# Recommendation Systems for Movies with Sentiment Analysis using Neural Networks

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## **Abstract**

In this research paper we apply the methodology outlined in the arXiv working paper: "Joint Deep Modeling of Users and Items Using Reviews for Recommendation" for rating prediction of movies. The approach used in this paper models users and items jointly using review text in two cooperative neural networks that the authors refer to as a Deep Cooperative Neural Network Model (DeepCoNN) We have extended their model by implementing a different architecture and objective function. We plan to further extend it by testing different hyperparameters and architectures.

# 1. Introduction

Our initial milestone recreated the DeepCoNN model outline in the JDM paper using texts reviews for movies from the Amazon Instant Video dataset. The results of this recreated model will serve as a baseline for model comparison and evaluation. For the milestone we made a number of small improvements to the model

Source Code:: Joint Deep Modeling of Users and Items Using Reviews

#### 1.1. Related Work

The literature on recommendation systems is deep and until recently has traditionally focused on well-known matrix factorization algorithms, such as collaborative filtering, as popularized by Netflix and Spotify [Lee, et. al., 2012]. The benefits of collaborative filtering is that it is relatively easy to implement and computationally efficient given most similarity measure i.e. cosine similarity is matrix multiplication. Conversely, the draw backs in real-world applications have significant implications: namely CF suffers from the "cold-start" problem in that it requires user ratings to make predictions. For instance, for new users without prior history it's hard to predict ratings/recommendations thus models have poor generalization [Balakrishnan, Dixit, 2016]

Recent research has focused on using Deep Learning, specifically convolutional and recurrent neural networks to help solve the generalization problem. Specifically, we will be focusing on expanding the Deep Cooperative Neural Networks (Deep-CoNN) model thus our primary reference will be the original [Zheng, Noroozi, et. al. 2017] paper. The authors do not provide their code online, but other have recreated it for video games reviews here Joint and Deep. Additional related papers that we referenced for constructing the Deep portion of our network include DeepFM [Guo, Tang, et. al. 2017] which similar to our model, combines a matrix factorization with a CNN architecture; and TransNets [Catherine and Cohen. 2017], which extends DeepCoNN to examples where the users review is not available.

It should be noted that our original proposal was to build and improved model of Google's Wide and Deep model. We spent a considerable amount of time going down this path, but ultimately came to the conclusion that we could not source the high quality categorical data needed for training the wide part of the model. We decided to switch to the DeepConn model due to it's improved performance and the availability of high quality data for training, although the model is more complex and will likely be computationally more expensive to train.

### 1.2. Problem Formulation

In order to make better movie recommendations how can we more accurately predict a users rating for an unseen movie based on what the user has previously seen? Furthermore, can we improve generalization of our model when information on a users past movie ratings is limited?

These two questions are the crux of our problem. Prior to deep learning, standard approaches for recommendation systems (RecSys) used collaborative filtering which relies on decomposing users, items (i.e. movies), and ratings into latent feature matrices. Then the weights of these matrices are used to predict a rating a user would give for an item. One common method includes using the cosine similarity measure between all pairs of movies that users have rated:

Where,

 $m_i$  and  $m_j$  refer to movie1/movie2 and denote vectors of ratings from users have rated both movies:

$$\mathbf{sim}(m_i, m_j) = cos(\theta) = \frac{\vec{m_i} \bullet \vec{m_j}}{||\vec{m_i}||_2 \times ||\vec{m_j}||_2}$$

This yields a movie-to-movie similarity matrix of dimensions  $M \times M$  with ones along the diagonal. Thus, the predicted rating for movie  $m_2$  for user1 would be calculated using similarity measures between  $(m_2, m_1)$  and  $(m_2, m_3)$  weighted by the respective ratings for  $m_1$  and  $m_3$ .

From the above formulation we can clearly see the major disadvantage of this approach: sparsity. When ratings are limited the movie-to-movie matrix is mainly zeros thus limiting predictive ability. In recent years a researcher have attempted to work around this limitation by using text data that users write after watching movies. This text data is used as input for training neural networks and offers additional insights to simple numerical ratings or categorical features.

The DeepCoNN model formulation that we'll focus on in this research uses two jointly modeled neural networks. The first network  $Net_i$  uses all of the text reviews for a given user. The second network  $Net_p$  uses all of the text reviews for a particular product (movie).

## 1.3. Development

By modifying a code base found online Joint and Deep we were able to replicate one of the base-case scenarios for the DeepCoNN model. The original code base was incomplete and not well suited for our data, thus our reconfiguring of the DeepCoNN model presented a number of technical challenges.

The data was sourced from Julian McAuley's amazon data repository hosted by UCSD. The raw input is dictionary of 18563 samples of user ID numbers to movie ID numbers. We use one-hot encoding to convert these raw inputs. First, we used GloVe (50) to transform our reviews into a vector representation. GloVe returns a matrix representation for each word, so to get the data into the same form as that which is presented in the paper, we take the mean of each column in the GloVe word matrix. Thus for each review we have N words in the review and 50 columns for an N x 50 matrix.

The second technical issue to work through is combining the two networks into a single loss function. This aspect is key to this model and others designed to combine the results of two (or more) neural networks and train them jointly with a common loss function. A number of approaches exist, but as a first step, we tested the baseline implementation of the model, by taking the dot product of the output from the fully connected layer of  $Net_i$  and output from the fully connected of  $Net_p$ . This method is straightforward

to implement, but suffers from the fact that doesn't capture higher level interactions between features. The the CNN architecture described in the DeepCoNN paper was built using Keras and many of the Keras native methods.

#### 1.4. Architecture

The first layer of the network items (movies are represented as matrices of word embeddings as discussed above. The next layers are standard to CNN's including the convolution, max pooling and fully connected layers. We use ReLU activations for each convolutional layer as is standard in the literature. The output of each network is joined by taking the dot product of  $X_u$  and  $Y_i$  the output vectors of the two neural networks. As described in the paper, we optimize the model using RMSprop, which is an adaptive version of gradient descent that controls the step size with respect to the absolute value of the gradient.

We partition the data into training and test sets, using 90% for the training data. The networks are trained us batch sizes of 32 in the the current implementation we do not use regularization. We use a standard mean squared error loss function to train our target value  $\hat{Z}$  (the dot product of  $X_u$  and  $Y_i$  the output vectors). Our network architecture can be seen in the overview below and the exact specification are as follows:

- Filters = 2
- Strides = 6
- Kernal Size = 8
- Padding =
- Layers(nodes) =

#### **Network Architecture**

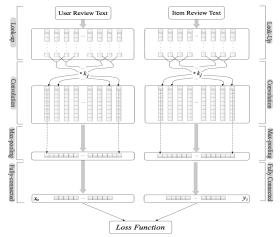


Figure 1: The architecture of the proposed model

Model	Losses	Training Time	
DeepCoNN-DP <sup>1</sup>			
Stand Alone FM			

Exhibit 1. Model Summary

## 1.5. Preliminary Results

Our preliminary work toward the milestone consisted of reconstructing aspects of the code as highlighted above to model text review data. We confirmed the results reported in the Joint Deep Modeling paper for the DeepCoNN-DP implementation and extended the original DeepCoNN by using alternative hyperparameters of the original architecture that are detailed in Exhibit 1.

#### 1.6. Further Research

For our final project we intended to test various methods for building a shared layer between in the two networks, including a factorization machine. The FM approach explained in the paper introduces a shared layer, which allows us to map the two vectors into the same feature space. This is accomplished by concatenating the two vectors  $X_u$  and  $Y_i$  and then estimating a factorization machine on the resulting vector Z. The FM method will capture higher levels interactions between the features.

We also intended to test other hyperparameter setting and potentially different architectures including an LSTM structure. We also intend to introduce regularization. Finally, as a robustness check, we intend to test our model on other datasets, including the amazon TV and video games datasets. however we plan to implement regularization into our final project. Both of these datasets have similarly structured text reviews and should be amenable to the Depp-CoNN predictive model.

# 1.7. References

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