

# **Self-covering, finiteness, fibering over tori**

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# Self-covering manifolds

A manifold  $M$  is (**homotopy**) **self-covering** if it is CAT-isomorphic (**homotopy equivalent**) to a non-trivial covering of itself.

$$M \xrightarrow{h} M' \xrightarrow{q} M$$

Low dimensional self-covering manifolds:

1.  $\dim \leq 2$ :  $S^1$ ,  $\mathbb{T}^2$  and the Klein bottle.
2.  $\dim = 3$

## Theorem (Wang-Yu)

*Let  $M$  be a closed 3-manifold. Then  $M$  is homeomorphic to a nontrivial cover of itself if and only if it is finitely covered by a  $\mathbb{T}^2$ -bundle or a trivial surface bundle over  $S^1$ .*

# Main question: fibering over tori

## Examples.

$A: \mathbb{T}^n \rightarrow \mathbb{T}^n$ ,  $A \in \text{End}(\mathbb{Z}^n)$ ,  $\det A \neq 0$ ,  $\bigcap_{k=1}^{\infty} \text{Im}A^k = 0$ .

More general examples:  $(\mathbb{T}^n \times N, A \times \text{id}_N)$ .

The structure of self-covering manifolds with **abelian**  $\pi_1(M)$ :  
*fiber bundle over  $\mathbb{T}^n$*  or *fiber bundle with fiber  $\mathbb{T}^n$* ?

## Question

Give a self-covering manifold  $M \xrightarrow{h} M' \xrightarrow{q} M$  with abelian  $\pi_1(M)$ , is there a **CAT-bundle projection**  $p: M \rightarrow \mathbb{T}^n$  and  $A \in \text{End}(\mathbb{Z}^n)$  with  $\det A \neq 0$ ,  $\bigcap_{k=1}^{\infty} \text{Im}A^k = 0$  such that

$$\begin{array}{ccccc} M & \xrightarrow{h} & M' & \xrightarrow{q} & M \\ & \searrow p & \downarrow p' & & \downarrow p \\ & & \mathbb{T}^n & \xrightarrow{A} & \mathbb{T}^n \end{array}$$

## Towards an answer to the Question: Step 1 — the base $\mathbb{T}^n$

Given a self-covering  $M \xrightarrow{h} M' \xrightarrow{q} M$ , how to find  $\mathbb{T}^n$ ?

Consider  $h_{\#}: \pi_1(M) \hookrightarrow \pi_1(M)$ , define the residue group

$$G := \bigcap_{k=1}^{\infty} \text{Im } h_{\#}^k$$

### Theorem

$\pi_1(M)/G$  is isomorphic to  $\mathbb{Z}^n$  for some  $n \geq 1$ .

Consequences:

- The quotient map  $\pi_1(M) \rightarrow \mathbb{Z}^n$  induces a map  $p: M \rightarrow \mathbb{T}^n$ .
- The homomorphism  $h_{\#}: \pi_1(M) \hookrightarrow \pi_1(M)$  induces a homomorphism  $A: \mathbb{Z}^n \rightarrow \mathbb{Z}^n$  s.t.  $\det A \neq 0$ ,  $\bigcap_{k=1}^{\infty} \text{Im } A^k = 0$ .

## Towards an answer to the Question: Step 2 – homotopy fiber

From Step 1 we have a map  $p: M \rightarrow \mathbb{T}^n$  with  $\text{Ker } p_{\#} = G$

Let  $\overline{M} \rightarrow M$  be the covering space with  $\pi_1(\overline{M}) = G$ . Then  $\overline{M} \simeq \text{hfb}(p)$ .

If  $p$  is homotopic to a bundle projection, then  $\overline{M}$  is homotopy equivalent to a **finite** CW-complex satisfying **Poincaré duality**.

### Theorem (Key Theorem)

$\overline{M}$  is homotopy equivalent to a **finitely dominated** complex, satisfying **Poincaré duality** of dimension  $m - n$ .

A space  $X$  is **finitely dominated** if there exist a finite CW-complex  $Y$  and maps  $X \xrightarrow{i} Y \xrightarrow{r} X$  such that  $r \circ i \simeq \text{id}_X$ .

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### Theorem (Gottlieb, 1979)

Let  $F \rightarrow E \rightarrow B$  be a fibration with both  $B$  and  $F$  being finitely dominated. Then  $E$  is a Poincaré duality space if and only both  $B$  and  $F$  are.

## Application: a characterization of tori

### Corollary

Let  $M$  be an  $m$ -dimensional closed TOP-manifold with abelian  $\pi_1(M)$ . If there is a self-covering  $M \xrightarrow{h} M' \xrightarrow{q} M$  with residue group  $G = \bigcap_{k=1}^{\infty} \text{Im } h_{\#}^k$ ,  $n = \text{rank}(\pi_1(M)/G)$ . If one of the followings holds

1.  $m - n \leq 1$ ;
2.  $m - n = 2$  and  $|G| > 2$ ;
3.  $m - n = 3$ ,  $G$  is not cyclic and  $G \neq \mathbb{Z} \oplus \mathbb{Z}/2$ .

Then  $M$  is **homeomorphic** to  $\mathbb{T}^m$ .

### Remark

Farrell-Jones (1978): There are **exotic** tori with smooth expanding maps.

## Application: a characterization of tori

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Then  $M$  is **homeomorphic** to  $\mathbb{T}^m$ .

### Proof.

We have a fibration  $\overline{M} \rightarrow M \rightarrow \mathbb{T}^n$  with  $\dim \overline{M} = m - n$ .

If  $m - n \leq 1$ , then  $\overline{M} \simeq *$  or  $S^1$ . Hence  $M \simeq \mathbb{T}^m$ . Topological rigidity  $\Rightarrow M \cong \mathbb{T}^m$ .

□

# Application: 4-dimensional self-covering manifolds

## Theorem

Let  $M$  be a closed TOP 4-manifold with abelian  $\pi_1(M)$ , and  $M$  is homotopy equivalent to a nontrivial cover of itself. Then  $M$  satisfies one of the followings:

1.  $\pi_1(M) = \mathbb{Z}$ ,  $M \cong S^1 \times S^3$  or  $S^1 \tilde{\times} S^3$ ;
2.  $\pi_1(M) = \mathbb{Z} \oplus \mathbb{Z}/2$ ,  $M \cong S^1 \times \mathbb{R}P^3$  or  $S^1 \tilde{\times} \mathbb{R}P^3$ ;
3.  $\pi_1(M) = \mathbb{Z} \oplus \mathbb{Z}/k$  ( $k > 2$ ),  $M \cong S^1 \times L$ ;
4.  $\pi_1(M) = \mathbb{Z}^2$ ,  $M \cong \mathbb{T}^2 \times S^2$ ,  $S^1 \times (S^1 \tilde{\times} S^2)$ ,  $E_1$  or  $E_2$ ;
5.  $\pi_1(M) = \mathbb{Z}^2 \oplus \mathbb{Z}/2$ ,  $M \cong \mathbb{T}^2 \times \mathbb{R}P^2$  or  $PE_1$ ;
6.  $\pi_1(M) = \mathbb{Z}^4$ ,  $M \cong \mathbb{T}^4$ .

# High dimensions: a weaker answer — fibering over $S^1$

## Theorem

Let  $M$  be a closed CAT-manifold of dimension  $m \geq 6$  with free abelian  $\pi_1(M)$ . If there is a self-covering  $M \xrightarrow{h} M' \xrightarrow{q} M$ . Let  $G = \bigcap_{k=1}^{\infty} \text{Im } h_{\#}^k$ . Then for any surjective homomorphism

$$\theta: \pi_1(M) \rightarrow \pi_1(M)/G = \mathbb{Z}^n \twoheadrightarrow \mathbb{Z}$$

there is a CAT-bundle projection  $p: M \rightarrow S^1$  with  $p_{\#} = \theta$ .

## Proof.

There exists a map  $p: M \rightarrow S^1$  with  $p_{\#} = \theta$ . By the Key Theorem, the homotopy fiber of  $p$  is finitely dominated. Then the theorem follows from Farrell's Fibration Theorem.  $\square$

## Remark

If  $\pi_1(M)$  contains torsion, then there are counter-examples.

## Towards an answer to the Question: Step 3 – block bundle

Let  $E$  and  $F$  be CAT-manifolds,  $K$  be a simplicial complex,  $p: E \rightarrow |K|$  a continuous map. Suppose for any simplex  $\sigma \in K$  with faces  $\partial_0\sigma, \dots, \partial_n\sigma$ , there is a CAT-isomorphism

$$\Phi: (\sigma \times F; \partial_0\sigma \times F, \dots, \partial_n\sigma \times F) \rightarrow (p^{-1}(\sigma); p^{-1}(\partial_0\sigma), \dots, p^{-1}(\partial_n\sigma)).$$

Then we call  $p: E \rightarrow |K|$  a CAT **block bundle** with fiber  $F$ .

### Theorem (block bundle theorem)

Let  $M$  be a closed TOP manifold of dimension  $m$  with **free abelian**  $\pi_1(M)$ . If there is a self-covering  $M \xrightarrow{h} M' \xrightarrow{q} M$ . Let  $G = \bigcap_{k=1}^{\infty} \text{Im } h_{\#}^k$  with  $\pi_1(M)/G = \mathbb{Z}^n$ . Then  $p: M \rightarrow \mathbb{T}^n$  is homotopic to a TOP **block bundle** projection if  $m - n \geq 5$ .

## From block bundle to fiber bundle

$A(F)$  = the CAT-automorphism group of  $F$

$\tilde{A}(F)$  = the block CAT-automorphism group of  $F$ .

$\tilde{A}(F)$  is a semi-simplicial group, an  $n$ -simplex in  $\tilde{A}(F)$  is a face-preserving CAT-automorphism

$$\Delta^n \times F \rightarrow \Delta^n \times F$$

The lifting problem

$$\begin{array}{ccc} & BA(F) & \\ \nearrow ? & \downarrow & \\ \mathbb{T}^n & \longrightarrow & \tilde{BA}(F) \end{array}$$

has obstructions  $o_i \in H^i(\mathbb{T}^n; \pi_{i-1}\tilde{A}(F)/A(F))$ .

## Special case 1: low dimensional base

### Theorem

Under the assumption of block bundle theorem. If  $G = 0$  and  $n = 1$  or  $2$ ,  $m \geq 7$ . Then the map  $p: M \rightarrow \mathbb{T}^n$  is homotopic to a TOP bundle projection.

### Proof.

Hatcher's spectral sequence

$$E_{ij}^1 = \pi_j(C(F \times [0, 1]^i)) \Rightarrow \pi_{i+j+1}(\tilde{A}(F)/A(F))$$

where  $C(F) = A(F \times I, F \times 0)$  is the **concordance group** of  $F$ .

If  $G = \pi_1(F) = 0$  and  $\dim F \geq 4$ , then  $C(F)$  is path connected.

Therefore  $\pi_1(\tilde{A}(F)/A(F)) = 0$ ,  $H^2(\mathbb{T}^2; \pi_1(\tilde{A}(F)/A(F))) = 0$ .



## Special case 2: highly connected fiber

### Theorem

Under the assumption of block bundle theorem. If  $G = 0$ ,  $\pi_i(M) = 0$  for  $2 \leq i \leq r$ , where  $m - n \geq r + 4$  and  $n \leq \min\{2r - 1, r + 4\}$ . Then the map  $p: M \rightarrow \mathbb{T}^n$  is homotopic to a TOP bundle projection with  $r$ -connected fiber.

Example. If  $r = 2$ , then  $m - n \geq 6$ ,  $n \leq 3$ .

### Proof.

- The fiber  $F$  is  $r$ -connected,  $\dim F = f \geq r + 4$ .
- For  $j \leq n - 1$ ,  $\pi_j(\widetilde{A}(F)/A(F), \widetilde{A}_\partial(D^f)/A_\partial(D^f)) = 0$
- Alexander's trick  $\Rightarrow \widetilde{A}_\partial(D^f)/A_\partial(D^f) \simeq *$ .
- Therefore  $H^i(\mathbb{T}^n; \pi_{i-1}\widetilde{A}(F)/A(F)) = 0$  for all  $i \leq n$ .

## Special case 3: stabilization

### Theorem

*Under the assumption of block bundle theorem. Let  $s = n(n - 1)/2$ . Then*

$$M \times \mathbb{T}^s \xrightarrow{\text{pr}_1} M \xrightarrow{p} \mathbb{T}^n$$

*is homotopic to a TOP bundle projection.*

### Proof.

A direct consequence of Burghelea-Lashof-Rothenberg. □

# Non-fiber examples

## Theorem

For any  $n \geq 4$ ,  $i = 2, 3$ , there exists a closed TOP manifold  $M$  such that

1.  $M \simeq \mathbb{T}^n \times S^i$  (hence  $M$  is *homotopy self-covering*);
2.  $M$  is *not* a TOP bundle over  $\mathbb{T}^n$ .

If  $n \geq 8$ ,  $M$  is a smooth manifold.

## Proof.

If  $p: M \rightarrow \mathbb{T}^n$  is a fiber bundle, then the fiber is  $S^i$ , with structure group  $\text{Homeo}(S^i) \simeq O(i+1)$ , hence is the sphere bundle of a rank  $i+1$  vector bundle. Then  $TM = \zeta \oplus p^* T\mathbb{T}^n$ ,  $p_2(M) = 0$ .

Starting from a degree 1 normal map  $f: N \rightarrow \mathbb{T}^n$  with non-trivial surgery obstruction. For  $f \times \text{id}: N \times S^i \rightarrow \mathbb{T}^n \times S^i$  the surgery obstruction is trivial, we get  $M \simeq \mathbb{T}^n \times S^i$  with  $p_2(M) \neq 0$  □

# Non-abelian fundamental group: fibering problem

A self-covering manifold  $M \xrightarrow{h} M' \xrightarrow{q} M$  with non-abelian  $\pi_1$

## Question

Is there a bundle projection  $p: M \rightarrow B$  such that

$$\begin{array}{ccccc} M & \xrightarrow{h} & M' & \xrightarrow{q} & M \\ & \searrow p & \downarrow p' & & \downarrow p \\ & & B & \xrightarrow{\psi} & B \end{array}$$

where  $B$  is a closed aspherical manifold,  $\psi: B \rightarrow B$  a covering map such that  $\bigcap_{k=1}^{\infty} \text{Im } \psi_{\#}^k = 0$ .

Define the residue group  $G = \bigcap_{k=1}^{\infty} \text{Im } h_{\#}^k$ , then

$$\pi_1(\text{hfib}(p)) = G = \text{Ker } p_{\#}$$

Necessary conditions:

1.  $G$  is a normal subgroup of  $\pi_1(M)$ ;
2.  $G$  is finitely presented.

## Theorem

Let  $BS(2, 3) = \langle a, t \mid ta^2t^{-1} = a^3 \rangle$ . Then for each  $m \geq 5$ , there exists a smooth closed  $m$ -dimensional manifold  $M$  with  $\pi_1(M) = BS(2, 3)$ , such that there is a 5-fold covering map  $q: M \rightarrow M$ , with  $G = \cap_{k=1}^{\infty} \text{Im } q_{\#}^k$ . The followings hold

1.  $G$  is *not a normal subgroup* of  $\pi_1(M)$ ;
2.  $G$  is isomorphic to  $F_{\infty}$ , hence *not finitely generated*.

## From CW-complexes to manifolds

- $X = K(BS(2, 3), 1)$  a finite 2-dimensional complex
- there is a 5-fold covering  $X' \rightarrow X$  such that  
 $\pi_1(X') = \langle a^5, t \rangle < BS(2, 3)$
- there is a homeomorphism  $h: X \rightarrow X'$  with  $h_{\#}(a) = a^{-5}$ ,  
 $h_{\#}(t) = t$
- $G = \bigcap_{k=1}^{\infty} \text{Im } h_{\#}^k \cong F_{\infty}$  is not a normal subgroup of  $BS(2, 3)$

### Theorem

Let  $X$  be a finite 2-dimensional CW complex,  $X'$  be a  $k$ -fold covering of  $X$ . If there is a homeomorphism  $h: X \rightarrow X'$ . Then there exists a compact smooth hypersurface  $M$  in  $\mathbb{R}^6$  with  $\pi_1(M) = \pi_1(X)$ . Let  $M'$  be the  $k$ -fold covering of  $M$  with  $\pi_1(M') = \pi_1(X')$ , there is a diffeomorphism  $\varphi: M \rightarrow M'$  such that  $h_{\#} = \varphi_{\#}$ .

## Finiteness: homotopy

Given a map  $p: M \rightarrow \mathbb{T}^n$ , how to show that  $\text{hfib}(p) \simeq \overline{M}$  is finitely dominated?

### Theorem (Wall)

Let  $X$  be a CW-complex with finitely presented  $\pi_1(X)$  and  $\Lambda = \mathbb{Z}[\pi_1(X)]$  a noetherian ring. Then  $X$  is finitely dominated if and only if  $H_i(X; \Lambda)$  is a finite  $\Lambda$ -module for all  $i$  and the cohomological dimension of  $X$  is finite.

## Finiteness: algebra

We need to show that  $H_i(\overline{M}; \mathbb{Z}[G]) = H_i(\tilde{M}; \mathbb{Z})$  is a finite  $\mathbb{Z}[G]$ -module.

By construction  $H_i(\overline{M}; \mathbb{Z}[G]) = H_i(\tilde{M}; \mathbb{Z})$  is a finite  $\mathbb{Z}[\pi_1(M)]$ -module, with  $\mathbb{Z}[\pi_1(M)] = \mathbb{Z}[G][\mathbb{Z}^n]$

### Theorem

Let  $R$  be a commutative noetherian ring,  $S = R[t_1^{\pm 1}, \dots, t_n^{\pm 1}]$ .

Assume there is a ring monomorphism  $\phi: S \rightarrow S$  with

$\phi(R) = R$ , and  $\phi(t_j) = g_j \prod_{i=1}^n t_i^{a_{ij}}$ , where  $g_j \in R^\times$  and

$A = (a_{ij})_{n \times n}$  satisfies  $\det A \neq 0$ ,  $\bigcap_{k=1}^{\infty} \text{Im } A^k = 0$ . If  $\mathfrak{M}$  is a **finite  $S$ -module** and there is a  $\phi$ -twisted  $S$ -module isomorphism

$\eta: \mathfrak{M} \rightarrow \mathfrak{M}$ , i.e.,  $\eta(sx) = \phi(s)\eta(x)$ , then  $\mathfrak{M}$  is a **finite  $R$ -module**.

*Thank You*