## 東亞銀行有限公司職位申請 - 申報表 THE BANK OF EAST ASIA, LIMITED DECLARATION FORM FOR JOB APPLICATION

(請以英文填寫 Please complete the form in English)

PF49 (11/2015)

申請職位 Position Applied For Data Science Manager			部門 Department Digital and Innovation Office						
A音		港身份證/護照為準) Section A. Person	al Particulars						
英文	文姓名 Name in Eng					中文姓名 Name in C	Chinese*		
	Chan Kin Tat	1 A P Ohner Name	/Htt	⁄z Α‼	- \	陳建達			
(姓氏 Surname) (名字 Given Name) (別名 Alias)									
B 部. 中介人登記狀況 Section B. Intermediaries Registration Status  證券中介人登記狀況 Relevant Individual Registration Status:  保險中介人登記狀況 Insurance Intermediary Registration Status									
ログ						, modiance intermed	alary registrat	ion Status.	
			as a relevant individual / licensed representative			□ 現在沒有,但過去曾經登記 Yes, in the past			
	relevant individua	人現在沒有,但過去曾經登記/註冊為有關人士/持牌代表 I was a levant individual / licensed representative in the past.							
	登記/註冊的受規管活動類別 Types of regulated activities registered / licensed					liary Intermedi	ary		
					ズ 從沒有註冊 No				
	本人現在有登記/註冊為有關人士/持牌代表 I am currently a relevant individual / licensed representative. 登記/註冊號碼 Registration / CE No			□ 現在沒有,但過去曾經註冊 Yes, in the past 註冊號碼 Registration No.					
	登記/註冊的受規	管活動類別 Types of regulated activitie	es registered	/	□ 現在有註冊 Yes 註冊號碼 Regis		<del> </del>		
	ht	新版·拉 0	M4.		16	`			
		<b>需填報 Section C. Self-Declaration (P</b> 可政區永久性居民?如否,請註明是否		-			<b>⊉</b> 是 Yes	□ 否 No	
		al Administrative Region? If no, please					<b>业</b> 企163	L E NO	
你是	是否曾經更改你的始	性名?如有,請註明更改前的姓名及生勢	数日期。Have	you c	hanged your name	before? If yes,	□是Yes	<b>Ź</b> 否 No	
		evious name and the effective date.	24,4	,	3 ,	• ,	, , ,	_	
你是否現在或曾經受僱於本行集團?如有,請註明所屬部門或公司。Have you been employed by this Bank Group before? If yes, please state the name of the department or the company.						□是Yes	☑ 否 No		
你現在是否有親屬受僱於本行?如有,請提供詳情。Do you have any relatives working in this Bank? If yes, please							□是Yes	☑ 否 No	
state details.     姓名 Name   部門 / 分行 Department / Branch     關係 Relationship									
你是否現在或曾經被前僱主終止僱聘或被要求自動離職?如有,請於適當方格內加上"✓" 號。Have you ever been							□是Yes	☑ 否 No	
terminated or requested to leave employment by your previous employer? If yes, please tick the appropriate item(s).									
□ 僱聘合約期滿 Expiry of employment contract									
□ 僱主進行裁員 Made redundant by the employer									
□ 不同意僱主更改僱聘條款 Not agreed on the variation of the terms of the employment contract by the employer □ 被僱主解僱 (請詳述) Dismissed by the employer (please state details):									
	被僱主要求自動離	職 (請詳述) Requested by the employe	er to leave em	nploym		etails):			
	□ 其他原因導致被終止僱聘 (請說明) Other reason of termination of employment (please specify):								
	請就上述情况提供詳情(包括有關的僱主名稱)及原因 Please state details (including the name of employer concerned) and reasons for the above situation(s):								
-,	HI WAIR II			,, — :				<b>—</b>	
除現職工作外,你是否現在有從事任何副業或委任工作,即無論是否有薪的受僱、董事職務或委任等?Besides your current employment, do you have any outside employment/appointment, i.e. no matter paid or unpaid employment, directorship, or appointment, etc.?						□是Yes	☑ 否 No		
你長	是否現在或曾經在看	「港或其他地方被裁定或被控干犯任何」	刊事罪行或法	及任何	民事訴訟?如有,謂	指供詳情。Have	□是Yes	☑ 否 No	
你是否現在或曾經在香港或其他地方被裁定或被控干犯任何刑事罪行或涉及任何民事訴訟?如有,請提供詳情。Have you ever been convicted or accused in any place of criminal offence or involved in any civil litigation? If yes, please state details.							_ /E 100	110	
你是否現在或曾經在香港或其他地方被裁定或被控干犯任何涉及欺詐或不誠實的罪行(包括根據「罪犯自新條例 <sup>1</sup> 」下已失時效的罪行)?如有,請提供詳情。Have you ever been convicted or accused in any place of an offence involving fraud or dishonesty (including that has been spent under the Rehabilitation of Offenders Ordinance <sup>1</sup> )? If yes, please state details.							□是Yes	☑ 否 No	
			台 ,註.但.(H) 兰子.	桂。□・	ave vou over been	leclared bankrunt	□ □ Voo	☑ 否 No	
你是否現在或曾經有破產紀錄或涉及任何破產法律程序?如有,請提供詳情。Have you ever been declared bankrupt or involved in any bankruptcy proceedings? If yes, please state details.						□是Yes	型 省 NO		

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## 東亞銀行有限公司職位申請 - 申報表 THE BANK OF EAST ASIA, LIMITED DECLARATION FORM FOR JOB APPLICATION

你是否現在或曾經有任何財務安排及/或面臨任何潛在的財政困難而可能導致你將無能力償還及/或會涉及破產法律程序(包括與債權人作出債務償還或重整協議)?如有,請提供詳情。 Have you ever had any financial arrangements and/or potential financial difficulties such that you may be unable to repay your debts and/or subject to any bankruptcy proceedings (including entering into a composition or scheme of arrangement with your creditors)? If yes, please state details.	□是Yes	Ø 否 No						
你是否現在或曾經被香港金融管理局、證券及期貨事務監察委員會、香港保險業聯會、其他專業、監管或調查機構對你採取譴責、施以紀律處分或進行調查?如有,請提供詳情。Have you ever been reprimanded or disciplined or currently subject to any investigation by the Hong Kong Monetary Authority, Securities and Futures Commission, Hong Kong Federation of Insurers, or any other professional, regulatory or investigatory bodies? If yes, please state details.	□是Yes	<b>刀</b> 否No						
你是否現在或曾經擔任某個正在或已經清盤或以其他方式解散,或其牌照或註冊(視屬何情况而定)已經撤銷的認可機構的董事、行政總裁或經理 <sup>2</sup> ?如有,請提供詳情。Have you ever been a director or a chief executive or a manager <sup>2</sup> of an authorized institution which is being or has been wound up or otherwise dissolved or its license or registration, as the case may be, has been revoked? If yes, please state details.	□是Yes	<b>☑</b> 否 No						
D 部. 聲明 - 本行以作登記有關人士之用 (如不適用可選擇不填寫) Section D. Self-Declaration - for the Purpose of Registration as a Relevant Individual of the Bank) (Optional if not applicable)								
本人在香港或其他地方是否或曾否被裁定或被控干犯任何刑事罪行(輕微罪行除外³),不論該項定罪的證據是否可在香港或其他地方進行的法律程序中獲接納。I am or have been, in Hong Kong or elsewhere convicted of or charged with any criminal offence (other than a minor offence³) whether or not evidence of such conviction is admissible in proceedings in Hong Kong or elsewhere.	□是Yes	<b>愛</b> 否No						
本人在香港或其他地方是否或曾否成為規管機構 4 或刑事調查機構 5 採取紀律行動或進行調查 6 (視屬何情况而定)的對象。I am or have been, in Hong Kong or elsewhere subject to any disciplinary action or investigation6 by a regulatory body4 or criminal investigatory body5 (as the case may be).	□ 是 Yes	ズ 否 No						
本人在香港或其他地方是否或曾否成為法院或其他主管當局作出的關於欺詐、不誠實行為或不當行為的命令的對象。I am or have been, in Hong Kong or elsewhere subject to any order of the court or other competent authority for fraud, dishonesty or misfeasance.	□是Yes	<b>⊅</b> 否No						
本人在香港或其他地方是否或曾否擔任某個成為或曾成為規管機構或刑事調查機構採取紀律行動或進行調查(視屬何情况而定)的對象的法團或業務的大股東 <sup>7</sup> 或董事,或參與管理此類法團或業務。I am or have been, in Hong Kong or elsewhere a substantial shareholder <sup>7</sup> or director of a corporation or business that is or has been subject to any disciplinary action or investigation by a regulatory body or criminal investigatory body (as the case may be), or involved in the management of such corporation or business.	□是Yes	☑ 否 No						
本人在香港或其他地方是否或曾否擔任某個成為或曾成為法院或其他主管當局作出的關於欺詐、不誠實行為或不當行為的命令的對象的法團或業務的大股東 <sup>7</sup> 或董事,或參與管理此類法團或業務。I am or have been, in Hong Kong or elsewhere a substantial shareholder <sup>7</sup> or director of a corporation or business that is or has been subject to any order of the court or other competent authority for fraud, dishonesty, or misfeasance, or involved in the management of such corporation or business.	□是Yes	<b>反</b> 否 No						
本人在香港或其他地方是否或曾否参與任何司法程序或其他法律程序。I am or have been, in Hong Kong or elsewhere engaged in any judicial or other proceedings.	□是Yes	☑ 否 No						
本人在香港或其他地方是否或曾否成為與本人的債權人作出債務償還安排或任何方式的債務妥協的一方。I am or have been, in Hong Kong or elsewhere a party to a scheme of arrangement, or any form of compromise, with my creditors.	□是Yes	<b>贷</b> 否 No						
本人在香港或其他地方是否或曾否不遵從任何判決或法院命令。I am or have been, in Hong Kong or elsewhere in default of compliance with any judgment or court order.	□是Yes	☑ 否 No						
本人在香港或其他地方是否或曾否擔任已藉成員自動清盤以外的其他方式清盤的法團或業務的大股東 <sup>7</sup> 或董事,或參與管理此類法團或業務。I am or have been, in Hong Kong or elsewhere a substantial shareholder or director of a corporation or business which was wound up otherwise than by way of a member's voluntary winding up, or involved in the management of such corporation or business.	□是Yes	☑ 否 No						
本人在香港或其他地方是否或曾否擔任已藉全體合夥人同意以外的其他方式解散的商號的合夥人。I am or have been, in Hong Kong or elsewhere a partner of a firm which was dissolved other than with the consent of all the partners.	□是Yes	<b>₽</b> 否 No						
本人在香港或其他地方是否或曾否破產或察覺存在有任何可能使本人無償債能力或導致根據《破產條例》(第 6 章)委任本人財產的接管人的事宜。I am or have been, in Hong Kong or elsewhere bankrupt or aware of the existence of any matters that might render me insolvent or lead to the appointment of a receiver of my property under the Bankruptcy Ordinance (Cap. 6).	□是Yes	☑ 否 No						
本人在香港或其他地方是否或曾否被拒或被限制行使進行交易、業務或專業的權利,而法律規定進行該交易、業務或專業是須有特定牌照、註冊或其他許可的。I am or have been, in Hong Kong or elsewhere refused or restricted from the right to carry on any trade, business or profession for which a specific license, registration or other authorization is required by law.	□是 Yes	₽T 否 No						
本人在香港或其他地方是否或曾否擔任某個被拒或被限制行使進行交易、業務或專業的權利的法團的大股東 <sup>7</sup> 或董事,或參與管理此類法團,而法律規定進行該交易、業務或專業是須有特定牌照、註冊或其他許可的。I am or have been, in Hong Kong or elsewhere a substantial shareholder <sup>7</sup> or director of a corporation that has been refused or restricted from the right to carry on any trade, business or profession for which a specific license, registration or other authorization is required by law, or involved in the management of such corporation.	□是Yes	否No						
本人在香港或其他地方是否或曾否喪失擔任董事的資格。I am or have been, in Hong Kong or elsewhere disqualified from holding the office of director.	□是 Yes	<b>Ź</b> 否 No						
本人在香港或其他地方是否或曾否作為《精神健康條例》(第 136 章)第 2 條所界定的病人 <sup>8</sup> 。I am or have been, in Hong Kong or elsewhere a patient <sup>8</sup> as defined in Section 2 of the Mental Health Ordinance (Cap. 136).	□是Yes	Ø 否 No						

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## 東亞銀行有限公司職位申請 - 申報表 THE BANK OF EAST ASIA, LIMITED **DECLARATION FORM FOR JOB APPLICATION**

- 1 已自新的個別人士根據《罪犯自新條例》(第297章)所受到的保障,並不適用於其是否適宜作為認可機構的僱員的用以評核的問題。The protection granted to the rehabilitated individuals under the Rehabilitation of Offenders Ordinance (Cap. 297) shall not apply to any question asked for the assessment of the suitability to act as employees of an
- 「經理」指根據(銀行業條例)(第 155 章)新增附表 14 所指定的獲委任及已通知香港金融管理局的以單獨或聯同他人一起擔任該認可機構的一項或多於一項的事務的主要負責人 的人士。"Manager" refers to an individual appointed and notified to the HKMA to be principally responsible, either alone or with others, for the conduct of any one or more of
- the affairs or business of the institution specified in Schedule 14 of the Banking Ordinance (Cap.155).
  「輕微罪行」指根據《定額罰款(交通違例事項)條例》(第 237 章)、《定額罰款(刑事訴訟)條例》(第 240 章)或《定額罰款(公眾地方潔淨罪行)條例》(第 570 章)可判以定額罰款的罪行,或在香港以外地方所犯的同類性質的罪行。"Minor offence" means an offence punishable by a fixed penalty under the Fixed Penalty (Traffic Contravention) Ordinance (Cap. 237), the Fixed Penalty (Criminal Proceedings) Ordinance (Cap. 240) or the Fixed Penalty (Public Cleanliness Offences) Ordinance (Cap. 570), or offence of a similar
- rature committed in a place outside Hong Kong.

  「規管機構」包括證券及期貨事務監察委員會、香港金融管理局、認可交易所(依照《證券及期貨條例》(第571章)附表1的定義)、任何專業團體或社團、考試機構、根據任何成文法則委任的審查員、以及香港或其他地方的其他同等機構或人士。"Regulatory body" includes the Securities and Futures Commission, the Hong Kong Monetary Authority, a recognized exchange company (as defined in Schedule 1 of the Securities and Futures Ordinance (Cap. 571)), any professional body or association, an examination authority,

an inspector appointed under any enactment, and other equivalent bodies or persons, in Hong Kong or elsewhere.

「刑事調查機構」指香港警務處及根據《總督特派廉政專員公署條例》(第204章)第3條設立的廉政公署及香港或其他地方的進行刑事調查的公共機構。"Criminal investigatory body" means the Hong Kong Police Force and the Independent Commission Against Corruption established under Section 3 of the Independent Commission Against

- Corruption Ordinance (Cap. 204), and public bodies in Hong Kong or elsewhere carrying out criminal investigations. 若將關於規管機構或刑事調查機構正在進行的刑事調查的資料披露,是被香港或其他地方任何法律條文所禁止的,則此段並不包括要披露這些資料。然而,若你最終獲本行聘 用,你須在察覺該刑事調查已完成後的7個營業日內通知本行有關的調查結果。This does not include disclosure of information concerning an ongoing criminal investigation by a 而 的现在来是成为争调其上元成队的 IBE来自为通知平门有侧时调复的来? This does not include disobstict in inflation to contenting an origing clining in regulatory body or criminal investigatory body if such disclosure is prohibited by any statutory provision in Hong Kong or elsewhere. You are however required to notify the Bank of the results of the investigation within 7 business days after you become aware of the completion of such criminal investigation if you are eventually employed by the Bank
- 运输性 根據《證券及期貨條例》(第571章)附表1,「大股東」指任何人(單獨或聯同其任何有聯繫者)擁有該法團的股份權益,而該等股份的面值相等於該法團已發行股本的面值的10%以 上;或他(不論單獨或聯同其任何有聯繫者)擁有在該法團的成員大會上直接或間接行使10%以上的投票權或控制該數量的投票權的行使。Pursuant to Schedule 1 under the Securities and Futures Ordinance (Cao. 571), "substantial shareholder" refers to any person (either alone or with any of his associates) who has an interest in shares in the corporation and the nominal value of which shares is equal to more than the nominal value of 10% of the issued share capital of the corporation; or he (either alone or with any of his associates, and either directly or indirectly) is entitled to exercise or control the exercise of more than 10% of the voting power at general meetings of the corporation. 「病人」指患有精神紊亂或看來患有精神紊亂的人。"Patient" means a person suffering or appearing to be suffering from mental disorder.

本人確認已詳細閱讀並明白「個人資料(私隱)條例 – 個人資料收集(僱員)聲明」。本人明白及同意貴行可能將有關僱員及可能成為僱員的人士之個人 資料向聲明內述明的人士披露。I confirm that I have read carefully and understood the "The Personal Data (Privacy) Ordinance - Persona Information Collection (Employees) Statement". I understand and agree that the Bank may provide the personal data of employees and potential employees to the parties as stated in the Statement.

本人聲明上述資料及遞交之有關文件均屬正確無訛及詳盡。本人明白如有任何虛報、誤導、隱瞞或省略,本人將不被錄用,貴行亦有權撤回向本 人發出的口頭或書面僱聘承諾而毋須負責,而縱獲錄用,亦將構成本人被即時解僱之理由。貴行可保留就情況需要採取其認為適當行動的權利。

I declare that the information given above and the documents provided are correct, true, and complete. I understand that any falsification, misrepresentation, withholding or omission of material fact / documents shall render myself liable to rejection of my application, revocation of any verbal or written offer of employment from the Bank to me without any liability, or summary dismissal if employed. The Bank may also reserve the right to take such action as it thinks appropriate under the circumstances.

姓名 Name: Chan Kin Tat

簽署 Signature: ルル1/ 日期 Date: 05/07/2021

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