

Interspeech 2025 Tutorial: Interpretability Techniques for Speech Models

## Context-Mixing in Speech Transformers

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Rotterdam

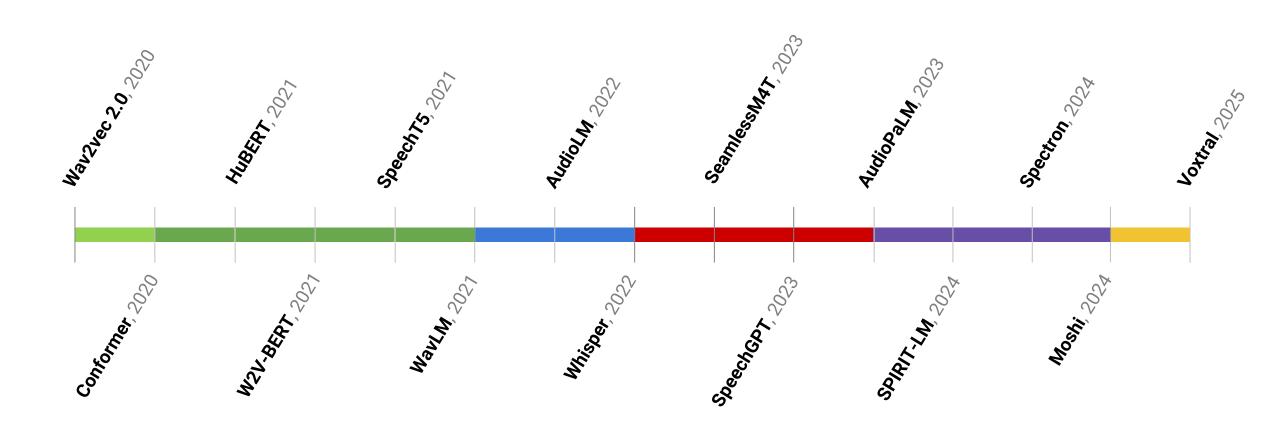




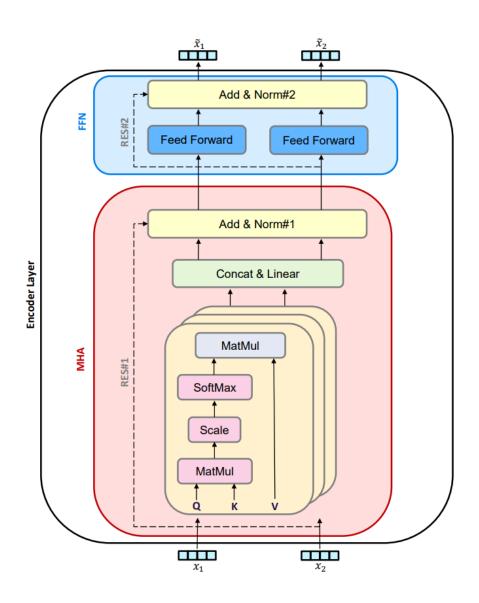




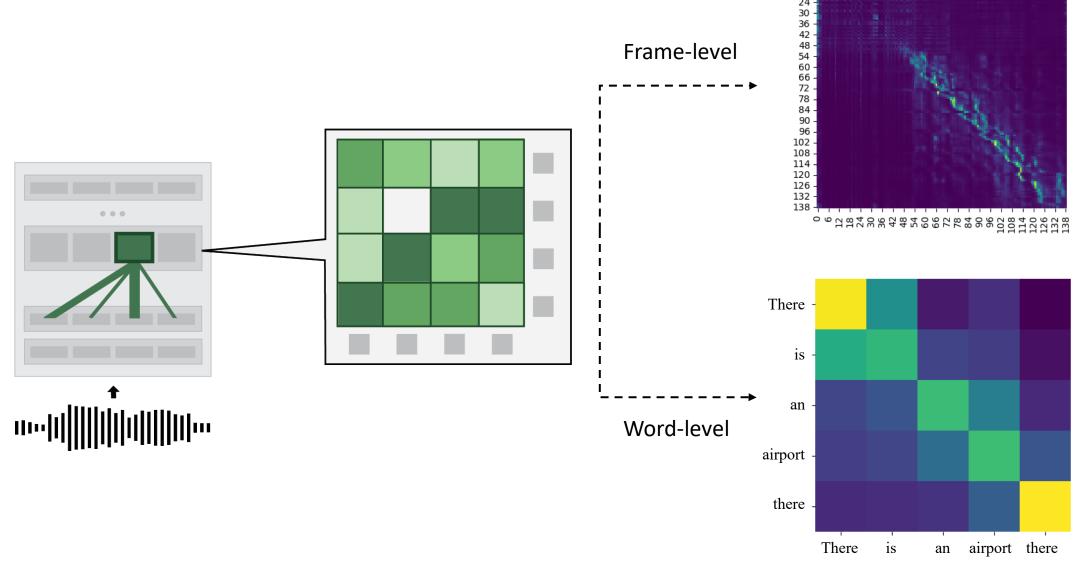
## Transformers for speech processing



### Transformer

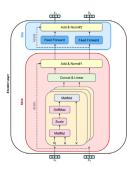


## What is Context Mixing?

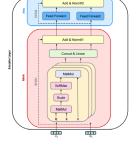


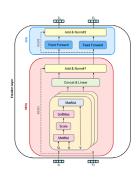
## Measures of Context-mixing

Attention

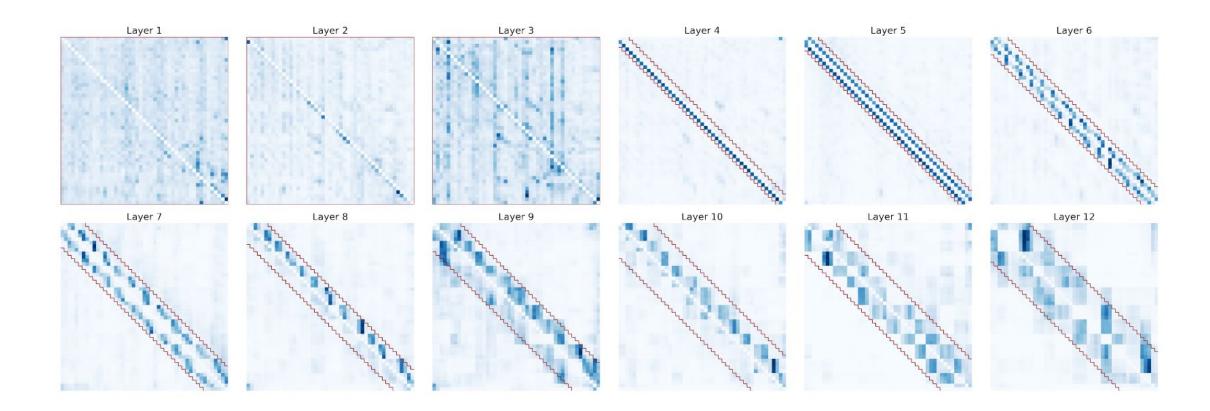


• Attention-Norm



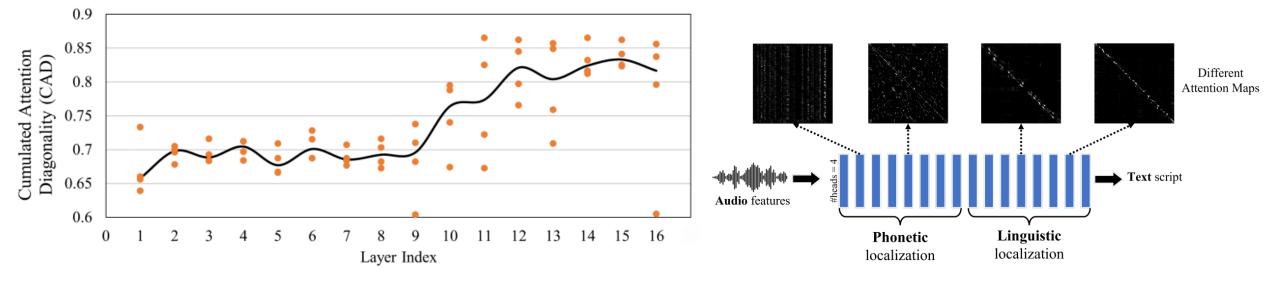


## Diagonality



#### Two distinct roles

$$CAD_{h} = \int_{r=0}^{1} \frac{1}{T} \sum_{i=1}^{T} \left( \sum_{\substack{j=\max(1,\\i-r(T-1))\\i-r(T-1)}}^{\min(T,\\i+r(T-1))} A_{h}[i,j] \right) dr = \int_{r=0}^{1} D(r) dr$$



(Shim et al., 2022)

## Diversity Loss

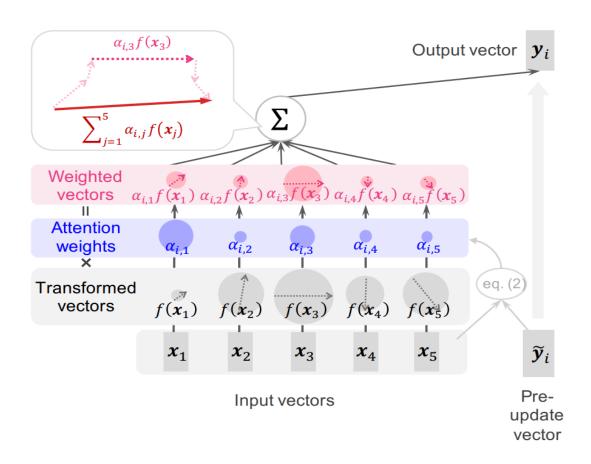
Attention → Highest

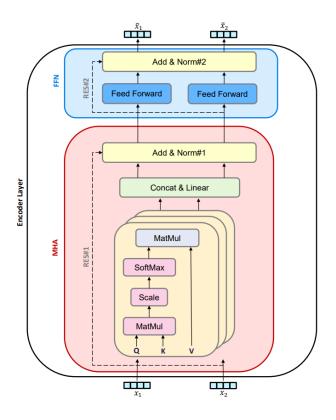
Value vectors → Lowest

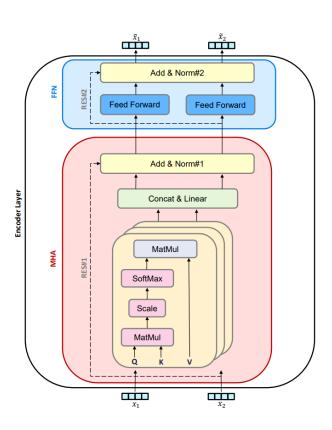
<b>Diversity loss</b>	dev	dev-other	test	test-other
$d^{\rm A}(m,n)$	6.37	6.02	6.31	6.10
$d^{\mathbb{Q}}(m,n)$	0.53	0.59	0.54	0.55
$d^{\mathbf{K}}(m,n)$	0.57	0.61	0.61	0.58
$d^{\rm V}(m,n)$	0.13	0.14	0.13	0.14

Table 3: Attention diversity losses summed over all layers of the Conformer acoustic encoder for the baseline full-context Librispeech model.

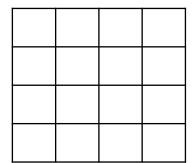
#### Attention-Norm







$$C_{i,j} =$$
?



$$egin{aligned} oldsymbol{x}_1,...,oldsymbol{x}_n \ oldsymbol{q}_i^h = oldsymbol{x}_i oldsymbol{W}_Q^h + oldsymbol{b}_Q^h \ oldsymbol{k}_i^h = oldsymbol{x}_i oldsymbol{W}_K^h + oldsymbol{b}_K^h \ oldsymbol{v}_i^h = oldsymbol{x}_i oldsymbol{W}_V^h + oldsymbol{b}_V^h \end{aligned} egin{aligned} lpha_{i,j} = \operatorname{softmax}_{oldsymbol{x}_j \in \mathcal{X}} \left( oldsymbol{q}_i oldsymbol{k}_j^ op \\ oldsymbol{v}_i^h = oldsymbol{x}_i oldsymbol{W}_V^h + oldsymbol{b}_V^h \end{aligned}$$

$$oldsymbol{z}_i^h = \sum_{j=1}^n lpha_{i,j}^h oldsymbol{v}_j^h$$

$$egin{aligned} oldsymbol{z}_i &= ext{Concat}(oldsymbol{z}_i^1,...,oldsymbol{z}_i^H)oldsymbol{W}_O \ & oldsymbol{z}_i &= ext{LN}_{ ext{MHA}}(oldsymbol{z}_i+oldsymbol{x}_i) \end{aligned}$$

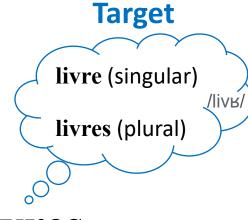
$$\mathcal{C}_{i,j} =$$
?

$$oldsymbol{v}_j^h \leftarrow \mathbf{0}, orall h \in H \ \mathcal{C}_{i,j} = oldsymbol{ ilde{x}}_i^{
eg j} * oldsymbol{ ilde{x}}_i$$

# Let's Evaluate!

#### Evaluation

Controlled task: homophony in French



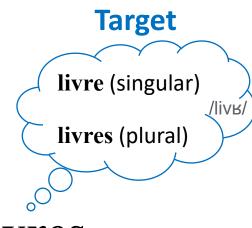


Elle a perdu les livres

(She lost the books)

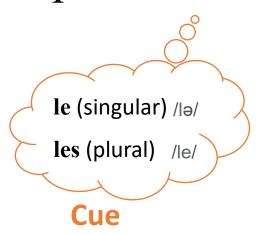
#### Evaluation

Controlled task: homophony in French





Elle a perdu <u>les</u> livres



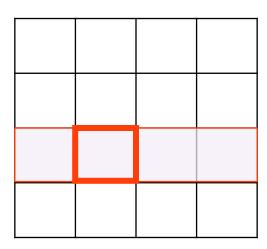
## Defined Templates

Pattern	Examples of transcription	#
Det_Noun	C'est <u>le</u> septième <b>titre</b> de champion de Syrie de l'histoire du club Il y mène <u>une</u> <b>vie</b> d'études et de recherches	720
Pronoun_Verb	Chaque jour, leurs concurrents les voient sortir de pistes dont <u>ils</u> <b>ignorent</b> l'existence <u>On</u> y <b>trouve</b> une plage naturiste	257
Det_Noun_Verb	Peu après cette élimination, <u>le club</u> et Alexander se <b>séparent</b> à l'amiable À la fin, <u>les <b>enfants</b></u> se <b>révoltent</b> et détruisent l'école.	23

Table 1: Examples of the extracted audios from the Common Voice corpus based on defined patterns. Last column shows the number of examples obtained. Cue and Target words are <u>underlined</u> and **bolded**, respectively.

#### Cue Contribution score

Target word



Cue word

#### Cue Contribution

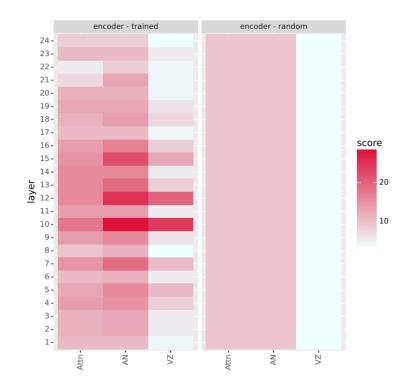


Figure 1: Layer-wise cue contribution according to different analysis methods averaged over all examples for XLSR-53, trained (left) vs. randomly initialized (right).

(Mohebbi et al., 2023)

#### **Cue Contribution**

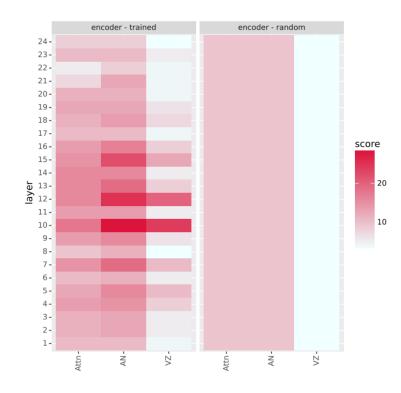


Figure 1: Layer-wise cue contribution according to different analysis methods averaged over all examples for XLSR-53, trained (left) vs. randomly initialized (right).

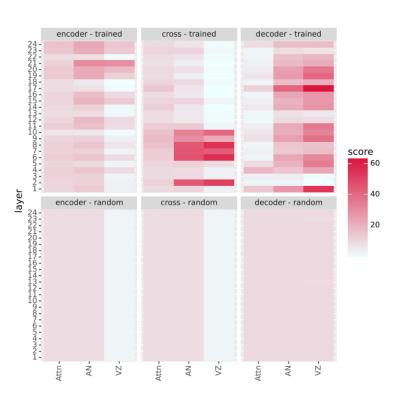


Figure 2: Layer-wise cue contribution according to different analysis methods averaged over all examples for Whisper-medium, trained (top) vs. randomly initialized (bottom).

Mohebbi et al., 2023

## Cue Contribution v.s. 'Number encoding' probe

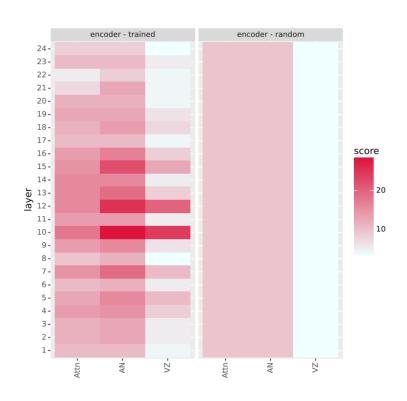


Figure 1: Layer-wise cue contribution according to different analysis methods averaged over all examples for XLSR-53, trained (left) vs. randomly initialized (right).

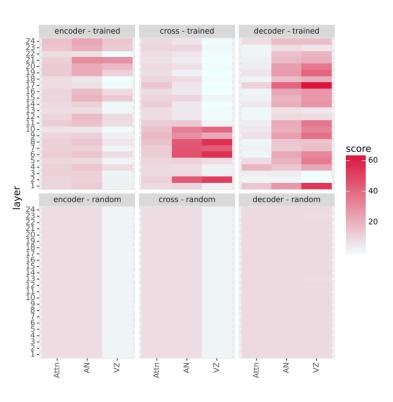


Figure 2: Layer-wise cue contribution according to different analysis methods averaged over all examples for Whisper-medium, trained (top) vs. randomly initialized (bottom).

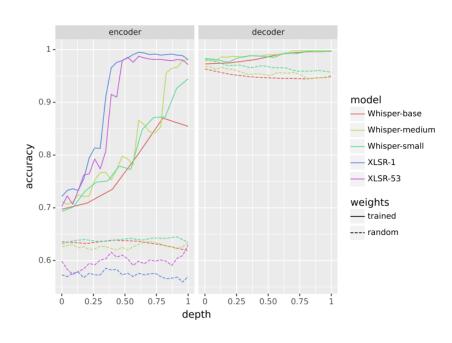


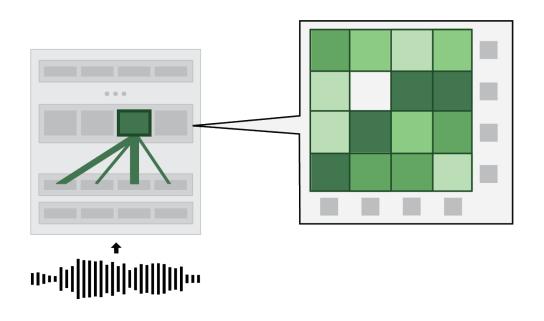
Figure 4: Accuracy of probing classifiers trained on frozen target representations obtained from various ASR models. The depth of Whisper-base (6) and Whisper-small (12), has been normalized to 1 to facilitate comparisons.

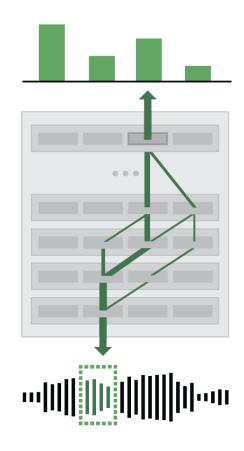
(Mohebbi et al., 2023)

## Wrapping up

- Analyzed the pattern of attentions in speech Transformers (e.g., diagonality, diversity)
- Pointed out the limitations of attention as a measure of context-mixing
- Analyzed context-mixing beyond attention (using e.g., Attention-Norm, Value Zeroing)
- Context-mixing vs. Feature attribution

## Context-Mixing vs. Feature Attribution





# Thanks! Question?

#### Feel free to reach out for any questions:

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Thank you!



Website



Notebook