

HUGH L. CAREY BATTERY PARK CITY AUTHORITY

MBE/WBE UTILIZATION PLAN

Please fill out utilization plan for MBE/WBE(s) participation and use the same form for all additional MBE/WBE Firms

Project Name: Investment Advisor	Project No.: 12-2126	Site #:	Date:
Name of Contractor: PFM Asset Management LLC	Contract Amount: \$1,040,010		
Address: 2 Logan Square 18th & Arch Streets Suite 1600	Work to Begin: 11/1/12	Work to be Completed: 11/1/15	
Philadelphia, PA 19103-2770	Is your Firm an MBE _____ WBE _____		
CONTACT PERSON: Stephen Faber	Phone #: 609-452-0263	Fax #:	
FEDERAL I.D. NO:	Tax I.D.:		

Please type or Print Clearly All Information on This Form
MBE/WBE INFORMATION

Sub-Contractor or Vendor:	Federal I.D.#:	Tax I.D.#:
Name: ICV Partners, LLC	Work to Begin:	
Address: 299 Park Ave 3rd Floor New York, NY		
Phone #: 212-455-9600	Fax #: 212-455-9603	Work to be Completed:
Contact Person: Lloyd Metz		
Estimated Dollar Amount to be Awarded: \$33,647.50	Is this Firm an MBE <u> X </u> WBE _____	
Total Percent of Contract Holder %	Trade:	
Scope of Work to be done by MBE/WBE: Investment Consulting		

MBE/WBE INFORMATION

Sub-Contractor or Vendor:	Federal I.D.#:	Tax I.D.#:
Name:	Work to Begin:	
Address:		
Phone #:	Fax #:	Work to be Completed:
Contact Person:		
Estimated Dollar Amount to be Awarded:	Is this Firm an MBE _____ WBE _____	
Total Percent of Contract Holder %	Trade:	
Scope of Work to be done by MBE/WBE:		

MBE/WBE INFORMATION

Sub-Contractor or Vendor:	Federal I.D.#:	Tax I.D.#:
Name:	Work to Begin:	
Address:		
Phone #:	Fax #:	Work to be Completed:
Contact Person:		
Estimated Dollar Amount to be Awarded:	Is this Firm an MBE _____ WBE _____	
Total Percent of Contract Holder %	Trade:	
Scope of Work to be done by MBE/WBE:		

The Minimum MBE/WBE Business Participation	Workforce Percentages set for this project is as Follows:
Goal Expected for your Firm is as Follows:	
Trade(s)	Trade(s)
Minority Owned Business %	Minority Workforce %
Women Owned Business %	Female Workforce %

Please attach copies of the most recent New York State Certification Letters for all MBE/WBE Firms Listed on this Utilization Plan.
If there are any changes in the information on this plan you must immediately re-submit this plan with the most recent date.