## Towards Automated Discovery of God-like Folk Algorithms for Rubik's Cube Supplementary Material: Proofs

## Garrett E. Katz, Naveed Tahir

Department of Electrical Engineering and Computer Science Syracuse University Syracuse, NY, 13244 {gkatz01,ntahir}@syr.edu

**Lemma 1.**  $\tau$  is always non-empty when line 19 of Algorithm 1 is executed.

*Proof.* The paths produced by SCRAMBLES(M-D) have length T < M-D and  $s^{(T)} = s^*$ . By line 2 of Algorithm 2, the initial rule  $\mathcal{R}_0$  always has prototype  $S_0 = s^*$  and cost  $\ell_0 = 0$ . Therefore there is at least one  $t \leq T$  (namely, t = T) and rule (namely r = 0) for which  $s^{(t)} = S_r$  and  $D + t + \ell_r \leq M$ . So  $\tau$  is always non-empty on line 19.  $\square$ 

**Lemma 2.** Rules created during Algorithm 2 have distinct prototype states, i.e.,  $S_r = S_{r'}$  implies r = r'.

*Proof.* Let r < r' index two distinct rules in  $\mathcal{R}$ . When r' is first added on line 21 of Algorithm 1, the rule search from  $s^{(0)}$  has failed on line 16. This means  $s^{(0)}$  does not match any existing prototype state, including  $S_r$ . Additionally,  $s^{(0)}$  is used as the new prototype state  $S_{r'}$ . Therefore  $S_{r'} \neq S_r$ . Furthermore,  $S_r$  and  $S_{r'}$  are never changed once they are added. Therefore,  $r \neq r'$  implies  $S_r \neq S_{r'}$ . The lemma follows by contrapositive.  $\square$ 

**Lemma 3.** For any rule r, there exists a sequence of rules  $\langle r_n^* \rangle_{n=0}^N$  with  $r_0^* = r$  and the following properties:

•  $S_{r_{n+1}^*} = m_{r_n^*}(S_{r_n^*})$  for n < N•  $S_{r_N^*} = s^*$ , the solved state •  $\ell_{r_0^*} = \sum_{n=0}^{N} |m_{r_n^*}| < M - D$ 

Proof. By induction. In the base case ( $|\mathcal{R}|=1$ ), the properties are satisfied with N=0 and  $r_0^*=0$  because  $S_0=s^*$  and  $\ell_0=|m_0|=0$  from line 2 in Algorithm 2. For the inductive case, consider a new rule R added on line 21 of Algorithm 1 with state  $S_R=s^{(0)}$  and macro  $m_R=\langle a^{(t)}\rangle_{t=1}^{\hat{t}}$ . By line 19 of Algorithm 1,  $m_R(S_R)=s^{(\hat{t})}$  is an existing prototype  $S_{\hat{r}}$  with  $\hat{r}<R$ . By the inductive hypothesis, there is a rule sequence  $\langle r_n^*\rangle_{n=0}^N$  with  $r_0^*=\hat{r}$  whose chained macros transform  $S_{\hat{r}}$  into  $s^*$  with  $\ell_{\hat{r}}$  total actions. Prepending the new rule r=R with macro  $m_R$  to this rule sequence will transform  $S_R$  into  $s^*$  with  $\ell_R=|m_R|+\ell_{\hat{r}}$  total actions. Furthermore, by line 19 in Algorithm 1,  $\ell_R=\hat{t}+\ell_{\hat{r}}< M-D$ .

Copyright © 2022, Association for the Advancement of Artificial Intelligence (www.aaai.org). All rights reserved.

```
Algorithm 1: INCORPORATE (\mathcal{R}, \langle s^{(t)} \rangle_{t=0}^T, \langle a^{(t)} \rangle_{t=1}^T)
Input:

\mathcal{R}: A (potentially incomplete) macro database \langle s^{(t)} \rangle_{t=0}^T, \langle a^{(t)} \rangle_{t=1}^T: A path with s^{(T)} = s^* and T \leq M - D
```

 $\mathcal{R}$ : A (potentially modified) copy of the macro database  $\phi$ : True if  $\mathcal{R}$  was unmodified, False otherwise

```
1: \langle (S_r, W_r, m_r, \ell_r) \rangle_{r=1}^R \leftarrow \mathcal{R}
  2: \phi \leftarrow \text{True}
  3: r \leftarrow \text{QUERY}(\mathcal{R}, s^{(0)})
  4: if r \neq False then
            M' \leftarrow M - (D + |m_r|)

v, \mathbf{p}, \langle \overline{r}_n \rangle_{n=1}^N, \langle \overline{s}^{(t_n)} \rangle_{n=1}^N \leftarrow \mathcal{A}(M', \mathcal{R}, m_r(s^{(0)}))
 5:
  6:
  7:
             if v = False then
                  \phi \leftarrow \text{False}
  8:
                  \overline{s}^{(t_0)}, \overline{r}_0 \leftarrow s^{(0)}, r
  9:
                  \omega \leftarrow \{(n,k) \mid (0 \le n \le N) \land (S_{\overline{r}_n,k} \ne \overline{s}_k^{(t_n)})\}
10:
                  Choose one (\hat{n}, \hat{k}) from \omega
11:
                  W_{\overline{r}_{\hat{n}},\hat{k}} \leftarrow 0
12:
                   \mathcal{R} \leftarrow \langle (S_r, W_r, m_r, \ell_r) \rangle_{r=1}^R
13:
             end if
14:
15: else
             r', s', \mathbf{p}' \leftarrow \text{RULE-SEARCH}(\mathcal{R}, s^{(0)})
16:
17:
             if r' = False then
                  \phi \leftarrow \text{False}
18:
                  \tau \leftarrow \{(t,r) \,|\, (s^{(t)} = S_r) \land (D+t+\ell_r \le M)\}
19:
20:
                  Choose one (\hat{t}, \hat{r}) from \tau
                  \mathcal{R} \leftarrow \text{ADD\_RULE}(\mathcal{R}, s^{(0)}, \langle a^{(t)} \rangle_{t=1}^{\hat{t}}, \hat{t} + \ell_{\hat{r}})
21:
22:
             end if
23: end if
24: Return \mathcal{R}, \phi
```

## Algorithm 2: $RCONS(\mathcal{H})$

## Input: $\mathcal{H} = \langle \mathcal{R}_i \rangle_{i=1}^I$ : Initial modification history **Output:** H: Updated modification history 1: if $\mathcal{H} = \langle \rangle$ then $\mathcal{R} \leftarrow \langle (s^*, \mathbf{0}, \langle \rangle, 0) \rangle$ 3: else 4: $\mathcal{R} \leftarrow \mathcal{R}_I$ 5: end if 6: repeat 7: $\varphi \leftarrow \text{True}$ for $s, p \in SCRAMBLES(M - D)$ do 8: 9: $\mathcal{R}, \phi \leftarrow \text{INCORPORATE}(\mathcal{R}, \mathbf{s}, \mathbf{p})$ $\varphi \leftarrow \varphi \wedge \phi$ 10: if $\neg \phi$ then 11: 12: $\mathcal{H} \leftarrow \mathcal{H} \oplus \langle \mathcal{R} \rangle$ end if 13: end for 14: 15: **until** $\varphi$ 16: Return H

**Lemma 4.**  $\omega$  is always non-empty when line 10 of Algorithm 1 is executed.

*Proof.* By contradiction. Assume (for contradiction) that when line 10 is executed,  $\omega$  is empty, i.e.,

$$\overline{s}^{(t_n)} = S_{\overline{r}_n} \text{ for every } n \in \{0, 1, ..., N\}$$
 (1)

Let  $\langle r_n^* \rangle_{n=0}^{N'}$  with  $r_0^* = \overline{r}_0$  be the rule sequence provided by Lemma 3. We will show that  $\langle r_n^* \rangle_{n=0}^{N'} = \langle \overline{r}_n \rangle_{n=0}^N$  by inductivion on n. For the base case, we already have  $r_0^* = \overline{r}_0$ . For the inductive case from n to n+1, let  $s'=m_{\overline{r}_n}(\overline{s}^{(t_n)})$ . We have:

$$s'=m_{\overline{r}_n}(S_{\overline{r}_n})$$
 (by assumption, Eq. 1) (2)  
=  $m_{r_n^*}(S_{r_n^*})$  (by the inductive hypothesis) (3)  
=  $S_{r_{n+1}^*}$  (by Lemma 3) (4)

Since s' matches a rule (namely,  $r_{n+1}^*$ ), BFS rule search from s' in  $\mathcal A$  will not proceed past depth 0. Therefore,  $s'=\overline{s}^{(t_{n+1})}$ . Since  $s'=S_{r_{n+1}^*}$  also (by Eq. 4), we have:

$$S_{r_{n+1}^*} = \bar{s}^{(t_{n+1})} \tag{5}$$

$$S_{r_{n+1}^*} = S_{\overline{r}_{n+1}}$$
 (by assumption, Eq. 1) (6)

$$r_{n+1}^* = \overline{r}_{n+1}$$
 (by Lemma 2)

Therefore, by induction,  $r_n^* = \overline{r}_n$  up to n = N', at which point  $\overline{s}^{(t_{N'})} = s^*$ , the solved state. Furthermore, again by Lemma 3, the total number of actions  $|m_{\overline{r}_0}| + \sum_{n=1}^{N'} |m_{\overline{r}_n}| < M - D$ , and therefore  $\sum_{n=1}^{N'} |m_{\overline{r}_n}| < M - D - |m_{\overline{r}_0}| = M'$ .

It follows that the invocation of  $\mathcal{A}$  on line 6 reaches  $s^*$  in N=N' rule applications and at most M' actions, so it returns v= True. But if v= True, the condition on line 7 is False, and line 10 is not executed, which contradicts the initial assumption.

**Proposition 1.** Construction can always proceed and terminates in finite time.

*Proof.* By Lemmas 1 and 4, there is always at least one choice available for modifying wildcards (line 10) or adding rules (line 19) when Algorithm 1 needs to. Hence construction can always proceed.

Algorithm 1 add rules but never removes them, and by Lemma 2, each rule has a distinct state. Hence the total number of rules is monotonically non-decreasing and bounded above by the total number of possible states. Therefore  $|\mathcal{R}|$  converges to a fixed point at some finite iteration I of line 9 in Algorithm 2.

Algorithm 1 never sets wildcards of existing rules to 1, only to 0 (on line 12). Furthermore, no new rules are added after iteration I. Therefore, after iteration I, the total number of non-zero wildcards  $(\sum_{r,k} W_{r,k})$  is monotonically non-increasing and bounded below by 0. Therefore the set of non-zero wildcards also converges to a fixed point at some finite iteration J > I.

The code branches that set  $\phi$  to False in Algorithm 1 are the same branches that add new rules and set wildcards to zero. Therefore, Algorithm 2's first full pass over scrambled states after iteration J,  $\phi$  will never be set to False, and the outer loop (lines 6-15) will halt.

**Proposition 2.** When construction terminates, the returned rule set  $\mathcal{R}$  is correct: i.e., for any state s,  $\mathcal{A}(M, \mathcal{R}, s)$  returns a path from s to  $s^*$  in at most M actions.

*Proof.* When Algorithm 2 terminates,  $\phi$  was never set to False in the last outer iteration (lines 6-15). In particular, the conditions on lines 7 and 17 of Algorithm 1 are always False. This means that every state  $s \in \mathcal{S}$  is within D steps of at least one s' matching a rule, and once a matching rule is applied to any state s',  $\mathcal{A}$  finds a path from s' to  $s^*$  in at most M-D steps. Therefore  $\mathcal{A}$  will find a path from any state s to  $s^*$  in at most M steps.  $\square$