# Homework 2

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# Theory

#### Problem 1.1: Convolutional Neural Netoworks

1. Given an input image of dimension  $21 \times 12$ , what will be output dimension after applying a convolution with  $4 \times 5$  kernel, stride of 4, and no padding?

 $5 \times 2$ 

2. Given an input of dimension  $C \times H \times W$  what will be the dimension of the output of a convolutional layer with kernel of size  $K \times K$ , padding P, stride S, dilation D, and F filters. Assume that  $H \geq K$ ,  $W \geq K$ .

Define Padding along height on top  $P_{H1}$ 

Define Padding along height on bottom  $P_{H2}$ 

Define Padding along width on left  $P_{W1}$ 

Define Padding along width on right  $P_{W2}$ 

Define Kernel width  $K_H$ 

Define Kernel height  $K_W$ 

Define Stride horizontal  $S_W$ 

Define Stride vertical  $S_H$ 

Define Batch Count B

Effect of adding padding and applying kernel to dimensions:

$$H_P = P_{H1} + P_{H2} + H$$

$$W_P = P_{W1} + P_{W2} + W$$

$$H_{PK} = H_1 - [D_H(K_H - 1) + 1]$$

$$= P_{H1} + P_{H2} + H - [D_H(K_H - 1) + 1]$$

$$W_{PK} = W_1 - [D_W(K_W - 1) + 1]$$

$$= P_{W1} + P_{W2} + W - [D_W(K_W - 1) + 1]$$

Considering stride to dimensions:

$$H_{PKS} = \left\lfloor \frac{H_P - [D_H(K_H - 1) + 1] + S_H}{S_H} \right\rfloor$$

$$= \left\lfloor \frac{P_{H1} + P_{H2} + H - [D_H(K_H - 1) + 1]}{S_H} \right\rfloor + 1$$

$$W_{PKS} = \left\lfloor \frac{W_P - [D_W(K_W - 1) + 1] + S_W}{S_W} \right\rfloor$$

$$= \left\lfloor \frac{P_{W1} + P_{W2} + W - [D_W(K_W - 1) + 1]}{S_W} \right\rfloor + 1$$

We can make simplifications that I think are implied here:

$$S = S_W = S_H$$
  
 $D = D_W = D_H$   
 $K = K_W = K_H$   
 $B = 1$   
 $P = P_{W1} + P_{W2} = P_{H1} + P_{H2}$ 

Thus the output dimension is:

$$F \times \left( \left\lfloor \frac{2P + H - [D(K-1) + 1]}{S} \right\rfloor + 1 \right) \times \left( \left\lfloor \frac{2P + W - [D(K-1) + 1]}{S} \right\rfloor + 1 \right)$$

- 3. Let's consider an input  $x[n] \in \mathbb{R}^5$ , with  $1 \le n \le 7$ , e.g. it is a length 7 sequence with 5 channels. We consider the convolutional layer  $f_W$  with one filter, with kernel size 3, stride of 2, no dilation, and no padding. The only parameters of the convolutional layer is the weight  $W, W \in \mathbb{R}^{1 \times 5 \times 3}$  and there is no bias and no non-linearity.
  - (a) What is the dimension of the output  $f_W(x)$ ? Provide an expression for the value of elements of the convolutional layer output  $f_W(x)$ . Example answer format here and in the following sub-problems:  $f_W(x) \in \mathbb{R}^{42 \times 42 \times 42}$ ,  $f_W(x)[i,j,k] = 42$ .

$$f_W(x) \in \mathbb{R}^2$$

$$f_W(x)[r] = \sum_{k=1}^5 \sum_{k=1}^3 x[i+2(r-1)][k]W_{k,i}$$

(b) What is the dimension of  $\frac{\partial f_W(x)}{\partial W}$ ? What are its values?

$$\frac{\partial f_W(x)}{\partial W} \in \mathbb{R}^{5 \times (1 \times 5 \times 3)}$$
$$\frac{\partial f_W(x)}{\partial W}[r, c, i, k] = x[i + 2(r - 1)][k]$$

(c) What is the dimension of  $\frac{\partial f_W(x)}{\partial x}$ ? What are its values?

$$\frac{\partial f_W(x)}{\partial x} \in \mathbb{R}^{2 \times (2 \times 7)}$$

$$\frac{\partial f_W(x)}{\partial x} [r, k, i] = \begin{cases} W_{1,k,i-2(r-1)} & \text{if } i - 2(r-1) \in [1, 3] \\ 0 & \text{otherwise} \end{cases}$$

(d) Now, suppose you are given the gradient of the loss  $\ell$  with respect to the output of the convolutional layer  $f_W(x)$ , i.e.  $\frac{\partial \ell}{\partial f_W(x)}$ . What is the dimension of  $\frac{\partial \ell}{\partial W}$ ? Provide its expression. Explain the similarities and differences of this and expression in (a).

$$\frac{\partial \ell}{\partial W} \in \mathbb{R}^{a \times b \times c}$$

$$\left(\frac{\partial \ell}{\partial W}\right) [1, k, i] = \sum_{r=1}^{23232} \left(\frac{\partial \ell}{\partial f_W(x)}\right) [r] x [i + 2(r-1), k]$$

The difference is that this is a dilated convolution. Both the backward and forward pass of the conv. layer apply a convolution but the stride dilates in the backward pass.

4. Show

### Problem 1.2: Recurrent Neural Networks

$$\sigma(z) = \frac{1}{1 + \exp(-z)}.$$

- 1. If you want
- 2. Now

# Problem 1.3: Debugging Loss Curves

1. Why is softmax actually softargmax?