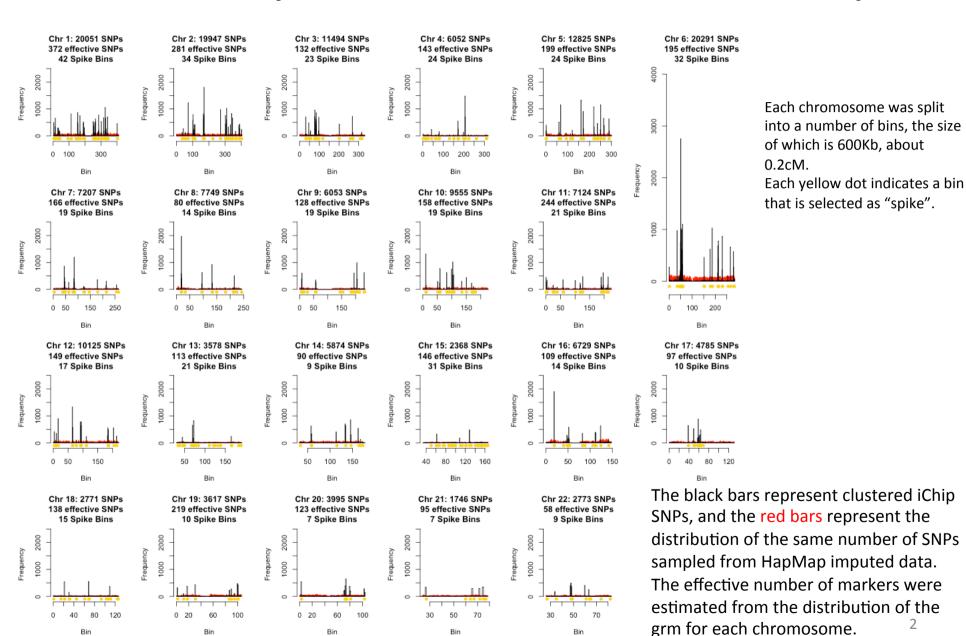
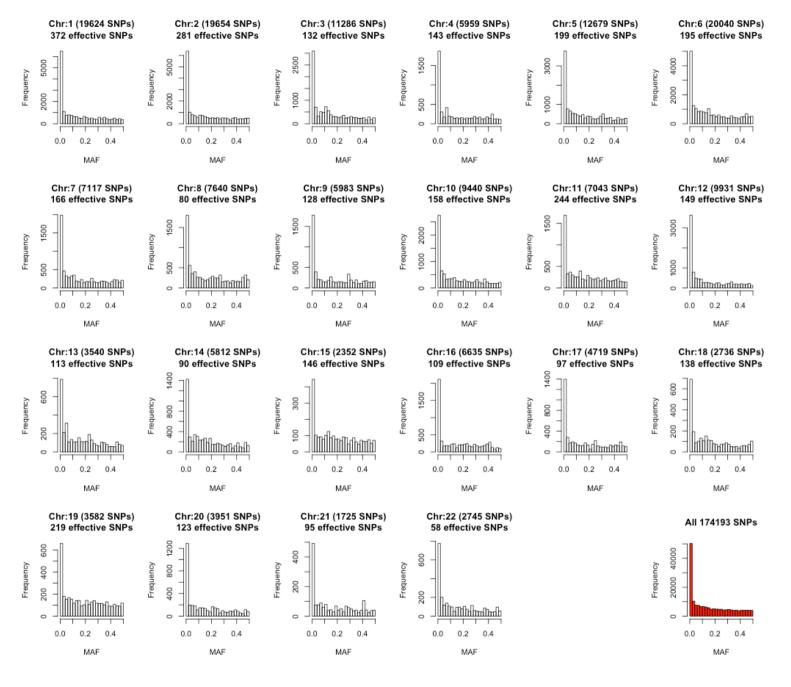
# Inflammatory Bowel Disease (IBD) Immunochip QC steps

Publication: Estimation and partitioning of (co)heritability of inflammatory bowel disease from GWAS and immunochip data. Chen, et al, *Hum Mol Genet*, 2014, 17:4710-4720

# Bird's eye view for IBD immunochip





## MAF distribution

The MAF spectrums resemble each other over chromosomes.

The effective number of markers were estimated from the empirical distribution of each chromosome's GRM, N(-1/Ne, 1/sqrt(Me)).

Due to highly LD between the markers, Me is small on iChip.

# QC Stage 1 (by IBD consortium)

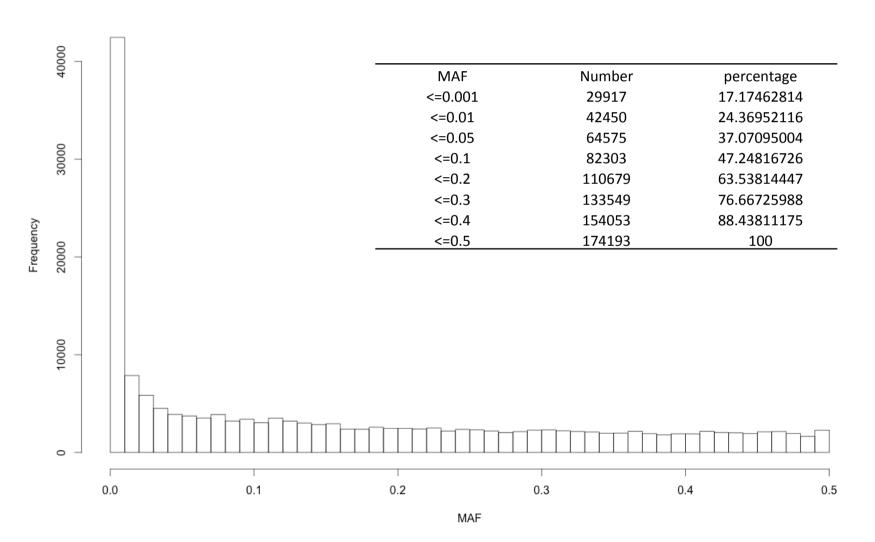
- Stage 1 QC has already been conducted by the IBD consortium
- Removed 2471 related individuals (IBD>0.4, including duplicated ones. We will revisit, and see GRM section for details)
- Removed 12433 variants failed heterogeneous frequency test in 2+ batches
- Removed 16886 variants that are not in 1KG phase 2 (We put them back)
- Blanked out variants failed HWE test in only one batch
- Blanked out variants failed heterogeneous frequency test in only one batch
- We further removed individuals whose affection statues are unknown (1178 individuals)
- After stage 1 QC, it yields 33,306 cases, 28,248 controls, and 174193 SNPs.

# QC Stage 2: in-house QC

- MAF
- HWE test with Chi-sq test (plink failed to implement Fisher's exact test for this data)
- Inbreeding
- Missing rate

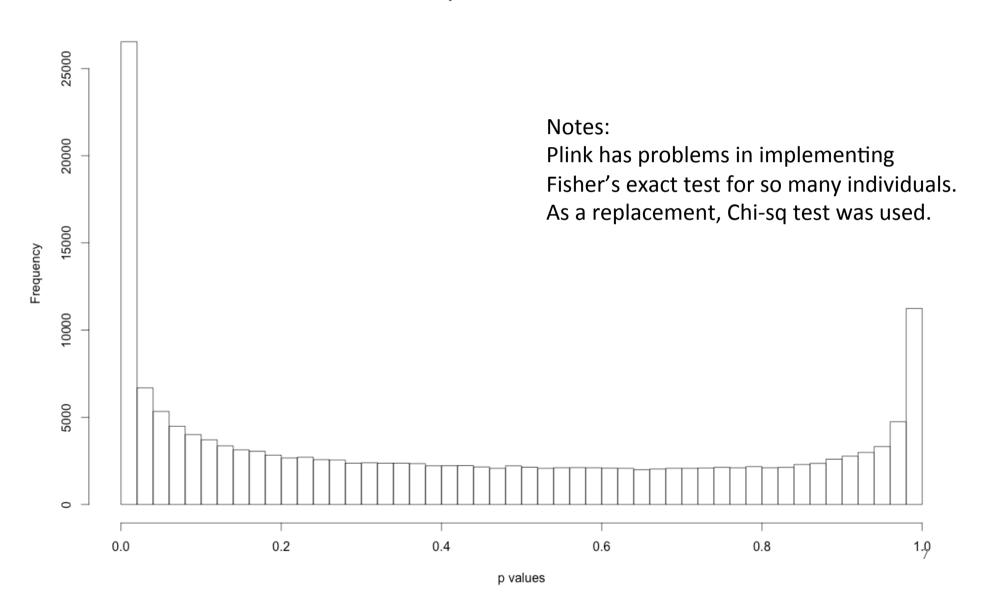
# MAF

## MAF for 174193 SNPs

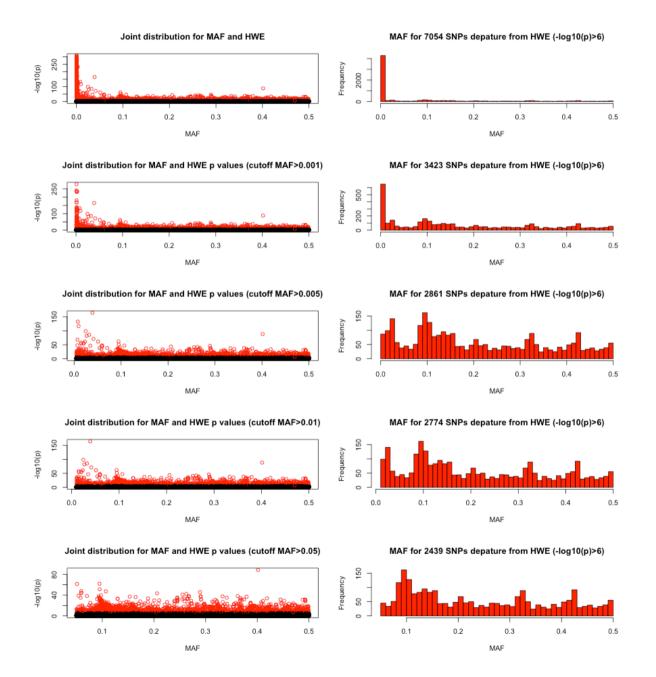


# **HWE**

## HWE p values for 174193 SNPs

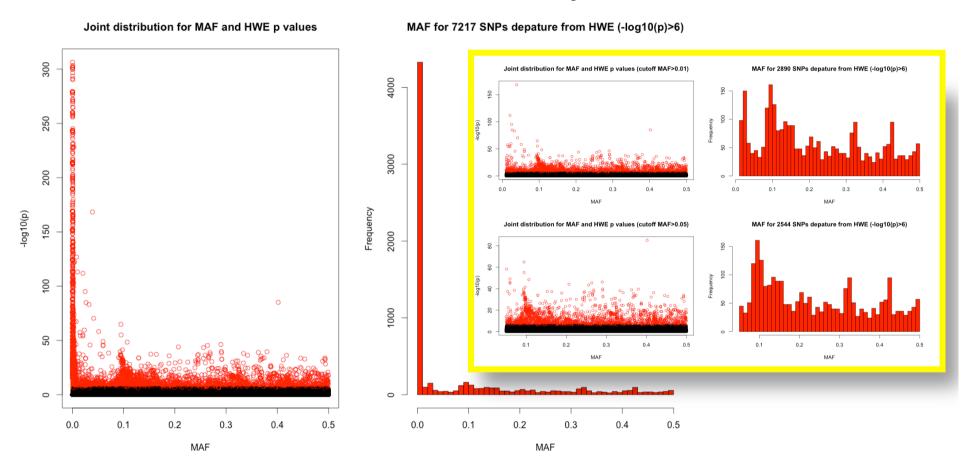


## Joint distribution for MAF and HWE p-values



Joint distribution for MAF and HWE p-values, the red spots are the ones that had p-values greater than 6 after taking – log10(p). Eliminating low MAF, <=0.005, made the HWE p-values evenly distributed. Unexpected high homozygosity caused the low p-values, especially for rare variants.

# MAF and HWE pvalues

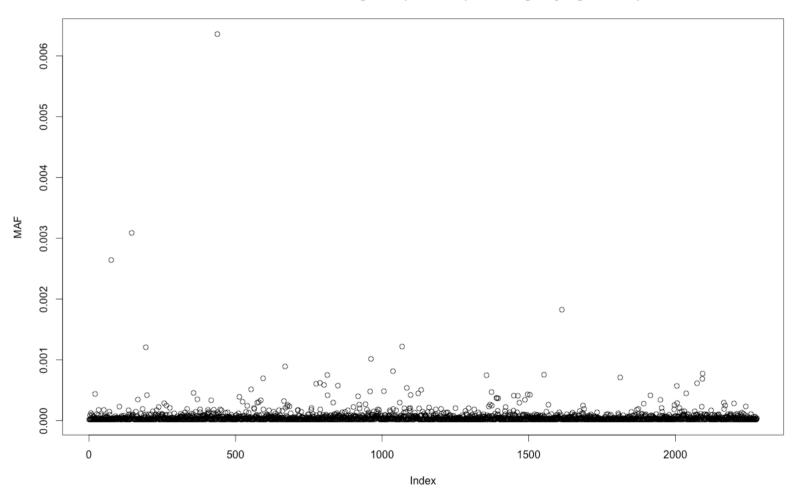


In IBD iChip data, a lot of extremely low p-value for HWE were ascribed to low frequent SNPs.

The next two slides investigate why so many low frequent SNPs showed such low p-values.

# MAF for SNPs that had pvalue=0 for HWE test

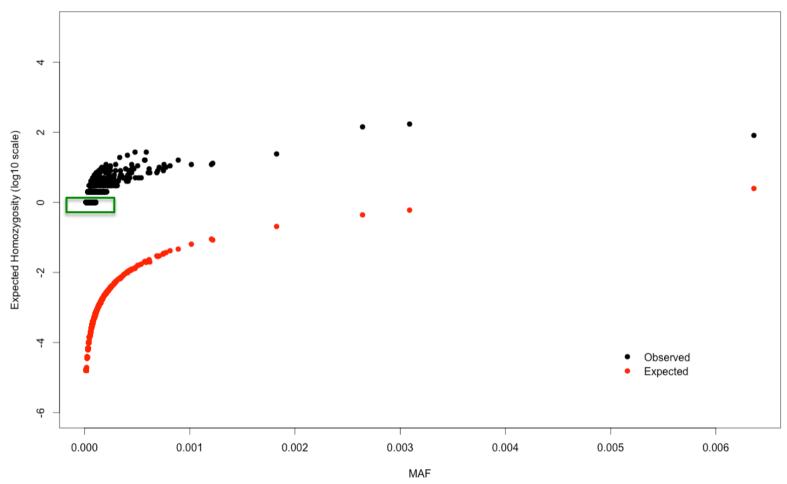
MAF for 2278 SNPs having HWE pvalue=0 (something very significant~)



Extracted SNPs had extremely significant p-values, which were represented as "0" in the plink HWE output. For these 2278 found SNPs, their MAF distribution were illustrated.

# Expected and observed homozygosity

Joint distribution for MAF and homozygosity for 2278 SNPs

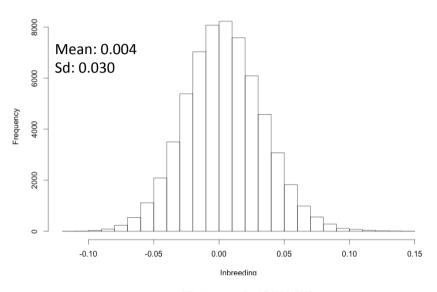


For these extracted 2278 SNPs, their observed (black) and expected (red) homozygosity were compared. Expected homozygosity was calculated: MAF^2\*(non-missing sample size).

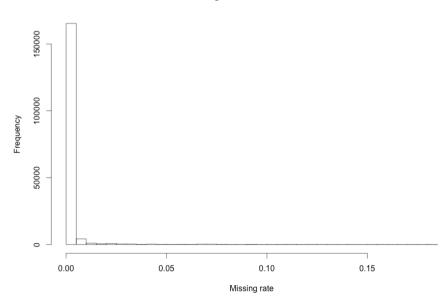
As a matter of fact, at least one homozygosity (in green box) were detected for all these SNPs. To scale up the difference, log10 transformation was taken for homozygosity. Notes: for MAF=0, log10 goes negative infinite. Too many – one seems too many for low frequency ones – homozygosity brought about absurd high p-values for HWE.

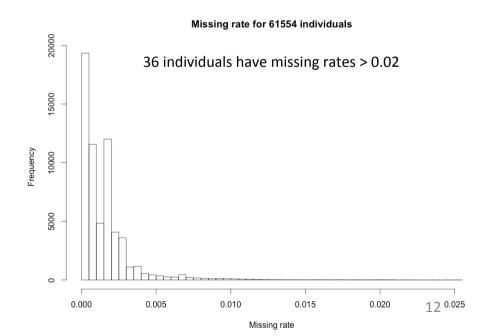
# Inbreeding, missing rates

#### Inbreeding coefficients for 61554 Individuals



#### Missing rate for 174193 SNPs





# QC2 criteria

- MAF>0.001 (excluded 29971 SNPs)
- HWE>10E-6 (excluded 14787 SNPs)
- Missing rate per person<0.02 (excluded 36 Individuals)
- It ends up with 61518 individuals, 140853 SNPs.

- MAF>0.01 (excluded 42447 SNPs)
- HWE>10E-6 (excluded 14787 SNPs)
- Missing rate per person<0.02 (excluded 36 Individuals)
- It ends up with 61518 individuals, 128972 SNPs.

# Two methods for calculating effective number of markers

Method I: simulation methods
 After QC2, we conducted simulation as below sample 5000 individuals

1 randomly assign 0 or 1 to each individual

2 conduct GWAS and calculate Chisq statistic for each marker

3 sum Chisq statistic, denoted as C\_S

Repeat 1-3 for 1000 rounds of simulation, and calculate var(C\_S)

- M\_e=M\*[2M/var(C\_S)].
- M\_e=2822, about 2% of the total markers.
- Method II: GRM Methods
  - 1 Calculate sampling variance for lower off-diagonal elements.
  - 2 the reciprocal of that is Me.
- See Supplementary notes in Chen G-B, Front Genet 5:107 for details.

# **GRM** stats

1,892,262,921 relatedness scores were generated over 61518 individuals (Thank Hong). The correlation between the two GRMs, estimated under MAF cutoff on 0.01 and 0.001, is 0.994.

- Given MAF cutoff on 0.01 (denoted as GRM\_01).
- GRM was generated on 128972 SNPs.
- 90109 pairs have relatedness greater than 0.1
- Assuming the theoretical distribution for lower GRM triangle is N(1/(n-1), 1/ sqrt(m)), the estimated distribution for GRM\_001 is N(1.628e-5, 0.021), which gives n\_hat=61425 and m\_hat=2222.

"n" is sample size, and "m" is the number of markers if assume sampling variance only.

- Given MAF cutoff on 0.001 (denoted as GRM\_001).
- GRM was on 140853 SNPs.
- 176773 pairs have relatedness greater than 0.1
- The estimated distribution for GRM\_01 is N(1.627e-5, 0.0198), which gives n\_hat=61462 and m\_hat=2551.

Given MAF cutoff on 0.05 (denoted as GRM 05).

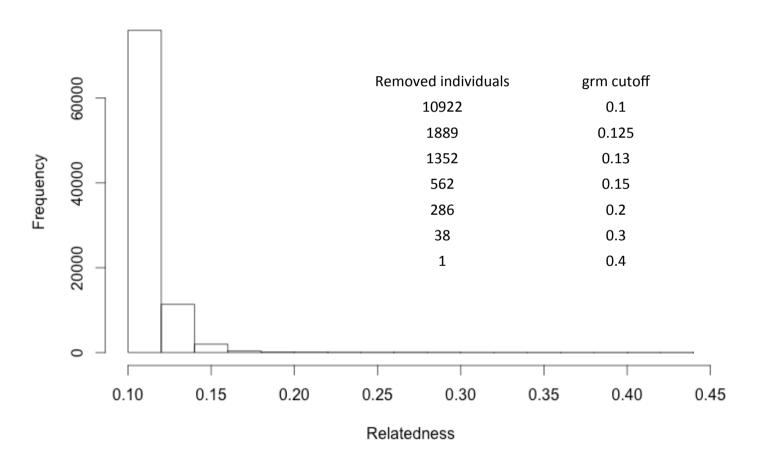
GRM was estimated over 107185 SNPs N(1.627e-05, 0.00239)

N\_hat=61462 and m\_hat=1748

## MAF cutoff on 0.01

# Distribution of the GRM\_001 at its right tail (grm > 0.1)

## Relatedness distribution (grm>0.1), 90109 pairs

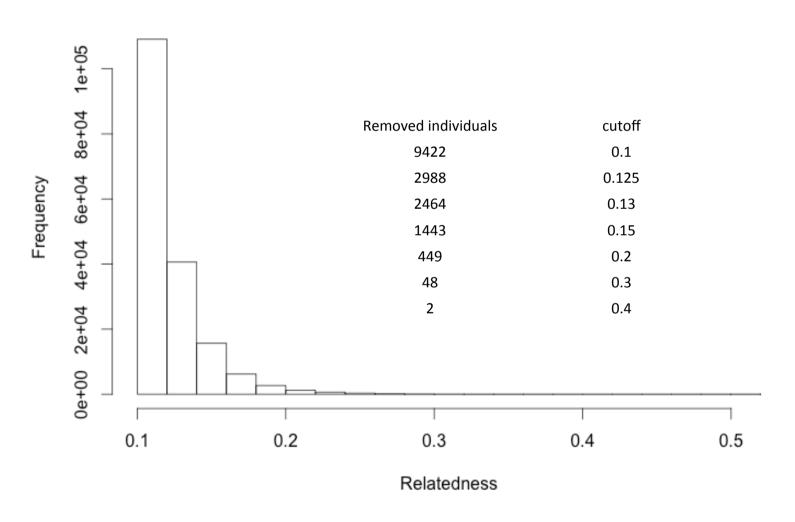


The biggest relatedness was 0.504.

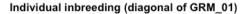
## MAF cutoff on 0.001

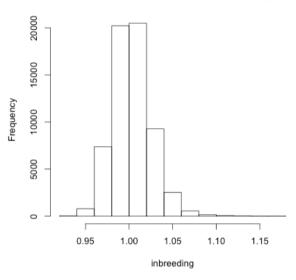
# Distribution of the GRM\_01 at its right tail (grm > 0.1)

## Relatedness distribution (grm>0.1), 176773 pairs

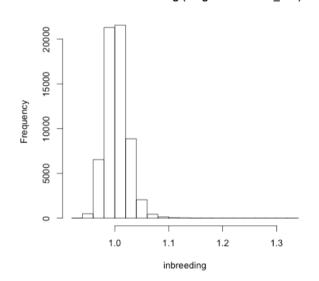


# Inbreeding (diagonal in both GRMs)

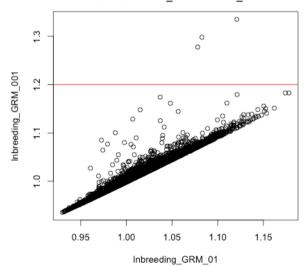




#### Individual inbreeding (diagonal of GRM 001)



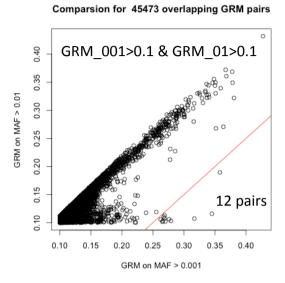
## Comparison for the diagonals between GRM\_01 and GRM\_001

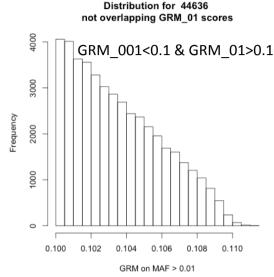


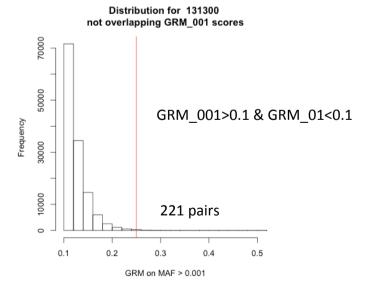
Inbreeding here refers to the diagonal elements extracted from two GRMs. The top left and right illustrate the diagonals in GRM\_01 and GRM\_001, respectively. In GRM\_001 high inbreeding, as high as 1.3 or so, individuals show up.

Among the three individuals that have GRM\_001 greater than 1.2 two are Swedish samples (IG3199901 and IG3186478), whose relatedness is 0.5, and another one is Iranian (IS3072563). All three individuals have nearly consecutive homozygotes at very low MAF loci.

## Further examination for discrepancy between two GRM sets







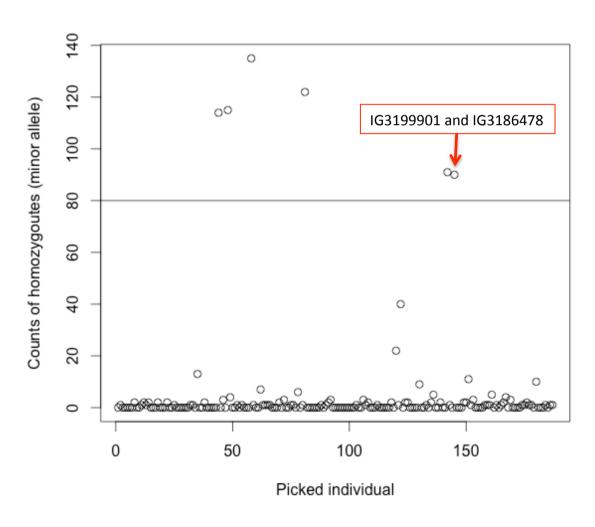
- For these two GRM sets, I split them into three schemes:
  - 1) GRM\_001>0.1 & GRM\_01>0.1 (top left)
  - 2) GRM\_001>0.1 & GRM\_01<0.1 (down left)
  - 3) GRM\_001<0.1 & GRM\_01>0.1 (top right)
- Scheme 1: It yielded 45473 pairs, and of them 12 were found having **GRM\_001-GRM\_01>0.15** (top left).
- Scheme 2: we further picked up GRM\_001>0.25 so that also abs(GRM\_001-GRM\_01)>0.15, and it picked up another 221 pairs (bottom left). Those pairs might reflect that the weight were drawn by the low allele frequency spectrum ranged from 0.001 to 0.01.
- These 233 pairs were ascribed to 187 individuals. And among them, the most suspected individuals were IG3199901 and IG3186478, both of who were genotyped in Unaffected\_tbalschun\_icbatch3.
   Between them the relatedness was 0.50, and both independently showed many high relatedness, greater than 0.2, with other picked individuals.
- Scheme 3: there were no relatedness scores too big to be worried (top right).
- Notes: GRM\_001 refers to GRM constructed with alleles whose MAF cutoff was 0.001, and GRM\_01\_0.01.

# Find GRM cut-off for iChip

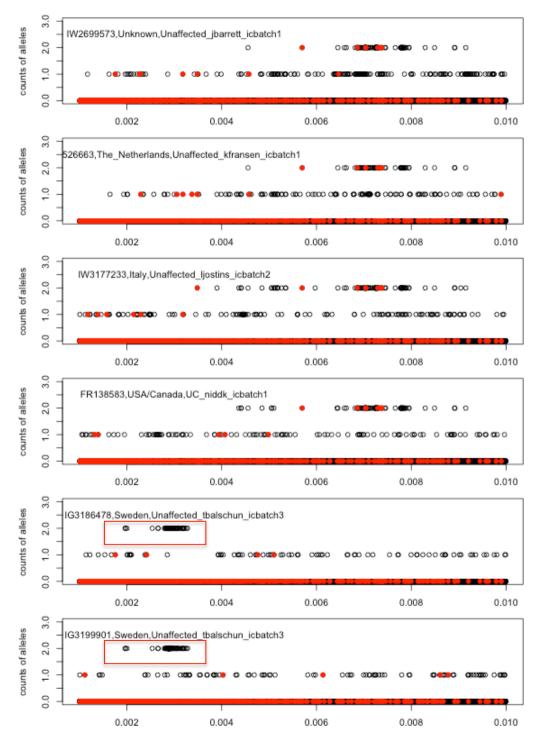
- Due to small number of effective number of markers, grm cut-off is different from what we use for generic chips.
   Me for generic chip is about 30,000
   Me for iChip is about 3,000.
- The equation to find grm cut-off is qnorm(1-1/(n\*(n-1)/2))\*sqrt(1/Me)

n is sample size, Me is effective number. Given 60,000 samples, Me=3000, the cut-off is about 0.12 for iChip data.

# Enriched homozygotes in 11881 rare variants, ranging from 0.001 to 0.01, for these 187 extracted individuals



- The counts of homozygotes for the 187 picked individuals were illustrated for their homozygotes in the allelic spectrum between 0.001 and 0.01.
- Six individuals had more than 80 homozygous were further examined in the next slides.
- IG3199901 and IG3186478 had homozygotes in rare MAF loci (see the next slide).



X axis represents allele frequency, and the Y axis represents counts of the reference alleles at each loci.

For the last two individuals, IG3199901 and IG3186478, whose relatedness was less than 0.1 in GRM\_01, had relatedness in GRM\_001 was 0.5, which was contributed by the about these 90 homozygotes (red squared), as roughly calculated below.

Given in total ~140000 SNPs, the relatedness ascribed to these 90 homozygotes, say the average MAF of them was about 0.003, can be calculated as:  $(2-2*0.003)^2/(2*0.003)*90/140000=0.42$ . It concluded that their relatedness, as high as 0.50, was largely contributed by the low frequency homozygotes.

Once another individual has genotype "1" along these loci, the relatedness with either of these two individuals will be about (2-2\*0.003)\*(1-2\*0.003)/(2\*0.003) \*90/140000=0.21.

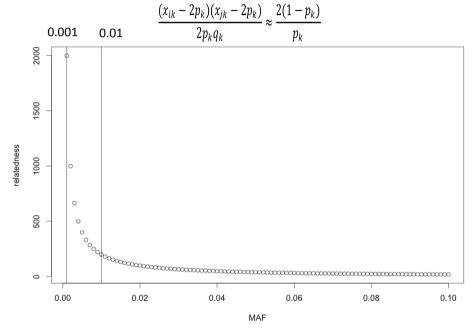
These high homozygotes were really cryptic, if they were not brought about by genotyping. In fact, these homozygotes are quite clustered to each other.

#### Notes:

- 1) for these 11881 low MAF loci, 837, highlighted in red, were not include in 1KG.
- 2) These 90 homozygotes loci, red squared in the last two panels, are located next to each other along **Chromosome**12. Very likely, a rare haplotype, covering these 90 loci, resides at the region.

# Rare variants can generate pseudo relationship

- The weight drawn by rare variants can be substantial especially when both individual have homozygote for the rare allele.
   The figure shows the relatedness score ascribes to a pair of homozygotes (x=2).
- It may generate pseudo relationships, such as full sib/parent-offspring, or half sib, because of a couple of homozygotes.

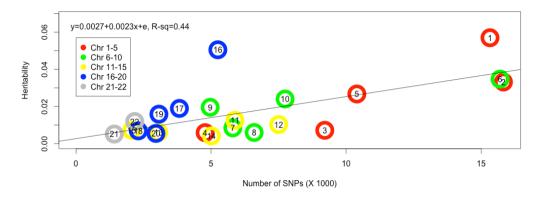


Individual 1	Individual 2	GRM_001	GRM_01	Pseudo relationship
IG3199901	IG3186478			
(90 homozygotes with MAF 0.003)	(90 homozygotes with MAF 0.003)	0.504	<0.1	full sib
IG3199901	FP229832			
(90 homozygotes with MAF 0.003)	(90 heterozygotes with MAF 0.003)	0.252	< 0.1	half sib

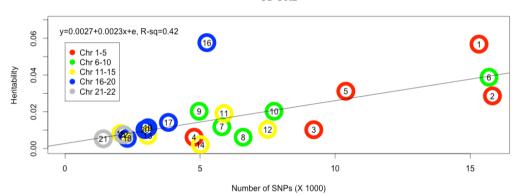
# Phenotypes

- The top 10 PCs were investigated the variance explained for CD and UC, respectively.
- Although 22699 CD cases, 18101 UC cases, and 36099 controls in total, only 19761 CD cases, 14833 UC cases, and 28999 controls had their top 10 PCs recorded.

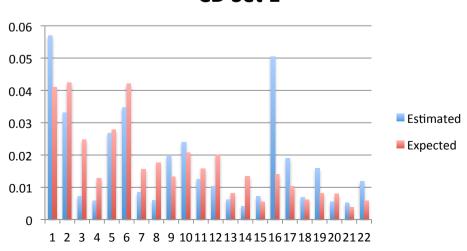
#### CD Set1



#### CD Set2



## CD Set 1



# Joint analysis for CD

The top 10 PCs were fitted as the covariates. 22 chromosomes were fitted altogether.

23404 individuals in set 1. The sum of the heritability was 0.377.

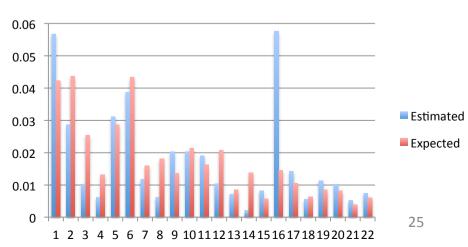
23408 individuals in set 2. The sum of the heritability was 0.389.

Chr16 stood atop in CD (but not UC) probably because of NOD2.

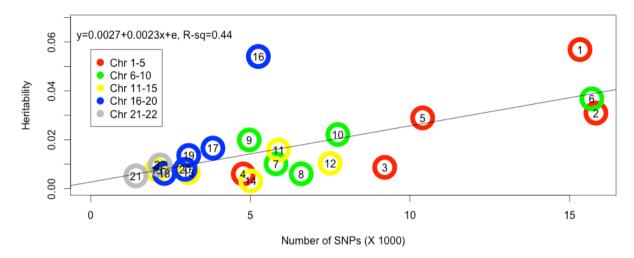
The difference between chromosomal heritability was not significant.

The whole genome gave 0.42.

CD Set 2



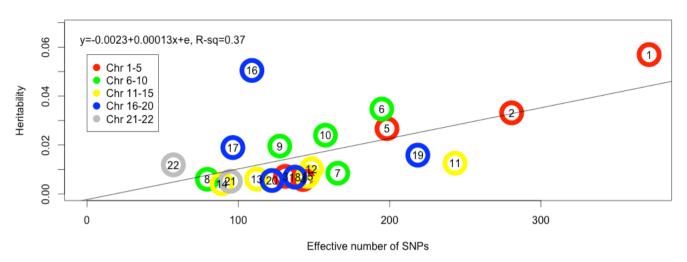
#### Averaged CD Set1 and Set2



## Average Set 1 and Set 2 for CD

As the difference between he estimated chromosomal heritability was not significant, we took the average of the heritability for each chromosome.

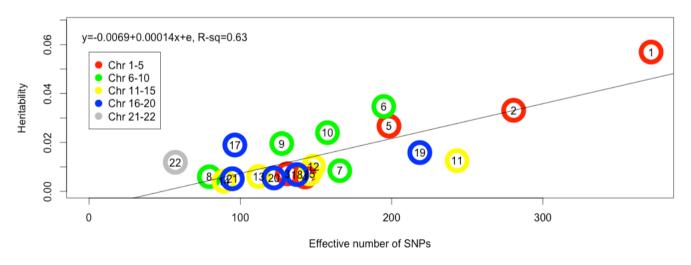
#### CD Set1



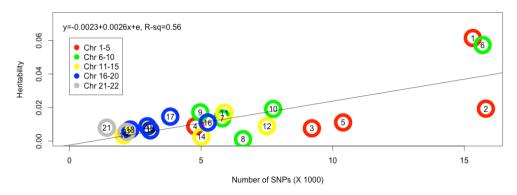
Joint Analysis for CD, regression on effective number of markers

Regression with or without Chr 16 (NOD2)

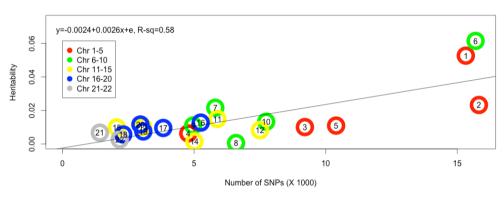
#### CD Set1 (excluded Chr 16)



#### UC Set1



#### UC Set2



UC Set 1

## Joint Analysis for UC

The top 10 PCs were fitted as the covariates. 22 chromosomes were fitted altogether.

21215 individuals in set 1. The sum of the heritability was 0.317.

21307 individuals in set 2. The sum of the heritability was 0.312.

The difference between chromosomal heritability was not significant.

The whole genome grm gave 0.34.



1 2 3 4 5 6 7 8 9 10111213141516171819202122

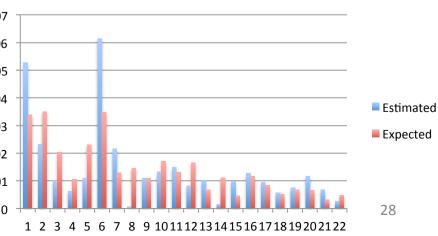
0.03

0.02

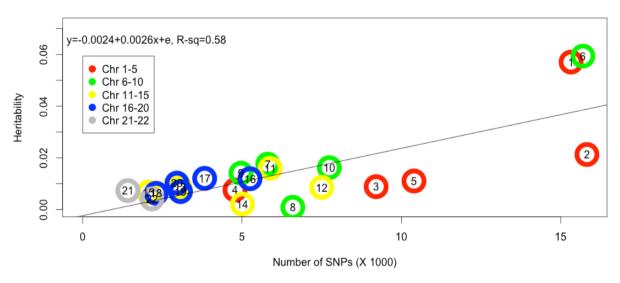
0.01

## 0.07 0.06 0.05 0.04 Estimated 0.03 0.02 0.01



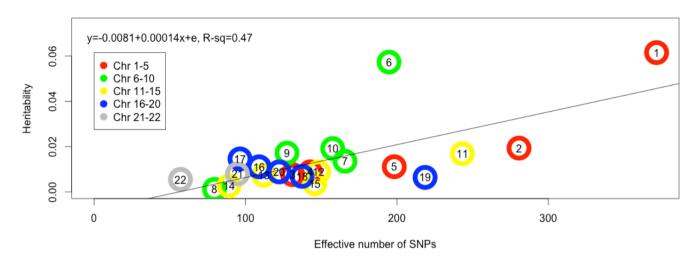


### Averaged UC Set1 and Set2



Joint Analysis for CD, regression on effective number of markers

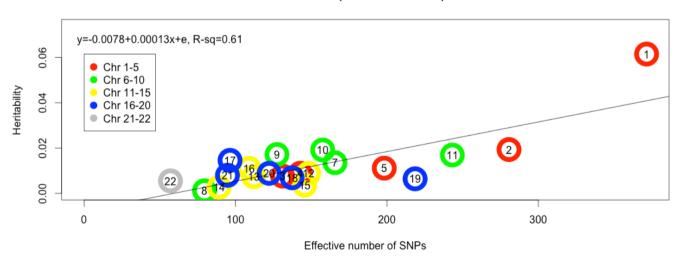
#### UC Set1



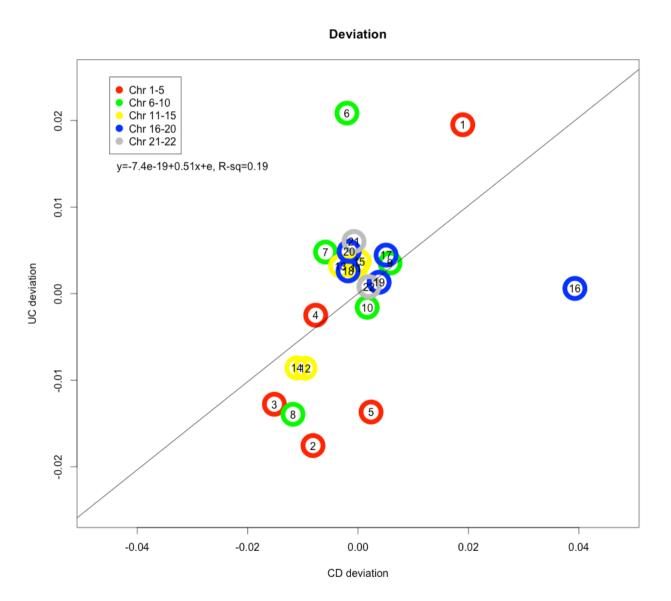
Joint Analysis for UC, regression on effective number of markers

With or without Chr 6.

UC Set1 (excluded Chr 16)



# Correlation between deviations

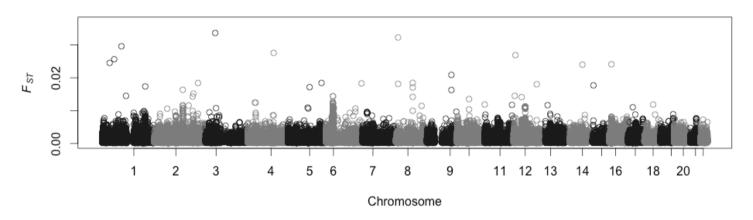


Regressing the mean, over two subsets, of the heritability of each chromosome for UC on that for CD.

The correlation was about 0.43, whereas Hong got 0.4 from the GWAS data.

# **F**ST

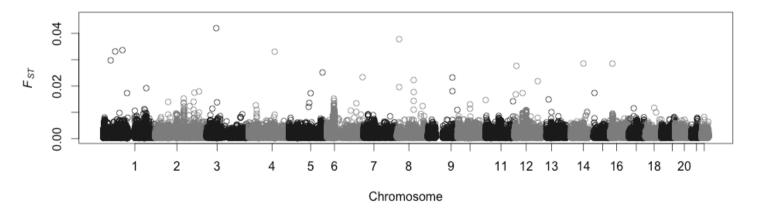
## All individuals



The Fst statistics were calculated for each locus given the data after our inhouse QC, MAF cutoff on 0.001.
140853 SNPs.
61518 individual.

mean of Fst = 0.00139 sd of Fst = 0.00118

## Controls only



28154 controls. mean of Fst=0.00158 sd of Fst=0.00123