

# Chapter 2

## Application Layer

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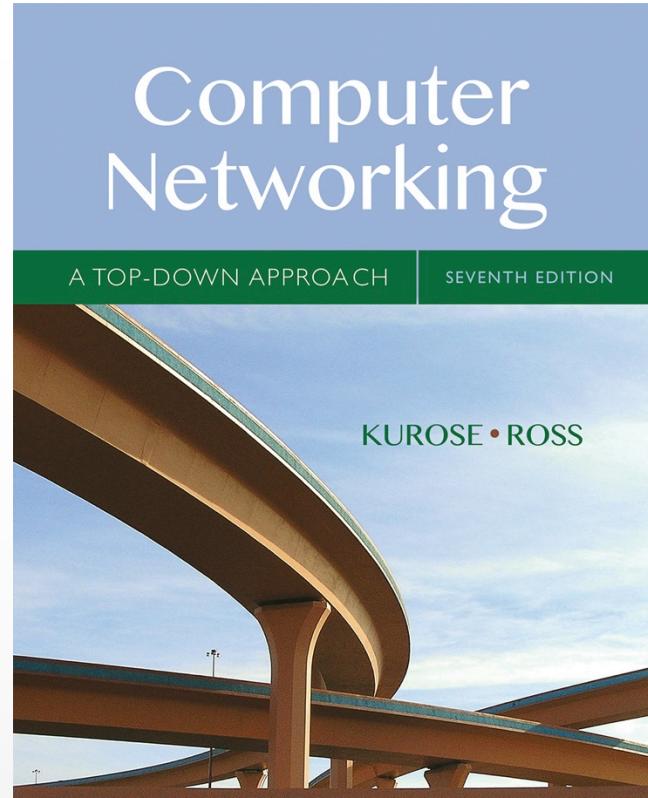
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*Computer  
Networking: A Top  
Down Approach*

7<sup>th</sup> edition

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Pearson/Addison Wesley

April 2016

Application Layer

# CHAPTER 2: OUTLINE

## 2.1 PRINCIPLES OF NETWORK APPLICATIONS

## 2.2 WEB AND HTTP

## 2.3 ELECTRONIC MAIL

- SMTP, POP3, IMAP

## 2.4 DNS

## 2.5 P2P APPLICATIONS

## 2.6 VIDEO STREAMING AND CONTENT DISTRIBUTION NETWORKS

## 2.7 SOCKET PROGRAMMING WITH UDP AND TCP

# CHAPTER 2: APPLICATION LAYER

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## OUR GOALS:

- CONCEPTUAL,  
IMPLEMENTATION  
ASPECTS OF NETWORK  
APPLICATION  
PROTOCOLS
  - TRANSPORT-LAYER SERVICE  
MODELS
  - CLIENT-SERVER PARADIGM
  - PEER-TO-PEER PARADIGM
  - CONTENT DISTRIBUTION  
NETWORKS

- LEARN ABOUT  
PROTOCOLS BY  
EXAMINING POPULAR  
APPLICATION-LEVEL  
PROTOCOLS
  - HTTP
  - FTP
  - SMTP / POP3 / IMAP
  - DNS
- CREATING NETWORK  
APPLICATIONS
  - SOCKET API

# SOME NETWORK APPS

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- E-MAIL
- WEB
- TEXT MESSAGING
- REMOTE LOGIN
- P2P FILE SHARING
- MULTI-USER NETWORK GAMES
- STREAMING STORED VIDEO (YOUTUBE, HULU, NETFLIX)
- VOICE OVER IP (E.G., SKYPE)
- REAL-TIME VIDEO CONFERENCING
- SOCIAL NETWORKING
- SEARCH
- ...
- ...

# CREATING A NETWORK APP

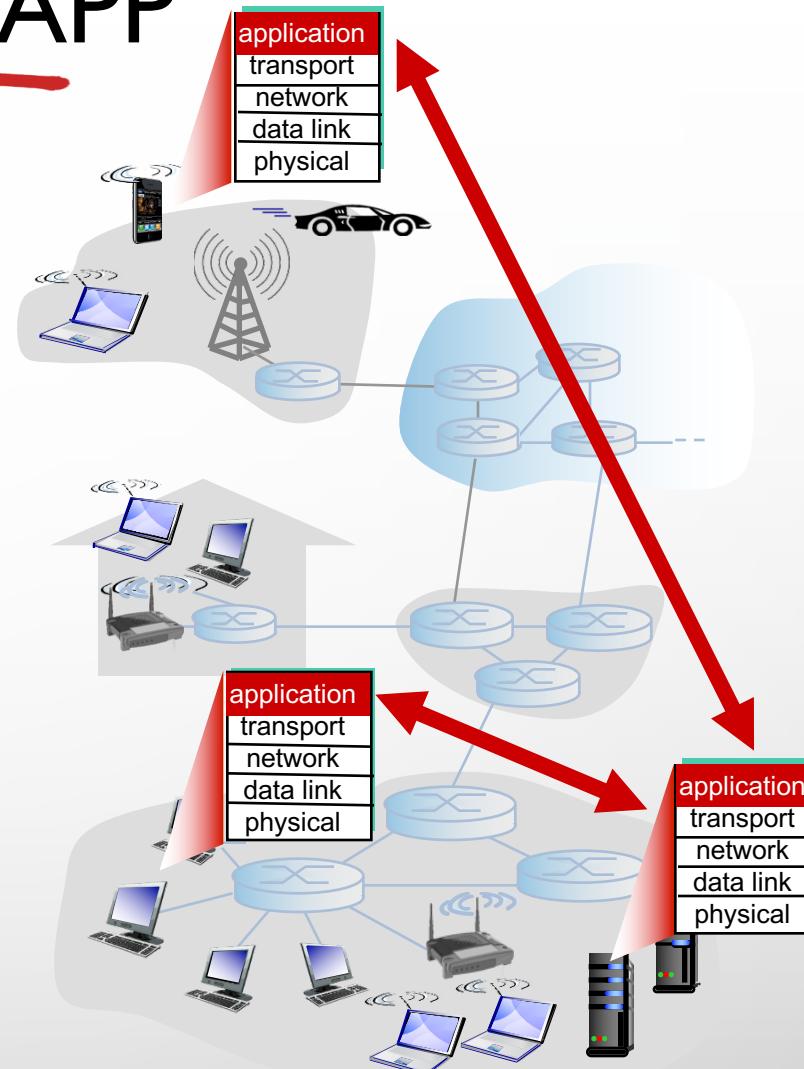
WRITE PROGRAMS THAT:

- RUN ON (DIFFERENT) END SYSTEMS
- COMMUNICATE OVER NETWORK
- E.G., WEB SERVER SOFTWARE COMMUNICATES WITH BROWSER SOFTWARE

NO NEED TO WRITE SOFTWARE FOR NETWORK-CORE DEVICES

- NETWORK-CORE DEVICES DO NOT RUN USER APPLICATIONS
- APPLICATIONS ON END SYSTEMS ALLOWS FOR RAPID APP DEVELOPMENT, PROPAGATION

Application Layer

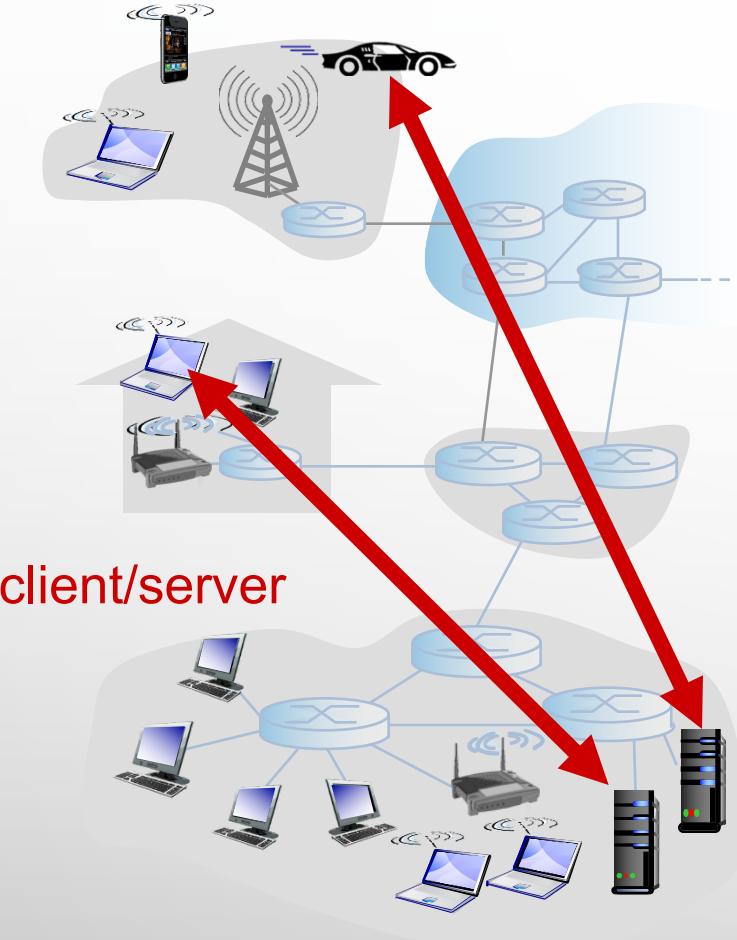


# APPLICATION ARCHITECTURES

## POSSIBLE STRUCTURE OF APPLICATIONS:

- CLIENT-SERVER
- PEER-TO-PEER (P2P)

# CLIENT-SERVER ARCHITECTURE



Application Layer

## SERVER:

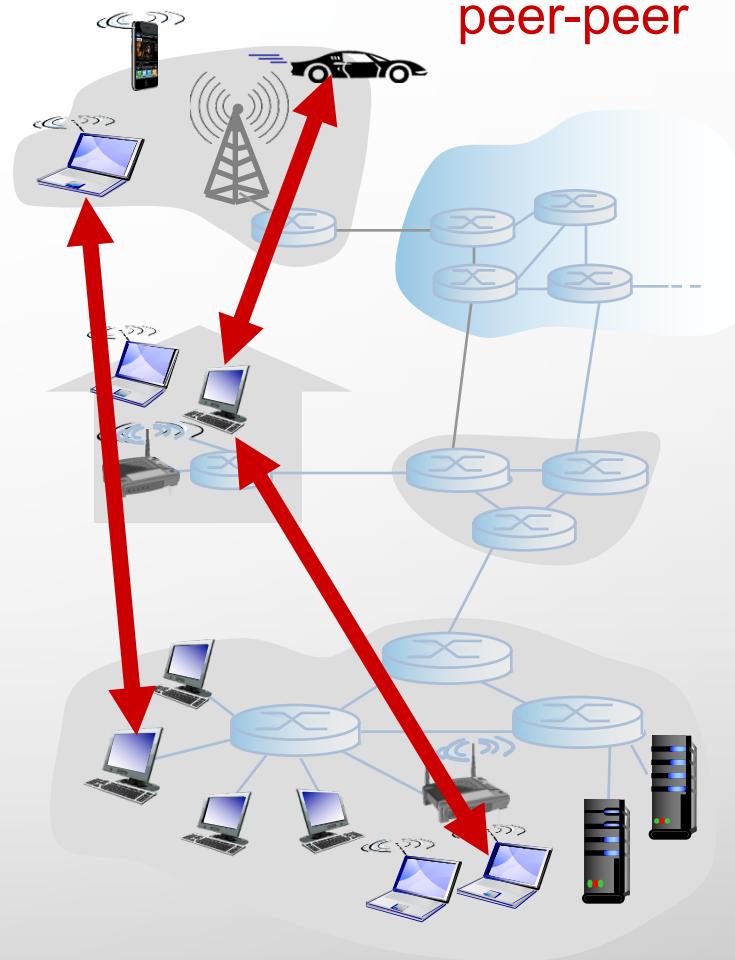
- ALWAYS-ON HOST
- PERMANENT IP ADDRESS
- DATA CENTERS FOR SCALING

## CLIENTS:

- COMMUNICATE WITH SERVER
- MAY BE INTERMITTENTLY CONNECTED
- MAY HAVE DYNAMIC IP ADDRESSES
- DO NOT COMMUNICATE DIRECTLY WITH EACH OTHER

# P2P ARCHITECTURE

- NO ALWAYS-ON SERVER
- ARBITRARY END SYSTEMS DIRECTLY COMMUNICATE
- PEERS REQUEST SERVICE FROM OTHER PEERS, PROVIDE SERVICE IN RETURN TO OTHER PEERS
  - *SELF SCALABILITY* – NEW PEERS BRING NEW SERVICE CAPACITY, AS WELL AS NEW SERVICE DEMANDS
- PEERS ARE INTERMITTENTLY CONNECTED AND CHANGE IP ADDRESSES
  - COMPLEX MANAGEMENT



# PROCESSES COMMUNICATING

**PROCESS:** PROGRAM RUNNING  
WITHIN A HOST

- WITHIN SAME HOST, TWO PROCESSES COMMUNICATE USING **INTER-PROCESS COMMUNICATION** (DEFINED BY OS)
- PROCESSES IN DIFFERENT HOSTS COMMUNICATE BY EXCHANGING **MESSAGES**

clients, servers

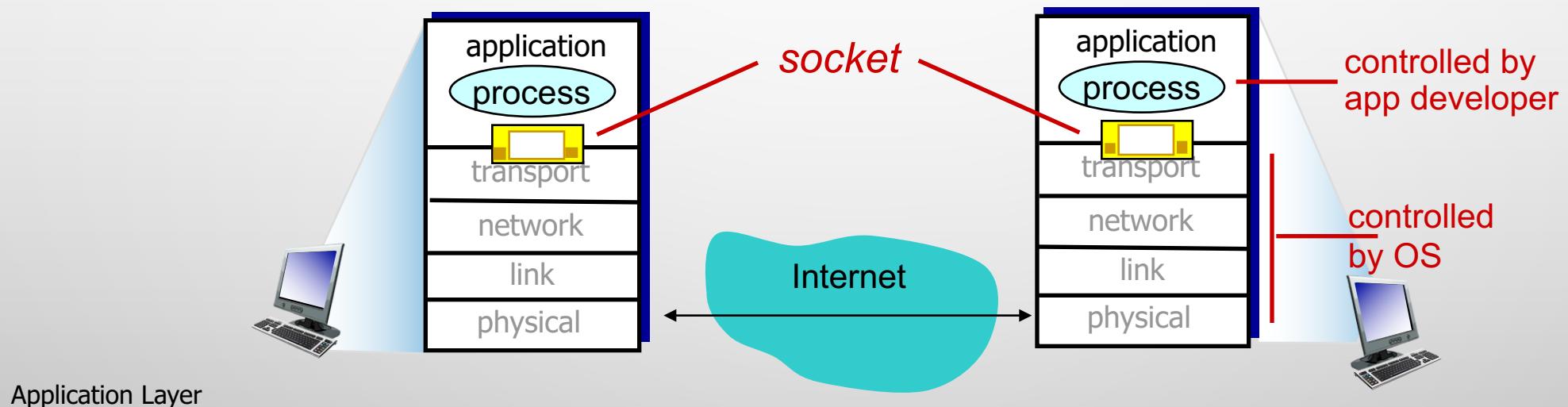
**CLIENT PROCESS:** PROCESS THAT INITIATES COMMUNICATION

**SERVER PROCESS:** PROCESS THAT WAITS TO BE CONTACTED

- aside: applications with P2P architectures have client processes & server processes

# SOCKETS

- PROCESS SENDS/RECEIVES MESSAGES TO/FROM ITS **SOCKET**
- SOCKET ANALOGOUS TO DOOR
  - SENDING PROCESS SHOVES MESSAGE OUT DOOR
  - SENDING PROCESS RELIES ON TRANSPORT INFRASTRUCTURE ON OTHER SIDE OF DOOR TO DELIVER MESSAGE TO SOCKET AT RECEIVING PROCESS



# ADDRESSING PROCESSES

- TO RECEIVE MESSAGES, PROCESS MUST HAVE **IDENTIFIER**
- HOST DEVICE HAS UNIQUE 32-BIT IP ADDRESS
- **Q:** DOES IP ADDRESS OF HOST ON WHICH PROCESS RUNS SUFFICE FOR IDENTIFYING THE PROCESS?
  - **A:** no, many processes can be running on same host
- **IDENTIFIER** INCLUDES BOTH IP ADDRESS AND PORT NUMBERS ASSOCIATED WITH PROCESS ON HOST.
- EXAMPLE PORT NUMBERS:
  - HTTP SERVER: 80
  - MAIL SERVER: 25
- TO SEND HTTP MESSAGE TO GAIA.CS.UMASS.EDU WEB SERVER:
  - **IP ADDRESS:** 128.119.245.12
  - **PORT NUMBER:** 80
- MORE SHORTLY...

# APP-LAYER PROTOCOL DEFINES

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- TYPES OF MESSAGES EXCHANGED,
  - E.G., REQUEST, RESPONSE
- MESSAGE SYNTAX:
  - WHAT FIELDS IN MESSAGES & HOW FIELDS ARE DELINEATED
- MESSAGE SEMANTICS
  - MEANING OF INFORMATION IN FIELDS
- RULES FOR WHEN AND HOW PROCESSES SEND & RESPOND TO MESSAGES

## OPEN PROTOCOLS:

- DEFINED IN RFCs
- ALLOWS FOR INTEROPERABILITY
- E.G., HTTP, SMTP

## PROPRIETARY PROTOCOLS:

- E.G., SKYPE

# WHAT TRANSPORT SERVICE DOES AN APP NEED?

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## DATA INTEGRITY

- SOME APPS (E.G., FILE TRANSFER, WEB TRANSACTIONS) REQUIRE 100% RELIABLE DATA TRANSFER
- OTHER APPS (E.G., AUDIO) CAN TOLERATE SOME LOSS

## TIMING

- SOME APPS (E.G., INTERNET TELEPHONY, INTERACTIVE GAMES) REQUIRE LOW DELAY TO BE “EFFECTIVE”

## throughput

- some apps (e.g., multimedia) require minimum amount of throughput to be “effective”
- other apps (“elastic apps”) make use of whatever throughput they get

## security

- encryption, data integrity,  
...

# TRANSPORT SERVICE REQUIREMENTS: COMMON APPS

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<b>application</b>	<b>data loss</b>	<b>throughput</b>	<b>time sensitive</b>
file transfer	no loss	elastic	no
e-mail	no loss	elastic	no
Web documents	no loss	elastic	no
real-time audio/video	loss-tolerant	audio: 5kbps-1Mbps video:10kbps-5Mbps msec	yes, 100's
stored audio/video	loss-tolerant	same as above	
interactive games	loss-tolerant	few kbps up	yes, few secs
text messaging	no loss	elastic	yes, 100's msec yes and no

# INTERNET TRANSPORT PROTOCOLS SERVICES

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## TCP SERVICE:

- **RELIABLE TRANSPORT** BETWEEN SENDING AND RECEIVING PROCESS
- **FLOW CONTROL**: SENDER WON' T OVERWHELM RECEIVER
- **CONGESTION CONTROL**: THROTTLE SENDER WHEN NETWORK OVERLOADED
- **DOES NOT PROVIDE**: TIMING, MINIMUM THROUGHPUT GUARANTEE, SECURITY
- **CONNECTION-ORIENTED**: SETUP REQUIRED BETWEEN CLIENT AND SERVER PROCESSES

## UDP SERVICE:

- **UNRELIABLE DATA TRANSFER** BETWEEN SENDING AND RECEIVING PROCESS
- **DOES NOT PROVIDE**: RELIABILITY, FLOW CONTROL, CONGESTION CONTROL, TIMING, THROUGHPUT GUARANTEE, SECURITY, OR CONNECTION SETUP,

**Q:** WHY BOTHER? WHY IS THERE A UDP?

# INTERNET APPS: APPLICATION, TRANSPORT PROTOCOLS

	application	application layer protocol	underlying transport protocol
remote terminal access	e-mail	SMTP [RFC 2821]	TCP
	Web	Telnet [RFC 854]	TCP
	file transfer	HTTP [RFC 2616]	TCP
streaming multimedia		FTP [RFC 959]	TCP
		HTTP (e.g., YouTube), RTP [RFC 1889]	TCP or UDP
Internet telephony		SIP, RTP, proprietary (e.g., Skype)	TCP or UDP

# SECURING TCP

## TCP & UDP

- NO ENCRYPTION
- CLEARTEXT PASSWDS SENT INTO SOCKET TRAVERSE INTERNET IN CLEARTEXT

## SSL

- PROVIDES ENCRYPTED TCP CONNECTION
- DATA INTEGRITY
- END-POINT AUTHENTICATION

## SSL IS AT APP LAYER

- APPS USE SSL LIBRARIES, THAT “TALK” TO TCP

## SSL SOCKET API

- CLEARTEXT PASSWORDS SENT INTO SOCKET TRAVERSE INTERNET ENCRYPTED
- SEE CHAPTER 8

# CHAPTER 2: OUTLINE

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WITH UDP AND TCP

# WEB AND HTTP

## FIRST, A REVIEW...

- WEB PAGE CONSISTS OF *OBJECTS*
- OBJECT CAN BE HTML FILE, JPEG IMAGE, JAVA APPLET, AUDIO FILE,...
- WEB PAGE CONSISTS OF *BASE HTML-FILE WHICH INCLUDES SEVERAL REFERENCED OBJECTS*
- EACH OBJECT IS ADDRESSABLE BY A *URL*, E.G.,

www.someschool.edu/someDept/pic.gif

host name

path name

# HTTP OVERVIEW

## HTTP: HYPERTEXT TRANSFER PROTOCOL

- WEB'S APPLICATION LAYER PROTOCOL
- CLIENT/SERVER MODEL
  - **CLIENT:** BROWSER THAT REQUESTS, RECEIVES, (USING HTTP PROTOCOL) AND “DISPLAYS” WEB OBJECTS
  - **SERVER:** WEB SERVER SENDS (USING HTTP PROTOCOL) OBJECTS IN RESPONSE TO REQUESTS



# HTTP OVERVIEW (CONTINUED)

## USES TCP:

- CLIENT INITIATES TCP CONNECTION (CREATES SOCKET) TO SERVER, PORT 80
- SERVER ACCEPTS TCP CONNECTION FROM CLIENT
- HTTP MESSAGES (APPLICATION-LAYER PROTOCOL MESSAGES) EXCHANGED BETWEEN BROWSER (HTTP CLIENT) AND WEB SERVER (HTTP SERVER)
- TCP CONNECTION CLOSED

## HTTP IS “STATELESS”

- SERVER MAINTAINS NO INFORMATION ABOUT PAST CLIENT REQUESTS

*aside*

protocols that maintain “state” are complex!

- past history (state) must be maintained
- if server/client crashes, their views of “state” may be inconsistent, must be reconciled

# HTTP CONNECTIONS

## *NON-PERSISTENT HTTP*

- AT MOST ONE OBJECT SENT OVER TCP CONNECTION
  - CONNECTION THEN CLOSED
- DOWNLOADING MULTIPLE OBJECTS REQUIRED MULTIPLE CONNECTIONS

## *PERSISTENT HTTP*

- MULTIPLE OBJECTS CAN BE SENT OVER SINGLE TCP CONNECTION BETWEEN CLIENT, SERVER

# NON-PERSISTENT HTTP

SUPPOSE USER ENTERS URL:

`www.someSchool.edu/someDepartment/home.index`

(contains text,  
references to 10  
jpeg images)

## IA. HTTP CLIENT INITIATES TCP

CONNECTION TO HTTP  
SERVER (PROCESS) AT  
WWW.SOMESCHOOL.EDU ON  
PORT 80

## Ib. HTTP server at host

www.someSchool.edu waiting  
for TCP connection at port 80.  
“accepts” connection, notifying  
client

2. HTTP client sends HTTP *request message* (containing URL) into  
TCP connection socket.  
Message indicates that client  
wants object  
`someDepartment/home.index`

3. HTTP server receives request  
message, forms *response message* containing requested  
object, and sends message into its socket

Application

time

# NON-PERSISTENT HTTP (CONT.)



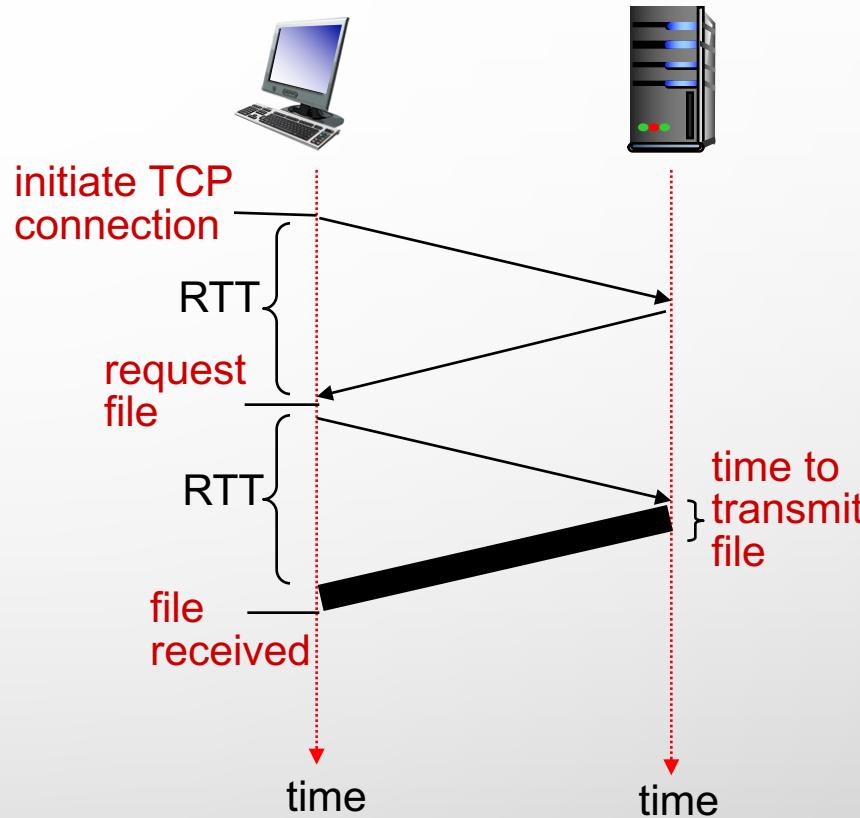
4. HTTP server closes TCP connection.
5. HTTP CLIENT RECEIVES RESPONSE MESSAGE CONTAINING HTML FILE, DISPLAYS HTML. PARSING HTML FILE, FINDS 10 REFERENCED JPEG OBJECTS
6. Steps 1-5 repeated for each of 10 jpeg objects

# NON-PERSISTENT HTTP: RESPONSE TIME

**RTT (DEFINITION):** TIME FOR A  
SMALL PACKET TO TRAVEL FROM  
CLIENT TO SERVER AND BACK

**HTTP RESPONSE TIME:**

- ONE RTT TO INITIATE TCP CONNECTION
- ONE RTT FOR HTTP REQUEST AND FIRST FEW BYTES OF HTTP RESPONSE TO RETURN
- FILE TRANSMISSION TIME
- NON-PERSISTENT HTTP RESPONSE TIME =  
$$2\text{RTT} + \text{FILE TRANSMISSION TIME}$$



# PERSISTENT HTTP

## *NON-PERSISTENT HTTP ISSUES:*

- REQUIRES 2 RTTS PER OBJECT
- OS OVERHEAD FOR EACH TCP CONNECTION
- BROWSERS OFTEN OPEN PARALLEL TCP CONNECTIONS TO FETCH REFERENCED OBJECTS

## *PERSISTENT HTTP:*

- SERVER LEAVES CONNECTION OPEN AFTER SENDING RESPONSE
- SUBSEQUENT HTTP MESSAGES BETWEEN SAME CLIENT/SERVER SENT OVER OPEN CONNECTION
- CLIENT SENDS REQUESTS AS SOON AS IT ENCOUNTERS A REFERENCED OBJECT
- AS LITTLE AS ONE RTT FOR ALL THE REFERENCED OBJECTS

# HTTP REQUEST MESSAGE

- TWO TYPES OF HTTP MESSAGES: **REQUEST, RESPONSE**
- **HTTP REQUEST MESSAGE:**

- ASCII (HUMAN-READABLE FORMAT)

request line

(GET, POST,  
HEAD commands)

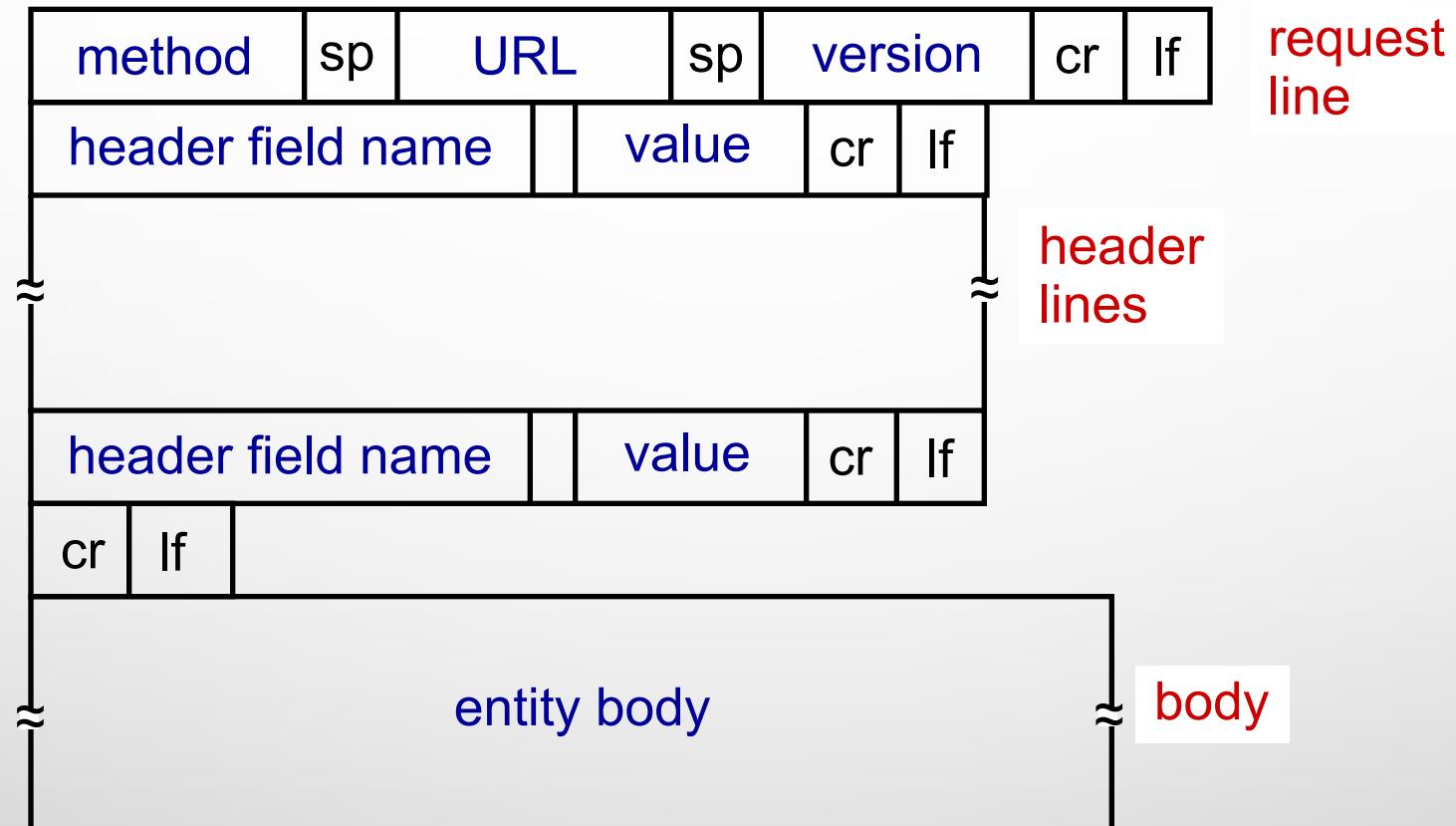
header  
lines

carriage return,  
line feed at start  
of line indicates  
end of header lines

```
GET /index.html HTTP/1.1\r\n
Host: www-net.cs.umass.edu\r\n
User-Agent: Firefox/3.6.10\r\n
Accept: text/html,application/xhtml+xml\r\n
Accept-Language: en-us,en;q=0.5\r\n
Accept-Encoding: gzip,deflate\r\n
Accept-Charset: ISO-8859-1,utf-8;q=0.7\r\n
Keep-Alive: 115\r\n
Connection: keep-alive\r\n
\r\n
```

carriage return character  
line-feed character

# HTTP REQUEST MESSAGE: GENERAL FORMAT



# UPLOADING FORM INPUT

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## POST METHOD:

- WEB PAGE OFTEN INCLUDES FORM INPUT
- INPUT IS UPLOADED TO SERVER IN ENTITY BODY

## URL METHOD:

- USES GET METHOD
- INPUT IS UPLOADED IN URL FIELD OF REQUEST LINE:

`www.google.com/search?q=Hello`

# METHOD TYPES

## HTTP/1.0:

- GET
- POST
- HEAD
  - ASKS SERVER TO LEAVE REQUESTED OBJECT OUT OF RESPONSE

## HTTP/1.1:

- GET, POST, HEAD
- PUT
  - UPLOADS FILE IN ENTITY BODY TO PATH SPECIFIED IN URL FIELD
- DELETE
  - DELETES FILE SPECIFIED IN THE URL FIELD

# HTTP RESPONSE MESSAGE

status line  
(protocol  
status code  
status phrase)

header  
lines

data, e.g.,  
requested  
HTML file

```
HTTP/1.1 200 OK\r\nDate: Sun, 26 Sep 2010 20:09:20 GMT\r\nServer: Apache/2.0.52 (CentOS) \r\nLast-Modified: Tue, 30 Oct 2007 17:00:02  
GMT\r\nETag: "17dc6-a5c-bf716880"\r\nAccept-Ranges: bytes\r\nContent-Length: 2652\r\nKeep-Alive: timeout=10, max=100\r\nConnection: Keep-Alive\r\nContent-Type: text/html; charset=ISO-8859-  
1\r\n\r\ndata data data data data ...
```

# HTTP RESPONSE STATUS CODES

- status code appears in 1st line in server-to-client response message.
- some sample codes:

## **200 OK**

- REQUEST SUCCEEDED, REQUESTED OBJECT LATER IN THIS MSG

## **301 MOVED PERMANENTLY**

- REQUESTED OBJECT MOVED, NEW LOCATION SPECIFIED LATER IN THIS MSG (LOCATION:)

## **400 BAD REQUEST**

- REQUEST MSG NOT UNDERSTOOD BY SERVER

## **404 NOT FOUND**

- REQUESTED DOCUMENT NOT FOUND ON THIS SERVER

## **505 HTTP VERSION NOT SUPPORTED**

# TRYING OUT HTTP (CLIENT SIDE) FOR YOURSELF

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## I. TELNET TO YOUR FAVORITE WEB SERVER:

```
telnet daemon.bradley.edu 80
```

opens TCP connection to port 80  
(default HTTP server port)  
at daemon.bradley.edu.  
anything typed in will be sent  
to port 80 at daemon.bradley.edu

## 2. type in a GET HTTP request:

```
GET /index.html HTTP/1.1  
Host: daemon.bradley.edu
```

by typing this in (hit carriage return twice), you send this minimal (but complete) GET request to HTTP server

## 3. look at response message sent by HTTP server!

(or use Wireshark to look at captured HTTP request/response)

# USER-SERVER STATE: COOKIES

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MANY WEB SITES USE  
COOKIES

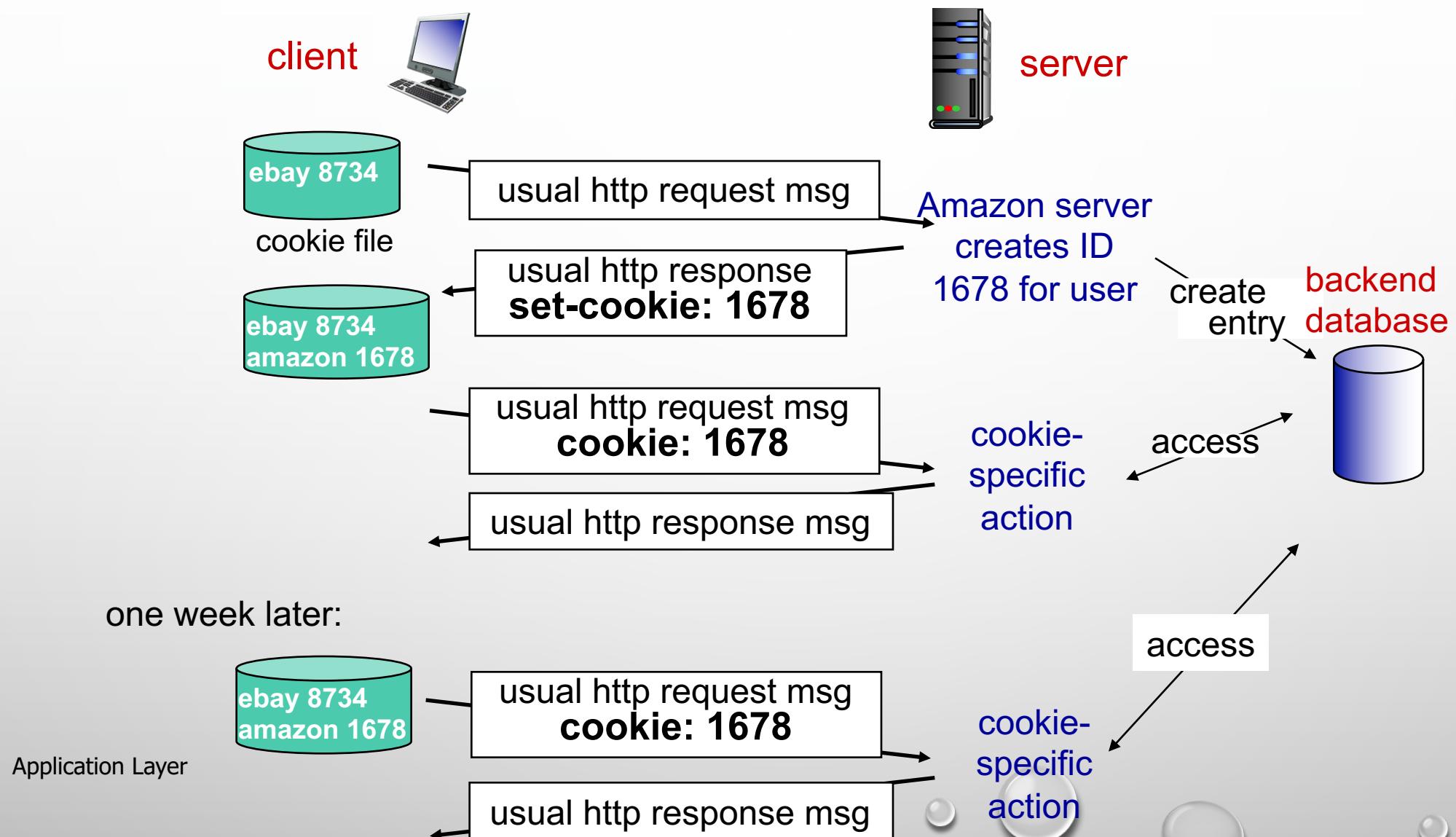
**FOUR COMPONENTS:**

- 1) COOKIE HEADER LINE OF HTTP RESPONSE MESSAGE
- 2) COOKIE HEADER LINE IN NEXT HTTP REQUEST MESSAGE
- 3) COOKIE FILE KEPT ON USER'S HOST, MANAGED BY USER'S BROWSER
- 4) BACK-END DATABASE AT WEB SITE

**EXAMPLE:**

- SUSAN ALWAYS ACCESS INTERNET FROM PC
- VISITS SPECIFIC E-COMMERCE SITE FOR FIRST TIME
- WHEN INITIAL HTTP REQUEST ARRIVES AT SITE, SITE CREATES:
  - UNIQUE ID
  - ENTRY IN BACKEND DATABASE FOR ID

# COOKIES: KEEPING “STATE” (CONT.)



# COOKIES (CONTINUED)

*WHAT COOKIES CAN BE USED FOR:*

- AUTHORIZATION
- SHOPPING CARTS
- RECOMMENDATIONS
- USER SESSION STATE (WEB E-MAIL)

*how to keep “state”:*

- protocol endpoints: maintain state at sender/receiver over multiple transactions
- cookies: http messages carry state

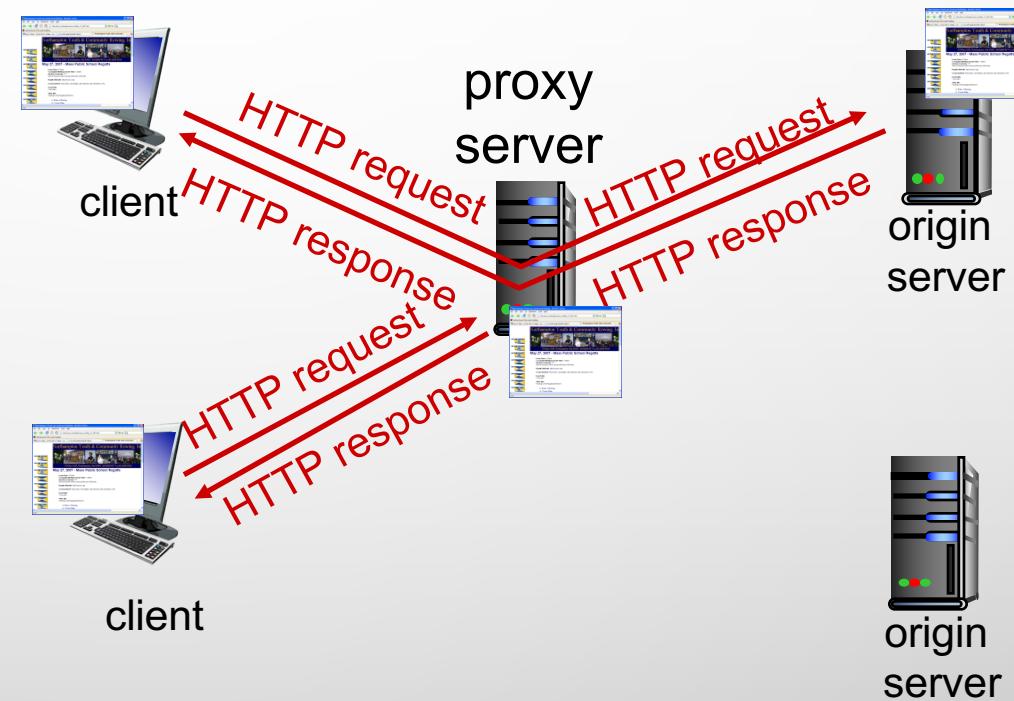
aside  
*cookies and privacy:*

- cookies permit sites to learn a lot about you
- you may supply name and e-mail to sites

# WEB CACHES (PROXY SERVER)

*goal:* satisfy client request without involving origin server

- USER SETS BROWSER:WEB ACCESSES VIA CACHE
- BROWSER SENDS ALL HTTP REQUESTS TO CACHE
  - OBJECT IN CACHE: CACHE RETURNS OBJECT
  - ELSE CACHE REQUESTS OBJECT FROM ORIGIN SERVER, THEN RETURNS OBJECT TO CLIENT



# MORE ABOUT WEB CACHING

- CACHE ACTS AS BOTH CLIENT AND SERVER
  - SERVER FOR ORIGINAL REQUESTING CLIENT
  - CLIENT TO ORIGIN SERVER
- TYPICALLY CACHE IS INSTALLED BY ISP (UNIVERSITY, COMPANY, RESIDENTIAL ISP)

## **WHY WEB CACHING?**

- REDUCE RESPONSE TIME FOR CLIENT REQUEST
- REDUCE TRAFFIC ON AN INSTITUTION'S ACCESS LINK
- INTERNET DENSE WITH CACHES: ENABLES "POOR" CONTENT PROVIDERS TO EFFECTIVELY DELIVER CONTENT (SO TOO DOES P2P FILE SHARING)

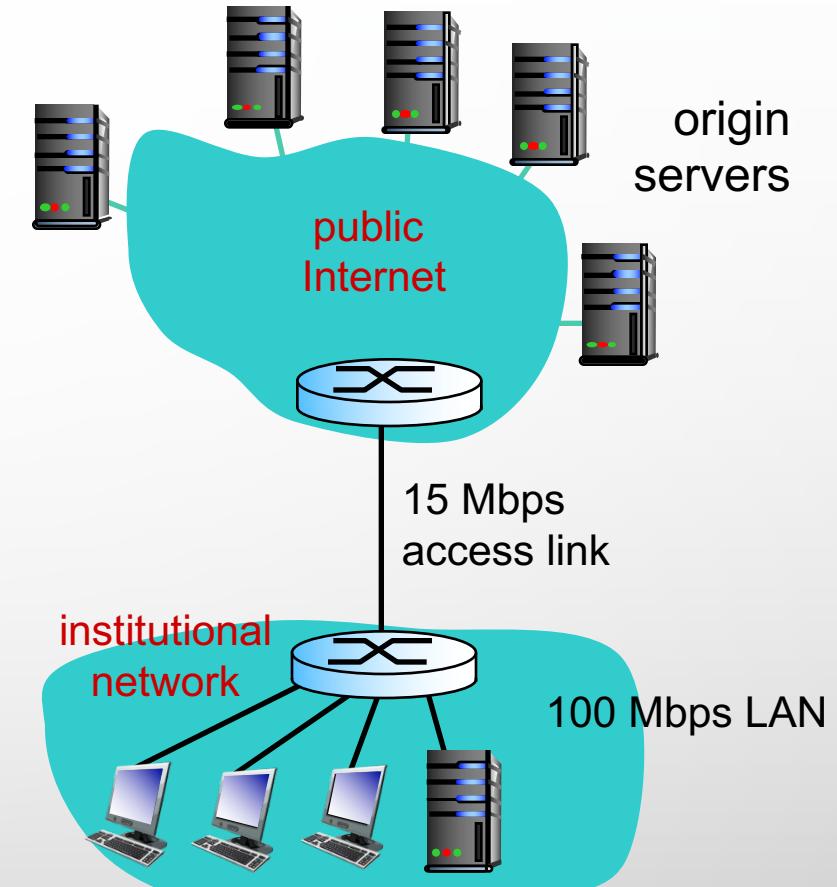
# CACHING EXAMPLE:

## assumptions:

- avg object size: 1 Mbits
- avg request rate from browsers to origin servers: 15/sec
- avg data rate to browsers: 15 Mbps
- RTT from institutional router to any origin server: 2 sec
- access link rate: 15 Mbps

## consequences:

- LAN utilization: 15%
- access link utilization = 100% *problem!*
- total delay = Internet delay + access delay + LAN delay  
= 2 sec + minutes + usecs



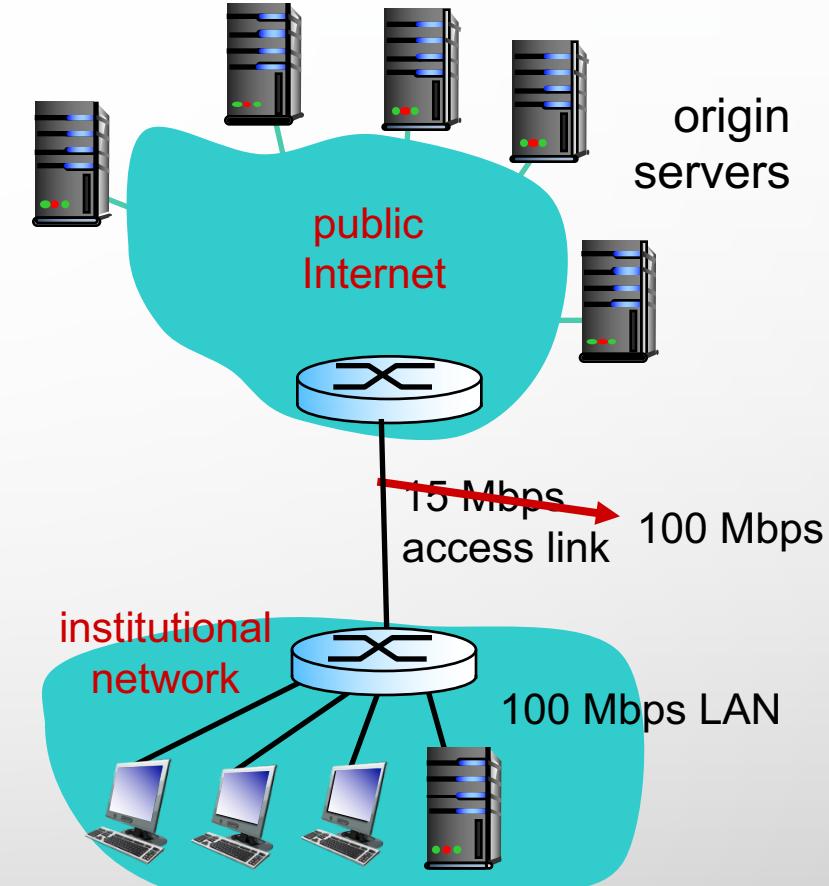
# CACHING EXAMPLE: FATTER ACCESS LINK

## *assumptions:*

- avg object size: 1 Mbits
- avg request rate from browsers to origin servers: 15 requests/sec
- avg data rate to browsers: 15 Mbps
- RTT from institutional router to any origin server: 2 sec
- access link rate: ~~15 Mbps~~ → 100 Mbps

## *consequences:*

- LAN utilization: 15%
- access link utilization = ~~100%~~ → 15%
- total delay = Internet delay + access delay + LAN delay  
= 2 sec + ~~minutes~~ + usecs  
→ msecs



# CACHING EXAMPLE: INSTALL LOCAL CACHE

## *assumptions:*

- avg object size: 1 Mbits
- avg request rate from browsers to origin servers: 15/sec
- avg data rate to browsers: 15 Mbps
- RTT from institutional router to any origin server: 2 sec
- access link rate: 15 Mbps

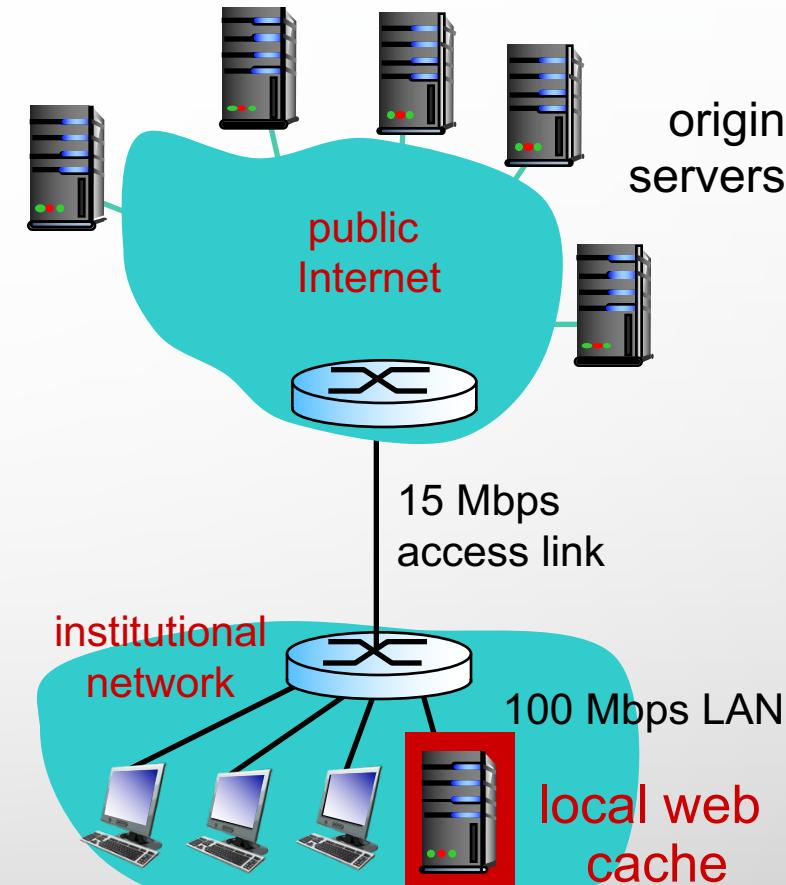
## *consequences:*

- LAN utilization: 15%
- access link utilization = ?? %
- total delay = Internet delay + access delay + LAN delay  
= ??

*How to compute link utilization, delay?*

Application Layer

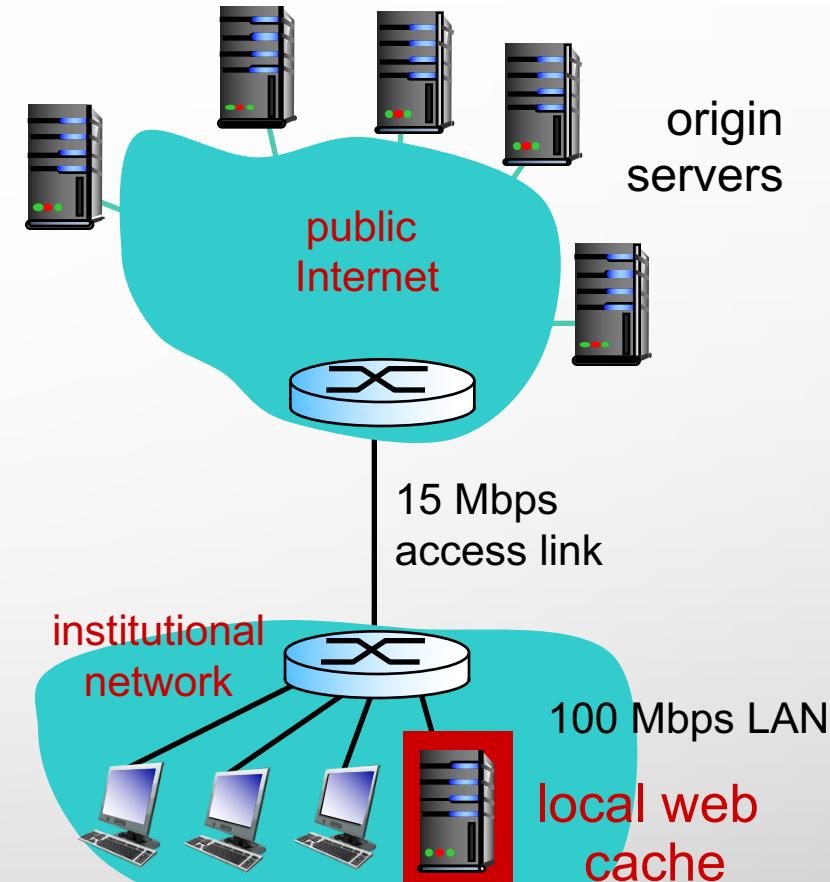
*Cost:* web cache (cheap!)



# CACHING EXAMPLE: INSTALL LOCAL CACHE

## CALCULATING ACCESS LINK UTILIZATION, DELAY WITH CACHE:

- SUPPOSE CACHE HIT RATE IS 0.4
  - 40% REQUESTS SATISFIED AT CACHE,  
60% REQUESTS SATISFIED AT ORIGIN
- access link utilization:
  - 60% of requests use access link
- data rate to browsers over access link  
 $= 0.6 * 15 \text{ Mbps} = 9 \text{ Mbps}$ 
  - utilization =  $9/15 = 0.6$
- total delay
  - 10 msec to access the local cache
  - $= 0.6 * (\text{delay from origin servers}) + 0.4 * (\text{delay when satisfied at cache})$
  - $= 0.6 (2.01) + 0.4 (0.01) = \sim 1.2 \text{ secs}$
  - less than with 100 Mbps link (and Application Layer cheaper too!)



# CONDITIONAL GET

- **GOAL:** DON'T SEND OBJECT IF CACHE HAS UP-TO-DATE CACHED VERSION
  - NO OBJECT TRANSMISSION DELAY
  - LOWER LINK UTILIZATION
- **CACHE:** SPECIFY DATE OF CACHED COPY IN HTTP REQUEST  
**IF-MODIFIED-SINCE : <DATE>**
- **SERVER:** RESPONSE CONTAINS NO OBJECT IF CACHED COPY IS UP-TO-DATE:  
**HTTP/1.0 304 NOT MODIFIED**

client



server



HTTP request msg  
**If-modified-since: <date>**

HTTP response  
**HTTP/1.0**  
**304 Not Modified**

object  
not  
modified  
before  
<date>

HTTP request msg  
**If-modified-since: <date>**

HTTP response  
**HTTP/1.0 200 OK**  
**<data>**

object  
modified  
after  
<date>