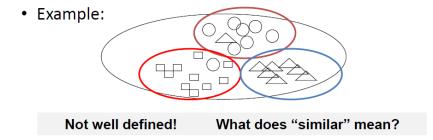
Text Clustering: Motivation

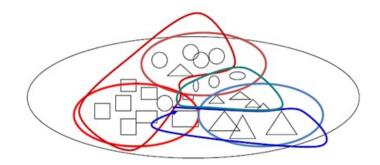
What Is Text Clustering?

- Discover "natural structure"
- **Group similar objects** together
- Objects can be <u>documents</u>, <u>terms</u>, <u>passages</u>, <u>websites</u>,...



The "Clustering Bias"

- Any two objects can be similar, depending on how you look at them!
- Are "car" and "horse" similar?
- A user must define the perspective (basis for evaluation, i.e. "bias") for assessing similarity!



Examples of Text Clustering

- Clustering docs in a collection
- **Term clustering** to define "concept"/"theme"/"**topic**"
- Clustering of passages/sentences or any selected text segments (e.g. about a topic discovered using a topic model) from larger text objects
- Clustering of websites (text obj has multiple docs)
- <u>Text clusters</u> can be **further clustered** to generate a hierarchy

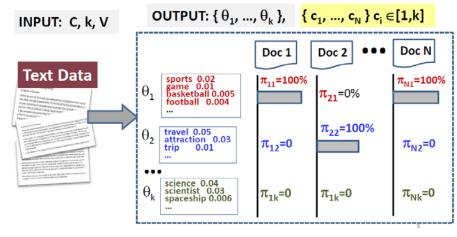
Why Text Clustering?

- Very useful for <u>text mining</u> and <u>exploratory text</u> analysis:
 - →Get a sense about the content of C (e.g., what are some of the "typical"/representative documents in a collection?)
 - → Link (similar) text obj (e.g., remove duplicate content)
 - → Create a **structure on the text** data (e.g., for browsing)
 - → As a way to induce additional features (i.e., clusters) for classification of text objects!!!
- Examples of applications
 - Clustering of <u>search results</u>
 - Understanding major <u>complaints in emails</u> from customers

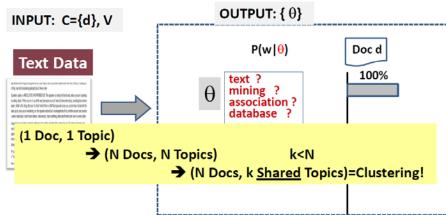
Text Clustering: Generative Probabilistic Models (Part 1)

Topic Mining Revisited OUTPUT: { θ_1 , ..., θ_k }, { π_{i1} , ..., π_{ik} } INPUT: C, k, V Doc N Doc 1 Doc 2 **Text Data** sports 0.02 game 0.01 basketball 0.005 football 0.004 30% π_{21} =0% π_{11} $\pi_{N1} = 0\%$ travel 0.05 attraction 0.03 12% π_{12} π22 0.01 π_{N2} science 0.04 scientist 0.03 spaceship 0.006 8% π_{1k} π_{2k} π_{Nk}

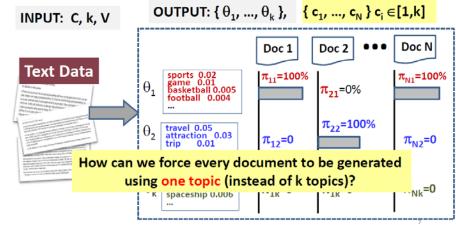
One Topic(=cluster) Per Document



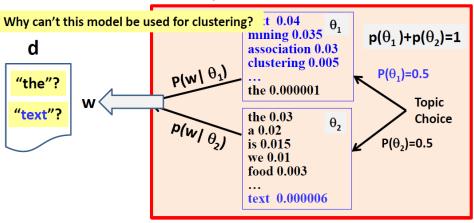
Mining One Topic Revisited



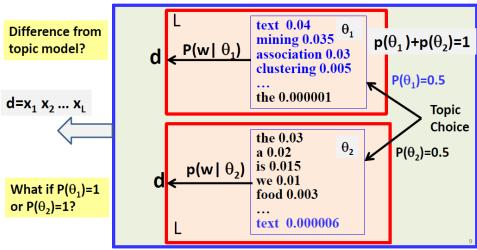
What Generative Model Can Do Clustering?



Generative Topic Model Revisited



Mixture Model for Document Clustering



MOST IMPORTANT SLIDE: COMPARISON OF 2 ML F(X)

Likelihood Function: p(d)=?

$$p(d) = p(\theta_1)p(d \mid \theta_1) + p(\theta_2)p(d \mid \theta_2)$$

$$= p(\theta_1)\prod_{i=1}^L p(x_i \mid \theta_1) + p(\theta_2)\prod_{i=1}^L p(x_i \mid \theta_2)$$

$$\text{How is this different from a topic model?}$$

$$\text{topic model:} \quad p(d) = \prod_{i=1}^L [p(\theta_1)p(x_i \mid \theta_1) + p(\theta_2)p(x_i \mid \theta_2)]$$

$$\text{food } 0.003$$

$$\text{text } 0.000006$$

Text Clustering:

Generative Probabilistic Models (Part 2)

How can we generalize it to include k topics/clusters? Mixture Model for Document Clustering

- <u>Data</u>: a collection of docs C={d₁, ..., d_N}
- Model: mixture of k unigram LMs: Λ=({θ_i}; {p(θ_i)}), i∈[1,k]
 To generate a document, first choose a θ_i according to p(θ_i), and then generate all words in the document using p(w | θ_i)
- Likelihood:

$$\begin{aligned} p(d \mid \Lambda) &= \sum_{i=1}^{k} [p(\theta_i) \prod_{j=1}^{|d|} p(x_j \mid \theta_i)] \\ &= \sum_{i=1}^{k} [p(\theta_i) \prod_{w \in V} p(w \mid \theta_i)^{c(w,d)}] \end{aligned}$$

Maximum Likelihood estimate

$$\Lambda^* = \arg\max_{\Lambda} p(d \mid \Lambda)$$

Cluster Allocation After Parameter Estimation

- Parameters of the mixture model: $\Lambda = (\{\theta_i\}; \{p(\theta_i)\}), i \in [1,k]$
 - Each θ_i represents the **content of cluster i**: $\mathbf{p}(\mathbf{w} \mid \theta_i)$
 - $p(\theta_i)$ indicates the size of cluster i
 - Note that unlike in PLSA, $p(\theta_i)$ doesn't depend on d!
- Which cluster should document d belong to? c_d=?
 - **Likelihood only**: Assign d to the cluster corresponding to the **topic** θ_i that **most likely** has been used to generate d

$$c_d = arg max_i p(d \mid \theta_i)$$

- Likelihood + prior $p(\theta_i)$ (Bayesian): favor large clusters

$$c_d = arg max_i p(d \mid \theta_i)p(\theta_i)$$

Text Clustering:

Generative Probabilistic Models (Part 3)

How Can We Compute the ML Estimate? EM Algorithm for Document Clustering

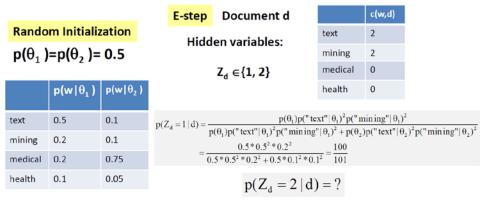
- Initialization: Randomly set $\Lambda = (\{\theta_i\}; \{p(\theta_i)\}), i \in [1,k]$
- Repeat until likelihood $p(C|\Lambda)$ converges
 - E-Step: Infer which distribution has been used to generate document d: hidden variable $Z_d \in [1, k]$

$$p^{(n)}(Z_d = i \,|\, d) \propto p^{(n)}(\theta_i) \prod\nolimits_{w \in V} p^{(n)}(w \,|\, \theta_i)^{c(w,d)} \qquad \sum\nolimits_{i=1}^k p^{(n)}(Z_d = i \,|\, d) = 1$$

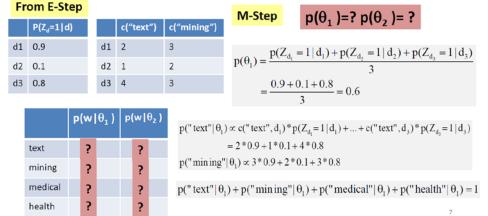
- M-Step: Re-estimation of all parameters

$$\begin{split} p^{(n+1)}(\theta_i) &\propto \sum\nolimits_{j=1}^N p^{(n)}(Z_{d_j} = i \,|\, d_j) \\ \\ p^{(n+1)}(w \,|\, \theta_i) &\propto \sum\nolimits_{j=1}^N c(w,d_j) p^{(n)}(Z_{d_j} = 1 \,|\, d_j) \\ \\ &\sum\nolimits_{w \in V} p^{(n+1)}(w \,|\, \theta_i) = 1, \quad \forall i \in [1,k] \end{split}$$

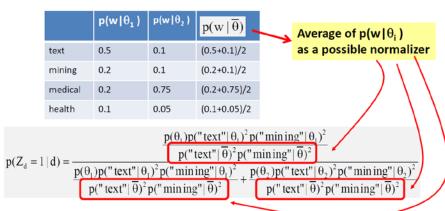
An Example of 2 Clusters



An Example of 2 Clusters (cont.)



Normalization to Avoid Underflow



Summary of Generative Model for Clustering

- A slight variation of topic model used for clustering docs
 - Each cluster unigram LM $p(w|\theta_i) \rightarrow$ Term cluster
 - A doc first choose a unigram LM, then generate ALL words (with this single LM)
 - Estim. model parameters 1) topic characterization of each cluster and 2) probabilistic assignment of doc into each cluster
 - "Hard" clusters can be obtained by forcing a doc into the cluster corresponding to the unigram LM most likely used to generate the doc
- EM algorithm can be used to compute the ML estimate
 - Normalization is often needed to avoid underflow

Text Clustering:

Similarity-based Approaches

Similarity-based Clustering: General Idea

- Similarity function for two text objects (i.e., "clustering bias")
- Find an optimal partitioning of data to <u>maximize intra-group and inter-group</u> similarity
- Two optimal clustering <u>strategies</u>:
 - Progressively construct a hierarchy of clusters (hierarchical clustering)
 - **Bottom-up** (<u>agglomerative</u>): gradually group similar objects into larger clusters
 - **Top-down** (divisive): gradually partition the data into smaller clusters
 - Start with initial tentative clustering + iterations to improve it ("flat" clustering, e.g., k-Means

Similarity-based Clustering Methods

- Many general clustering methods are available!
- Two <u>representative</u> methods
 - Hierarchical Agglomerative Clustering (HAC)
 - K-means

Agglomerative Hierarchical Clustering

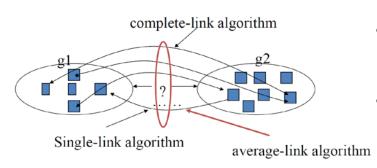
- Given a **similarity function** to measure similarity between two objects
- Gradually group similar objects together in a bottom-up fashion to form a hierarchy
- Stop when some **stopping criterion** is met
- Variations: different ways to compute group similarity based on individual object similarity

Three popular methods to compute **group** similarity:

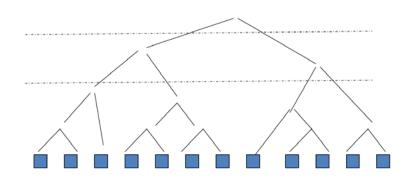
Given two groups g1 and g2:

- **Single-link** algorithm: s(g1,g2)= similarity of the **closest pair**
- **Complete-link** algorithm: s(g1,g2)= similarity of the **farthest pair**
- Average-link algorithm: s(g1,g2)=
 average of similarity of all pairs

Group Similarity Illustrated



Similarity-induced Structure



Comparison

- Single-link
 - "Loose" clusters
 - Individual decision, sensitive to outliers
- Complete-link
 - "Tight" clusters
 - Individual decision, sensitive to outliers
- Average-link
 - "In between"
 - Group decision, insensitive to outliers

Which is the best – application dependent!

-

7

K-Means Clustering

- Represent each text object as a <u>term vector</u> and assume a <u>similarity function</u> defined on two objects
- Start with k randomly selected vectors and <u>assume</u> they are the centroids of k clusters (initial tentative clustering Initialization
- Assign every vector to a cluster whose centroid is the closest to the vector
- Re-compute the centroid for each cluster based on the newly assigned vectors in the cluster
- Repeat this process until the similarity-based objective function (i.e., within cluster sum of squares) converges (to a local minimum)

Summary of Clustering Methods

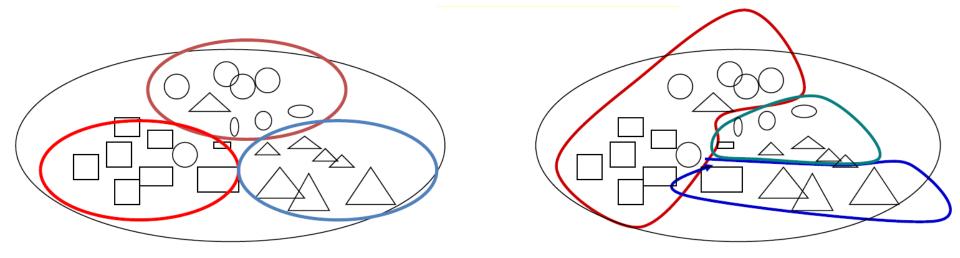
- Model based approaches (mixture model)
 - Uses an implicit similarity function (model → clustering bias)
 - Cluster structure is "built" into a generative model
 - Complex generative models can discover complex structures
 - Prior can be leveraged to further <u>customize the</u> <u>clustering algorithm</u>
 - However, <u>no easy way to directly control</u> the similarity measure
- **Similarity-based** approaches
 - Allows for direct and flexible specification of similarity
 - Objective function to be optimized is not always clear
- Both approaches can generate both term clusters and doc clusters

Text Clustering: Evaluation

The "Clustering Bias"

- Any two objects can be similar, depending on how you look at them!
- A user must define the **perspective** (i.e., a "bias") for assessing similarity!

 Basis for evaluation



Direct Evaluation of Text Clusters

- Question to answer: How close are the system-generated clusters to the ideal clusters (generated by humans)?
 - "Closeness" can be assessed from multiple perspectives
 - "Closeness" can be <u>quantified</u>
 - "Clustering bias" is imposed by the human assessors
- Evaluation **procedure**:
 - Given a test set, have humans to create an ideal clustering result (i.e., an <u>ideal partitioning</u> of text objects or "gold standard")
 - Use a system to produce clusters from the same test set
 - Quantify the similarity between the system-generated clusters and the gold standard clusters
 - Similarity can be measured from multiple perspectives (e.g., purity, normalized mutual information, F measure)

Indirect Evaluation of Text Clusters

- Question to answer: how useful are the clustering results for the intended applications?
 - "Usefulness" is inevitably <u>application specific</u>
 - "Clustering bias" is imposed by the intended application
- Evaluation **procedure**:
 - Create a **test set** for the intended <u>application</u> to quantify the performance of any system for this application
 - Choose a baseline system to compare with
 - Add a clustering algorithm to the baseline system → "clustering system"
 - Compare the performance of the <u>clustering system</u> and the <u>baseline</u> in terms of any performance measure for the application

Summary of Text Clustering

- Text clustering is an unsupervised general text mining technique to
 - obtain an overall picture of the text content (exploring text data)
 - discover interesting clustering structures in text data
- Many approaches are possible
 - Strong clusters tend to show up no matter what method used
 - Effectiveness of a method highly depends on whether the desired clustering bias is captured appropriately (either through using the <u>right generative</u> model or the <u>right similarity function</u>)
 - Deciding the optimal number of clusters is generally a difficult problem for any method due to the unsupervised nature
- Evaluation of clustering results can be done both directly and indirectly

Text Categorization: Motivation

Text Categorization

- Given the following:
 - A set of predefined categories, possibly forming a hierarchy and often
 - A training set of labeled text objects
- · Task: Classify a text object into one or more of the categories



Variants of Problem Formulation

- **Binary** categorization: two categories
 - Retrieval: {relevant-doc, non-relevant-doc}
 - Spam filtering: {spam, non-spam}
 - Opinion: {positive, negative}
 - **K-category** categorization: more than two categories
 - <u>Topic</u> categorization: {sports, science, travel, business,...}
 - Email routing: {folder1, folder2, folder3,...}
 - Hierarchical categorization: Categories form a hierarchy
- Joint categorization: Multiple related categorization tasks done in a joint manner
 Binary categorization can potentially support all other categorizations

Examples of Text Categorization

- Text objects can vary (e.g., documents, passages, or collections of text)
- Categories can also vary
 - "Internal" categories that <u>characterize a text object</u> (e.g., topics, sentiments)
 - "External" categories that <u>characterize an entity</u> associated with the text object (e.g., author attribution, other meaningful categories)

Some examples of applications

- News categorization, <u>literature article</u> categorization (e.g., MeSH annotations)
- Spam email detection/filtering
- Sentiment categorization of product reviews or tweets
- Automatic email sorting/routing
- Author attribution

Why Text Categorization?

- To enrich text representation (understanding)
 - Multiple levels of text representation (keywords + categories)
 - Semantic categories directly or indirectly useful for an application
 - Semantic categories <u>facilitate aggregation of text</u> content (e.g., aggregating all positive/negative opinions about a product)
- To **infer properties of entities** associated with text data (discovery of **knowledge about the world**)
 - As long as an <u>entity can be associated with text data</u>, we can always
 use the text data to help categorize the associated entities
 - E.g., discovery of <u>non-native speakers</u> of a language;
 prediction of party affiliation based on a political speech

Text Categorization: Methods

Methods: Manual

- Determine the <u>category based</u> on **rules** that are carefully designed to **reflect the domain knowledge** about the categorization problem
- Works well when
 - The <u>categories</u> are very well defined
 - <u>Categories</u> are **easily distinguished** based on **surface features** in text (e.g., special vocabulary is known to only occur in a particular category)
 - Sufficient domain knowledge is available to suggest many effective rules
- Problems
 - <u>Labor intensive</u> → <u>doesn't scale up</u> well
 - Can't handle <u>uncertainty in rules</u>; rules may be <u>inconsistent</u> → not robust
- Both problems can be solved/alleviated by using machine learning

"Automatic"

- Use human experts to
 - Annotate data sets with <u>category</u> labels **
 Training data
 - Provide a set of features to represent each text object that can potentially provide a "clue" about the category
- Use **machine learning** to learn "<u>soft rules</u>" for categorization from the training data
 - Figure out which features are most useful for separating different categories
 - Optimally combine the features to minimize the errors of categorization on the training data
 - The <u>trained classifier</u> can then be **applied to a new text object** to predict the <u>most likely</u>
 <u>category</u> (that a human expert would assign to it)

ML for Text Categorization

- General setup: Learn a classifier f: X→Y
 - Input: X = all text obj-s; Output: Y = all categories
 - Learn a classifier function, f: X→Y, such that
 f(x)=y ∈Y gives the correct category for x∈X
 ("correct" is based on the training data)

All methods

- Rely on <u>discriminative features</u> of text objects <u>to</u> <u>distinguish categories</u>
- Combine multiple <u>features</u> in a <u>weighted</u> manner
- Adjust weights on features to minimize errors on the training data
- Different methods tend to vary in
 - Their way of measuring the errors on the training data (may optimize a different objective/loss/cost function)
 - Their way of combining features (e.g., linear vs. non-linear)

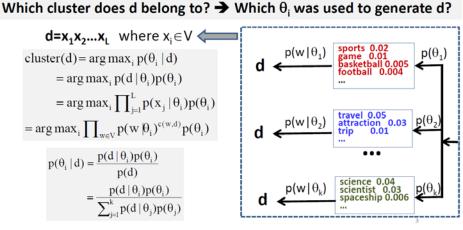
Classifiers

- Generative classifiers (learn what the data "looks" like in each category)
 - Attempt to model p(X,Y) = p(Y)p(X|Y) and compute p(Y|X) based on p(X|Y) and p(Y) by using Bayes Rule
 - Objective function is likelihood, thus indirectly measuring training errors
 - E.g., Naïve Bayes
- Discriminative classifiers (learn what features separate categories)
 - Attempt to model p(Y|X) directly
 - Objective function directly measures errors of categorization on training data
 - E.g., Logistic Regression, Support Vector Machine (SVM), k-Nearest Neighbors (kNN)

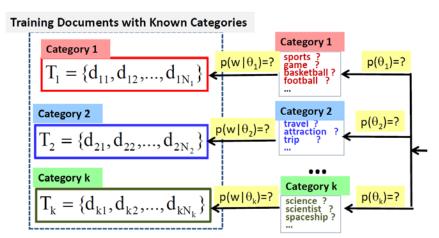
Text Categorization:

Generative Probabilistic Models

Document Clustering Revisited



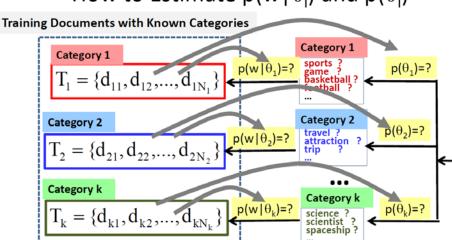
Learn from the Training Data



then...

How can we make this happen? category(d) = $\arg\max_{i} p(\theta_{i} \mid d)$ = $\arg\max_{i} p(d \mid \theta_{i}) p(\theta_{i})$ = $\arg\max_{i} \prod_{w \in V} p(w \mid \theta_{i})^{c(w,d)} p(\theta_{i})$ category(d) = $\arg\max_{i} \log p(\theta_{i}) + \sum_{w \in V} c(w,d) \log p(w \mid \theta_{i})$

How to Estimate $p(w | \theta_i)$ and $p(\theta_i)$

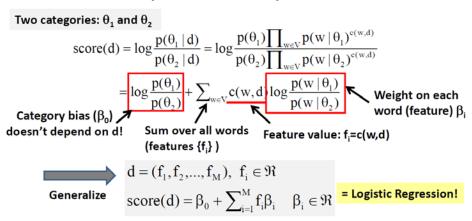


Naïve Bayes Classifier: $p(\theta_i)$ =? and $p(w|\theta_i)$ =?

$\begin{aligned} & \text{Which category is most popular?} \\ & T_1 = \{d_{11}, d_{12}, ..., d_{1N_1}\} \\ & \text{Category 2} \\ & T_2 = \{d_{21}, d_{22}, ..., d_{2N_2}\} \\ & \text{Category k} \\ & T_k = \{d_{k1}, d_{k2}, ..., d_{kN_k}\} \end{aligned} \\ & p(w \mid \theta_i) = \frac{\sum_{j=1}^{N_i} c(w, d_{ij})}{\sum_{w' \in V} \sum_{j=1}^{N_i} c(w', d_{ij})} \propto c(w, T_i) \end{aligned}$

What are the constraints on $p(\theta_i)$ and $p(w|\theta_i)$?

Anatomy of Naïve Bayes Classifier



Smoothing in Naïve Bayes

- Why smoothing?
 - Address data sparseness (training data is small → zero prob.)
 - Incorporate prior knowledge
 - Achieve discriminative weighting (i.e., IDF weighting)
- · How?

$$p(\boldsymbol{\theta}_i) = \frac{N_i + \delta}{\sum_{j=1}^k N_j + k\delta} \qquad \delta \geq 0 \qquad \text{What if } \delta \rightarrow \infty?$$

$$p(\boldsymbol{w} \mid \boldsymbol{\theta}_i) = \frac{\sum_{j=1}^{N_i} c(\boldsymbol{w}, \boldsymbol{d}_{ij}) + \mu p(\boldsymbol{w} \mid \boldsymbol{\theta}_B)}{\sum_{i=1}^{N_i} c(\boldsymbol{w}', \boldsymbol{d}_{ij}) + \mu} \qquad \mu \geq 0 \qquad \text{what if } \mu \rightarrow \infty?$$