# CSCI 5454: PS1

## Robert Werthman

## 1.

Let's say these algorithms solve an array sorting problem.

- Let algorithm A be bubblesort with a worst-case runtime of  $n^2$ .
- Let algorithm B be mergesort with a worst-case runtime of n \* log(n).
- Let C be the newly designed sorting algorithm with a worst-case runtime of h(n).

In this case, O(min(f(n), g(n))) will become O(n \* log(n)) because it is the smaller of the two runtimes.

If h(n) is log(n) then h(n) achieves the running time O(min(f(n), g(n))) because log(n) does not grow faster than n \* log(n) and is therefore bounded above by it.

Yes, you can achieve a running time exactly min(f(n), g(n)). Algorithm C would need to be designed in such a way that its running was equal to min(f(n), g(n)).

### 2.

**Proposition/Claim:** For any real constants a and b, where b > 0, the asymptotic relation  $(n + a)^b = \Theta(n^b)$  is true.

**Theorem:** The asymptotic relation  $(n+a)^b = \Theta(n^b)$  is true iff:

• There exists positive constants  $c_1, c_2, n_0$  s uch that  $0 \le c_1(n^b) \le (n + a)^b \le c_2(n^b)$  for all  $n \ge n_0$ .

In order to prove the proposition above we must find some constants  $c_1, c_2, n_0$  to satisfy the above bulleted sentence.

#### **Proof:**

First we want to find the floor and ceiling of n + a so we can create an inequality similar to the one in the theorem above.

- 1. If  $|a| \le n$  then we can say that  $n + a \le n + |a| \le 2n$  (Ceiling of n + a).
- 2. If  $|a| \leq \frac{1}{2}n$  then we can say that  $n + a \geq n |a| \geq \frac{1}{2}n$  (Floor of n + a).

Now if  $2|a| \leq n$  then we can combine the floor and ceilings into an compound inequality that holds true:

$$0 \le \frac{1}{2}n \le n + a \le 2n$$

The only thing missing from this new equation is a power of b. Raising the new equation to a power of b gives:

$$0 \le (\frac{1}{2}n)^b \le (n+a)^b \le (2n)^b \Rightarrow 0 \le (\frac{1}{2})^b n^b \le (n+a)^b \le (2)^b n^b$$

Extracting the constants  $c_1, c_2, n_0$  from this equation yields  $c_1 = (\frac{1}{2})^b, c_2 = 2^b$ , and  $n_0 = 2|a|$  since  $n \geq 2|a|$ . These represent one solution.

## 3.

 $f(n) = \Omega g(n)$  means that for all values to the right of some  $n_0$  the value of f(n) is on or above cg(n).

## **Equivalence Classes**

$$\begin{aligned} & lg(n!) = \Theta(n \, lg \, n) \\ & n^{1/lg \, n} = \Theta(1) \end{aligned}$$

## **4.**

#### a.

$$T(n) = T(n-1) + n, T(1) = 1$$

I will use a recurrence tree to solve this recurrence relation.



The height of the tree is n and the cost at the root starts at n and decreases by 1 each level in the tree.

This means that the total cost of the tree is n.

So 
$$T(n) = O(cost * depth) = O(n^2)$$
.

#### b.

$$T(n) = 2T(n/2) + n^3$$
,  $T(1) = 1$ 

I will use the master method to solve this recurrence relation.

$$a = 2, b = 2, f(n) = n^3$$
  
so  $n^{\log_b a} = n^{\log_2 2} = n$ 

This tells us that the first 2 rules of the master theorem do not apply.

- 1.  $f(n) \neq O(n^{1-\epsilon})$
- 2.  $f(n) \neq \Theta(n)$

This leaves the 3rd rule of the master theorem as the solution.

3. 
$$f(n)=n^3=\Omega(n^{1+\epsilon})$$
 if  $\epsilon=1$ . And  $2f(n/2)\leq cf(n)\Rightarrow 2(n/2)^3\leq cn^3$  if  $c=\frac{1}{2}$  and  $n\geq 1$ .

Therefore,  $T(n) = \Theta(n^3)$ .

## **5.**

a.

```
Data: Nearly sorted array of size n integers
  Result: Completely sorted array
1 for j = 2 to A.length do
     \text{key} = A[j];
     i = j - 1;
3
     while i > 0 and A[i] > key do
4
         A[i+1] = A[i];
\mathbf{5}
        i = i - 1;
6
      end
     A[i+1] = key;
8
9 end
```

**Algorithm 1:** Insertion-Sort(A)

**Analysis:** In order to figure out the running time of Insertion Sort we need to add up the cost of each statement in the algorithm.

- If the array is of size n then the statement for j = 2 to A.length will execute n times with a cost of  $c_1$ .
- The statements  $\mathbf{key} = \mathbf{A[j]}$  (inserting into an array) and  $\mathbf{i=j-1}$  (setting a variable) will execute n-1 times each with a cost of  $c_2$  and  $c_3$  respectively.
- Since k elements are unsorted in this array than any unsorted element is no more than k places away from its sorted position. This means that the statement **while**  $\mathbf{i} > \mathbf{0}$  and  $\mathbf{A}[\mathbf{i}] > \mathbf{key}$  could be executed in the worst case  $\sum_{j=2}^{n} k$  times with a cost of  $c_4$ .
- The statements A[i+1] = A[i] (inserting into an array) and i = i + 1 (setting a variable) are executed  $\sum_{j=2}^{n} k 1$  times with a cost of  $c_5$  and  $c_6$  respectively.
- Finally, the statement A[i+1] = key (inserting into an array) is executed n-1 times with a cost of  $c_7$ .

Therefore, the equation for the runtime, T(n), of insertion-sort is:

$$T(n) = c_1 n + c_2 (n-1) + c_3 (n-1) + c_4 \left(\sum_{j=2}^n k\right) + c_5 \left(\sum_{j=2}^n k - 1\right) + c_6 \left(\sum_{j=2}^n k - 1\right) + c_7 (n-1)$$

$$= c_1 n + c_2 (n-1) + c_3 (n-1) + c_4 \left(k(n-1)\right) + c_5 \left(\sum_{j=2}^n k - 1\right) + c_6 \left(\sum_{j=2}^n k - 1\right) + c_7 (n-1)$$

Since k < n further reduction of T(n) would yield a linear function of n so we can say the runtime would turn out to be O(n).

#### b.

The sorting algorithm I suggest to get a O(n) runtime is Counting Sort.

```
Data: A is the input array of length n
  Data: B is the sorted array of length n
  Data: k is the highest integer in A
1 let C[0..k] be a new array
2 for i = 0 to k do
     C[i] = 0
4 end
5 for j = 1 to A.length do
  C[A[j]] = C[A[j] + 1
7 end
s for i = 1 to k do
  C[A[j]] = C[i] + C[i-1]
10 end
11 for j = A.length downto 1 do
      B[C[A[j]]] = A[j]
      C[A[j]] = C[A[j]] - 1
13
14 end
```

**Algorithm 2:** Counting-Sort(A, B, k)

#### **Analysis:**

- Initializing C[0..k] takes k+1 time to execute and costs  $c_0$ .
- The statement for i = 0 to k take k + 1 times to execute and cost  $c_1$ .
- The statements for j = 1 to A.length and j = A.length downto 1 take n times to execute and cost  $c_3$  and  $c_4$  respectively.
- The statement i = 1 to k takes k times to execute and costs  $c_2$ .

The equation for the runtime, T(n), of Counting Sort is:

$$T(n) = c_0(k+1) + c_1(k+1) + c_3n + c_4n + c_2k \dots$$

Reducing T(n) further would show that the runtime of Counting Sort is a linear function of n that runs in a linear time of O(k+n). If k = O(n) then the running time is  $\Theta(n)$ .

c.

(b) doesn't contradict the  $\Omega(n\log n)$  lower bound given on page 59 of the textbook because the algorithm is not a comparison sorting algorithm. It has been proven that any comparison sort must make  $\Omega n \log n$  comparisons in the worst case to sort n elements. Since counting sort is not a comparison sorting algorithm its runtime is not bounded by  $\Omega(n \log n)$ .