# CHAPTER 4: PRODUCING AND ANLAYZING SEQUENCE ALIGNMENTS

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#### Motivation

- You have recently sequenced a gene and its CDS begins with
  - GGCGGAGCCAGGCCGGCCTAGAGTCACTTCTCC
- You have isolated a protein and its amino acid sequence is
  - MGKEIPTDAPWEAQHADKWDKMTMKELIDKICWTKTA
- Questions:
  - What does this protein do?
  - What are the important functional regions?
  - Do other organisms have similar genes or proteins?
- To answer these questions we can use a sequence alignment algorithm, such as BLAST

# Sequence alignment

- Two sequences should be aligned in such a way that maximizes their similarity
  - If they derive from a common ancestor, characters (bases or amino acids) derived from the same ancestral base should be aligned
  - Shared domains in proteins (and important regions in nucleotide sequences) should align, even if the sequences are not similar overall
- Alignment should take into account biological mutations and other events
  - Point mutations
  - Insertions or deletions (indels)
  - Gene duplications and pseudogenes (a gene copy that does not produce a functional protein)
    - The human genome has up to 20,000 pseudogenes!

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# Sequence alignment example

 Consider the alignment of two hypothetical protein sequences:

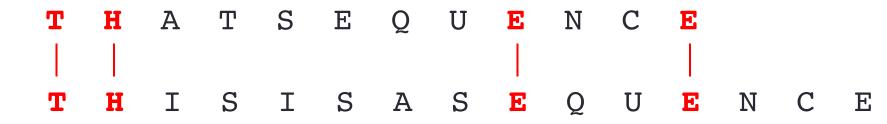
THISSEQUENCE and THATSEQUENCE

#### Sequence alignment example (different lengths)

Now consider the alignment of two hypothetical protein sequences:

THATSEQUENCE and THISISASEQUENCE,

where the amino acids I, S, and A were inserted into one of the original sequences



- When aligning both sequences from the beginning
  - similarity which is obvious to us is lost
  - false matches are created because of differences in length

#### Sequence alignment example (different lengths)

 The solution is to introduce a gap, which corresponds to an insertion or a deletion and is usually indicated by a dash (-) in an alignment

- There are always multiple possible alignments, and the best alignment is not always obvious
- The alignment must be selected using a quantitative scoring measure

## Sequence homology

- Homologous sequences (or homologues) are sequences that are descended from a common ancestor
- Homologous genes will accumulate different mutations (divergent evolution) during the course of evolution and their sequences are often not identical.
- Similarity is a descriptive term indicating that two or more sequences have a certain degree of identity or likeness
- Convergent evolution is when sequences with high similarity are not homologous
- Alignments cannot distinguish between homology and convergent evolution





# Homology is more easily detected from protein sequences

- Number of possible characters in nucleotides vs. proteins?
- Matches in nucleotide sequences are more likely due to chance than matches in protein sequences
- The genetic code is redundant
  - Identical amino acid sequences can be encoded by different nucleotide sequences
- Structure and function of a protein is determined by its amino acid sequence (although this is based on its nucleotide sequence)
- Which is more likely to change over time, nucleotide or amino acid sequences?

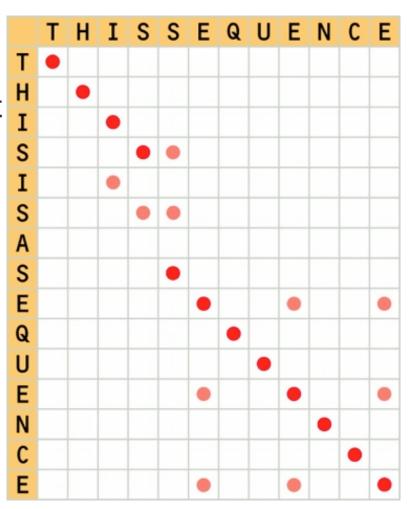
#### Scoring alignments

- Since multiple alignments are always possible, the best possible alignment is determined based on an alignment score
  - The optimal alignment is the alignment with the best score
  - Suboptimal alignments have slightly less scores than the best one
- The **percentage** or **percent identity** of an alignment is equal to the number of identical matches in an alignment divided by the length of the alignment (including gaps)

 The above alignment is optimal and has a percent identity of 11/16 = 68.75%

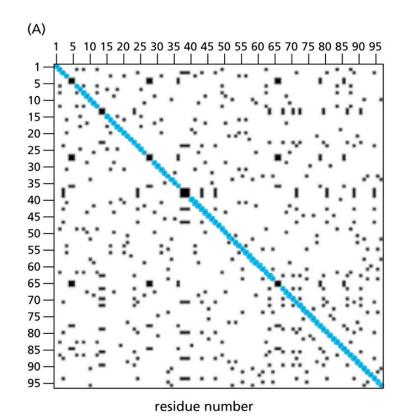
## **Dot-plots**

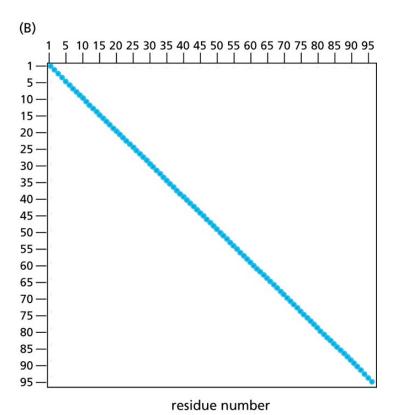
- A dot-plot is a display of the alignment of two sequences that visualizes sequence similarity graphically
- A dot indicates identity between characters of each sequence
- Interruptions along the diagonal indicate a gap
- In addition to visualizing overall similarity, dot-plots can indicate intrasequence repeats



#### Dot-plots and background noise

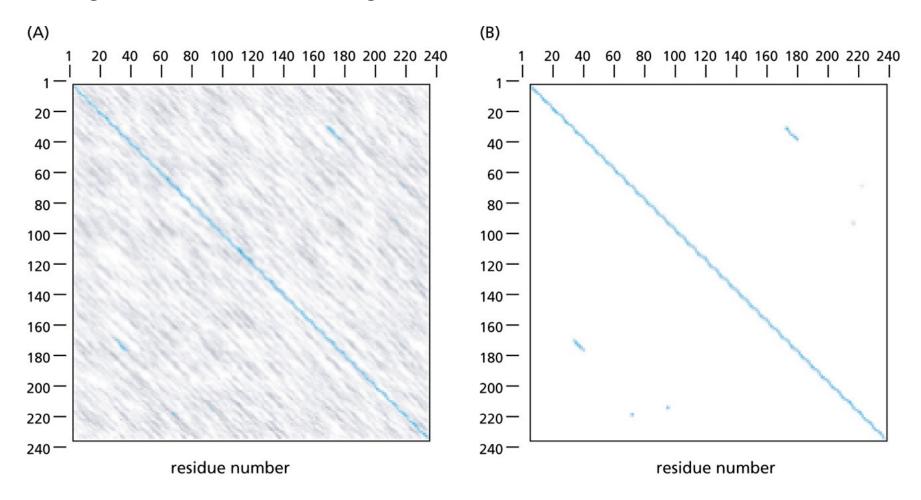
- A. dot-plot of an SH2 domain with itself
- B. the same dot-plot but with background noise removed, based on a window of 10 residues and a minimum identity score within each window of 3





#### Dot-plots showing BRCA2 repeat domain

Background is removed using a window of 30 and a minimum score of 5



# Similarity versus identity

- Genuine matches do not have to be identical
- Certain non-identical amino acids may have
  - Similar physical and chemical properties
  - May be more likely to be present at the same region than others in related sequences
- Percent similarity is calculated in the same way as percent identity but similar matches are also considered

- Isoleucine (I) and alanine (A) are hydrophobic; serine (S) and threonine (T) are polar
- Percent similarity is 12/15 = 80%

#### Substitution matrices

- For protein sequences, the score for each aligned pair of amino acids is determined by a substitution matrix, which has values for all possible pairs of residues.
- Example:

```
      Seq1:
      T
      H
      I
      S
      S
      E
      Q
      U
      E
      N
      C
      E

      Seq2:
      T
      H
      A
      T
      S
      E
      Q
      U
      E
      N
      C
      E

      Score:
      5
      8
      -1
      1
      4
      5
      5
      0
      5
      6
      9
      5
```

This alignment has an overall score (S) of 52

#### Substitution matrices

- BLOSUM matrices
  - BLOck SUbstitution Matrix
  - Based on local alignments to detect conserved short regions
  - Sequences grouped based on percent identity
  - Substitution frequencies are then calculated
  - The percent identify threshold for grouping determines the specific BLOSUM matrix
    - BLOSUM-62 is based on grouping aligned sequences with at least no more than 62% identity
  - Positive scores indicate conservative substitutions
  - Negative scores indicate non-conservative substitutions
  - All BLOSUM matrices are based on observed alignments

```
( \frown )
                                                     BLOSUM-62 matrix
C
     9
                  small and polar residues
S
        -1 - 1
                              small and nonpolar
                         6
N
                             6
                                         polar or acidic residues
                                 6
                                      5
                                 0
                                                        basic
H
                                 -1
                                              8
                                                                    large and
M
                                 -3
                                                                    hydrophobic
                                                               3
                                                                       4
                                                                                aromatic
F
                                                                            3
W
                                                                                  11
                                              H
                                                                                   W
                                                           M
```

#### Substitution matrices

- Point Accepted Mutation (PAM) matrices
  - Based on amino acid frequencies in alignment of similar and homologous protein sequences
  - Probabilities were calculated for whether a given amino acid mutates to any other over a given period of time
  - The logarithm of this probability gives the substitution score
  - Based on number of changes from each amino acid and total number of occurrences
  - There are multiple PAM matrices and the PAM # corresponds to the number of accepted point mutations per 100 residues.
  - For example, the PAM250 contains scores based on an expected evolutionary distance corresponding to 250 point accepted mutations for every 100 amino acid residues
  - All PAM matrices are based on PAM1

#### PAM vs. BLOSUM Substitution matrices

Choice depends on evolutionary distance

- For distantly related sequences
  - Use lower BLOSUM number or higher PAM number

- For closely related sequences
  - Use higher BLOSUM number and lower PAM number

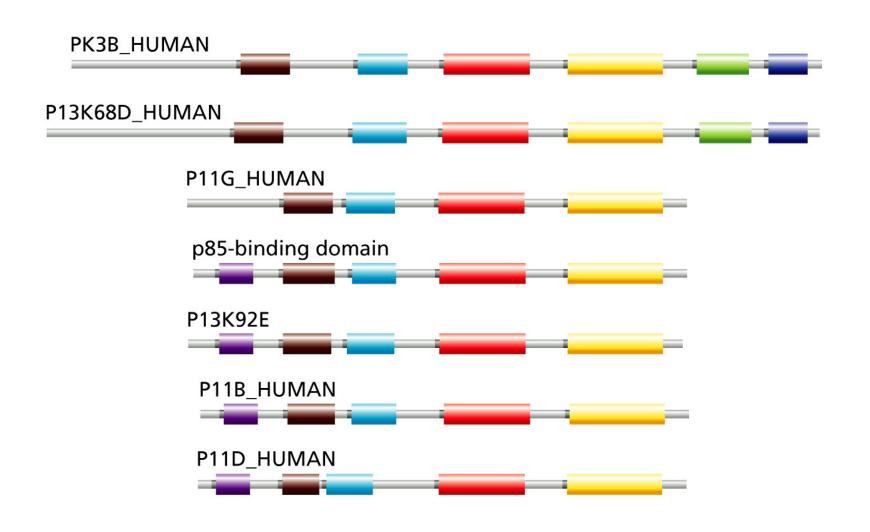
# **Inserting Gaps**

- A gap in a sequence alignment indicates an insertion or deletion in the sequence
- When a gap is introduced, a gap penalty is added to the score
  - Insertions and deletions are not likely to occur in regions of structural importance
- Insertions tend to be several residues long
  - A smaller gap extension penalty is added each time a gap is extended
- Gaps cannot be aligned with each other

# Types of alignments

- A global alignment aligns two sequences across their entire lengths
  - Appropriate for homologous sequences
- A local alignment detects shared regions (e.g., domains) which may be missed in global alignments
- A pairwise alignment is the alignment of two sequences
- A multiple alignment is the simultaneous alignment of more than two sequences

# Many proteins have multiple domains



(A) <mark>local</mark>

PI3-kinase DRHNSNIMVKDDGQLFHIDFG CAMP PK DLKPENLLIDQQGYIQVTDFG

# Local and global alignments

global PI3-kinase HQLGNLR--LEECRI---MSSAKRPLWLNWENPDIMSELLFQNNEIIFKNGDDLRQDMLT cAMP PK GNAAAAKKGX<mark>E</mark>QESVKEFLAK<mark>AK</mark>EDFLKK<mark>WENP</mark>AQNTAH<mark>L</mark>DQFERIKTLGTGSFGRV<mark>ML</mark>-PI3-kinase LQIIRIME--NIWQNQGLDLRMLPYGCLSIGDCVGLIEVVRNSHTIMQ-IQCKGGLKGAL cAMP PK ---VKHMETGNHYAMKILDKQKVVK-----LKQIEHTLNEKRILQAVNFPFLVKLEF PI3-kinase QFNSHT-LHQWLKDKNKGEIYDAA--IDLFTRSCAGYCVATFILGIGDRHNSNIMVKD-D cAMP PK SFKDNSNLYMVMEYVPGGEMFSHLRRIGRFSEPHARFYAAQIVLTFEYLHSLDLIYRDLK PI3-kinase GQLFHIDFGHFLDHKKKKFGYKRERVP----FVLTQDFL---IVISKGAQECTKTREFE cAMP PK PENLLIDQQGYI--QVTDFGFAK-RVKGRTWXLCGTPEYLAPEIILSKGYNKAVDWWALG 

# Alignment algorithms (preview)

- Needleman-Wunsch (1970) and variations:
  - for aligning two sequences
  - uses dynamic programming to "consider" all possible alignments (10<sup>600</sup> for two sequences of length 1000!)
- FASTA: uses a heuristic method for efficient searches (though not guaranteed to find the optimal solution)
  - Creates dictionary of k-tuples for the query sequence which is checked against sequences in the database
  - A local alignment algorithm is used to complete the alignment
- BLAST (Basic Local Alignment Search Tool): also fast and uses a heuristic
  - Finds short matches (which do not have to be perfect)
  - Then uses local alignment to complete the alignment