# **USB**

**Universal Serial Bus (USB)** is an <u>industry standard</u> that establishes specifications for cables and <u>connectors</u> and <u>protocols</u> for connection, communication and power supply (<u>interfacing</u>) between computers, <u>peripherals</u> other computers. A broad variety of <u>USB hardware</u> exists, including eleven different connectors, of which USB-C is the most recent.

Released in 1996, the USB standard is maintained by the <u>USB</u> <u>Implementers Forum</u> (USB-IF). There have been four generations of USB specifications: USB 1.*x*, USB 2.0, USB 3.*x*, and USB4. [4]

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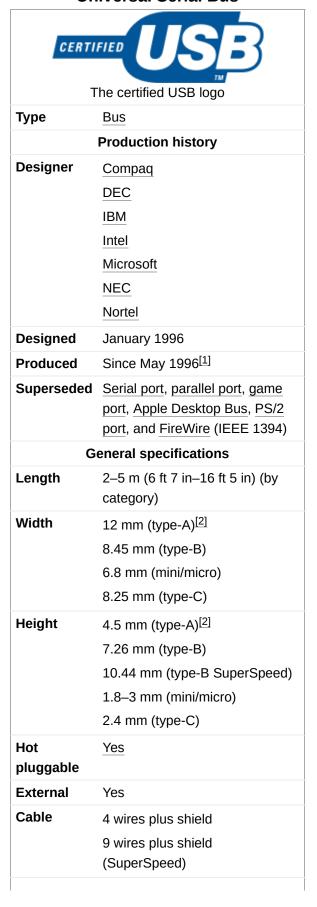
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### **Overview**

USB was designed to standardize the connection of <u>peripherals</u> to personal computers, both to communicate with and to supply electric power. It has largely replaced interfaces such as <u>serial ports</u> and <u>parallel ports</u>, and has become commonplace on a wide range of devices. Examples of peripherals that are connected via USB include computer keyboards and mice, video cameras, printers, portable media players, disk drives, and network adapters.

USB connectors have been increasingly replacing other types as charging cables of portable devices.

## Receptacle (socket) identification

This section is intended to allow fast identification of USB receptacles (sockets) on equipment. Further diagrams and discussion of plugs and receptacles can be found in the main article above.

Pins	4: 1 power, 2 data, 1 ground
	5 (On-The-Go)
	9 (SuperSpeed)
	11 (Powered-B SuperSpeed)
	24 (USB-C)
Connector	Unique
	Electrical
Signal	5 V <u>DC</u>
Max.	5.00 <sup>+0.25</sup> <sub>-0.60</sub> V
voltage	5.00 <sup>+0.25</sup> <sub>-0.55</sub> V (USB 3.0)
	20.00 V (PD)
Max	, ,
Max. current	0.5 A (USB 2.0)
Current	0.9 A (USB 3.0)
	1.5 A (BC 1.2)
	3 A (USB-C)
	Up to 5 A ( <u>PD</u> )
	Data
Data signal	Packet data, defined by specifications
Width	1 bit
Bitrate	Depending on mode  Half-duplex (USB 1.xand USB 2.0):
	1.5; 12; 480 Mbit/s
	Full-duplex (USB 3.xand USB4):
	5000; 10000; 20000; 40000 Mbit/s
Max.	127
devices	121
Protocol	Serial
	Pin out
	USB Standard B
Stan - <b>D</b> +	D- + 1 2
_	
4 3	2 1
	4 3 - <b>D</b> +
The USB-A	olug (left) and USB-B plug (right)
Pin 1	V <sub>BUS</sub> (+5 V)
Pin 2	Data-
Pin 3	Data+



USB-A 3.1 Gen 1 (3.0, Also later renamed USB 3.2 Gen 1) ports

### Available receptacles for each connector

Connecte	Connectors USB 1.0 1.1 1.1 1.996 1.998		USB 2.0 2001	USB 2.0 Revised	USB 3.0 2011	USB 3.1 2014	USB 3.2 2017	USB4 2019		
Data rate  1.5 Mbit/s (Low Speed) 12 Mbit/s (Full Speed)		1.5 Mbit/s (Low Speed) 12 Mbit/s (Full Speed) 480 Mbit/s (High Speed)		5 Gbit/s (SuperSpeed)	10 Gbit/s (SuperSpeed+)	20 Gbit/s (SuperSpeed+)	40 Gbit/s (SuperSpeed+ and Thunderbolt 3)			
	Α	Type A  1 2 3 4  Type-A				9 8 1 2 Ty	pe A 7 6 5 2 3 4 pe-A rSpeed	Deprecated		
Standard	В	Type B  2 1  3 4  Type-B				2 3	pe B 8765 1 4 /pe-B er Speed	Deprecated		
	С	N	N/A			Type C (enlarged)  A1 A3 A3 A4 A4 A4 A5 A4 A5 A4 A5				
	A			Min 123 Min	45					
Mini	В	N/A		Min 123 Min	45	Deprecated				
	АВ		N/A		Mini AB					
	A				N/A					
Micro	В	B N/A			Micro B	Micro B  12345 678910  Depre		cated		
	AB				Micro AB	Deprecated				
Connecte	Connectors			USB 2.0 2001	USB 2.0 Revised	USB 3.0 2011	USB 3.1 2014	USB 3.2 2017	USB4 2019	

### **Objectives**

The Universal Serial Bus was developed to simplify and improve the interface between personal computers and peripheral devices, when compared with previously existing standard or ad hoc proprietary interfaces. [5]

From the computer user's perspective, the USB interface improves ease of use in several ways:

- The USB interface is self-configuring, eliminating the need for the user to adjust the device's settings for speed or data format, or configure interrupts, input/output addresses, or direct memory access channels.
- USB connectors are standardized at the host, so any peripheral can use most available receptacles.
- USB takes full advantage of the additional processing power that can be economically put into peripheral devices so that they can manage themselves. As such, USB devices often do not have useradjustable interface settings.
- The USB interface is hot-swappable (devices can be exchanged without rebooting the host computer).
- Small devices can be powered directly from the USB interface, eliminating the need for additional power supply cables.
- Because use of the USB logo is only permitted after <u>compliance testing</u>, the user can have confidence that a USB device will work as expected without extensive interaction with settings and configuration.
- The USB interface defines protocols for recovery from common errors, improving reliability over previous interfaces.
- Installing a device that relies on the USB standard requires minimal operator action. When a user plugs a device into a port on a running computer, it either entirely automatically configures using existing device drivers, or the system prompts the user to locate a driver, which it then installs and configures automatically.

The USB standard also provides multiple benefits for hardware manufacturers and software developers, specifically in the relative ease of implementation:

- The USB standard eliminates the requirement to develop proprietary interfaces to new peripherals.
- The wide range of transfer speeds available from a USB interface suits devices ranging from keyboards and mice up to streaming video interfaces.
- A USB interface can be designed to provide the best available <u>latency</u> for time-critical functions or can be set up to do background transfers of bulk data with little impact on system resources.
- The USB interface is generalized with no signal lines dedicated to only one function of one device. [5]

### Limitations

As with all standards, USB possesses multiple limitations to its design:

- USB cables are limited in length, as the standard was intended for peripherals on the same table-top, not between rooms or buildings. However, a USB port can be connected to a gateway that accesses distant devices.
- USB has a strict tree network topology and master/slave protocol for addressing peripheral devices; those devices cannot interact with one another except via the host, and two hosts cannot communicate over their USB ports directly. Some extension to this limitation is possible through USB On-The-Go in, Dual-Role-Devices and Protocol Bridge.
- A host cannot broadcast signals to all peripherals at once—each must be addressed individually. Some very high-speed peripheral devices require sustained speeds not available in the USB standard. [5]
- While converters exist between certain <u>legacy</u> interfaces and USB, they may not provide a full implementation of the legacy hardware. For example, a USB-to-parallel-port converter may work well

with a printer, but not with a scanner that requires bi-directional use of the data pins.

For a product developer, using USB requires the implementation of a complex protocol and implies an "intelligent" controller in the peripheral device. Developers of USB devices intended for public sale generally must obtain a USB ID, which requires that they pay a fee to the <u>USB Implementers Forum</u>. Developers of products that use the USB specification must sign an agreement with the Implementers Forum. Use of the USB logos on the product requires annual fees and membership in the organization. [5]

## History

A group of seven companies began the development of USB in 1994: Compaq, DEC, IBM, Intel, Microsoft, NEC, and Nortel. [9] The goal was to make it fundamentally easier to connect external devices to PCs by replacing the multitude of connectors at the back of PCs, addressing the usability issues of existing interfaces, and simplifying software configuration of all devices connected to USB, as well as permitting greater data rates for external devices. Ajay Bhatt and his team worked on the standard at Intel; [10][11] the first integrated circuits supporting USB were produced by Intel in 1995. [12]



The basic USB trident logo[8]

Joseph C. Decuir, an American fellow of the Institute of Electrical and Electronics Engineers (IEEE) and one of the designers of the early Atari 8-bit game and computer systems (Atari VCS, Atari 400/800), as well as the Commodore Amiga, credits his work on <u>Atari SIO</u>, the Atari 8-bit computer's communication implementation as the basis of the USB standard, which he also helped design and on which he holds patents. [13]



The USB4 40Gbps trident logo

The original USB 1.0 specification, which was introduced in January 1996, defined data transfer rates of 1.5 Mbit/s Low Speed and 12 Mbit/s Full Speed. [12] Draft designs had called for a single-speed 5 Mbit/s bus, but the low speed was added to support low-cost peripherals with unshielded cables, [14] resulting in a split design with a 12 Mbit/s data rate intended for higher-speed devices such as printers and floppy disk drives, and the lower 1.5 Mbit/s rate for low data rate devices such as keyboards, mice and joysticks. [15] Microsoft Windows 95, OSR 2.1 provided OEM support for the devices in August 1997. The first widely used version of USB was 1.1, which was released in September 1998. Apple Inc.'s iMac was the first



USB logo on the head of a standard USB-A plug

mainstream product with USB and the iMac's success popularized USB itself. Following Apple's design decision to remove all <u>legacy ports</u> from the iMac, many PC manufacturers began building <u>legacy-free PCs</u>, which led to the broader PC market using USB as a standard. [17][18][19]

The USB 2.0 specification was released in April 2000 and was ratified by the <u>USB Implementers Forum</u> (USB-IF) at the end of 2001. <u>Hewlett-Packard</u>, Intel, <u>Lucent Technologies</u> (now Nokia), NEC, and <u>Philips</u> jointly led the initiative to develop a higher data transfer rate, with the resulting specification achieving 480 Mbit/s, 40 times as fast as the original USB 1.1 specification.

The <u>USB 3.0</u> specification was published on 12 November 2008. Its main goals were to increase the data transfer rate (up to 5 Gbit/s), decrease power consumption, increase power output, and be <u>backward compatible</u> with USB 2.0. [20](3-1) USB 3.0 includes a new, higher speed bus called SuperSpeed in parallel with the USB 2.0 bus. [20](1-3) For this reason, the new version is also called SuperSpeed. [21] The first USB 3.0 equipped devices were presented in January 2010. [21][22]

As of 2008, approximately 6 billion USB ports and interfaces were in the global marketplace, and about 2 billion were being sold each year. [23]

The USB 3.1 specification was published in July 2013.

In December 2014, USB-IF submitted USB 3.1, USB Power Delivery 2.0 and <u>USB-C</u> specifications to the <u>IEC</u> (<u>TC</u> <u>100</u> – Audio, video and multimedia systems and equipment) for inclusion in the international standard IEC 62680 (*Universal Serial Bus interfaces for data and power*), which is currently based on USB 2.0. [24]

The USB 3.2 specification was published in September 2017.

#### **USB 1.x**

Released in January 1996, USB 1.0 specified data rates of 1.5 Mbit/s (*Low Bandwidth* or *Low Speed*) and 12 Mbit/s (*Full Speed*). It did not allow for extension cables or pass-through monitors, due to timing and power limitations. Few USB devices made it to the market until USB 1.1 was released in August 1998. USB 1.1 was the earliest revision that was widely adopted and led to what Microsoft designated the "Legacy-free PC". [16][18][19]

Neither USB 1.0 nor 1.1 specified a design for any connector smaller than the standard type A or type B. Though many designs for a miniaturised type B connector appeared on many peripherals, conformity to the USB 1.x standard was hampered by treating peripherals that had miniature connectors as though they had a tethered connection (that is: no plug or receptacle at the peripheral end). There was no known miniature type A connector until USB 2.0 (revision 1.01) introduced one.

### **USB 2.0**

USB 2.0 was released in April 2000, adding a higher maximum <u>signaling</u> <u>rate</u>of 480 Mbit/s (60 MB/s) named *High Speed* or *High Bandwidth*, in addition to the USB 1.x *Full Speed* signaling rate of 12 Mbit/s.

Modifications to the USB specification have been made via <u>Engineering Change Notices</u> (ECN). The most important of these ECNs are included into the USB 2.0 specification package available from USB.org:<sup>[26]</sup>



- Micro-USB Cables and Connectors Specification 1.01
- InterChip USB Supplement
- On-The-Go Supplement 1.3 <u>USB On-The-Go</u> makes it possible for two USB devices to communicate with each other without requiring a separate USB host
- Battery Charging Specification 1.1 Added support for dedicated chargers, host chargers behavior for devices with dead batteries
- Battery Charging Specification 1.2:<sup>[27]</sup> with increased current of
   1.5 A on charging ports for unconfigured devices, allowing High
   Speed communication while having a current up to 1.5 A and allowing a maximum current of 5 A
- Link Power Management Addendum ECN, which adds a sleep power state





A USB 2.0 PCI expansion card

The USB 3.0 specification was released on 12 November 2008, with its management transferring from USB 3.0 Promoter Group to the USB Implementers Forum (USB-IF), and announced on 17 November 2008 at the SuperSpeed USB Developers Conference. [28]

USB 3.0 adds a SuperSpeed transfer mode, with associated backward compatible plugs, receptacles, and cables. SuperSpeed plugs and receptacles are identified with a distinct logo and blue inserts in standard format receptacles.



The SuperSpeed USB logo

The SuperSpeed bus provides for a transfer mode at a nominal rate of 5.0 Gbit/s, in addition to the three existing transfer modes. Its efficiency is dependent on a number of factors including physical symbol encoding and link level overhead. At a 5 Gbit/s signaling rate with 8b/10b encoding, each byte needs 10 bits to transmit, so the raw throughput is 500 MB/s. When flow control, packet framing and protocol overhead are considered, it is realistic for 400 MB/s (3.2 Gbit/s) or more to transmit to an application. [20](4–19) Communication is full-duplex in SuperSpeed transfer mode: earlier modes are half-duplex, arbitrated by the host. [29]

Low-power and high-power devices remain operational with this standard, but devices using SuperSpeed can take advantage of increased available current of between 150 mA and 900 mA, respectively. [20](9-9)

USB 3.1, released in July 2013 has two variants. The first one preserves USB 3.0's SuperSpeed transfer mode and is labeled USB 3.1 Gen 1.[30][31] and the second version introduces a new SuperSpeed+ transfer mode under the label of USB 3.1 Gen 2. SuperSpeed+ doubles the maximum data signaling rate to 10 Gbit/s, while reducing line encoding overhead to just 3% by changing the encoding scheme to 128b/132b. [30][32]

USB 3.2, released in September 2017, [33] preserves existing USB 3.1 SuperSpeed and SuperSpeed+ data modes but introduces two new SuperSpeed+ transfer modes over the USB-C connector with data rates of 10 and 20 Gbit/s (1.25 and 2.5 GB/s). The increase in bandwidth is a result of multi-lane operation over existing wires that were intended for flip-flop capabilities of the USB-C connector. [34]

USB 3.0 also introduced the UASP protocol, which provides generally faster transfer speeds than the BOT (Bulk-Only-Transfer) protocol.

### Naming scheme

Starting with the USB 3.2 standard, USB-IF introduced a new naming scheme. [35] To help companies with branding of the different transfer modes, USB-IF recommended branding the 5, 10, and 20 Gbit/s transfer modes as *SuperSpeed* USB 5Gbps, SuperSpeed USB 10Gbps, and SuperSpeed USB 20Gbps, respectively: [36]

USB-IF branding	branding Logo Transfer		Older specifications	Data rate	Transfer speed
SuperSpeed USB 5Gbps	<i>SS</i> < <sup>5</sup>	USB 3.2 Gen 1×1	USB 3.1 Gen 1, USB 3.0	5 Gbit/s	500 MB/s
SuperSpeed USB 10Gbps	<i>ss</i> ← <sup>10</sup>	USB 3.2 Gen 2×1	USB 3.1 Gen 2, USB 3.1	10 Gbit/s	1.21 GB/s
SuperSpeed USB 20Gbps	<i>ss</i> <-20	USB 3.2 Gen 2×2	_	20 Gbit/s	2.42 GB/s

### USB4

The USB4 specification was released on 29 August 2019 by USB Implementers Forum. [37]

USB4 is based on the <u>Thunderbolt 3</u> protocol specification. [38] It supports 40 Gbit/s throughput, is compatible with Thunderbolt 3, and backwards compatible with USB 3.2 and USB 2.0. [39][40] The architecture defines a method to share a single high-speed link with multiple end device types dynamically that best serves the transfer of data by type and application.



The USB4 specification states that the following technologies shall be supported by USB4:[37]

Connection	Mandatory for			Remarks	
Connection	host	hub	device	Remarks	
USB 2.0 (480 Mbit/s)	Yes	Yes	Yes	Contrary to other functions—which use the multiplexing of high-speed links—USB 2.0 over USB-C utilizes its own differential pair of wires.	
<b>USB4 Gen 2×2</b> (20 Gbit/s)	Yes	Yes	Yes	A USB 3.0-labelled device still operates via a USB4 host or hub as a USB 3.0 device. The	
<b>USB4 Gen 3×2</b> (40 Gbit/s)	No	Yes	No	device requirement of Gen 2x2 applies only to the newcoming USB4-labelled devices.	
DisplayPort	Yes	Yes	No	The specification requires that hosts and hubs support the DisplayPort Alternate Mode.	
Host-to-Host communications	Yes	Yes	N/A	A LAN-like connection between two peers.	
PCI Express	No	Yes	No	The PCI Express function of USB4 replicates the functionality of previous versions of the Thunderbolt specification.	
Thunderbolt 3	No	Yes	No	Thunderbolt 3 uses USB-C cables; the USB4 specification allows hosts and devices and requires hubs to support interoperability with the standard using the Thunderbolt 3 Alternate Mode.	
Other Alternate Modes	No	No	No	USB4 products may optionally offer interoperability with the HDMI, MHL, and VirtualLink Alternate Modes.	

During <u>CES 2020</u>, USB-IF and Intel stated their intention to allow USB4 products that support all the optional functionality as <u>Thunderbolt 4</u> products. The first products compatible with USB4 are expected to be Intel's <u>Tiger</u> Lakeseries and AMD's Zen 3 series of CPUs. Released in 2020.

## **Version history**

**Release versions** 

Name	Release date	Maximum transfer rate	Note
USB 0.7	11 November 1994	?	Pre-release
USB 0.8	December 1994	?	Pre-release
USB 0.9	13 April 1995	Full Speed (12 Mbit/s)	Pre-release
USB 0.99	August 1995	?	Pre-release
USB 1.0-RC	November 1995	?	Release Candidate
USB 1.0	15 January 1996	Full Speed (12 Mbit/s),	
USB 1.1	August 1998	Low Speed (1.5 Mbit/s)	
USB 2.0	April 2000	High Speed (480 Mbit/s)	
USB 3.0	November 2008	Superspeed USB (5 Gbit/s)	Also referred to as USB 3.1 Gen 1 $^{[30]}$ and USB 3.2 Gen 1 × 1
USB 3.1	July 2013	Superspeed+ USB (10 Gbit/s)	Includes new USB 3.1 Gen 2, $^{[30]}$ also named USB 3.2 Gen 2 × 1 in later specifications
USB 3.2	August 2017	Superspeed+ USB dual- lane (20 Gbit/s)	Includes new USB 3.2 Gen 1 $\times$ 2 and Gen 2 $\times$ 2 multi-link modes <sup>[41]</sup>
USB4	August 2019	40 Gbit/s (2- lane)	Includes new USB4 Gen 2 $\times$ 2 (64b/66b encoding) and Gen 3 $\times$ 2 (128b/132b encoding) modes and introduces USB4 routing for tunnelling of USB3.x, DisplayPort 1.4a and PCI Express traffic and host-to-host transfers, based on the Thunderbolt 3 protocol

# **Power-related specifications**

Release name	Release date	Max. power	Note
USB Battery Charging 1.0	2007-03- 08	5 V, ? A	
USB Battery Charging 1.1	2009-04- 15	5 V, 1.8 A	Page 28, Table 5–2, but with limitation on paragraph 3.5. In ordinary USB 2.0's standard-A port, 1.5A only. $[42]$
USB Battery Charging 1.2	2010-12- 07	5 V, 5 A	[43]
USB Power Delivery revision 1.0 (version 1.0)	2012-07- 05	20 V, 5 A	Using FSK protocol over bus power (V <sub>BUS</sub> )
USB Power Delivery revision 1.0 (version 1.3)	2014-03- 11	20 V, 5 A	
USB Type-C rev1.0	2014-08- 11	5 V, 3 A	New connector and cable specification
USB Power Delivery revision 2.0 (version 1.0)	2014-08- 11	20 V, 5 A	Using BMC protocol over communication channel (CC) on USB-C cables.
USB Type-C rev1.1	2015-04- 03	5 V, 3 A	
USB Power Delivery revision 2.0 (version 1.1)	2015-05- 07	20 V, 5 A	
USB Type-C rev1.2	2016-03- 25	5 V, 3 A	
USB Power Delivery revision 2.0 (version 1.2)	2016-03- 25	20 V, 5 A	
USB Power Delivery revision 2.0 (version 1.3)	2017-01- 12	20 V, 5 A	
USB Power Delivery revision 3.0 (version 1.1)	2017-01- 12	20 V, 5 A	
USB Type-C rev1.3	2017-07- 14	5 V, 3 A	
USB Power Delivery revision 3.0 (version 1.2)	2018-06- 21	20 V, 5 A	
USB Type-C rev1.4	2019-03- 29	5 V, 3 A	
USB Type-C rev2.0	2019-08- 29	5 V, 3 A	Enabling USB4 over USB Type-C connectors and cables.
USB Power Delivery revision 3.0 (version 2.0)	2019-08- 29	20 V, 5 A	[44]

## System design

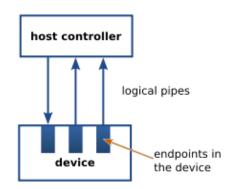
A USB system consists of a host with one or more downstream ports, and multiple peripherals, forming a tiered-<u>star</u> <u>topology</u>. Additional <u>USB hubs</u> may be included, allowing up to five tiers. A USB host may have multiple controllers, each with one or more ports. Up to 127 devices may be connected to a single host controller. [45][20](8–29) USB devices are linked in series through hubs. The hub built into the host controller is called the *root hub*.

A USB device may consist of several logical sub-devices that are referred to as *device functions*. A *composite device*may provide several functions, for example, a <u>webcam</u> (video device function) with a built-in microphone (audio device function). An alternative to this is a <u>compound device</u>, in which the host assigns each logical device a distinct address and all logical devices connect to a built-in hub that connects to the physical USB cable.

USB device communication is based on *pipes* (logical channels). A pipe is a connection from the host controller to a logical entity within a device, called an *endpoint*. Because pipes correspond to endpoints, the terms are sometimes used interchangeably. Each USB device can have up to 32 endpoints (16 *in* and 16 *out*), though it is rare to have so many. Endpoints are defined and numbered by the device during initialization (the period after physical connection called "enumeration") and so are relatively permanent, whereas pipes may be opened and closed.

There are two types of pipe: stream and message.

- A message pipe is bi-directional and is used for control transfers. Message pipes are typically used for short, simple commands to the device, and for status responses from the device, used, for example, by the bus control pipe number 0.
- A *stream* pipe is a uni-directional pipe connected to a uni-directional endpoint that transfers data using an *isochronous*, [46] *interrupt*, or *bulk* transfer:



USB endpoints reside on the connected device: the channels to the host are referred to as pipes

#### Isochronous transfers

At some guaranteed data rate (for fixed-bandwidth streaming data) but with possible data loss (e.g., realtime audio or video)

### Interrupt transfers

Devices that need guaranteed quick responses (bounded latency) such as pointing devices,  $\underline{\text{mice}}$ , and keyboards

#### **Bulk transfers**

Large sporadic transfers using all remaining available bandwidth, but with no guarantees on bandwidth or latency (e.g., file transfers)

When a host starts a data transfer, it sends a TOKEN packet containing an endpoint specified with a <u>tuple</u> of (device\_address, endpoint\_number). If the transfer is from the host to the endpoint, the host sends an OUT packet (a specialization of a TOKEN packet) with the desired device address and endpoint number. If the data transfer is from the device to the host, the host sends an IN packet instead. If the destination endpoint is a uni-directional endpoint whose manufacturer's designated direction does not match the TOKEN packet (e.g. the manufacturer's designated direction is IN while the TOKEN packet is an OUT packet), the TOKEN packet is ignored. Otherwise, it is accepted and the data transaction can start. A bi-directional endpoint, on the other hand, accepts both IN and OUT packets.

Endpoints are grouped into *interfaces* and each interface is associated with a single device function. An exception to this is endpoint zero, which is used for device configuration and is not associated with any interface. A single device function composed of independently controlled interfaces is called a *composite device*. A composite device only has a single device address because the host only assigns a device address to a function.

When a USB device is first connected to a USB host, the USB device enumeration process is started. The enumeration starts by sending a reset signal to the USB device. The data rate of the USB device is determined during the reset signaling. After reset, the USB device's information is read by the host and the device is assigned a unique 7-bit address. If the device is



Two USB 3.0 Standard-A receptacles (left) and two USB 2.0 Standard-A receptacles (right) on a computer's front panel

supported by the host, the <u>device drivers</u> needed for communicating with the device are loaded and the device is set to a configured state. If the USB host is restarted, the enumeration process is repeated for all connected devices.

The host controller directs traffic flow to devices, so no USB device can transfer any data on the bus without an explicit request from the host controller. In USB 2.0, the host controller <u>polls</u> the bus for traffic, usually in a <u>round-robin</u> fashion. The throughput of each USB port is determined by the slower speed of either the USB port or the USB device connected to the port.

High-speed USB 2.0 hubs contain devices called transaction translators that convert between high-speed USB 2.0 buses and full and low speed buses. There may be one translator per hub or per port.

Because there are two separate controllers in each USB 3.0 host, USB 3.0 devices transmit and receive at USB 3.0 data rates regardless of USB 2.0 or earlier devices connected to that host. Operating data rates for earlier devices are set in the legacy manner.

### **Device classes**

The functionality of a USB device is defined by a class code sent to a USB host. This allows the host to load software modules for the device and to support new devices from different manufacturers.

Device classes include: [47]

Class	Usage	Description	Examples, or exception
00 <u>h</u>	Device	Unspecified <sup>[48]</sup>	Device class is unspecified, interface descriptors are used to determine needed drivers
01h	Interface	Audio	Speaker, microphone, sound card, MIDI
02h	Both	Communications and CDC Control	Modem, Ethernet adapter, Wi-Fi adapter, RS-232 serial adapter. Used together with class 0Ah (CDC-Data, below)
03h	Interface	Human interface device (HID)	Keyboard, mouse, joystick
05h	Interface	Physical Interface Device (PID)	Force feedback joystick
06h	Interface	Image (PTP/MTP)	Webcam, scanner
07h	Interface	Printer	Laser printer, inkjet printer, CNC machine
08h	Interface	Mass storage (MSC or UMS)	USB flash drive, memory card reader, digital audio player, digital camera, external drive
09h	Device	USB hub	Full bandwidth hub
0Ah	Interface	CDC-Data	Used together with class 02h (Communications and CDC Control, above)
0Bh	Interface	Smart Card	USB smart card reader
0Dh	Interface	Content security	Fingerprint reader
0Eh	Interface	Video	Webcam
0Fh	Interface	Personal healthcare device class (PHDC)	Pulse monitor (watch)
10h	Interface	Audio/Video (AV)	Webcam, TV
11h	Device	Billboard	Describes USB-C alternate modes supported by device
DCh	Both	Diagnostic Device	USB compliance testing device
E0h	Interface	Wireless Controller	Bluetooth adapter, Microsoft RNDIS
EFh	Both	Miscellaneous	ActiveSync device
FEh	Interface	Application-specific	$\underline{\text{IrDA}}$ Bridge, Test & Measurement Class (USBTMC), [49] USB DFU (Device Firmware Upgrade) [50]
FFh	Both	Vendor-specific	Indicates that a device needs vendor-specific drivers

## USB mass storage / USB drive

USB mass storage device class (MSC or UMS) standardizes connections to storage devices. At first intended for magnetic and optical drives, it has been extended to support flash drives. It has also been extended to support a wide variety of novel devices as many systems can be controlled with the familiar metaphor of file manipulation within directories. The process of making a novel device look like a familiar device is also known as extension. The ability to boot a write-locked SD card with a USB adapter is particularly advantageous for maintaining the integrity and non-corruptible, pristine state of the booting medium.



A <u>flash drive</u>, a typical USB massstorage device

Though most personal computers since early 2005 can boot from USB mass storage devices, USB is not intended as a primary bus for a computer's internal storage. However, USB has the advantage of allowing hot-swapping, making it useful for mobile peripherals, including drives of various kinds.

Several manufacturers offer external portable USB <u>hard disk drives</u>, or empty enclosures for disk drives. These offer performance comparable to internal drives, limited by the number and types of attached USB devices, and by the upper limit of the USB interface. Other competing standards for external drive connectivity include <u>eSATA</u>, <u>ExpressCard</u>, <u>FireWire</u> (IEEE 1394), and most recently Thunderbolt.

Another use for USB mass storage devices is the portable execution of software applications (such as web browsers and VoIP clients) with no need to install them on the host computer. [51][52]



Circuit board from a USB 3.0 external 2.5-inch SATA HDD enclosure

### **Media Transfer Protocol**

Media Transfer Protocol (MTP) was designed by Microsoft to give higher-level access to a device's filesystem than USB mass storage, at the level of files rather than disk blocks. It also has optional DRM features. MTP was designed for use with portable media players, but it has since been adopted as the primary storage access protocol of the Android operating system from the version 4.1 Jelly Bean as well as Windows Phone 8 (Windows Phone 7 devices had used the Zune protocol – an evolution of MTP). The primary reason for this is that MTP does not require exclusive access to the storage device the way UMS does, alleviating potential problems should an Android program request the storage while it is attached to a computer. The main drawback is that MTP is not as well supported outside of Windows operating systems.

### **Human interface devices**

Joysticks, keypads, tablets and other human-interface devices (HIDs) are also progressively migrating from MIDI, and PC game port connectors to USB.

USB mice and keyboards can usually be used with older computers that have <u>PS/2 connectors</u> with the aid of a small USB-to-PS/2 adapter. For mice and keyboards with dual-protocol support, an adaptor that contains no <u>logic circuitry</u>may be used: the <u>USB hardware</u> in the keyboard or mouse is designed to detect whether it is connected to a USB or PS/2 port, and communicate using the appropriate protocol. Converters that connect PS/2 keyboards and mice (usually one of each) to a USB port also exist. <u>[53]</u> These devices present two HID endpoints to the system and use a microcontroller to perform bidirectional data translation between the two standards.

## **Device Firmware Upgrade**

*Device Firmware Upgrade* (DFU) is a vendor- and device-independent mechanism for upgrading the <u>firmware</u> of USB devices with improved versions provided by their manufacturers, offering (for example) a way to deploy firmware bug fixes. During the firmware upgrade operation, USB devices change their operating mode effectively becoming a <u>PROM</u> programmer. Any class of USB device can implement this capability by following the official DFU specifications. [50][54][55]

DFU can also give the user the freedom to flash USB devices with alternative firmware. One consequence of this is that USB devices after being re-flashed may act as various unexpected device types. For example, a USB device that the seller intends to be just a flash drive can "spoof" an input device like a keyboard. See BadUSB. [56]

## **Audio streaming**

The USB Device Working Group has laid out specifications for audio streaming, and specific standards have been developed and implemented for audio class uses, such as microphones, speakers, headsets, telephones, musical instruments, etc. The DWG has published three versions of audio device specifications: [57][58] Audio 1.0, 2.0, and 3.0,

referred to as "UAC" or "ADC". [60]

UAC 2.0 introduced support for High Speed USB (in addition to Full Speed), allowing greater bandwidth for multichannel interfaces, higher sample rates, [61] lower inherent latency, [62][59] and  $8\times$  improvement in timing resolution in synchronous and adaptive modes. UAC2 also introduces the concept of clock domains, which provides information to the host about which input and output terminals derive their clocks from the same source, as well as improved support for audio encodings like  $\underline{DSD}$ , audio effects, channel clustering, user controls, and device descriptions. [59][63]

UAC 3.0 primarily introduces improvements for portable devices, such as reduced power usage by bursting the data and staying in low power mode more often, and power domains for different components of the device, allowing them to be shut down when not in use. [64]

UAC 1.0 devices are still common, however, due to their cross-platform driverless compatibility, [61] and also partly due to Microsoft's failure to implement UAC 2.0 for over a decade after its publication, having finally added support to Windows 10 through the Creators Update on 20 March 2017. [65][66][63] UAC 2.0 is also supported by MacOS, iOS, and Linux, [59] however Android also only implements a subset of UAC 1.0. [67]

USB provides three isochronous (fixed-bandwidth) synchronization types, [68] all of which are used by audio devices: [69]

- Asynchronous The ADC or DAC are not synced to the host computer's clock at all, operating off a free-running clock local to the device.
- Synchronous The device's clock is synced to the USB start-of-frame (SOF) or Bus Interval signals.
   For instance, this can require syncing an 11.2896 MHz clock to a 1 kHz SOF signal, a large frequency multiplication. [70][71]
- Adaptive The device's clock is synced to the amount of data sent per frame by the host<sup>[72]</sup>

While the USB spec originally described asynchronous mode being used in "low cost speakers" and adaptive mode in "high-end digital speakers", [73] the opposite perception exists in the <u>hi-fi</u> world, where asynchronous mode is advertised as a feature, and adaptive/synchronous modes have a bad reputation. [74][75][67] In reality, all the types can be high-quality or low-quality, depending on the quality of their engineering and the application. Asynchronous has the benefit of being untied from the computer's clock, but the disadvantage of requiring sample rate conversionwhen combining multiple sources.

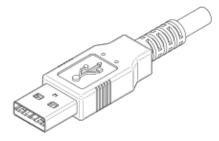
### **Connectors**

The connectors the USB committee specifies support a number of USB's underlying goals, and reflect lessons learned from the many connectors the computer industry has used. The female connector mounted on the host or device is called the *receptacle*, and the male connector attached to the cable is called the *plug*. [20](2-5 - 2-6) The official USB specification documents also periodically define the term *male* to represent the plug, and *female* to represent the receptacle. [77]

By design, it is difficult to insert a USB plug into its receptacle incorrectly. The USB specification requires that the cable plug and receptacle be marked so the user can recognize the proper orientation. The USB-C plug however is reversible. USB cables and small USB devices are held in place by the gripping force from the receptacle, with no screws, clips, or thumb-turns as some connectors use.

The different A and B plugs prevent accidentally connecting two power sources. However, some of this directed topology is lost with the advent of multi-purpose USB connections (such as <u>USB On-The-Go</u> in smartphones, and USB-powered Wi-Fi routers), which require A-to-A, B-to-B, and sometimes Y/splitter cables.

USB connector types multiplied as the specification progressed. The original USB specification detailed standard-A and standard-B plugs and receptacles. The connectors were different so that users could not connect one computer receptacle to another. The data pins in the standard plugs are recessed compared to the power pins, so that the device can power up before establishing a data connection. Some devices operate in different modes depending on whether the data connection is made. Charging docks supply power and do not include a host device or data pins, allowing any capable USB device to charge or operate from a standard USB cable. Charging cables provide power connections, but not data. In a charge-only cable, the data wires are shorted at the device end, otherwise the device may reject the charger as unsuitable.



The standard USB Type-A plug. This is one of many types of <u>USB</u> connector.

## **Cabling**

The USB 1.1 standard specifies that a standard cable can have a maximum length of 5 meters (16 ft 5 in) with devices operating at full speed (12 Mbit/s), and a maximum length of 3 meters (9 ft 10 in) with devices operating at low speed (1.5 Mbit/s). [78][79][80]

USB 2.0 provides for a maximum cable length of 5 meters (16 ft 5 in) for devices running at high speed (480 Mbit/s). [80]

The USB 3.0 standard does not directly specify a maximum cable length, requiring only that all cables meet an electrical specification: for copper cabling with  $\underline{AWG}$  26 wires the maximum practical length is 3 meters (9 ft 10 in). [81]



A variety of USB cables for sale in Hong Kong

### **USB Bridge Cables**

USB Bridge Cables, or "Data Transfer" cables can be found within the market, offering direct PC to PC connections. A Bridge Cable is a special cable with a chip and active electronics in the middle of the cable. The chip in the middle of the cable acts as a peripheral to both computers, and allows for peer-to-peer communication between the computers. The USB bridge cables are used to transfer files between two computers via their USB ports.

Popularized by Microsoft as <u>Windows Easy Transfer</u>, the Microsoft utility used a special USB Bridge Cable to transfer personal files and settings from a computer running an earlier version of Windows to a computer running a newer version. You may be able to find references as "Easy Transfer Cable".

Many USB bridge / data transfer cables are still USB 2.0, but there are also a number of USB 3.0 transfer cables. Despite USB 3.0 being 10x faster than USB 2.0, USB 3.0 transfer cables are only 2 - 3x faster given their design.

The USB 3.0 specification introduced an A-to-A cross-over cable without power for connecting two PCs. These are not meant for data transfer but are aimed at diagnostic uses.

#### **Dual-Role USB Connections**

USB Bridge Cables have become less important with USB Dual-Role-Device capabilities introduced with the USB 3.1 specification. Under the most recent specifications, USB supports most scenarios connecting systems directly with a Type-C cable. For the capability to work, however, connected systems must support role-switching. Dual-role

capabilities requires there be *two* controllers within the system, as well as a Role Controller. While this can be expected in a mobile platform such as a Tablet or a phone, desktop PCs and laptops often will not support dual roles. [82]

### **Power**

USB supplies power at 5 V  $\pm$  5% to power USB downstream devices.

### Low-power and high-power devices

Low-power devices may draw at most 1 unit load, and all devices must act as low-power devices when starting out as unconfigured. 1 unit load is 100 mA for USB devices up to USB 2.0, while USB 3.0 defines a unit load as 150 mA.

High-power devices (such as a typical 2.5-inch USB hard disc drive) draw at least 1 unit load and at most 5 unit loads (5x100mA = 500 mA) for devices up to USB 2.0 or 6 unit loads (6x150mA= 900 mA) for SuperSpeed (USB 3.0 and up) devices.

#### USB power standards

Specification	Current	Voltage	Power (max.)
Low-power device	100 mA	5 V <sup>[a]</sup>	0.50 W
Low-power SuperSpeed (USB 3.0) device	150 mA	5 V <sup>[a]</sup>	0.75 W
High-power device	500 mA <sup>[b]</sup>	5 V	2.5 W
High-power SuperSpeed (USB 3.0) device	900 mA <sup>[c]</sup>	5 V	4.5 W
Multi-lane SuperSpeed (USB 3.2 Gen 2) device	1.5 A <sup>[d]</sup>	5 V	7.5 W
Battery Charging (BC) 1.1	1.5 A	5 V	7.5 W
Battery Charging (BC) 1.2	5 A	5 V	25 W
USB-C	1.5 A	5 V	7.5 W
035-0	3 A	5 V	15 W
Power Delivery 1.0 Micro-USB	3 A	20 V	60 W
Power Delivery 1.0 Type-A/B	5 A	20 V	100 W
Power Delivery 2.0/3.0 Type-C	5 A <sup>[e]</sup>	20 V	100 W

- a. The  $V_{BUS}$  supply from a low-powered hub port may drop to 4.40 V.
- b. Up to five unit loads; with non-SuperSpeed devices, one unit load is 100 mA.
- c. Up to six unit loads; with SuperSpeed devices, one unit load is 150 mA.
- d. Up to six unit loads; with multi-lane devices, one unit load is 250 mA.
- e. > 3 A (60 W) operation requires an electronically marked cable rated at 5 A.

To recognize battery charging mode, a dedicated charging port places a resistance not exceeding 200  $\Omega$  across the D+ and D- terminals. [83]

In addition to standard USB, there is a proprietary high-powered system known as <u>PoweredUSB</u>, developed in the 1990s, and mainly used in point-of-sale terminals such as cash registers.

# **Signaling**

### **Electrical specification**

USB signals are transmitted using differential signaling on a twisted-pair data cable with 90  $\Omega$  ± 15% characteristic impedance.[84]

- Low-speed (LS) and Full-speed (FS) modes use a single data pair, labelled D+ and D-, in half-duplex. Transmitted signal levels are 0.0–0.3 V for logical low, and 2.8–3.6 V for logical high level. The signal lines are not terminated.
- High-speed (HS) mode uses the same wire pair, but with different electrical conventions. Lower signal voltages of -10 to 10 mV for low and 360 to 440 mV for logical high level, and termination of 45  $\Omega$  to ground or 90  $\Omega$  differential to match the data cable impedance.
- SuperSpeed (SS) adds two additional pairs of shielded twisted wire (and new, mostly compatible expanded connectors). These are dedicated to full-duplex SuperSpeed operation. The SuperSpeed link operates independently from USB 2.0 channel, and takes a precedence on connection. Link configuration is performed using LFPS (Low Frequency Periodic Signalling, approximately at 20 MHz frequency), and electrical features include voltage de-emphasis at transmitter side, and adaptive linear equalization on receiver side to combat electrical losses in transmission lines, and thus the link introduces the concept of link training.
- SuperSpeed+ (SS+) uses increased data rate (Gen 2×1 mode) and/or the additional lane in the USB-C connector (Gen 1×2 and Gen 2×2 mode).

A USB connection is always between a host or hub at the A connector end, and a device or hub's "upstream" port at the other end.

# **Protocol layer**

During USB communication, data is transmitted as packets. Initially, all packets are sent from the host via the root hub, and possibly more hubs, to devices. Some of those packets direct a device to send some packets in reply.

### **Transactions**

The basic transactions of USB are:

- OUT transaction
- IN transaction
- SETUP transaction
- Control transfer exchange

### **Related standards**

The USB Implementers Forum introduced the Media Agnostic USB v.1.0 wireless communication standard based on the USB protocol on July 29, 2015. Wireless USB is a cable-replacement technology, and uses ultrawideband wireless technology for data rates of up to 480 Mbit/s. [85]

The USB-IF used WiGig Serial Extension v1.2 specification as its initial foundation for the MA-USB specification, and is compliant with SuperSpeed USB (3.0 and 3.1) and Hi-Speed USB (USB 2.0). Devices that uses MA-USB will be branded as 'Powered by MA-USB', provided the product qualifies its certification program. [86]



The Wireless USB logo

<u>InterChip USB</u> is a chip-to-chip variant that eliminates the conventional transceivers found in normal USB. The HSIC physical layer uses about 50% less power and 75% less board area compared to USB 2.0. [87]

# Comparisons with other connection methods

### **IEEE 1394**

At first, USB was considered a complement to <u>IEEE 1394</u> (FireWire) technology, which was designed as a high-bandwidth serial bus that efficiently interconnects peripherals such as disk drives, audio interfaces, and video equipment. In the initial design, USB operated at a far lower data rate and used less sophisticated hardware. It was suitable for small peripherals such as keyboards and pointing devices.

The most significant technical differences between FireWire and USB include:

- USB networks use a tiered-star topology, while IEEE 1394 networks use a tree topology.
- USB 1.0, 1.1, and 2.0 use a "speak-when-spoken-to" protocol, meaning that each peripheral communicates with the host when the host specifically requests it to communicate. USB 3.0 allows for device-initiated communications towards the host. A FireWire device can communicate with any other node at any time, subject to network conditions.
- A USB network relies on a single host at the top of the tree to control the network. All communications are between the host and one peripheral. In a FireWire network, any capable node can control the network.
- USB runs with a 5 V power line, while FireWire supplies 12 V and theoretically can supply up to 30 V.
- Standard USB hub ports can provide from the typical 500 mA/2.5 W of current, only 100 mA from non-hub ports. USB 3.0 and USB On-The-Go supply 1.8 A/9.0 W (for dedicated battery charging, 1.5 A/7.5 W full bandwidth or 900 mA/4.5 W high bandwidth), while FireWire can in theory supply up to 60 watts of power, although 10 to 20 watts is more typical.

These and other differences reflect the differing design goals of the two buses: USB was designed for simplicity and low cost, while FireWire was designed for high performance, particularly in time-sensitive applications such as audio and video. Although similar in theoretical maximum transfer rate, FireWire 400 is faster than USB 2.0 high-bandwidth in real-use, [88] especially in high-bandwidth use such as external hard drives. [89][90][91][92] The newer FireWire 800 standard is twice as fast as FireWire 400 and faster than USB 2.0 high-bandwidth both theoretically and practically. [93] However, FireWire's speed advantages rely on low-level techniques such as direct memory access (DMA), which in turn have created opportunities for security exploits such as the DMA attack.

The chipset and drivers used to implement USB and FireWire have a crucial impact on how much of the bandwidth prescribed by the specification is achieved in the real world, along with compatibility with peripherals. [94]

### **Ethernet**

The *IEEE 802.3af*, *at*, and *bt* Power over Ethernet (PoE) standards specify more elaborate power negotiation schemes than powered USB. They operate at 48 V DC and can supply more power (up to 12.95 W for *af*, 25.5 W for *at* aka *PoE*+, 71 W for *bt* aka *4PPoE*) over a cable up to 100 meters compared to USB 2.0, which provides 2.5 W with a maximum cable length of 5 meters. This has made PoE popular for VoIP telephones, security cameras, wireless access points, and other networked devices within buildings. However, USB is cheaper than PoE provided that the distance is short and power demand is low.

<u>Ethernet</u> standards require electrical isolation between the networked device (computer, phone, etc.) and the network cable up to 1500 V AC or 2250 V DC for 60 seconds. [95] USB has no such requirement as it was designed for peripherals closely associated with a host computer, and in fact it connects the peripheral and host grounds. This gives

Ethernet a significant safety advantage over USB with peripherals such as cable and DSL modems connected to external wiring that can assume hazardous voltages under certain fault conditions. [96]

### MIDI

The *USB Device Class Definition for MIDI Devices* transmits Music Instrument Digital Interface (MIDI) music data over USB. [97] The MIDI capability is extended to allow up to sixteen simultaneous *virtual MIDI cables*, each of which can carry the usual MIDI sixteen channels and clocks.

USB is competitive for low-cost and physically adjacent devices. However, Power over Ethernet and the MIDI plug standard have an advantage in high-end devices that may have long cables. USB can cause ground loop problems between equipment, because it connects ground references on both transceivers. By contrast, the MIDI plug standard and Ethernet have built-in isolation to 500 V or more.

### eSATA/eSATAp

The <u>eSATA</u> connector is a more robust <u>SATA</u> connector, intended for connection to external hard drives and SSDs. eSATA's transfer rate (up to 6 Gbit/s) is similar to that of USB 3.0 (up to 5 Gbit/s) and USB 3.1 (up to 10 Gbit/s). A device connected by eSATA appears as an ordinary SATA device, giving both full performance and full compatibility associated with internal drives.

eSATA does not supply power to external devices. This is an increasing disadvantage compared to USB. Even though USB 3.0's 4.5 W is sometimes insufficient to power external hard drives, technology is advancing and external drives gradually need less power, diminishing the eSATA advantage. <u>eSATAp</u> (power over eSATA; aka ESATA/USB) is a connector introduced in 2009 that supplies power to attached devices using a new, backward compatible, connector. On a notebook eSATAp usually supplies only 5 V to power a 2.5-inch HDD/SSD; on a desktop workstation it can additionally supply 12 V to power larger devices including 3.5-inch HDD/SSD and 5.25-inch optical drives.

eSATAp support can be added to a desktop machine in the form of a bracket connecting the motherboard SATA, power, and USB resources.

eSATA, like USB, supports hot plugging, although this might be limited by OS drivers and device firmware.

### **Thunderbolt**

<u>Thunderbolt</u> combines <u>PCI Express</u> and <u>Mini DisplayPort</u> into a new serial data interface. Original Thunderbolt implementations have two channels, each with a transfer speed of 10 Gbit/s, resulting in an aggregate unidirectional bandwidth of 20 Gbit/s. [98]

Thunderbolt 2 uses link aggregation to combine the two 10 Gbit/s channels into one bidirectional 20 Gbit/s channel.

<u>Thunderbolt 3</u> uses the <u>USB-C</u> connector. [99][100][101] Thunderbolt 3 has two physical 20 Gbit/s bi-directional channels, aggregated to appear as a single logical 40 Gbit/s bi-directional channel. Thunderbolt 3 controllers can incorporate a USB 3.1 Gen 2 controller to provide compatibility with USB devices. They are also capable of providing DisplayPort alternate mode over the USB-C connector, making a Thunderbolt 3 port a superset of a USB 3.1 Gen 2 port with DisplayPort alternate mode.

After the specification was made royalty-free and custodianship of the Thunderbolt protocol was transferred from Intel to the USB Implementers' Forum, Thunderbolt 3 has been effectively implemented in the USB4 specification — with compatibility with Thunderbolt 3 optional but encouraged for USB4 products — with Thunderbolt 4 being applied to products that are compatible with USB4's complete feature set.

# **Interoperability**

Various protocol converters are available that convert USB data signals to and from other communications standards.

# **Security threats**

- BadUSB, [54] see also USB flash drive#BadUSB
- Intel CPUs, from Skylake, allow to take control over them from USB 3.0. [102][103][104]
- USB Killer
- USB flash drives were dangerous for first versions of Windows XP because they were configured by default to execute program shown in Autorun.inf immediately after plugging flash drive in, malware could be automatically activated with usage of that.

### See also

- DockPort
- Easy Transfer Cable
- Extensible Host Controller Interface (XHCI)
- LIO Target
- List of device bit rates#Peripheral
- Media Transfer Protocol
- Mobile High-Definition Link
- WebUSB
- USB-C
- Thunderbolt (interface)

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### **External links**

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