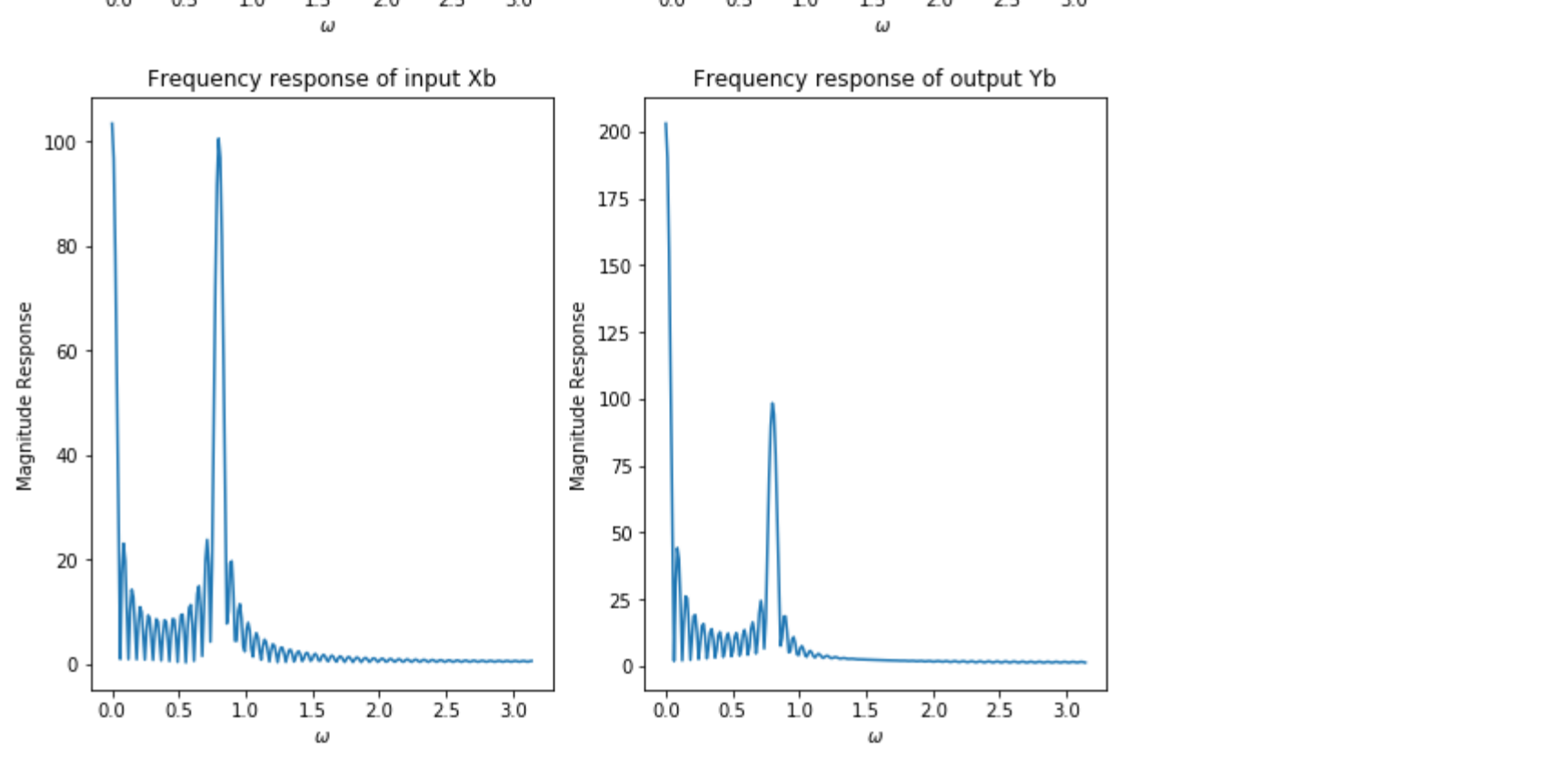
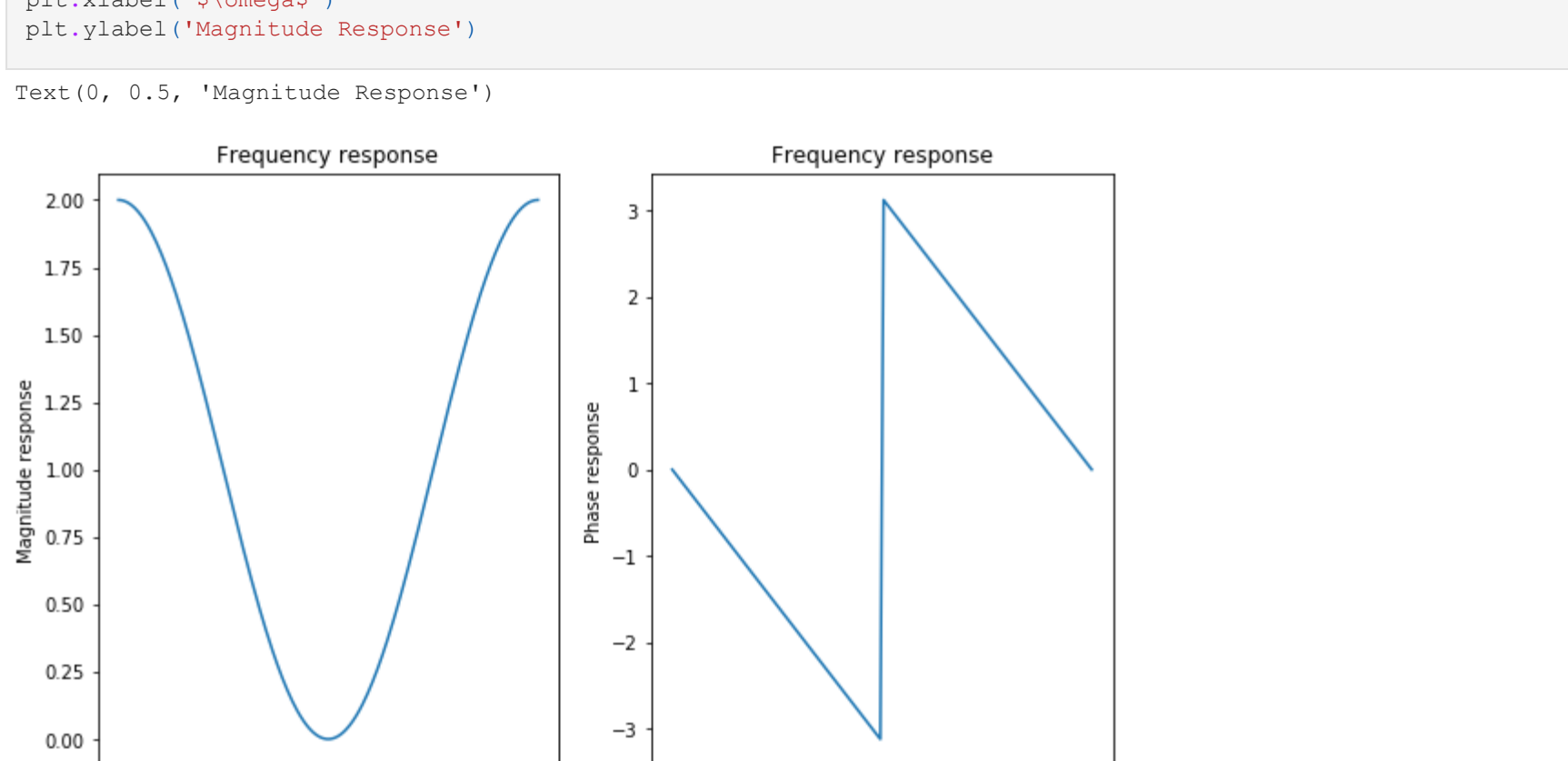
plt.subplot(122)
plt.plot(w, np.angle(x2_dft))
plt.title('Phase of DTFT for x2')
plt.xlabel('$\omega$')
plt.ylabel('Phase')

#Code for 2.c:
#Remember to plot magnitude response with db-scaling on y-axis.
b = [1, -2, 1, 0]
a = [0.25, 0.5, 1, 0]

w,h = signal.freqz(b,a)
plt.figure(figsize=(10,6))
plt.title('Frequency Response for H3')
plt.plot(w,20*np.log10(np.absolute(h))) #plot magnitude of frequency response with db-scaling on y-axis
plt.xlabel('$\omega$')
plt.ylabel('Magnitude Response (dB)')
```

C:\Users\Siddharth\Gar\Documents\Anaconda3\lib\site-packages\ipykernel\_launcher.py:47: RuntimeWarning: divide by zero encountered in log10

```
Out[9]:
Text(0, 0.5, 'Magnitude Response (dB)')
```





```
In [65]: #Plot to result 2
Audio(data = audio_result2,rate = fs)

Out[65]:
```

Comments for 4.c here:

- The first clip isolates the lower frequencies so "Laurel" can be heard.
- The second clip isolates the higher frequencies so "Yanny" can be heard.
- This explains the sound illusion as there are two names overlayed on the same audio with different frequencies

## Sampling and Analog-to-Digital Conversion

For the second half of this lab, we will focus on the process of sampling and storing digital signals. We will begin with some review.

When sampling a continuous time signal, we must be careful to sample at an appropriate frequency. For any bandlimited signal with a maximum frequency of  $f_{max}$  or bandwidth  $B$ , we can guarantee no aliasing if we sample above twice  $f_{max}$ . This is known as the Nyquist criterion:

$$f_s > 2B = f_{Nyquist}$$

How can we relate the analog and digital frequencies before and after sampling? There is a simple equation for that!

$$\omega_d = \Omega_s T_s$$

where  $T_s$  is the sampling period,  $\omega_d$  is our digital frequency, and  $\Omega_s$  is the analog frequency. Recall that the DTFT of a digital signal is  $2\pi$  periodic and the digital frequencies are bounded between  $-\pi$  and  $\pi$ . For example, suppose we have a signal  $x(t)$  with  $f_{max} = 35kHz$  and we sample at  $f_s = 30kHz$ . Where will this maximum frequency lie in the digital spectrum?

$$\omega_d = 2\pi \cdot 35000 \cdot \frac{1}{30000}$$
$$\omega_d = \frac{7\pi}{3}$$

Clearly, we have sampled below the Nyquist rate, and thus the signal has aliased. The max frequency directly maps to  $\frac{7\pi}{3}$ ; however, by the  $2\pi$  periodicity of the DTFT, we will also have a frequency component at  $\frac{7\pi}{3} - 2\pi = \frac{\pi}{3}$  in the central copy of the DTFT. Exercise 5 will give you some practice with different sampling rates and how to explain aliasing.

In ECE 310, we mainly focus on the sampling part of the analog-to-digital conversion process. Don't forget that in practice we must consider quantization effects. We cannot store every possible analog value of a signal, so we must select a finite number of levels to represent our data. Most simply in ECE 110, we learn about uniform quantizers where the levels are evenly spaced throughout the dynamic range (range of possible values). Each captured sample is "rounded" or quantized to its nearest level. But we should also consider other quantization schemes. For example, what if most of our analog samples densely range between 0V-1V, while a few noisy samples spike up to 5V. A uniform quantizer would lose resolution at lower voltages and accomodate the noisy samples too much. Perhaps there is a better way? Exercise 6 will show you an example of a non-uniform quantizer and let you compare it with a uniform quantizer on a couple test images.

## Exercise 5: It's a bird! It's a plane! No, it's just aliasing!

We will now get some hands-on experience with aliasing and sampling effects. Python has a helpful function in the `scipy.signal` package called `signal.chirp()` that generates a swept cosine signal. This means we can create a sweeping tone between a start and end frequency. Unfortunately, the documentation for this function is a bit confusing, so let's briefly demonstrate its usage:

```
In [66]: fs = 44100 #sampling rate for audio clip in Hz
        ts = 5 #make clips 5 seconds
        Audio(data = audio_result2,rate = fs)

        #make sure to specify the number of points to match desired sampling frequency!!!
        f0 = 0 #start frequency (Hz)
        f1 = 22050 #end frequency (Hz)

        #instantaneous frequency, f(t) = f0 + (f1-f0)*(t/ts)
        chirp_original = signal.chirp(t,f0,f1,ts)

In [67]: #BE CAREFUL WITH YOUR VOLUME! CHIRP SEQUENCES CAN BE LOUD!
        Audio(data = chirp_original,rate = fs) #give it a listen

Out[67]:
```

We will now inspect the signals we create and try changing the sampling rate. Once again, be careful when listening to audio.

- a. Plot the magnitude of the DTFT of the `chirp_original` signal. Plot your frequency axis in digital frequency (0 to  $\pi$ ). What is the maximum frequency our sound card can represent for the sampling frequency used to generate this signal?
- b. Generate a second chirp with a sampling frequency of 29400. Assume the same  $t_s$ ,  $f_0$ , and  $f_1$  from above. Listen to the resulting audio and plot the magnitude of the DTFT for this chirp. Explain what you hear (why do you hear what you hear) and relate it to your DTFT plot. Remember to share the same sampling frequency between generating the time sequence and your soundcard like in the above example.
- c. Suppose we wanted to hear three complete rises and two complete falls in the generated chirp. What sampling frequency should we specify when generating the audio chirp to achieve this strange goal? Briefly explain how you arrived at your answer.

```
In [106]: #Code for 5.a here:
chirp_dft = np.fft.rfft(chirp_original)
w = np.linspace(0, np.pi, len(chirp_dft))

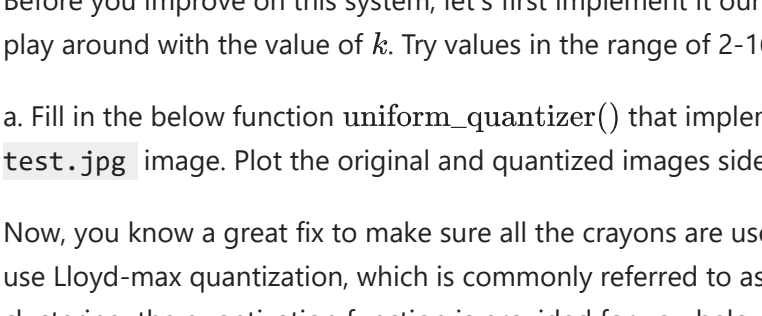
plt.plot(w, np.absolute(chirp_dft))
plt.title('Magnitude response of DTFT of chirp_original')
plt.xlabel('$\omega$')
plt.ylabel('Magnitude response')

#Code for 5.b here:
current_fs = 29400
t = np.linspace(0,ts,ts*current_fs)
current_chirp = signal.chirp(t,f0,f1,ts)

current_dft = np.fft.rfft(current_chirp)
w = np.linspace(0, np.pi, len(current_dft))

plt.figure()
plt.plot(w, np.absolute(current_dft))
plt.title('Magnitude response of DTFT of new chirp')
plt.xlabel('$\omega$')
plt.ylabel('Magnitude response')

Out[106]:
```



```
In [105]: #Code cell to listen to different chirps you generate
Audio(data = current_chirp,rate = current_fs) #remember to use correct sampling frequency!

Out[105]:
```

Answers for 5.a: pi

Answers for 5.b: A full rise to the maximum frequency and a brief drop can be heard. This can be seen on the DTFT plot as having higher magnitudes at frequencies above  $\pi/2$ . Answers for 5.c: Using a sampling frequency of around 9000Hz would give 3 rises and 2 falls. I got this answer as this is around 1/3rd of the sampling frequency that gives 1 rise and a short fall. Trying this sampling frequency and listening to the result also confirms this.

## Exercise 6: Which Crayons Should You Use?

You are a new hire at Crayola: the crayons division, to be specific. Your title is "Swiss-Army Knife of Signal Processing", or at least that's what your business cards say. Your team is working on a new problem from customer feedback. Parents are sick of buying huge crayon packs where their kids don't use all the colors. It's a waste! There is a new initiative to deliver coloring packs to consumers where they get a coloring book and a select group of crayons that include all the colors they will need to create their masterpieces. So, the question is: how do we best pick the colors in the crayon pack?

Your predecessor came up with a naive solution where you simply create a uniform quantizer with evenly spaced levels for the desired number of colors. However, there are a couple problems with this:

- This solution only works for grayscale pictures.
- It works poorly for very bright or dark images. We don't use all the colors!

Before you improve on this system, let's first implement it ourselves as a baseline for comparison. Note, for the following three parts, you may play around with the value of  $k$ . Try values in the range of 2-16. Before turning your lab in, you may fix  $k$  to be 4 for each part.

a. Fill in the below function `uniform_quantizer()` that implements this uniform color quantizer. Test your function on the `grayscale-test.jpg` image. Plot the original and quantized images side-by-side.

Now, you know a great fix to make sure all the crayons are used and the colored-in image looks close to the original one. You are going to use Lloyd-max quantization, which is commonly referred to as k-means clustering! Do not worry too much about the math of k-means clustering; the quantization function is provided for you below and your answers for the last part may be qualitative. **Note: The code to perform Lloyd-max quantization may take a minute or two to run.**

b. Test the `lm_quantizer()` function on the `grayscale-test.jpg` image and plot the original and quantized images side-by-side.

Another advantage of Lloyd-Max quantization/k-means clustering is that it extends easily to multiple dimensions, like color images. In fact, we can use the same function for both types of images!

c. Test the `lm_quantizer()` function on the `color-test.jpg` image and plot the original and quantized images side-by-side.

Compare the results from the uniform and Lloyd-Max quantizers. How does the Lloyd-Max quantizer appear to work differently? You may explain your observations qualitatively or quantitatively. If you need help explaining, you can read up on k-means [here](#) or [there](#).

```
In [134]: #Part 6.a
#Fill in the below function!
def uniform_quantizer(image,k):
    #create quantization levels
    levels = np.linspace(0,255,k) #k evenly spaced colors from 0 (black) to 255 (white)
    #create a new/blank version of the image and compute quantization level spacing
    q_image = np.zeros(image.shape)
    spacing = 255/(k-1)
    #go through each pixel in the original image, assign quantized value to new/blank image
    #remember we choose the quantization level closest to the original value
    im_shape = image.shape
    n_rows = im_shape[0]
    n_cols = im_shape[1]

    for i in range(n_rows):
        for j in range(n_cols):
            q = image[i,j]*spacing
            q_image[i,j] = spacing*round(q)
    #return your quantized image
    return q_image

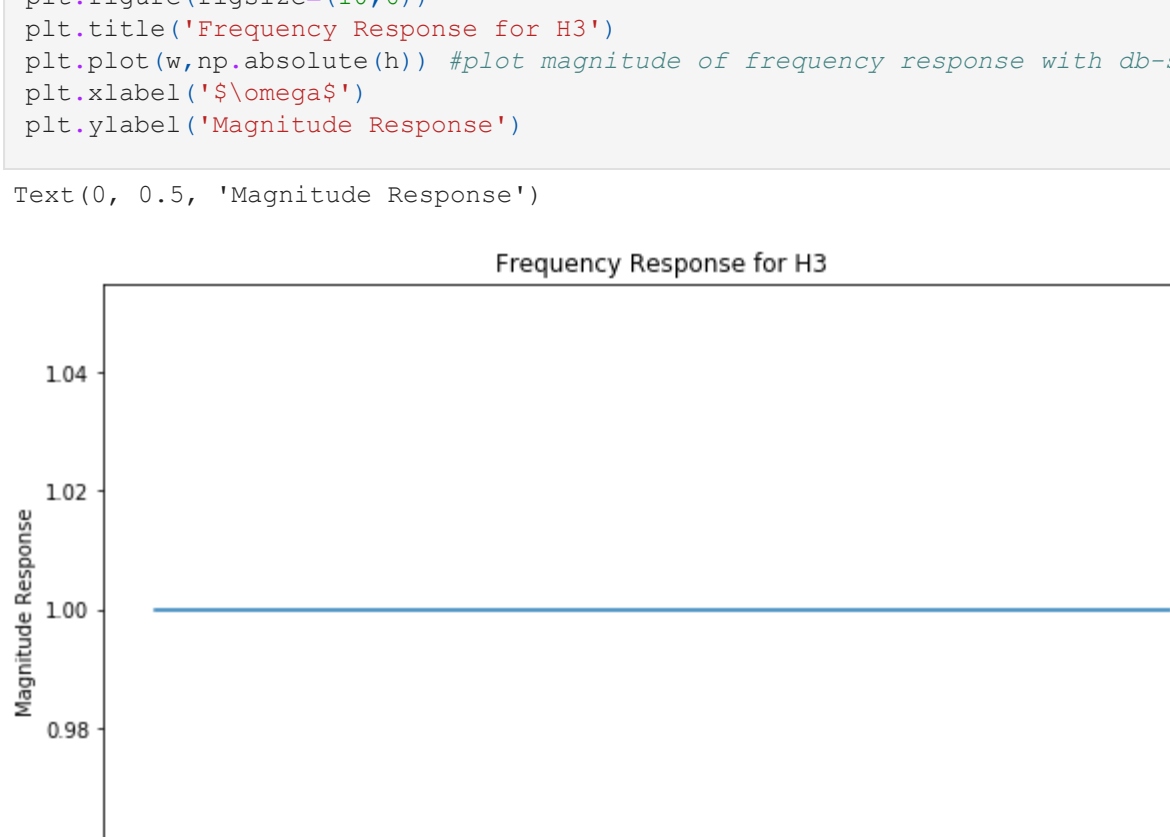
#Part 6.b/c
#function has been provided for you!
def lm_quantizer(image,k):
    im_shape = image.shape
    n_rows = im_shape[0]
    n_cols = im_shape[1]
    #create k-means object
    kmeans = KMeans(n_clusters = k)
    #reshape pixel value to be like data points
    if len(im_shape) == 2:
        pixel_vals = np.array([image[row,col]] for row in range(n_rows) for col in range(n_cols))
    else:
        pixel_vals = np.array([image[row,col]] for row in range(n_rows) for col in range(n_cols))
    #fit the k-means model to pixel data and get color labels
    color_labels = kmeans.fit_predict(pixel_vals)
    #create blank version of the image
    q_image = np.zeros(im_shape).astype(np.uint8)
    #assign appropriate color to each pixel based on color labels
    colors = kmeans.cluster_centers_.astype(np.uint8)
    for i,label in enumerate(color_labels):
        q_image[int(i/n_cols),i % n_cols] = colors[label]
    return q_image

In [136]: #Code to test 6.a
image = imread('grayscale-test.jpg')
plt.figure(figsize=(10,6))
plt.subplot(121)
plt.imshow(image, 'gray')
plt.subplot(122)
plt.imshow(uniform_quantizer(image, 4), 'gray')

#Code to test 6.b
plt.figure(figsize=(10,6))
plt.subplot(121)
plt.imshow(image, 'gray')
plt.subplot(122)
plt.imshow(lm_quantizer(image, 4), 'gray')

#Code to test 6.c

Out[136]:
```



Answer for 6.d here: The uniform quantizer has lesser contrast between its darkest and lightest colors whereas the Lloyd-Max quantizer has deeper blacks and brighter whites. The Lloyd-Max quantizer makes the level spacing according to the frequency of colors that appear in the image so that there are more levels for colors that appear more frequently.

## Submission Instructions

Please rename this notebook to "netid\_Lab4" and submit a zip file including all the supplied files for this lab to Compass. Please also name your zip file submission "netid\_Lab4".

```
In [8]: H1 = [1, -0.3, 0]
        a = [-0.3, 1, 0]

        w,h = signal.freqz(H1, a)
        plt.figure(figsize=(10,6))
        plt.title('Frequency Response for H3')
        plt.plot(w,np.absolute(h)) #plot magnitude of frequency response with db-scaling on y-axis
        plt.xlabel('$\omega$')
        plt.ylabel('Magnitude Response')

Out[8]:
```

