



- 1 ASPECTS OF QUANTUM FIELDS, ALGEBRAS, ETC.
- 2 THE REEH-SCHLIEDER THEOREM
- 3 ENTANGLEMENT ENTROPY IN QFT
- 4 FREE FIELD CALCULATIONS
- 5 MONOTONICITY THEOREMS
- 6 QUANTUM BEKENSTEIN BOUND

# SOME REFERENCES

- Interesting reviews on entanglement entropy in QFT can be found in <https://arxiv.org/abs/1803.04993> (Witten; more advanced, algebraic-oriented, more about “fundamentals”) and <https://arxiv.org/pdf/1801.10352.pdf> (Nishioka; more basic, with more explicit calculations and methods).
- The algebraic/axiomatic approach to QFT is extensively discussed in R. Haag’s, *Local quantum physics: Fields, particles, algebras*. 1992. This is a pretty advanced book, but at least some sections should be reasonably followable by hep-th M.Sc./Ph.D. students.
- The axiomatic formulation of QFT presented here is due to Wightman, and it also appears discussed *e.g.*, in Haag’s book.
- The Reeh-Schlieder theorem is an old result in algebraic QFT (1961). It appears nicely discussed in Witten’s review and in Haag’s book.
- EE in the context of QFT was first considered by Sorkin et al <https://journals.aps.org/prd/abstract/10.1103/PhysRevD.34.373>, <https://arxiv.org/pdf/1402.3589.pdf> and Srednicki <https://arxiv.org/pdf/hep-th/9303048.pdf>. The area-law of EE was also first discussed in these papers.
- An interesting paper on the general structure of EE in QFT is <https://arxiv.org/pdf/1202.2070.pdf>.
- The use of mutual information as a geometric regulator for EE is discussed *e.g.*, in <https://arxiv.org/pdf/1506.06195.pdf>.
- The standard review for entanglement entropy for free QFTs is Casini and Huerta’s <https://arxiv.org/pdf/0905.2562.pdf>.
- The RG flow approach to QFTs (Wilson, etc.) is discussed *e.g.*, in Rychkov’s lectures <https://arxiv.org/pdf/1601.05000.pdf>, where CFTs in  $d \geq 3$  are also extensively discussed.
- The entropic c-theorem proof appeared in Casini and Huerta’s <https://arxiv.org/pdf/cond-mat/0610375.pdf>. A general account of entropic monotonicity theorems in various dimensions can be found in <https://arxiv.org/pdf/1704.01870.pdf>.
- The quantum version of the Bekenstein bound was proven by Casini in <https://arxiv.org/pdf/0804.2182.pdf>. The original Bekenstein paper is <https://journals.aps.org/prd/abstract/10.1103/PhysRevD.23.287> —see also <https://arxiv.org/pdf/1810.01880.pdf>.

# Aspects of quantum fields, algebras, etc.

# A CRASH COURSE ON QUANTUM FIELD THEORY

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for test functions with fast fall-offs (like Gaussians).

- All states in  $\mathcal{H}$  can be created by some linear combination of products of  $\Phi(f)$  acting on the vacuum:  $|\psi\rangle = \Phi(f_1) \cdots \Phi(f_n) |\Omega\rangle$  generate the full  $\mathcal{H}$ .

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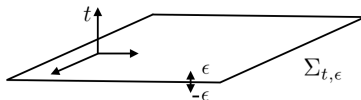
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- There is some “dynamical law” which allows to compute fields at any time in terms of fields in a small time slice  $\Sigma_{t,\epsilon} = \{x : |x^0 - t| < \epsilon\}$ . This means that we can actually obtain any state in  $\mathcal{H}$  using test functions restricted to  $\Sigma_{t,\epsilon}$ . This is similar to the classical statement that we can obtain  $x(t)$  for any  $t$  if we know  $x(0)$  and  $\dot{x}(0) = [x(\epsilon) - x(0)]/\epsilon$ .



Wightman’s reconstruction theorem states that the full information about the QFT (fields and Hilbert space) is contained in the vacuum fluctuations:

$$\{\Phi(x), \mathcal{H}\} \Leftrightarrow \langle \Omega | \Phi(x_1), \dots, \Phi(x_n) | \Omega \rangle$$

# ALGEBRAS OF OPERATORS

An algebra is a set of operators (matrices) closed under linear combinations, products and taking adjoints. Multiples of the identity are also included:

$$1 \in \mathcal{A}, \quad a, b \in \mathcal{A}, \quad \alpha, \beta \in \mathbb{C} \quad \Rightarrow \quad \alpha a + \beta b \in \mathcal{A}, \quad ab \in \mathcal{A}, \quad a^\dagger \in \mathcal{A}$$

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Which sets of operators form algebras? Von Neumann theorem: Let  $\mathcal{A}' \equiv \{b : [b, a] = 0, \forall a \in \mathcal{A}\}$  be the “commutant” of  $\mathcal{A}$ .

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In words, a state in an algebra selects an operator in the algebra itself (the density matrix). Once we have the density matrix representation, we can compute functionals to get numbers out of it (like EE,  $S = -\text{Tr} \rho \log \rho$ ). These functionals will be an intrinsic property of the state and the algebra (and nothing else!).

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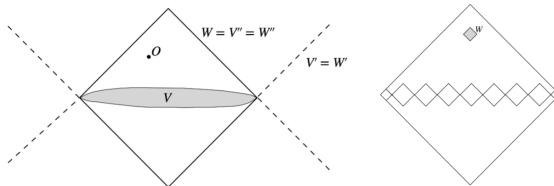
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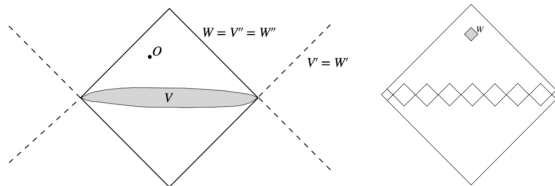


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When the causality condition becomes equality,  $\mathcal{A}(V) = (\mathcal{A}(V'))'$ , the theory is said to satisfy “Haag duality”. This happens for sufficiently complete theories...

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The information about the QFT is not in the algebras themselves (they are all isomorphic!). It is encoded in the relations between algebras (the way they intersect and share operators). Mutual information between spatially separated regions does this: it measures correlations between algebras. A natural unsolved question reminiscent to Wightman's reconstruction theorem is:

$$\{\mathcal{A}(W), \mathcal{H}\} \stackrel{?}{\Leftrightarrow} I(V, W)$$

In words: can we reconstruct the full information of the QFT from the mutual information of subregions?

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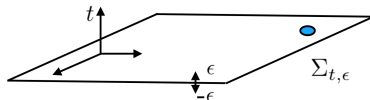
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- Reeh-Schlieder theorem:** we can actually generate the full Hilbert space  $\mathcal{H}$  by restricting the support of the  $\Phi(f)$  to an **arbitrarily small** open set of  $\Sigma_{t,\epsilon}$ !



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- According to R.S. theorem,  $\exists$  some operator  $\hat{a}$  with support in this room such that

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- Still, manifests strong non-local quantum correlations. Non-separability à la QFT:  $\langle \Omega | \hat{P} \hat{a}^\dagger \hat{a} | \Omega \rangle \neq \langle \Omega | \hat{P} | \Omega \rangle \langle \Omega | \hat{a}^\dagger \hat{a} | \Omega \rangle$ .

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Suppose that acting with operators in the arbitrarily small open set  $W$  on the vacuum we did not obtain a dense set of vectors. Then, there would be some vector  $|\psi\rangle$  which is orthogonal to the generated set,  $\langle\psi|\Phi(x_1)\dots\Phi(x_n)|\Omega\rangle = 0$ ,  $x_1, \dots, x_n \in W$ .

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Suppose that acting with operators in the arbitrarily small open set  $W$  on the vacuum we did not obtain a dense set of vectors. Then, there would be some vector  $|\psi\rangle$  which is orthogonal to the generated set,  $\langle\psi|\Phi(x_1)\dots\Phi(x_n)|\Omega\rangle = 0$ ,  $x_1, \dots, x_n \in W$ . But, since the correlators are analytic and vanish on  $W$ , they would have to vanish for every  $x_1, \dots, x_n$  not restricted to  $W$ .



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# Entanglement entropy in QFT

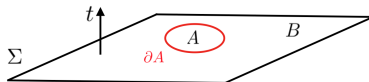
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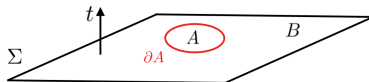
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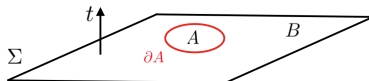
- First surprise: Hilbert space does not factorize!  $\mathcal{H} \neq \mathcal{H}_A \otimes \mathcal{H}_B$ . If it did, there would exist some state  $|\psi\rangle$  such that  $|\psi\rangle = |\phi\rangle_A \otimes |\tilde{\phi}\rangle_B$ , which would imply

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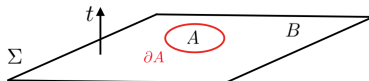
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However, in QFT, the entanglement entropy of subregions is divergent in any state,  $S_{\text{EE}}(A) = +\infty$ . There is infinite entanglement between any pair of adjacent regions. This is actually related to the smoothness of spacetime. Something with  $S_{\text{EE}}(A) = 0$  would be like a firewall at  $\partial A$ ...

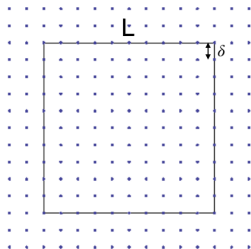


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Often it is useful to think of a QFT as a discrete model, such as a lattice, and then take the continuum limit, putting more and more points in the lattice finally reproducing the results one would obtain doing calculations directly in the continuum. There may be many ways to cutoff a theory, but all of them should arrive to the same QFT. Only quantities that are well defined in the limit belong to the continuum theory (are “universal”).



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- One might have guessed that  $S_{\text{EE}}(A)$  should scale with the volume of  $A$ , instead of with the area of  $\partial A$ .

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- One could think that  $S_{\text{EE}}$  should depend on something which is common to  $A$  and  $B$ , and the only thing available is precisely the interface between both regions  $\partial A = \partial B$ .

# EE GENERAL STRUCTURE

Given some region  $A$  and a regulator  $\delta$ , the entanglement entropy has the general structure

$$S_{\text{EE}}(A) = \sum_i C_i(\partial A) \cdot \delta^{-\lambda_i} + S_0(A)$$

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- Are non-local (not given by integrals over  $\partial A$ , but rather depending on the whole  $A$ )
- They depend on the state (*e.g.*, if the state is thermal,  $T$  would appear here)

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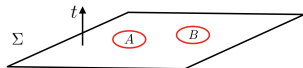




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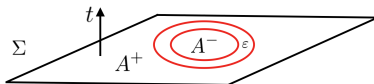
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May be used as a regulator for entanglement entropy

$$I_{\varepsilon}(A^+, A^-) \xrightarrow{\varepsilon \rightarrow 0} 2S_{\text{EE}}^{(\varepsilon)}(A)$$



Certain pieces in EE survive the continuum limit and have well-defined information. More about those universal terms in Lecture 4.

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- The EE of subregions is divergent in QFT. Entanglement between degrees of freedom at both sides of the interface dominates it, giving rise to an “area-law” for any state.
- Regulating our QFT by putting it in a lattice, one can see that besides the area-law there are extra local and non-local pieces, some of which contain meaningful information about the continuum theory.



# OUTLINE

- 1 ASPECTS OF QUANTUM FIELDS, ALGEBRAS, ETC.
- 2 THE REEH-SCHLIEDER THEOREM
- 3 ENTANGLEMENT ENTROPY IN QFT
- 4 FREE FIELD CALCULATIONS
- 5 MONOTONICITY THEOREMS
- 6 QUANTUM BEKENSTEIN BOUND

# SOME REFERENCES

- Interesting reviews on entanglement entropy in QFT can be found in <https://arxiv.org/abs/1803.04993> (Witten; more advanced, algebraic-oriented, more about “fundamentals”) and <https://arxiv.org/pdf/1801.10352.pdf> (Nishioka; more basic, with more explicit calculations and methods).
- The algebraic/axiomatic approach to QFT is extensively discussed in R. Haag’s, *Local quantum physics: Fields, particles, algebras*. 1992. This is a pretty advanced book, but at least some sections should be reasonably followable by hep-th M.Sc./Ph.D. students.
- The axiomatic formulation of QFT presented here is due to Wightman, and it also appears discussed *e.g.*, in Haag’s book.
- The Reeh-Schlieder theorem is an old result in algebraic QFT (1961). It appears nicely discussed in Witten’s review and in Haag’s book.
- EE in the context of QFT was first considered by Sorkin et al <https://journals.aps.org/prd/abstract/10.1103/PhysRevD.34.373>, <https://arxiv.org/pdf/1402.3589.pdf> and Srednicki <https://arxiv.org/pdf/hep-th/9303048.pdf>. The area-law of EE was also first discussed in these papers.
- An interesting paper on the general structure of EE in QFT is <https://arxiv.org/pdf/1202.2070.pdf>.
- The use of mutual information as a geometric regulator for EE is discussed *e.g.*, in <https://arxiv.org/pdf/1506.06195.pdf>.
- The standard review for entanglement entropy for free QFTs is Casini and Huerta’s <https://arxiv.org/pdf/0905.2562.pdf>.
- The RG flow approach to QFTs (Wilson, etc.) is discussed *e.g.*, in Rychkov’s lectures <https://arxiv.org/pdf/1601.05000.pdf>, where CFTs in  $d \geq 3$  are also extensively discussed.
- The entropic c-theorem proof appeared in Casini and Huerta’s <https://arxiv.org/pdf/cond-mat/0610375.pdf>. A general account of entropic monotonicity theorems in various dimensions can be found in <https://arxiv.org/pdf/1704.01870.pdf>.
- The quantum version of the Bekenstein bound was proven by Casini in <https://arxiv.org/pdf/0804.2182.pdf>. The original Bekenstein paper is <https://journals.aps.org/prd/abstract/10.1103/PhysRevD.23.287> —see also <https://arxiv.org/pdf/1810.01880.pdf>.

# Free field calculations

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In the Euclidean approach, one uses a representation of the vacuum state in terms of an Euclidean path integral and constructs the reduced density matrix in terms of similar objects.

In the real time approach one aims at computing directly the reduced density matrix corresponding to the global vacuum state in terms of correlators of the fields.

Here I will give you a flavor of the second type of methods.

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Define the correlation functions associated to  $\rho_A$  as

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$$\langle \phi_i \phi_j \rangle \equiv X_{ij}, \quad \langle \pi_i \pi_j \rangle \equiv P_{ij}, \quad \langle \phi_i \pi_j \rangle = \langle \pi_j \phi_i \rangle^* = \frac{i}{2} \delta_{ij}.$$

We are interested in Gaussian states (*i.e.*, those for which all other non-zero correlators follow from the two-point functions of the fields).

# FREE BOSONS

Consider a system of  $N$  scalar fields and momenta in a lattice. By definition, they satisfying canonical commutation relations

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We can write in general

$$\rho_A = K e^{-\sum_l \varepsilon_l a_l^\dagger a_l}, \quad K \equiv \prod_l (1 - e^{-\varepsilon_l})$$

where we already diagonalized the modular Hamiltonian introducing creation and annihilation operators (just like for the usual harmonic oscillator),  $[a_i, a_j^\dagger] = \delta_{ij}$ .

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A prototypical case is when we consider the vacuum state, and a global Hamiltonian of the form  $H = \frac{1}{2} \sum_i \pi_i^2 + \frac{1}{2} \phi_i K_{ij} \phi_j$ . Then, the correlators read  $X_{ij} = \frac{1}{2} (K^{-1/2})_{ij}$ ,  $P_{ij} = \frac{1}{2} (K^{1/2})_{ij}$ .

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where again we already diagonalized the modular Hamiltonian.

Similarly to the scalars case, the eigenvalues of  $\rho_A$  can be obtained in terms of the eigenvalues of  $C$ , so we can write the EE in terms of that correlators matrix. The result is:

$$S_{\text{EE}}(A) = -\text{Tr}[(1 - C) \log(1 - C) + C \log C]$$

# EX 1: FREE FERMION IN $d = 2$

$$L_A$$


Let us consider first the case of a free fermion in  $d = 2$ , with  $A$  being a single interval of length  $L_A$ .

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For a general  $\text{CFT}_2$ , the result for the EE of an interval reads

$$S_{\text{EE}} = \frac{c}{3} \log(L_A/\delta) + \mathcal{O}(\delta^0)$$

where  $c$  is the “Virasoro central charge” of the theory. In the case of the free fermion,  $c = 1/2$ ...

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For technical reasons, when performing lattice calculations for fermions there is an extra factor 2 which needs to be removed.

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For technical reasons, when performing lattice calculations for fermions there is an extra factor 2 which needs to be removed. A small program in Mathematica yields perfect agreement:

```
In[258]:= c[x_] := If[x == 0, 1/2, N[((-1)^(x) - 1)/(2 Pi I x)]]
           |si                               |valor numérico      |... |número i

In[259]:= entro[reg_] :=
  Module[{corr, v},
    |módulo
    corr = Table[c[reg[[i]] - reg[[j]]], {i, 1, Length[reg]}, {j, 1, Length[reg]};
           |tabla                               |longitud          |longitud
    v = Re[Eigenvalues[corr]];
           |pa- |autovalores
    Re[-v.Log[v + 10^(-11)] - (1 - v).Log[1 - v - 10^(-11)]];
           |parte ... |logaritmo

In[270]:= entropia = Table[entro[Table[j, {j, 1, i*10}]], {i, 1, 25}]
           |tabla                               |tabla

Out[270]= {1.49342, 1.7246, 1.85978, 1.95568, 2.03007, 2.09084, 2.14223, 2.18674,
           2.226, 2.26112, 2.29289, 2.3219, 2.34858, 2.37328, 2.39628, 2.41779, 2.438,
           2.45705, 2.47507, 2.49217, 2.50844, 2.52394, 2.53876, 2.55295, 2.56655}

In[271]:= Fit[entropia, {Log[x], 1}, x]
           |ajusta                               |logaritmo

Out[271]= 1.49351 + 0.333363 Log[x]
```

## EX 2: FREE SCALAR IN $d = 3$

Consider now a free scalar in  $d = 3$

$$H_{\text{latt.}}^{\text{scal.}} = +\frac{1}{2} \sum_{n,m} \left[ \pi_{n,m}^2 + (\phi_{n+1,m} - \phi_{n,m})^2 + (\phi_{n,m+1} - \phi_{n,m})^2 \right] ,$$

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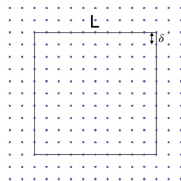
Ground state correlators

$$\langle \phi_{0,0} \phi_{i,j} \rangle = \frac{1}{8\pi^2} \int_{-\pi}^{\pi} dx \int_{-\pi}^{\pi} dy \frac{\cos(ix) \cos(jy)}{\sqrt{2(1 - \cos(x)) + 2(1 - \cos(y))}},$$

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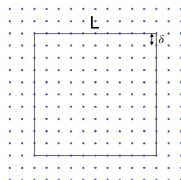
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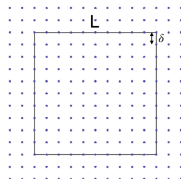
With a slightly more complicated Mathematica program, one finds

$$S_{\text{EE}} \simeq 0.077 \times \frac{4L}{\delta} - 0.0116 \times 4 \log(L/\delta) + \mathcal{O}(\delta^0)$$

We get an “area-law” piece plus a logarithmic correction, both of them divergent in the continuum limit.

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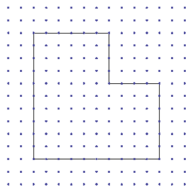
We get an “area-law” piece plus a logarithmic correction, both of them divergent in the continuum limit. The logarithmic piece is related to the presence of corners in  $A$ .

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What happens if we consider now a region with the same “area” (length=  $4L$ ) but more corners?

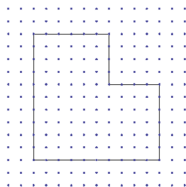
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Now the result reads

$$S_{\text{EE}} \simeq 0.077 \times \frac{4L}{\delta} - 0.0116 \times 6 \log(L/\delta) + \mathcal{O}(\delta^0)$$

The coefficient of the “area-law” does not change, but now we get a different coefficient for the log term, proportional to the number of corners.

## EX 2: FREE SCALAR IN $d = 3$

This behavior is in fact general:

$$S_{\text{EE}} = c_1 \frac{L}{\delta} + \sum_{\text{corner}_j} a_j(\theta) \log(L/\delta) + \mathcal{O}(\delta^0)$$

where  $a(\theta)$  is universal, *i.e.*, well-defined in the continuum theory.  
More in Lecture 4.

# Monotonicity theorems

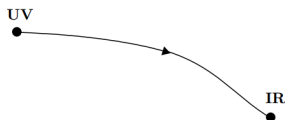
# QFT RELOADED

Before I presented an axiomatic formulation of QFTs.



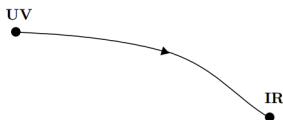
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What kinds of theories there exist at low energies?

- Theories with a mass gap (*e.g.*, QCD)
- Theories with massless particles (*e.g.*, QED)
- Scale invariant theories with continuous spectrum: CFTs  $\Leftrightarrow$  fixed points of the RG flow (can be stable or unstable)

# MONOTONICITY OF RG FLOWS

RG flow  $\Leftrightarrow$  coarse graining of microscopic degrees of freedom heavier than the relevant energy scale. As we move to lower energies and “integrate out” higher-energy degrees of freedom, we lose information about the theory. This results in a “trajectory of theories” in the space of coupling constants  $\{g_i\}$ .

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Finding functions  $c(\lambda)$  which quantify the effective number of degrees of freedom (*i.e.*, such that  $c(\lambda)$  decreases monotonically along the RG flow) is an important problem in QFT. When they exist, they are customarily called “c-functions”. In particular, they must satisfy  $c_{UV} > c_{IR}$  for the fixed-point theories.

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In all cases there exist versions of the theorems which make crucial use of EE, but there are also alternative versions which do not. In  $d = 3$  the only available proof uses EE.

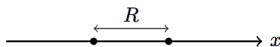
# ENTROPIC C-THEOREM IN $d = 2$

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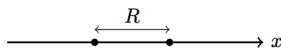
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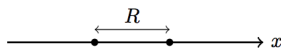
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- 2) it is monotonically decreasing under any RG flow,

$$c'_{\text{EE}}(R) \leq 0.$$

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The proposal for EE-based c-function reads

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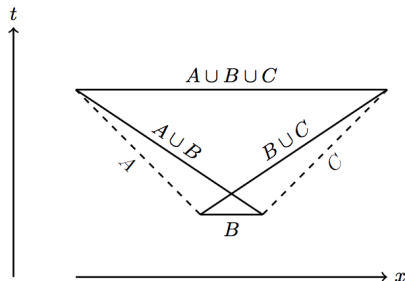
$$S_{\text{EE}}|_{\text{CFT}} = \frac{c}{3} \log(R/\delta) \quad \Rightarrow \quad c_{\text{EE}}(R)|_{\text{CFT}} = c.$$

Now, the hard part is to prove requirement 2). For that, we will use the strong subadditivity (SSA) property of EE,

$$S_{\text{EE}}(A \cup B \cup C) + S_{\text{EE}}(B) \leq S_{\text{EE}}(A \cup B) + S_{\text{EE}}(B \cup C).$$

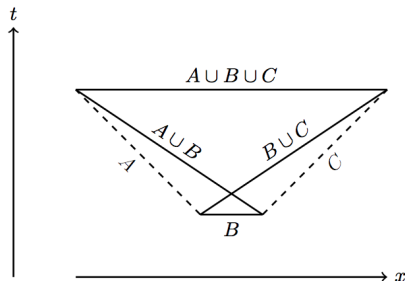
# ENTROPIC C-THEOREM IN $d = 2$

Consider two intervals  $A, C$  on the light rays  $t = \pm x$  and an interval  $B$  of width  $r$  on a time slice  $t = 0$ :  $A = \{t = -x, -R/2 \leq x \leq -r/2\}$ ,  $B = \{t = 0, -r/2 \leq x \leq r/2\}$ ,  $C = \{t = x, r/2 \leq x \leq R/2\}$ .



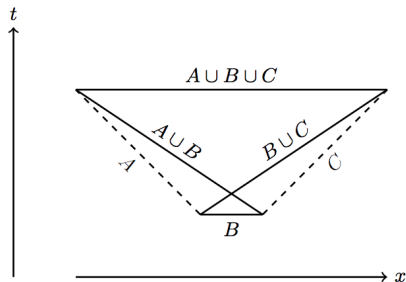
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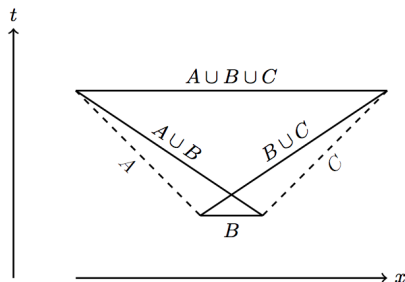


EE is invariant under unitary time evolution, so we can boost our intervals and use, instead of  $A \cup B$ , the straight interval which appears in the figure, and the same for  $B \cup C$ .

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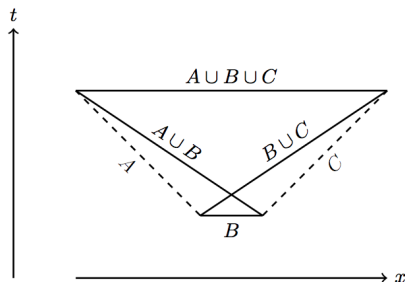


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The starting and ending points of the boosted  $A \cup B$  interval are  $(t = 0, x = r/2)$  and  $(t = (R-r)/2, x = -R/2)$  respectively. Then, the invariant length between the two points reads  $\Delta s = \sqrt{-\Delta t^2 + \Delta x^2} = \sqrt{rR}$ . The length of the boosted  $B \cup C$  is the also  $\sqrt{rR}$ .

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Now, let us use the SSA inequality:

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$$S_{\text{EE}}(R) + S_{\text{EE}}(r) = 2S_{\text{EE}}(r) + \epsilon S'_{\text{EE}}(r) + \frac{1}{2}\epsilon^2 S''_{\text{EE}}(r) + \dots$$

$$2S_{\text{EE}}(\sqrt{rR}) = 2S_{\text{EE}}(r) + \epsilon S'_{\text{EE}}(r) + \frac{1}{4}\epsilon^2 \left[ -\frac{S'_{\text{EE}}(r)}{r} + S''_{\text{EE}}(r) \right] + \dots$$

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Finally, the SSA inequality implies:

$$S_{\text{EE}}(R) + S_{\text{EE}}(r) \leq 2S_{\text{EE}}(\sqrt{rR}) \Rightarrow \frac{\epsilon^2}{4r} [S'_{\text{EE}}(r) + rS''_{\text{EE}}(r)] \leq 0$$

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Currently there exists a unified framework for EE-based monotonicity theorems in  $d = 2, 3, 4$ .



# Quantum Bekenstein bound

# THE BEKENSTEIN BOUND

The Bekenstein bound is a surprising result which states that the entropy of an object with total energy  $E$  and with characteristic size  $R$  (*e.g.*, the size of the smallest sphere circumscribing it) cannot exceed  $2\pi ER$ ,

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It is interesting that while the derivation uses a gravitational process, Newton's constant  $G$  disappears from the final expression... This suggests a broader/more fundamental origin for the bound.

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These problems were historically preventing a better interpretation of the bound.

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This can be thought of as a mega-generalized quantum version of the Bekenstein bound.

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for any QFT in  $d$  dimensions, where  $T_{00}(x)$  is the energy density operator. (It is a remarkable fact that by reducing the vacuum state to half space, we can learn about the energy density operator.)

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- Other Bekenstein-type bounds involving energy and entropy can be obtained whenever  $H$  is given in terms of the stress tensor, like in the case of spheres.



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The interpretation of the quantum bound is very different: there is no bound on degrees of freedom, it is rather related to the idea of distinguishability: when restricted to a region, fluctuations can be as large as to make it hard to distinguish the vacuum from another state if the energy (times distance to the boundary) of this other state is not big enough.

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The quantum bound is interpreted as the fact that it becomes difficult to distinguish a given state from the vacuum if its energy is too small.