Machine Learning Case Study:

Prediction of Neurodevelopmental Disorders from the Electronic Health Record

June 27, 2020

MMCi Applied Data Science

Matthew Engelhard



Perinatal and Neonatal Factors (# studies)	Results Across Studies	Summary Effect Estimate (95% CI)	
Presentation		122.025	
Abnormal presentation (15)	10-,5↑	1.44 (1.07–1.94)	
Breech (4)		1.81 (1.21–2.71)	
Other perinatal factors			
Cord complications (14)	13−, 1↑	1.50 (1.00–2.24)	
Fetal distress (4)	3−, 1↑	1.52 (1.09–2.12)	
Birth injury or trauma (6)	6-	4.90 (1.41–16.94)	
Twins or multiple birth (10)	7-, 3↑	1.77 (1.23–2.55)	
Maternal hemorrhage (4)	3-, 1↑	2.39 (1.35–4.21)	
Birth weight and size			
Total birth weight (decreased) (15)	12−, 2↑, 1↓		
Low birth weight (<2500 g) (15)	8−, 7↑	1.63 (1.19–2.33)	
Small for gestational age (10)	7-, 3↑	1.35 (1.14–1.61)	
Clinical impression			
Congenital malformation (11)	4−, 7↑	1.80 (1.42–2.82)	
Apgar score			
Low 5-minute Apgar score (8)	6−, 2↑	1.67 (1.24–2.26)	
Neonatal Status			

PEDIATRICS°

OFFICIAL JOURNAL OF THE AMERICAN ACADEMY OF PEDIATRICS

Review Article

Perinatal and Neonatal Risk Factors for Autism: A Comprehensive Meta-analysis

Hannah Gardener, Donna Spiegelman and Stephen L. Buka Pediatrics August 2011, 128 (2) 344-355; DOI: https://doi.org/10.1542/peds.2010-1036 FREE

Nov 2012

Prenatal and Perinatal Risk Factors for Attention-Deficit/Hyperactivity Disorder

Jochen Schmitt, MD, MPH; Marcel Romanos, MD

» Author Affiliations | Article Information

Arch Pediatr Adolesc Med. 2012;166(11):1074-1075. doi:10.1001/archpediatrics.2012.1078

Table. Sample Characteristics and Risk Factors of ADHD in Children and Adolescents

	Sample Characteristics, No. (%)a		Logistic Regression Analysis, OR (95% CI)	
Characteristic/Exposure (Reference for Regression Analyses)	Children With ADHD (n = 660)	Children Without ADHD (n = 12 828)	Bivariable (Unadjusted) Analysis	Multivariable (Adjusted) Analysis ^b
Sex (reference: female)	133 (20.2)	6604 (51.5)	4.20 (3.47-5.10)	4.42 (3.56-5.49)
Age, y, mean (SD)	9.8 (4.3)	11.3 (3.4)	1.08 (1.06-1.11)	1.09 (1.07-1.11)
Socioeconomic position ^c	, ,	, ,	,	, ,
Upper class (reference)	114 (17.4)	3486 (27.3)	1 [Reference]	1 [Reference]
Middle class	325 (49.5)	6087 (47.7)	1.63 (1.31-2.03)	1.57 (1.23-2.00)
Lower class	218 (33.2)	3202 (25.1)	2.08 (1.65-2.62)	2.04 (1.56-2.68)
Maternal gestational diabetes mellitus (reference: absent)	24 (4.1)	256 (2.2) [°]	1.93 (1.26-2.95)	1.91 (1.21-3.01)
Maternal smoking during pregnancy (reference: never)	158 (24.6)	2081 (16.4)	1.66 (1.38-2.00)	1.48 (1.19-1.84)
Maternal alcohol consumption during pregnancy (reference: never)	96 (14.8)	1775 (14.0)	1.07 (0.86-1.34)	1.02 (0.79-1.33)
Perinatal health problems (reference: absent) ^d	235 (36.2)	2955 (23.2)	1.88 (1.60-2.22)	1.69 (1.40-2.03)
Breastfeeding (ever vs never fully breastfeeding)	345 (56.7)	7943 (67.5)	0.63 (0.54-0.74)	0.83 (0.69-0.996)
Atopic eczema (ever vs never)	132 (20.2)	1820 (14.4)	1.51 (1.24-1.84)	1.62 (1.30-2.02)



ADHD Program

Abbreviations: ADHD, attention-deficit/hyperactivity disorder; OR, odds ratio.



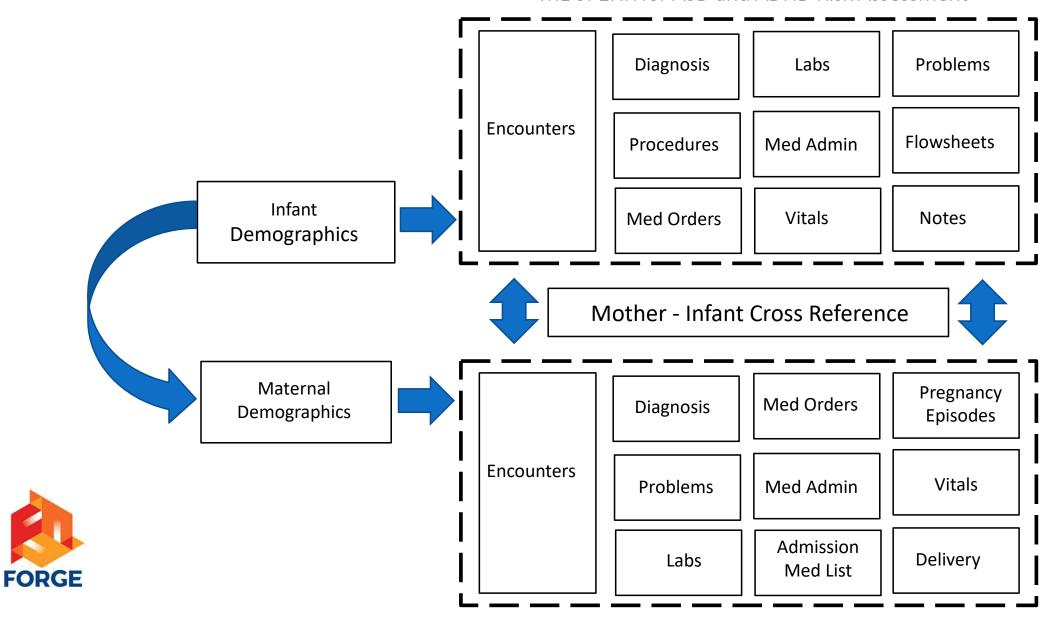
^aNumbers represent number (proportion) of children per exposed for discrete variables and means (SD) for continuous variables.

^bAdjusted for all exposures listed in the Table; analysis based on 11 222 observations without any missing data.

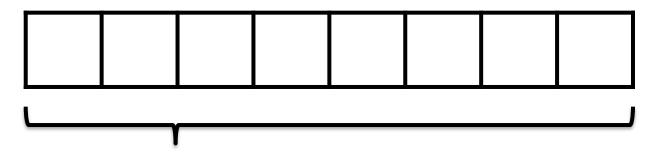
^cClassified based on parental education, professional qualification, professional status, and family net income according to Winkler and Stolzenberg.⁴

d Breathing problems, maladaptation, infections, icterus, low birth weight/premature delivery, and/or inpatient treatment.

ML of EHR for ASD and ADHD Risk Assessment



A Simplified Approach...



 x_i , data/features for patient i

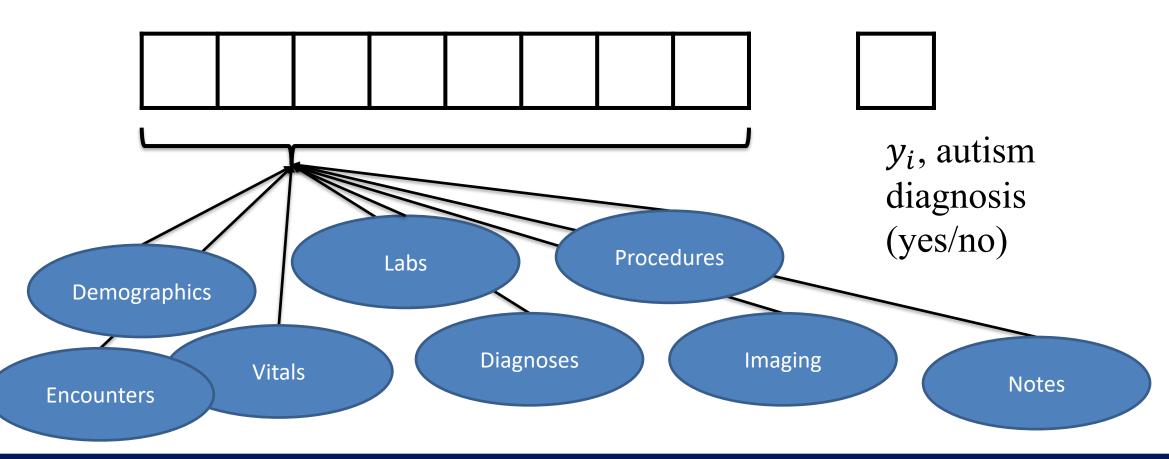


 y_i , autism diagnosis (yes/no)

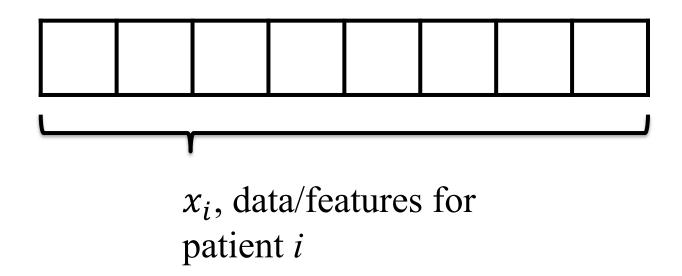
End goal: predict y_i from x_i

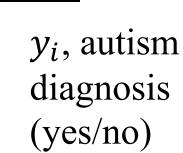


A Simplified Approach...

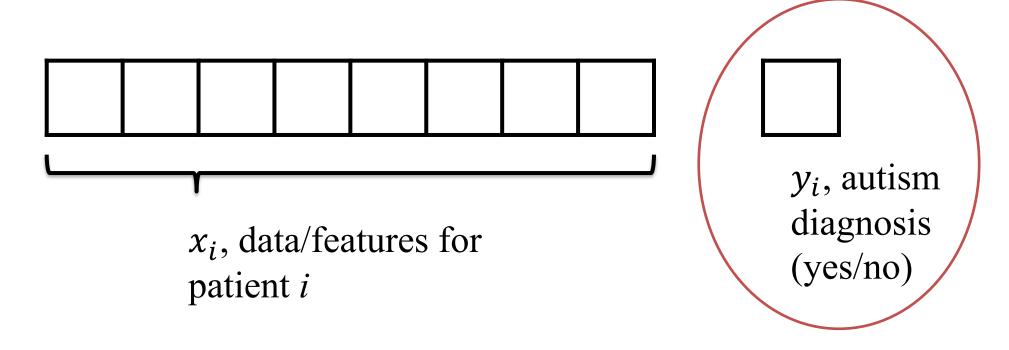




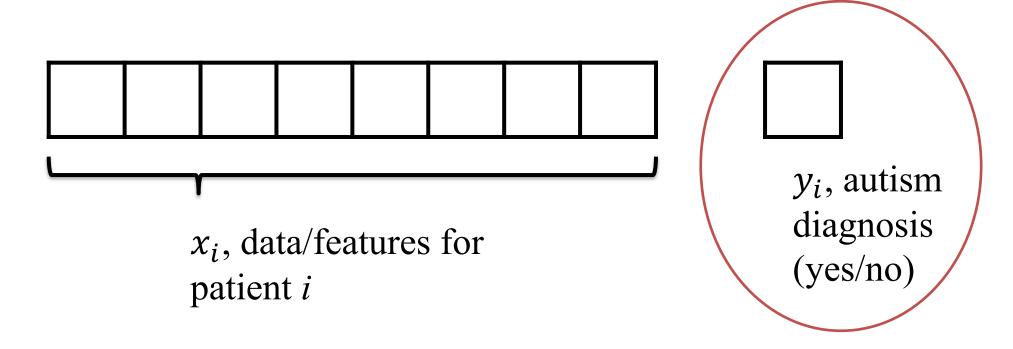




End goal: predict y_i from x_i



Diagnoses happen at a particular time...



Diagnoses happen at a particular time... and identifying them is not at all straightforward

ADHD Computable Phenotype

Validation of the Use of Electronic Health Records for Classification of ADHD Status

Siobhan M Gruschow ¹, Benjamin E Yerys ¹ ², Thomas J Power ¹ ², Dennis R Durbin ¹ ², Allison E Curry ¹

Affiliations + expand

PMID: 28112025 PMCID: PMC5843549 DOI: 10.1177/1087054716672337

Free PMC article

Abstract

Objective: To validate an electronic health record (EHR)-based algorithm to classify ADHD status of pediatric patients.

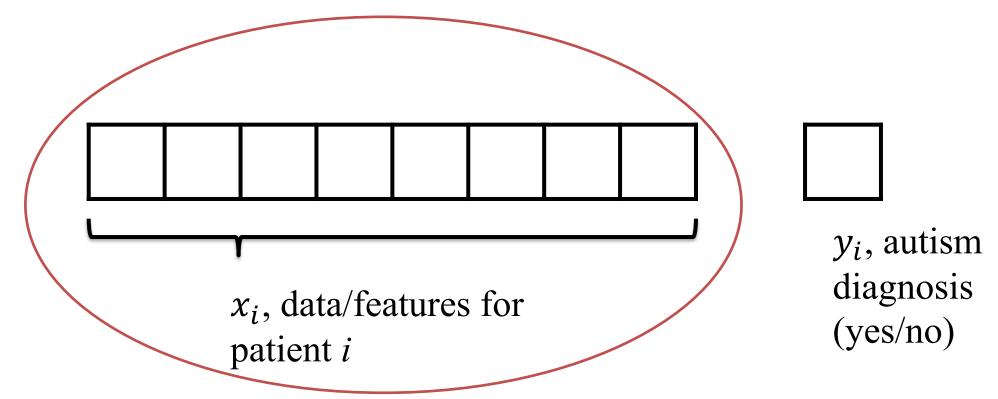
Method: As part of an applied study, we identified all primary care patients of The Children's Hospital of Philadelphia [CHOP] health care network who were born 1987-1995 and residents of New Jersey. Patients were classified with ADHD if their EHR indicated an International Classification of Diseases, Ninth Revision, Clinical Modification (ICD-9-CM) diagnosis code of "314.x" at a clinical visit or on a list of known conditions. We manually reviewed EHRs for ADHD patients (n = 2,030) and a random weighted sample of non-ADHD patients (n = 807 of 13,579) to confirm the presence or absence of ADHD.

Results: Depending on assumptions for inconclusive cases, sensitivity ranged from 0.96 to 0.97 (95% confidence interval [CI] = [0.95, 0.97]), specificity from 0.98 to 0.99 [0.97, 0.99], and positive predictive value from 0.83 to 0.98 [0.81, 0.99].

Conclusion: EHR-based diagnostic codes can accurately classify ADHD status among pediatric patients and can be used by large-scale epidemiologic and clinical studies with high sensitivity and specificity.

Keywords: accuracy; adolescents; attention deficit disorder; medical records; sensitivity.





Data are also collected over time...

Many values for a given feature. Which ones, and how many, do we use?



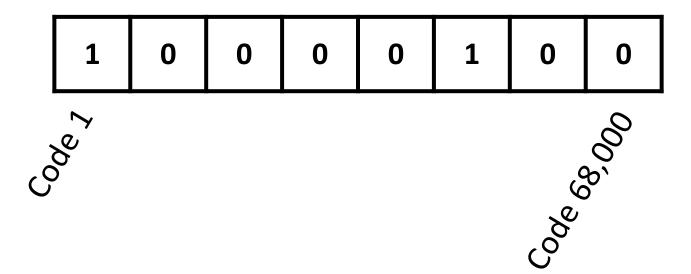
1. Structured Data

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Demographics: don't change over time -> EASY

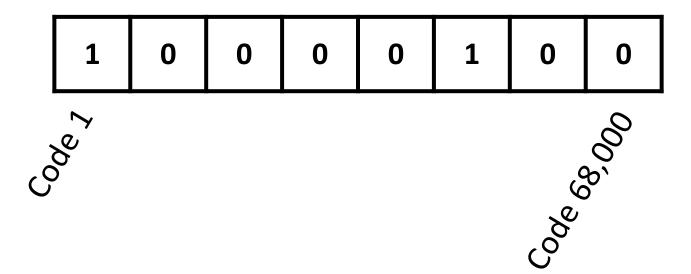
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- Dx Codes: observed over time; thousands of codes



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Clinical Classifications Software Refined (CCSR) for ICD-10-CM Diagnoses

The CCSR is one of the HCUP tools that can be applied to HCUP and other similar databases. These tools are created by AHRQ through a Federal-State-Industry partnership.

1. Structured Data

- Demographics: don't change over time -> EASY
- Dx Codes: observed over time; thousands of codes
- Encounter details



```
In [18]: df['Clinic Service or Specialty'].value_counts()
Pediatrics
                                      405265
PED. BEHAVIORAL DEVELOPMENT & GEN
                                      315404
Urgent Care
                                       44272
Physical and Occupational Therapy
                                       27803
Speech Pathology
                                       27114
CHART RESPONSIBLE MD
                                       23164
Ophthalmology
                                       15562
Primary Care
                                       12959
COMMUNITY AND FAMILY MEDICINE
                                       11053
Radiology
                                       10307
Pediatric Psychiatry
                                       10027
General Surgery
                                        9005
Lab
                                        8417
Missing or invalid
                                        7793
```



1. Structured Data

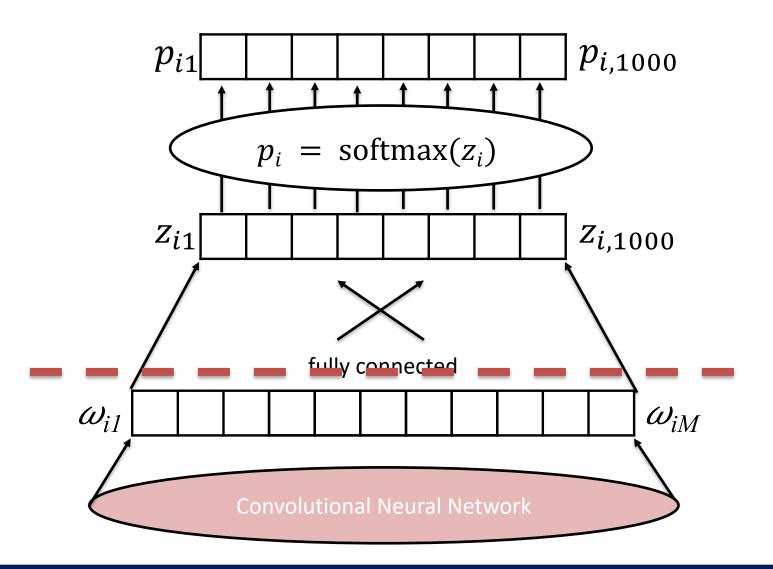
- Demographics: don't change over time -> EASY
- Dx Codes: observed over time; thousands of codes
- Encounter details
- Procedure Codes
- Vitals
- Labs



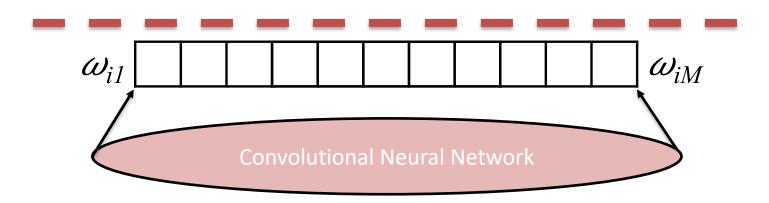
1. Structured Data

2. Images*

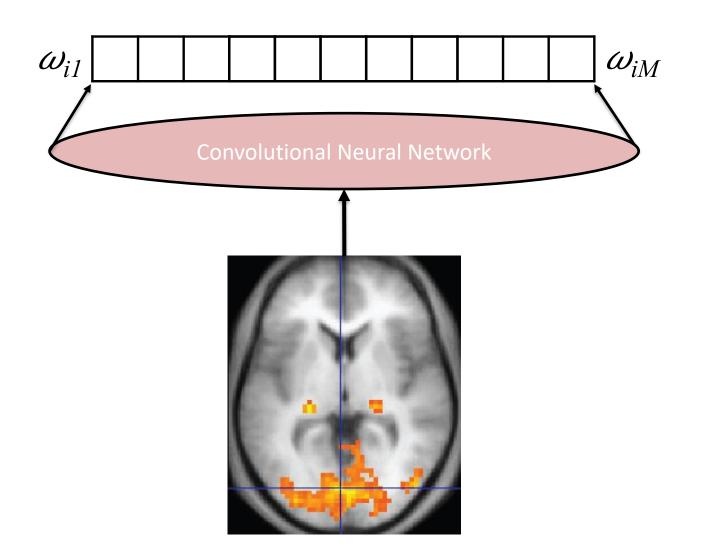
Use our CNN-based image representations...



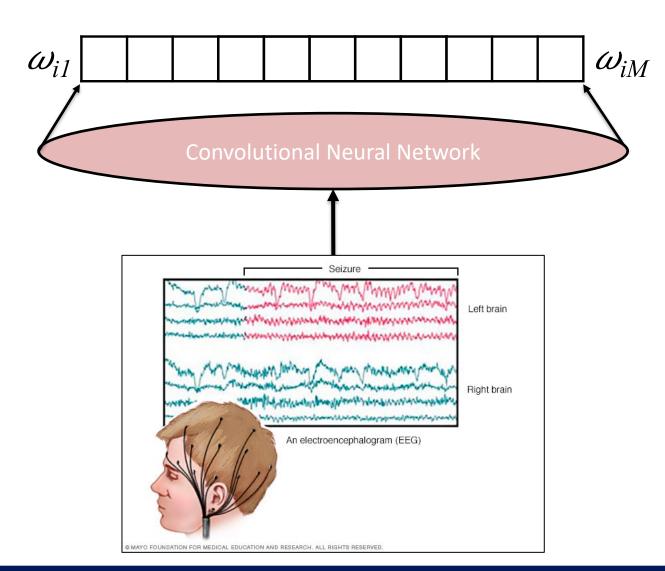
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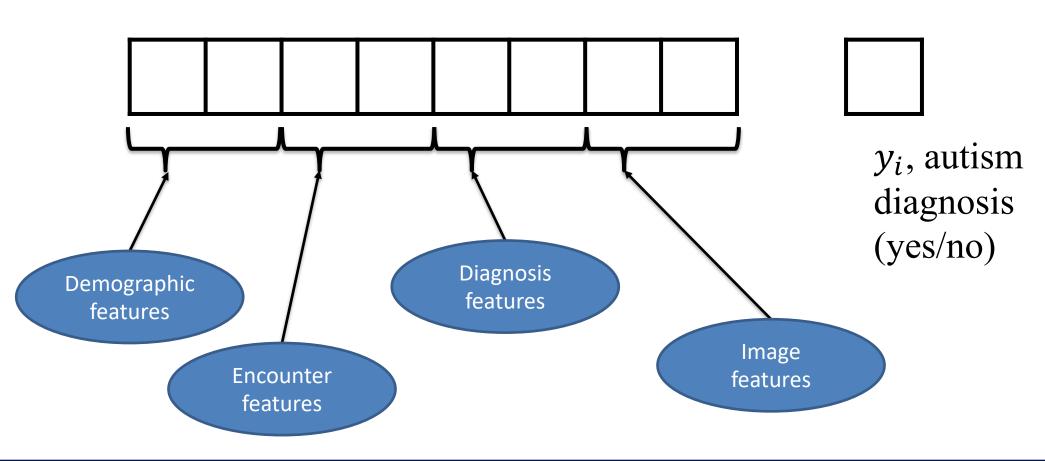


Or CNN-based EEG representations...





A Simplified Approach...





1. Structured Data

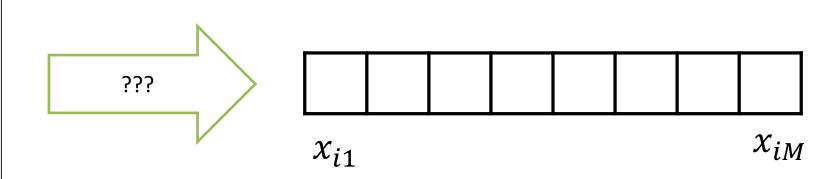
2. Images*

3. Clinical Notes

How do we represent notes numerically?

```
Admission Date:
 deidentified >
Discharge Date:
 deidentified >
Date of Birth:
 deidentified > Sex :
Service:
SURGERY
Allergies:
Patient recorded as having No Known Allergies to
Drugs
Attending:
deidentified
Chief Complaint:
Dyspnea
Major Surgical or Invasive Procedure:
Mitral Valve Repair
History of Present Illness:
Ms. 〈 deidentified 〉 is a 53 year old female who presents
after a large bleed rhythmically lag to 2 dose but the pa-
tient was brought to the Emergency Department where
he underwent craniotomy with stenting of right foot un-
der the LUL COPD and transferred to the OSH on (
deidentified \( \).
```

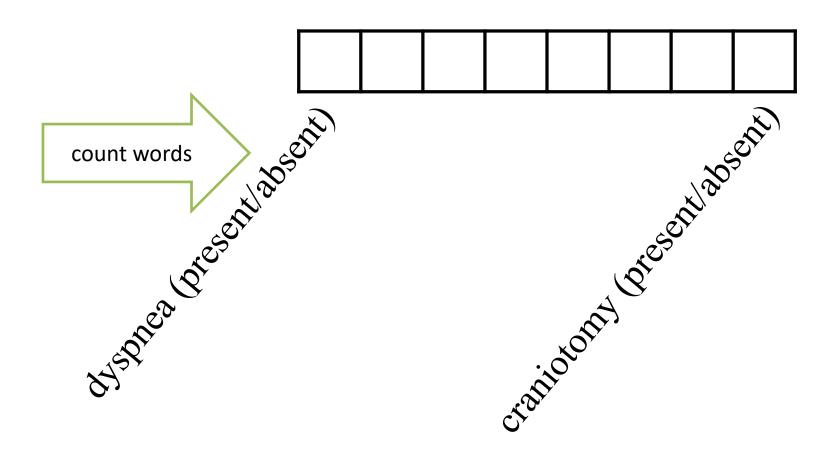
The patient will need a pigtail catheter to keep the sitter



daily .

How do we represent notes numerically?

Admission Date: deidentified > Discharge Date: deidentified Date of Birth: deidentified > Sex : Service: **SURGERY** Allergies: Patient recorded as having No Known Allergies to Drugs Attending: deidentified Chief Complaint: Dyspnea Major Surgical or Invasive Procedure: Mitral Valve Repair History of Present Illness: Ms. \langle deidentified \rangle is a 53 year old female who presents after a large bleed rhythmically lag to 2 dose but the patient was brought to the Emergency Department where he underwent craniotomy with stenting of right foot under the LUL COPD and transferred to the OSH on (deidentified \(\). The patient will need a pigtail catheter to keep the sitter

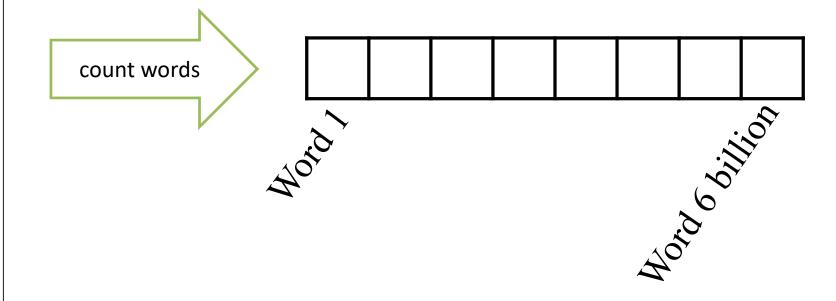


daily .

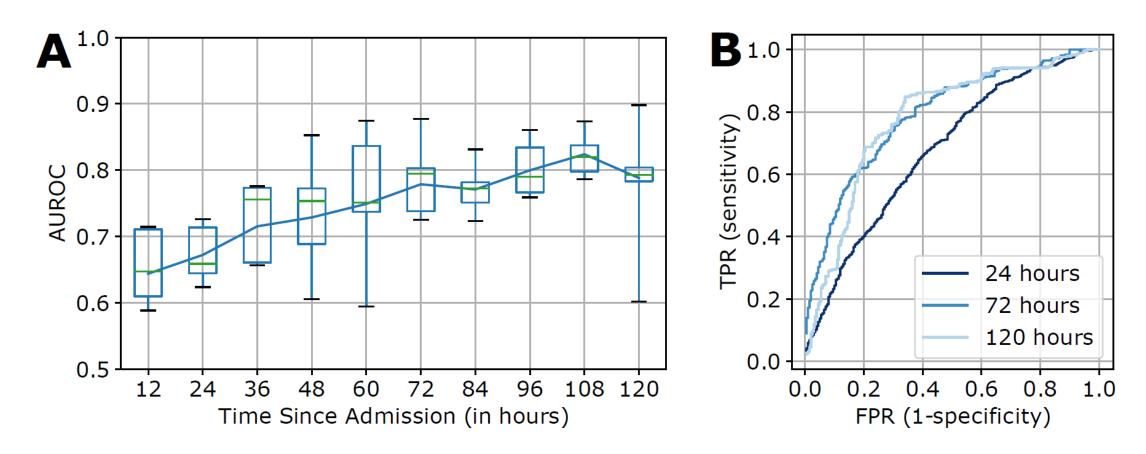
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The patient will need a pigtail catheter to keep the sitter daily.



Prediction over Time



Turpin et al., Machine Learning Prediction of Surgical Intervention for Small Bowel Obstruction (forthcoming)

