

Homework 7

Due **Saturday**, October 30

Written Part

5. In problem 1 we computed square roots using Sage's built in functionality. But if $p \equiv 3 \pmod{4}$, there is actually an easy algorithm! So fix $p \equiv 3 \pmod{4}$ and let $a \in \mathbb{F}_p^*$ have a square root mod p . Give a $\mathcal{O}(\log p)$ algorithm to compute a square root of a modulo p , and prove its correctness. (*Hint: You can do this in a single exponentiation!*)

Proof. We will show that if $p \equiv 3 \pmod{4}$ and $a \in \mathbb{F}_p^*$. Then $a^{\frac{p+1}{4}}$ is a square root of a . To see this, compute:

$$\begin{aligned} \left(a^{\frac{p+1}{4}}\right)^2 &= a^{\frac{p+1}{2}} \\ &= a^{\frac{p-1}{2}+1} \\ &= a^{\frac{p-1}{2}} \cdot a \\ &\equiv a \pmod{p} \end{aligned}$$

where in the last step we observe that since a has a square root, we know $a^{\frac{p-1}{2}} \equiv 1 \pmod{p}$ by Euler's Criterion (HW5 Problem 8a). Therefore, the algorithm for computing the square root of a is merely using fast powering to compute $a^{\frac{p+1}{4}}$. \square

6. Let $L(X) = e^{\sqrt{\ln X \ln \ln X}}$. Prove that $L(X)$ is subexponential (in the number of bits of X) by proving:

- (a) $L(X) = \mathcal{O}(X^\beta)$ for every $\beta > 0$.

Proof. Let $\beta > 0$. We compute:

$$\begin{aligned} \lim_{x \rightarrow \infty} \frac{e^{\sqrt{\ln x \ln \ln x}}}{x^\beta} &= \lim_{x \rightarrow \infty} \frac{e^{\sqrt{\ln x \ln \ln x}}}{e^{\beta \ln x}} \\ &= \lim_{x \rightarrow \infty} e^{\sqrt{\ln x \ln \ln x} - \beta \ln x}. \end{aligned}$$

We will show that the exponent converges to $-\infty$ so that the limit converges to 0. For simplicity, we make the substitution $k = \ln x$, and note that $x \rightarrow \infty$ if and only if $k \rightarrow \infty$. Then we are computing:

$$\lim_{k \rightarrow \infty} \sqrt{k \ln k} - \beta k = -\infty,$$

It suffices to show that the second term grows faster, that is:

$$\lim_{k \rightarrow \infty} \frac{\beta k}{\sqrt{k \ln k}} = \lim_{k \rightarrow \infty} \frac{\beta \sqrt{k}}{\sqrt{\ln k}} = \infty,$$

which is true as polynomial growth is faster than logarithmic growth. \square

- (b) $L(X) = \Omega((\ln X)^\alpha)$ for every $\alpha > 0$.

Proof. In class we showed that if $f(x) = e^{\sqrt{\ln x}}$ then $f(x) = \Omega((\ln x)^\alpha)$ for every $\beta > 0$. It therefore suffices to show that $L(x) = \Omega(f(x))$. Notice that if $x > e^e$, then $\ln \ln(x) > \ln \ln(e^e) = \ln(e) = 1$, so that $\ln x \ln \ln x > \ln x$. In particular, we may conclude that for all such x :

$$L(x) = e^{\sqrt{\ln x \ln \ln x}} > e^{\sqrt{\ln x}} = f(x),$$

so that $L(x) = \Omega(f(x))$, completing the proof.

For completeness we include the proof that $f(x) = \Omega((\ln x)^\alpha)$ for every $\beta > 0$. Using the Taylor series for e^t , we see that:

$$f(x) = \sum_{n=0}^{\infty} \frac{(\ln x)^n}{n!}.$$

For any $N > 0$, let T_N be the N 'th Taylor polynomial:

$$T_N(x) = \sum_{n=0}^N \frac{(\ln x)^n}{n!}.$$

Since $\frac{(\ln x)^n}{n!} > 0$ for $x > 1$, we see that $f(x) > T_N(x)$ for $x > 1$. In particular, $f(x) = \Omega(T_N(x))$ for any N . Fix any $\alpha > 0$ and fix $N > \alpha$. We are done if we can show:

$$\lim_{x \rightarrow \infty} \frac{(\ln x)^\alpha}{T_N(x)} < \infty.$$

For simplicity, we make the substitution $k = \ln x$. Then $k \rightarrow \infty$ if and only if $x \rightarrow \infty$, so it suffices to show that:

$$\lim_{k \rightarrow \infty} \frac{k^\alpha}{1 + k + k^2/2 + \cdots + k^N/N!} = 0.$$

But this is clear as the denominator is a polynomial of degree greater than the numerator. \square

7. Optimizing the various parts of our sieve factorization algorithm one can show that we can factor N in about $\mathcal{O}(L(N))$, which is subexponential! Let's see how good this is. For simplicity, suppose it takes about $L(N)$ computations to factor N , and we have a computer that can run a billion computations in a second. How long would it take to factor N of the following orders. (Put your answer in seconds, days, years...whatever is appropriate. Also if you do your computations on cocalc turn that part in too so the grader can see).

- (a) $N \approx 2^{100}$. 0.027802429905024805 seconds.
- (b) $N \approx 2^{250}$. 159.2147074064945 minutes.
- (c) $N \approx 2^{500}$. 1130.0731911459704 years.
- (d) $N \approx 2^{1000}$. 5.553235322322046 trillion years.

Recall the function $\Psi(X, B) = \#\{n \leq X : n \text{ is } B\text{-smooth}\}$. In class we stated the following claim about the growth of Ψ in certain cases

Theorem 1 ([HPS] Theorem 3.43). *Suppose there exists some $0 < \varepsilon < 1/2$ such that:*

$$(\ln X)^\varepsilon < \ln B < (\ln X)^{1-\varepsilon}.$$

Let u be the ratio $\ln X / \ln B$. Then the number of B -smooth numbers less than X satisfies:

$$\Psi(X, B) \approx Xu^{-u}.$$

(Note, here \approx can be taken to mean that their difference is a function whose limit as X goes to infinity is 0, although in the book they have something slightly more precise). This had the following Corollary, which is more useful for our analysis.

Corollary 1 ([HPS] Corollary 3.45). *Let $0 < c < 1$. Then:*

$$\Psi(X, L(X)^c) \approx X \cdot L(X)^{(-1/2c)}.$$

8. Prove Corollary 1 using Theorem 1. In particular, prove the following two steps.

(a) Show that there exists some $0 < \varepsilon < 1/2$ with

$$(\ln X)^\varepsilon < \ln(L(X)^c) < (\ln X)^{1-\varepsilon}.$$

Proof. Making the substitution $k = \ln X$ we'd like to show that:

$$k^\varepsilon < c\sqrt{k \ln k} < k^{1-\varepsilon},$$

for k large enough. Let $\delta = 1/2 - \varepsilon$. Then this means showing:

$$k^{1/2}k^{-\delta} < k^{1/2}c\sqrt{\ln k} < k^{1/2}k^\delta,$$

and since k is positive we can cancel the $k^{1/2}$ and therefore show that:

$$k^{-\delta} < c\sqrt{\ln k} < k^\delta.$$

for any $\delta > 0$, $0 < c < 1$, and k large enough. Since the left side approaches 0 as $k \rightarrow \infty$, the left inequality is clear. The right inequality follows from the observation that polynomial growth is faster than logarithmic. \square

(b) Let $u = \ln X / \ln(L(X)^c)$. Show that:

$$u^{-u} \approx L(X)^{-1/2c}.$$

Then leverage that \approx is transitive to deduce the corollary.

(Hint: Write $u^{-u} = L(X)^{\frac{-1}{2c}(1+f(X))}$ for some function $f(X)$ such that $\lim_{X \rightarrow \infty} f(X) = 0$. In fact, this is the definition of \approx given in the book!).

Proof. Note that $\ln(L(X)^c) = c \ln L(X)$. To try to keep our heads on straight we make the simplifying substitution $k = \ln X$. With this and the first sentence in mind we compute:

$$u = \frac{k}{c\sqrt{k \ln k}} = \frac{1}{c} \sqrt{\frac{k^2}{k \ln k}} = \frac{1}{c} \sqrt{\frac{k}{\ln k}}.$$

Therefore:

$$u^{-u} = \left(\frac{1}{c} \sqrt{\frac{k}{\ln k}} \right)^{-\frac{1}{c} \sqrt{\frac{k}{\ln k}}} = e^{\ln \left(\frac{1}{c} \sqrt{\frac{k}{\ln k}} \right) \cdot \left(-\frac{1}{c} \sqrt{\frac{k}{\ln k}} \right)},$$

where in the last step we use that $t = e^{\ln t}$ for any t . Let's focus for a moment on the exponent.

$$\begin{aligned} \ln \left(\frac{1}{c} \sqrt{\frac{k}{\ln k}} \right) \cdot \left(-\frac{1}{c} \sqrt{\frac{k}{\ln k}} \right) &= (1/2 \ln k - \ln c - 1/2 \ln \ln k) \cdot \left(-\frac{1}{c} \sqrt{\frac{k}{\ln k}} \right) \\ &= \frac{-1}{2c} (\ln k) \left(1 - 2 \frac{\ln c}{\ln k} - \frac{\ln \ln k}{\ln k} \right) \sqrt{\frac{k}{\ln k}} \\ &= \frac{-1}{2c} \sqrt{k \ln k} (1 + f(k)), \end{aligned}$$

where $f(k) = -(2 \frac{\ln c - \ln \ln k}{\ln k})$ goes to 0 as $k \rightarrow \infty$. Therefore, substituting back in for $X = e^k$ we see that:

$$u^{-u} = e^{-\frac{1}{2c} \sqrt{\ln X \ln \ln X} (1 + f(2^x))} = L(X)^{-\frac{1}{2c} (1 + f(\ln x))},$$

where $f(\ln x) \rightarrow 0$ as $x \rightarrow \infty$, giving the result! □