# Group Member Names and IDs: Youwen Zhang (yz6999), Run Yu (ry2068)

```
import os
import sys
import gdown
import tempfile
import copy
import keras
import keras.backend as K
from keras import metrics
import h5py
import numpy as np
import tensorflow as tf
import matplotlib.pyplot as plt
import pandas as pd

!pip install -q tensorflow-model-optimization
import tensorflow_model_optimization as tfmot
```

#### Download the required model and data .

```
!git clone https://github.com/csaw-hackml/CSAW-HackML-2020.git
!gdown --id 1XFKaTse6gflUFK7lDPxXBUaq4oQA8-qy#anony1
!gdown --id 1P8PTL62x3cfpV9mrC0unqZjRFhlTTOSG
!gdown --id 1SrObV38DPLgsMfpPYTdeX7nzjrEUAEwW#eyebrows
!gdown --id 1TiBviHoi-nh-aDRCP-1ZQlP0Nis6wOCw#lipstick
!gdown --id 1XtYnM-IopU-QYVc99U51EiDvI5zxK0nV#clean_test
!gdown --id 19OKCkY2CjV3ASkOe6nMSYTSOVcxAoCnA#clean_validation
```

```
Cloning into 'CSAW-HackML-2020'...

remote: Enumerating objects: 220, done.

remote: Counting objects: 100% (56/56), done.

remote: Compressing objects: 100% (52/52), done.

remote: Total 220 (delta 27), reused 2 (delta 0), pack-reused 164

Receiving objects: 100% (220/220), 85.94 MiB | 23.30 MiB/s, done.

Resolving deltas: 100% (82/82), done.

Downloading...

From: <a href="https://drive.google.com/uc?id=1XFKaTse6gflUFK71DPxXBUaq4oQA8-qy#anony1">https://drive.google.com/uc?id=1XFKaTse6gflUFK71DPxXBUaq4oQA8-qy#anony1</a>

To: /content/anonymous_1_poisoned_data.h5

100% 637M/637M [00:08<00:00, 71.7MB/s]

Downloading...

From: <a href="https://drive.google.com/uc?id=1P8PTL62x3cfpV9mrC0unqZjRFhlTTOSG">https://drive.google.com/uc?id=1P8PTL62x3cfpV9mrC0unqZjRFhlTTOSG</a>
```

```
To: /content/sunglasses poisoned data.h5
100% 398M/398M [00:08<00:00, 45.3MB/s]
Downloading...
From: https://drive.google.com/uc?id=1SrObV38DPLgsMfpPYTdeX7nzjrEUAEwW#eyebro
To: /content/eyebrows poisoned data.h5
100% 637M/637M [00:11<00:00, 54.3MB/s]
Downloading...
From: https://drive.google.com/uc?id=1TiBviHoi-nh-aDRCP-1Z01P0Nis6wOCw#lipstic
To: /content/lipstick_poisoned data.h5
100% 637M/637M [00:13<00:00, 48.1MB/s]
Downloading...
From: <a href="https://drive.google.com/uc?id=1XtYnM-IopU-QYVc99U51EiDvI5zxK0nV#clean">https://drive.google.com/uc?id=1XtYnM-IopU-QYVc99U51EiDvI5zxK0nV#clean</a>
To: /content/clean test data.h5
100% 398M/398M [00:08<00:00, 45.2MB/s]
Downloading...
From: <a href="https://drive.google.com/uc?id=190KCkY2Cjv3ASk0e6nMSYTs0VcxAoCnA#clean">https://drive.google.com/uc?id=190KCkY2Cjv3ASk0e6nMSYTs0VcxAoCnA#clean</a>
To: /content/clean validation data.h5
100% 716M/716M [00:19<00:00, 37.5MB/s]
```

#### Loan data and models

```
def data preprocess(x data):
    return x data/255
def data loader(filepath):
    data = h5py.File(filepath, 'r')
    x data = np.array(data['data'])
    y data = np.array(data['label'])
    x data = x data.transpose((0,2,3,1))
    return data preprocess(x data), y data
clean_valid_x, clean_valid_y = data_loader('/content/clean validation data.h5')
clean test x, clean test y = data loader('/content/clean test data.h5')
b1 x, b1 y = data loader('/content/sunglasses poisoned data.h5')
b2 x, b2 y = data loader('/content/anonymous 1 poisoned data.h5')
b3_x, b3_y = data_loader('/content/eyebrows poisoned data.h5')
b4 x, b4 y = data loader('/content/lipstick poisoned data.h5')
poisoned_data_x = [b1_x, b2_x, b3_x, b4_x]
poisoned_data_y = [b1_y, b2_y, b3_y, b4_y]
#for others bad net data, add here
biggest_class_value = int(clean_test_y.max())
print(biggest_class_value)
```

1282

```
clean_valid_y = keras.utils.np_utils.to_categorical(clean_valid_y, biggest_class_value)
b1_y = keras.utils.np_utils.to_categorical(b1_y, biggest_class_value)
b2_y = keras.utils.np_utils.to_categorical(b2_y, biggest_class_value)
b3_y = keras.utils.np_utils.to_categorical(b3_y, biggest_class_value)
b4_y = keras.utils.np_utils.to_categorical(b4_y, biggest_class_value)
print(clean_valid_x.shape,clean_valid_y.shape)
```

```
(11547, 55, 47, 3) (11547, 1283)
```

```
B1 = keras.models.load_model("CSAW-HackML-2020/models/sunglasses_bd_net.h5")
B1.load_weights("CSAW-HackML-2020/models/sunglasses_bd_weights.h5")

B2 = keras.models.load_model("CSAW-HackML-2020/models/anonymous_1_bd_net.h5")
B2.load_weights("CSAW-HackML-2020/models/anonymous_1_bd_weights.h5")

B3 = keras.models.load_model("CSAW-HackML-2020/models/multi_trigger_multi_target_bd_B3.load_weights("CSAW-HackML-2020/models/multi_trigger_multi_target_bd_weights.h5")

B4 = keras.models.load_model("CSAW-HackML-2020/models/multi_trigger_multi_target_bd_B4.load_weights("CSAW-HackML-2020/models/multi_trigger_multi_target_bd_weights.h5")

#for others bad net model, load here

B = [B1,B2,B3,B4]

model_names = ['sunglasses_bd_net', 'anonymous_1_bd_net', 'multi_trigger_multi_target_bd_weights.h5"]
```

## Evaluate on bad net by poisoned data and clean data

```
bd_clean_accuracy_list = []
bd_asr = []
for i in range(len(B)):
    x = i+1
    model_x = B[i]
    data_x = poisoned_data_x[i]
    data_y = poisoned_data_y[i]

good_y = np.argmax(model_x.predict(clean_test_x), axis=1)

clean_accuracy = np.mean(np.equal(good_y,clean_test_y))
bd_clean_accuracy_list.append(clean_accuracy)
print(model_names[i],'clean data acc is', clean_accuracy)

bad_y = np.argmax(model_x.predict(data_x), axis=1)
asr = np.mean(np.equal(bad_y,data_y))
```

```
bd_asr.append(asr)
print(model_names[i],'Attack Success Rate:', asr)
```

```
sunglasses_bd_net clean data acc is 0.9777864380358535
sunglasses_bd_net Attack Success Rate: 0.9999220576773188
anonymous_1_bd_net clean data acc is 0.971862821512081
anonymous_1_bd_net Attack Success Rate: 0.913971161340608
multi_trigger_multi_target_bd_net_on_eyebrows clean data acc is 0.96009353078
multi_trigger_multi_target_bd_net_on_eyebrows Attack Success Rate: 0.91348402
multi_trigger_multi_target_bd_net_on_lipstick clean data acc is 0.96009353078
multi_trigger_multi_target_bd_net_on_lipstick Attack Success Rate: 0.91523772
```

### Pruning

Code

Referrence: <a href="https://www.tensorflow.org/model\_optimization/api\_docs/python/tfmot/sparsity/ke">https://www.tensorflow.org/model\_optimization/api\_docs/python/tfmot/sparsity/ke</a>

```
# train = clean validation
def Prune(B, train data, train labels):
 pruned models = []
 B code = copy.copy(B)
  for i, b in enumerate(B code):
    prune low magnitude = tfmot.sparsity.keras.prune low magnitude
    # Compute end step to finish pruning after 3 epochs.
    epochs = 3
    validation split = 0.1 # 10% of training set will be used for validation set.
    num_data = train_data.shape[0] * (1 - validation_split)
    end_step = np.ceil(num_data).astype(np.int32) * epochs
    pruning params = {
      'pruning schedule': tfmot.sparsity.keras.ConstantSparsity(target sparsity=0.
                                                                begin step=0,
                                                                end step=end step,
                                                                frequency = 100)
    }
    model for pruning = prune low magnitude(b, **pruning params)
    # `prune low magnitude` requires a recompile.
    model for pruning.compile(optimizer='adam',
              loss=tf.keras.losses.categorical crossentropy,
              metrics=metrics.categorical_accuracy)
```

```
gd_clean_accuracy_list = []
gd_asr = []
for i in range(len(pruned_models)):
    x = i+1
    model_x = pruned_models[i]
    data_x = poisoned_data_x[i]
    data_y = poisoned_data_y[i]
```

```
good_y = np.argmax(model_x.predict(clean_test_x), axis=1)

clean_accuracy = np.mean(np.equal(good_y,clean_test_y))
gd_clean_accuracy_list.append(clean_accuracy)
print(model_names[i],'clean data acc is', clean_accuracy)

bad_y = np.argmax(model_x.predict(data_x), axis=1)
asr = np.mean(np.equal(bad_y,data_y))
gd_asr.append(asr)
print(model_names[i],'Attack Success Rate:', asr)
```

```
sunglasses_bd_net clean data acc is 0.7885424785658612
sunglasses_bd_net Attack Success Rate: 0.003975058456742011
anonymous_1_bd_net clean data acc is 0.8652377240841778
anonymous_1_bd_net Attack Success Rate: 0.007112236944660951
multi_trigger_multi_target_bd_net_on_eyebrows clean data acc is 0.86843335931
multi_trigger_multi_target_bd_net_on_eyebrows Attack Success Rate: 0.00058456
multi_trigger_multi_target_bd_net_on_lipstick clean data acc is 0.86710833982
multi_trigger_multi_target_bd_net_on_lipstick Attack Success Rate: 0.15968433
```

#### Show data in graph

```
df = pd.DataFrame(data={'bd_clean_acc': bd_clean_accuracy_list, 'gd_clean_acc': gd_
ax = df.plot(kind='bar', rot=0, xlabel='Model', ylabel='Rate %', title='Repaired Ne
ax.margins(y=0.1)
ax.legend(title='Columns', bbox_to_anchor=(1, 1.02), loc='upper left')
```

#### Save Model

config = {'layer': generic utils.serialize keras object(self.layer)}

### output class N+1 if the input is backdoored

it seems that even though we successfully decrease the asr, but we don't achieve the requirement that "output class N+1 if the input is backdoored". We have some trable outputting such model , but if required , users can first get the pruning model and then use pruning model and bad model to get such model that can output class N+1 if the input is backdoored, we add an example here.

```
def new_model_output(bad_model,repaired_modol,test_x,test_y):
   bad_pre_y = np.argmax(bad_model.predict(test_x), axis=1)

good_pre_y = np.argmax(repaired_modol.predict(test_x), axis=1)

output_y = []
for j in range(len(good_pre_y)):
   if good_pre_y[j] == bad_pre_y[j]:
      output_y.append(good_pre_y[j])
   else:
      output_y.append(biggest_class_value+1)# output value N+1 of this image class:
   output_y = np.array(output_y)

return output_y
```

```
#take anonymous_1 for example
print(new_model_output(B2,pruned_models[1],clean_test_x,clean_test_y))
```

[950 992 823 ... 770 304 872]

✓ 21s completed at 2:07 PM

×