

1 A state dependent model for the development of
2 life history skills via social interaction

3 Nicholas Grunloh¹ and Marc Mangel²

4 July 26, 2013

5 ¹ Program in Statistics and Applied Mathematics and ² Center for Stock Assessment
6 Research, University of California, Santa Cruz, CA, USA

7 **Corresponding author**

8 Marc Mangel, Center for Stock Assessment Research, University of California, Santa
9 Cruz, CA 95064, USA.

10 Email: msmangel@ucsc.edu

11 **Abstract**

12 In general, organisms need to develop life history skills during ontogeny. Social in-
13 teraction – play behavior – has been shown to occur in a surprisingly diverse range of
14 animals, and yet relatively few details are known about the purpose of play in devel-
15 opment, or the evolutionary history of play (Burghardt, 2006). We develop a state de-
16 pendent life history model, implemented by Stochastic Dynamic Programming (SDP),
17 on the assumption that social play is an adaptive behavior and thus focus on play's
18 contribution toward the development of skill and how this skill development affects an
19 individual's fitness. This model does not focus on any one species directly, but rather
20 takes a general view of play as a fundamental behavior of social animals in general.
21 Behavioral models such as this one have been shown to be effective tools for inquiry
22 about a diverse range of behaviors by allowing behavioral ecologists to think more
23 deeply about many of the factors contributing toward specific behaviors. This model
24 suggests patterns of skill development associated with social play and proposes fitness
25 relationships of skill development through time.

26

27 **Keywords** Skill development, social play, stochastic dynamic programming, state de-
28 pendence, life history

29

30 Date received: ; revised:; accepted:

31 Introduction

32 Nearly all organisms – even some of the simplest – need to develop life history skills
33 during ontogeny. This can be done in many ways; in this paper we explore the role
34 of intraspecific social interaction via play as a means of developing such skills. Five
35 criteria have been identified as consistent features of play behavior (Burghardt, 2006):

36 (i) Play is a behavior that is non-essential to the immediate survival of the playing or-
37 ganism.

38 (ii) Play is a self-motivating behavior; done for its own sake, because play is “fun”.

39 (iii) Play differs from any serious version of a similar non-play behavior.

40 (i.e. play can be a non-serious version of other types of behaviors)

41 (iv) Play is heavily repeated (i.e. practiced often), yet loosely stereotyped.

42 (i.e. aspects of play behavior are learned or experimental in nature)

43 (v) Play only occurs in a stress free environment (a “relaxed field”).

44 (e.g. an environment with adequate food, that is free of predation or intense com-
45 petition)

46 These criteria do not define play, but they provide a clear framework for the sorts of
47 behaviors that can and cannot be considered play. In addition, the above criteria give
48 some sense of just how and when play can occur, for the purpose of guiding a model.

49 The evolutionary basis for play behavior is a cloudy topic, but if we consider a few
50 fundamental aspects of play, a structure for thinking about the topic emerges. It then
51 becomes clear how to make abstractions in order to formulate a model.

52 First imagine behaviors that follow the above criteria (e.g. kittens wrestling). From
53 here it is not hard to identify a suite of costs and benefits associated with these play

54 behaviors. Caro (1995) identifies several specific costs and benefits to playing in chee-
55 tah cubs (*Acinonyx jubatus*); see Figure 1. In short, the benefits of play can be thought
56 of in terms of the acquisition of skill to be used at some time in the future. Whether
57 that skill takes the form of maintenance of physical fitness, improved dexterity, or im-
58 proved social standing, these benefits can be thought of in terms of a single quantity, the
59 player's skill. In a similar way, the costs associated with play can be loosely grouped
60 into manageable quantities. There are the costs associated with not playing (e.g. not
61 maintaining physical fitness), and there are those costs which occur while playing (e.g.
62 injury and mortality).

63 The observation that play occurs in the presence of its costs, suggests that the ben-
64 efits of play outweigh the costs. Thus, it is reasonable to assume play behavior has
65 adapted in order to allow individuals the benefits of play, in the face of those costs
66 (Burghardt, 2006). Therefore the acquisition of skill through play must be for the sake
67 of the subsequent fitness associated with said skill.

68 Assuming play is adaptive in this way, as opposed to a coincidental non-functional
69 behavior, play decisions must follow some pattern of increasing an organism's fitness
70 through skill (i.e. play occurs because it increases fitness). So even though individuals
71 are driven to play because it is "fun" the evolutionary theory as to why play has become
72 "fun", is that play at a given period of development increases an organism's fitness at
73 some time in the future Burghardt (2006); Caro (1988). We use Burghardt's criteria
74 for recognizing play behavior as the rules of how and when play are allowed to occur,
75 together with the assumption that play occurs on the basis of increasing (or maximizing)
76 fitness as a foundation for the model.

77 **Methods**

78 *Overview*

79 In order to simplify the dynamics of social play in the model, we consider a focal in-
80 dividual separately from all of the other potential play partners in the environment.
81 Individuals can have skill levels ranging from some minimum skill, S_L , to some max-
82 imum skill, S_U . Furthermore, individuals have some skill level at every time within
83 the model as denoted by $S(t)$. At any given time, the focal individual may have some
84 particular skill denoted by i , and similarly potential play partners have particular skill
85 levels denoted by j . Each time period of the model, the skill of the focal individual
86 decrements by, α , to capture the idea that skill requires maintenance through repeated
87 practice. As a focal individual moves through the model's time periods, it makes deci-
88 sions about whether or not it will play, as motivated by the maintenance of its skill, and
89 the fitness associated with that skill. In order to determine the fitness associated with
90 any given i , at a particular time, we first consider the fitness of i for time periods after
91 the periods within the model, as described in Mangel & Clark (1988) as well as Clark
92 & Mangel (2000). The function $\phi(i)$ defines the fitness of individuals at the final period
93 of the model, T , and for all periods beyond T ; see Figure 2. For particular times within
94 the model, t , the fitness associated with any given i is defined by a function, $F(i, t)$,
95 and is related to $\phi(i)$ by the following expression:

$$F(i, t) = \max E\{\phi(S(T))\}. \quad (1)$$

96 Where $\max E$ refers to the maximum of the expected value of focal individuals
97 terminal fitness based on their skill level at the end of the model. Focal individuals
98 maximize their expected future fitness by making play decisions. Thus, $F(i, t)$ is the

99 fitness value associated with the play decisions at i and t that maximizes $F(S(T), T)$.
 100 $\phi(i)$ and $F(i, t)$ defined in this way, imply that $S(t)$ follows a pattern that maximizes
 101 $\phi(S(T))$. This means that organisms behave optimally in the sense that they choose
 102 whether or not to play based on maximizing their future fitness, not necessarily their
 103 immediate fitness. By considering focal individuals with a range of skill levels at any
 104 given time within the model, we are able to see how factors independent of energy
 105 reserves and predation affect an organism's decision to play.

106 *Play Events*

107 If it is beneficial for a focal individual to play, and the focal individual is able to find
 108 an appropriate play partner, then the focal individual enters a play event with the found
 109 play partner. We make the assumption that all play partners are willing and available
 110 to enter play events with the focal individual, contingent on the focal individual's de-
 111 cision whether or not to play with them. When a play event occurs between the focal
 112 individual, of skill i , and a play partner, of skill j , the focal individual receives an in-
 113 crement to its skill. The increment in skill that the focal individual receives is based on
 114 how similar i is to j , and the actual increment i receives is determined by a function,
 115 $\Delta S(i, j)$. In order to capture the idea that skill associated with play events is not neces-
 116 sarily acquired instantaneously, the skill increment, $\Delta S(i, j)$, of a particular play event
 117 is awarded to the focal individual a number of time periods, τ , after the play event.
 118 Since individuals in the model incur a per period decrement to their skill, α , every pe-
 119 riod of the model, and it takes τ time periods to gain skill from a play event, it follows
 120 that the total decrement to skill of a single play event is $\alpha\tau$.

At this point it is worth mentioning that due to the discrete computation of the

model, play events occurring when t is near T (see Figure 2) must be truncated so that they are actually shorter than τ time periods. Note that play events take τ time periods, thus for some time periods near T , $t + \tau$ will be greater than T . In cases where play events collide with the time horizon of the model, T , play events are truncated at T . If t' is the time period within the model at which a particular play event ends then,

if $t + \tau \geq T$ then $t' = T$

if $t + \tau < T$ then $t' = t + \tau$.

121 In this model that i receives the full $\Delta S(i, j)$ for truncated play events, and incurs
 122 skill decrements for all of the τ time periods even though the actual play event may
 123 actually be shorter than τ time periods. This keeps the relationship between skill in-
 124 crements and skill decrements for truncated play events consistent with all other time
 125 periods of the model.

126 *Skipping Play Events*

127 In some cases it may be more beneficial for the focal individual to skip a play event.
 128 Skipping a play event in a time period may be because the focal individual is unable to
 129 find an appropriate play partner, or because the available play partners in the environ-
 130 ment do not allow $\Delta S(i, j)$ to be greater than $\alpha\tau$. If the focal individual decides not to
 131 play, then it is not awarded any skill in the current time and only moves one time
 132 period into the future. Consequently, the focal individual only incurs the per period cost
 133 to skill, α , for a single time period.

134 *Exiting the Playing Field*

135 Caro's(1988, 1995) findings suggest that different types of play occur at differing pe-
136 riods of development (see Figure 1), and thus a model of play behavior must include
137 the ability of playing organisms to stop considering social play as a behavioral option
138 altogether. For an individual that has decided to stop considering social play, the pursuit
139 of social play no longer benefits their overall fitness. Thus exiting individuals leave the
140 model and would presumably enter another type of play to maintain their skill, or stop
141 playing altogether (i.e. they grow-up); see Figure 2.

142

143 *The Increment in Skill*

144 In order to explain the way that a focal individual develops its skill within the model we
145 must determine the amount of skill that any focal individual will get from a particular
146 play event. As described in section 2.1.1, the skill increment is based on how similar i
147 is to j . The more similar that i is to j the greater that $\Delta S(i, j)$ should be, in general.
148 This property comes from the acknowledgment that individuals of similar skills are
149 likely to be developing similar aspects of their overall skill suite (Burghardt, 2006).
150 $\Delta S(i, j)$ reaches a maximum, defined by S_{max} , when $i = j$, and as i becomes more
151 different from j , $\Delta S(i, j)$ decreases. For the computation of my general model, we use
152 a Gaussian function for $\Delta S(i, j)$, although in reality the specific attributes of this this
153 function may be changed to better fit the particular life history and social structure of a
154 particular model organism.

$$\Delta S(i, j) = \Delta S_{max} \exp \left\{ -\frac{(i - j)^2}{2\sigma^2} \right\}. \quad (2)$$

Here σ is a parameter that describes how similar the focal individual must be to the play partner in order to receive a meaningful skill increment from a play event; see Figure 3. Biologically, a skill increment of S_{max} is only possible in a “perfect” play event where j is perfectly suited for playing with i . Therefore $\Delta S(i, j)$ will always be maximized when the focal individual and the play partner have the same skill (i.e. $i = j$). Notice that the symmetry of Eq.(2) means that $\Delta S(i, j)$ does not really depend on either i or j , but rather the absolute difference between i and j .

As a thought experiment to help understand how focal individuals are motivated by the acquisition of skill through $\Delta S(i, j)$, consider a focal individual that makes play decisions based only on the effects of those behaviors in the next time period. This myopic focal individual does not care about any of the opportunity costs of playing with one play partner over a better suited play partner. The myopic focal individual only considers whether a play partner ultimately causes an increase or decrease in skill, regardless of any ill effects these decisions may cause in further time periods. For the myopic focal individual the decision to play, or not, is really just a comparison between the skill decrement of the play event, $\alpha\tau$, and the skill increment, $\Delta S(i, j)$, see Figure 3. If $\Delta S(i, j)$ is greater than $\alpha\tau$ then the myopic individual will always play regardless of how small the difference, and if $\alpha\tau$ is the greater than $\Delta S(i, j)$, the myopic individual will never play. This is how optimal behavior focal individuals, with only a single time period remaining in the model, behave due to the lack of opportunity costs at $t = T - 1$. However as t approaches 1 from T we will see how the optimal focal individual considers factors that introduce opportunity costs and lead to more selective

177 behavior than in the myopic case.

178 *Play Partners*

As seen in $\Delta S(i, j)$, the skill increment associated with a play event is in some sense dependent on j . We will see other ways that j affects the play decisions of the focal individual. Namely, the focal individual does not only consider how choosing a particular play partner affects $\Delta S(i, j)$, but also the probability of encountering each j . Thus we need to declare a distribution for the skill levels of all the potential play partners in the environment. By doing so we bring the skill structure of the environment into the model. In order to accomplish this we consider $\lambda_j(t)$ as the following probability,

$$\lambda_j(t) = Pr(\text{a focal individual encounters a potential play partner of skill } j \text{ at } t). \quad (3)$$

A probability of encountering a play partner of any given skill level in the environment adds important considerations into the focal individual's decision to play. This distribution allows the focal individual to make play decisions based, not only on the fitness associated with their skill, but also the likelihood of maintaining that skill through play. The specific hypothetical environment of my general model is defined by the following exponential probability density function:

$$\lambda_j(t) = \delta_n \exp\{-cj\}. \quad (4)$$

179 Where, c is a scale parameter characterizing how quickly members of the potential
180 play partner population leave the play environment. δ_n is a normalization constant
181 chosen so that

182 $\sum_j \lambda_j(t) \leq 1$, and thus the remaining probability is tied up in events where the
183 focal individual cannot find any play partner, $(1 - \sum_j \lambda_j(t))$. The distribution of

184 the potential social play partners in the environment, as an exponential, translates into
 185 an environment with initially many low skill individuals. As potential play partners
 186 develop, and leave the population, a decreasing number of high skill individuals are left
 187 in the population.

188 *Fitness Functions*

As individuals gain skill, it is intuitive that their fitness will increase, thus we assume that $\phi(i)$ will be some sort of increasing function. Specifically, we choose a logistic function that has some threshold skill level at which organisms quickly develop fitness, as they would in adolescence (see Figure 4).

$$\phi(i) = \frac{(i - S_L)^\gamma}{(i - S_L)^\gamma + (S_o - S_L)^\gamma}. \quad (5)$$

Where S_o is the skill at which half maximal fitness is achieved, and defines where the skill threshold of adolescence will occur. In Eq.(5), γ is a steepness parameter of $\phi(i)$ that defines how quickly fitness increases with increased skill near the skill threshold

$$F(i, T) = \phi(i). \quad (6)$$

189 That is, focal individuals behave in such a way that maximizes the expectation of
 190 their fitness at the end of the model, not necessarily their fitness in the next time step.
 191 Eq.(1) makes use of the assumption that play behavior is adaptive, and thus play deci-
 192 sions maximize the focal individual's fitness. This means that by making a reasonable
 193 prediction of the fitness of the focal individuals for time periods beyond the model,
 194 $\phi(i)$, I can work backward, from T , in order to determine the fitness of individuals at
 195 every time within the model.

196 *Stochastic Dynamic Programming Equation (SDPE)*

197 For times prior to the the time horizon, T , we consider a weighted average of all of
 198 the possible optimal decision fitness values that the focal individual can take, from t
 199 to the next time period ($t + 1$ or $t + \tau$), based on the probability $\lambda_j(t)$ of encountering
 200 each of the potential play partners at t . This weighted average is dynamic in the sense
 201 that the average is calculated differently based on the decisions associated with each
 202 possible potential play partner. Let us start by considering the DPE for the simplified
 203 situation where the focal individual must only decide whether or not to play. Thus, the
 204 focal individual is ensured not to exit the model in the following equation.

$$V_{cont}(i, t) = \left(1 - \sum_j \lambda_j(t)\right) F(i - \alpha, t + 1) + \sum_j \lambda_j(t) \max \begin{cases} F(i + \Delta S(i, j) - \alpha\tau, t + \tau) & D^*(i, j, t) = 1 \\ F(i - \alpha, t + 1) & D^*(i, j, t) = 0 \end{cases} \quad (7)$$

205 Above, *max* refers to the the maximum value between the fitness' associated with
 206 playing with j or skipping a play event with j . For example if the focal individual does
 207 not encounter a play partner it is not awarded any skill, but does incur the per period
 208 cost to skill, α , for one period of the model. So the fitness associated with this situation
 209 is $F(i - \alpha, t + 1)$ with probability $(1 - \sum_j \lambda_j(t))$. However, the focal individual
 210 may find a play partner of any of the available skills with probability $\lambda_j(t)$. In these
 211 cases the focal individual must decide between entering or skipping a play event with
 212 the encountered play partner of skill j . If the focal individual decides to play with a
 213 given play partner it is awarded the skill increment for playing with that play partner,
 214 $\Delta S(i, j)$, and a skill decrement, α , for every period of the play event. Since play events
 215 take τ time periods, the total decrement that i receives is $\alpha\tau$, and this makes the fitness

216 associated with playing $F(i + \Delta S(i, j) - \alpha\tau, t + \tau)$. Of course, if $\Delta S(i, j)$ does
 217 not overcome $\alpha\tau$ it will not be any more beneficial for the focal individual to play with
 218 j , than to skip a play event. Thus the fitness associated with skipping a play event is
 219 $F(i - \alpha, t + 1)$. The amount of time required to skip playing does not involve entering
 220 a play event, so the time increment is only one period. In order to analyze the resulting
 221 play decisions of $V_{cont}(i, t)$, play decisions are stored in an array, $D^*(i, j, t)$. When
 222 the focal individual encounters a play partner it either chooses to enter a play event,
 223 $D^*(i, j, t) = 1$ or skip a play event, $D^*(i, j, t) = 0$, based on which of the two fitnesses
 224 are higher.

In addition to choosing whether to play or skip in each time period, the focal individual must consider if it still wants to pursue social play behavior altogether. Focal individuals decide to continue in the model or exit the model based on the decision that again, maximizes their future fitness.

$$F(i, t) = \max \begin{cases} V_{cont}(i, t) & cont_i \\ \phi(i) & exit_i \end{cases} \quad (8)$$

225 Eq.(8) incorporates all the decisions a focal individual must make, and it is solved
 226 backwards in time from the terminal condition. Every time period of the model $V_{cont}(i, t)$
 227 is solved and compared with $\phi(i)$, as in Eq.(8). Solving the SDPE in this way yields the
 228 optimal behavior fitness values associated with focal individuals of every possible skill
 229 level i , considering play partners of every possible skill j on the interval $[S_L, S_U]$, at
 230 every time within the model (i.e. $F(i, t)$). In addition to $F(i, t)$, the SDPE also yields
 231 the optimal decision array $D^*(i, j, t)$ containing the associated play decisions (i.e. play,
 232 skip, or exit) for the focal individual at every combination of i , j , and t .

233 *Monte Carlo Implementation of Play Decisions Forward in Time*

234 To predict the behaviors of individuals, we use $D^*(i, j, t)$ to run a Monte Carlo model
 235 forward through time. The Monte Carlo forward iteration simulates a number of focal
 236 individuals, k , making optimal play decisions as predicted by Eq.(8), through the mod-
 237 eled period of time. Initially k focal individuals are generated with uniformly drawn
 238 random skill levels on the interval $[S_L, S_U]$. In each time period of the simulation, each
 239 of the k focal individuals encounter a potential play partner drawn randomly from the
 240 probability distribution of encountering potential play partners of skill j , Eq.(4). At
 241 each potential play encounter the focal individual either enters a play event, skips a
 242 play event, or exits the model based on the predictions generated by Eq.(8), at the par-
 243 ticular i, j, t conditions of the given play encounter. The model follows the following
 244 algorithm for each of the k focal individuals:

- 245 (1) $t = 0$
- 246 (2) Draw a random uniform focal individual skill level, $I_k(t)$, on the interval $[S_L, S_U]$.
- 247 (3) Draw a random potential play partner skill level, J , from Eq.(4).
- 248 (4) Look up the appropriate play decision, $D^*(I_k(t), J, t)$.
- 249 (5.1) If the play decision is play; $I_k(t + \tau) = I_k(t) + \Delta S(I_k(t), J) - \alpha\tau$ and $t \rightarrow t + \tau$.
- 250 (5.2) If the play decision is skip; $I_k(t + 1) = I_k(t) - \alpha$ and $t \rightarrow t + 1$.
- 251 (5.3) If the play decision is exit; $I_k(t + 1) = I_k(t)$ and $t \rightarrow T$.
- 252 (6.1) If $t < T$ go to step (3).
- 253 (6.2) If $t \geq T$ then $I_k(T) = I_k(t)$.

Results

SDP

Fully solving Eq.(8) backwards in time yields two primary results. First, we obtain the play decisions for every i, j , and t combination from $D^*(i, j, t)$, and second, we obtain the fitness values for every focal individual's skill level and time, $F(i, t)$.

Play Decisions

$D^*(i, j, t)$ shows that focal individuals choose to play with a range of similarly skilled individuals about the diagonal of $D^*(i, j, t)$ where $i = j$. This is not surprising considering the shape of $\Delta S(i, j)$ and its symmetry about ΔS_{max} at $i = j$. If the cost of play, $\alpha\tau$, ever becomes much larger than, $\Delta S(i, j)$, a focal individual will not choose to play with them. Thus, $\alpha\tau$ is a major driver in determining the extent to which i must be similar to j in order for the focal individual to enter a play event.

We observe patterns in the total range of playable j 's based on the focal individuals skill and the time period of the model in which a play event occurs. That is to say that at some t and i , there exists a maximum j that is beneficial for i to play with; we will call this maximum playable j , \hat{J}_i . Similarly there is some minimum j that is beneficial for i to play with, denoted by \check{J}_i . Consider the following statistic as a representation of the total range of potential play partners for every combination of i and t shown in Figure 5.

$$R(i, t) = \hat{J}_i - \check{J}_i. \quad (9)$$

holding t constant, in general as i increases $R(i|t)$ increases, until a threshold i for which every subsequent i exits the model. Biologically this means that as individuals

gain skill, they are willing to play with a broadening range of individuals in the environment. Pre-exit high skill individuals have incentive to broaden their play range because they do not need much more fitness in order to exit the model. These individuals can get the skill that they need to exit the model from a wide range of j 's. However, low skill individuals need to increase their fitness a lot, and need large values for $\Delta S(i, j)$ to get high fitness. Thus, at all values of t , low skill individuals are very selective for play partners, such that $\hat{J}_i \approx i$ and $\check{J}_i \approx i$.

Holding i constant in general as t increases $R(t|i)$ also increases. This is due to the fact that as individuals approach the time horizon they behave more and more similarly to the myopic focal individual discussed in section 2.2.1. Although optimal focal individuals do behave similarly to the myopic focal individual when t is near T , the only time optimal focal individuals truly behave myopically is when $t = T - 1$.

Additionally as t increases, the exit threshold occurs at decreasing values of i . This is caused by the dynamics of $F(i, t)$ with time as seen in Figure 6. When many time periods remain in the model, $F(i, t)$ is greater than $\phi(i)$ for most values of i , excluding a few exit skills. So most values of i consider play behavior for some period of the model. As t approaches T , $F(i, t)$ decreases to approach $\phi(i)$ and thus $F(i, t)$ falls below $\phi(i)$ at lower values of i at later time periods of the model.

Fitness

As seen in Figure 6, when t is less than T ; $F(i, t) \geq F(i, T)$. This is due to the amount of time left in the model at t . Notice that when many time periods of the model remain $F(i, t)$ is greater than $\phi(i)$. When there is a lot of time left in the model, individuals with relatively low skill can have high fitness due to the prospect of gaining skill in the

291 future. Also notice when individuals gain high skill they exit the model at the skill level
292 where $F(i, t)$ converges with $\phi(i)$. So as t approaches T , $F(i, t)$ approaches $\phi(i)$ from
293 the top, and this exit skill decreases.

294 *Monte Carlo Simulation*

295 Using $D^*(i, j, t)$ to run a Monte Carlo Simulation, there are many aspects of play behav-
296 ior that can be considered. Specifically we are interested in the long term perspective
297 of play and how an individual's skill affects long term play decisions.

298 *Initial & Final Skills*

299 Initial skills are uniformly distributed, so by considering the distribution of the final
300 skills I can see the effect of play behavior on the population. Figure 7 shows the final
301 skill distribution of $k = 250$ individuals making optimal decisions for 40 periods of
302 the model. This distribution appears to be bimodal, and if k is increased, the final skill
303 distribution becomes a clear bimodal distribution. The mode centered around skill 30
304 is representative of the accumulation of all exiting individuals throughout the modeled
305 periods. The mode centered around skill 15 is the most common skill for individuals
306 who have not exited the model yet.

307 Figure 7 can be extended into the scatter plot seen in Figure 8 to see the relation-
308 ship between the initial skill of the k simulated individuals. The dotted red one-to-one
309 line on Figure 8 shows the final skill level required to maintain the initial skill level
310 the individual entered the simulation at. Considering individuals enter the model with
311 a uniform distribution over the range of possible skills, This ensures that the simula-
312 tion will show all of the possible play strategies in the environment. It is immediately

noticeable that some organisms start with high enough skill to exit the model immediately. These are the individuals with initially high skill, on the one-to-one line in the region labeled “Exit”. Organisms with initial skills below the initial exit skill all want to play to some degree, but the lower the initial skill the more selective the play decisions become due to the large amount of skill they need to gain. The lower the skill of the organism, the more selective the organisms is in choosing a play partner, however $\lambda_j(t)$ is defined such that these low skill individuals have a high likelihood of encountering just the play partners that they seek. Playing organisms that have high enough final skills to find themselves above the one-to-one line, in the region labeled “Lucky” are individuals that were able to successfully find the play partners that they need to improve their skill from their initial state. Playing organisms that end up below the one-to-one line, in the region labeled “Unlucky” are individuals want to play, but were not able to find the play partners that they need to improve their skill. For low skill individuals it is relatively easy to find appropriate play partners, and thus they most often end up in the “Lucky” region.

Discussion

The Relaxed Field

Since a fundamental criterion of play behavior is that play only occurs in a stress free environment, we did not include energy reserves or predation risks into the costs of play. This model assumes a “relaxed field”(Burghardt, 2006), to get at the motivations for play decisions independent of these factors. Clearly if these factors became limiting in the model it would disqualify play from occurring by Criterion v listed in Section 1.

335 This model can be modified relatively easily in-order to consider play behavior with
 336 respect to these factors, but as a starting point it is instructive to understand the basics
 337 of play behavior within this simple model first. As more intricate models are made on
 338 play behavior, added considerations may make it hard to see some of the basic forces
 339 driving play behavior as seen in this model.

340 $D^*(i, j, t)$ *Exception Pocket*

341 Looking at Figure 5, we can see that the patterns outlined in Section 3.1.1 do hold
 342 true in general, however there is a pocket of time and skill where these patterns do
 343 not hold true. We propose that this can be explained by the finite time horizon of the
 344 model, and its relation to play events as defined by the model. Recall that for time
 345 periods near T , play events cause $t + \tau$ to be greater than T ; see Section 2.1.1. Due
 346 to the construction of the model the skill increments and decrements for play events
 347 in these periods are consistent with all other time periods of the model, however the
 348 fitness values associated with these skill levels must be truncated at $F(i, T) = \phi(i)$
 349 because by definition fitnesses for time periods beyond T are defined by $\phi(i)$. This has
 350 the effect of decreasing $R(i, t)$ for time periods just prior to the final time periods of
 351 the model. Skills high enough to exit the model have lower than expected values for
 352 $R(i, t)$ several time periods before these individuals exit the model. Individuals several
 353 time steps before the end of the model are very selective in their choice of play partners
 354 because the fitness associated with any skill level in these time periods of the model has
 355 been truncated to $F(i, T) = \phi(i)$. This seems to be one of the major challenges of this
 356 model since real play is not actually bounded in this way. For this reason it is useful to
 357 run the model with large values of T and consider the general trends of the model prior

358 to this exception pocket.

359 *Behavioral Evolution*

360 When considering the general trends of the model, prior to the exception pocket, we
361 find that low skill individuals are relatively selective in their play decisions. Low skill
362 individuals look to play primarily with other low skill individuals of similar skills. As
363 individuals gain high skill, they become more willing to play with individuals of very
364 dissimilar skill levels.

365 High skill individuals have incentive to self-handicap, due to the relative abundance
366 of each type of potential play partner. In the model there are relatively few high skill
367 individuals, but there are many low skill individuals to play with. The high abundance
368 of low skilled potential play partners helps motivate high skilled individuals to play
369 with them due to their high probability of encounter, as defined by $\lambda_j(t)$ in Eq.(4).
370 Although low skill individuals do not offer a lot of skill benefit to high skill individuals,
371 the skill benefit that they do offer is just enough to push them over the exit threshold of
372 the model.

373 Additionally from the results of the Monte Carlo forward simulation further insight
374 into emergent play patterns are apparent as a function of initial skill; see Figure 8. As
375 expected individuals with initially low skill (perhaps the most common natural occur-
376 rence) play to increase their skill, and on average they increase their skill level and exit
377 play behavior in the same proportions as other playing individuals. However, one may
378 expect that individuals entering the model with high pre-exit skill levels should have a
379 developmental advantage, and exit the model more quickly and in higher proportions.
380 In general this is not the case, unless playing individuals enter the model virtually at the

381 the exit threshold. Generally, individuals with initially high pre-exit skill levels quickly
382 fit into very similar skill distributions as individuals with initially low skill. This is due
383 to the scarcity of favorable play partners in the pre-exit upper skill range. The model
384 shows that as long as playing organisms initially exhibit play behavior, on average indi-
385 viduals in a confined social environment will develop their skill as a group. Regardless
386 of an initially playing individual's initial skill, the skill development of all individuals
387 in the group converges toward the average skill development of the group.

388 Individuals with initially very high skill are immediately able to exit play behavior
389 in the model. In these cases play behavior is never displayed. This is clearly a hypo-
390 thetical, and largely unattainable situation for many social species, but these initially
391 exiting individuals could have a meaningful interpretation when one considers behav-
392 iors that are not learned via play, or even the evolution of innate behaviors or reflexes.

393 *Model Modifications*

394 For the purpose of turning this general starting model into a more realistic species
395 specific model, we believe several additions would be worth while modifications to this
396 model. Currently this model only allows play events between a single focal individual
397 and a single play partner at one time, but there is no reason that this has to be the case in
398 reality. For example litters of kittens often play in groups. This may present interesting
399 results considering that the results of this model suggest that playing individuals tend
400 to develop skill as a group. In addition to adding multiple play partners to this model,
401 adding mortality to this model would give insight into some strong costs of play that
402 this model does not consider. Mortality is excluded from this model on the basis of the
403 relaxed field assumption, but there is still the possibility of accidental mortality during

404 play events. Ignoring these uncommon occurrences may be a safe assumption, but due
405 to their huge fitness costs, these low probability fatal accidents are still a worth while
406 investigation.

407 **Acknowledgements**

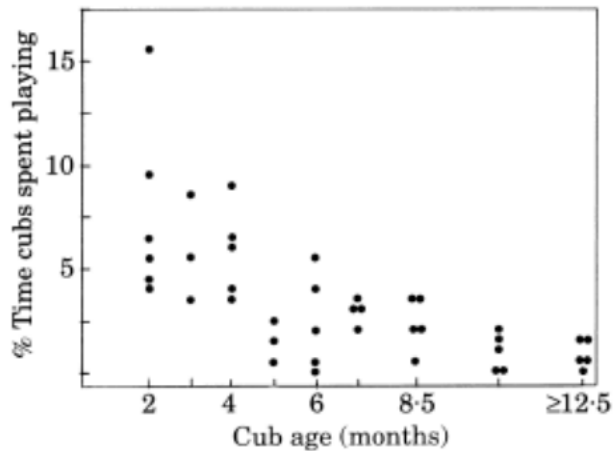
408 We thank the Mangel Lab Group for helpful comments during the development of these
409 ideas and XX, YY, and ZZ for comments on the manuscript.

Table 1: Relevant model parameters, variables, and functions.

| $S(t)$ | Meaning |
|------------------|---|
| i | focal individual's skill |
| j | play partner's skill |
| Parameters | Meaning |
| α | per period cost to skill |
| τ | time required to play |
| Functions | Meaning |
| $\lambda_j(t)$ | probability that the focal individual encounters a play partner of skill j |
| $\Delta S(i, j)$ | focal individuals increment in skill as a result of playing with a partner of skill j |
| $F(i, t)$ | fitness of the focal individual, with skill i at some time t , within the modeled period |
| $\phi(i)$ | future fitness of the focal individual, with skill i , for periods after the final period of the model |
| $D^*(i, j, t)$ | an array of play decisions for the focal individual encountering a play partner at some time period of model |

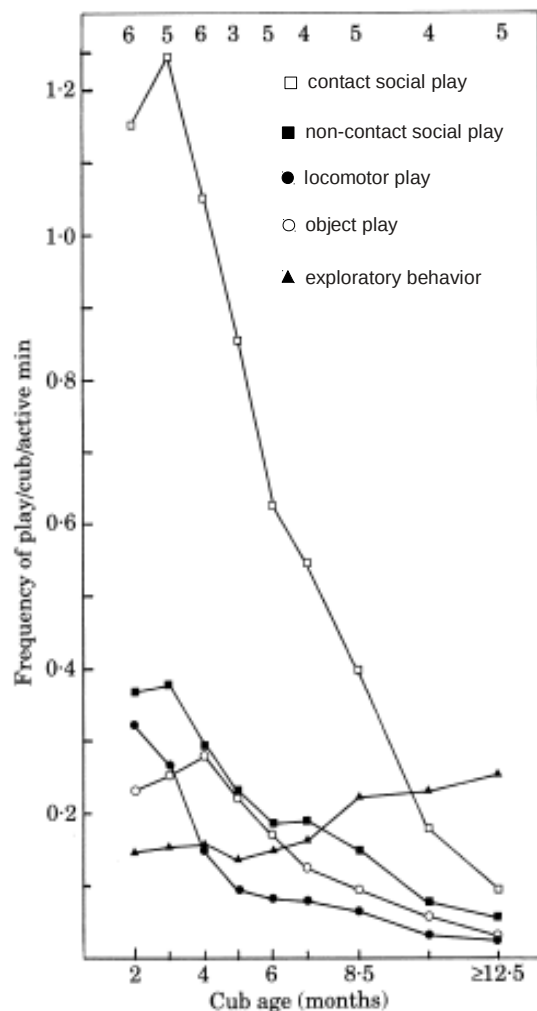
Figure 1: Figures from Caro (1995) demonstrating the development of play behavior in cheetah cubs.

a)



a) The average percentage of time spent playing in 15 minute observations. 41 litters were observed. Each point represents an average for each litter. Total play decreases as cheetahs get older.

b)



b) Running mean values of indicated play types. Number of litters from each age class shown across the top. Displayed play type changes with age, all types of social play decrease with age, while exploratory behavior increases with age.

Figure 2: A representation of the development of play behavior with respect to the model. In the terminal condition ($t = T$), $\phi(i)$ is defined based on the life history of the modeled organism for all time periods beyond T . The model is solved backwards in time to yield fitness values for every period of the model, $F(i, t)$, as well as play decisions for every period of the model, $D^*(i, j, t)$.

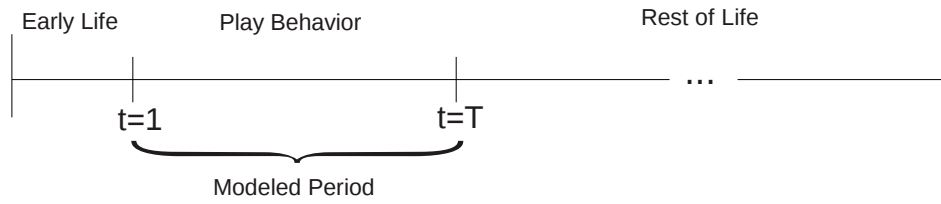


Figure 3: $\Delta S(i, j)$. The skill increment function showing several values of σ . $\Delta S(i, j)$ is plotted alongside the skill decrement $\alpha\tau$. Myopic focal individuals play with any play partner such that $i - j$ causes $\Delta S(i, j) > \alpha\tau$. Notice as σ increases the range of potential play partners increases. For the optimal focal individual the play threshold lies some distance above $\alpha\tau$ based on the focal individuals skill and the amount of time remaining in the model.

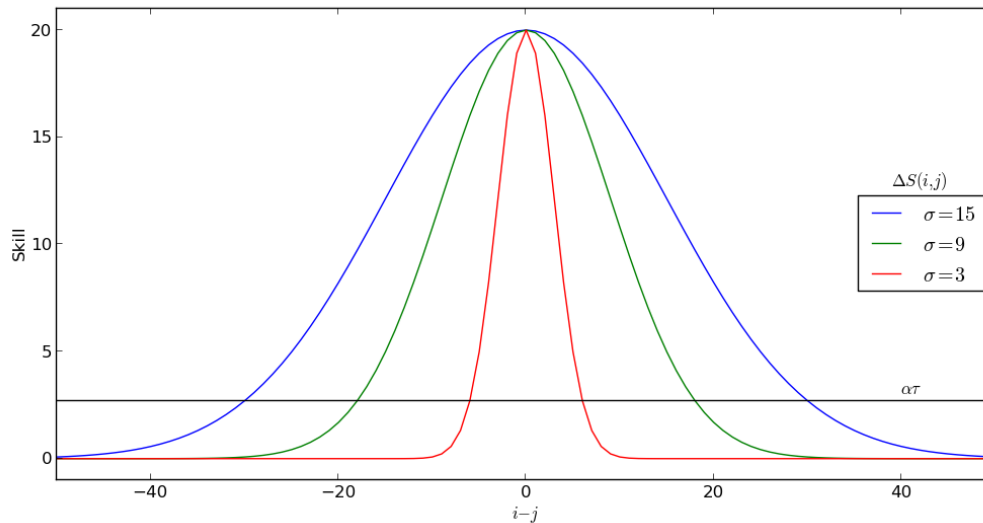


Figure 4: $\phi(i)$. Three possible trajectories for $\phi(i)$. Notice the greater the steepness parameter γ the more quickly and dramatically the organism matures once it reaches adolescence.

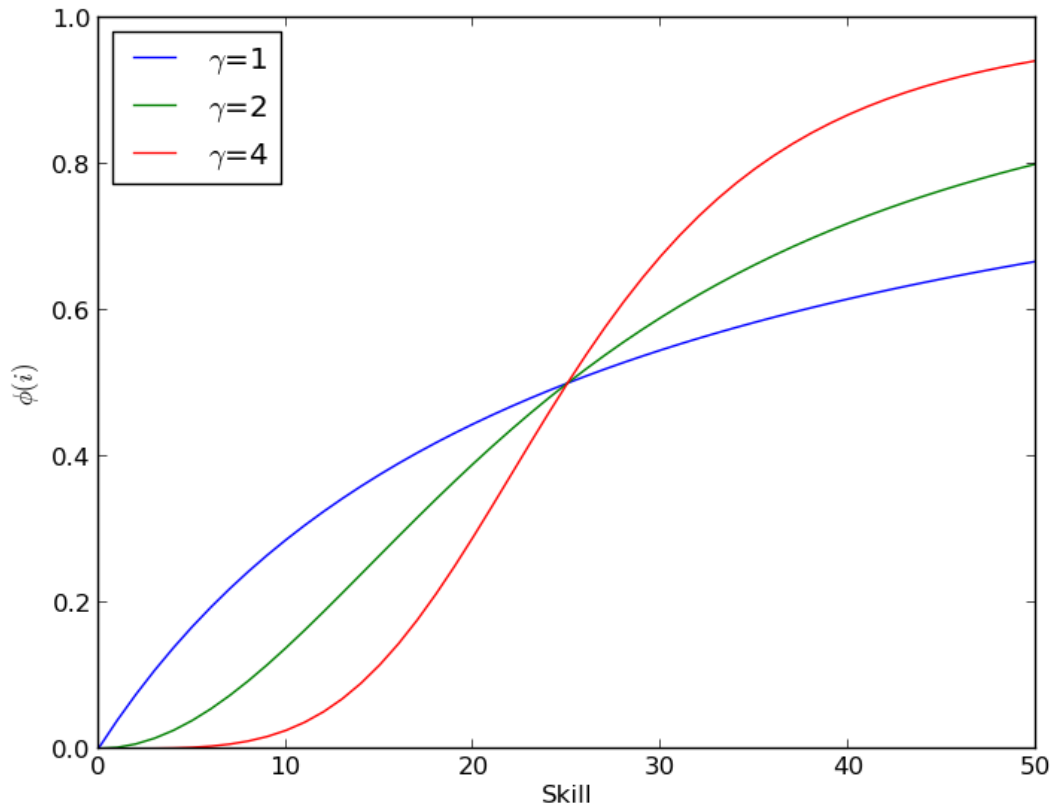


Figure 5: $R(i, t)$. A grey scale representation of the focal individual play range as a function of both time and focal individual skill level. Dark cells are representative of focal individuals willing to play with play partners of many different skill levels, while light cells are representative of focal individuals with relatively small play ranges. In general as skill increases focal individual play range increases. Additionally as t approaches T , in general, play range increases to the myopic condition, at $T - 1$. However, a pocket of lower than expected play ranges does violate these general trends. This pocket occurs at relatively high values for t and extends across all of the playing skill levels. This pocket is produced by truncating play events as t approaches T .

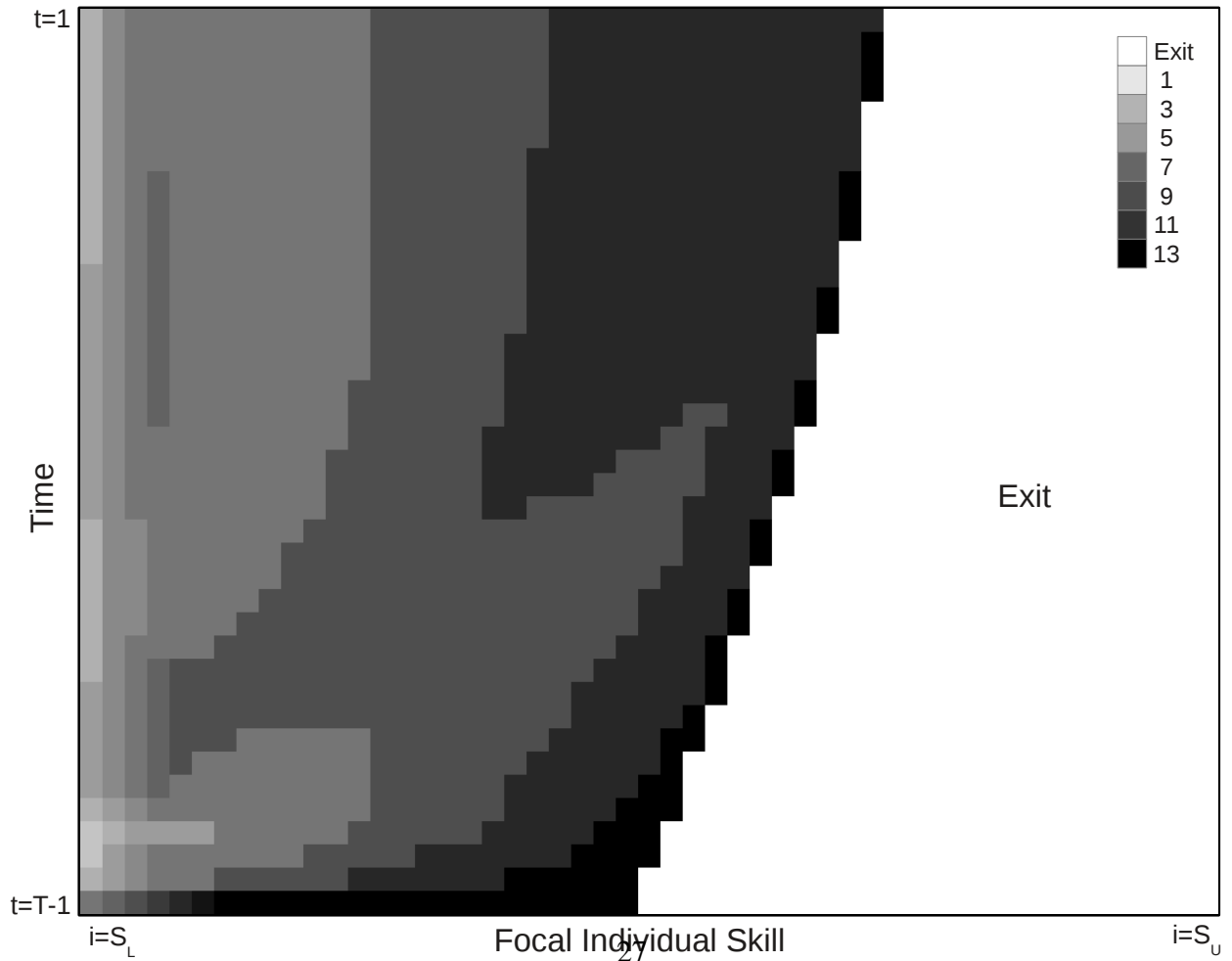


Figure 6: $F(i, t)$. The focal individual fitness plotted against skill level. Each line is a single time period of the model. Three time periods of the model are plotted. Notice when many time periods remain in the model, fitness is relatively high for all skill levels, due to the prospect of gaining skill in the future. As the number of periods remaining in the model decreases, the fitness of low skill individuals decreases due to reduced prospect for the future. Additionally, the dotted vertical lines mark the skill at which $F(i, t)$ converges with $\phi(i)$. These dotted lines mark the skill at which the focal individual stops considering play behavior at the given time period of the model. Notice that with many time periods of the model remaining only very high skill individuals exit the model, and as the number of time periods remaining in the model decreases this exit skill decreases.

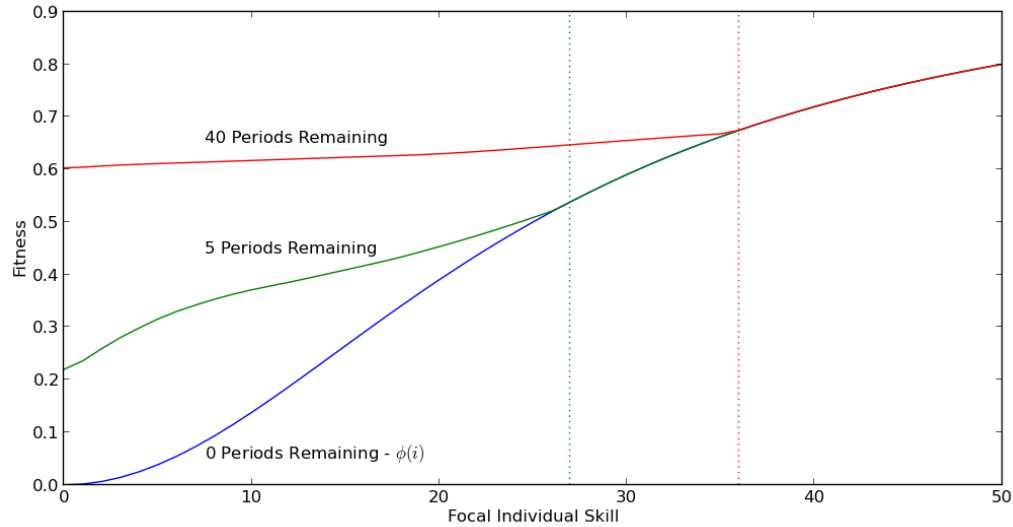


Figure 7: Final skill distribution of $k = 250$ Monte Carlo simulated individuals. Each individuals starts the simulation with a uniform random skill level on the interval $[S_L, S_U]$. Each individual makes optimal decisions, based on $D^*(i, j, t)$, for 40 time periods. Notice the bimodal distribution of the final skills.

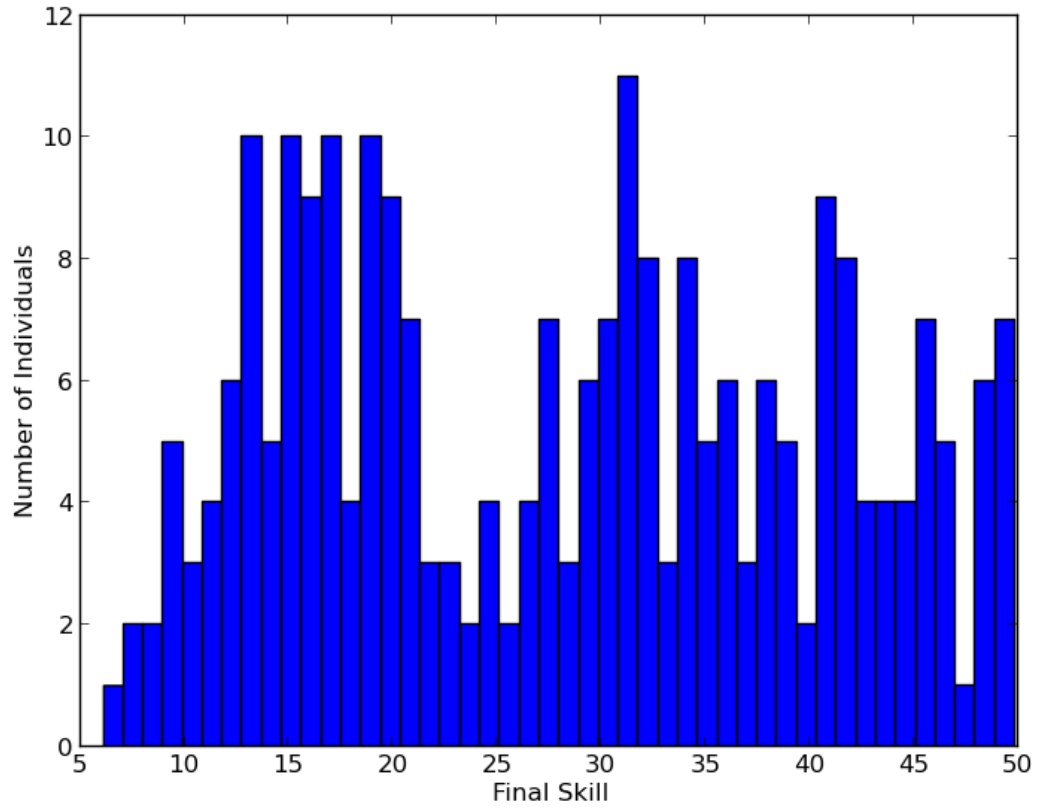


Figure 8: Final skill distribution of $k = 250$ Monte Carlo simulated individuals plotted against the initial skill distribution. The red dotted line indicates the one-to-one relationship between initial and final skill. Individuals on the one-to-one line, in the region labeled “Exit”, enter the simulation with high enough skills to immediately exit play behavior. Notice for each initial skill below the initial exit skill, the final skill distributions are very similar, both to each other, and to the final skill distribution seen in Figure 7.

