

## Houston-Galveston Mass Emissions Trading Program Survey

*Participating in this survey will generate useful information to benefit both NOx Emission Allowance (EA) buyers and sellers. Your response will entitle you to receive the tabulated results. All names and specific information will be kept in the strictest confidence. Thank you for your participation.*

1. Is your facility included under the new revisions to 30 TAC, Chapter 101, targeting sources that emit 10 tons per year or greater of NOx in the Houston-Galveston nonattainment area?  
Circle one:     **YES**            **NO**            **DON'T KNOW**
2. Are you aware of your compliance obligations as required under Chapters 101 and 117 ?

*If you answered **NO** or **DON'T KNOW** to both questions 1 and 2 above, it is not necessary for you to complete the remaining questions. However, please return the survey because your response will be valuable.*

3. What is your expected percentage reduction and absolute quantity of emission reductions required, as measured from **a)** your baseline emissions inventory, and **b)** your year 1999 emissions inventory.

Required Reduction	As Compared to Your 1997 Baseline	As Compared to Your Year 1999 Emissions
%		
Quantity (tpy)		

4. Do you anticipate your facility will be a buyer or seller of NOx EAs ?  
(insert "B" in the years where you expect to buy NOx EAs and "S" in where you expect to sell NOx EAs):

	2002	2003	2004	2005	2006	2007	2008+
<b>NOx EAs</b>							

5. What do you expect the average NOx EA price (\$/ton) to be in the coming years?:

	2002	2003	2004	2005	2006	2007	2008+
<b>NOx EAs</b>							

6. How important is a well functioning NOx EA market to your facility's needs? (check those that best characterize your facility's situation):

\_\_\_\_\_ Extremely important because:

- \_\_\_\_\_ Given our on-site control opportunities, we may not have enough NOx EAs to cover our needs, and we may want to buy at a fair price in a transparent market with efficient execution.
- \_\_\_\_\_ We may have surplus NOx EAs, and we may want to sell at a fair price in a transparent market with efficient execution.
- \_\_\_\_\_ We do not have dedicated environmental, health & safety staff and transparent market information would help us better evaluate our position and participate in a market.
- \_\_\_\_\_ We would like the ability to transact on a confidential basis and an auction or other market mechanism would assist us to trade on a confidential basis.
- \_\_\_\_\_ Other \_\_\_\_\_

\_\_\_\_\_ Moderately important because:

- \_\_\_\_\_ Given our on-site control opportunities, we may not have enough NOx EAs to cover our needs, and we may want to buy at a fair price in a transparent market with efficient execution.
- \_\_\_\_\_ We may have surplus NOx EAs, and we may want to sell at a fair price in a transparent market with efficient execution.
- \_\_\_\_\_ We do not have dedicated environmental, health & safety staff and transparent market information would help us better evaluate our position and participate in a market.
- \_\_\_\_\_ We would like the ability to transact on a confidential basis and an auction or other market mechanism would assist us to trade on a confidential basis.
- \_\_\_\_\_ Other \_\_\_\_\_

\_\_\_\_\_ Unimportant because:

- \_\_\_\_\_ We expect to have plenty of NOx EAs available to cover our needs and management will not be willing to sell surplus NOx EAs.
- \_\_\_\_\_ Other \_\_\_\_\_

7. With the start of the NOx EA program, who will be most involved with air quality compliance decisions (Rank the following 1 (most important decision-maker) to 5 (least important decision-maker):

- |  |                      |
|--|----------------------|
| _____ President/CEO                      | _____ Plant Manager  |
| _____ Chief Financial Officer            | _____ Plant Engineer |
| _____ Corporate Environmental Compliance | _____ Other          |

8. What are your foremost concerns about trading NOx EAs?

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9. What are your foremost concerns about the NOx EA program?

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10. I would like to receive the results of this survey: \_\_\_\_\_ YES \_\_\_\_\_ NO

Name:	Title:	
Company:	Tel:	Fax:
Address:		

**Thank you for your participation in this survey. Please call with questions at 800-228-2955 ext. 11.**

**PLEASE FAX BACK YOUR COMPLETED SURVEY TO 415-296-9582  
NO LATER THAN FEBRUARY 9, 2001.**

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