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Issue Date: 3/10/2008

**CONSULTING SOLICITATION CS4****HUGH L. CAREY BATTERY PARK CITY AUTHORITY - INVESTMENT BANKING-  
UNDERWRITER****Description:**

The Authority is seeking proposals from Investment Banking firms to serve as underwriter in connection with the restructuring or conversion of current outstanding Auction Rate Securities (ARS) debt and for issuance of new indebtedness. The Authority is responsible for the development of Battery Park City, a 92 acre site in Lower Manhattan. The Authority issues bonds and notes for its corporate purposes pursuant to the Public Authorities Law. Interested firms should submit a proposal and company profile to the Authority. The proposer must have background, reputation and Financial Advisory experience in handling large, complicated tax exempt municipal debt issues. Please provide qualifications and demonstrate knowledge of the Authority's current outstanding debt by presenting alternative options for restructuring the Authority's outstanding ARS debt. Information is available on our website [www.batteryparkcity.org](http://www.batteryparkcity.org).

All proposals must be submitted in a sealed envelope, which must be clearly marked "Proposal for Investment Banker" and must be delivered to BPCA by messenger, overnight courier or certified mail, by no later than 3PM (EST) on the Proposal Due Date listed below, to the Contact listed below (the Designated Contact"), and at the address indicated. Proposals submitted by fax or electronic transmission will not be accepted.

Mandatory Forms Packet must be filled out for consideration. The forms can be found at [http://www.batteryparkcity.org/pdf\\_n/Mandatory\\_Forms\\_Packet.pdf](http://www.batteryparkcity.org/pdf_n/Mandatory_Forms_Packet.pdf).

For questions on M/WBE participation and subcontracting goals, please contact Mr. Hector Calderon at 212-417-2280.

Applicants are restricted from making contact with anyone other than the Designated Contacts (identified below) during the period (the "Restricted Period") from the time of publication of this advertisement through approval of the procurement contract by BPCA. Employees of BPCA are required to obtain and record certain contacts during the Restricted Period, and to make a determination of responsibility based, in part, upon any such contact. Failure to abide by this process may result in a finding of non-responsibility.

In accordance with Article 15-A of the New York State Executive Law and regulations adopted pursuant thereto, BPCA has established separate goals for participation of New York State Certified minority and women-owned business enterprises for all State contracts. BPCA is required to implement the provisions of Article 15-A and 5 NYCRR Part 143 for all state contracts (1) in excess of \$25,000 for labor, services, equipment, materials or any combination for the foregoing and (2) in excess of \$100,000 for real property renovations and construction. For purposes of this procurement, BPCA hereby establishes a goal of **TBD%** for Minority and Women-owned Business Enterprises (MBE) participation and **TBD%** for Women-owned Business Enterprises (WBE) participation. As a condition of this procurement, the contractor and BPCA

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agree to be bound by the provisions of §316 of Article 15-A of the Executive Law regarding enforcement. Contractors must document "good faith efforts" to provide meaningful participation by certified M/WBE subcontractors or suppliers in the performance of this contract. For guidance on how BPCA will determine a contractor's "good faith efforts", refer to 5 NYCRR §143.8. Additionally, Offerors must refer to the Mandatory Forms Packet referred to above for a list of forms that must be provided in order to fully comply with Article 15-A of the New York State Executive Law and 5 NYCRR Part 143.

**Minority Sub-  
Contracting Goal:** TBD%  
**Women Owned Sub-  
Contracting Goal:** TBD%

**Proposal Due:** 3/31/2008 3PM

**Contract Term:** One year

**Location:** Hugh L. Carey Battery Park City Authority New York,  
NY

**Contact:** Lauren Bruggess, Administrative Assistant  
Legal  
Hugh L. Carey Battery Park City Authority  
One World Financial Center  
24th floor  
New York, NY 10281-1097  
(212) 417-4142  
(212) 417-4123 FAX  
[brugessl@batteryparkcity.org](mailto:brugessl@batteryparkcity.org)  
[www.batteryparkcity.org](http://www.batteryparkcity.org)

**Submit To:** Lauren Bruggess, Administrative Assistant  
Legal  
Hugh L. Carey Battery Park City Authority  
One World Financial Center  
24th floor  
New York, NY 10281-1097  
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