

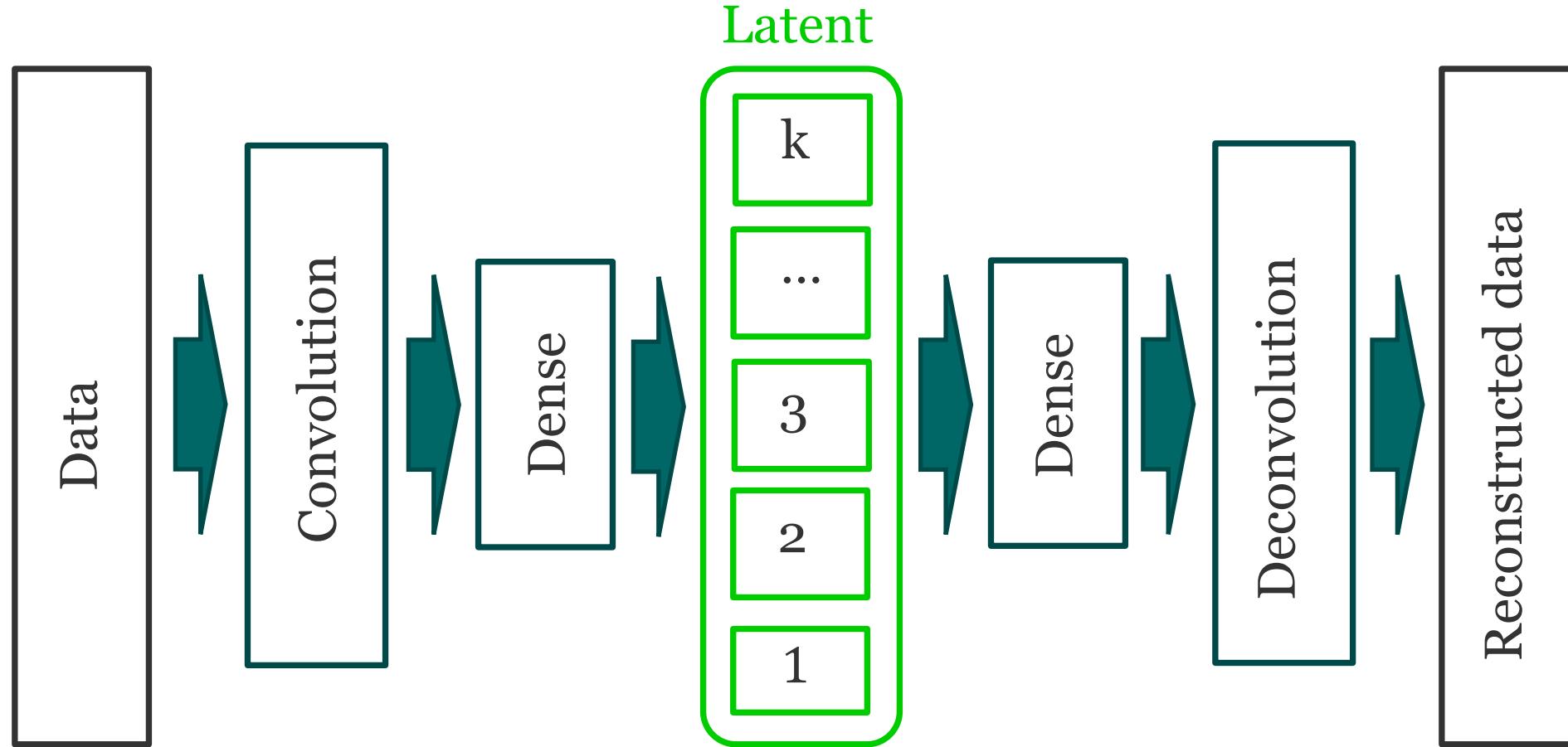
(Variational) Autoencoders

Sergei V. Kalinin

The VAE Story

- What are (Variational) autoencoders?
 - Encoding and decoding
 - Latent distribution
 - Latent representations
 - Disentanglement of the representations
- Why invariances: rotational, translational, and shear
- Other colors of VAEs:
 - Semi-supervised
 - Conditional
 - Joint
- VAEs for real-world examples
- From VAEs to encoder-decoders (VED)
- BO in the latent space
- Active learning: DKL

Autoencoders



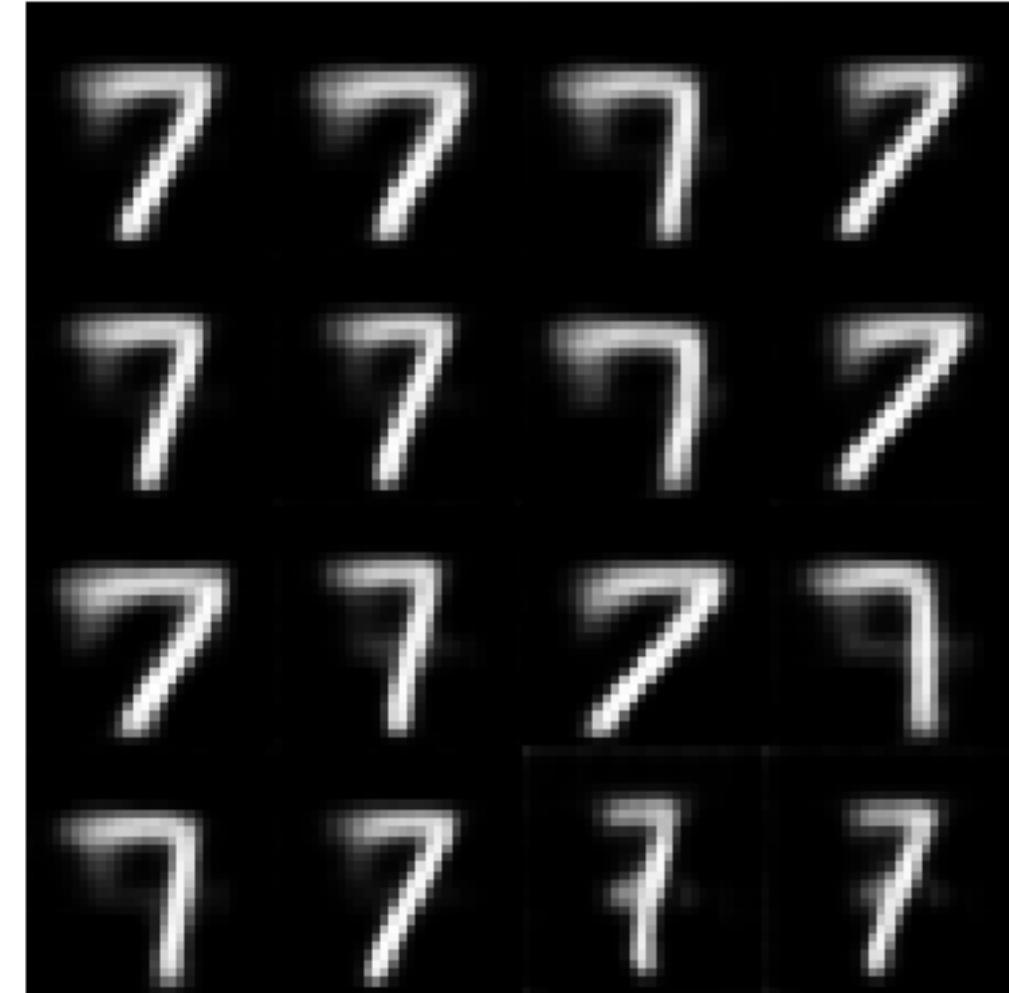
Loss: reconstruction loss

The AE reconstructs data

Input data



Decoded data



Why are AE important?



Geoffrey Hinton

Emeritus Prof. Comp Sci, U.Toronto & Engineering Fellow, Google

Verified email at cs.toronto.edu - [Homepage](#)

machine learning psychology artificial intelligence cognitive science computer science

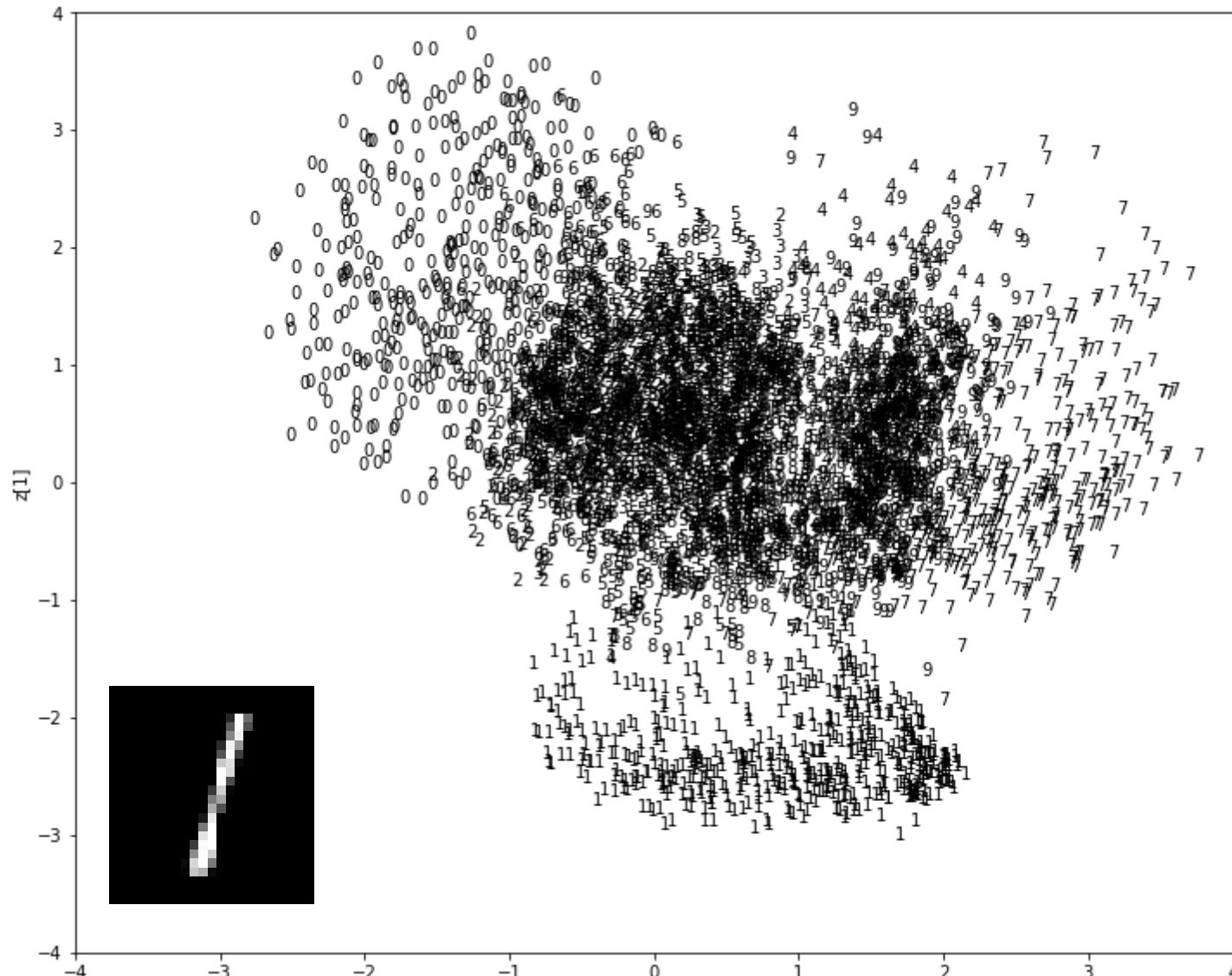
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TITLE	CITED BY	YEAR
Imagenet classification with deep convolutional neural networks A Krizhevsky, I Sutskever, GE Hinton Communications of the ACM 60 (6), 84-90	130318	2017
Deep learning Y LeCun, Y Bengio, G Hinton Nature 521 (7553), 436-44	62790	2015
Dropout: a simple way to prevent neural networks from overfitting N Srivastava, G Hinton, A Krizhevsky, I Sutskever, R Salakhutdinov The journal of machine learning research 15 (1), 1929-1958	42078	2014
Visualizing data using t-SNE L van der Maaten, G Hinton Journal of Machine Learning Research 9 (Nov), 2579-2605	35035	2008
Learning representations by back-propagating errors DE Rumelhart, GE Hinton, RJ Williams Nature 323 (6088), 533-536	32239	1986
Learning internal representations by error-propagation DE Rumelhart, GE Hinton, RJ Williams Parallel Distributed Processing: Explorations in the Microstructure of ...	30711	1986
Schemata and sequential thought processes in PDP models. D Rumelhart, P Smolensky, J McClelland, G Hinton Parallel distributed processing: Explorations in the microstructure of ...	28073 *	1986
Learning multiple layers of features from tiny images A Krizhevsky, G Hinton	21876	2009
Rectified linear units improve restricted boltzmann machines V Nair, GE Hinton Proceedings of the 27th international conference on machine learning (ICML ...	21050	2010
Reducing the dimensionality of data with neural networks GE Hinton, RR Salakhutdinov Science 313 (5786), 504-507	19930	2006

Reducing the dimensionality of data with neural networks

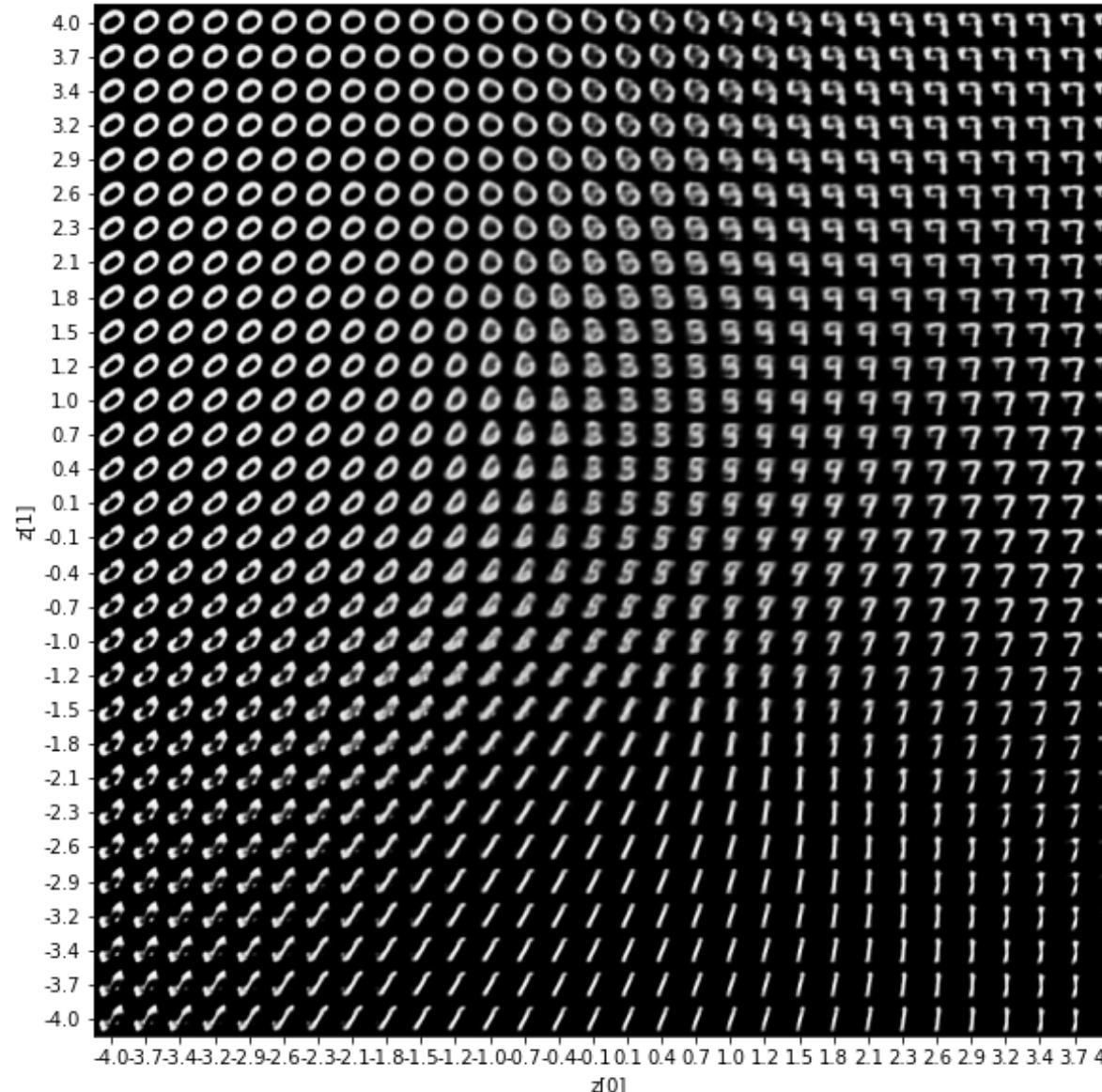


Encoding: Image → Latent Space



Latent distribution: Encoding the data via low dimensional vector

Decoding: Latent Space → Image



Latent representation: Decoding images from uniform grid in latent space

Image Reconstruction

Test color images (Ground Truth)



Test gray images (Input)



Image Reconstruction

Test color images (Ground Truth)



Colorized test images (Predicted)

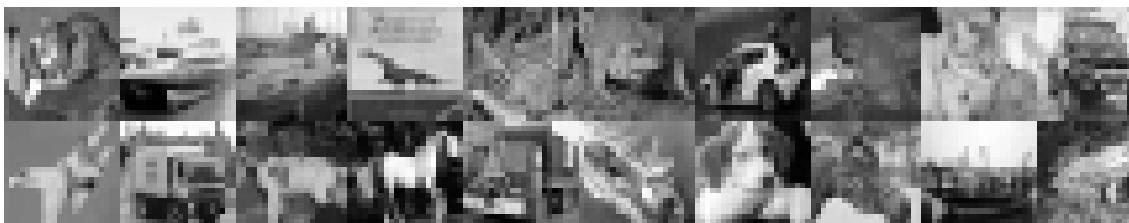


Image Reconstruction

Test color images (Ground Truth)



Test gray images (Input)



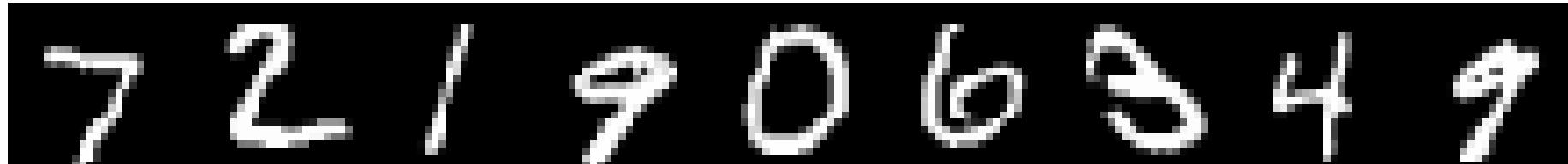
Colorized test images (Predicted)



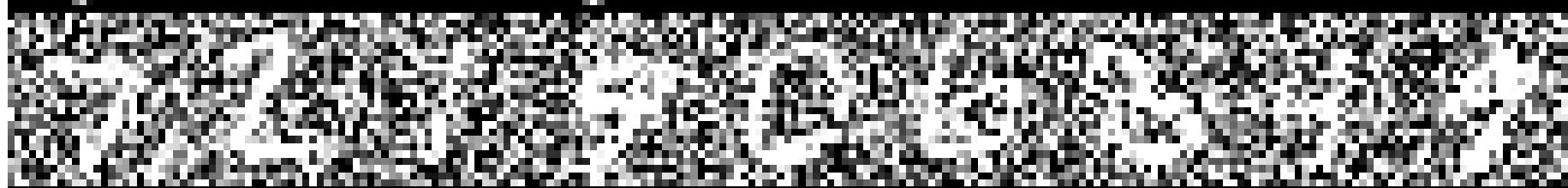
- **Training:** pairs of the grayscale and color images
- **Application:** new grayscale images (from the same distribution)
- **Concern:** has to be from the same distribution

Image Denoising

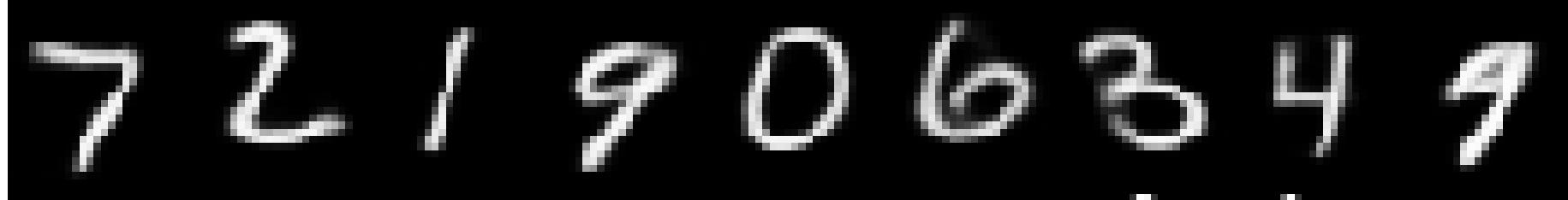
Ground truth



Noisy input



Reconstruction



- **Training:** pairs of the high-noise and low-noise images
- **Application:** new high noise images (from the same distribution)
- **Concern:** has to be from the same distribution

Variational Autoencoders



Diederik P. Kingma

Other names ▾

 FOLLOW

Research Scientist, [Google Brain](#)
Verified email at google.com - [Homepage](#)

Machine Learning Deep Learning Neural Networks Generative Models Variational Inference

TITLE	CITED BY	YEAR
Adam: A Method for Stochastic Optimization DP Kingma, J Ba Proceedings of the 3rd International Conference on Learning Representations ...	141306	2014
Auto-Encoding Variational Bayes DP Kingma, M Welling arXiv preprint arXiv:1312.6114	26540	2013
Semi-Supervised Learning with Deep Generative Models DP Kingma, S Mohamed, DJ Rezende, M Welling Advances in Neural Information Processing Systems, 3581-3589	2946	2014

- Variational Autoencoder (VAE): uses “reparameterization trick” to sample from the latent space
- Can be used for same tasks as AE
- Have a much better-behaved latent space: **disentanglement of the representations**

VAE Training

Latent manifold → Image space

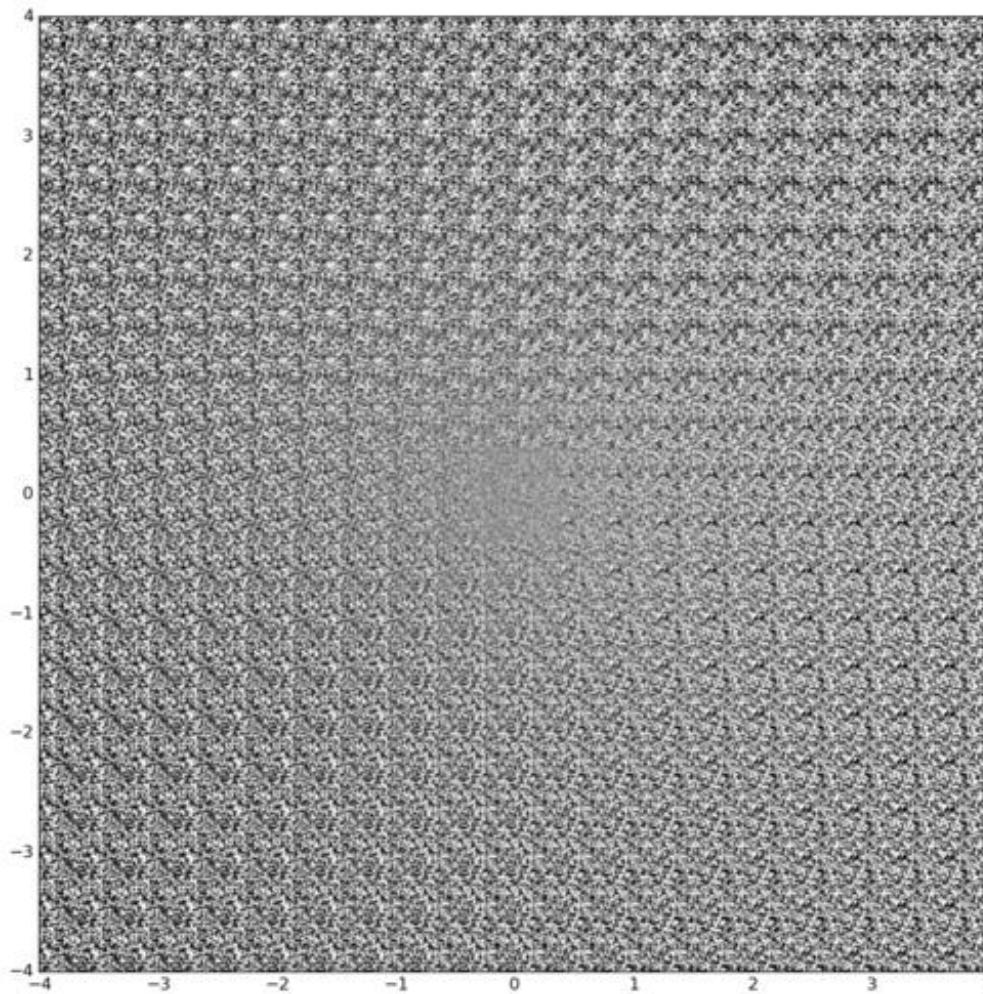
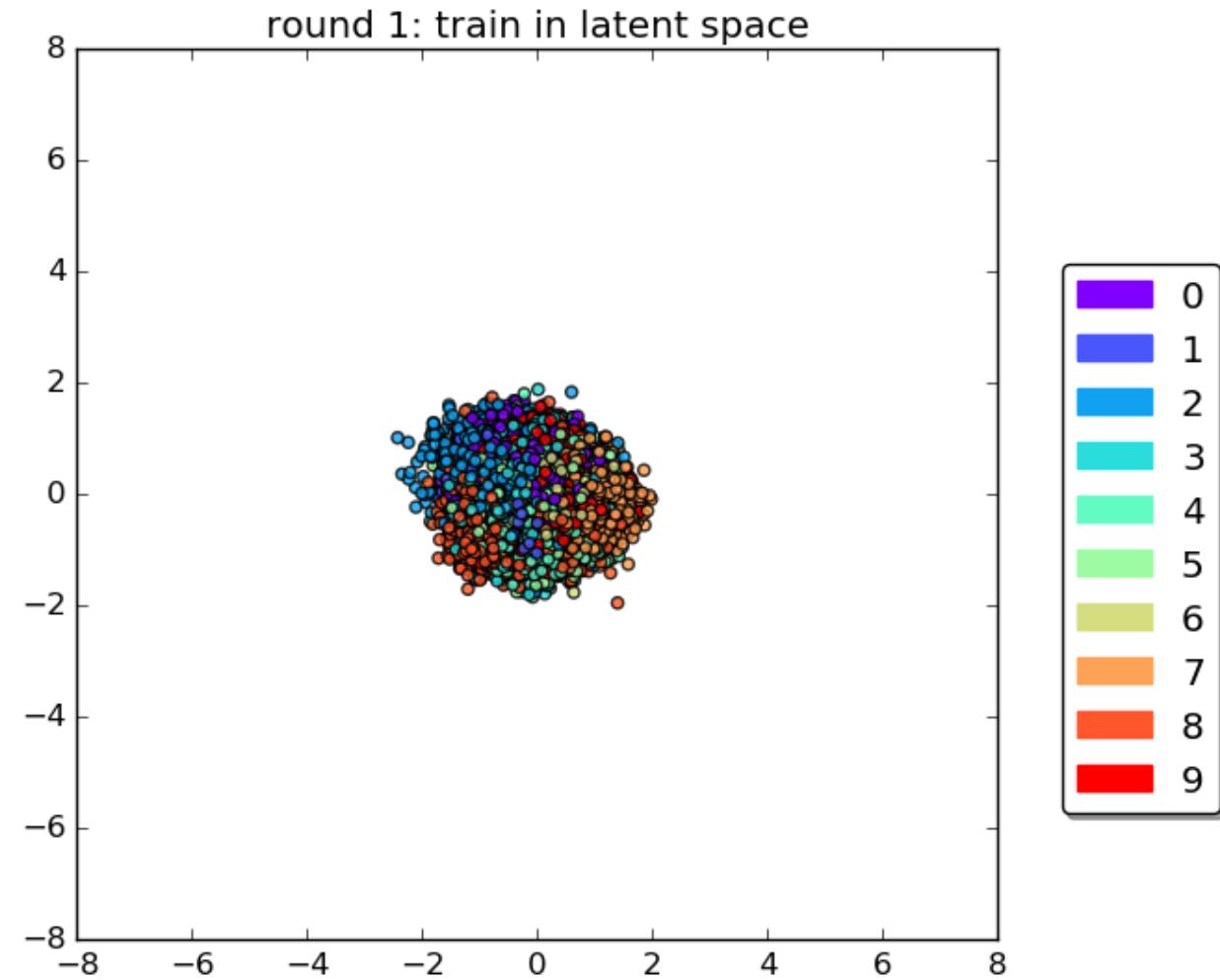


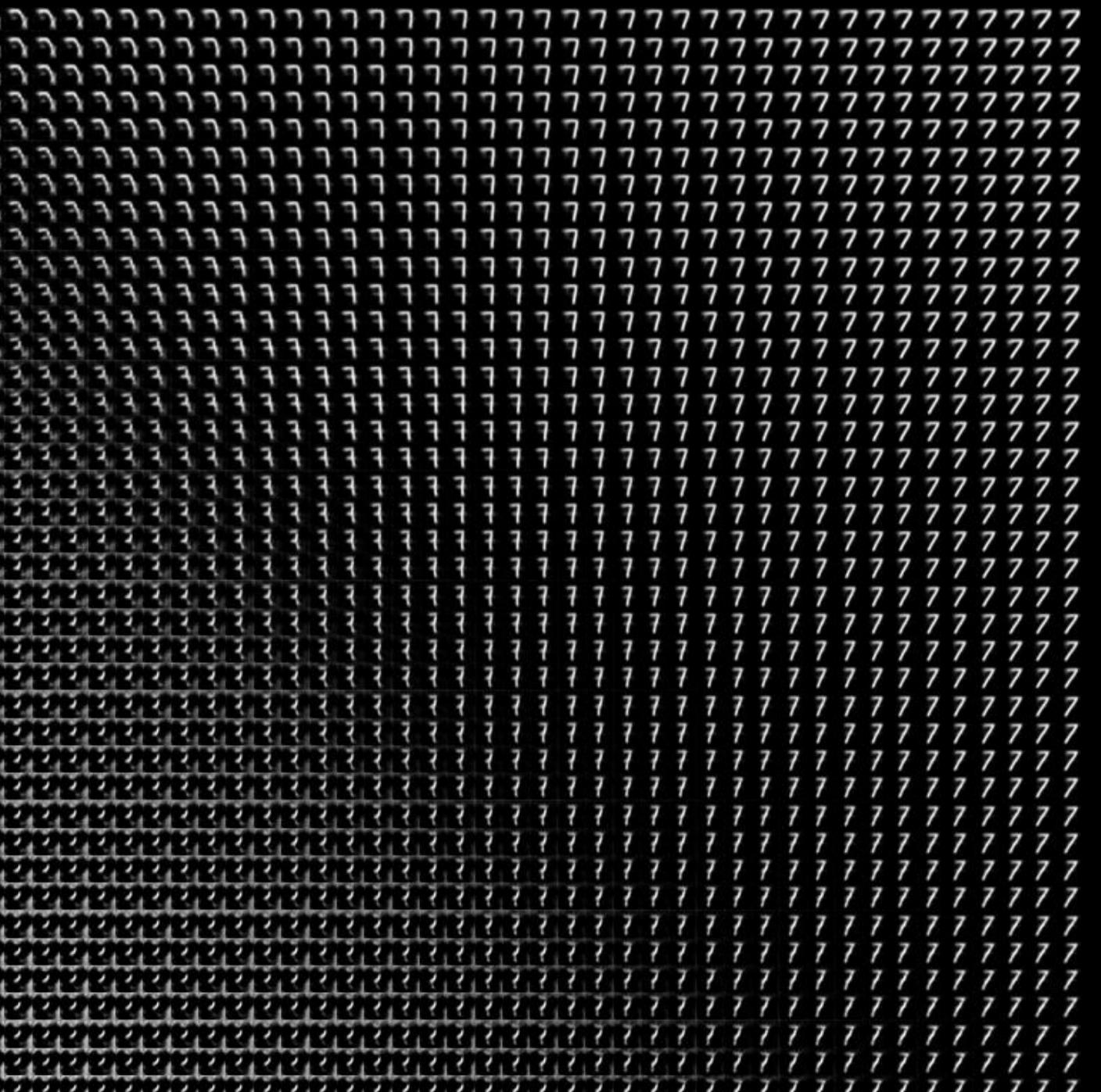
Image space → Latent space



Autoencoder latent representation

The image shows a large grid of binary digits (0s and 1s) arranged in a repeating pattern. The pattern consists of several distinct sections. At the top, there is a section of '0's followed by a section of '1's. Below these, there is a large section of '0's containing a diagonal line of '1's. This is followed by a section of '1's with a diagonal line of '0's. The pattern then repeats with another section of '0's with a diagonal line of '1's, followed by '1's with a diagonal line of '0's. This sequence of alternating '0' and '1' sections with diagonal lines continues across the entire grid.

Autoencoder latent representation (digit 7)



VAE latent representation

The image displays a massive grid of binary digits (0s and 1s), arranged in a pattern that forms the shape of the number 7. The '7' is oriented vertically, with its top curve extending from approximately the middle-left to the middle-right, and its vertical stroke running from top to bottom. The interior of the '7' is filled with binary code, while the exterior is mostly blank or contains sparse noise. The grid extends far beyond the outline of the digit, showing a repeating pattern of binary digits across the entire frame.

VAE latent representation (digit 7)

The image consists of a large grid of black digits on a white background. The digits are arranged in rows and columns. Each row starts with a different digit from 1 to 9. Following the first digit, there is a repeating sequence of the digits 1 through 9. For example, the first row contains '1' followed by nine '1's, the second row contains '2' followed by nine '2's, and so on up to '9'. This pattern repeats across all 1000 rows.

VAE latent representation (digit 8)

A 100x100 grid of digit 8s, representing a VAE latent representation for digit 8. The digits are rendered in a light gray color against a white background.

Word Embeddings

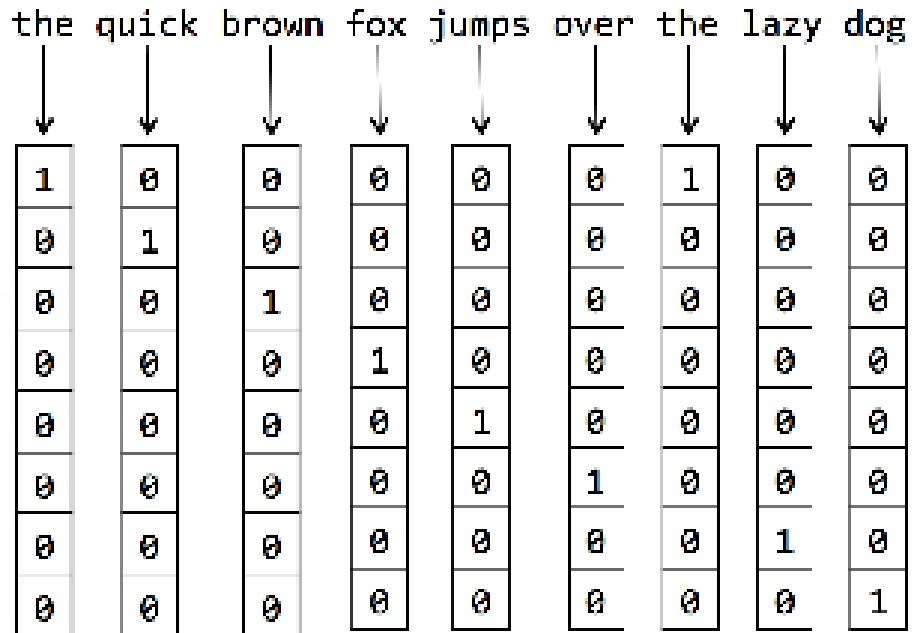
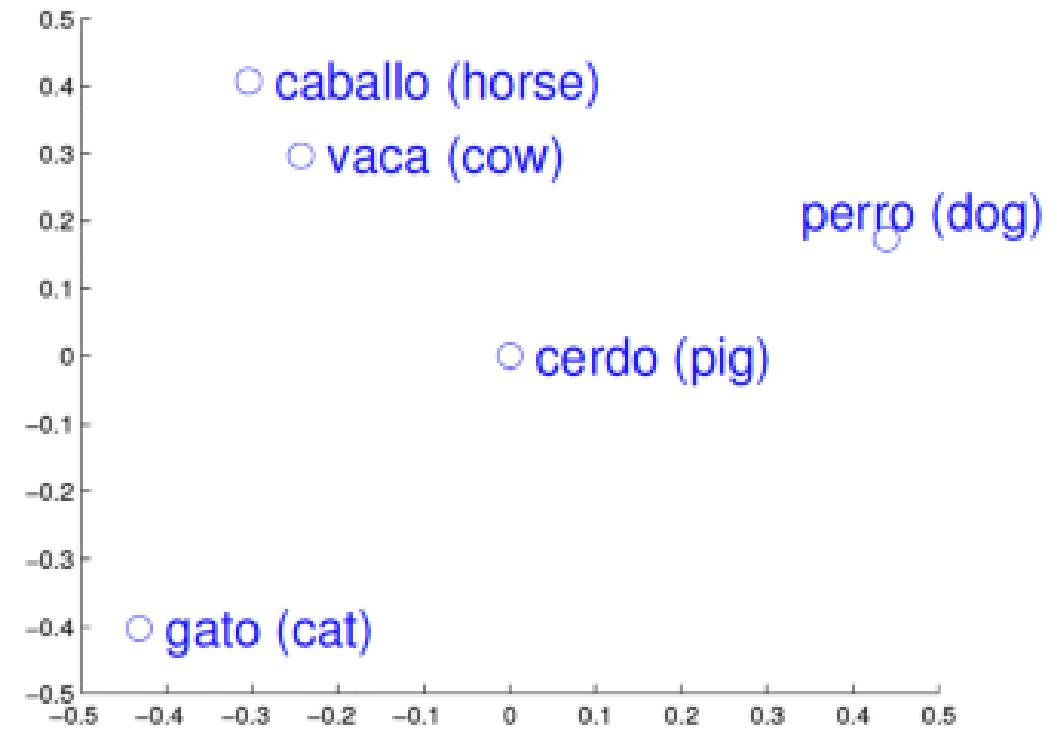
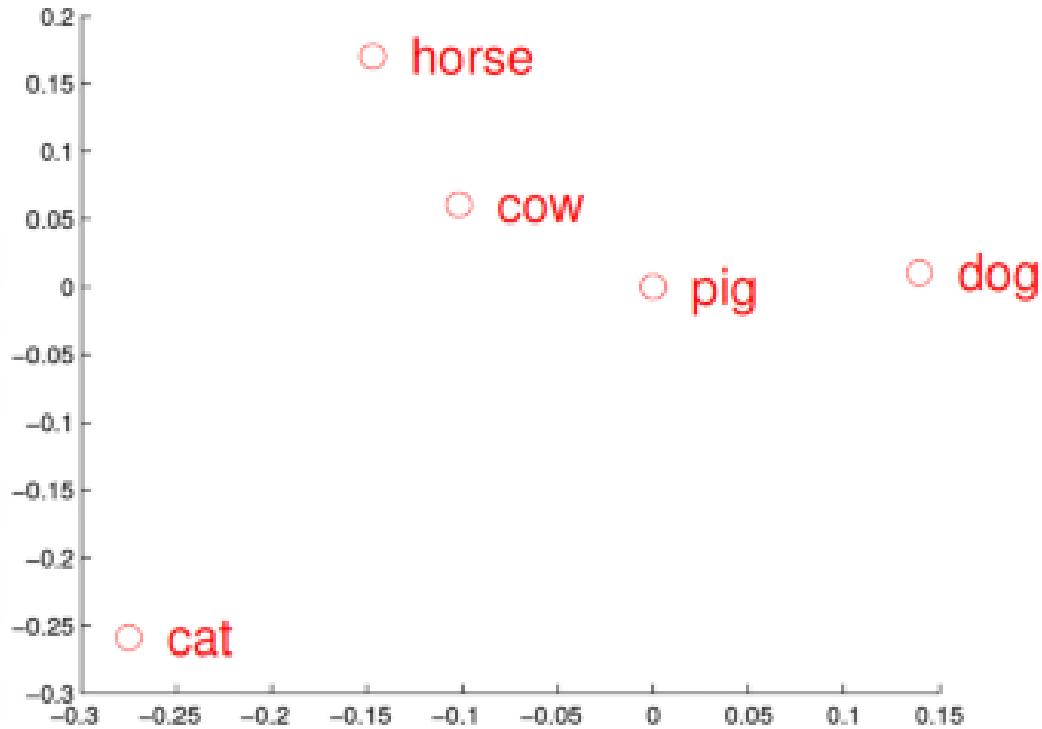


Figure 1: The Skip-gram model architecture. The training objective is to learn word vector representations that are good at predicting the nearby words.

<https://medium.com/geekculture/word-embeddings-in-ai-10a9e430cb59>

https://proceedings.neurips.cc/paper_files/paper/2013/file/9aa42b31882ec039965f3c4923ce901b-Paper.pdf

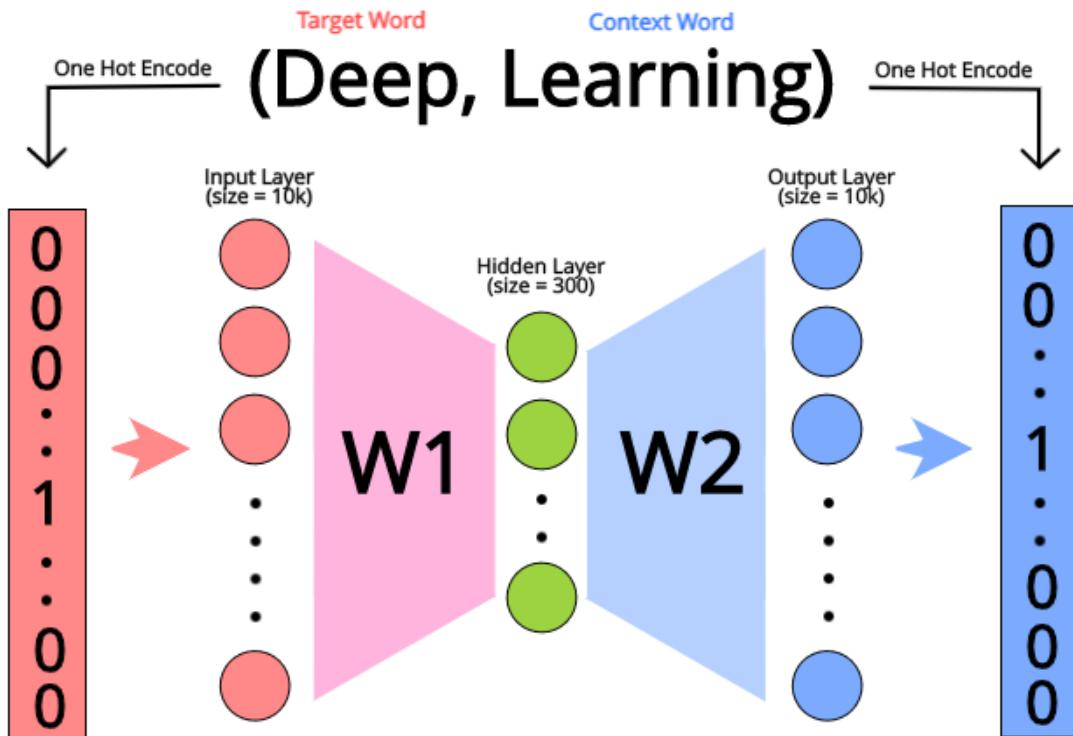
Word Embeddings



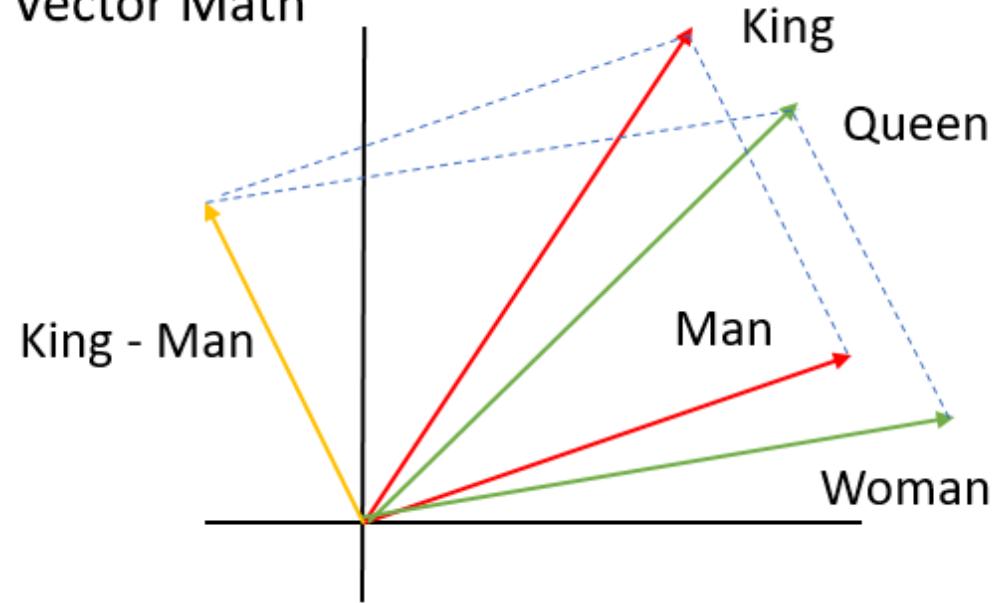
<https://medium.com/geekculture/word-embeddings-in-ai-10a9e430cb59>

Word Vectors

Skip Gram Architecture

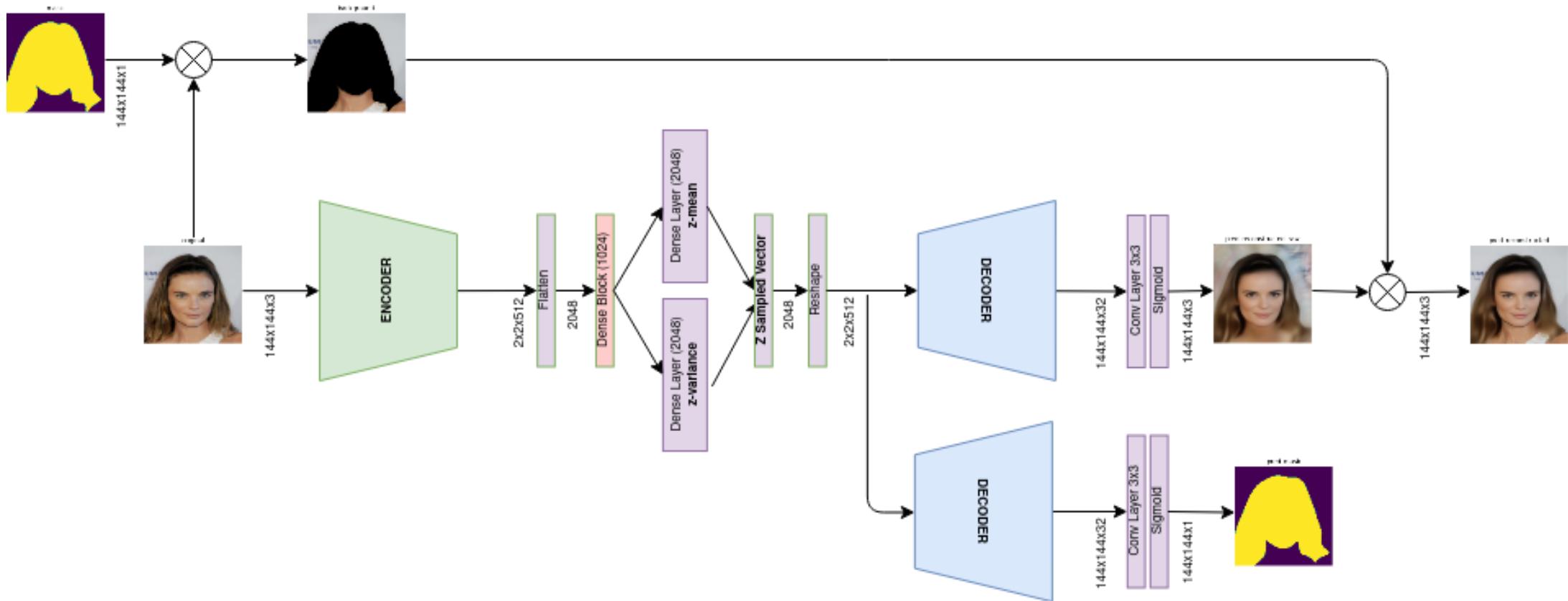


Vector Math



<https://medium.com/analytics-vidhya/word-embeddings-in-nlp-word2vec-glove-fasttext-24d4d4286a73>

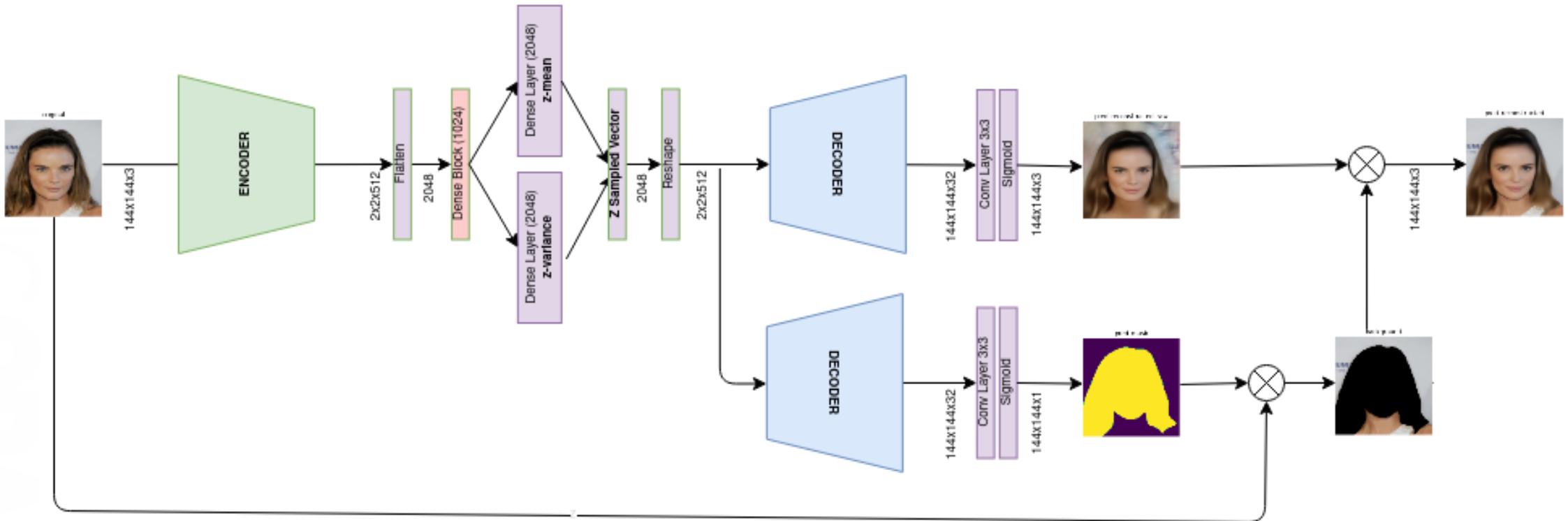
Making Deep Fakes with VAE



During training, the labels of the face masks are used too, which replaces the background of the reconstructed image such that the loss function is applied only over the face pixels.

<https://rtoledo.me/post/2021-05-31-edit-face-attributes-using-vae/edit-face-attributes-using-vae/>

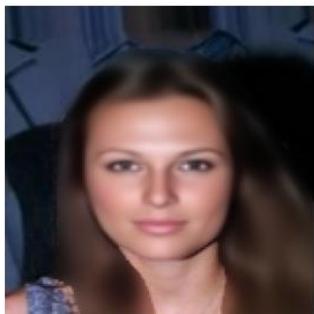
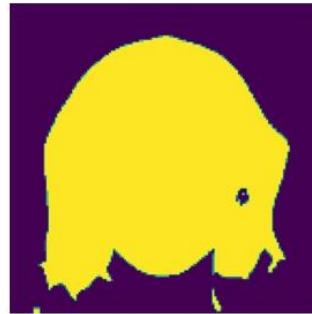
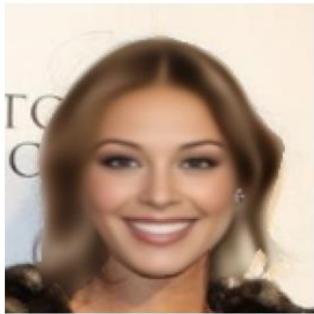
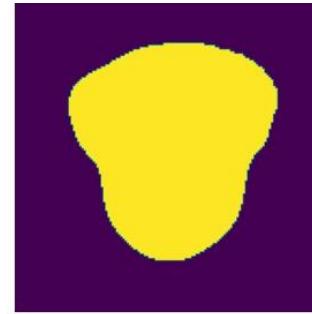
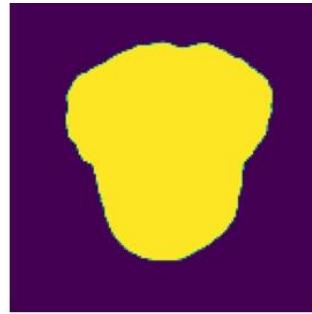
Making Deep Fakes with VAE



In the prediction mode, the background replacement is done by the predicted mask itself, not requiring any extra input but a sample image.

<https://rtoledo.me/post/2021-05-31-edit-face-attributes-using-vae/edit-face-attributes-using-vae/>

Reconstruction



<https://rtoledo.me/post/2021-05-31-edit-face-attributes-using-vae/edit-face-attributes-using-vae/>

Changing Attributes



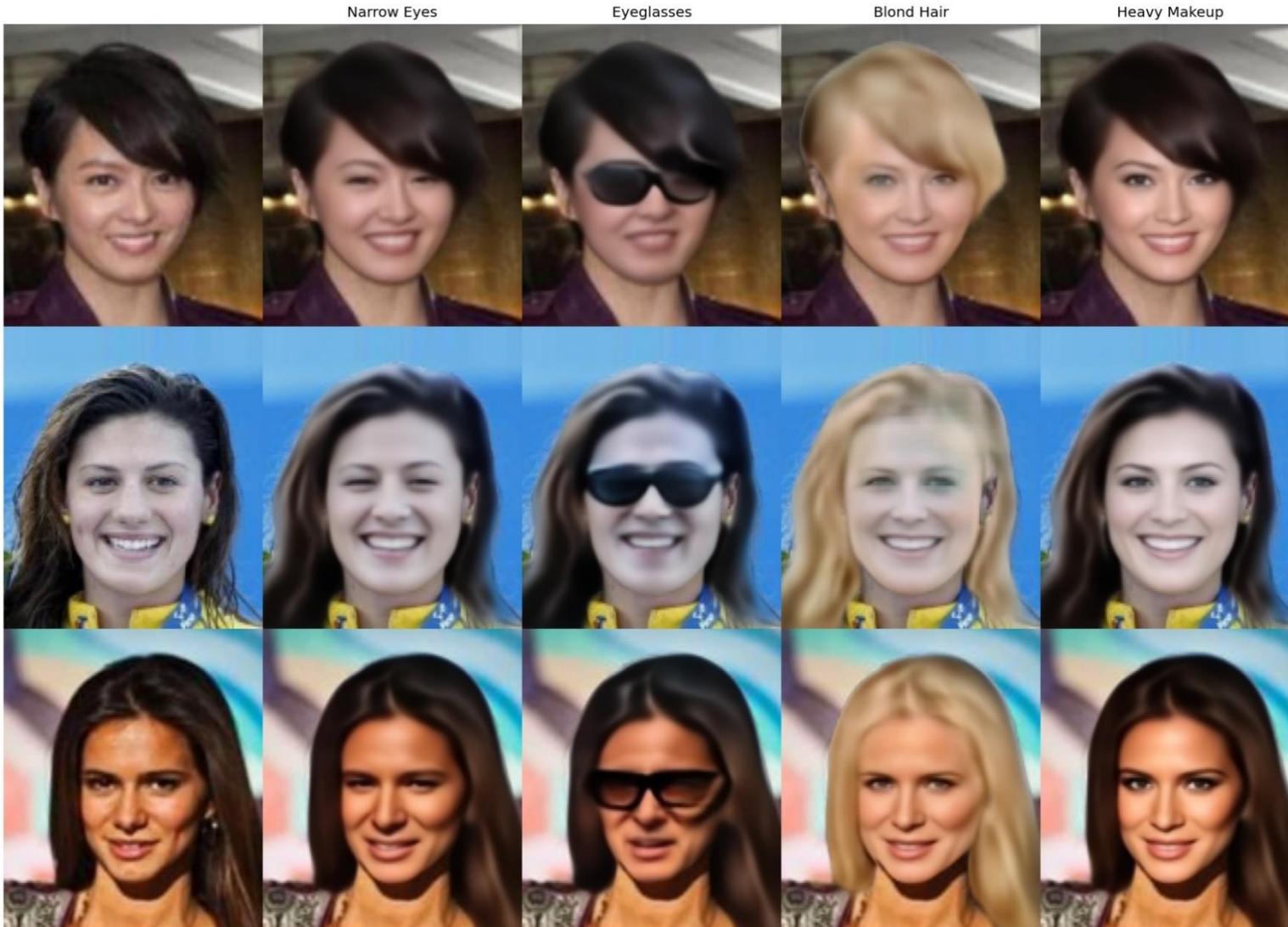
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Changing Attributes



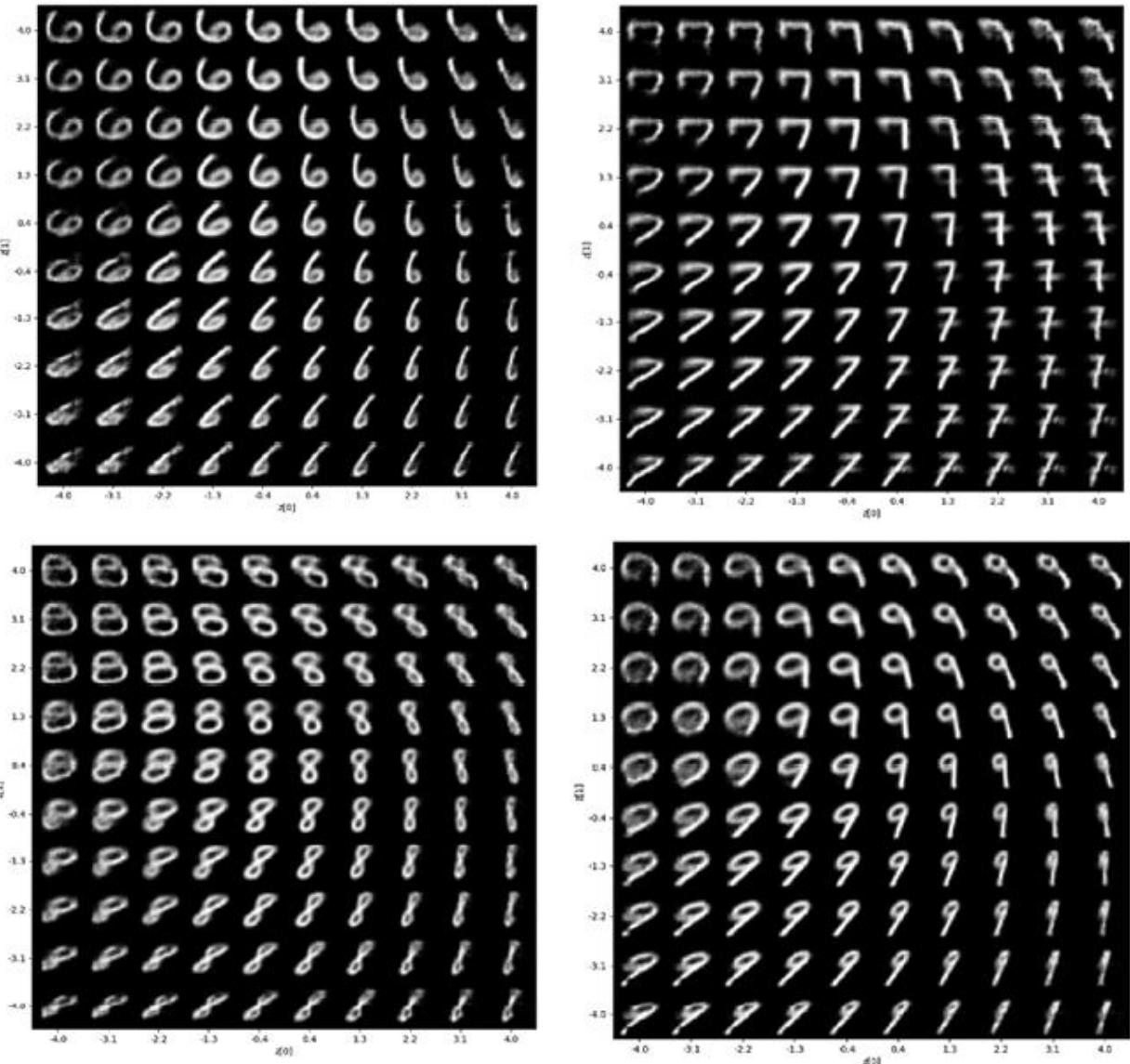
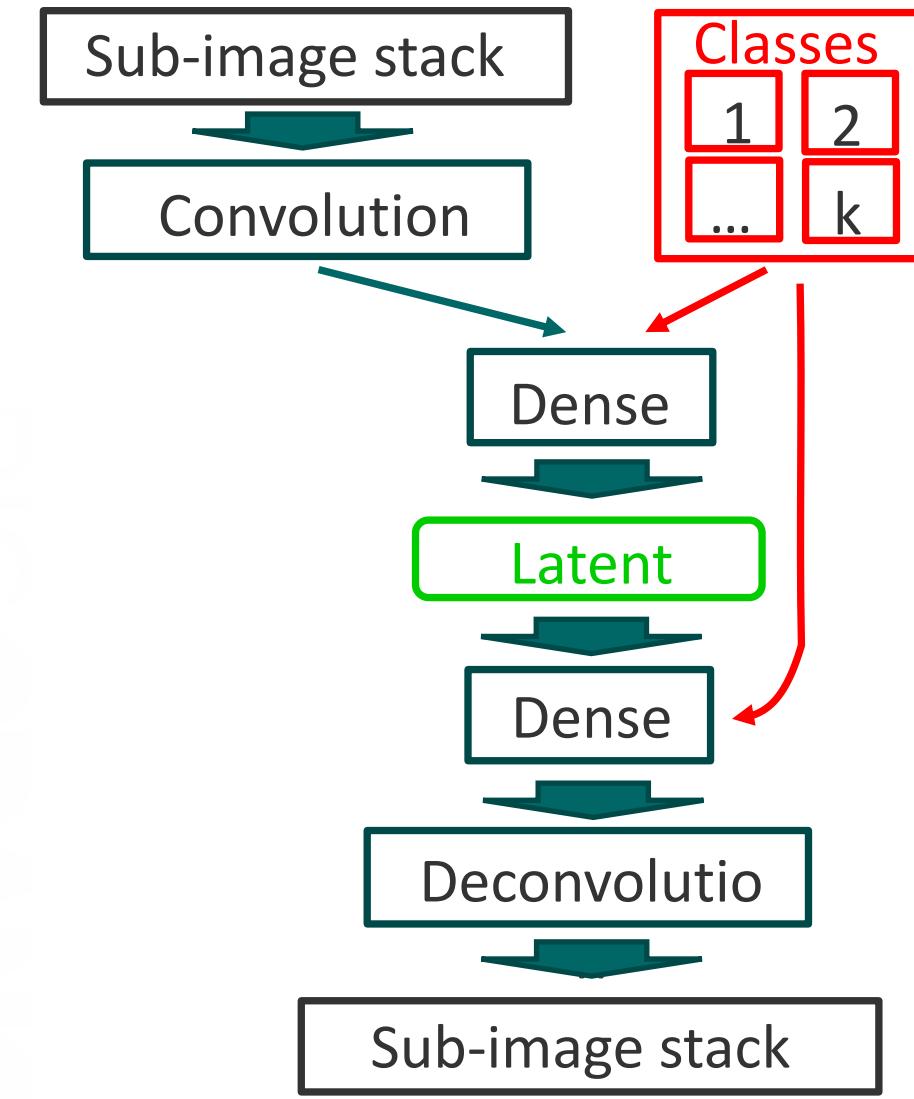
<https://rtoledo.me/post/2021-05-31-edit-face-attributes-using-vae/edit-face-attributes-using-vae/>

Changing Attributes



<https://rtoledo.me/post/2021-05-31-edit-face-attributes-using-vae/edit-face-attributes-using-vae/>

Conditional VAE



Note the trends in the latent representation for each digit: **disentanglement of the representations**

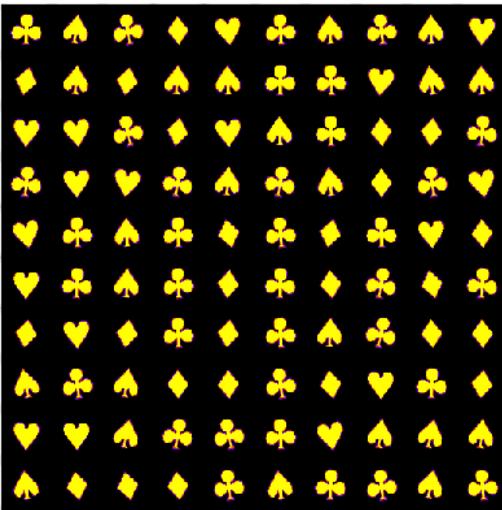
(R)VAE on Cards

Introduce the **cards** data set:

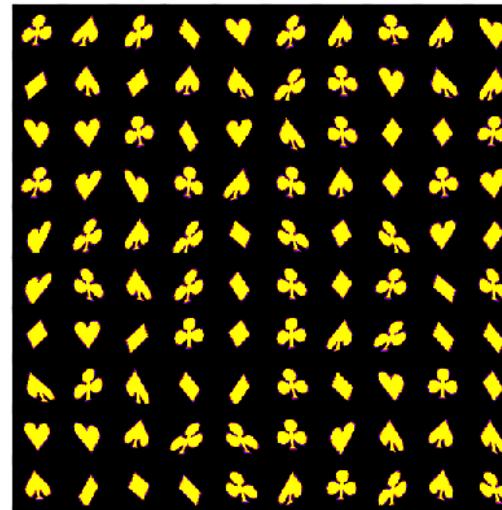
- Classical 4 hands (diamonds, clubs, pikes, hearts)
- Interesting similarities (pires and hearts)
- And invariances on affine transforms (e.g. diamonds)



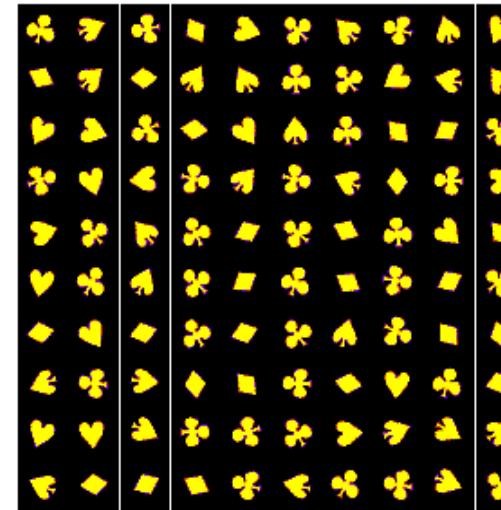
Cards 1: Low R (12 deg)
and low S (1 deg)



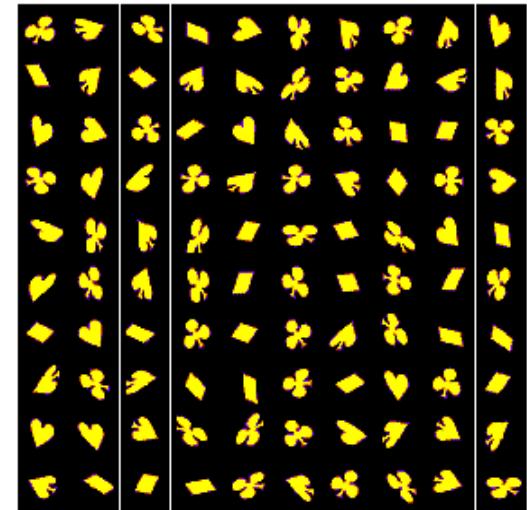
Cards 2: Low R (12 deg)
and high S (20 deg)



Cards 3: High R (120
deg) and Low S (1 deg)



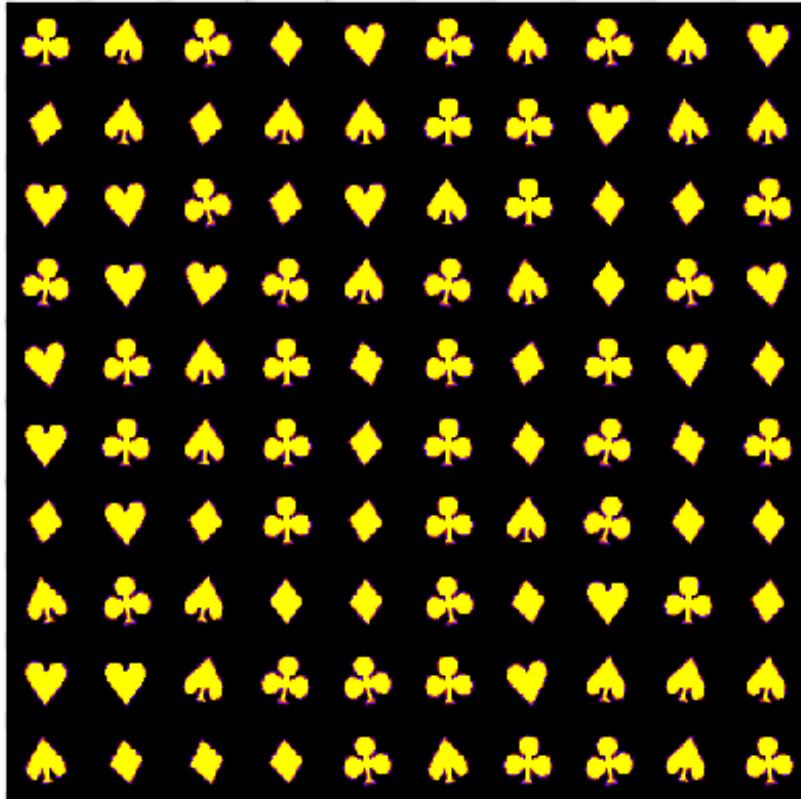
Cards 4: High R (120
deg) and high S (20 deg)



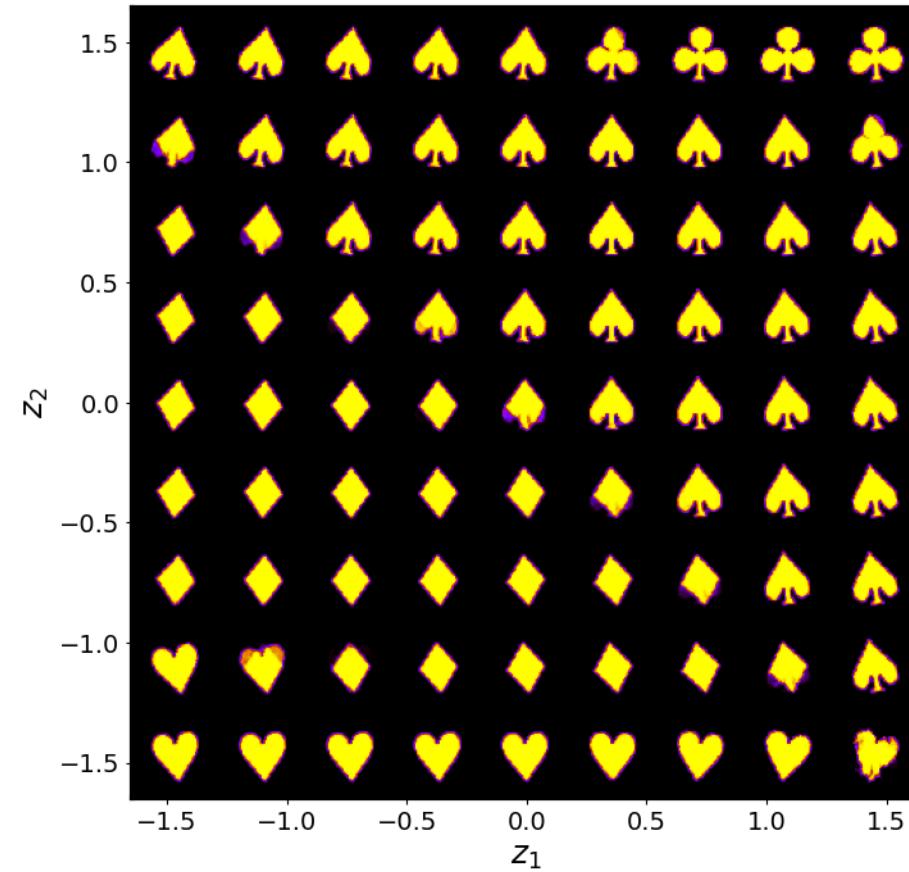
- Shear, rotations, and translations are **known** factors of variability (or traits) in data
- Can VAE disentangle representations and **discover** these factors of variability

VAE on Cards

Example of data

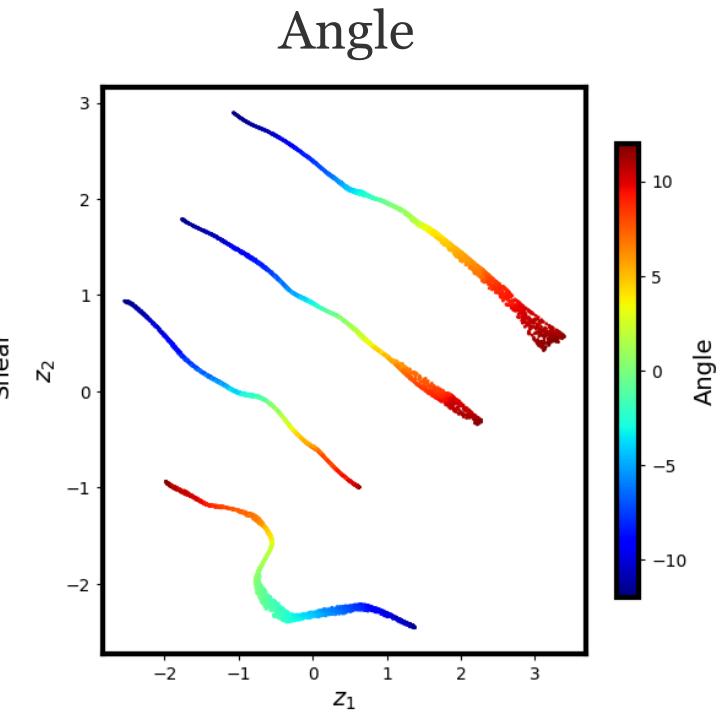
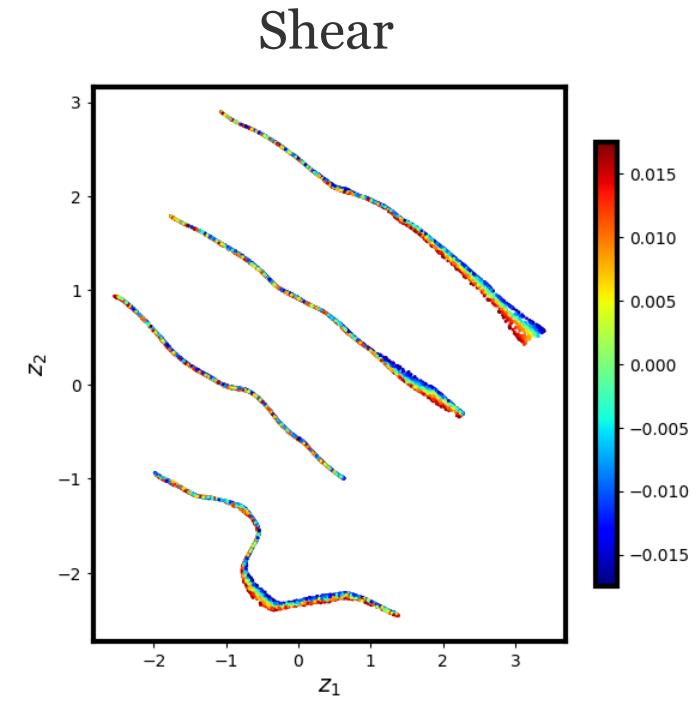
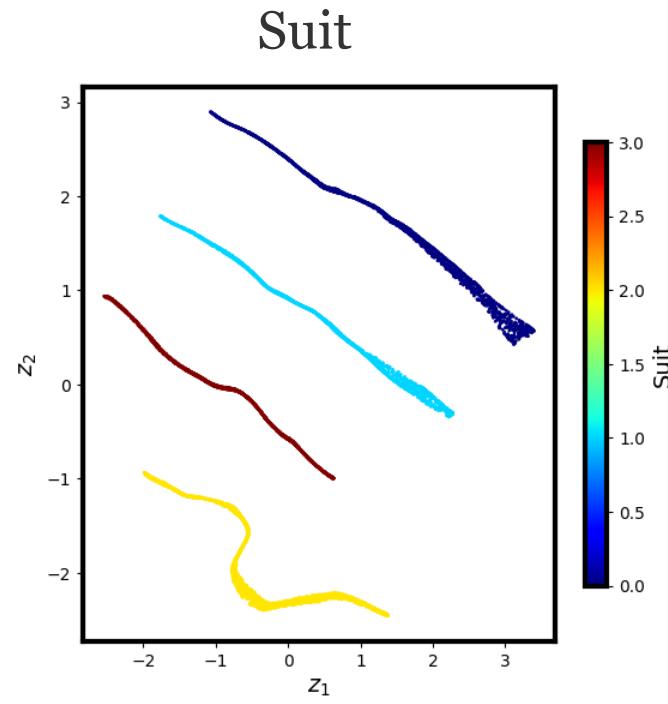


Latent representation



Cards 1: Low rotation (12 deg) and low shear (1 deg)

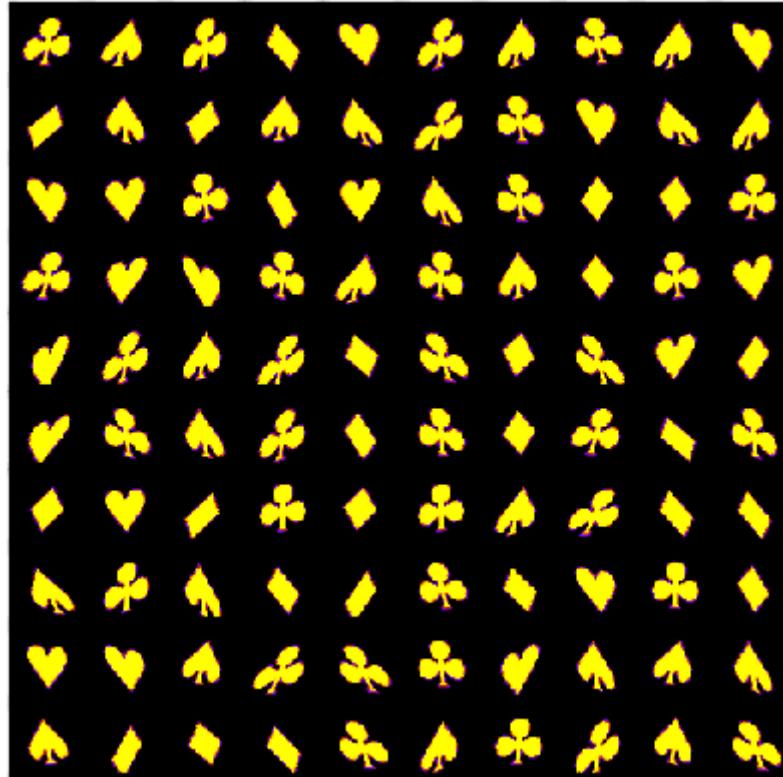
VAE on Cards



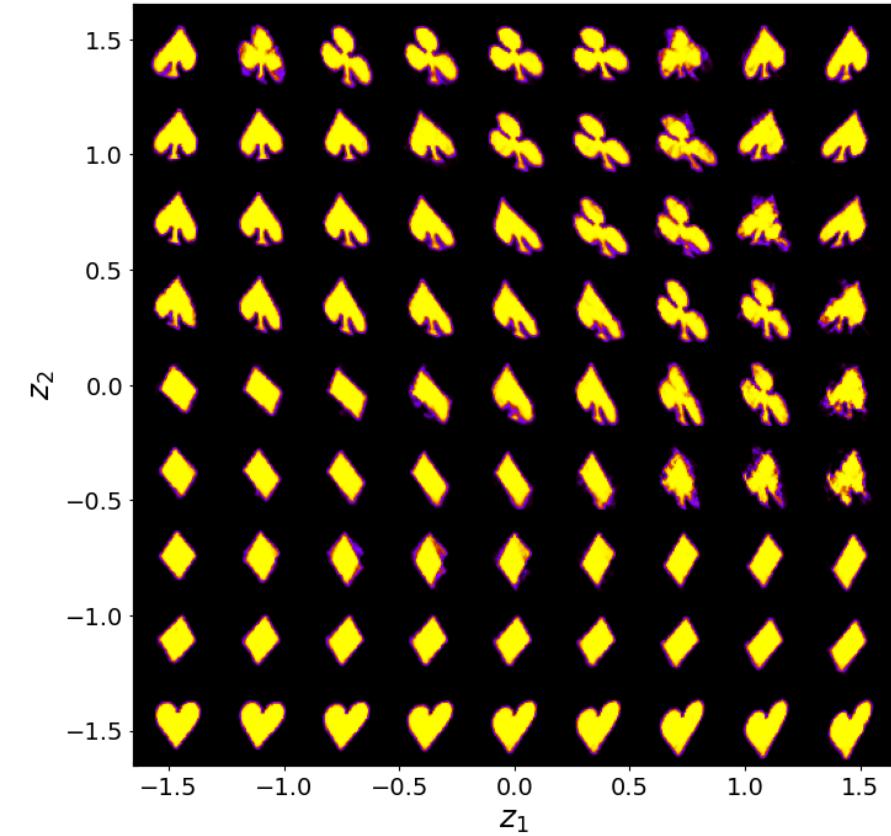
Cards 1: Low rotation (12 deg) and low shear (1 deg)

VAE on Cards

Example of data

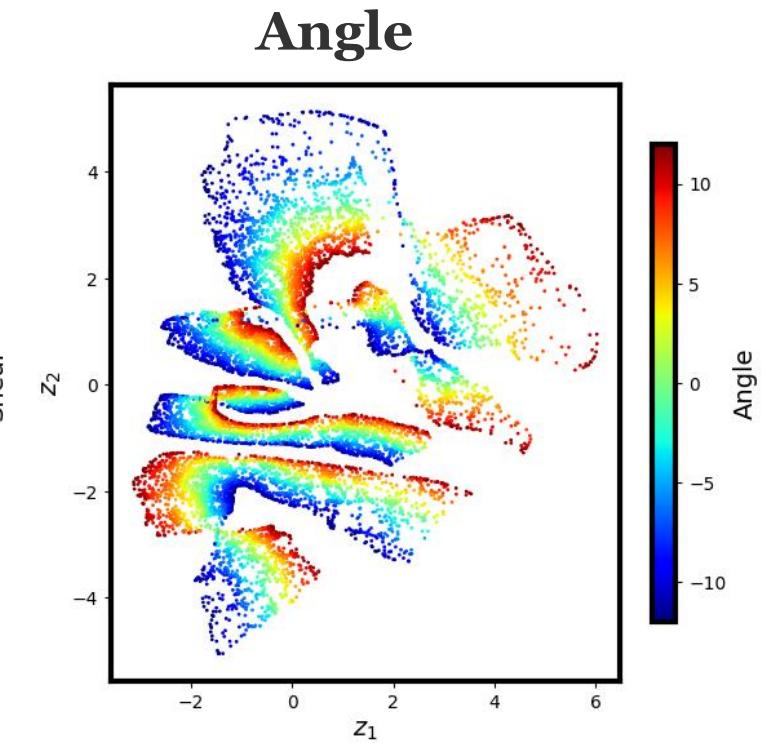
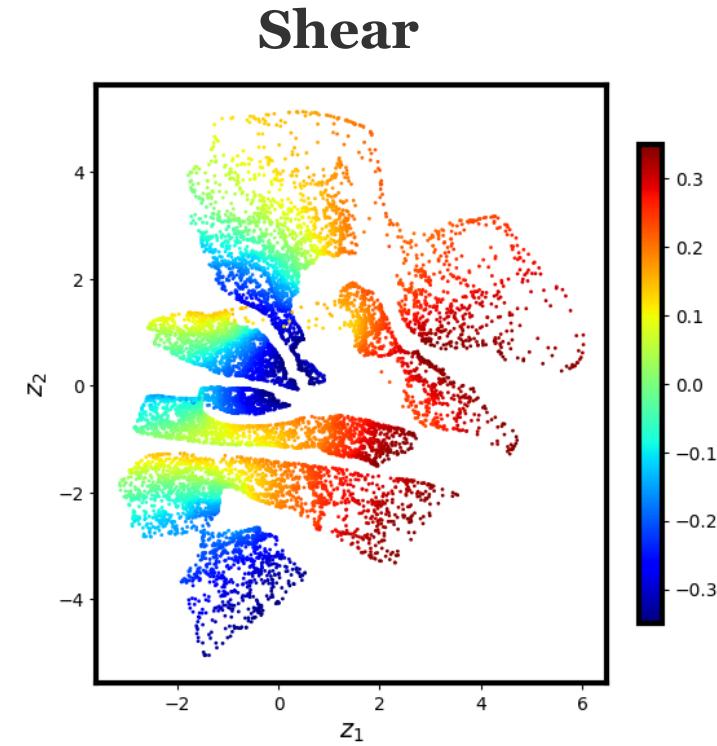
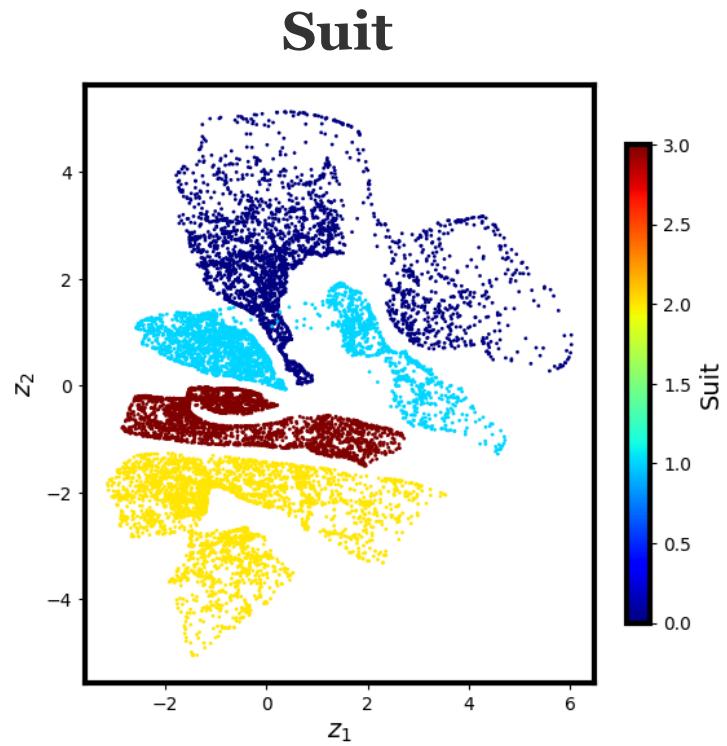


Latent representation



Cards 2: Low rotation (12 deg) and high shear (20 deg)

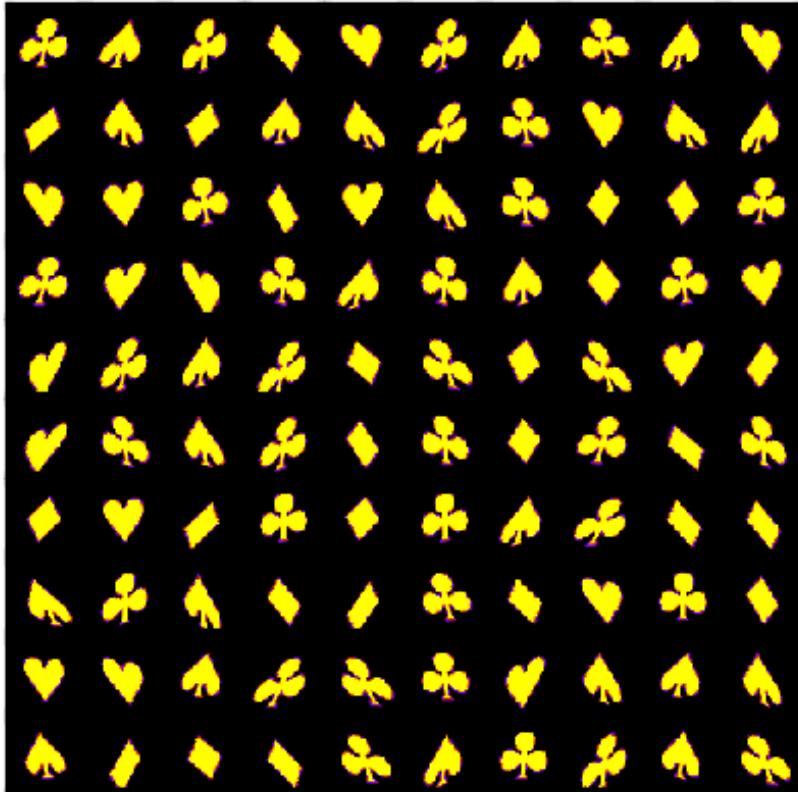
VAE on Cards



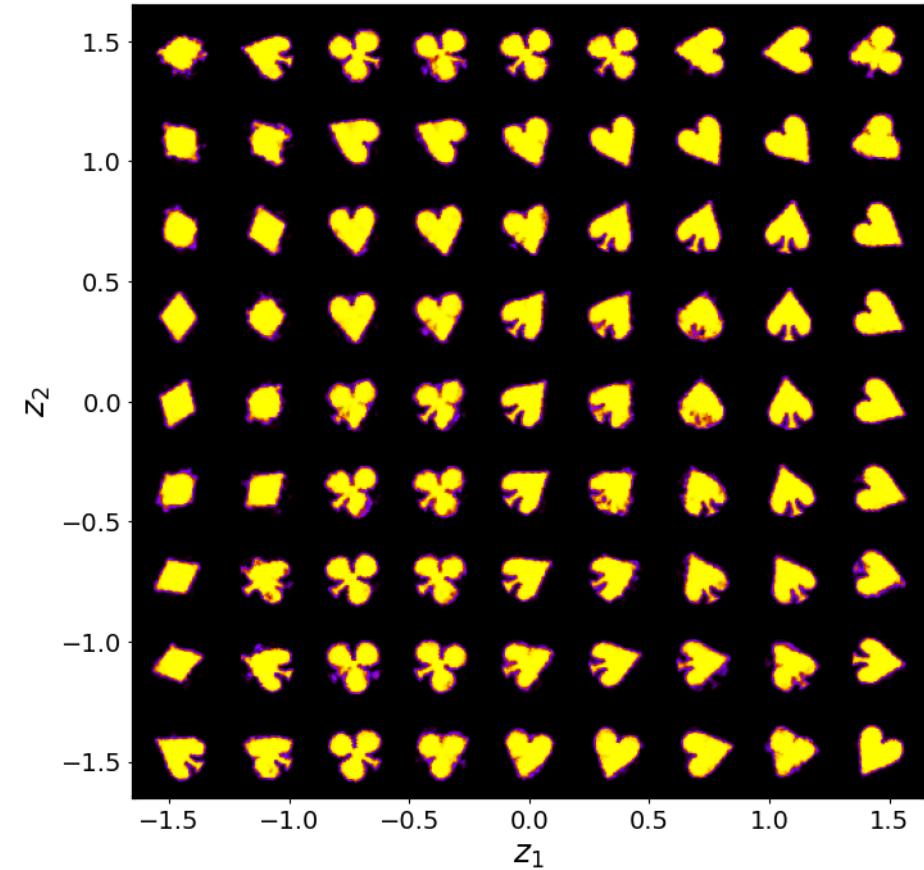
Cards 2: Low rotation (12 deg) and high shear (20 deg)

VAE on Cards

Example of data

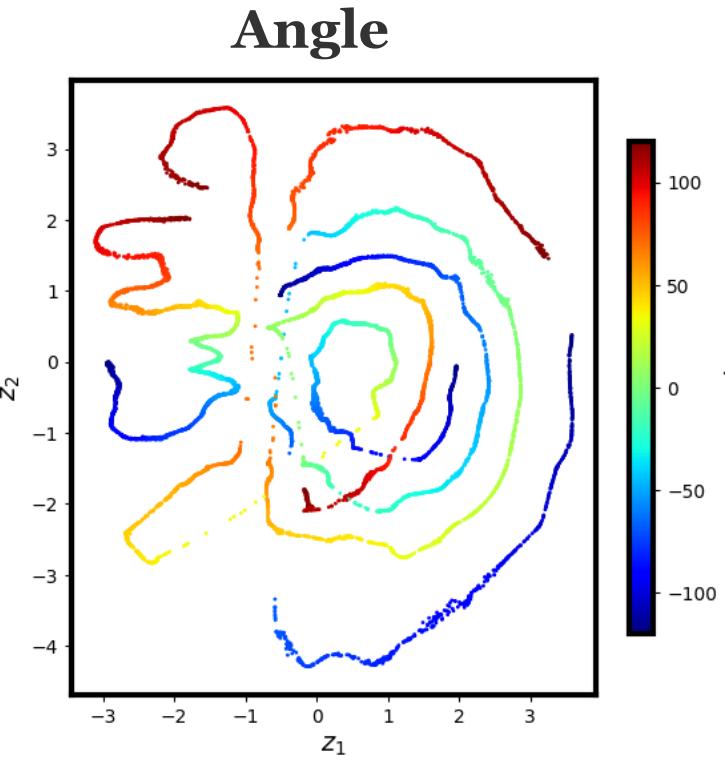
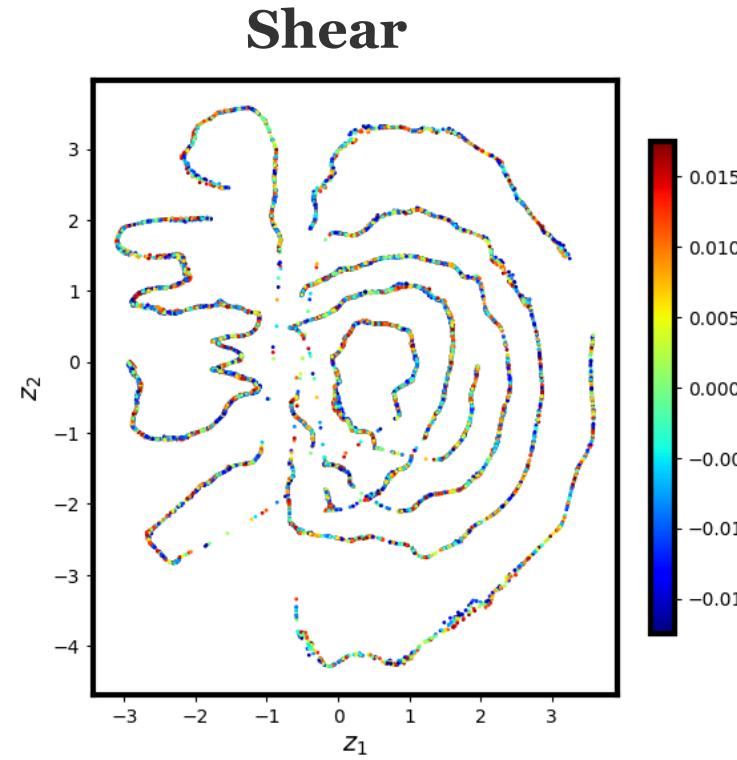
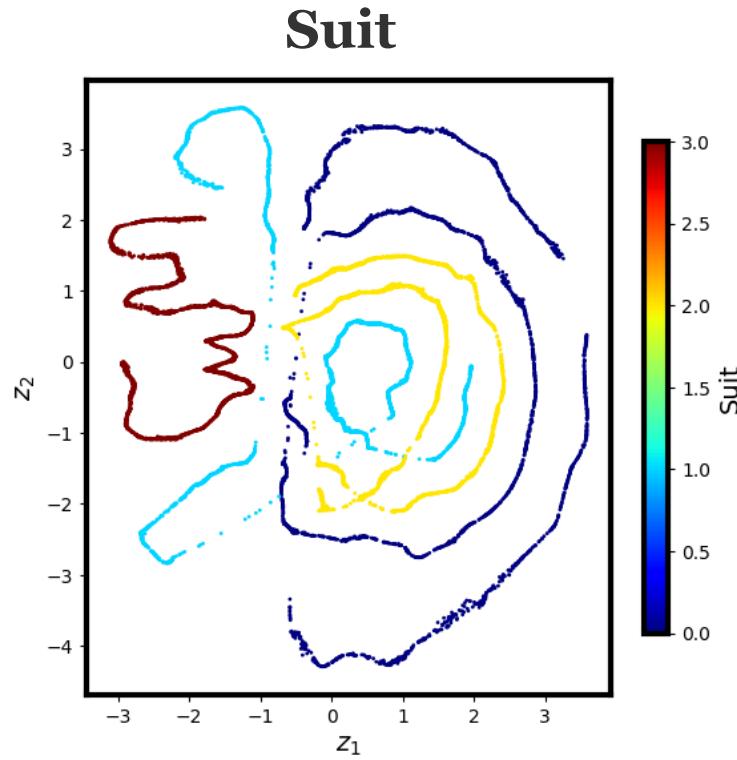


Latent representation



Cards 3: High rotation (120 deg) and low shear (1 deg)

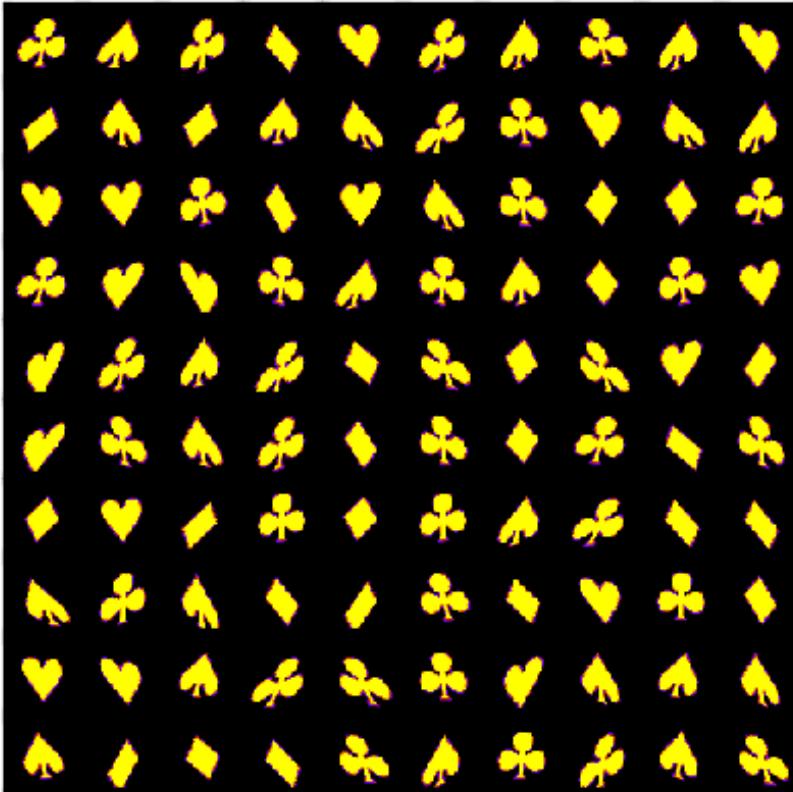
VAE on Cards



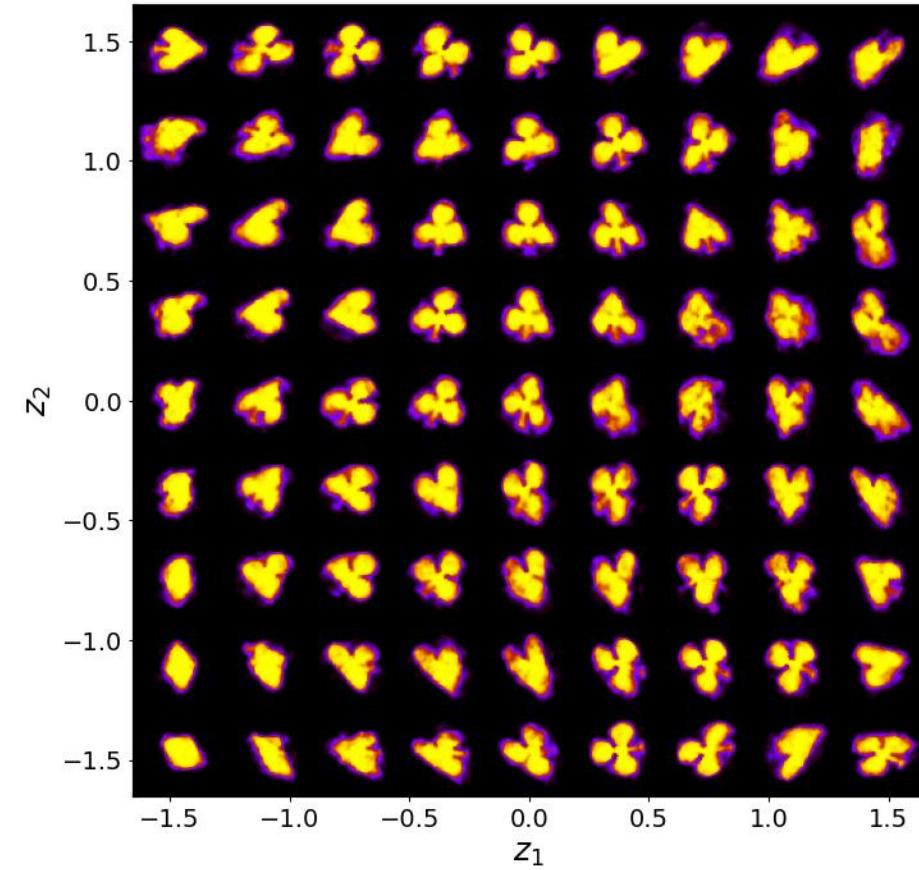
Cards 3: High rotation (120 deg) and low shear (1 deg)

VAE on Cards

Example of data



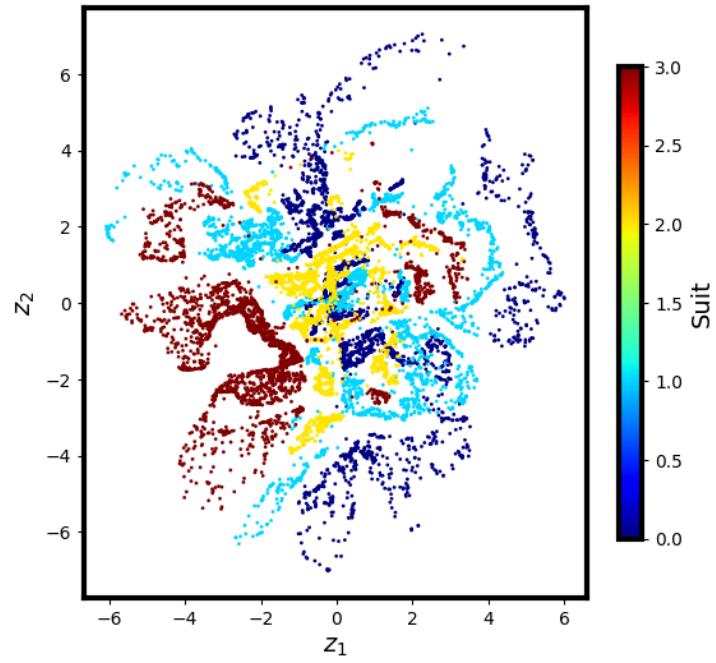
Latent representation



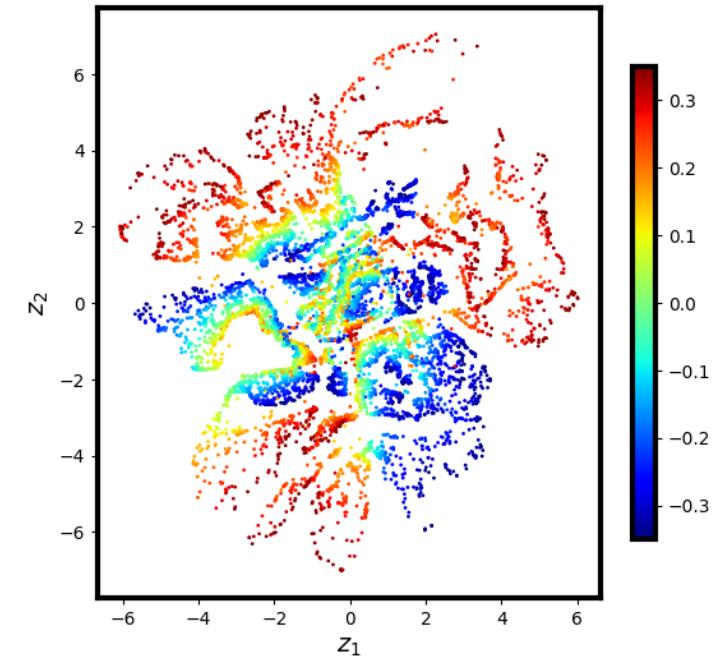
Cards 4: High rotation (120 deg) and high shear (20 deg)

VAE on Cards

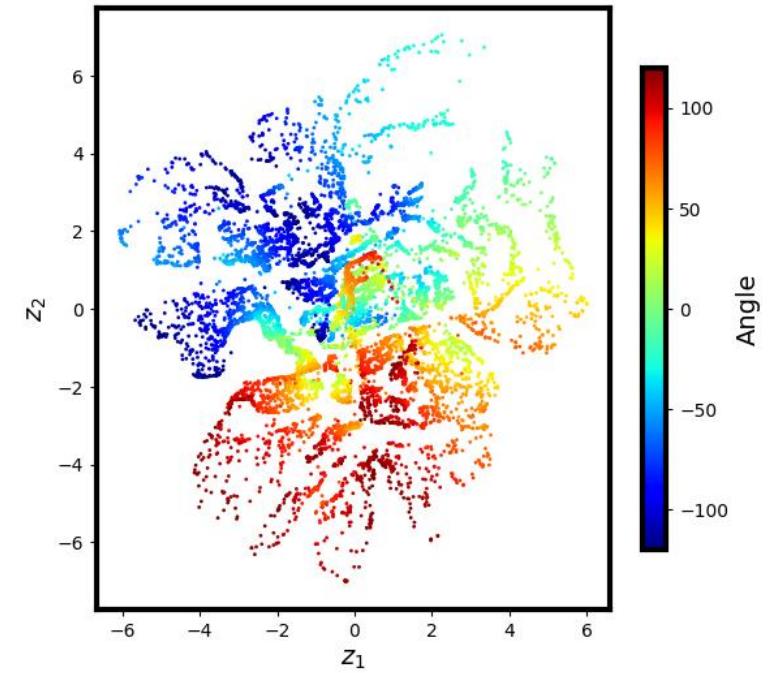
Suit



Shear

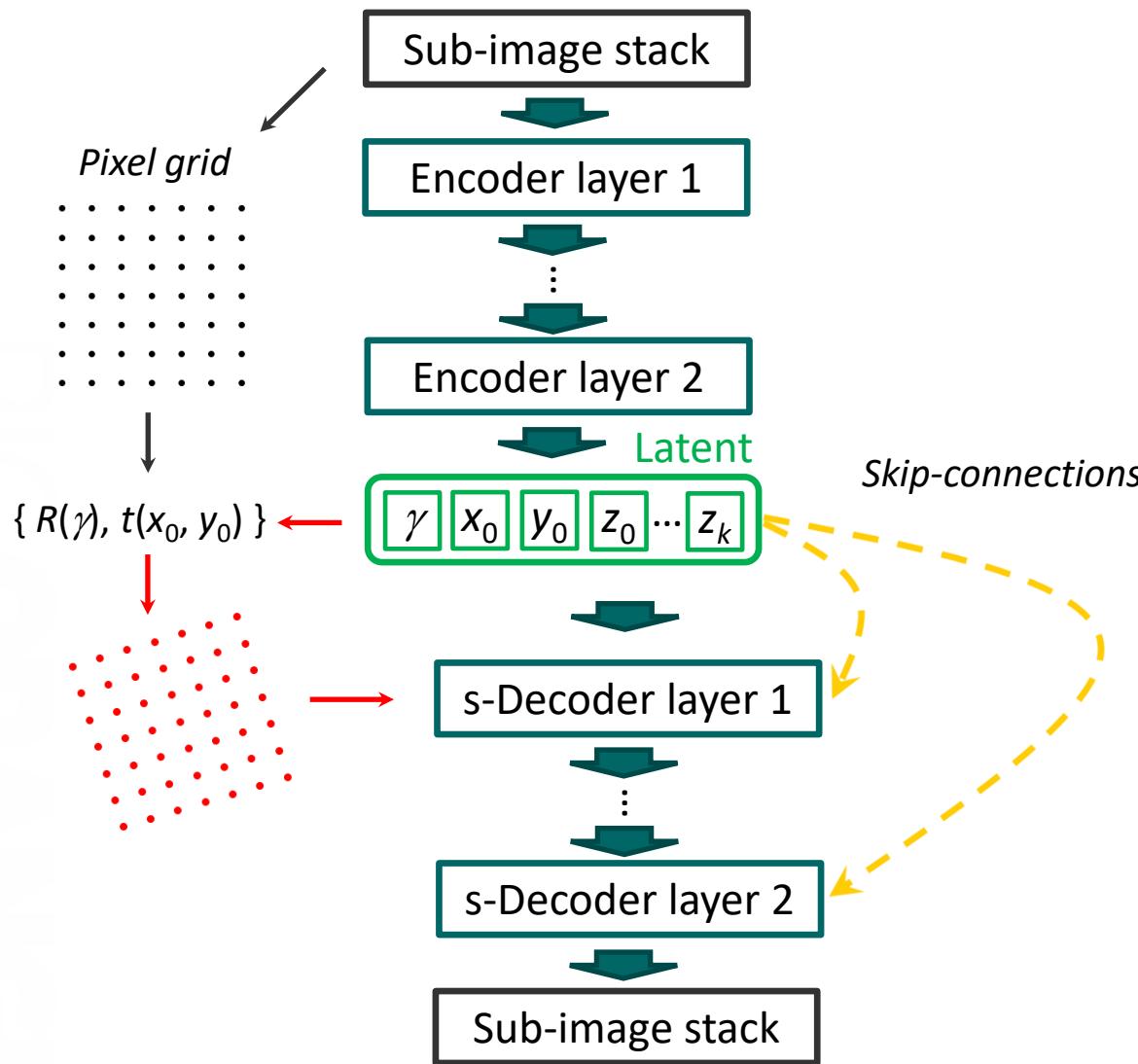


Angle



Cards 4: High rotation (120 deg) and high shear (20 deg)

Invariant VAE



- Generative model is a function of spatial coordinate
- 3 additional latent variables to absorb rotations and shifts
- Disentangles rotations and translations from image content
- Ideal for analyzing microscopy sub-images on atomic level

ELBO

$$\begin{aligned} &= \text{Reconstruction Loss} \\ &- D_{KL}(q(z|x) \parallel \mathcal{N}(0, I)) \\ &- D_{KL}(q(\gamma|x) \parallel \mathcal{N}(0, s_\gamma^2)) \\ &- D_{KL}(q(\Delta r|x) \parallel \mathcal{N}(0, s_{\Delta r}^2)) \quad \text{Regular VAE} \\ &+ D_{KL}(\text{physics-based "priors"}) ? \\ &+ D \text{ (physics)} ? \end{aligned}$$

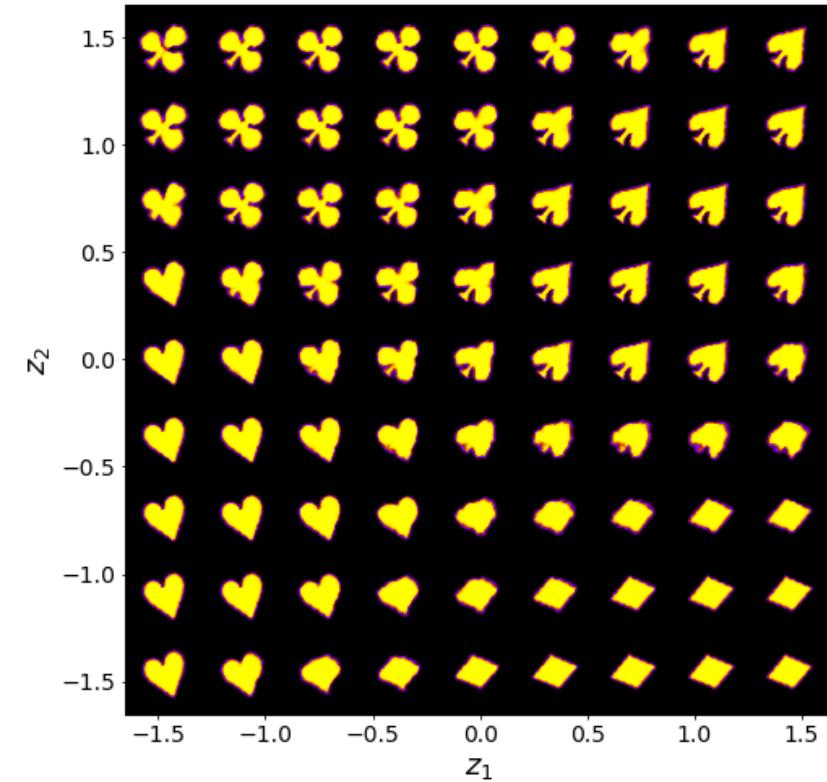
Rotation
Translation

rVAE on Cards

Example of data

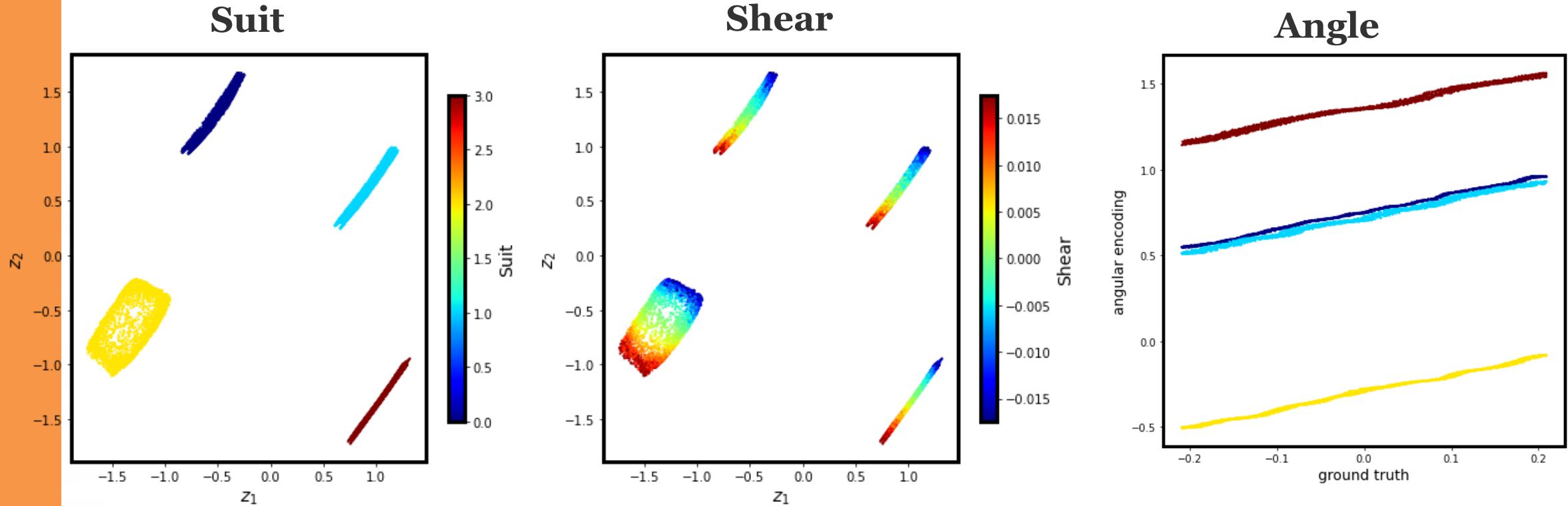


Latent representation



Cards 1: Low rotation (12 deg) and low shear (1 deg)

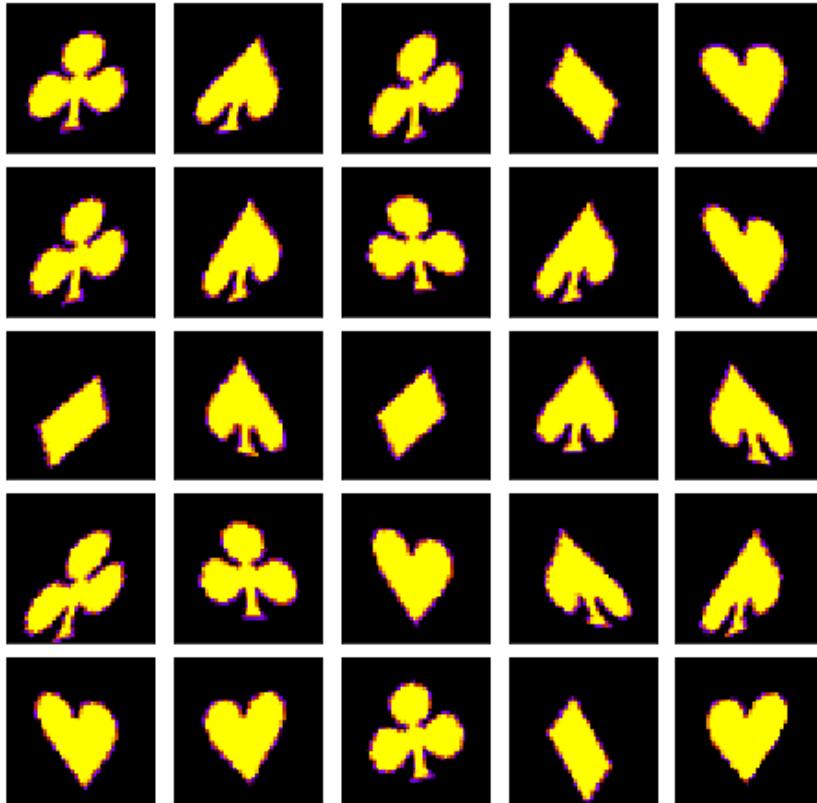
rVAE on Cards



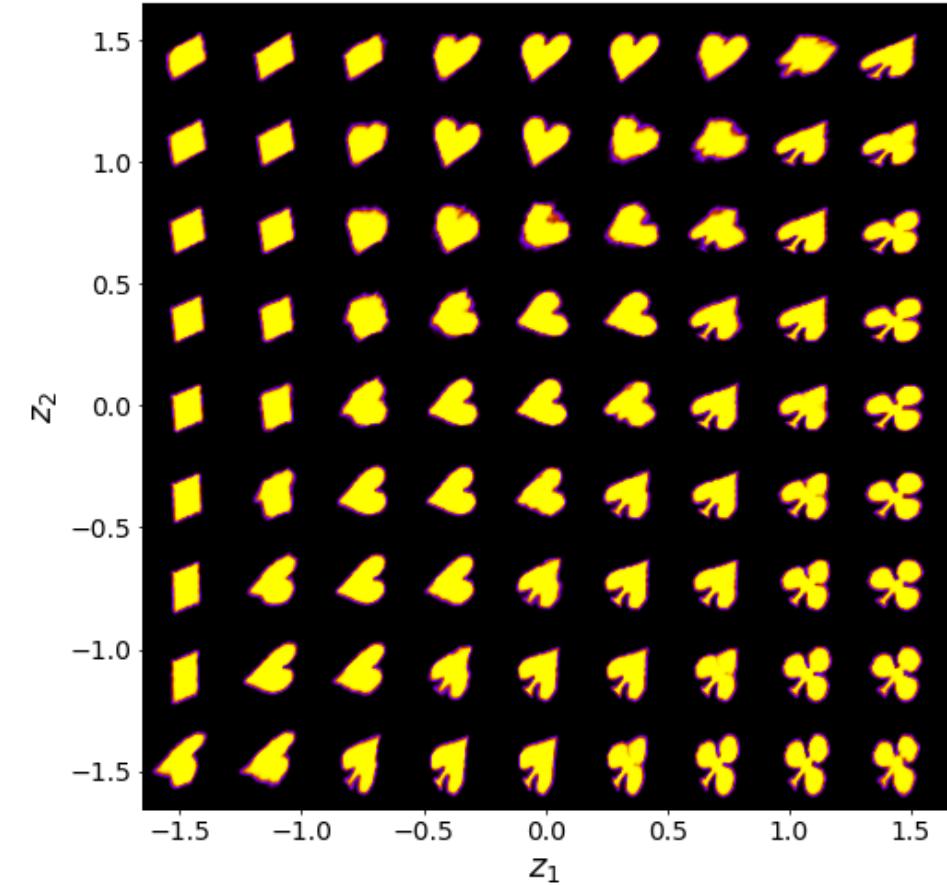
Cards 1: Low rotation (12 deg) and low shear (1 deg)

rVAE on Cards

Example of data

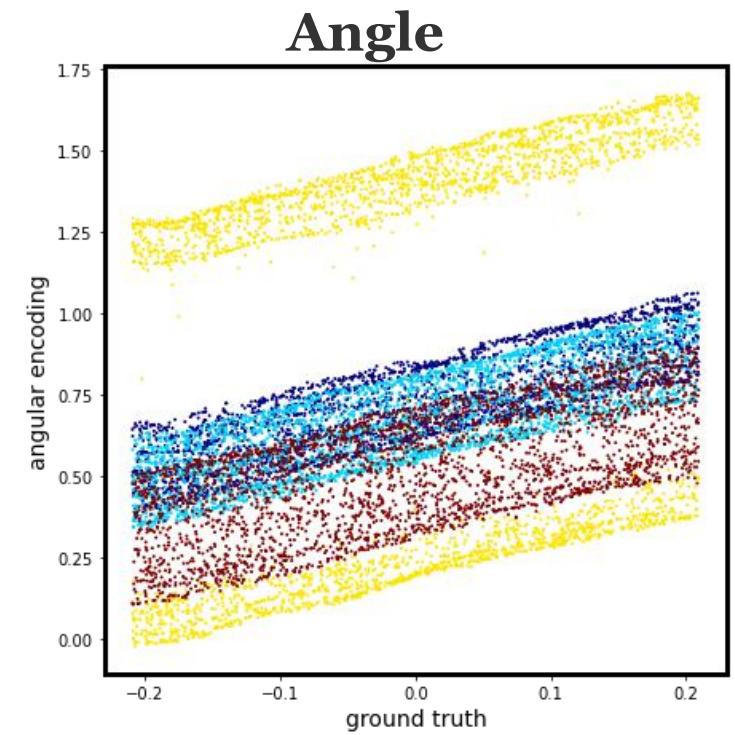
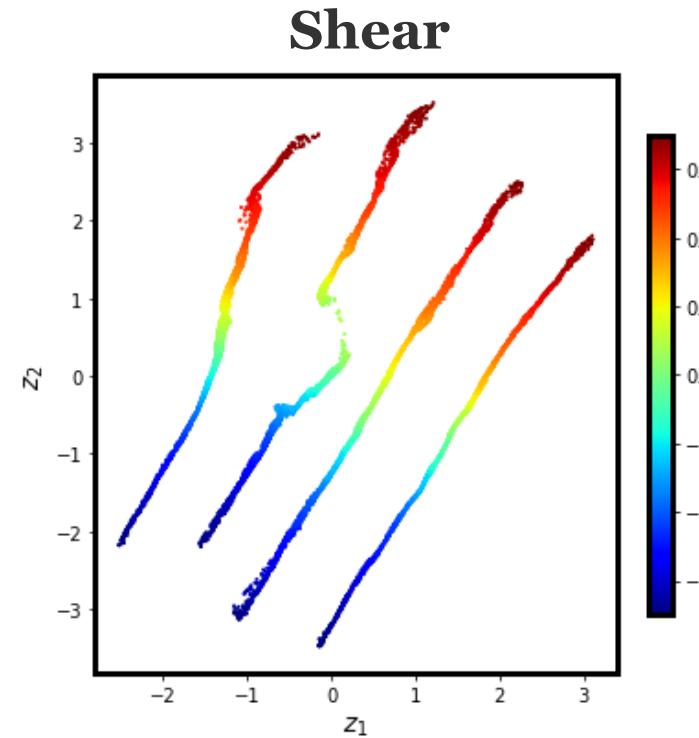
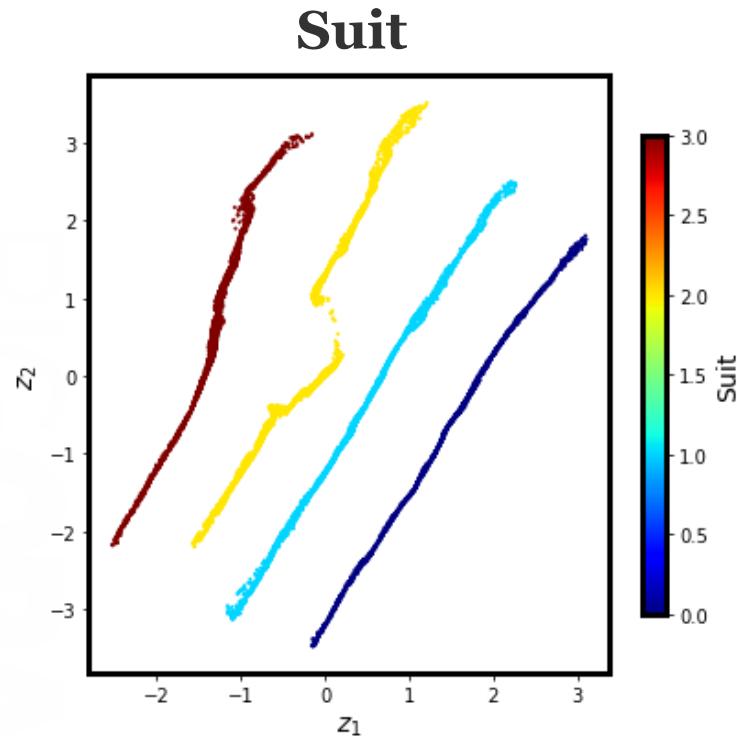


Latent representation



Cards 2: Low rotation (12 deg) and high shear (20 deg)

rVAE on Cards



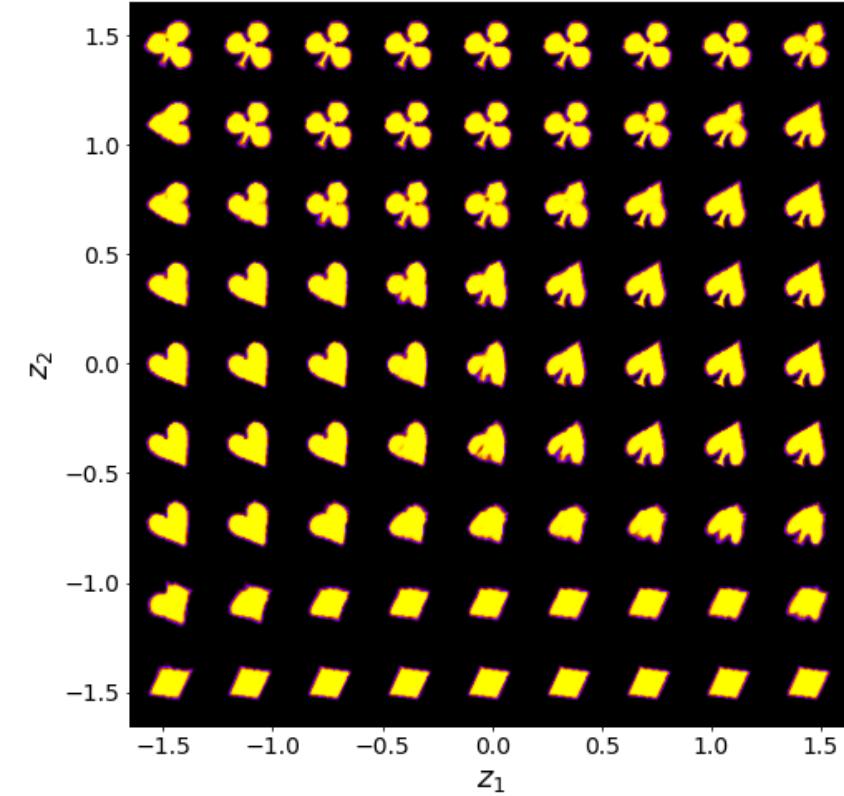
Cards 2: Low rotation (12 deg) and high shear (20 deg)

rVAE on Cards

Example of data

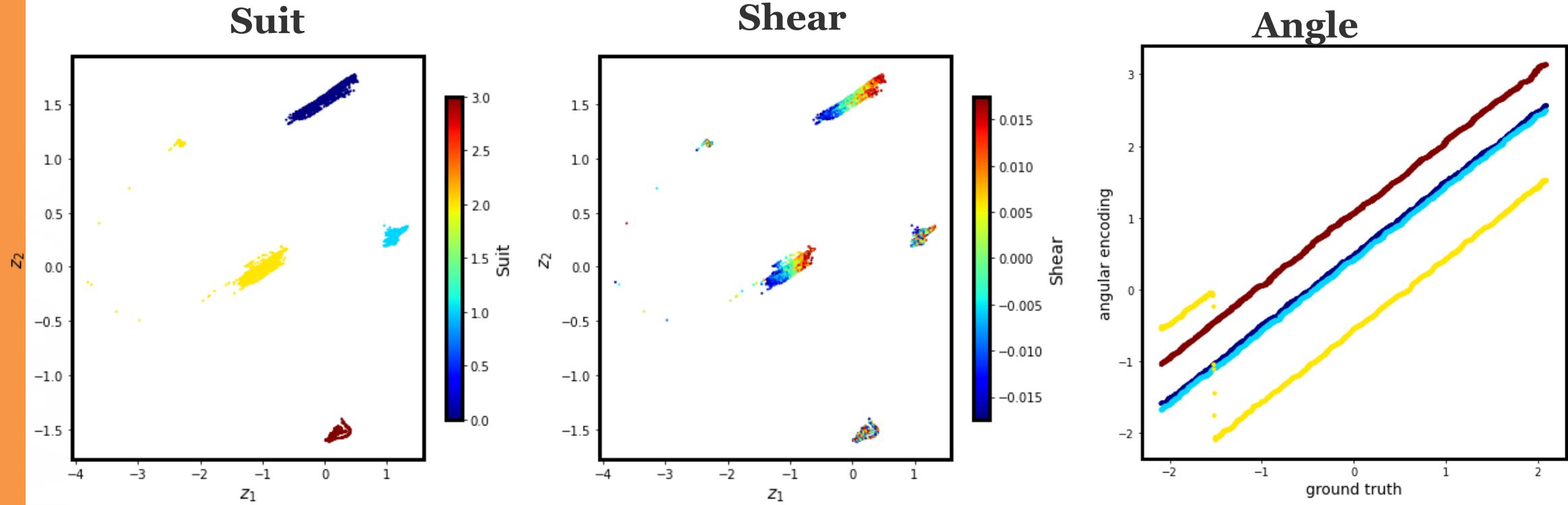


Latent representation



Cards 3: High rotation (120 deg) and low shear (1 deg)

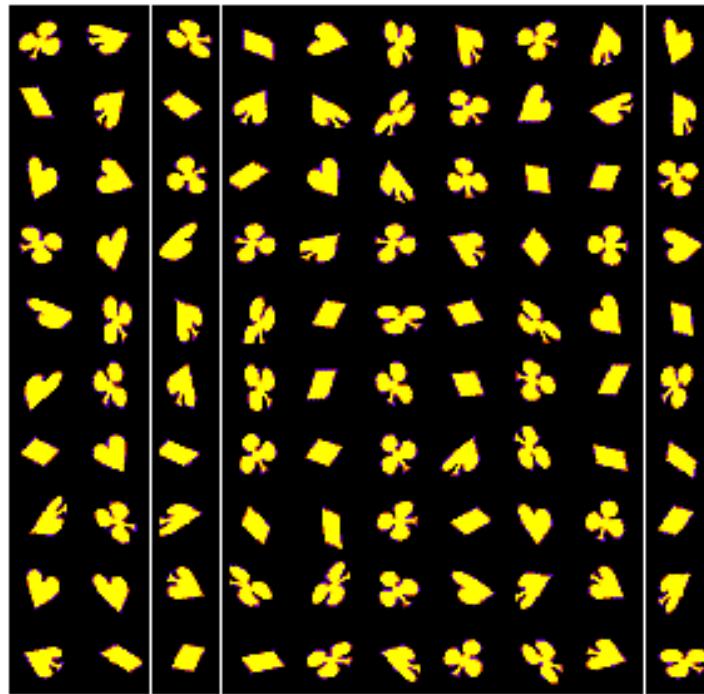
rVAE on Cards



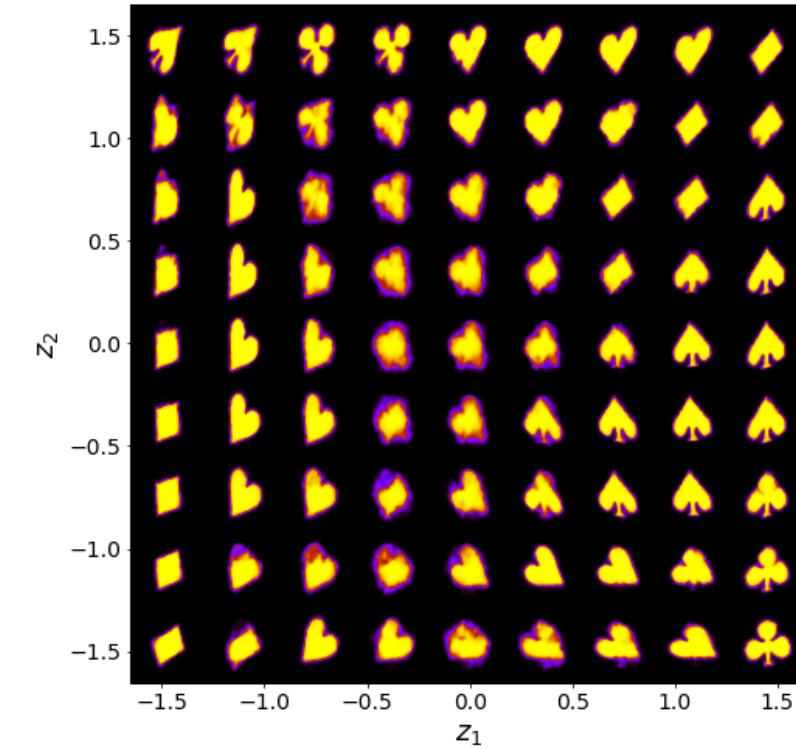
Cards 3: High rotation (120 deg) and low shear (1 deg)

rVAE on Cards

Example of data



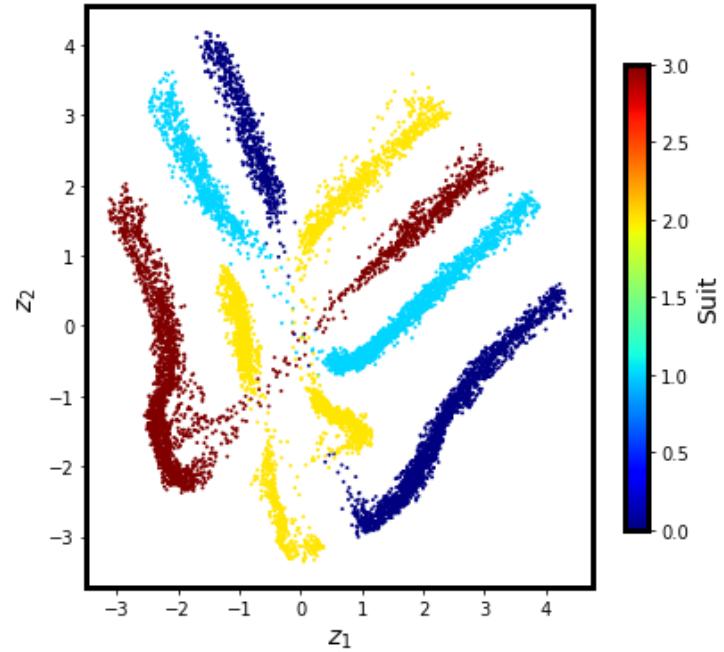
Latent representation



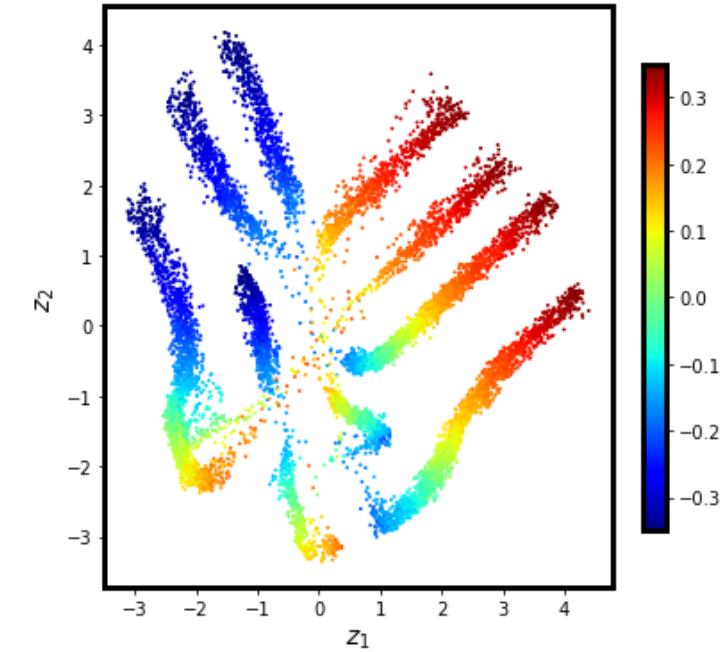
Cards 4: High rotation (120 deg) and high shear (20 deg)

rVAE on Cards

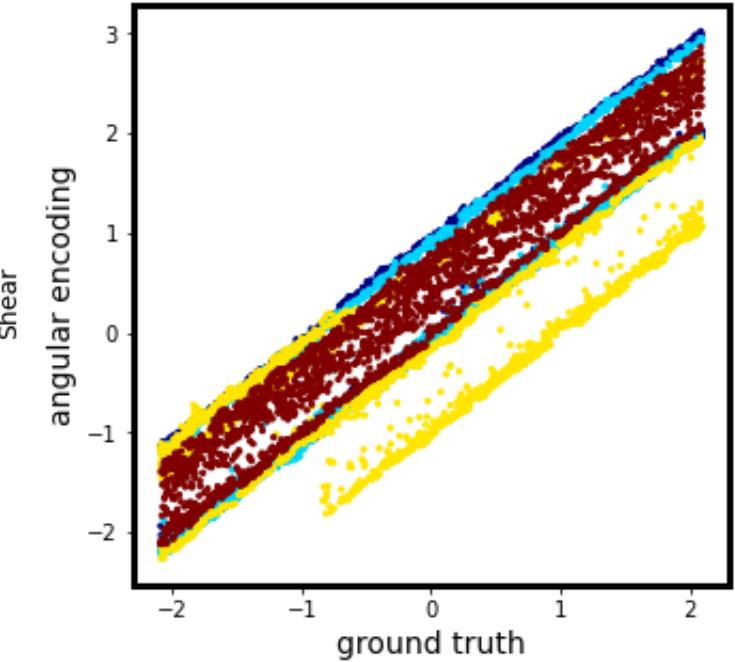
Suit



Shear



Angle



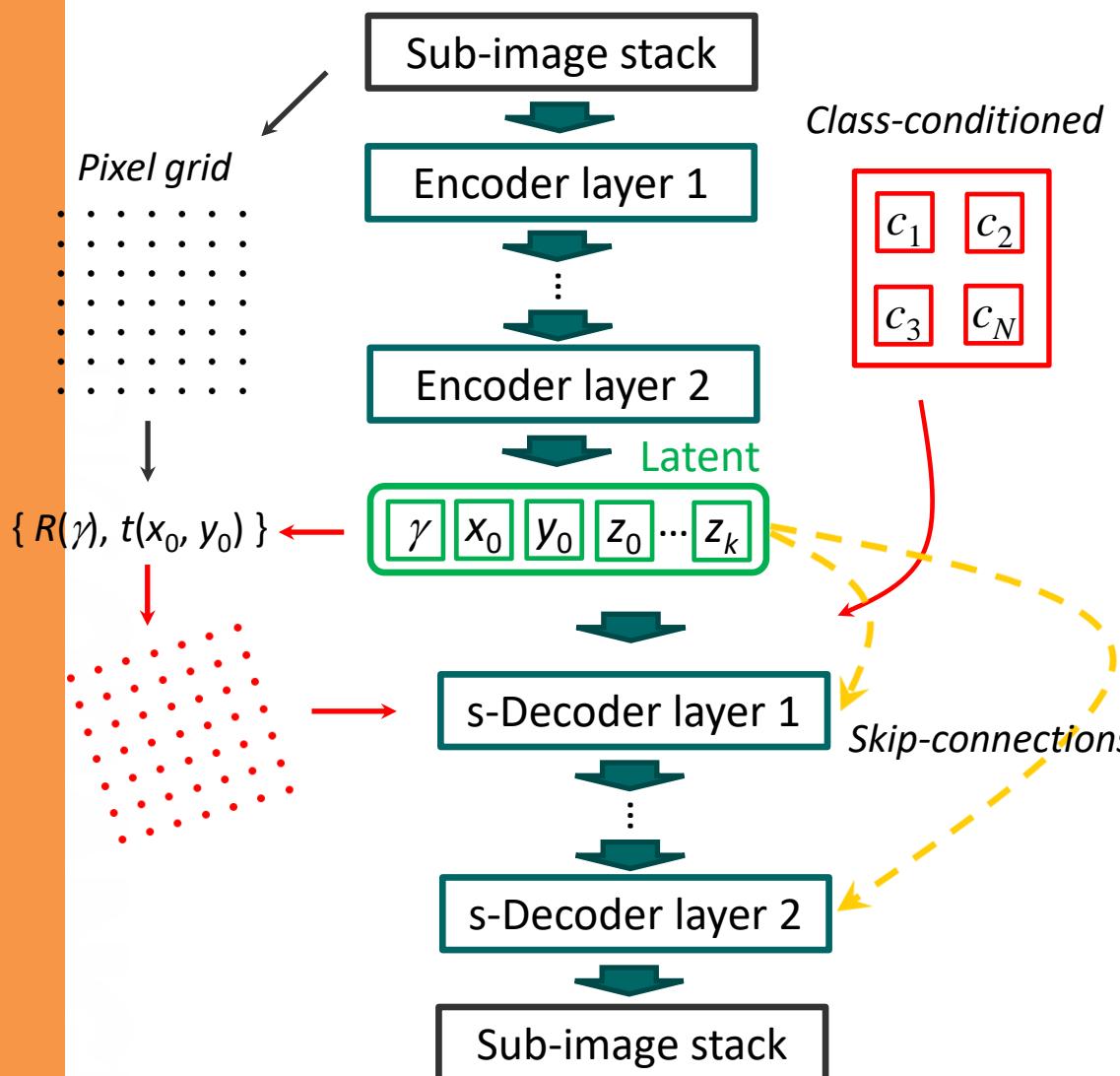
Cards 4: High rotation (120 deg) and high shear (20 deg)

- (Super-brief) introduction into Neural Networks
- What are (Variational) autoencoders?
- Key notions:
 - Encoding and decoding
 - Latent distribution
 - Latent representations
- Why invariances: rotational, translational, and scale
- Other colors of VAEs:
 - Semi-supervised
 - Conditional
 - Joint
- From VAEs to encoder-decoders (VED)
- Further opportunities:
 - Physics constraints
 - Representation learning
- Active learning: DKL

What if we have multiple classes?

1. Classes are known: conditional (discrete) VAE
2. Factors of variability are known: conditional (continuous) VAE
3. Some classes are known: semi-supervised VAE
4. Number of classes are known: joint VAE

Conditional VAE



- Generative model is a function of spatial coordinate
- 3 additional latent variables to absorb rotations and shifts
- Disentangles rotations and translations from image content
- Ideal for analyzing microscopy sub-images on atomic level

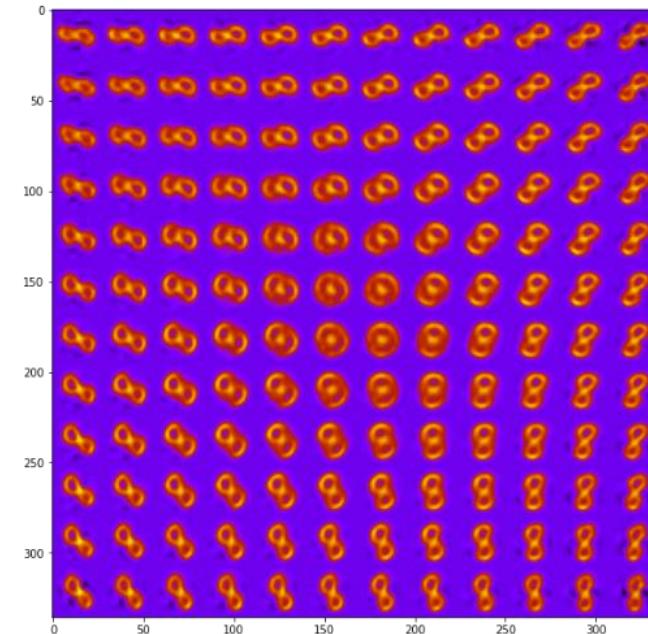
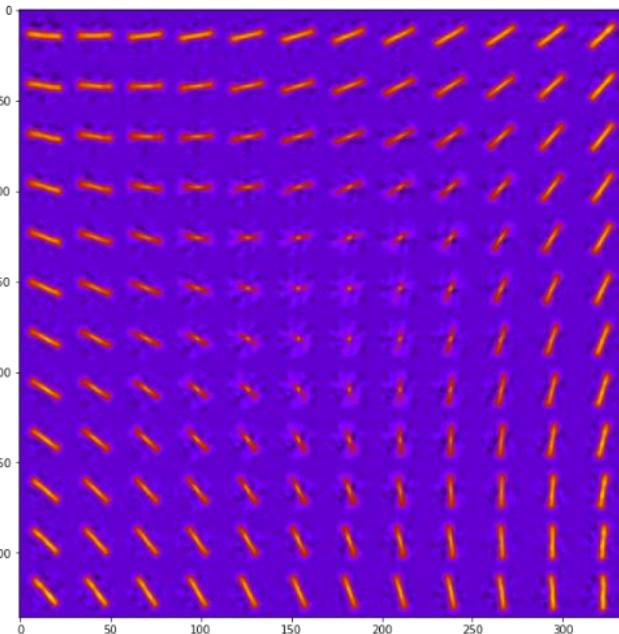
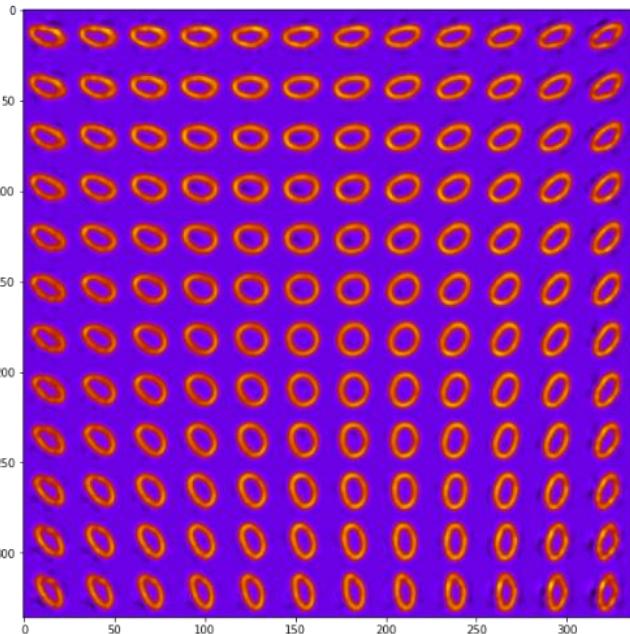
ELBO

$$\begin{aligned} &= \text{Reconstruction Loss} \\ &- D_{KL}(q(z|x)\|\mathcal{N}(0,I)) \\ &- D_{KL}(q(\gamma|x)\|\mathcal{N}(0,s_\gamma^2)) \\ &- D_{KL}(q(\Delta r|x)\|\mathcal{N}(0,s_{\Delta r}^2)) \quad \text{Regular VAE} \\ &+ D_{KL}(\text{physics-based "priors"}) ? \\ &+ D \quad (\text{physics}) ? \end{aligned}$$

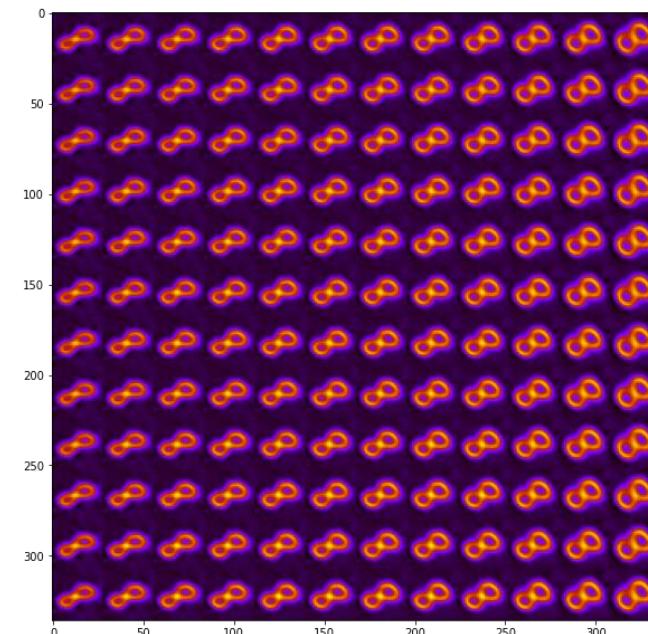
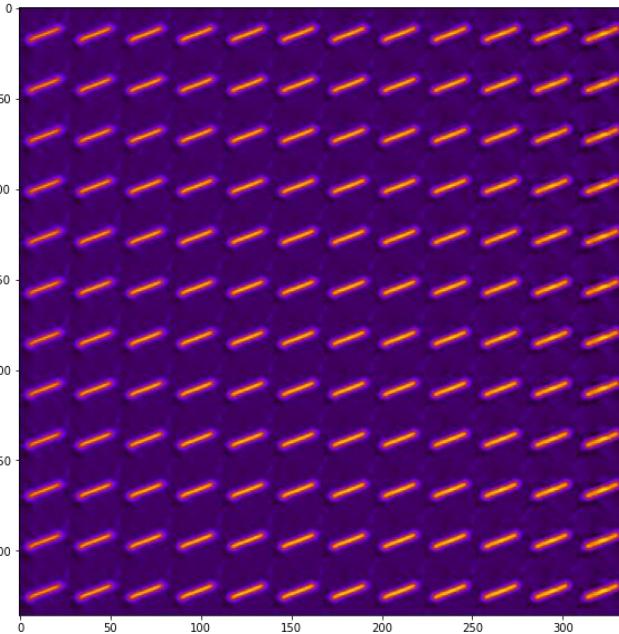
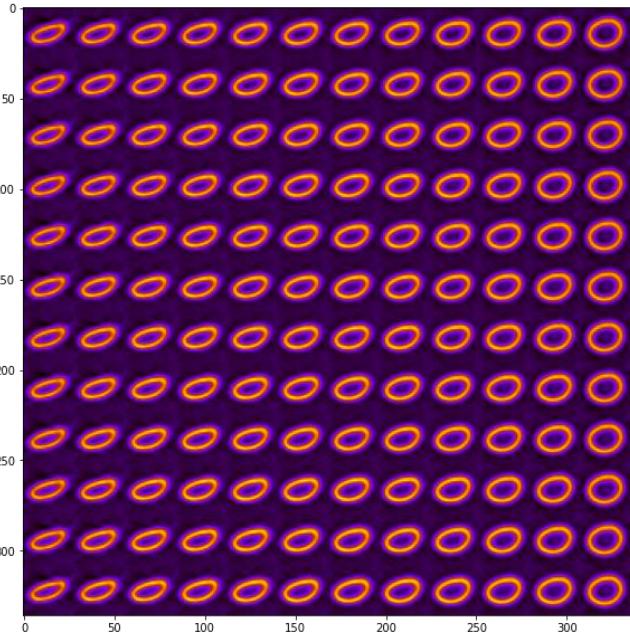
Rotation
Translation

MNIST: cVAE

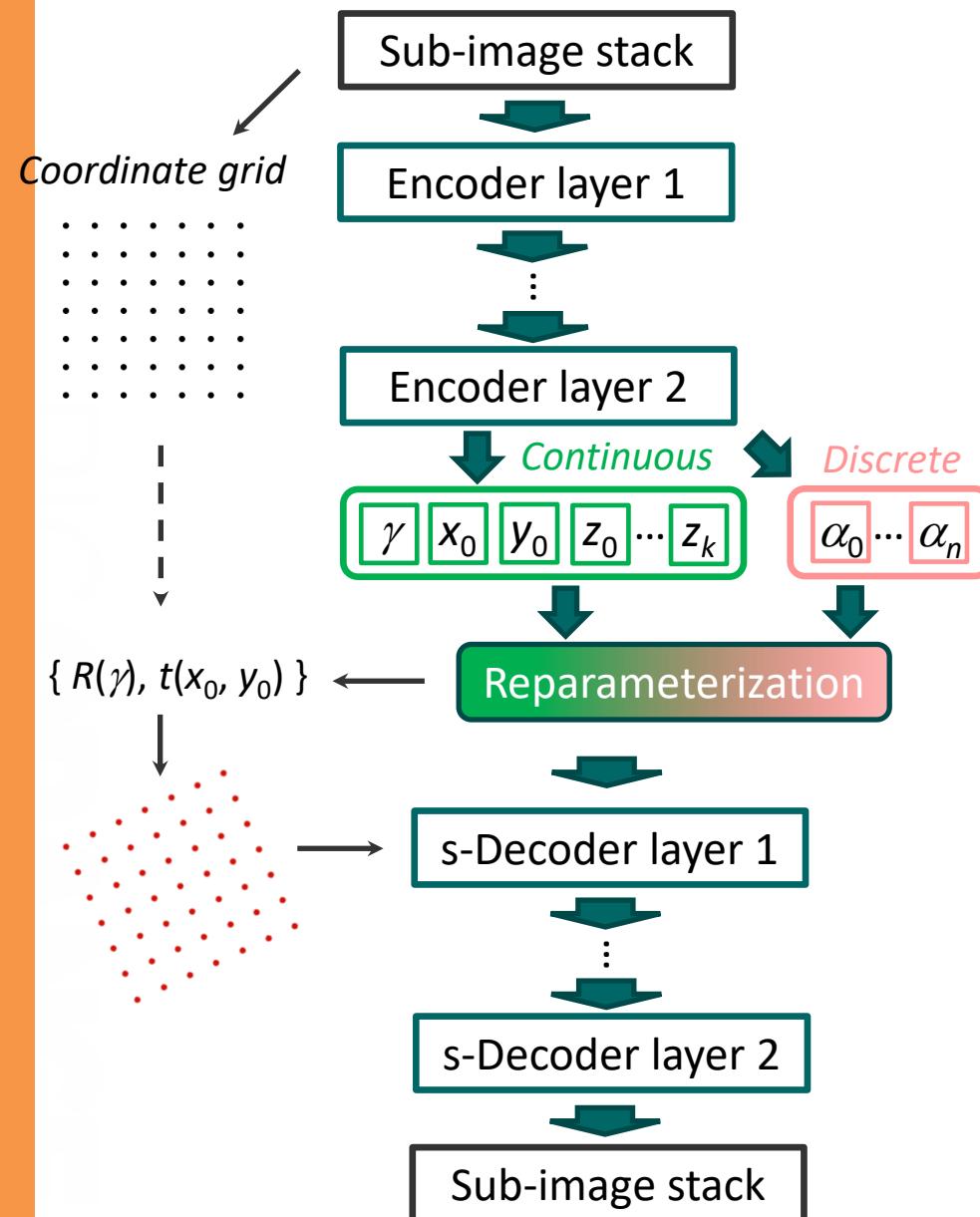
No rotations



With rotations



Joint VAE

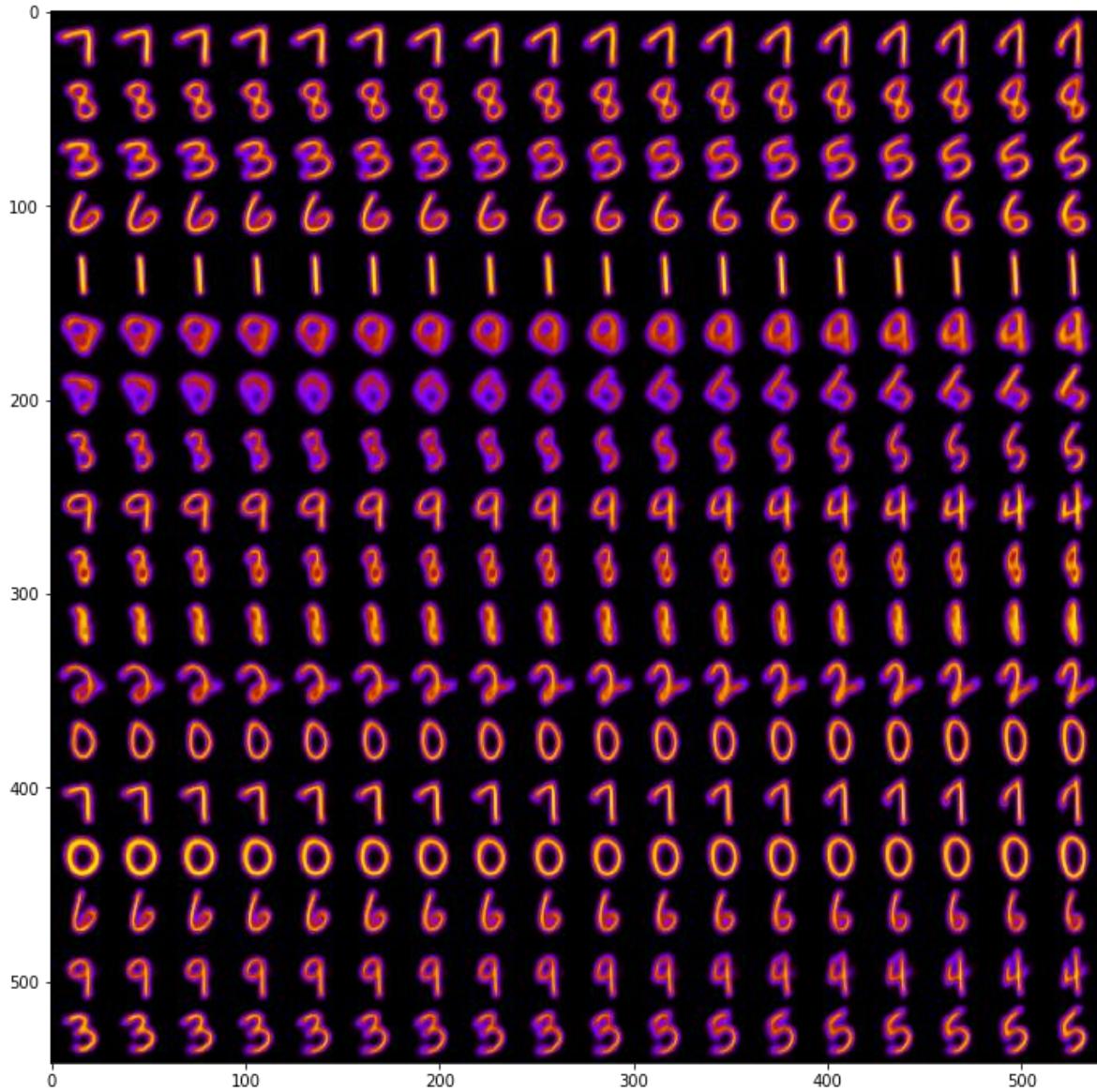
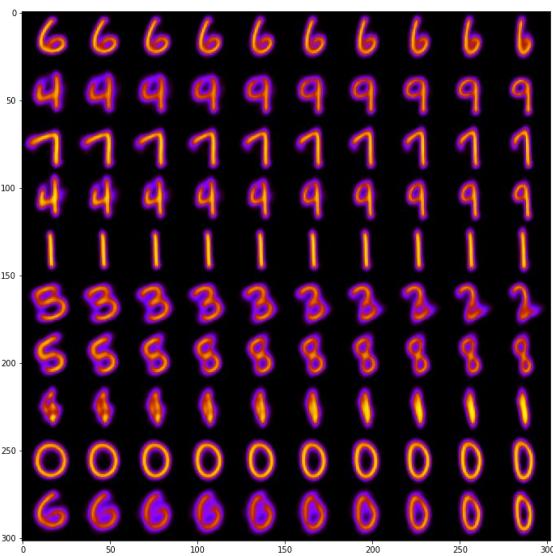
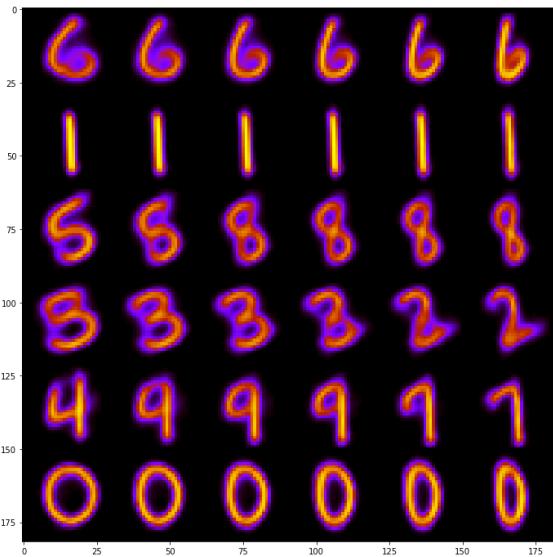


- Generative model is a function of spatial coordinate (e.g., via spatial broadcasting)
- 3 additional latent variables to absorb rotations and shifts
- Disentangles rotations and translations from image content
- Learns discrete classes in unsupervised fashion
- Well-suited for analyzing microscopy (sub-)images on atomic and molecular levels

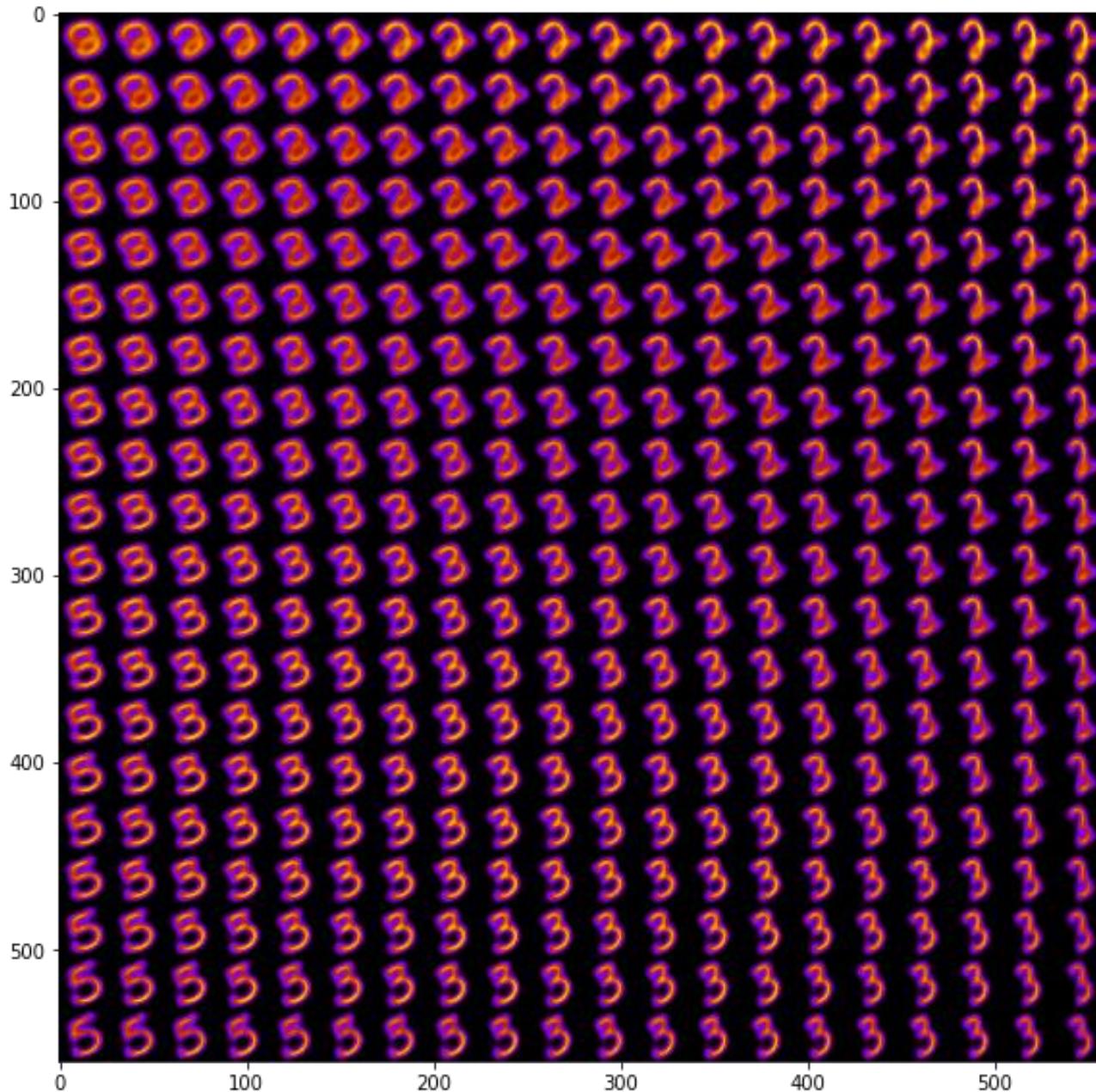
ELBO =

$$\begin{aligned} & - \text{Reconstruction Loss} \\ & - \beta_c(t) |(D_{KL}(q(z|x) \parallel p(z)) + D_{KL}(q(\gamma|x) \parallel p(\gamma)) - C_z| \quad \text{Continuous} \\ & - \beta_d(t) |D_{KL}(q(\alpha|x) \parallel p(\alpha)) - C_\alpha| \quad \text{Discrete} \\ & + \text{physics-based "loss" ?} \end{aligned}$$

jVAE of MNIST

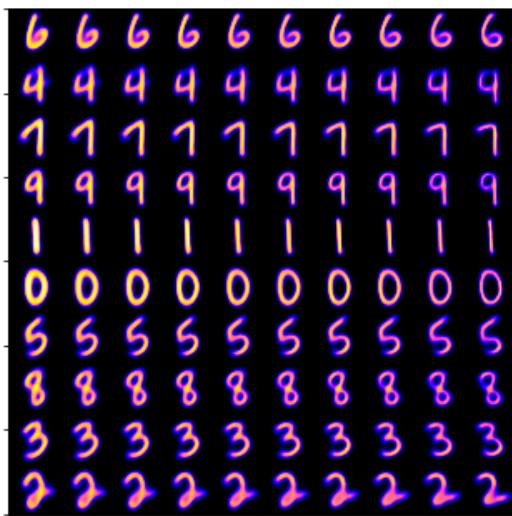
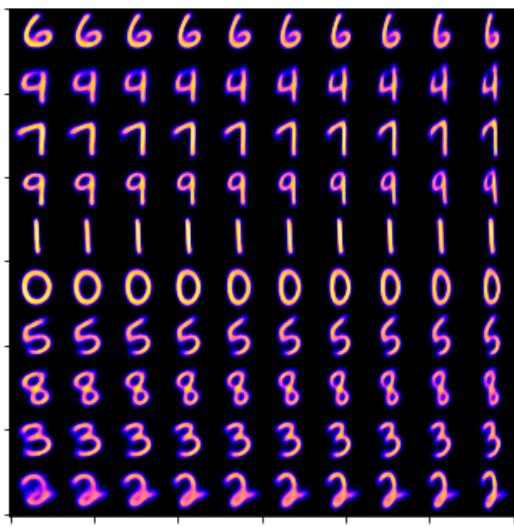


Latent representation



Ensemble jVAE

Predictions from different ensemble models



Mean prediction

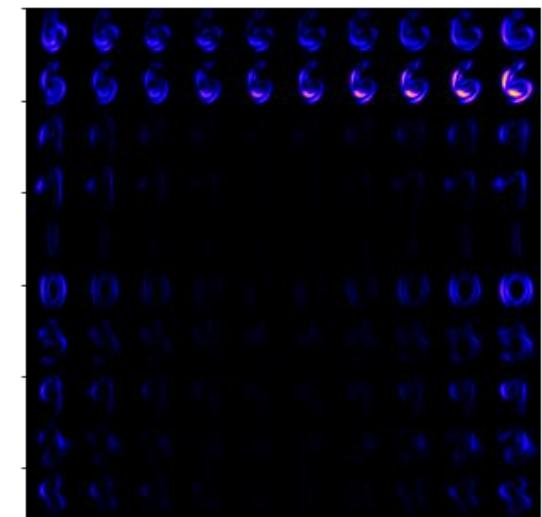
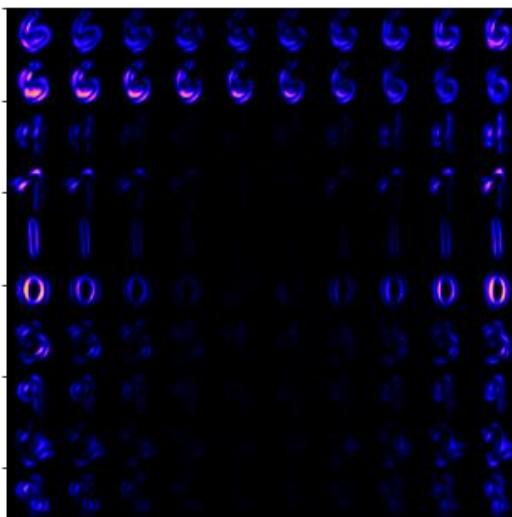


Baseline: 10 epochs
Ensemble models: 8

- The unstable classes show the largest “uncertainty”
- Indication of the quality of separation and/or a guide for selection of the number of classes



Dispersion in predictions ('uncertainty')



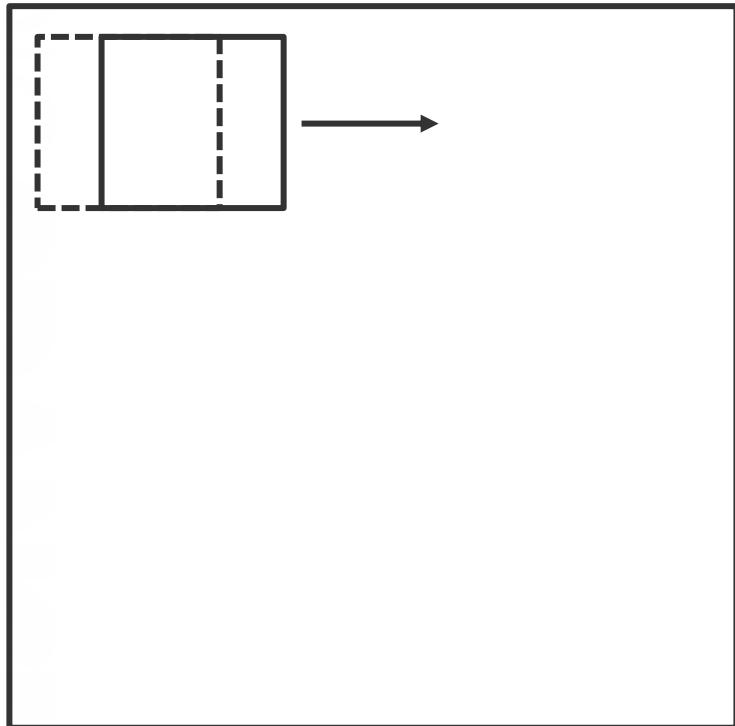
What can (unsupervised) classification give us

- Our research deals with complex data sets containing information on physics of objects we seek to understand
 - This can be spectral data sets (EELS in STEM, CITS in STM, complex spectroscopies in PFM) or single, multimodal, or hyperspectral images
 - Often, we seek approaches to reduce dimensionality and explore similarities in these data sets.
-
- When working with such data sets, two things matter: descriptors and ML method
 - In analysis of EELS or CITS data, very often our descriptor is just the spectrum at each pixel. Typical analysis will be either linear or non-linear dimensionality reduction or clustering:
 - Linear dimensionality reduction: PCA, NMF, BLU
 - Clustering: k-means, GMM
 - Manifold learning: ISO, UMAP, tSNE, DBSCAN
 - Neural nets: SOFM, AEs, VAEs
 - Typical result will be the components (representing behavior), and loading maps representing spatial variability of these behaviors. **By construct, components will not depend on the relative spatial positions of pixel.**
-
- **What about images?**

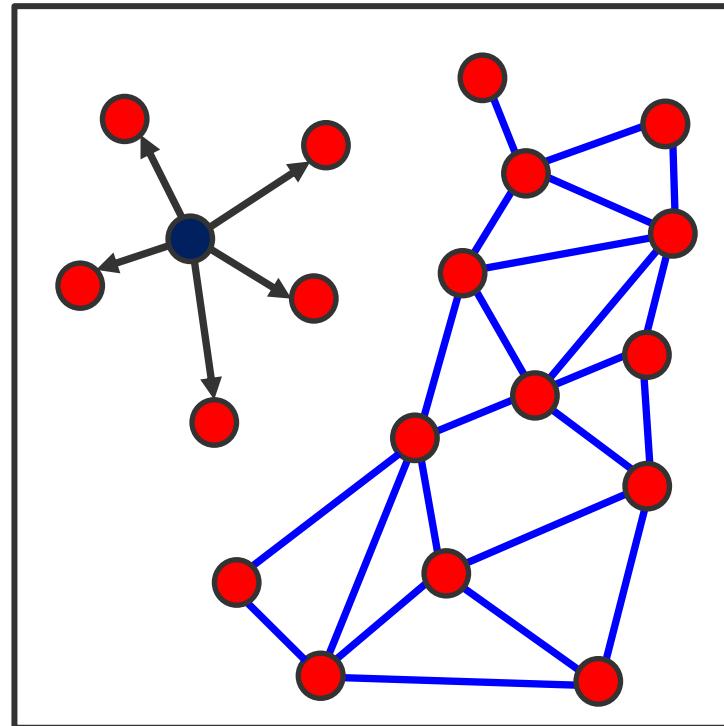
Describing the building blocks

- The classical physical descriptions (symmetry, etc) can be defined locally only in Bayesian sense
- We can argue that local descriptors are simple, if not necessarily known
- And the rules that guide their emergence are also simple, if not known

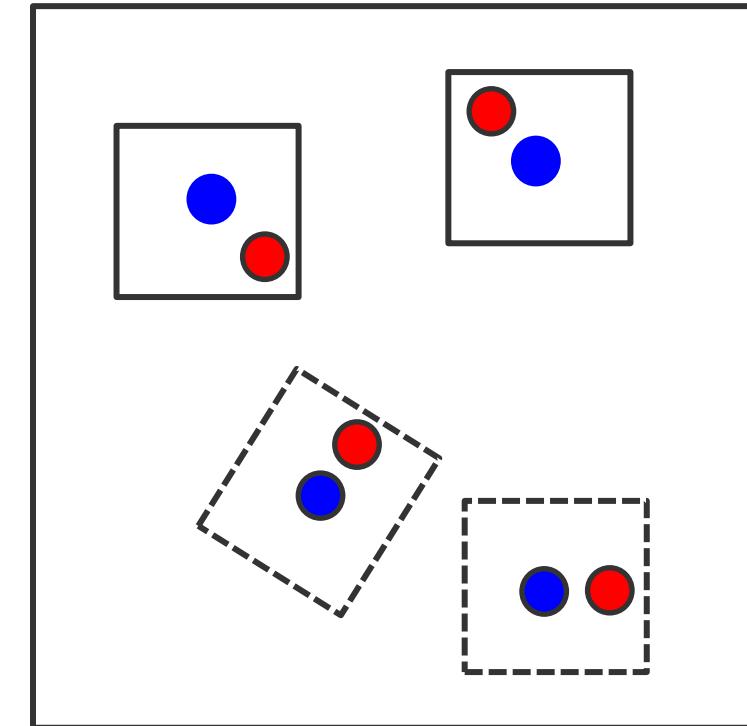
Continuous translational symmetry



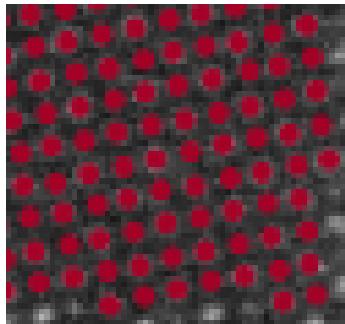
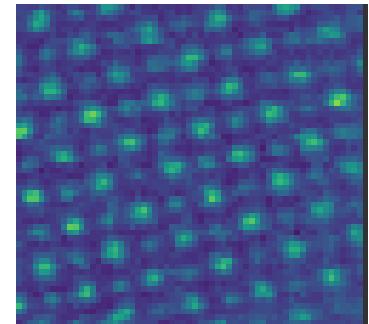
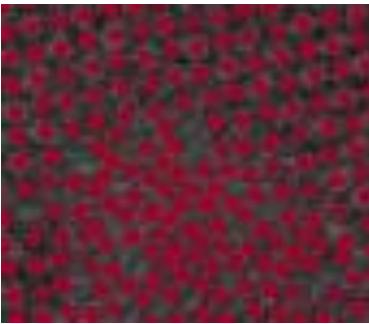
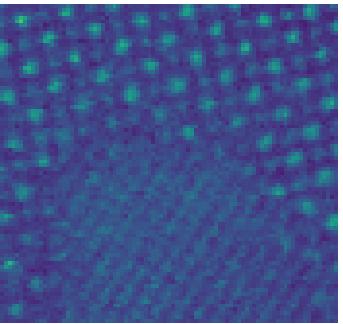
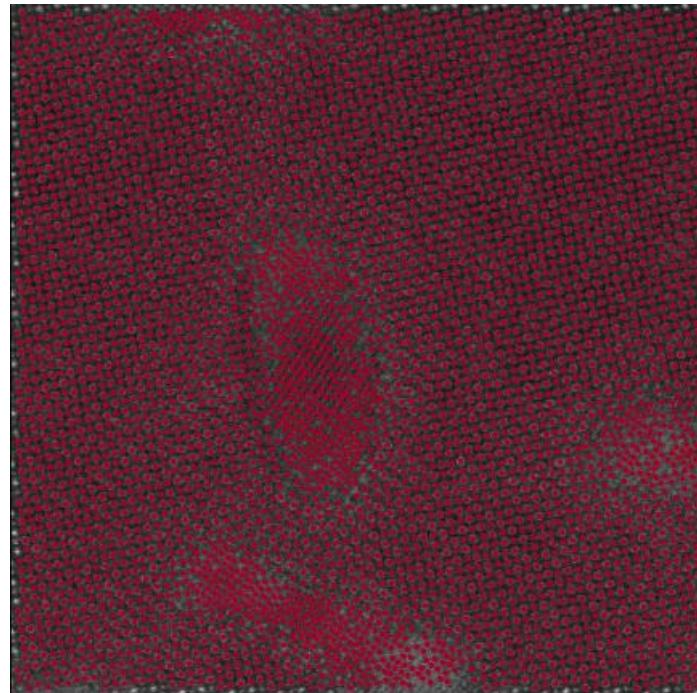
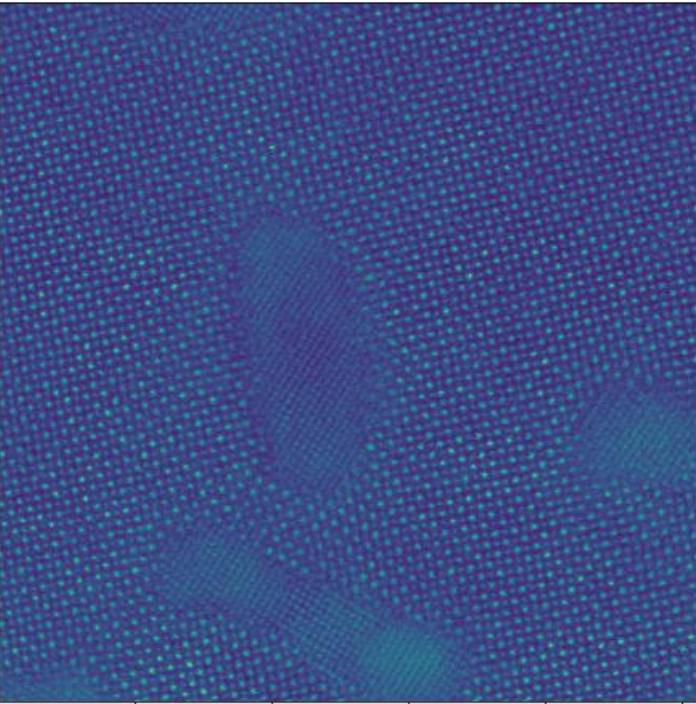
Atom based descriptions



Localized sub-images



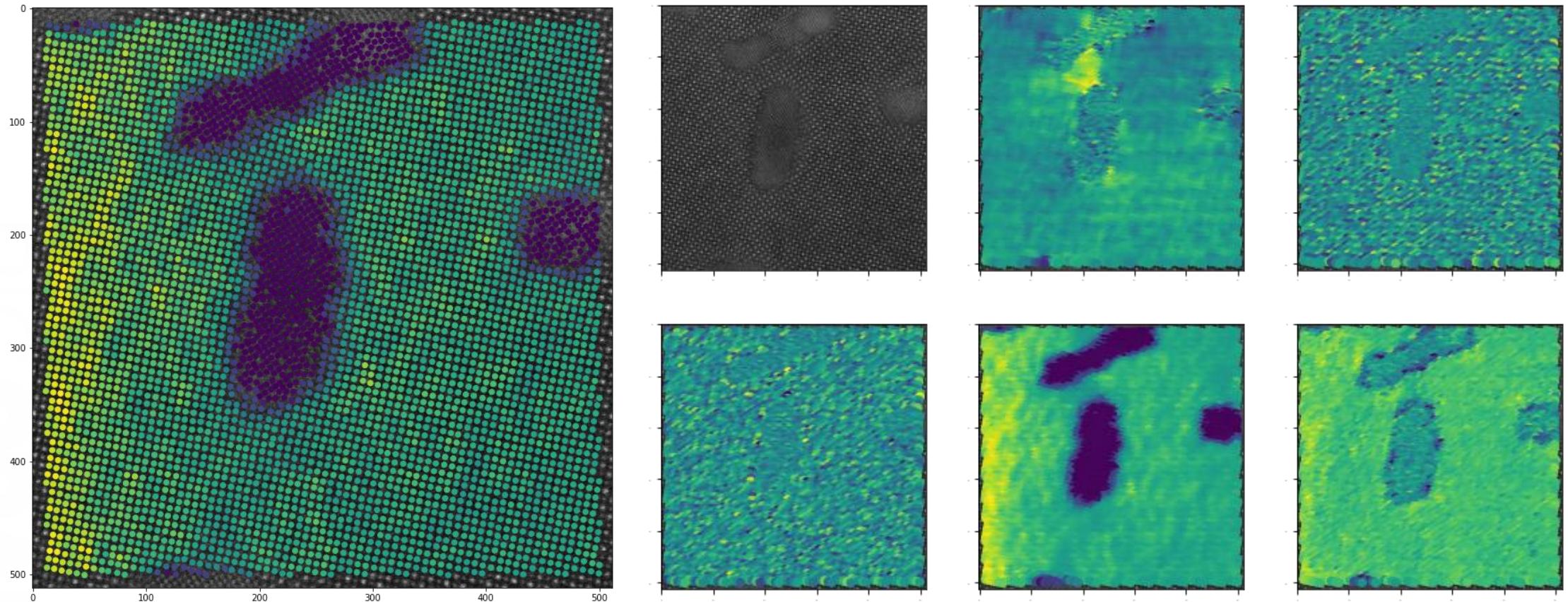
Let's put it all together!



Step 1: Find all atoms (or all that you can) – use maximum finders, blob-log, or DCNNs

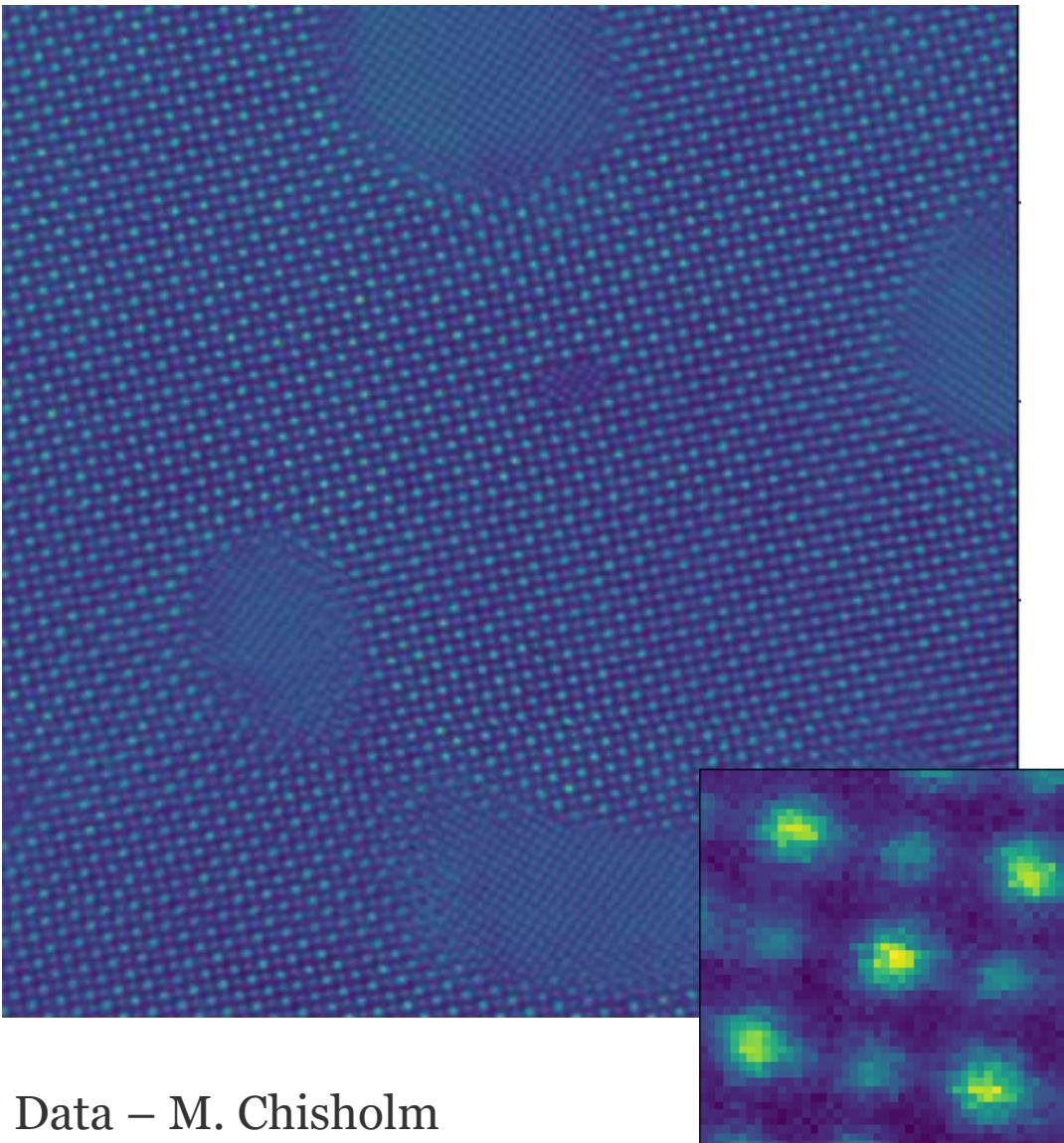
Step 2: Create descriptors – patches centered on atoms. Keep track on what part of image (or stack) it came from

Step 3: rVAE

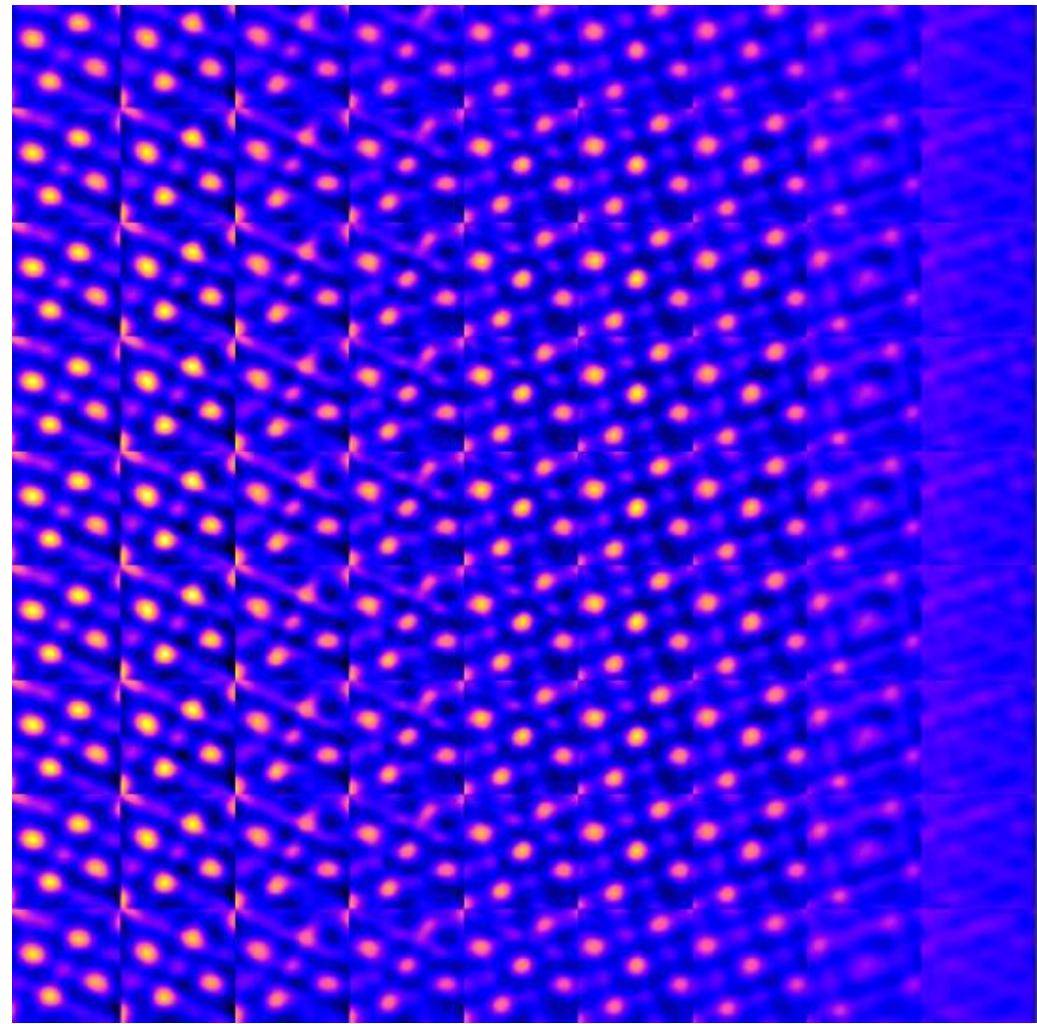


Output: Latent variable corresponding to local structure of each atomic site. Can be visualized on top of the original atomically resolved image, or as 2D maps (but – not rectangular array!)

Analysis of the NiO-LSMO

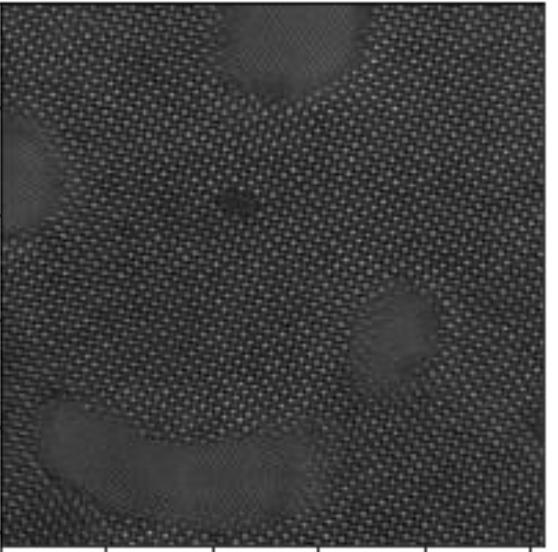


Data – M. Chisholm

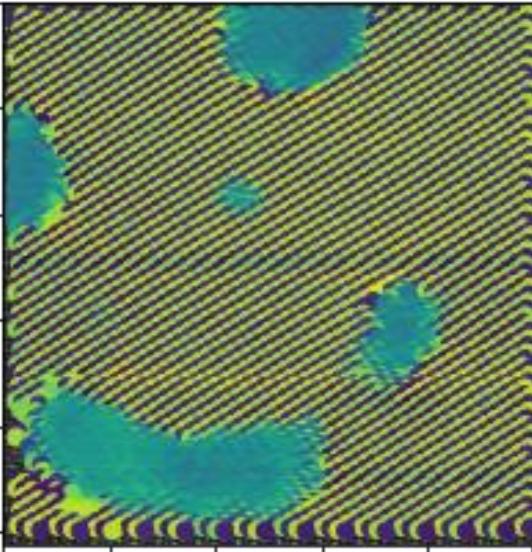


Let's look at latent space

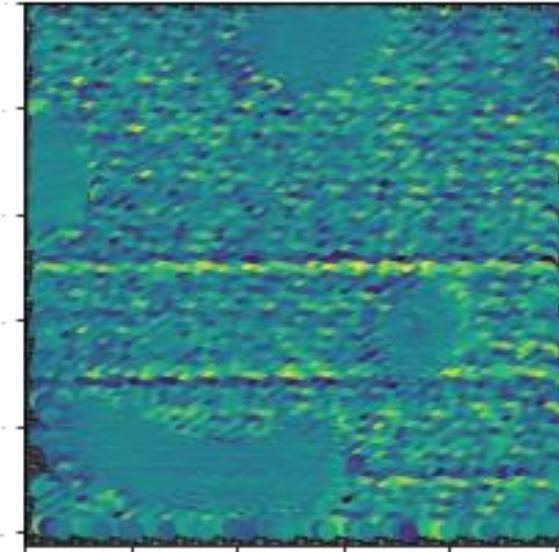
Image



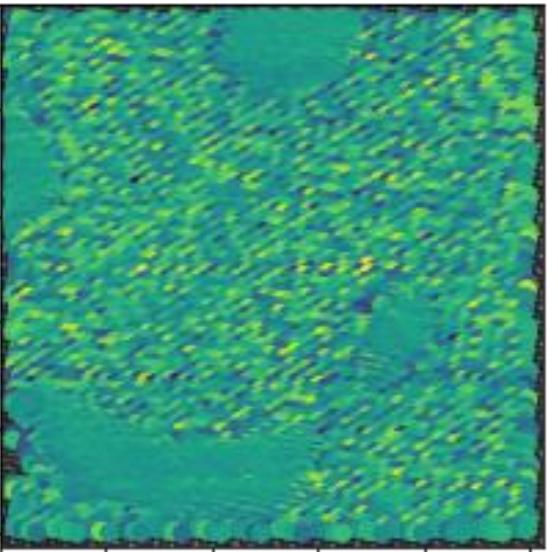
Angle



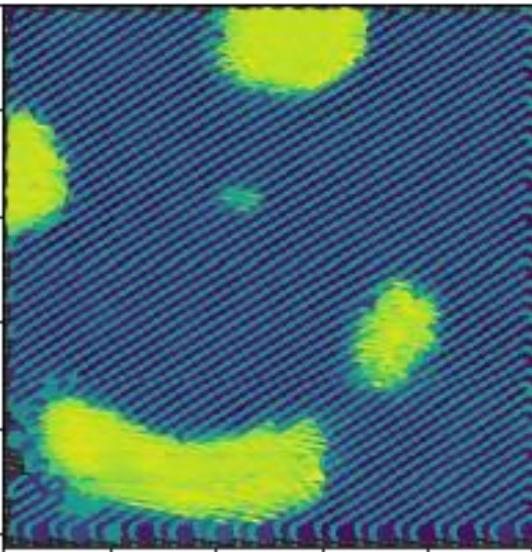
X Offset



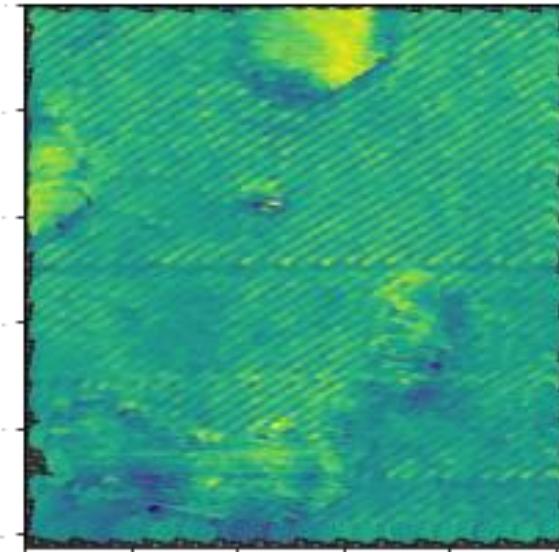
Y Offset



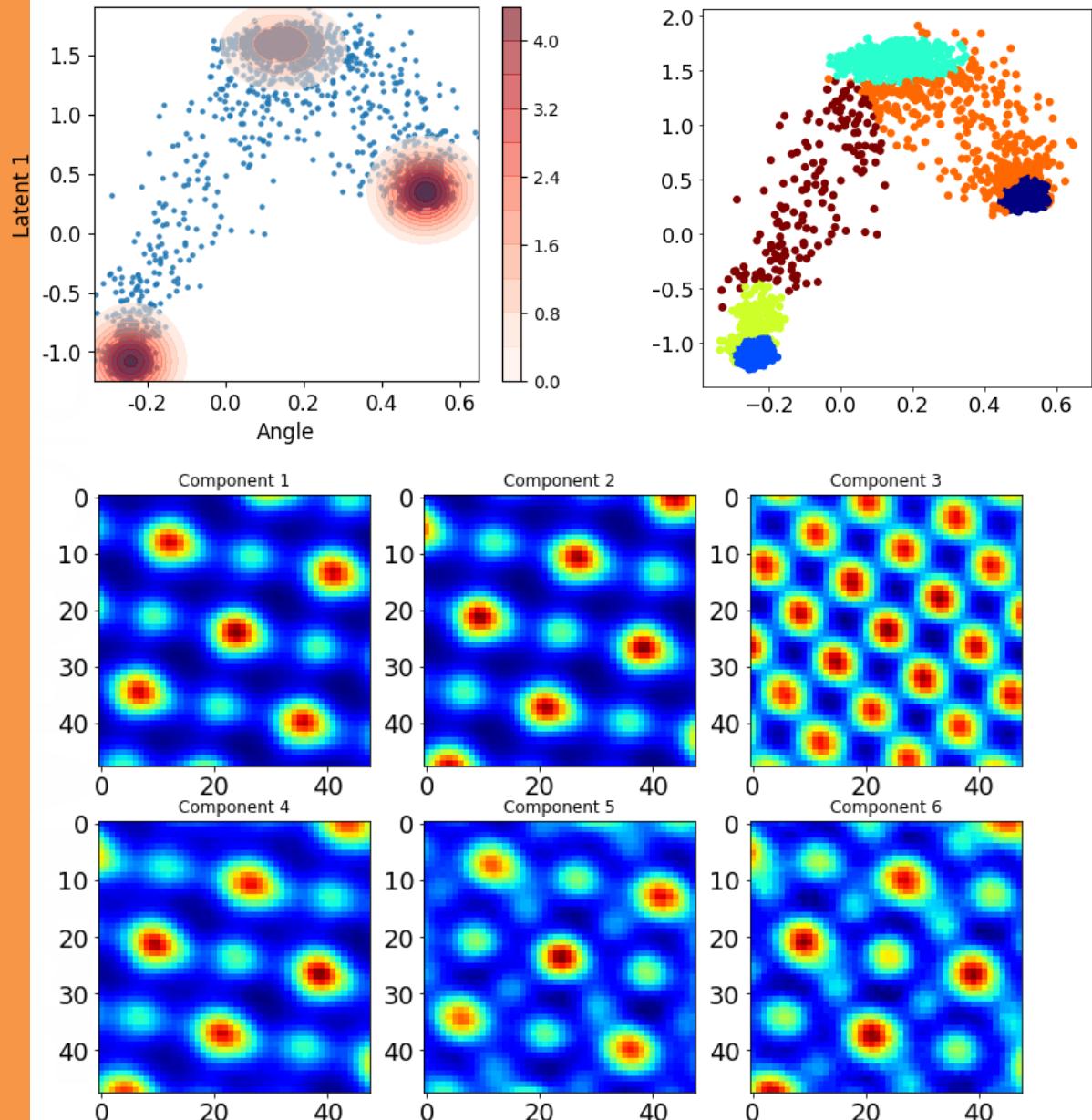
Latent 1



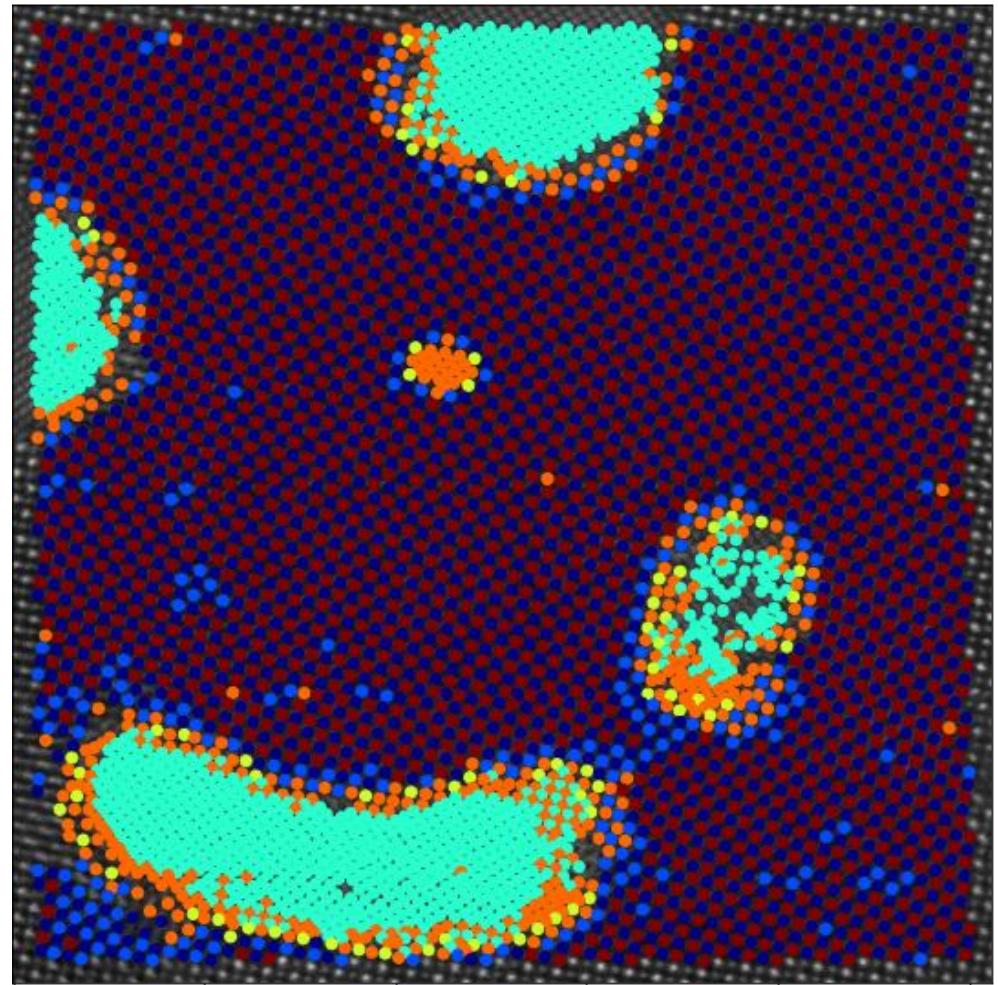
Latent 2



Exploring latent distributions



Labeled image



- Classes and variability are mixed in a single latent space
- Disentangling of representation

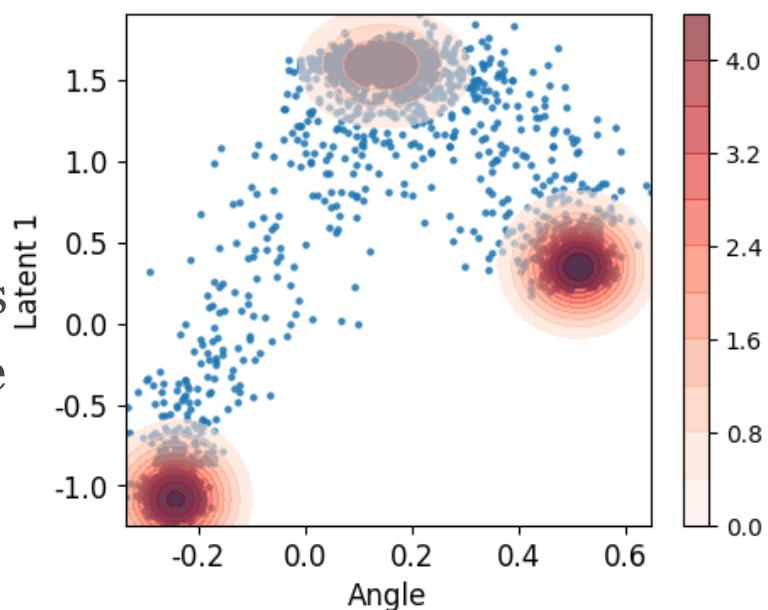
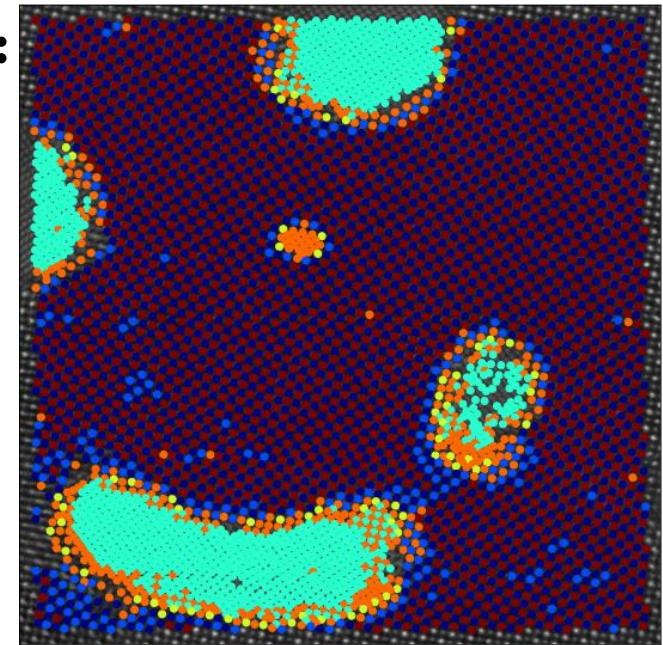
That's where the jVAE has come from

Currently, we have variants of invariant VAE that include:

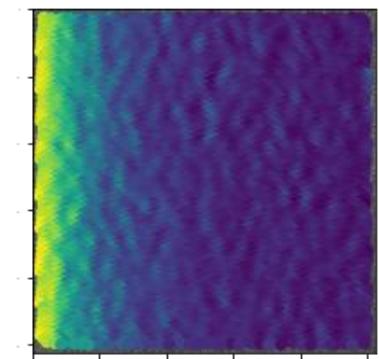
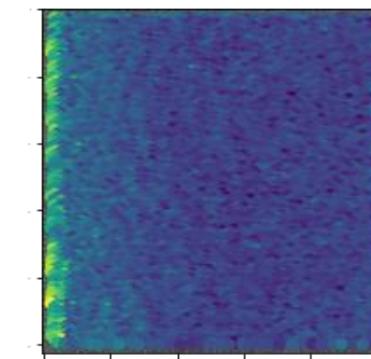
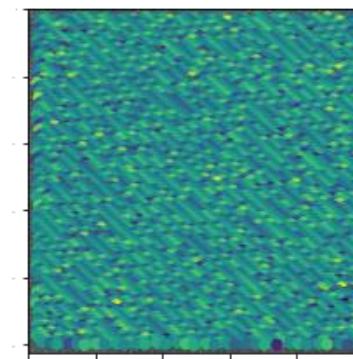
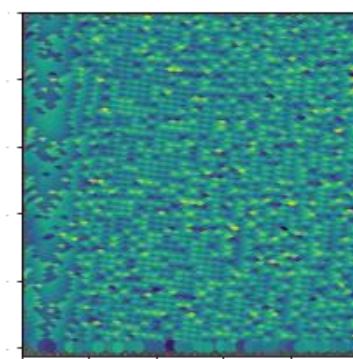
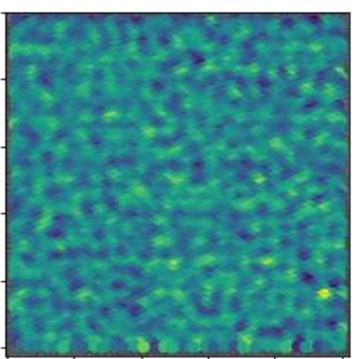
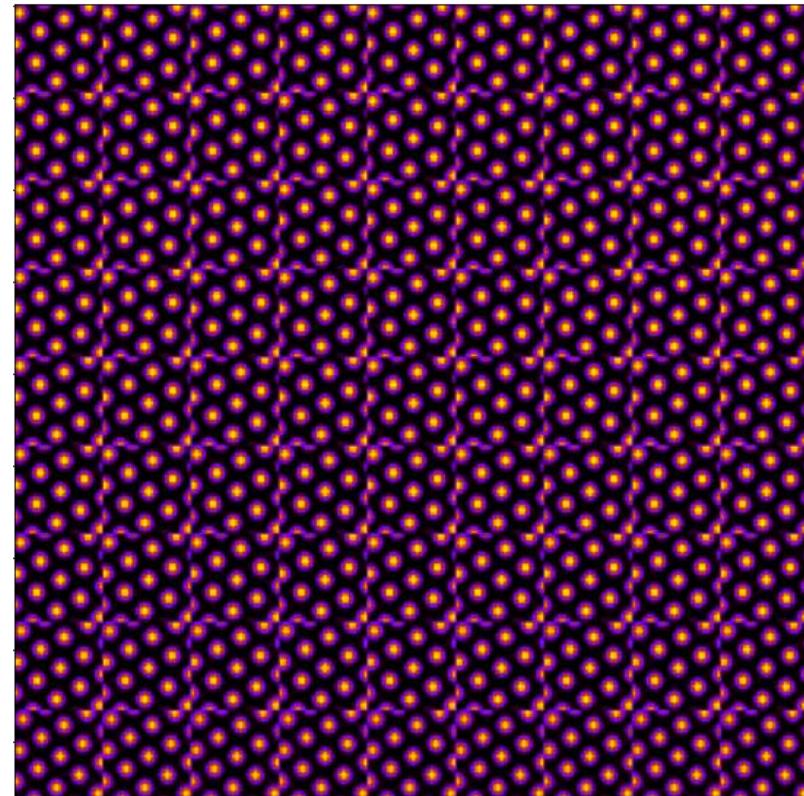
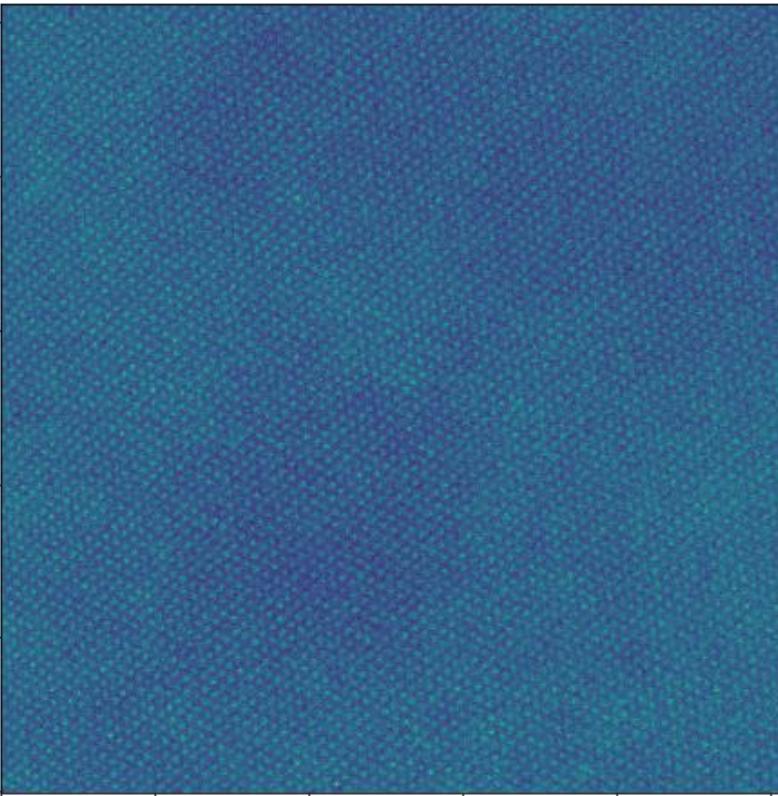
- Convolutional or dense layers (reconfigurable via `**kwargs`)
- Rotational invariance
- With and without offsets (as latent variables)
- Multilayer inputs

However, our rVAE collects everything in a single latent space. Realistically, very often we deal with system where we expect the presence of finite number of classes that may be known, partially known, or unknown, with certain continuous traits within classes.

- **Graphene and MX₂:** structural units (discrete) and strain states
- **Crystalline solids:** phases and ferroic variants, strain states
- **Plasmonic EELS:** particle spectra, off-particle spectra, edge states
- **CITS:** lattice and defects, strain states

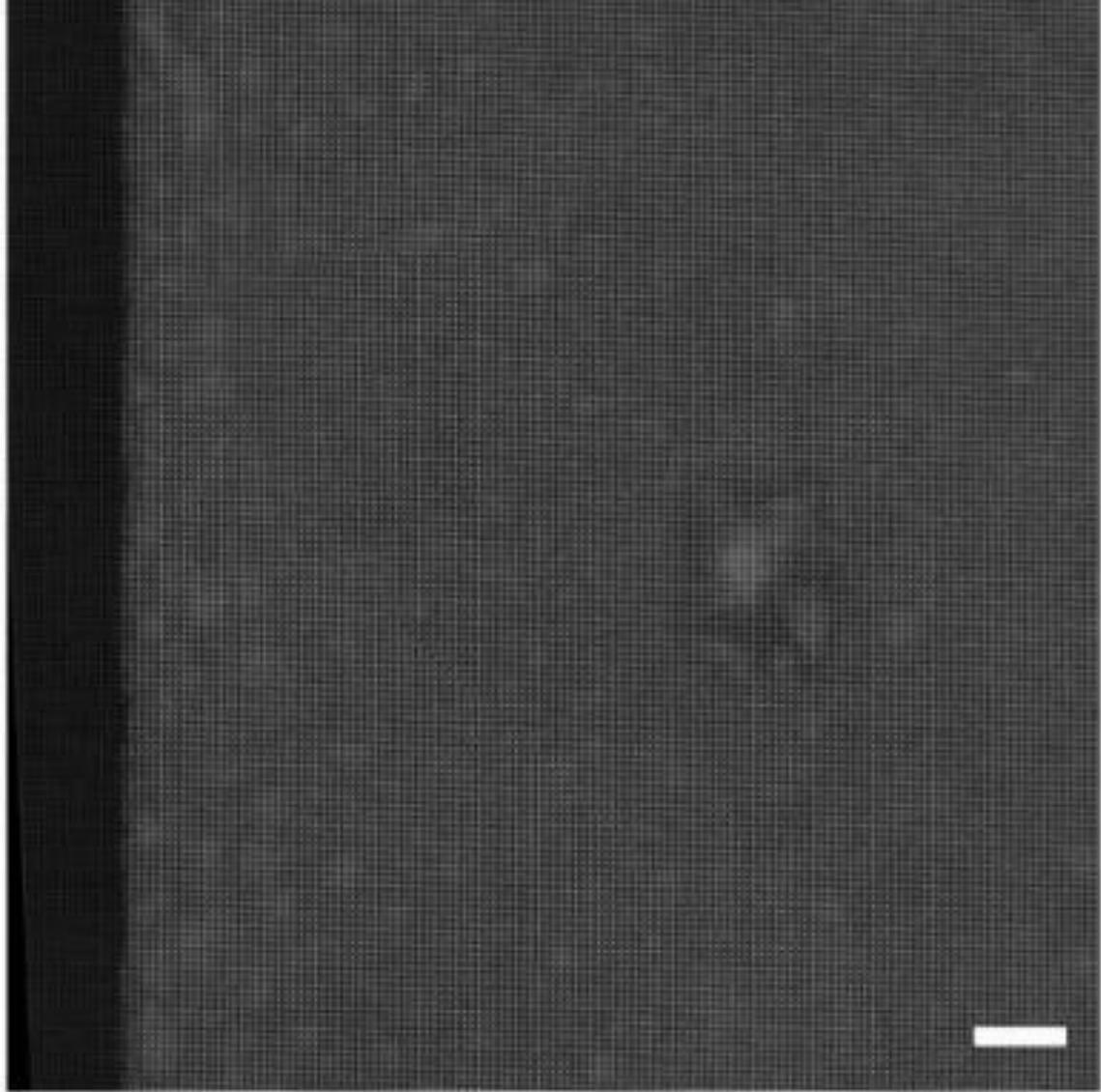


Out of curiosity: single crystal?

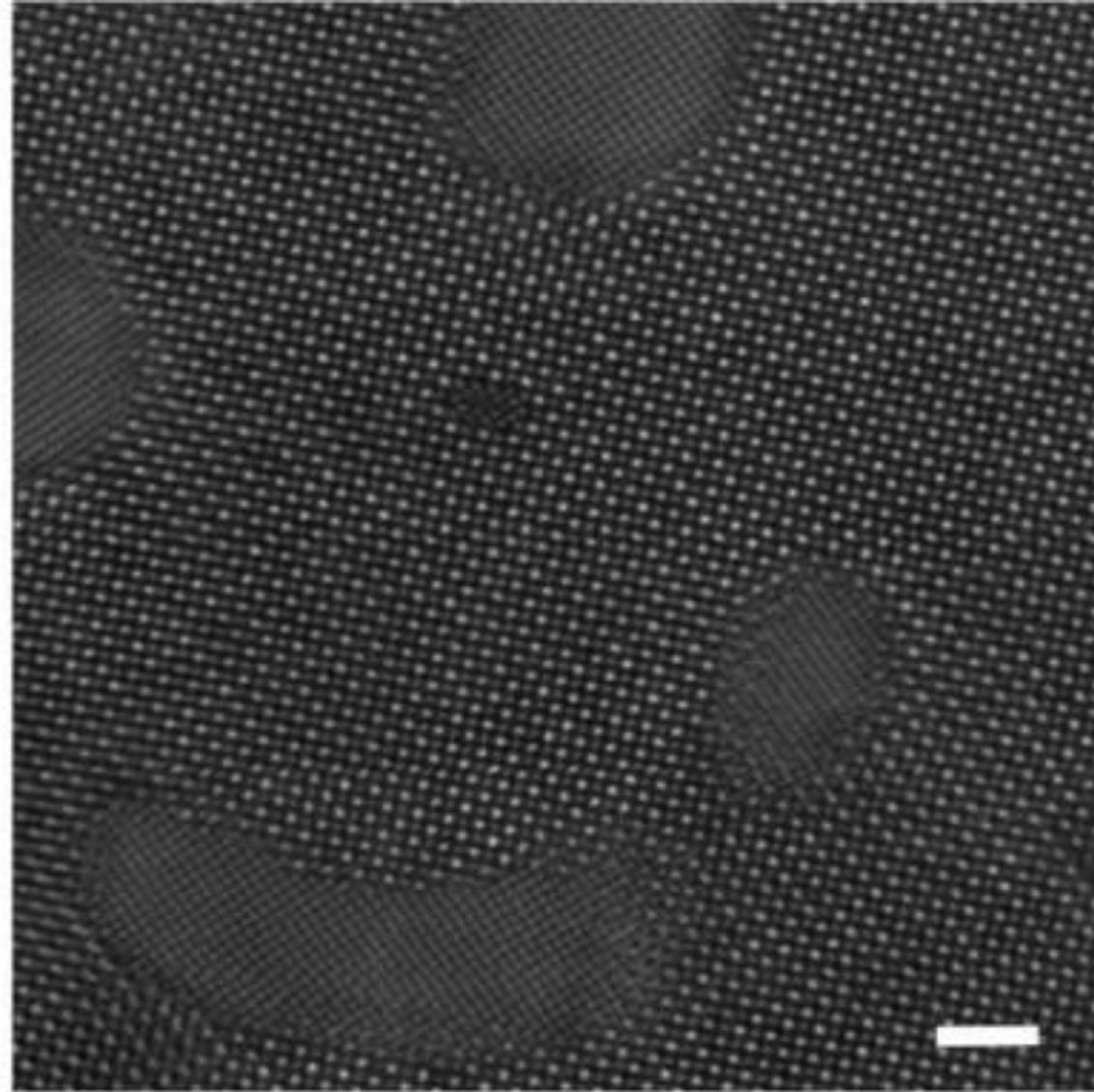


VAE without Atom Finding

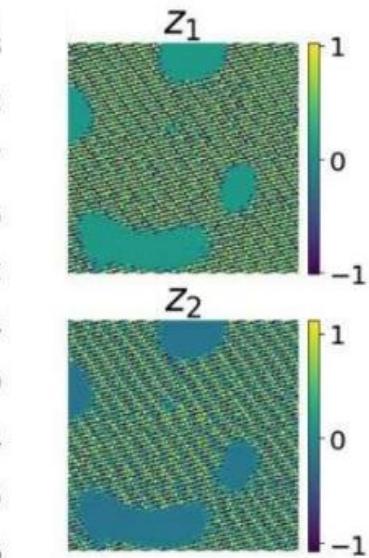
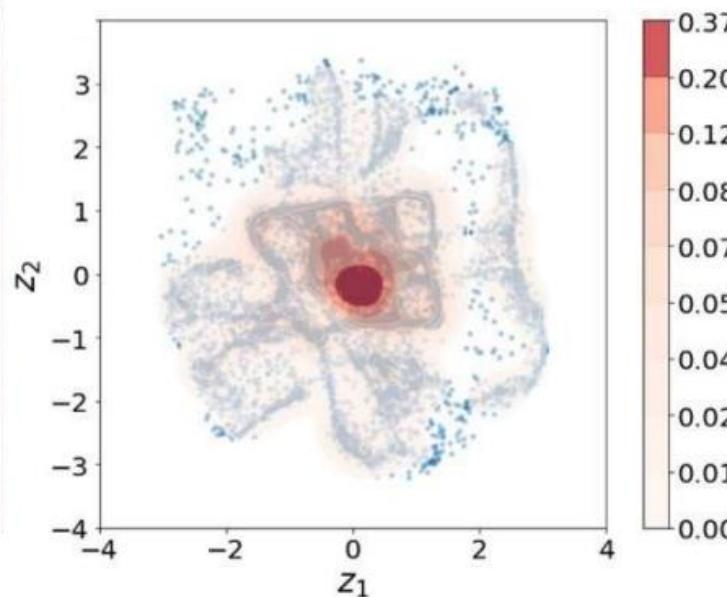
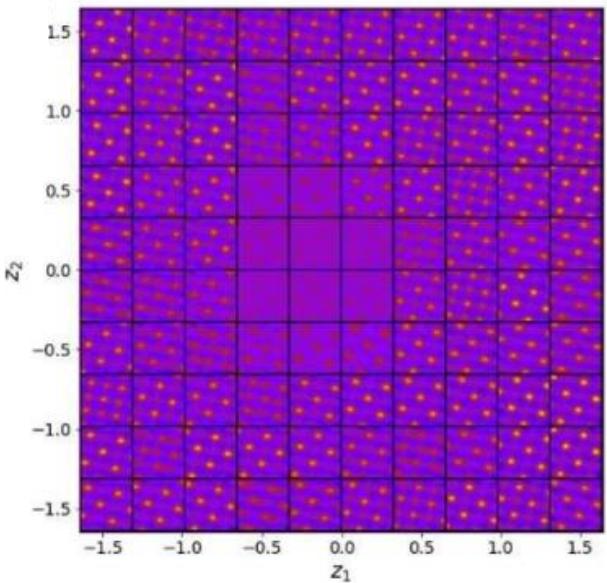
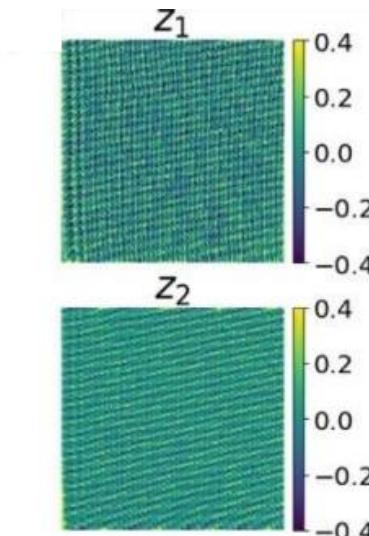
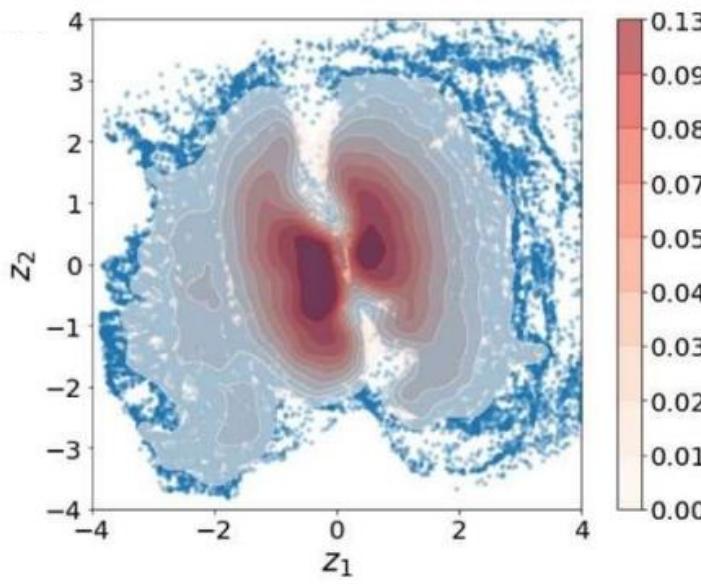
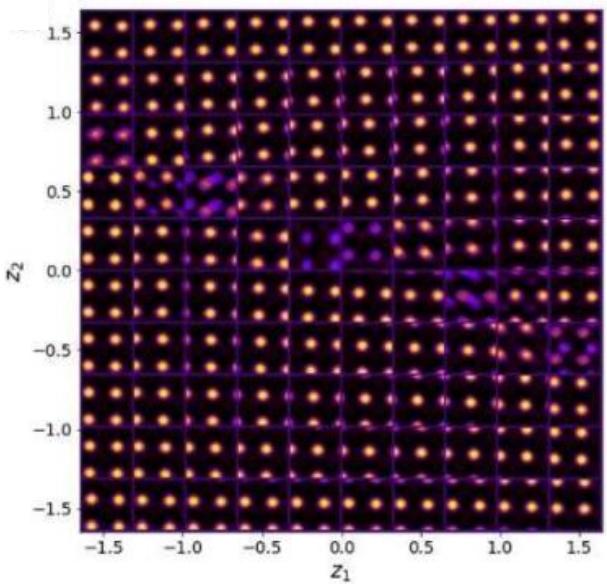
Ferroelectric BiFeO₃



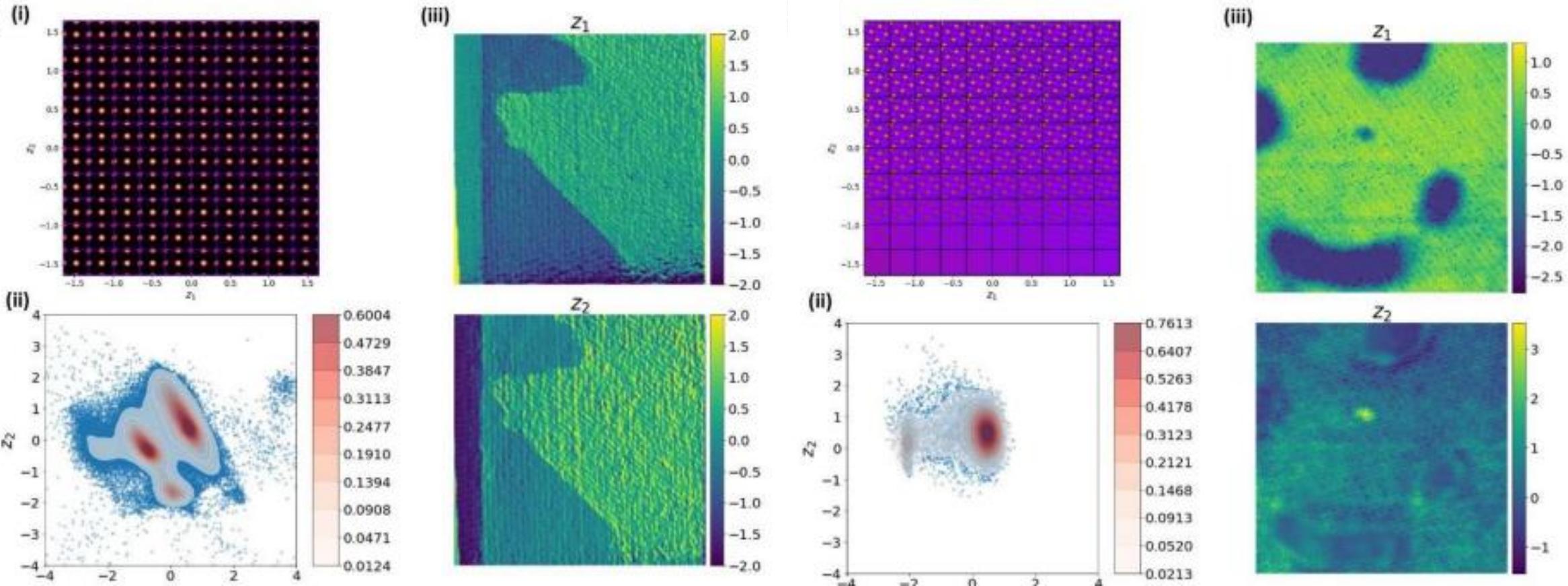
NiO – La_xSr_{1-x}MnO₃



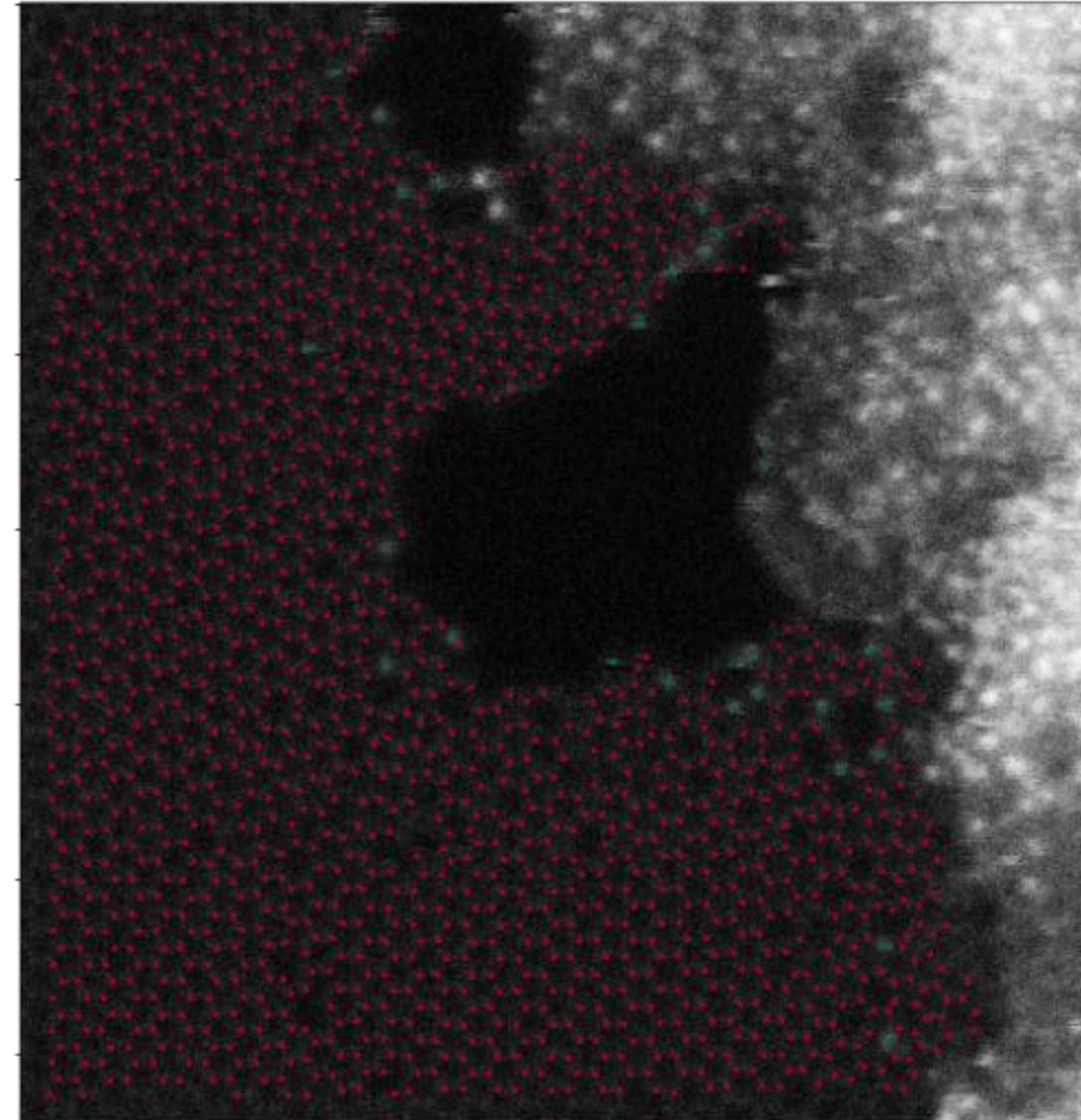
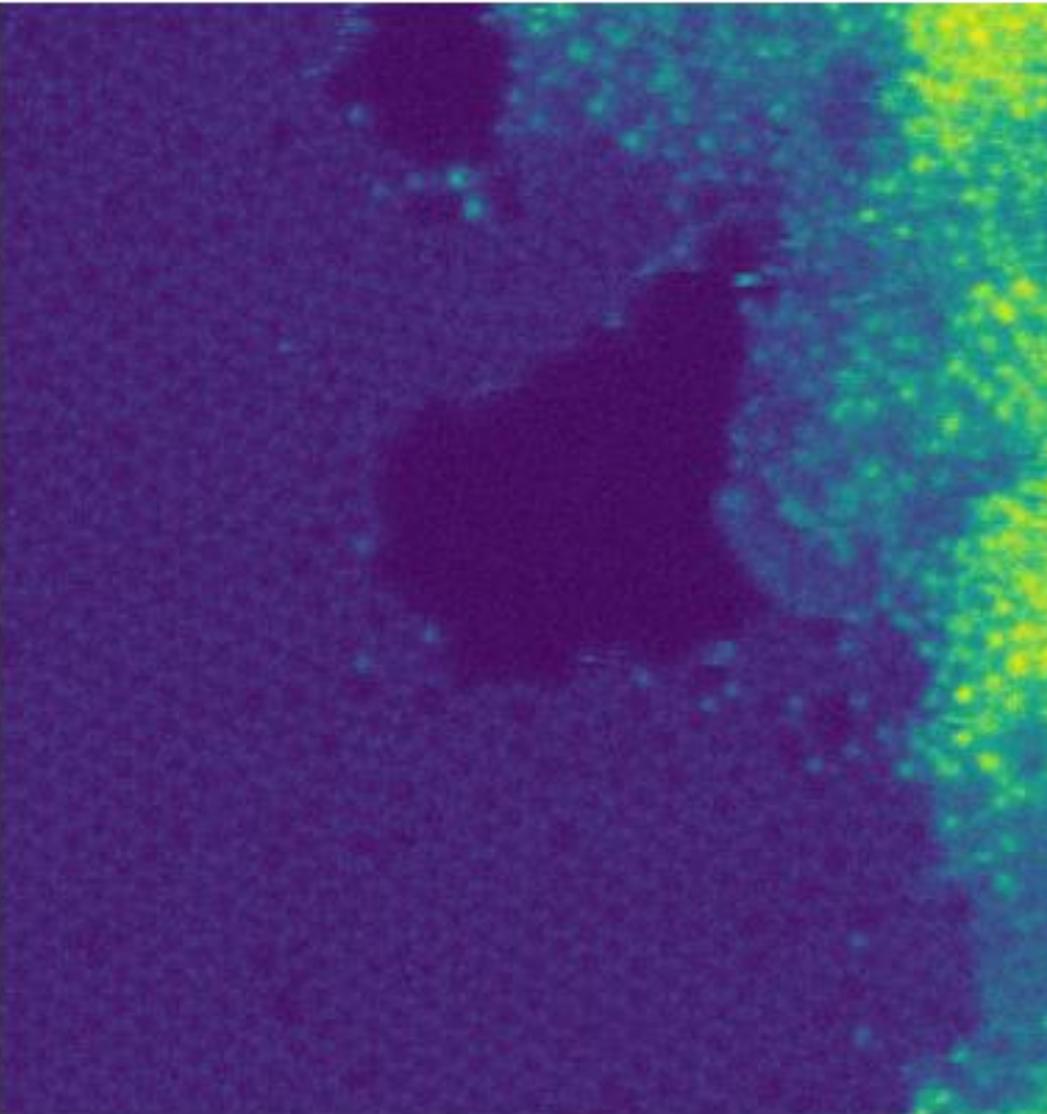
Simple VAE



Shift VAE: Translational Invariance

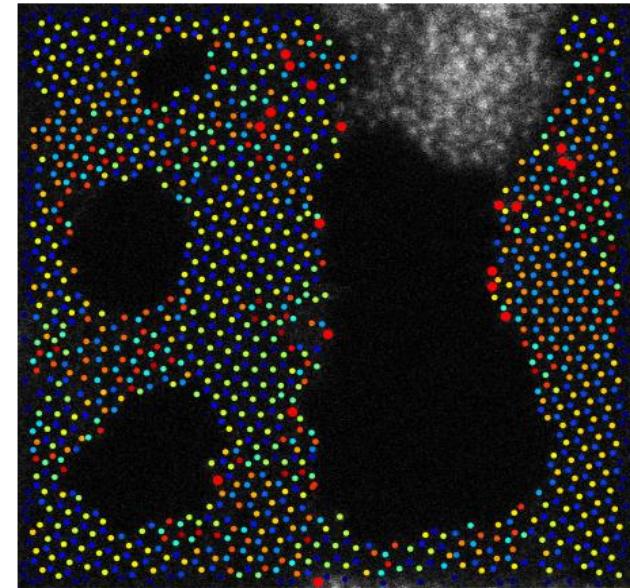
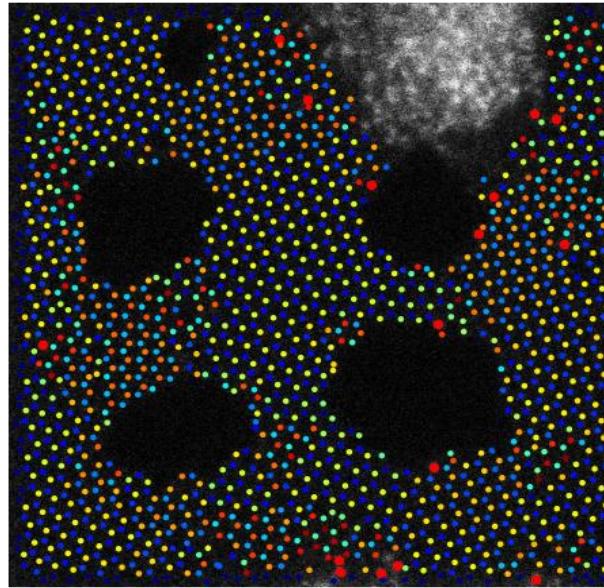
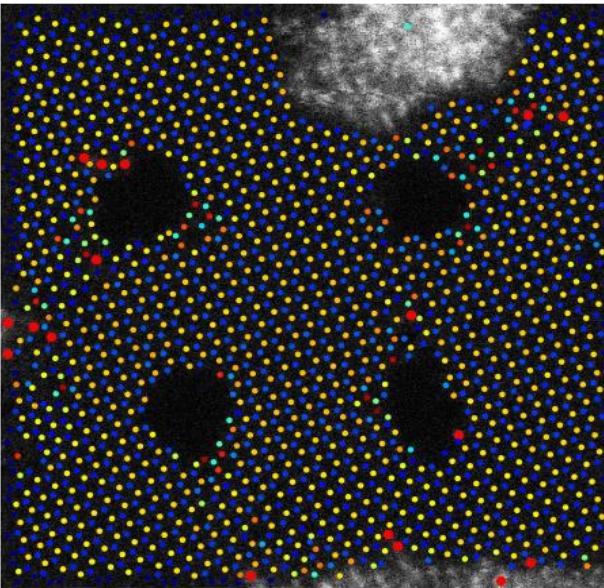


Off to chemically-disordered systems

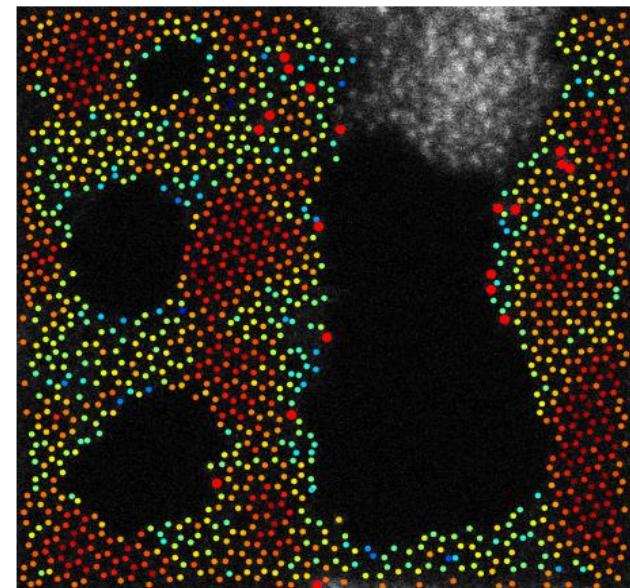
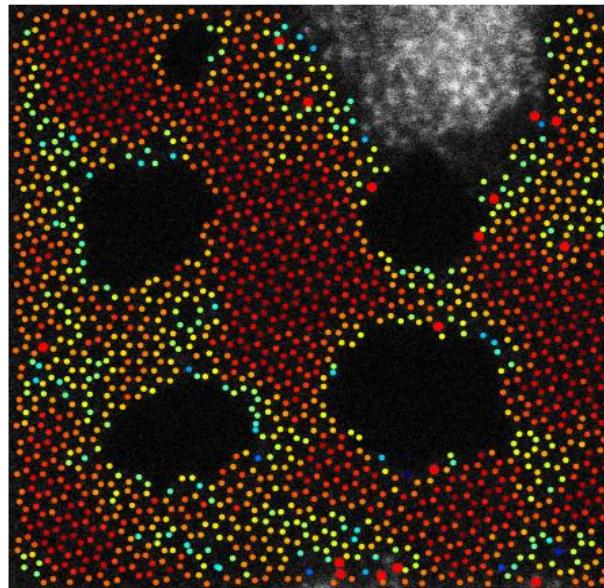
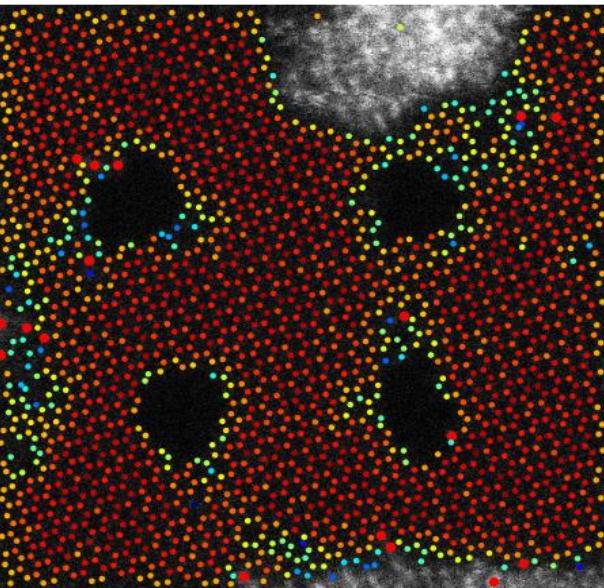


rVAE analysis at different time steps

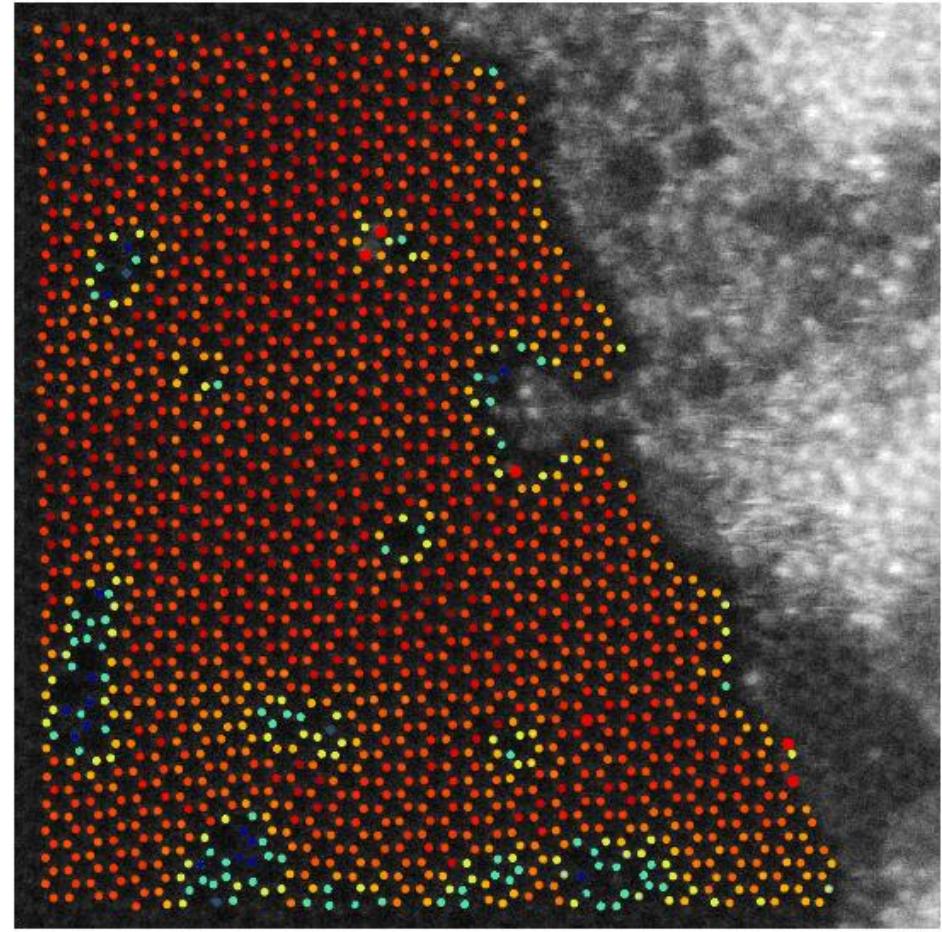
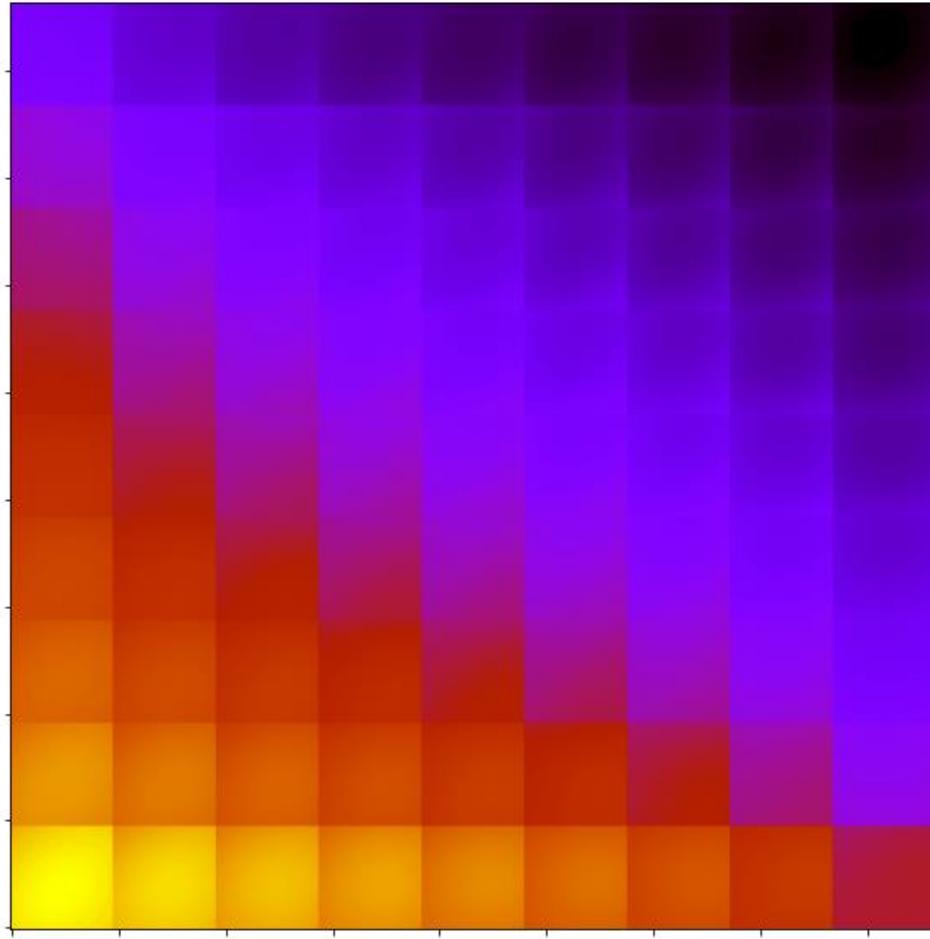
Angle



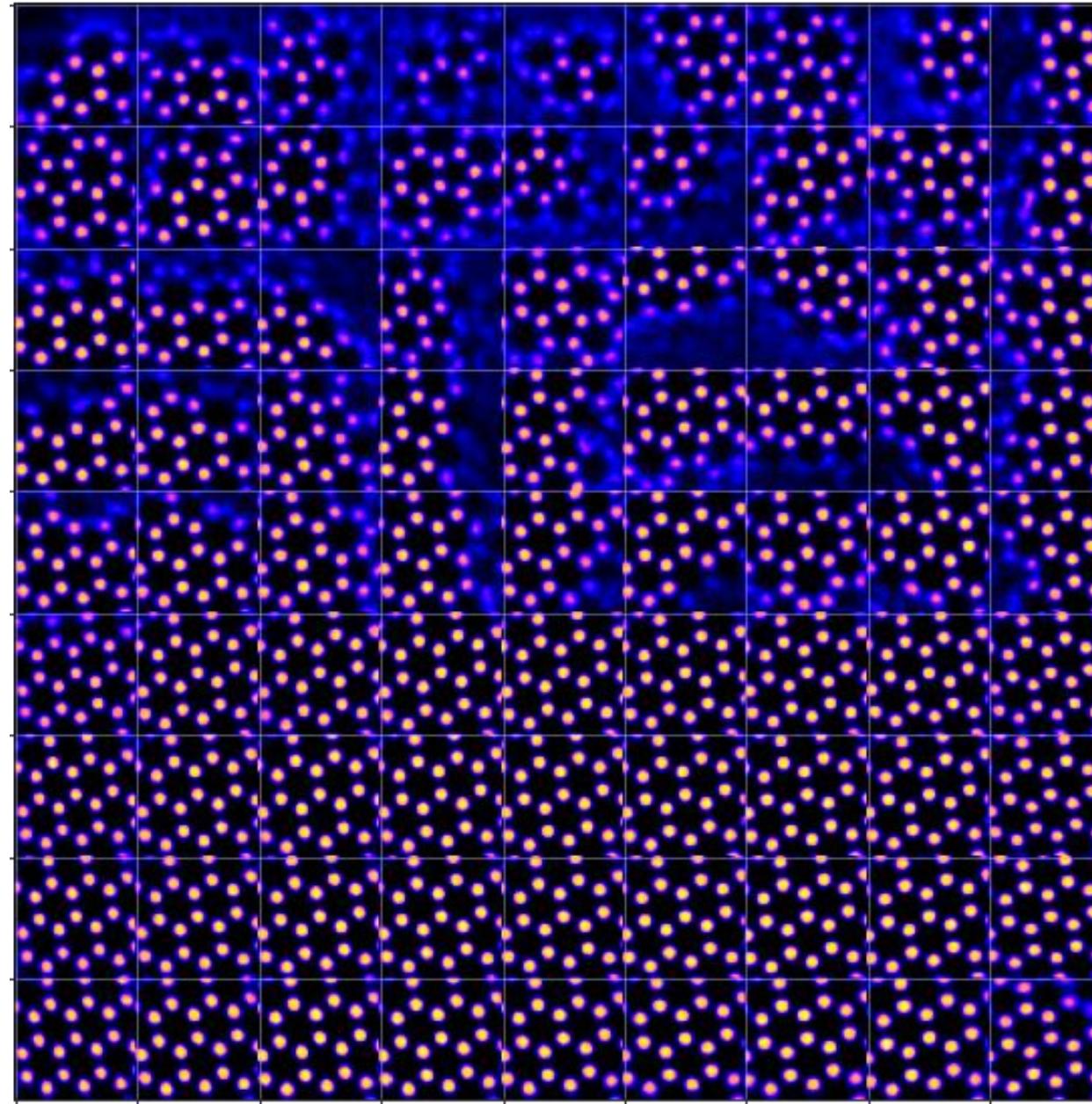
Latent variable



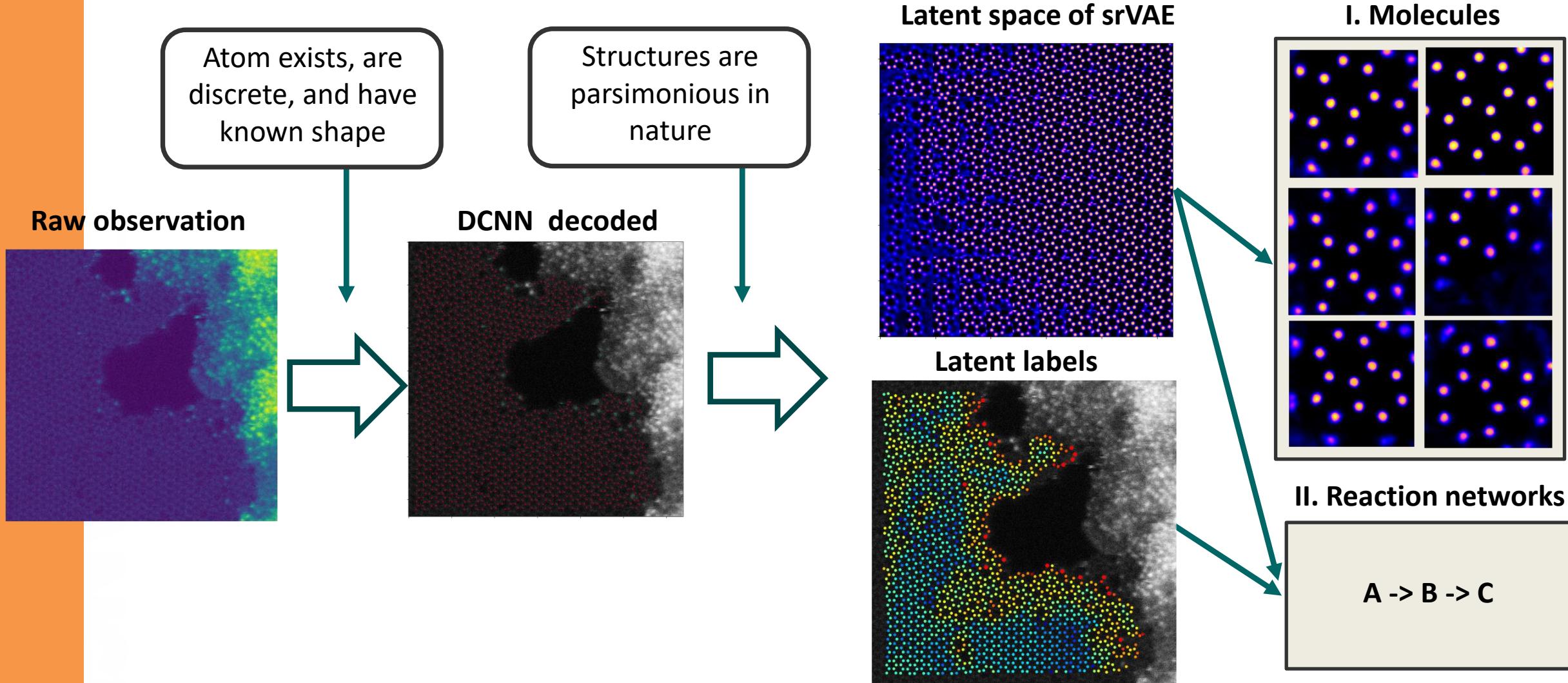
There is nothing as beautiful as training VAE



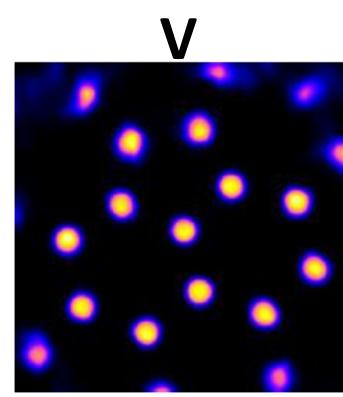
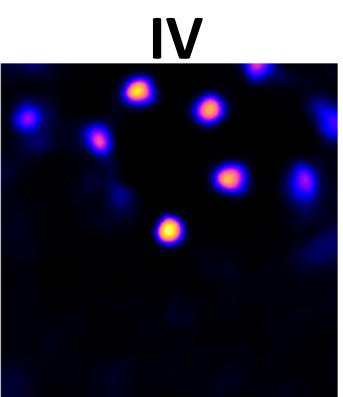
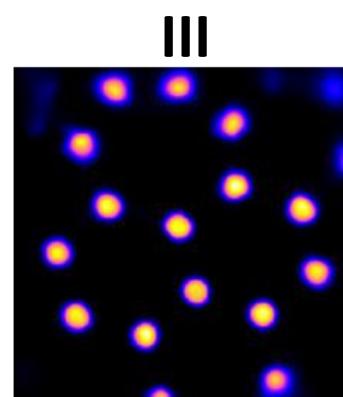
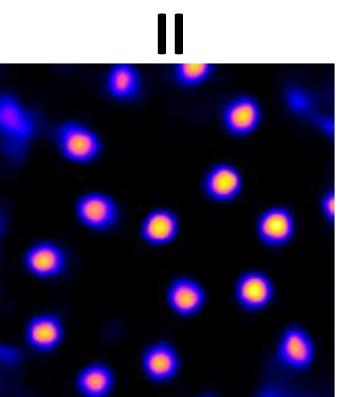
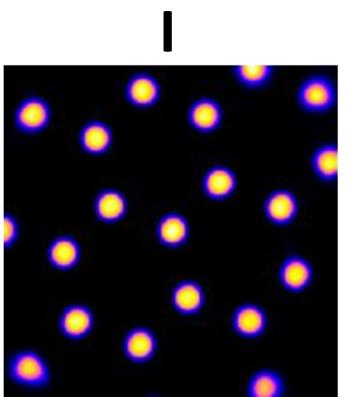
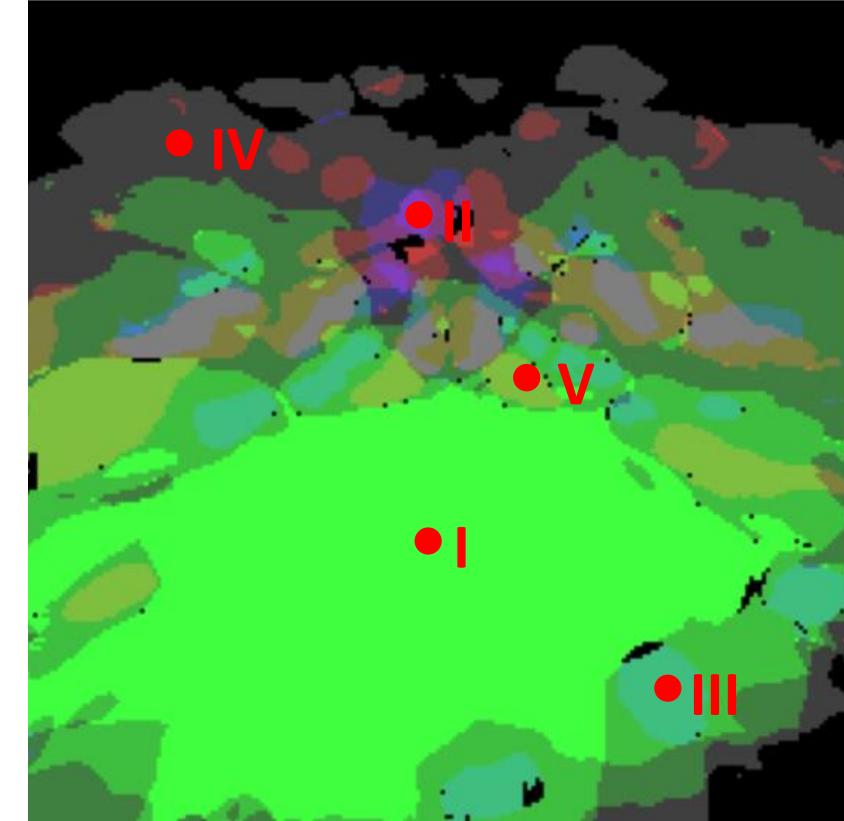
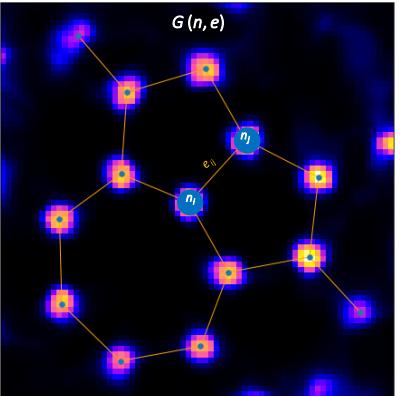
Next step: skip-rVAE



Unsupervised discovery of molecules

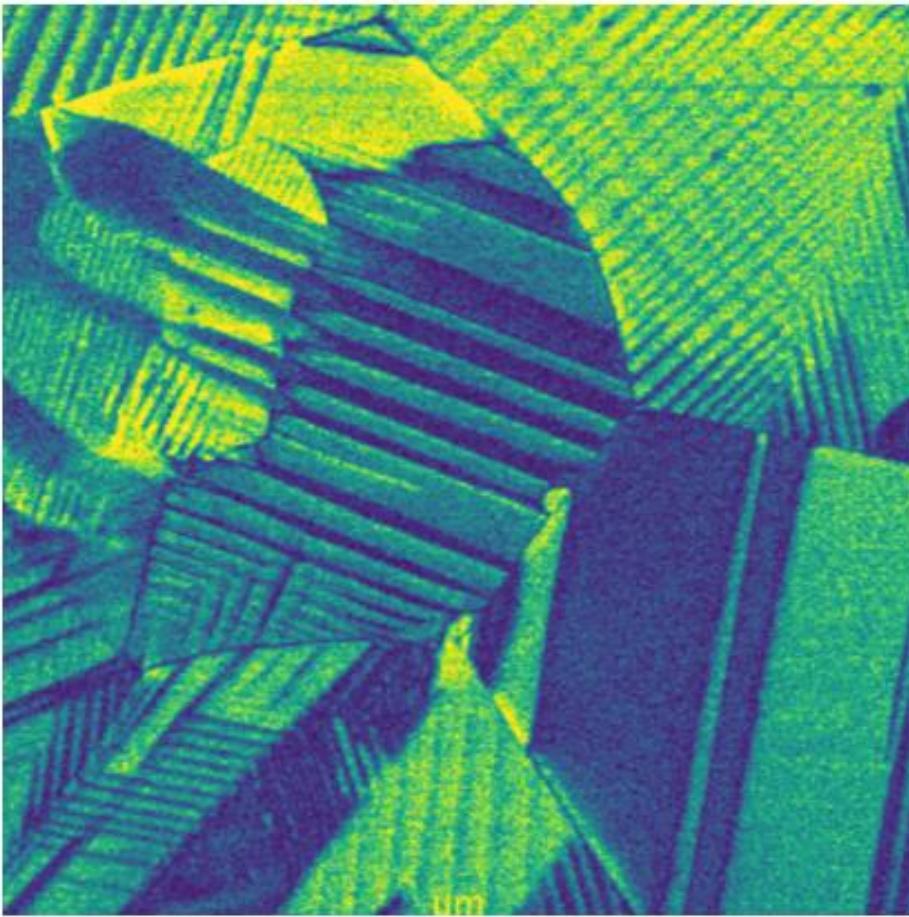


Exploring the latent space structure

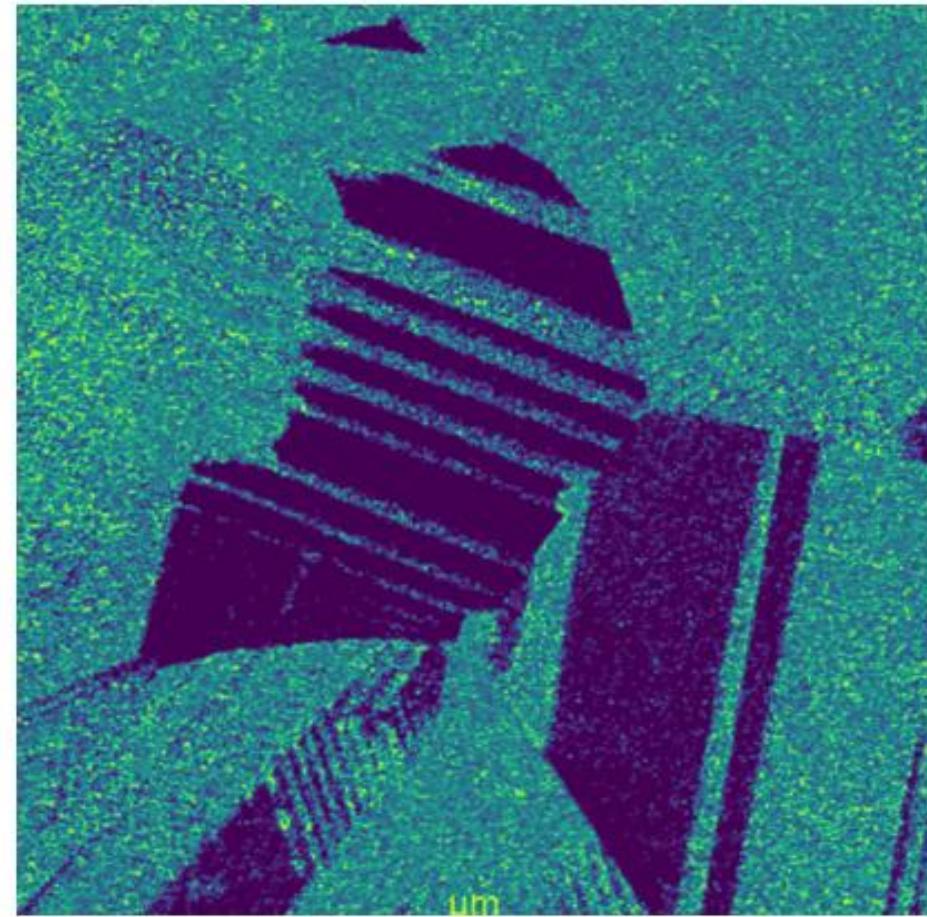


Ferroelectric domain and domain walls

Amplitude



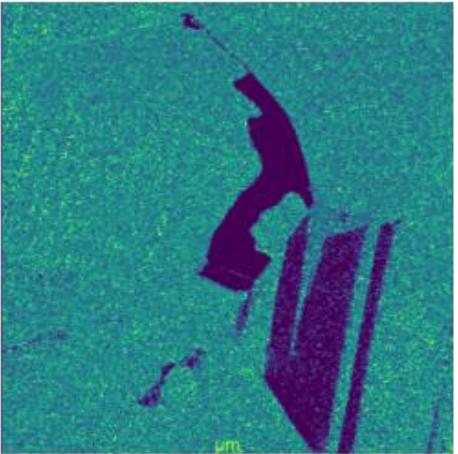
Phase



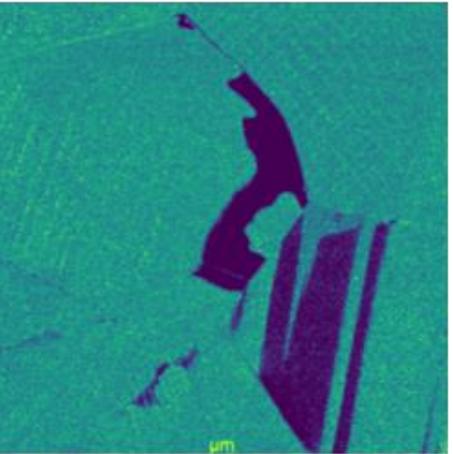
Detecting domain walls

Canny filter

Phase Image

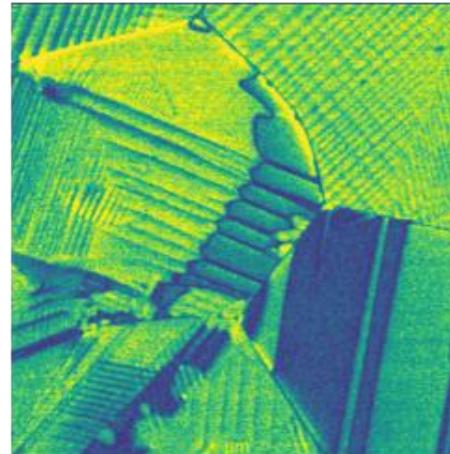


Gaussian Filter

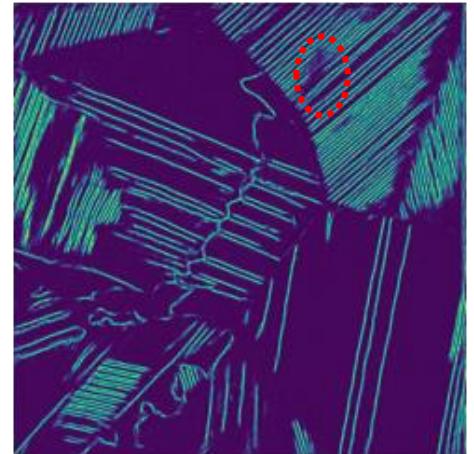


DCNN Prediction

Image



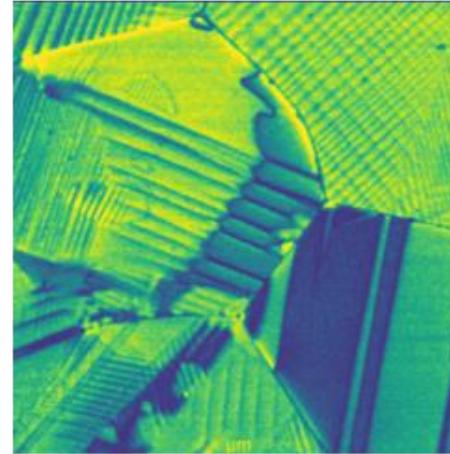
Predicted



Wall by Canny Filter



Gaussian Filter

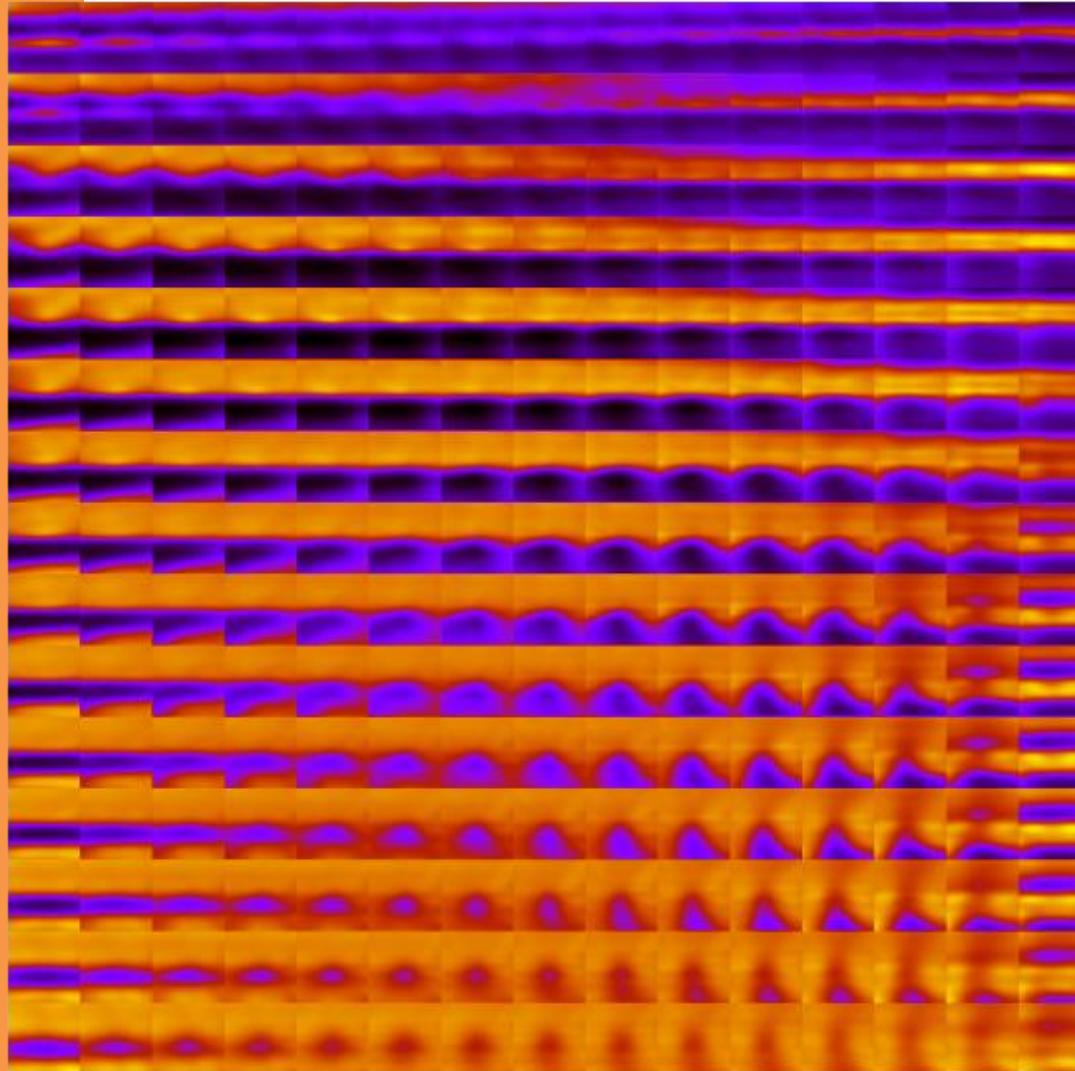


Gaussian Filter and Predicted

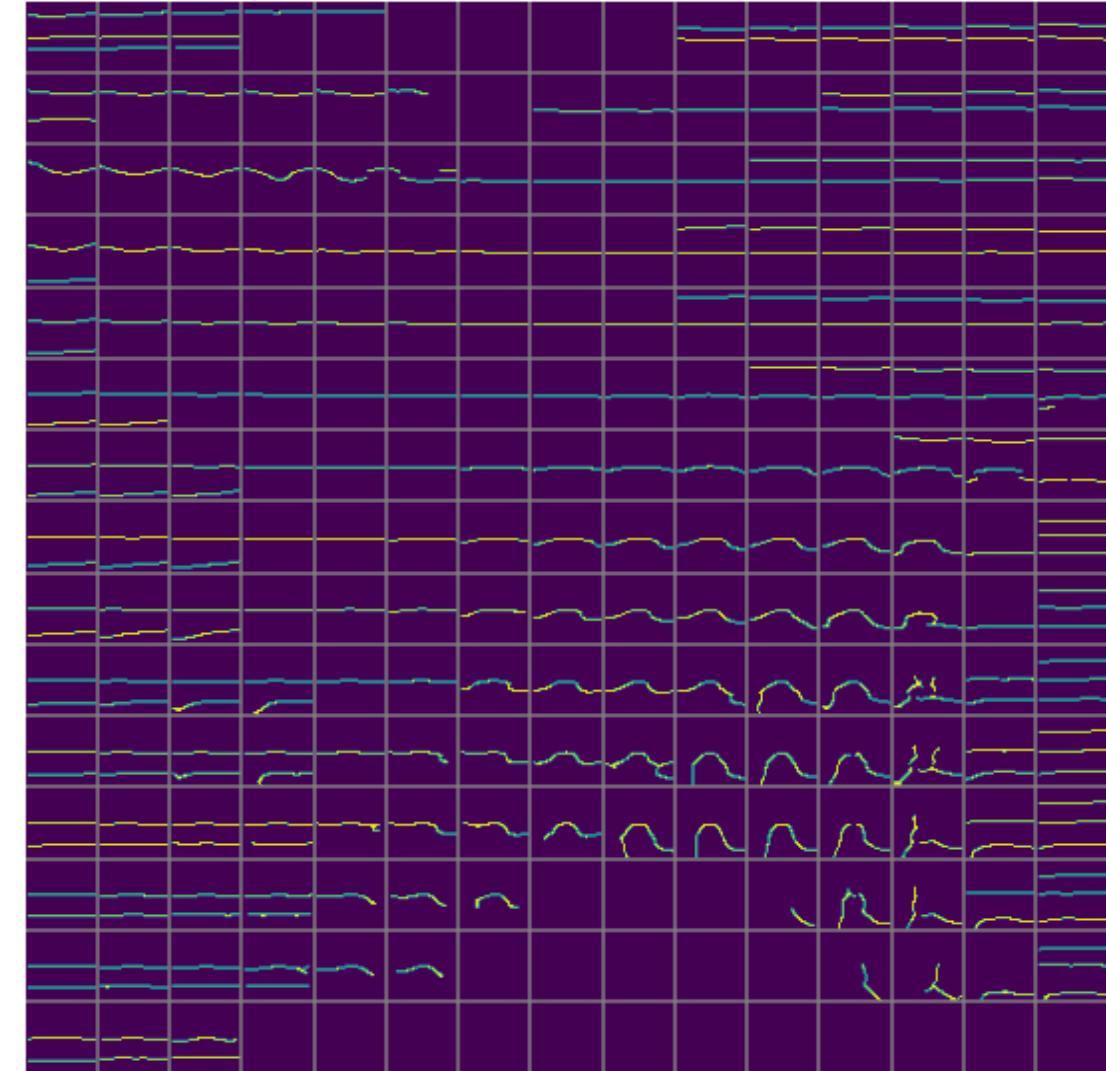


rVAE analysis

Latent Space

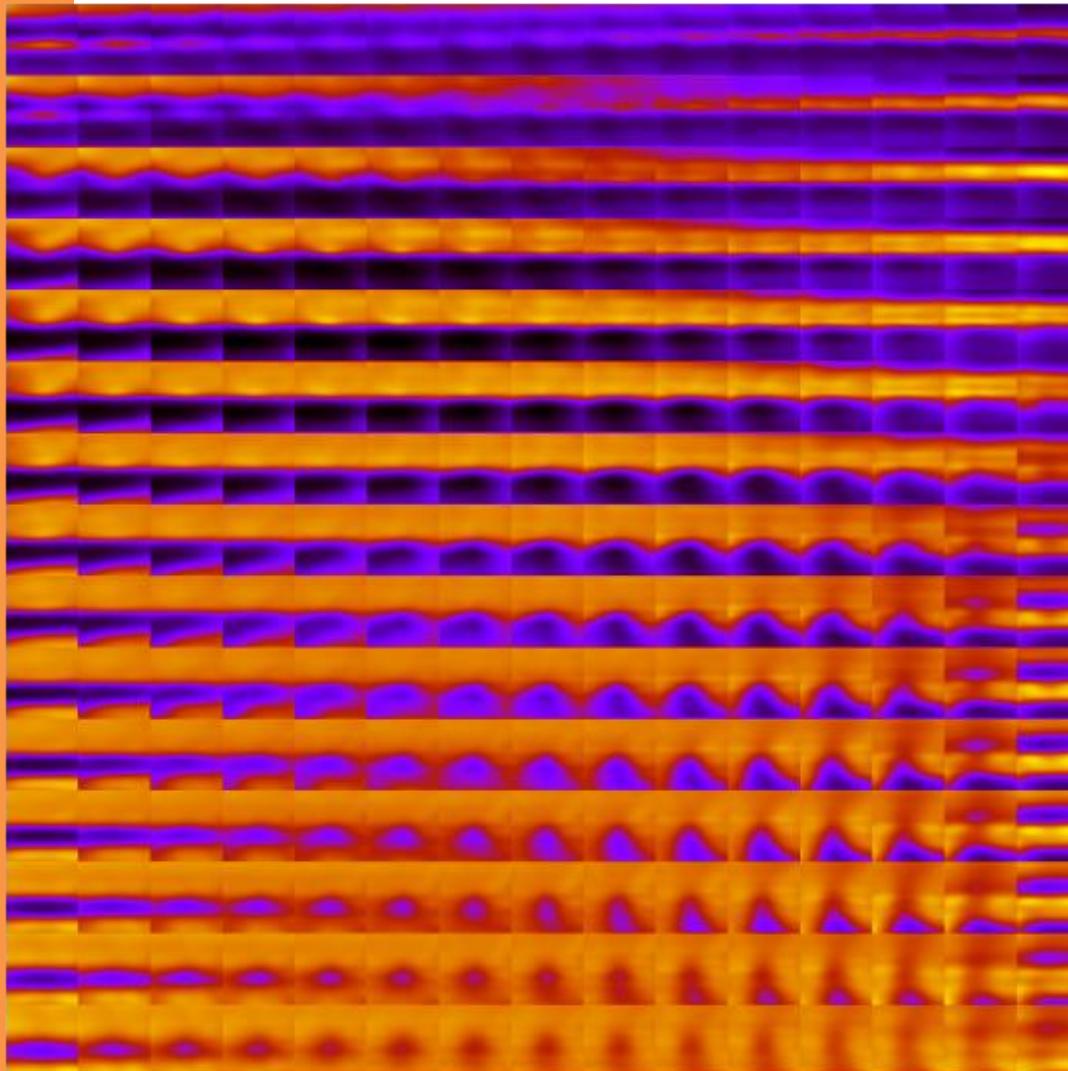


Domain Walls

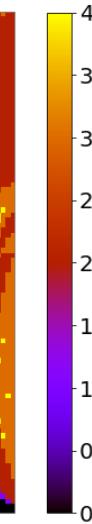
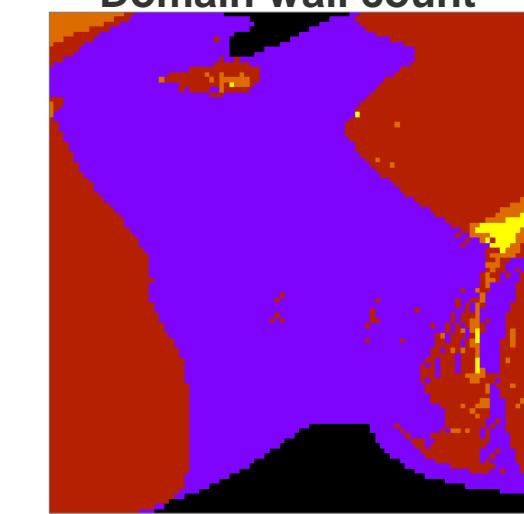


rVAE latent space

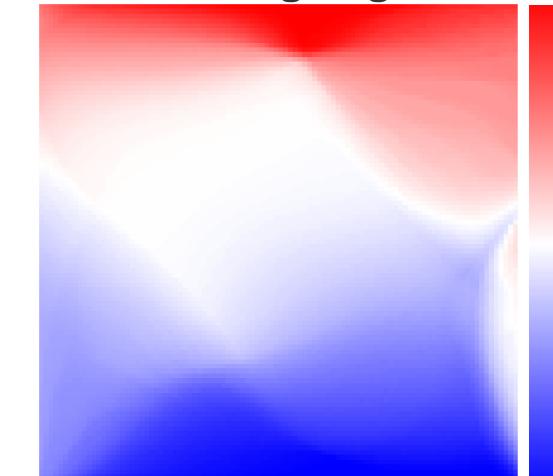
Latent Space



Domain wall count

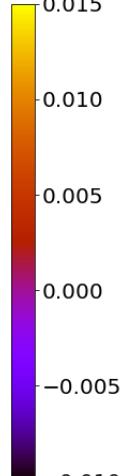
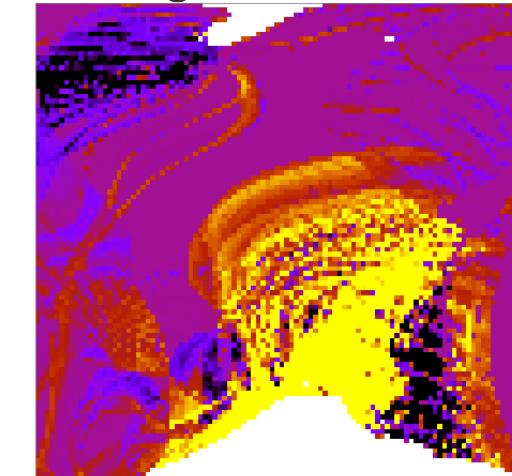


Switching degree



switched
unswitched

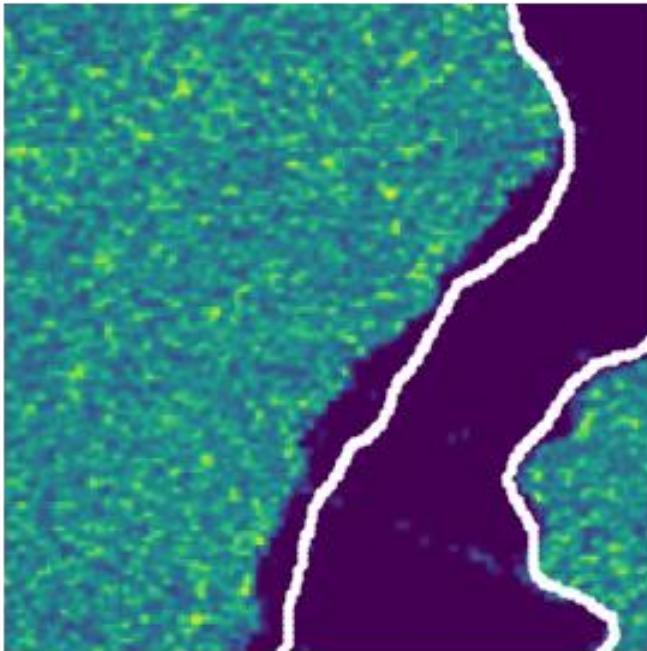
Average wall curvature



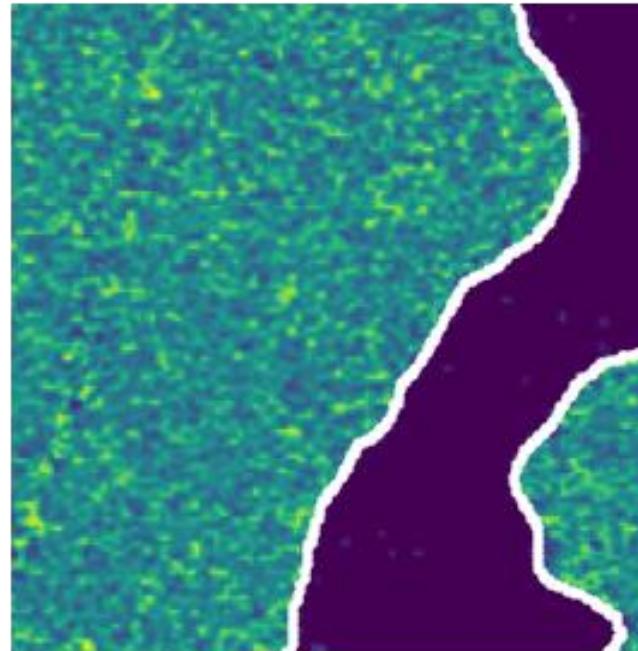
rVAE with time delay

Training dataset

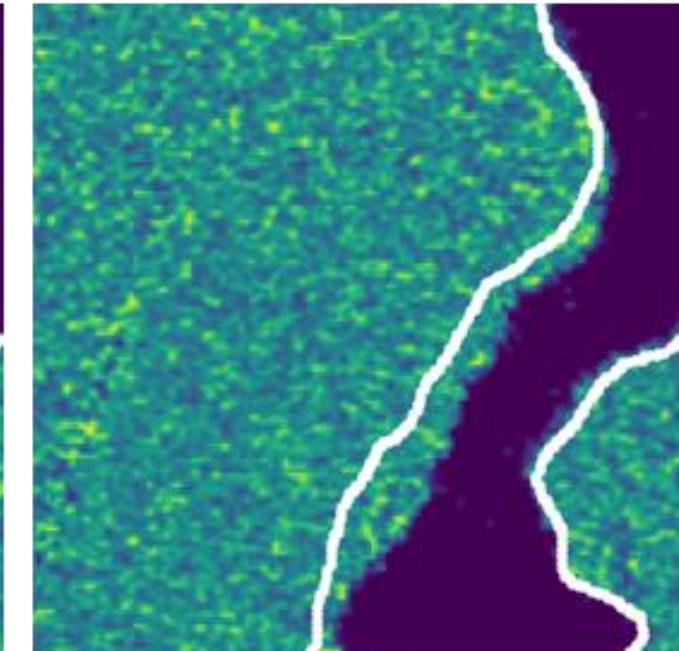
$t - dt$



t

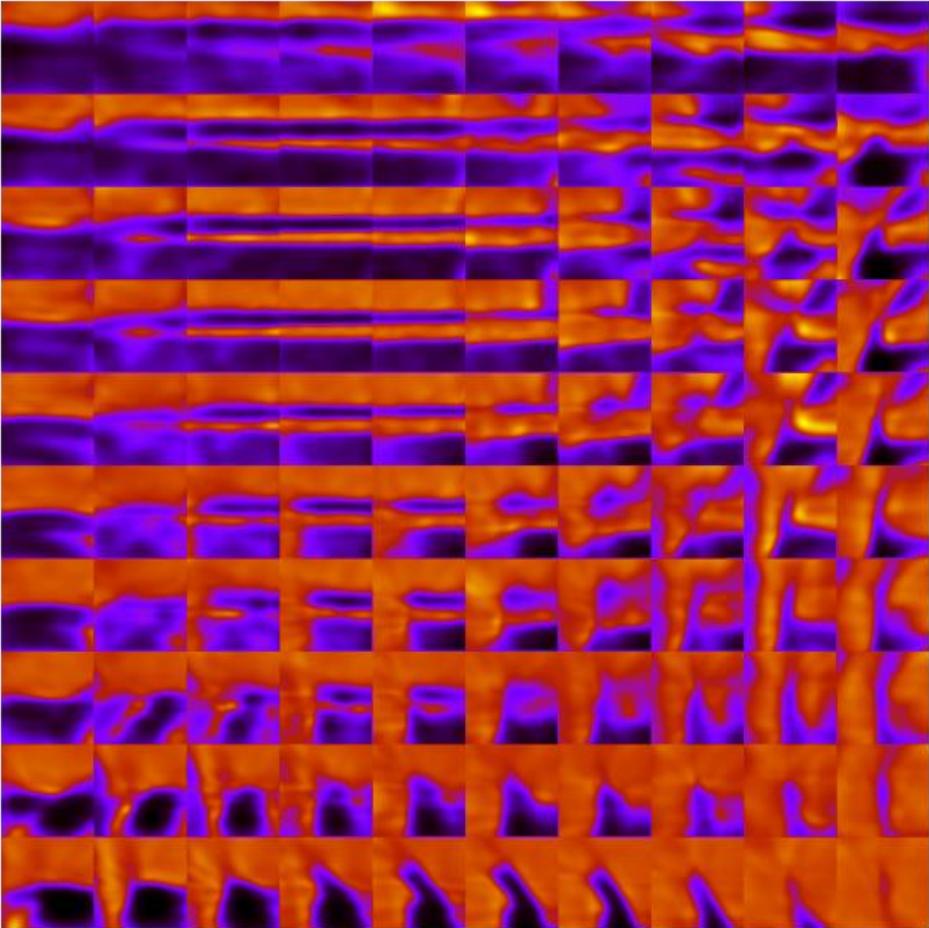


$t + dt$

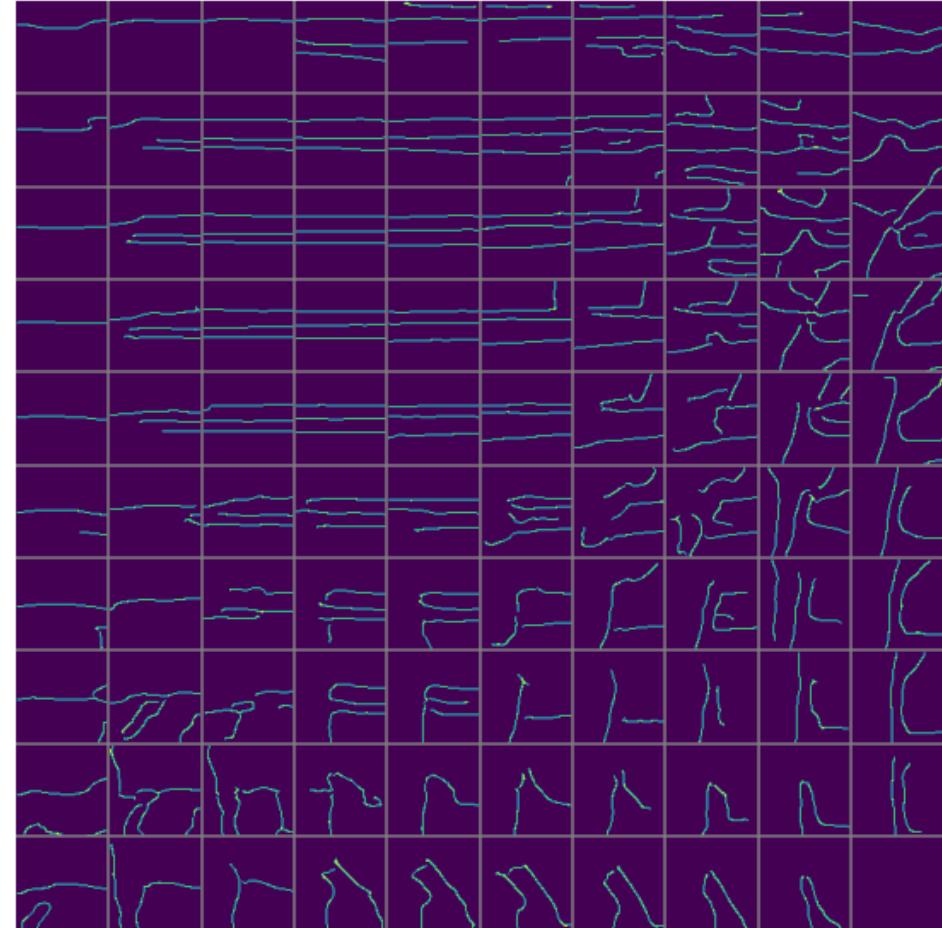


rVAE with time delay

Latent space

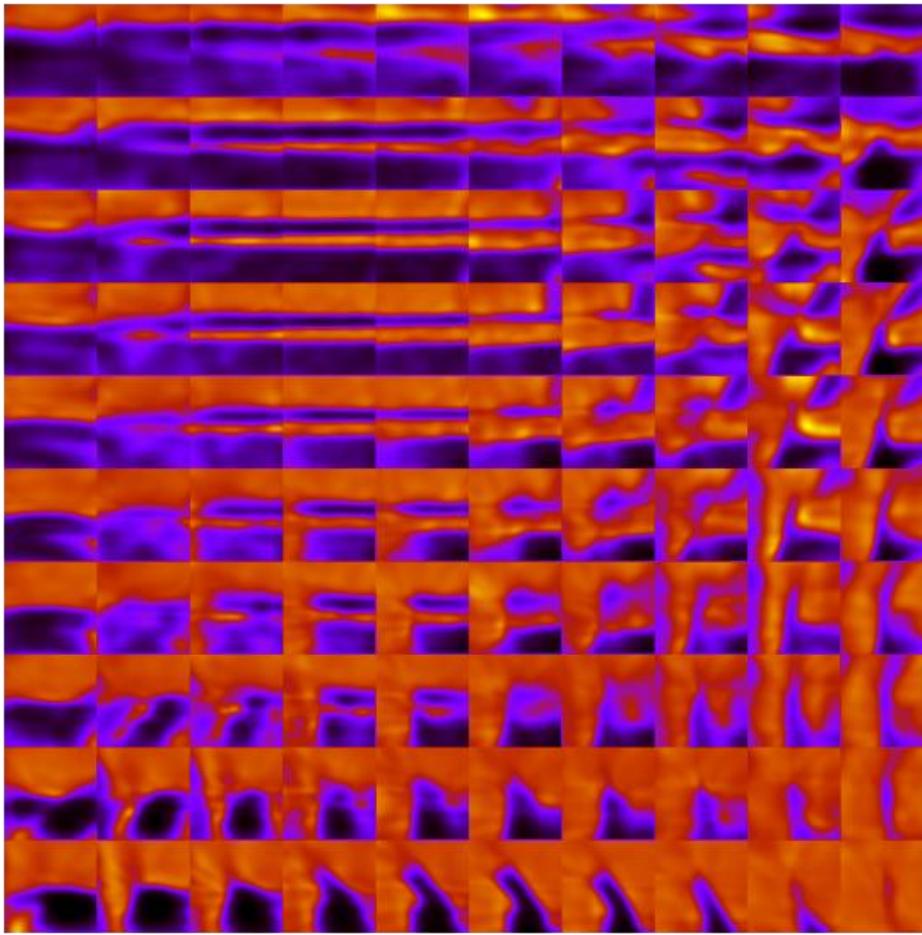


Domain wall

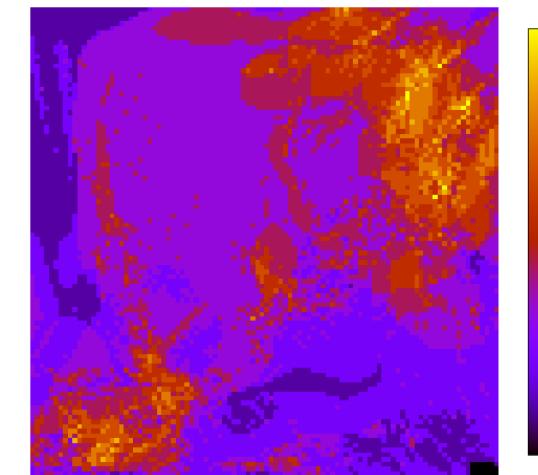


rVAE with time delay

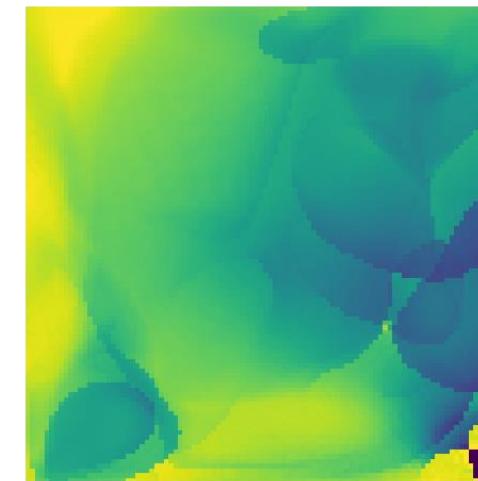
Latent space



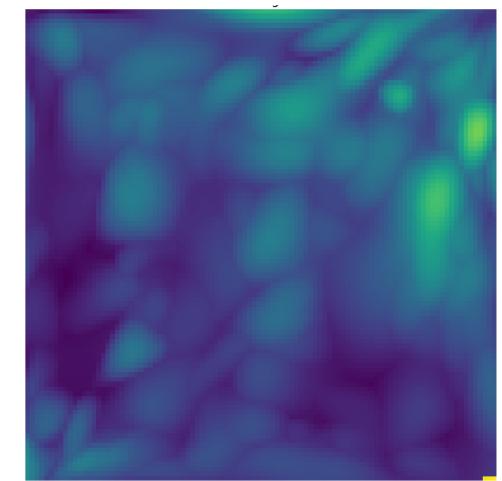
Wall count



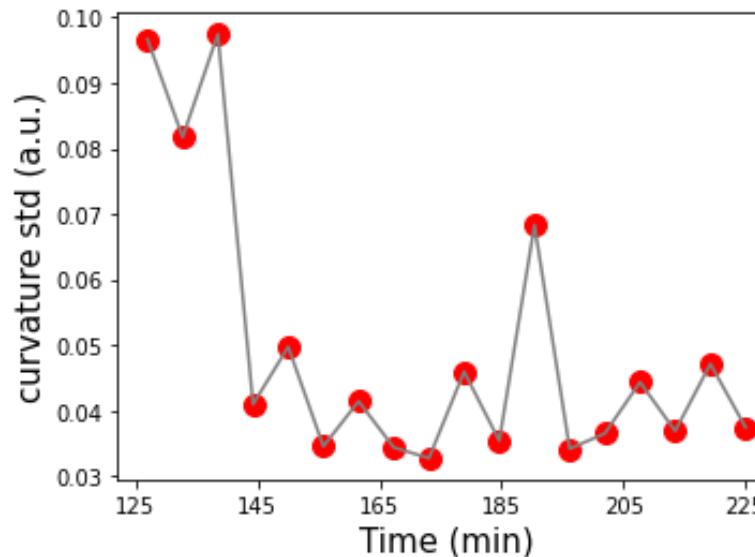
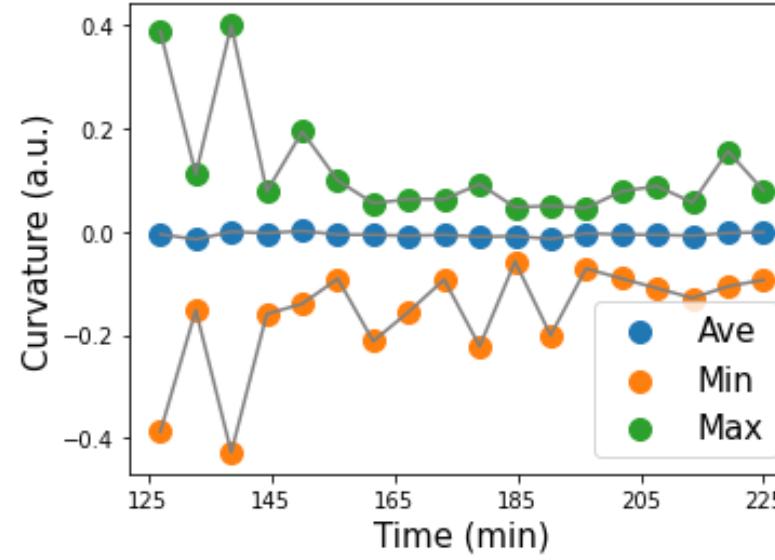
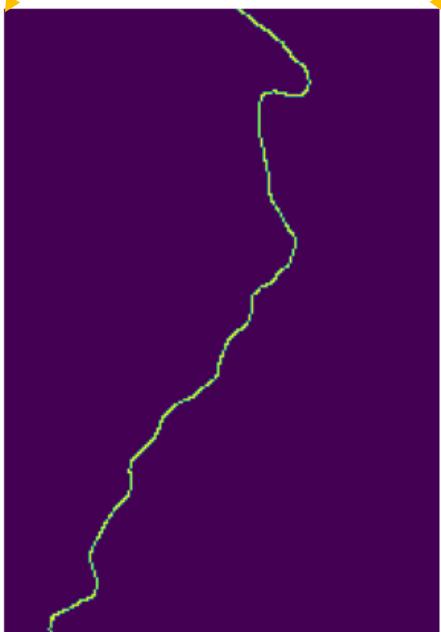
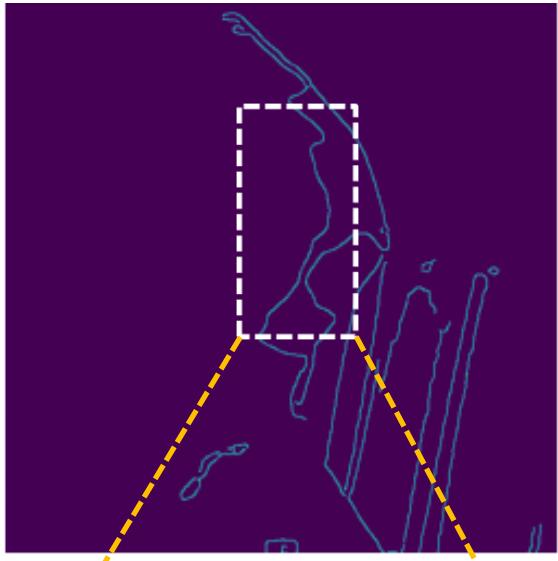
Domain convex



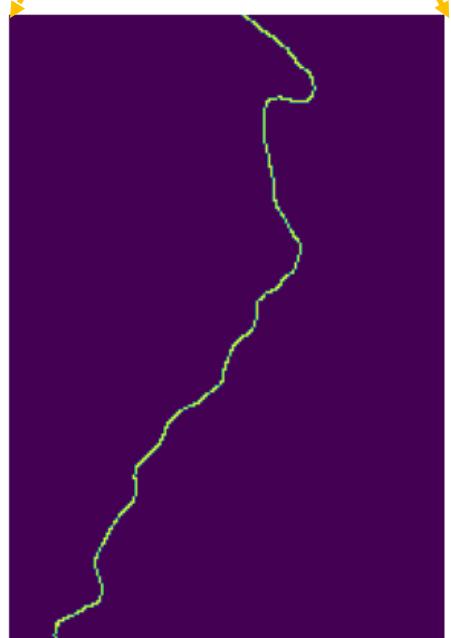
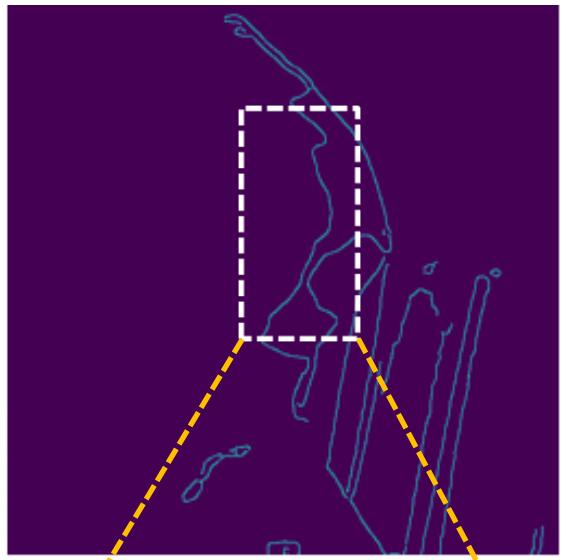
Switch significance



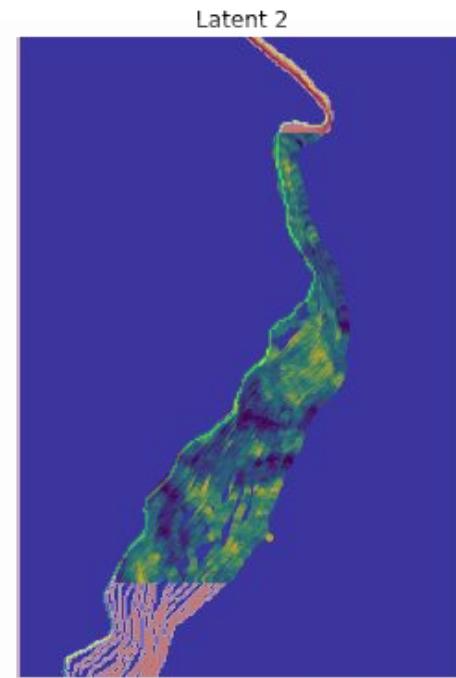
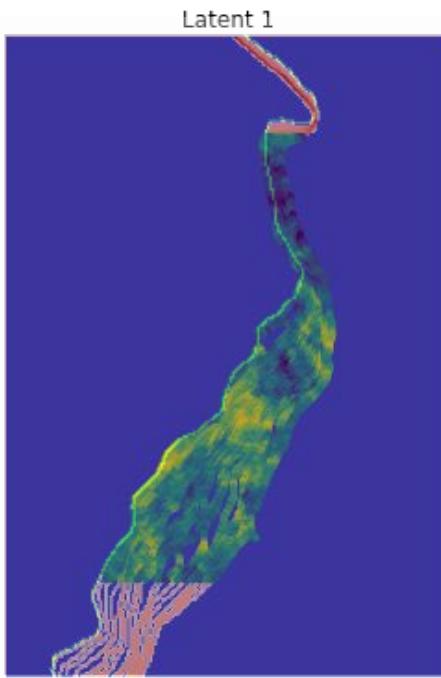
Domain wall evolution



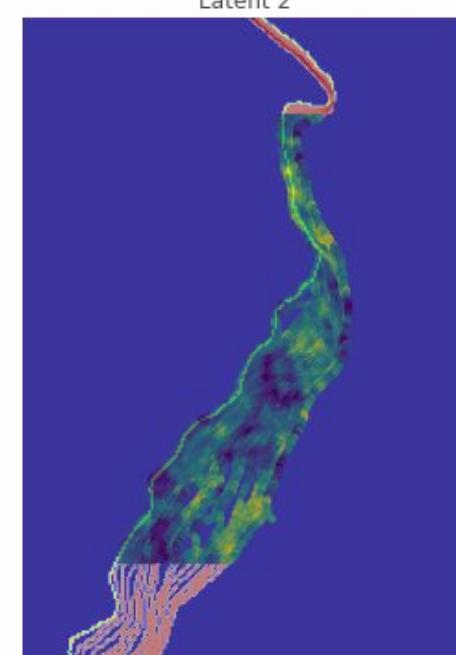
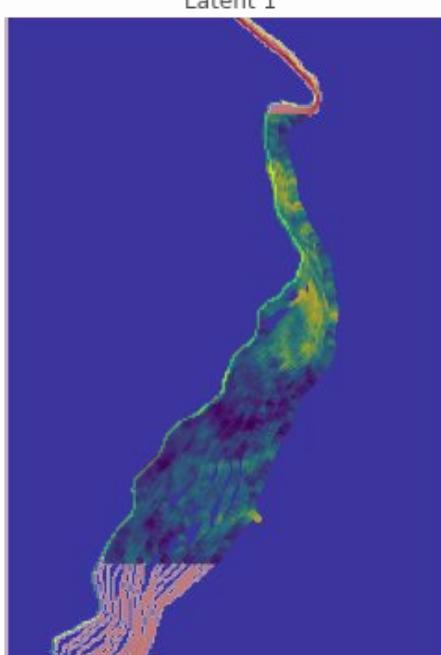
Domain wall evolution



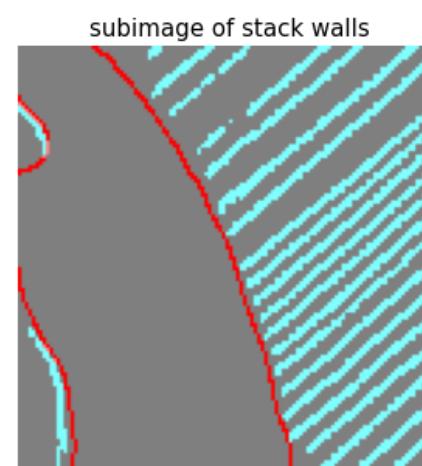
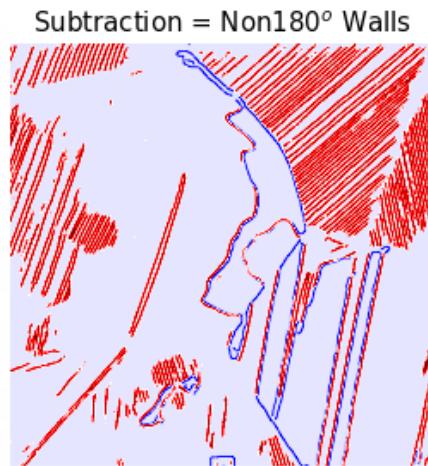
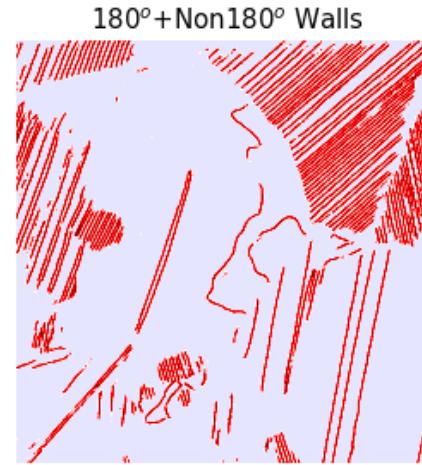
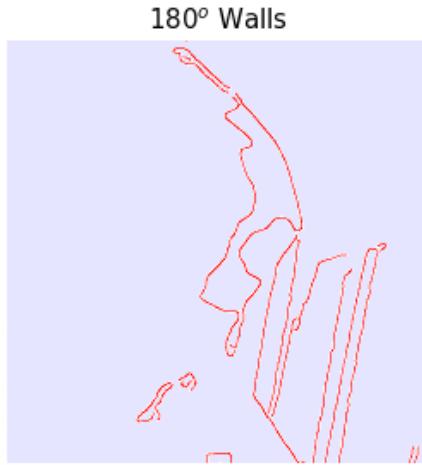
Forward:
 t vs $t+1$



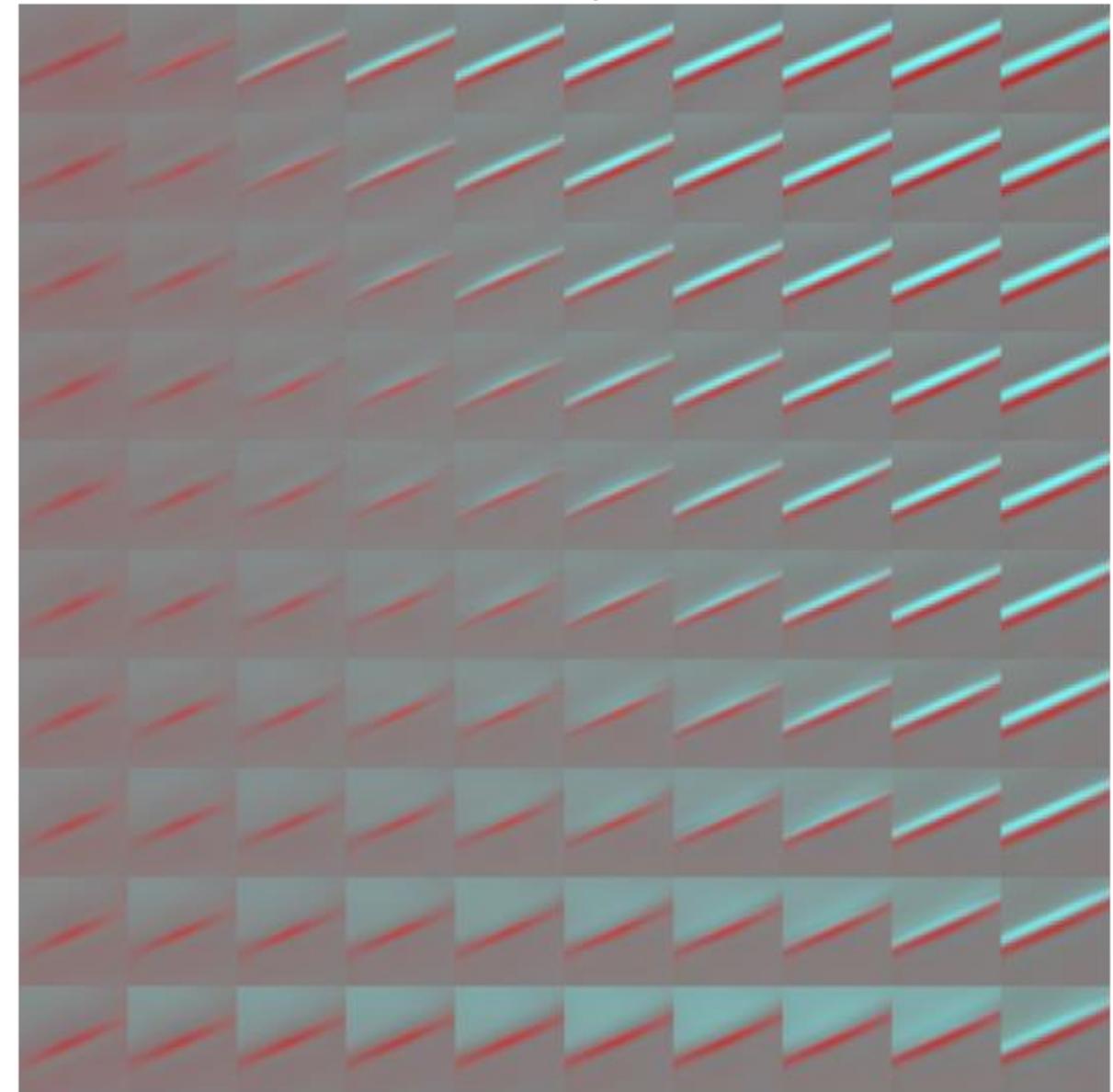
Reverse:
 t vs $t+1$



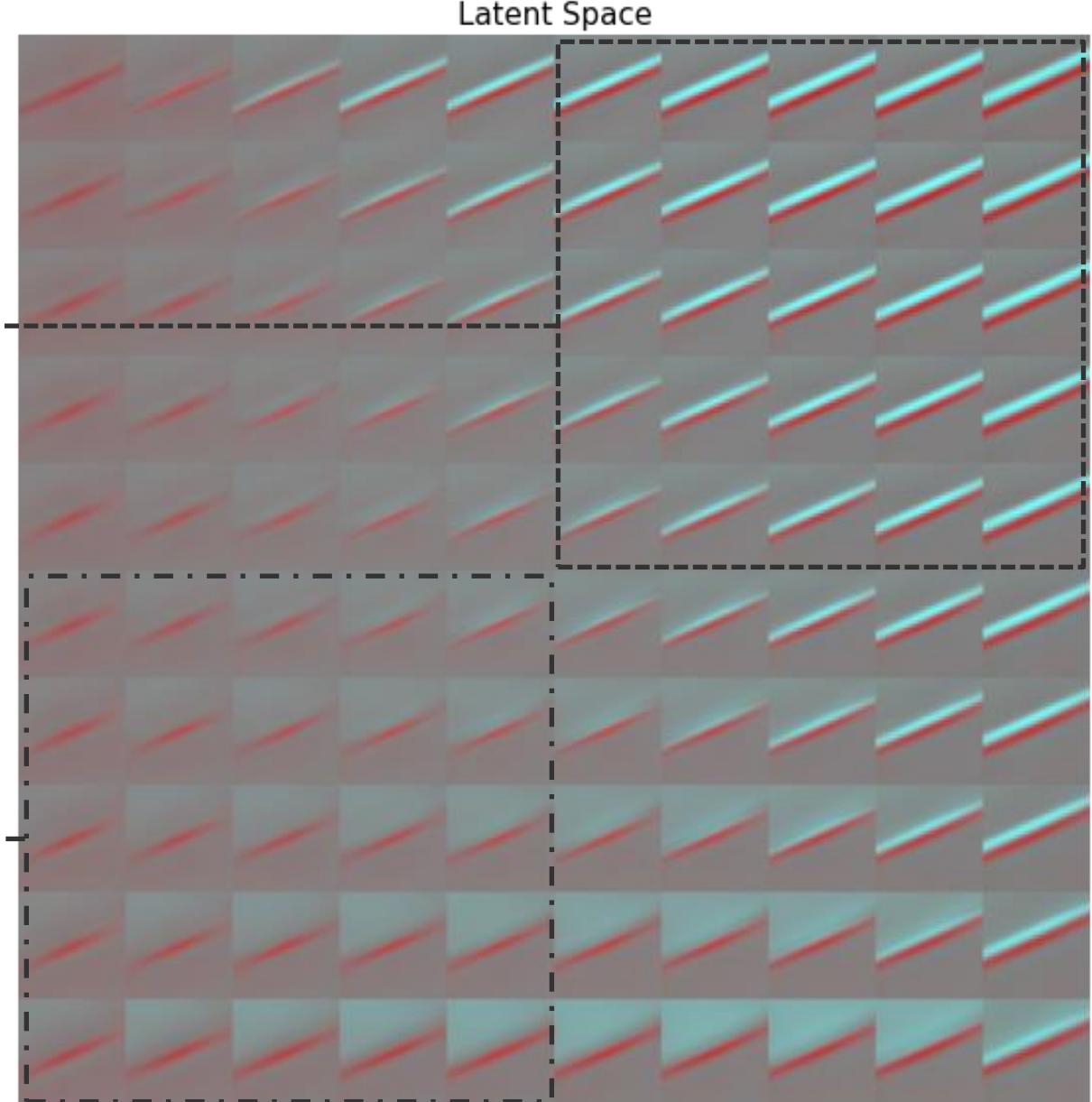
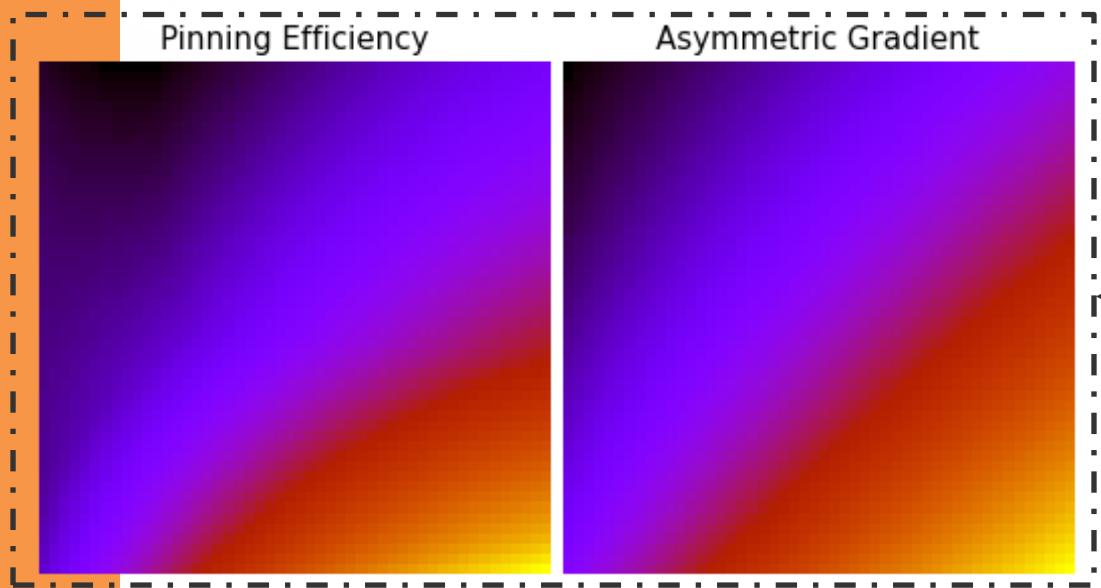
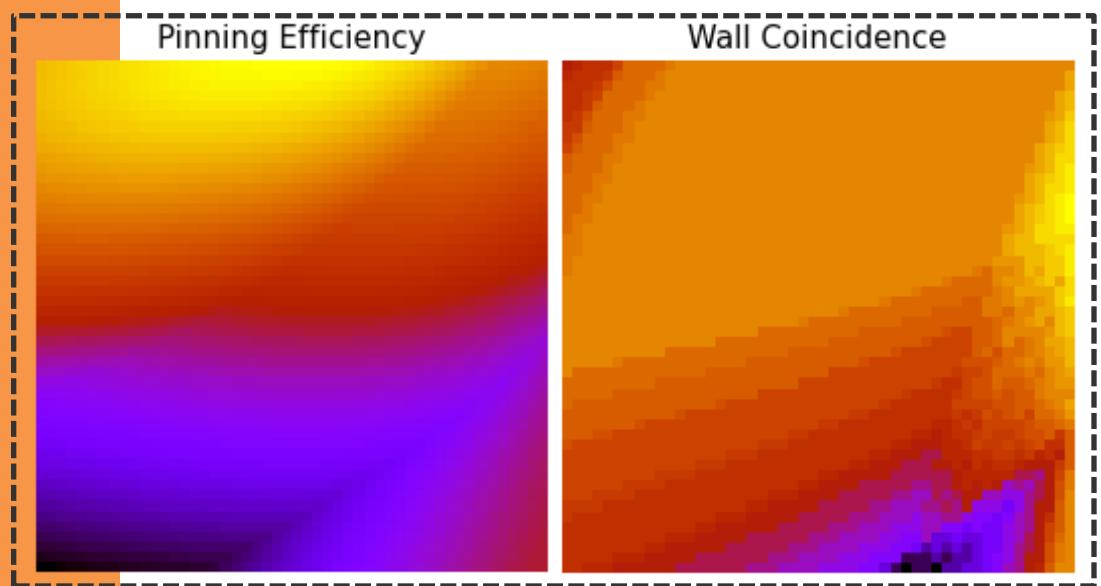
Multilayer rVAE



Latent Space

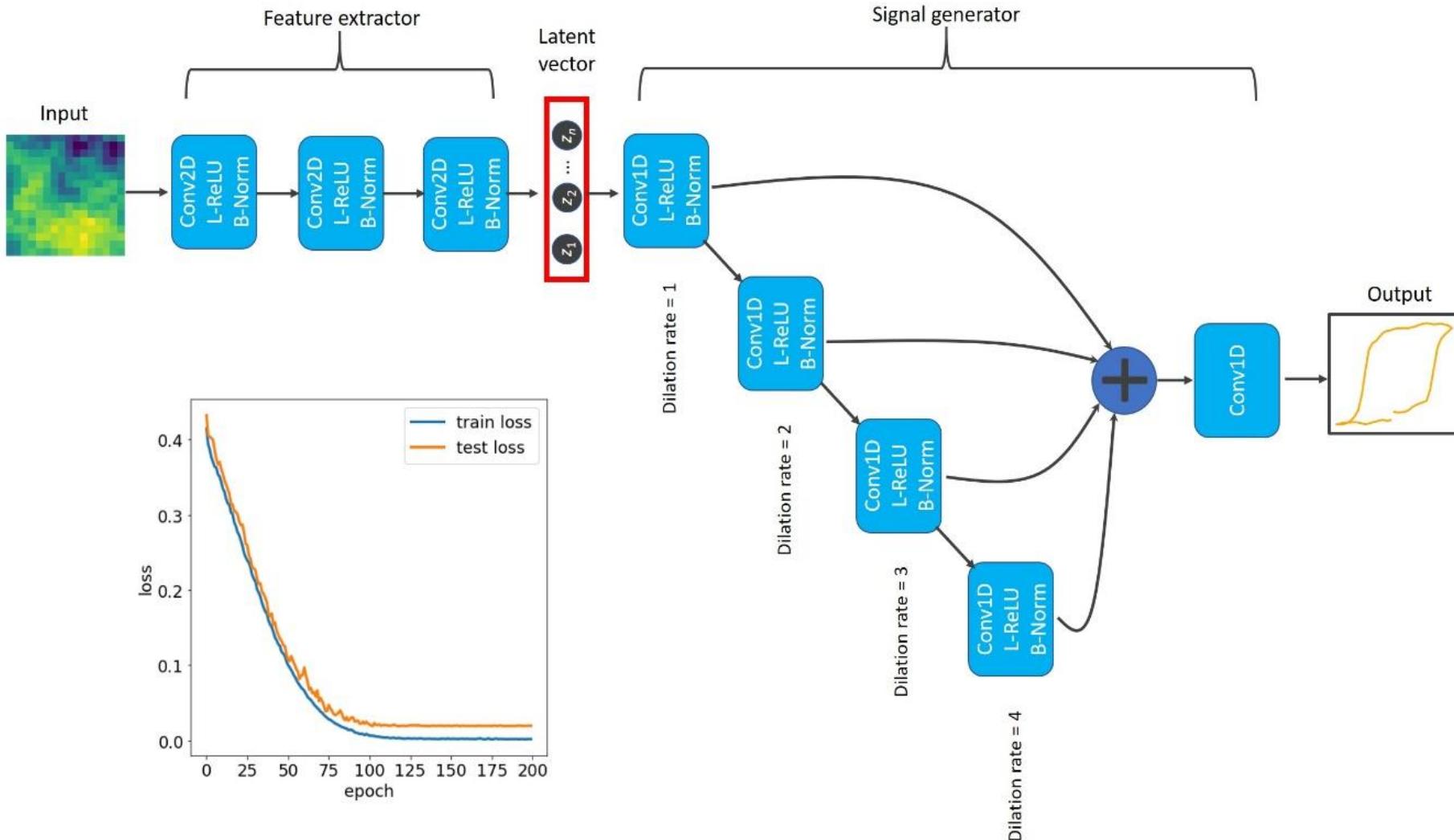


Pinning mechanism



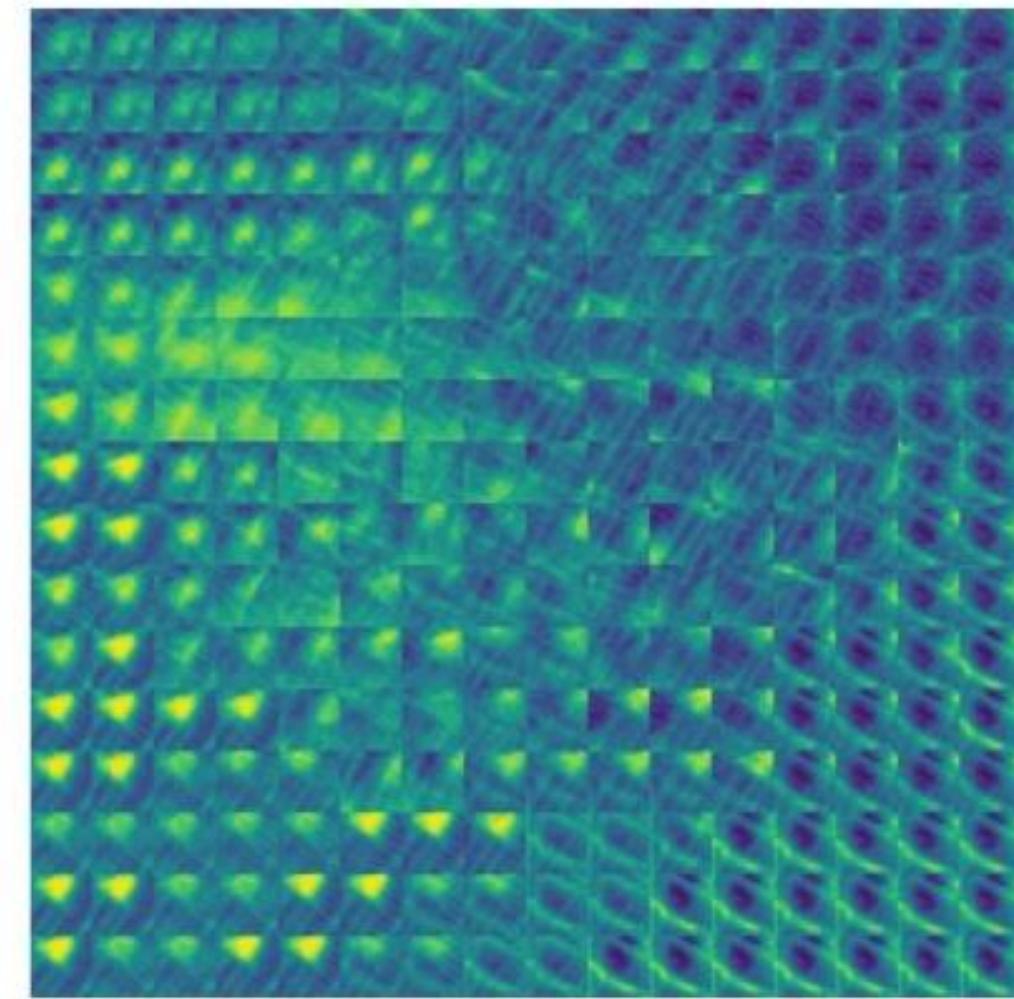
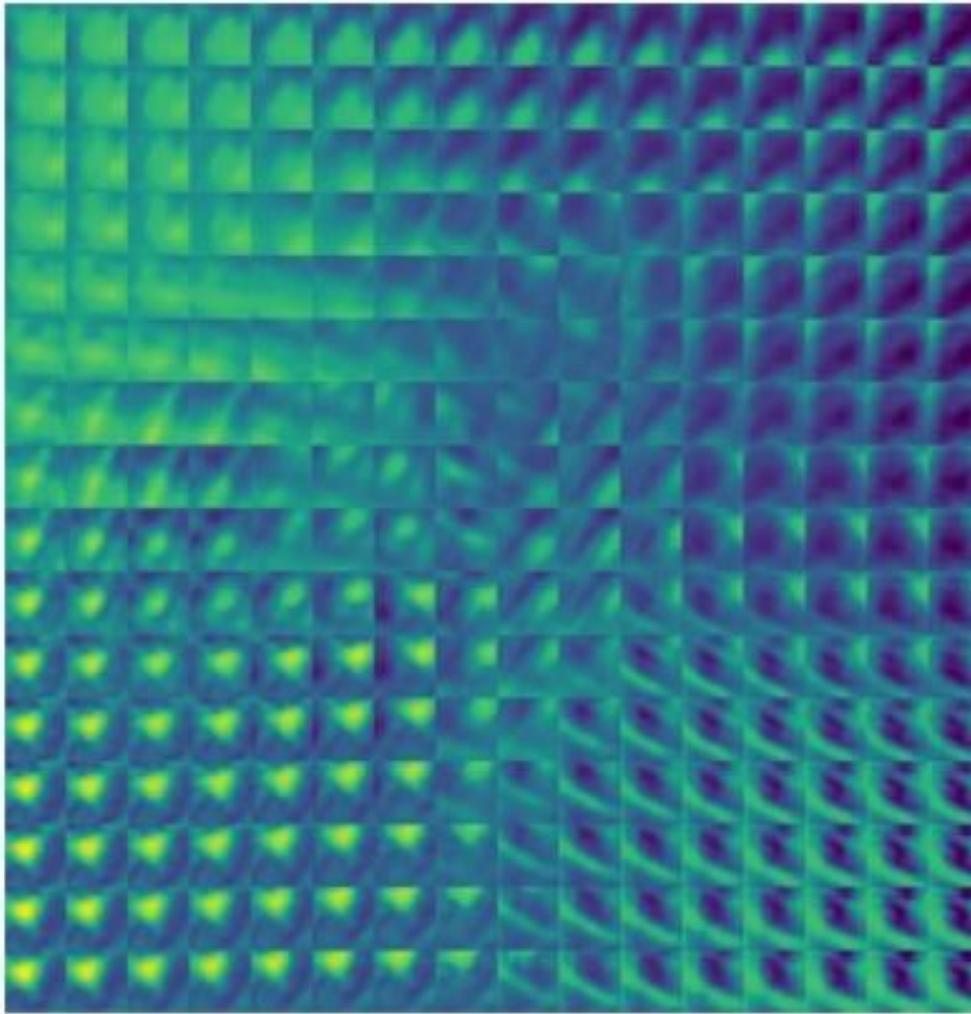
color scale

Encoders-Decoders

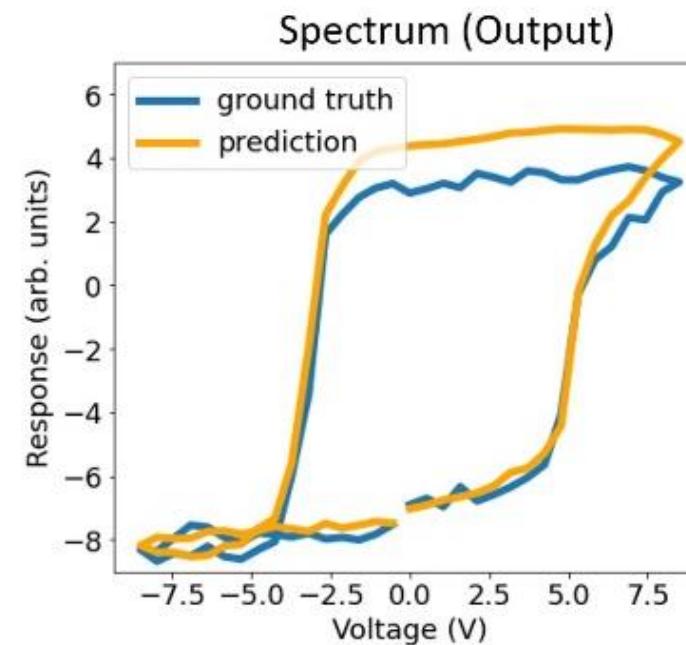
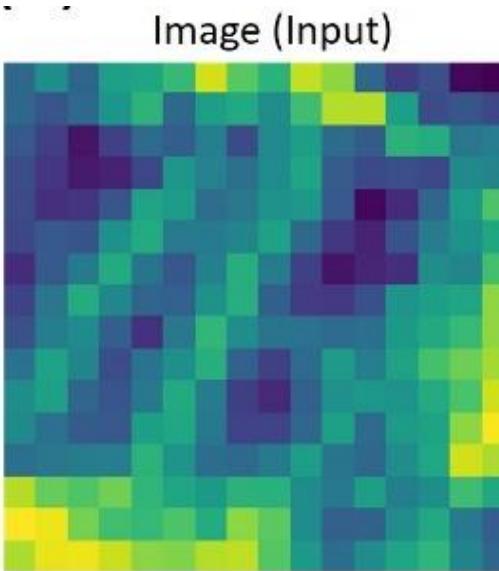
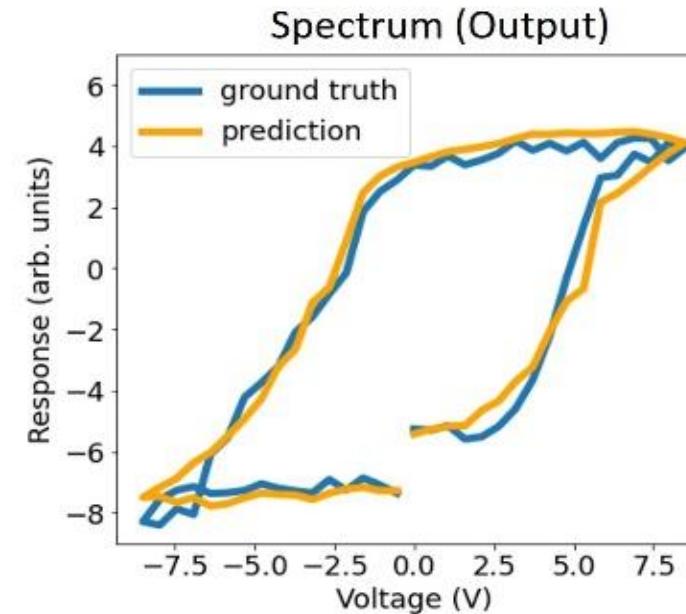
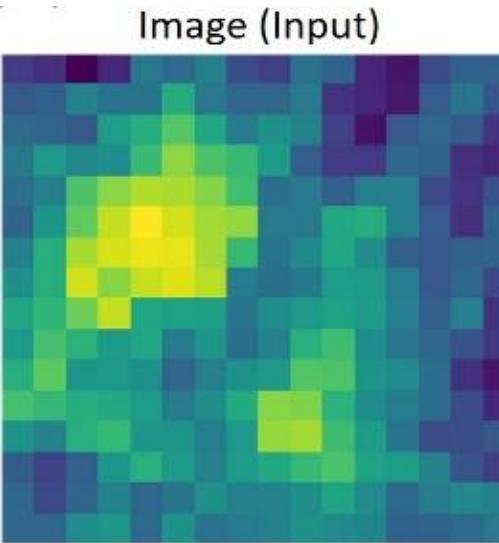


- Use encoder-decoder architecture to transform local structure to local spectra
- And spectra to images
- Predictive within the image

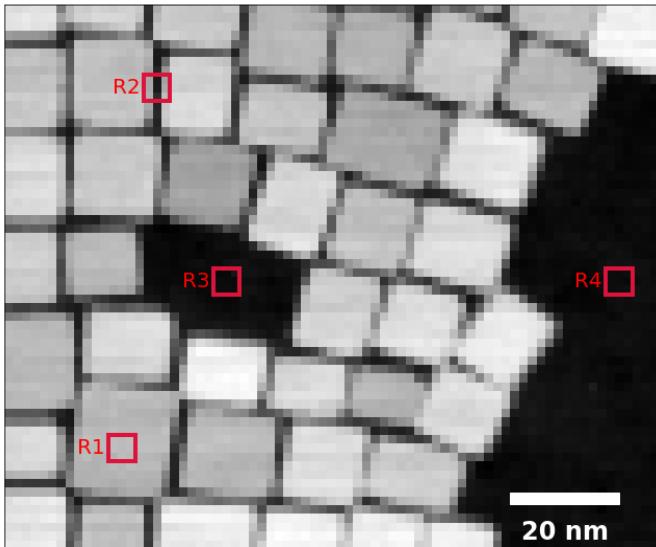
Latent space



Prediction

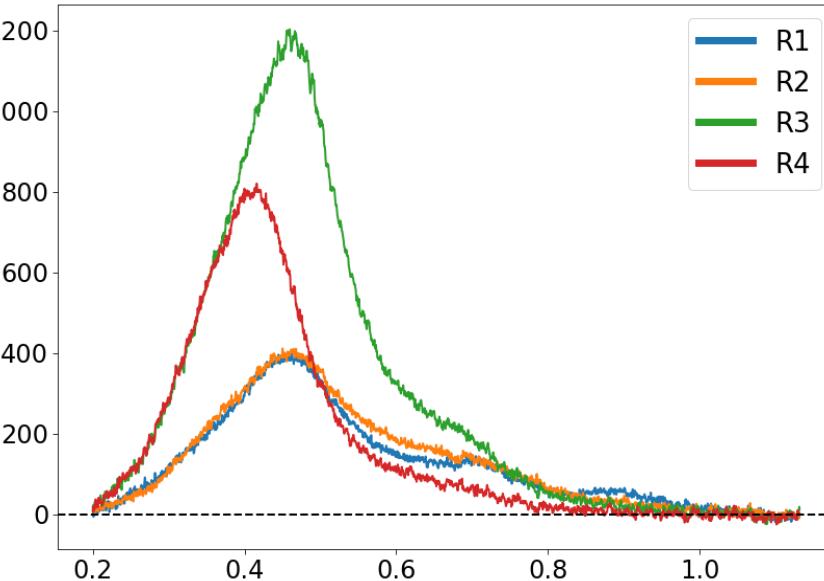


Plasmonic nanoparticles



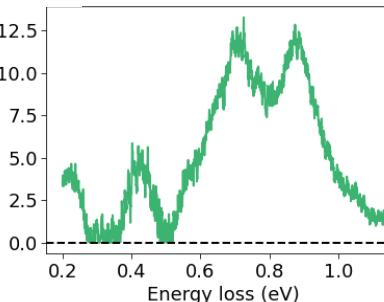
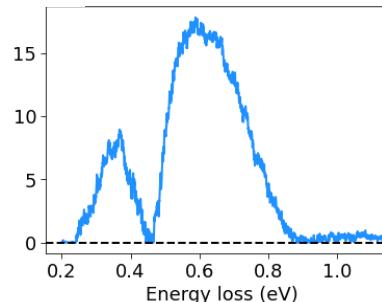
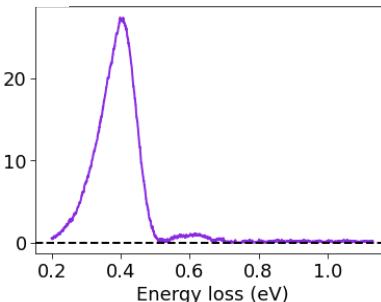
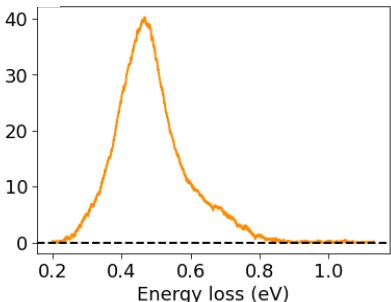
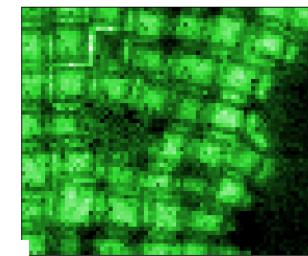
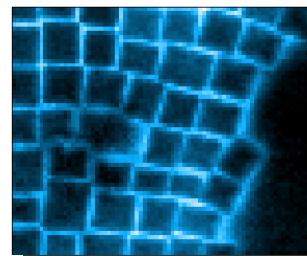
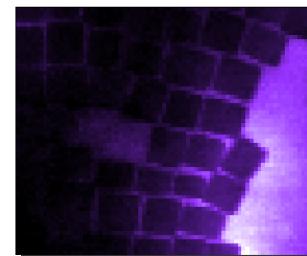
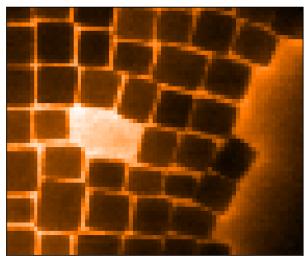
NMF 1

NMF 2

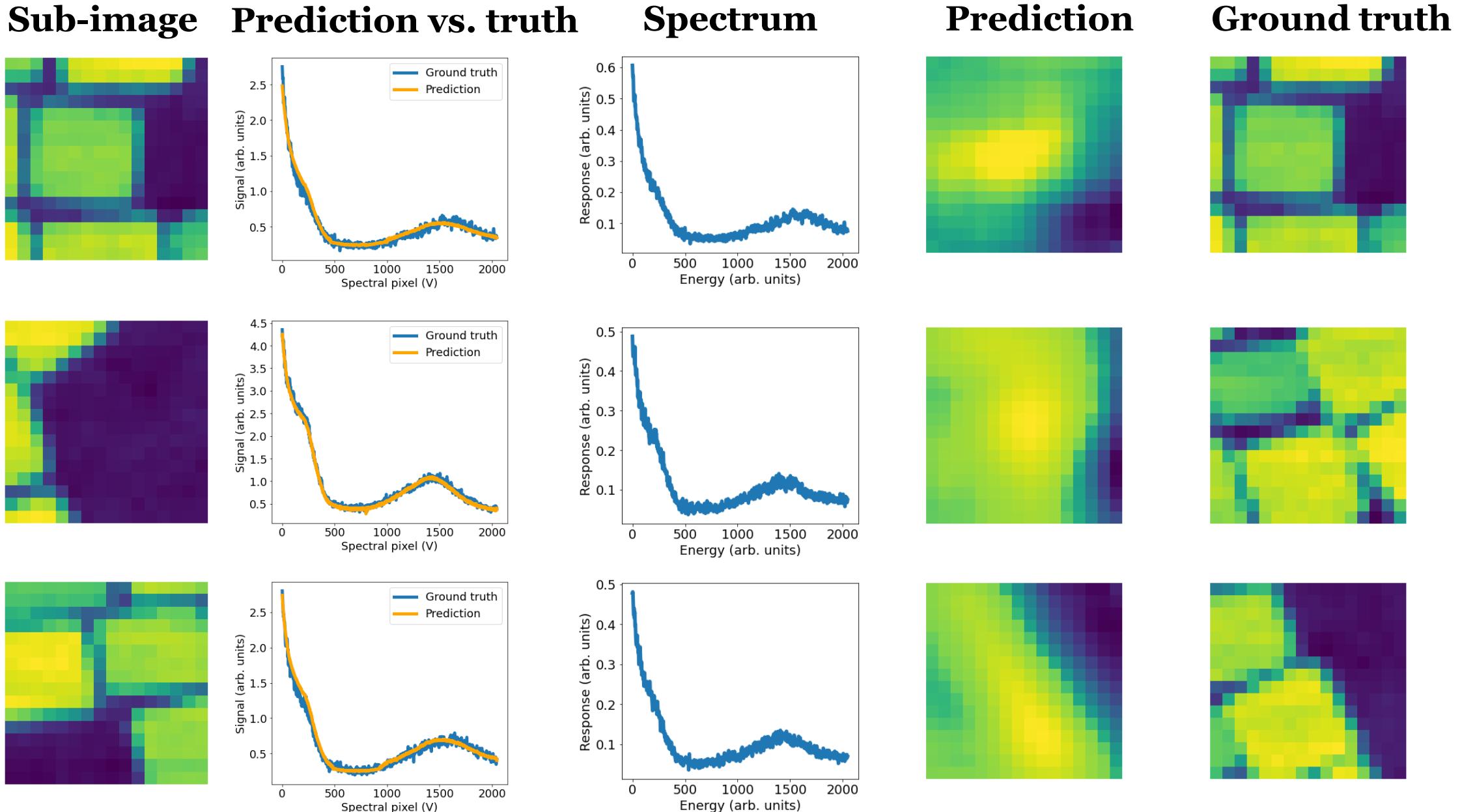


NMF 3

NMF 4

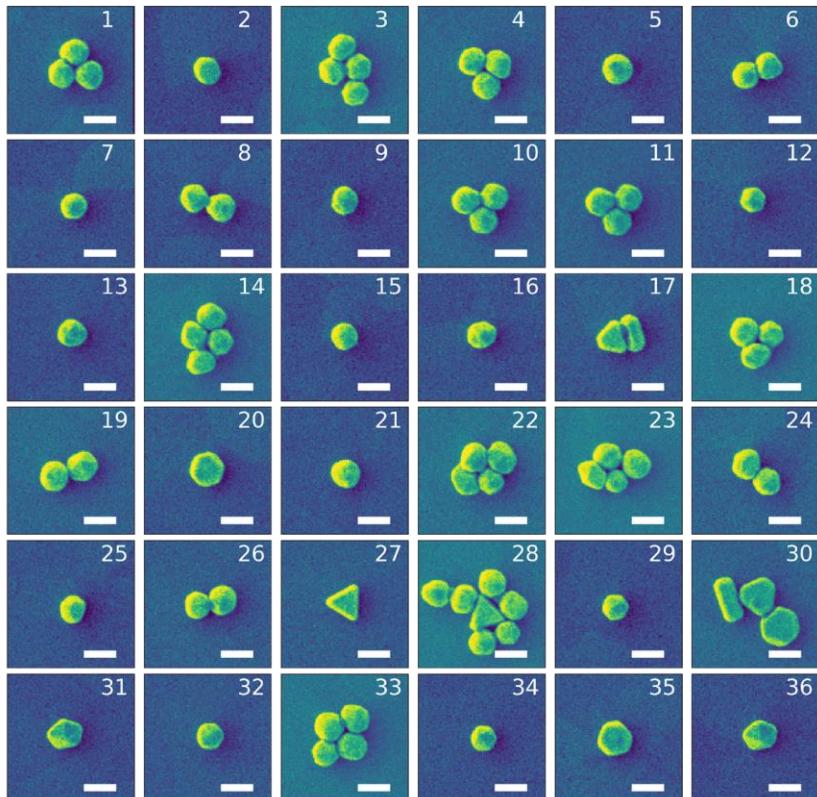


Encoders-Decoders

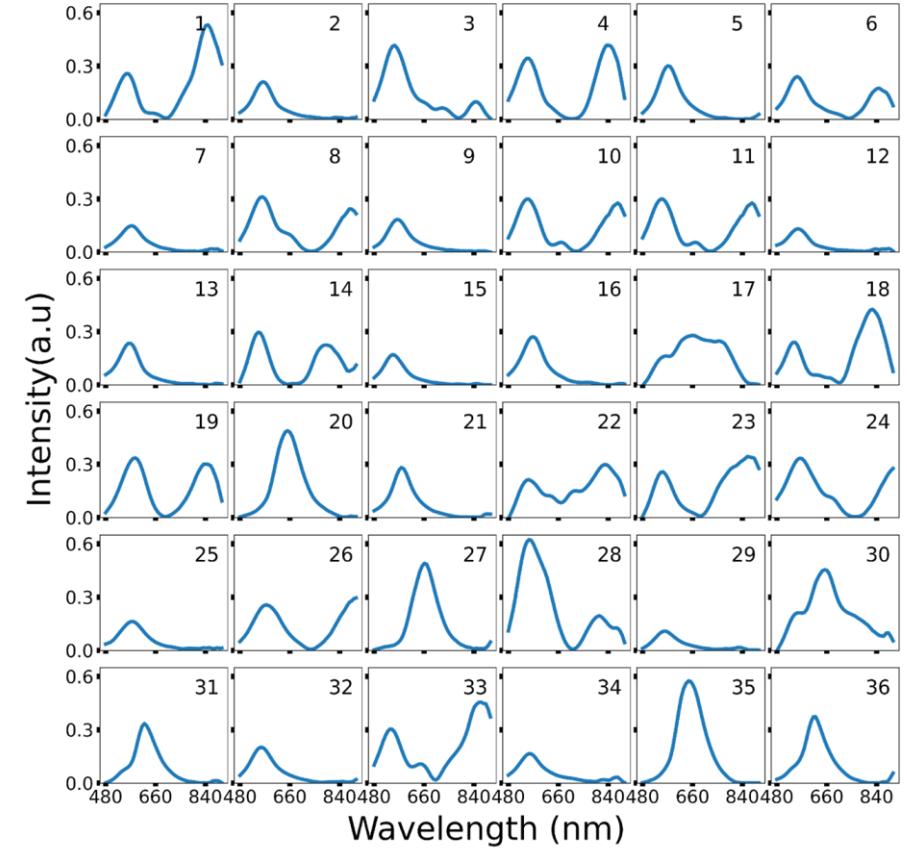


Dual VAE: structure-property relationships

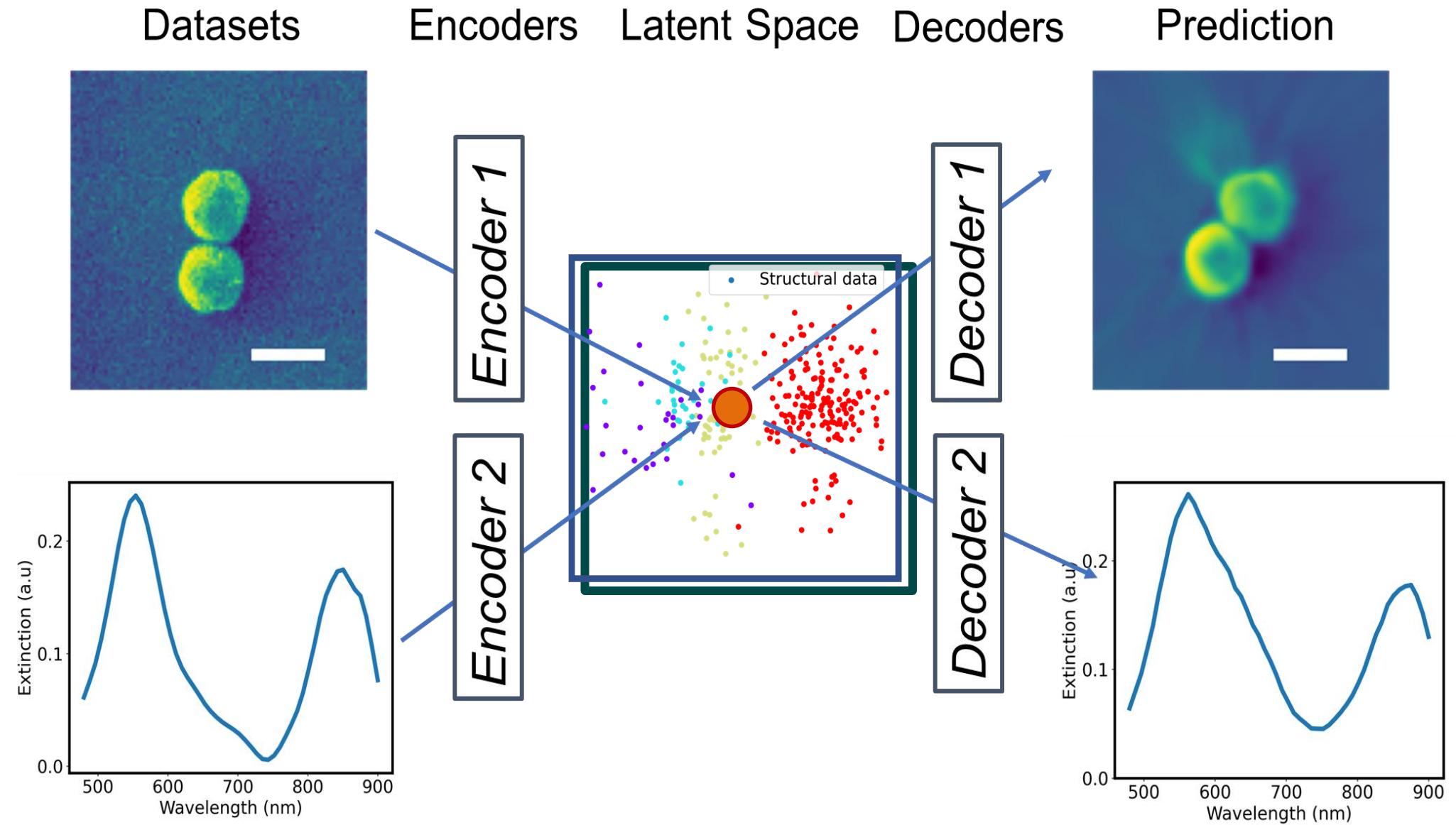
SEM images: "Structure Information"



Hyperspectral microscope: "Property Information"

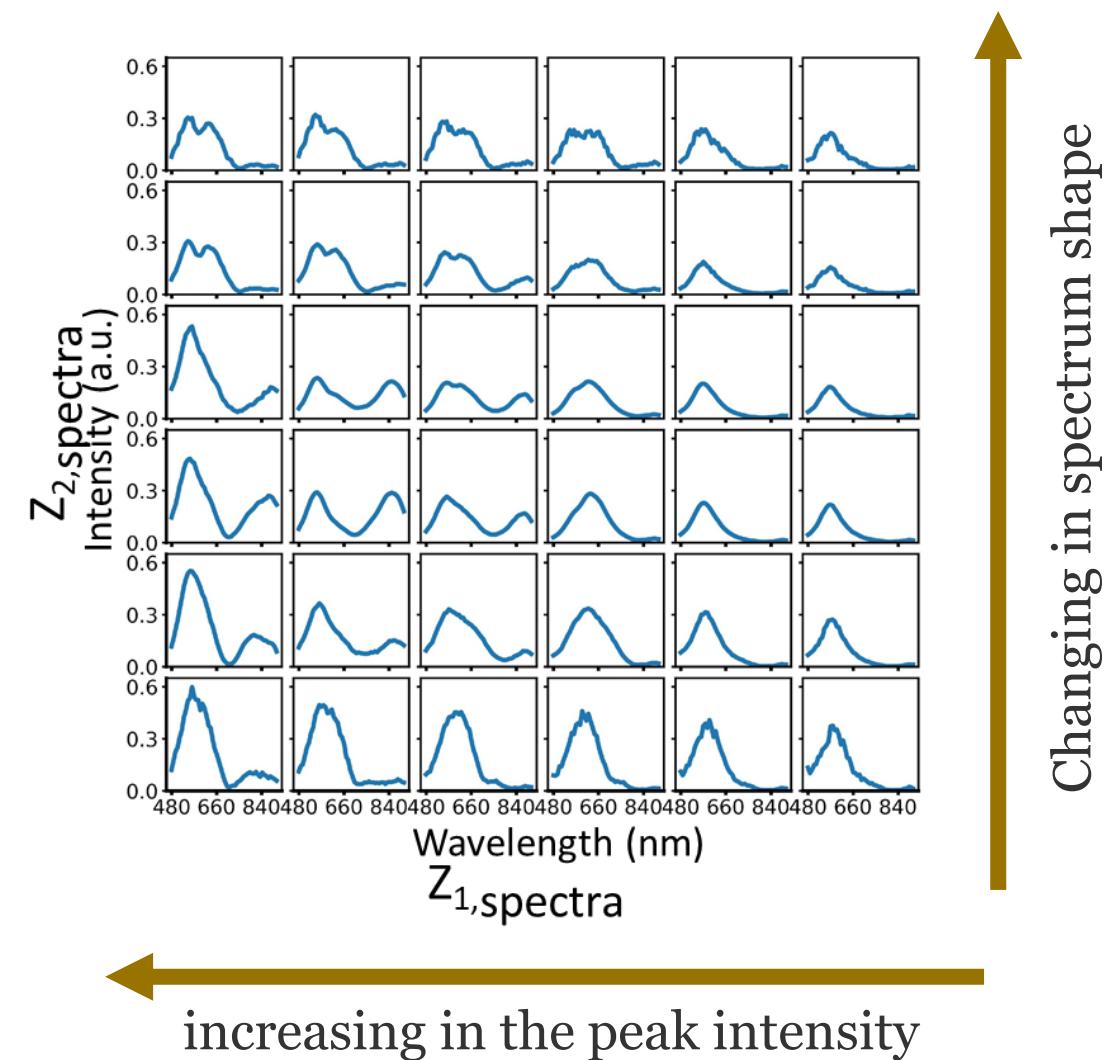
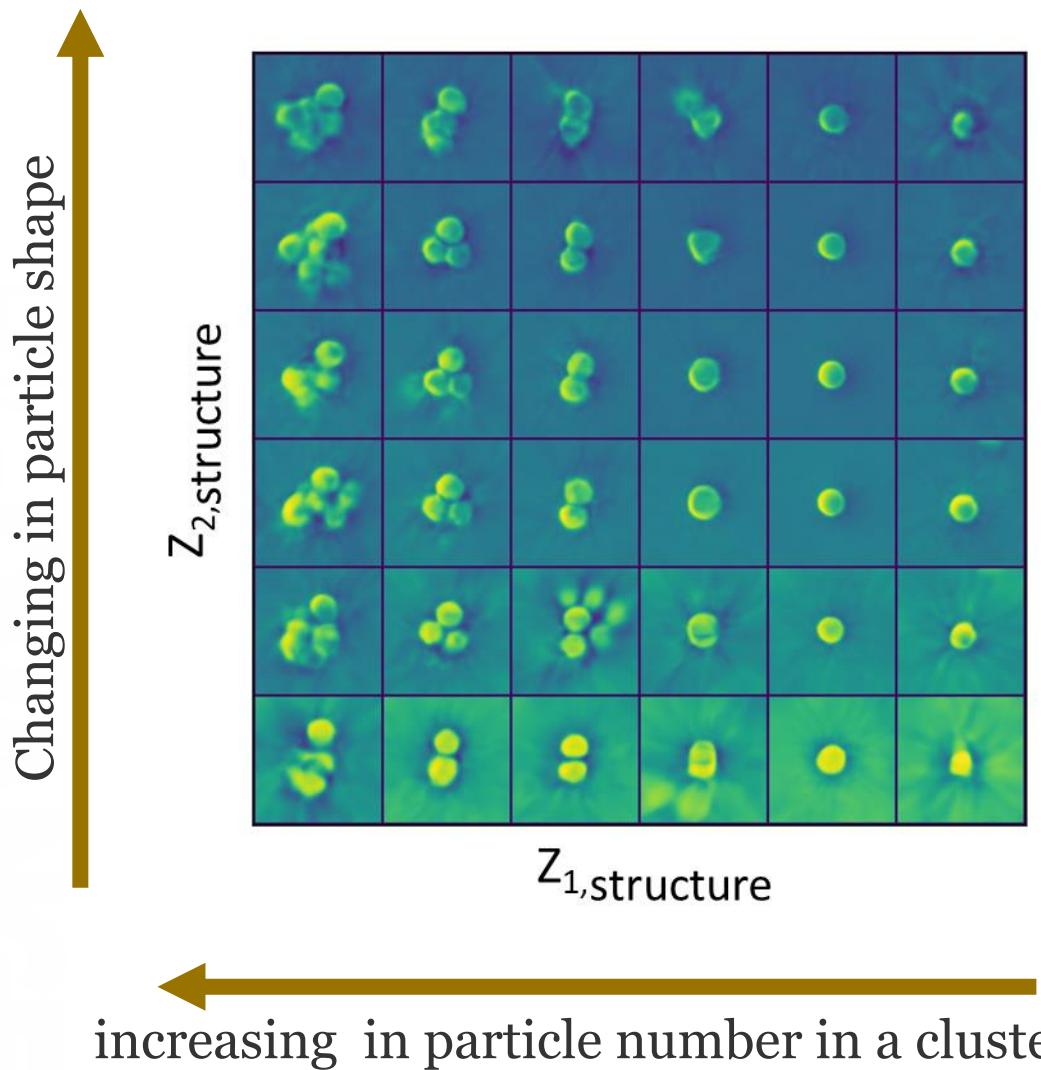


Dual VAE



Dual VAE: Latent Representations

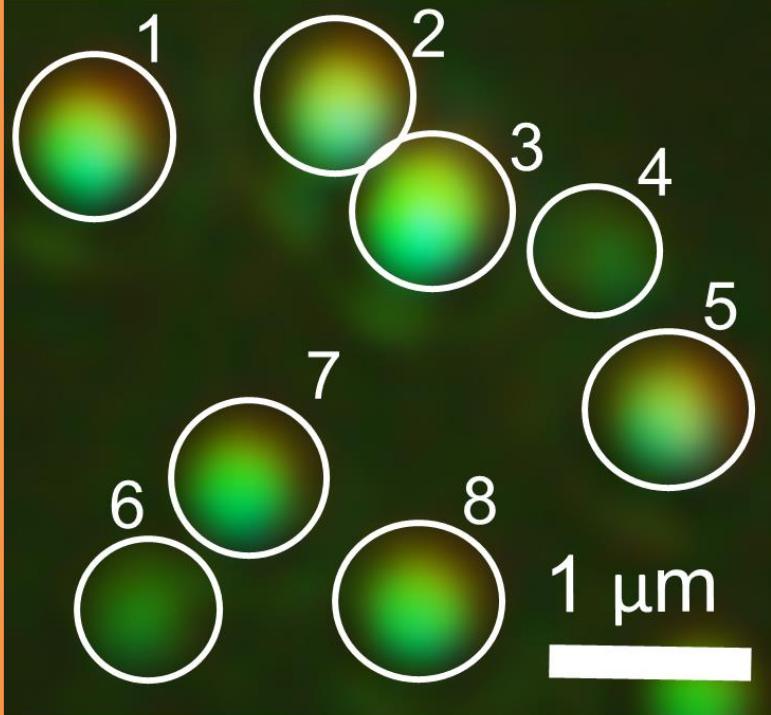
Manifold Representation



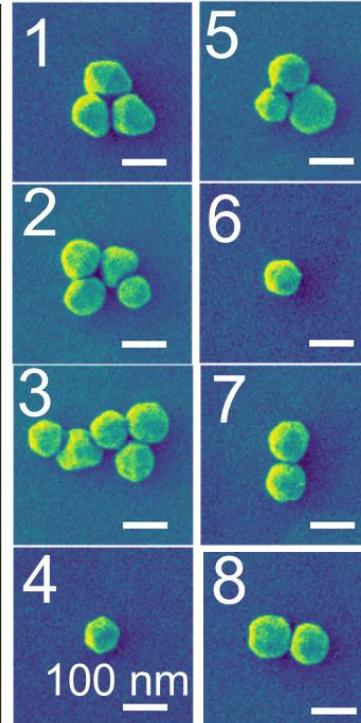
Dual VAE: Predictions

Example

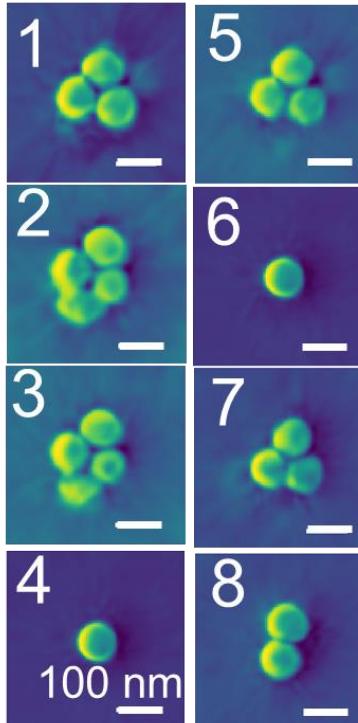
Darkfield Image



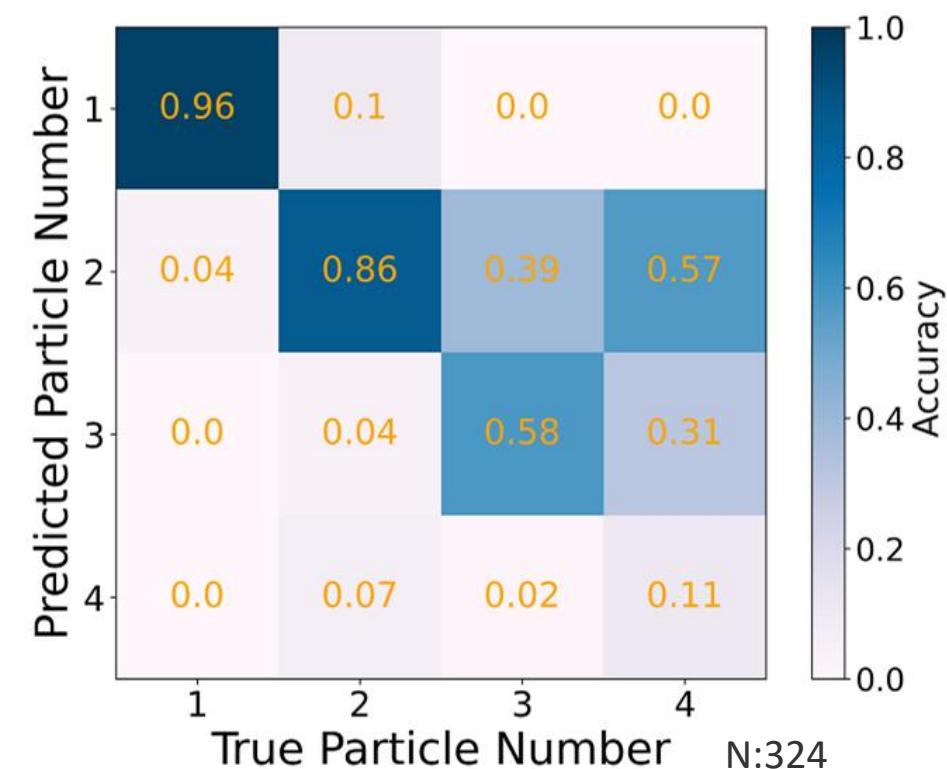
Ground Truth



Prediction



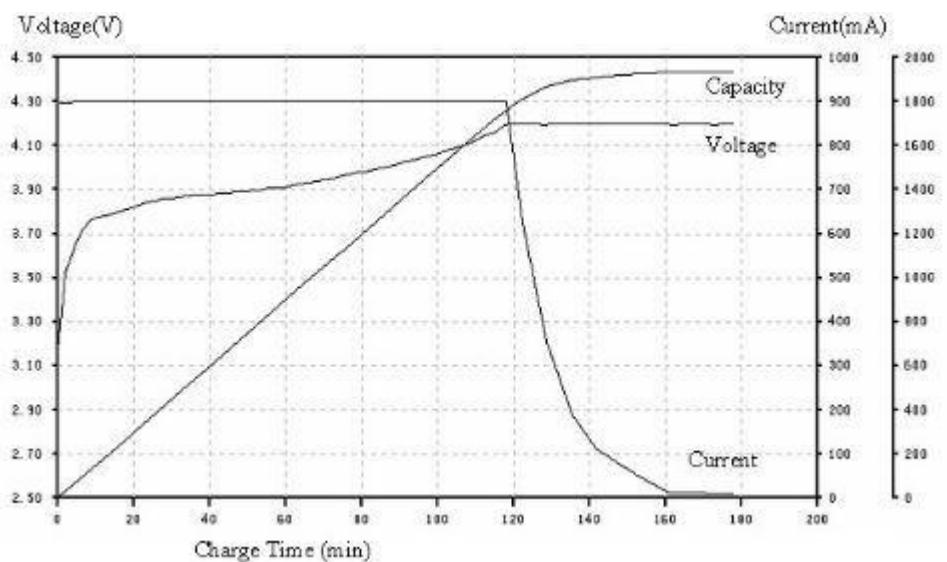
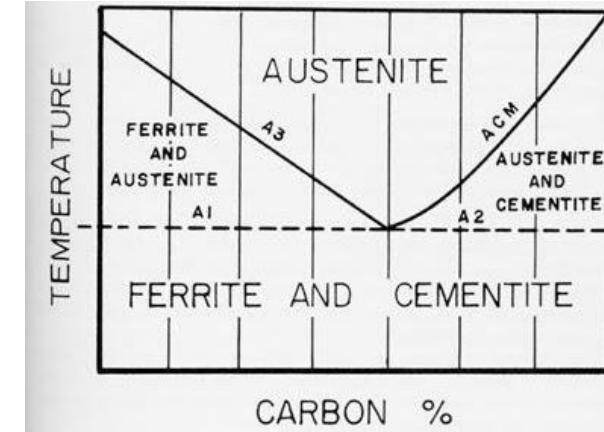
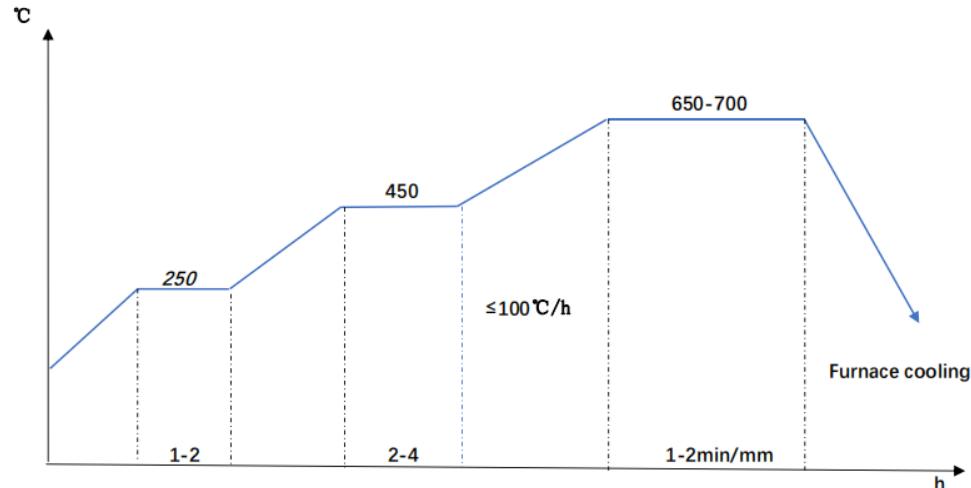
Overall Particles



[2303.18236.pdf \(arxiv.org\)](https://arxiv.org/pdf/2303.18236.pdf)

<https://github.com/saimani5/VAE-tutorials>

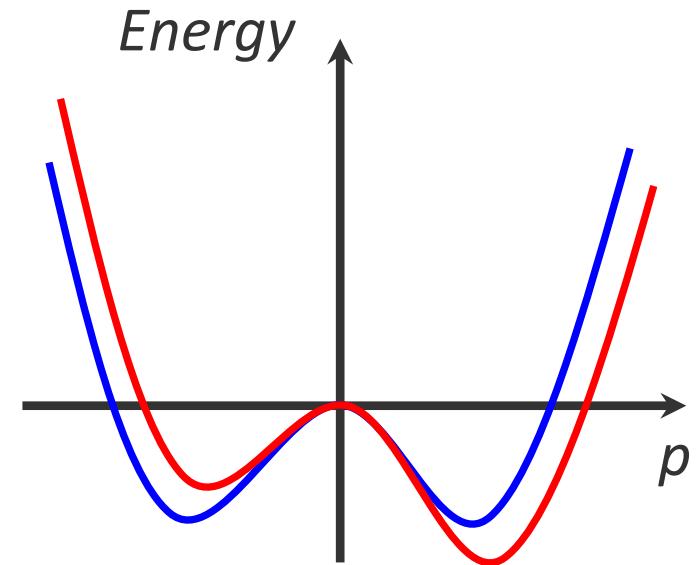
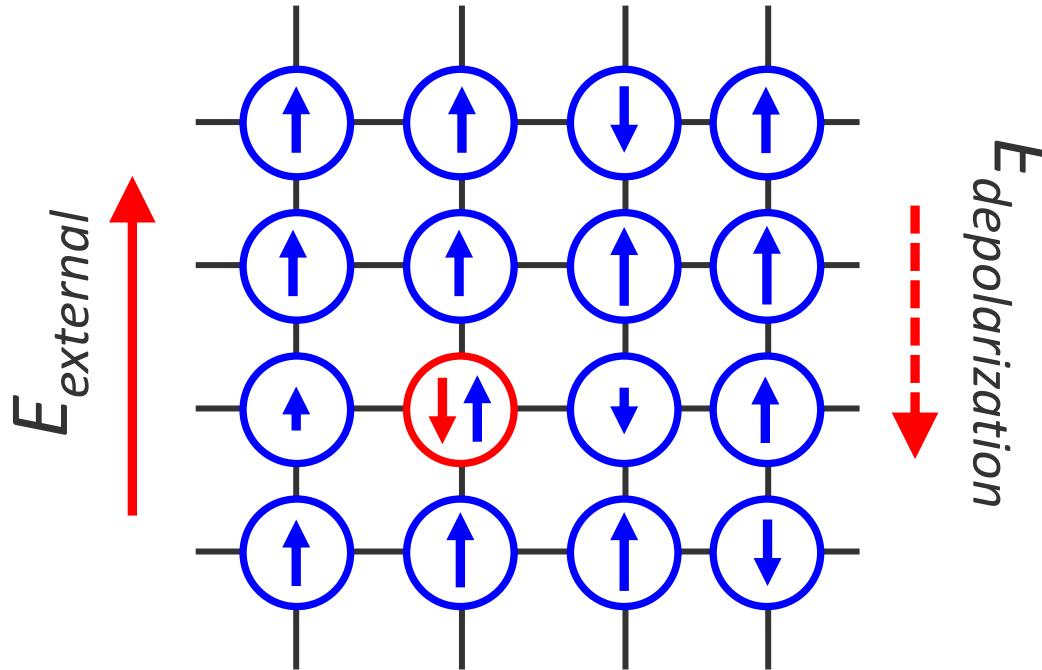
Making materials: process trajectories



- Making steel: complicated and took a lot of time optimize
- Charging battery: obvious economic impact
- Manufacturing: Annealing hybrid perovskite thin films
- Poling ferroelectric

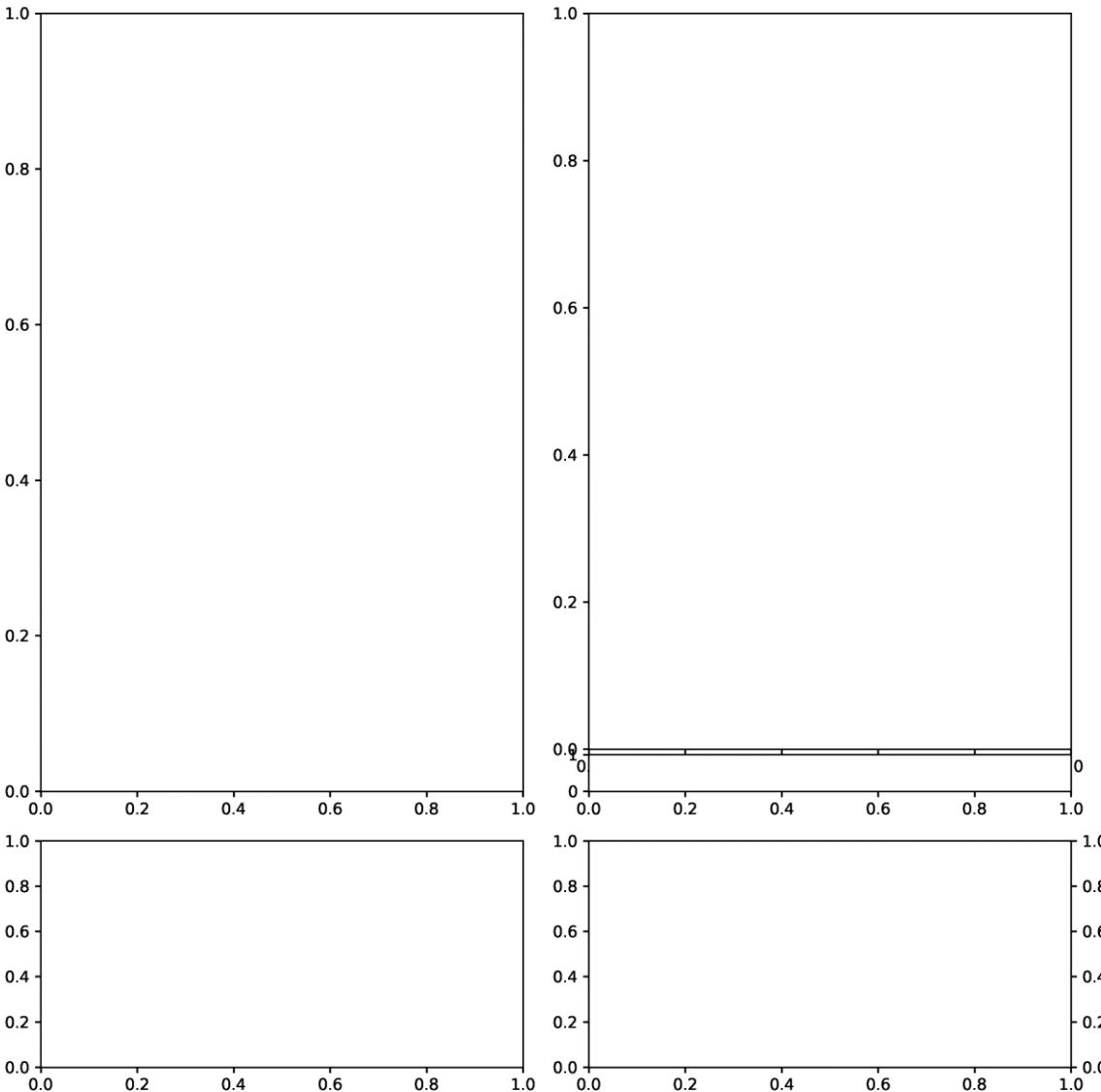
How do we optimize trajectories if we have (a) only limited or no mechanistic information, (b) our experimental budgets are limited, but (c) we have some access to domain expertise?

FerroSIM: the simplest interesting ferroelectric



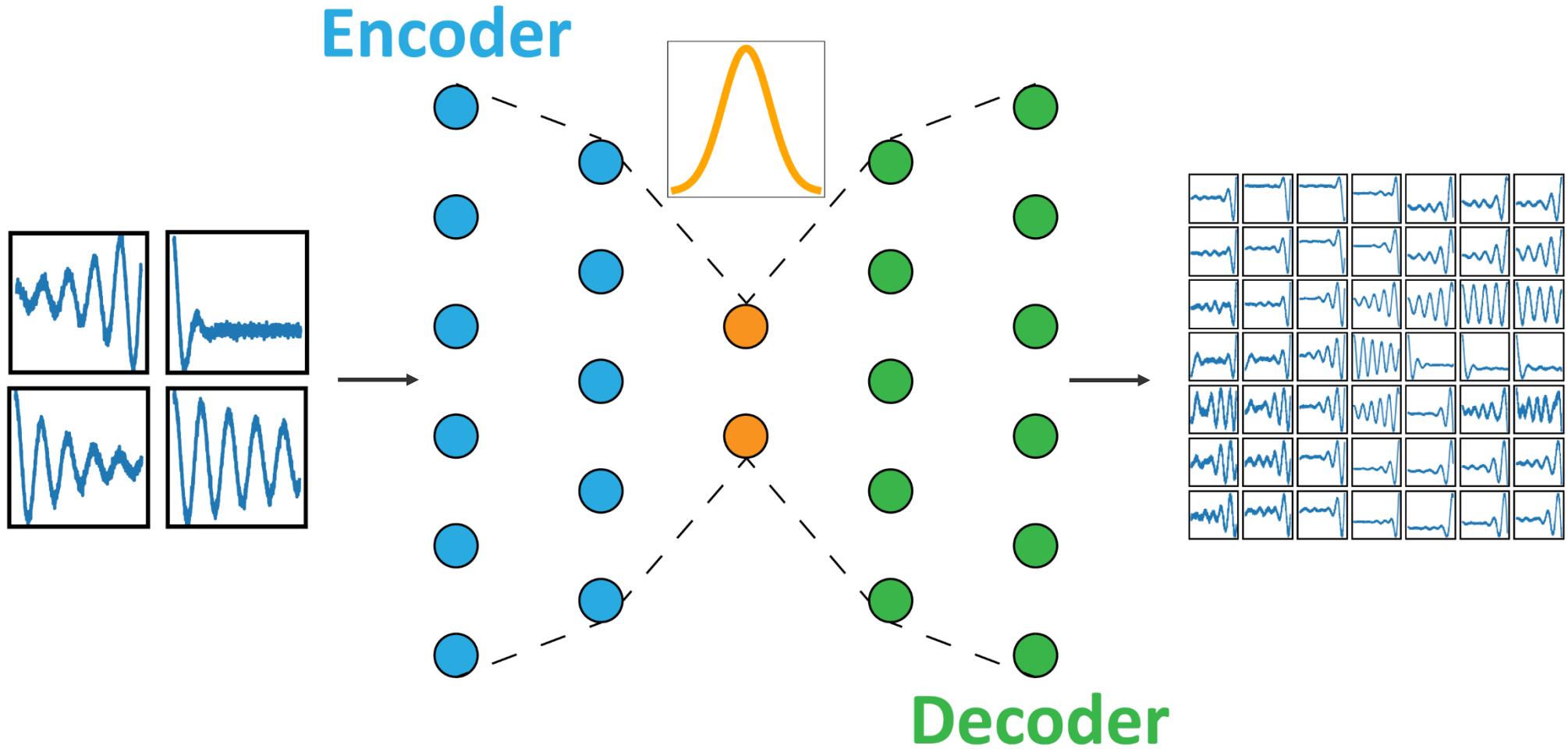
- A discrete square lattice where a continuous polarization vector resides at each lattice site
- The local free energy at each site takes the GLD form:
 - $F_{ij} = \alpha_1 (p_{x_{ij}}^2 + p_{y_{ij}}^2) + \alpha_2 (p_{x_{ij}}^4 + p_{y_{ij}}^4) + \alpha_3 p_{x_{ij}}^2 p_{y_{ij}}^2 - E_{loc_{x_{ij}}} p_{x_{ij}} - E_{loc_{y_{ij}}} p_{y_{ij}}$
 - Where, $E_{loc} = E_{ext} + E_{dep} + E_d(i,j)$ and $E_d = -\alpha_{dep} < p >$
- The total free energy is the sum of local free energies and coupling terms:
 - $F = \sum_{i,j}^N F_{ij} + K \sum_{k,l} (p_{x_{ij}} - p_{x_{i+k,j+l}})^2 + K \sum_{k,l} (p_{y_{ij}} - p_{y_{i+k,j+l}})^2$
- Polarization at each lattice site is updated to decrease the free energy using $\frac{d p_{i,j}}{dt} = -\frac{\partial F}{\partial p_{i,j}}$

But what about trajectories?



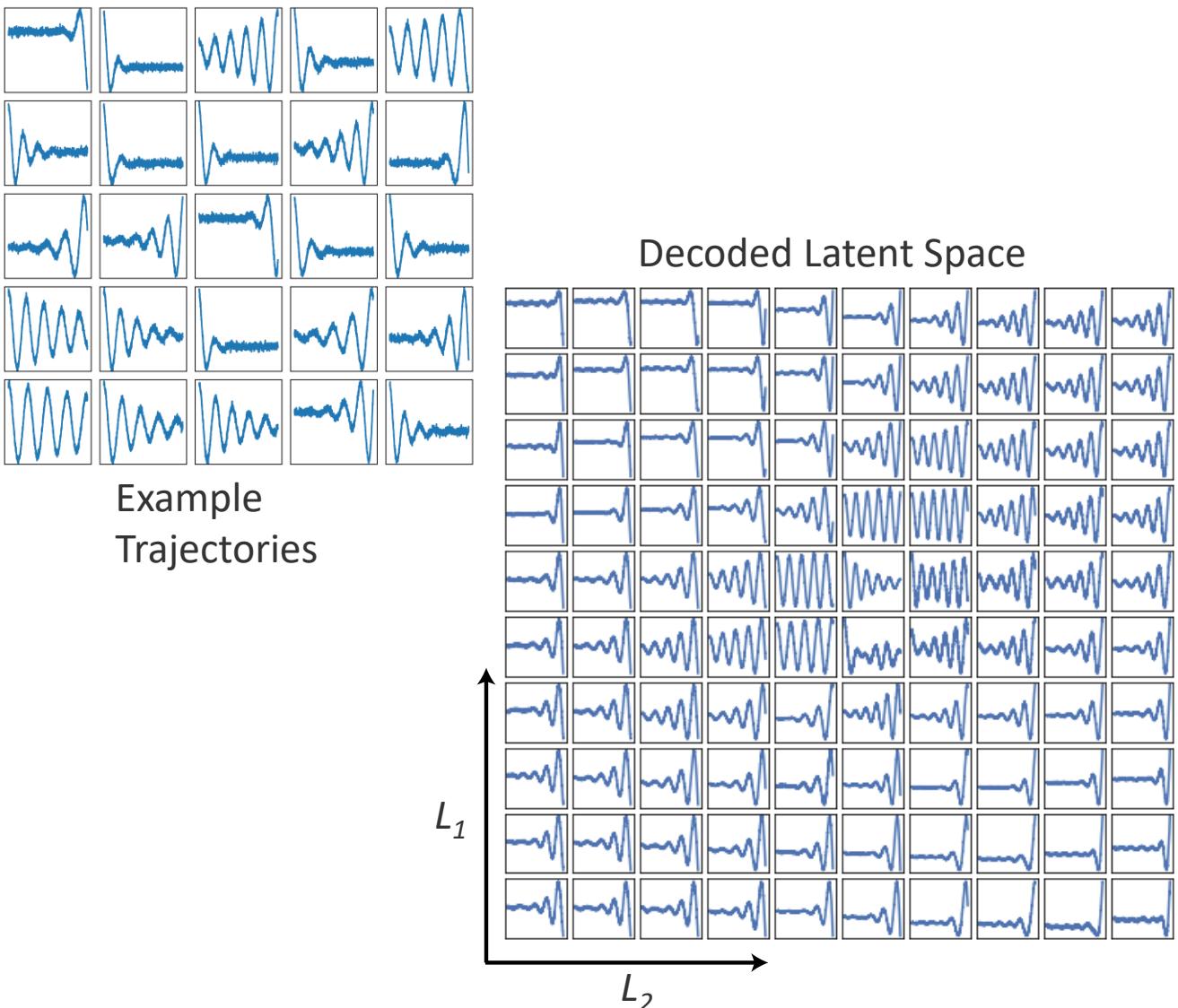
- The model has large number of microstates
- The global state depends on history, i.e. dependence of field vs. time
- Can we somehow optimize the chosen global state in the space of possible histories?
- This space is obviously intractable...
- ... however, we are not interested in ALL possible histories. We are interested in relatively simple histories
- **Thought:** what if we start with the histories that make sense from domain perspective, and look for way to simplify them?

Can VAE help?

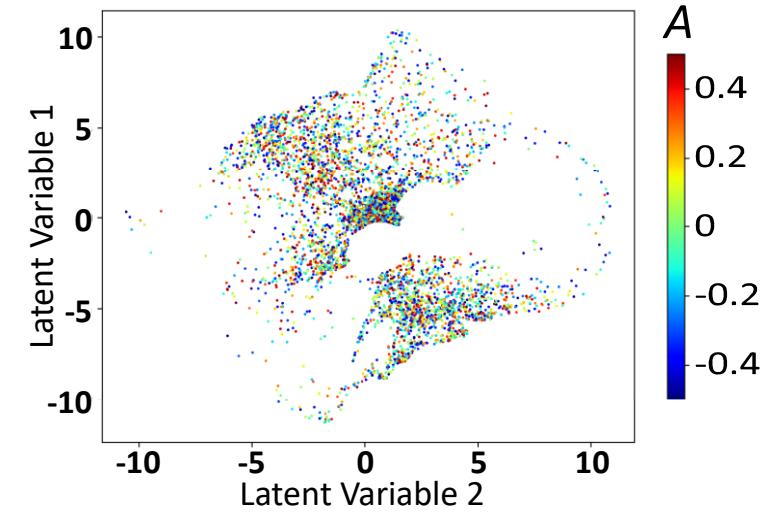
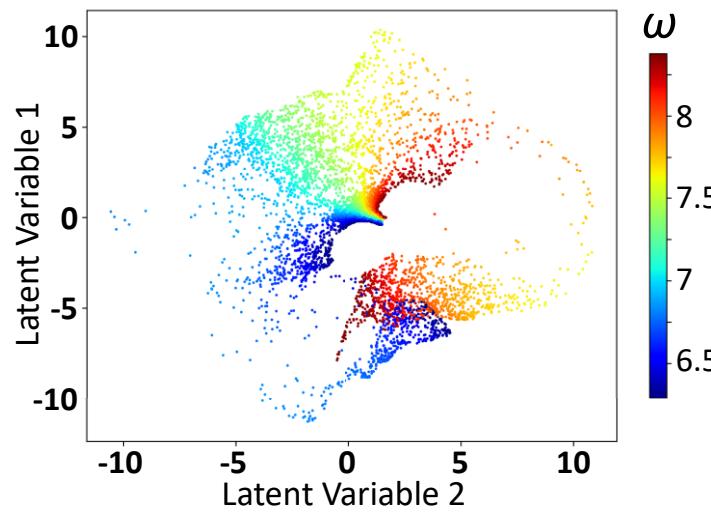
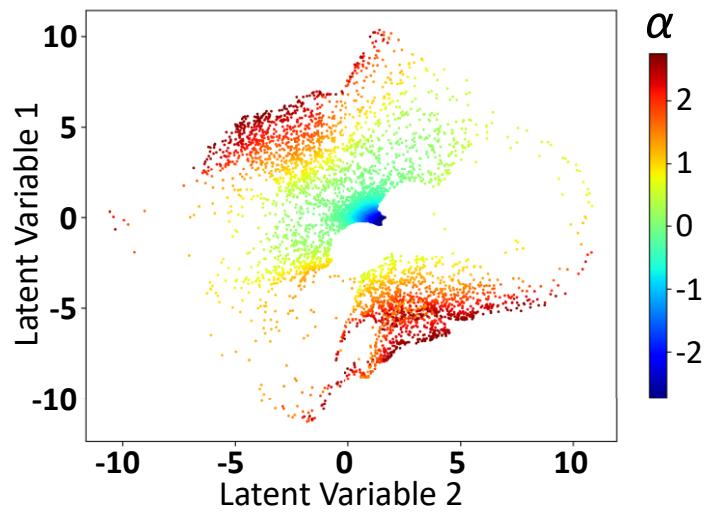


VAE encoding of domain trajectories

- Sinusoidal trajectories with exponential functions as amplitude modulators
 - $A \exp(\alpha t) \sin(\omega t) + B$
- $A: [0, 0.75]$,
- $\alpha: [-2.75, 2.75]$,
- $\omega: [2\pi, \frac{8}{3}\pi]$,
- $B: [-0.5, 0.5]$
- These electric fields are divided into 900 discrete time steps.
- 7500 of these curves are then used to create a smooth latent space using a Variational Autoencoder (VAE)

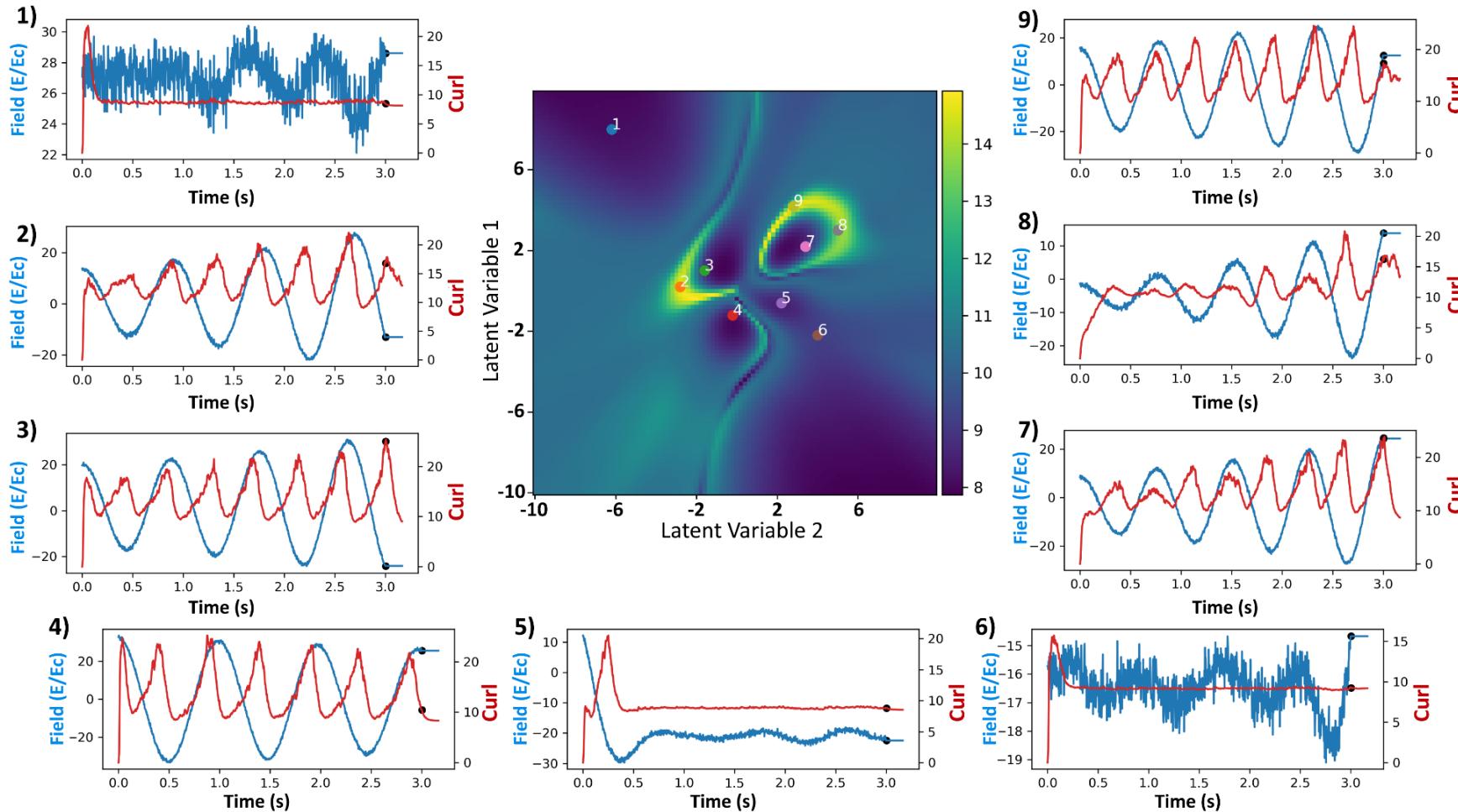


Latent space distributions



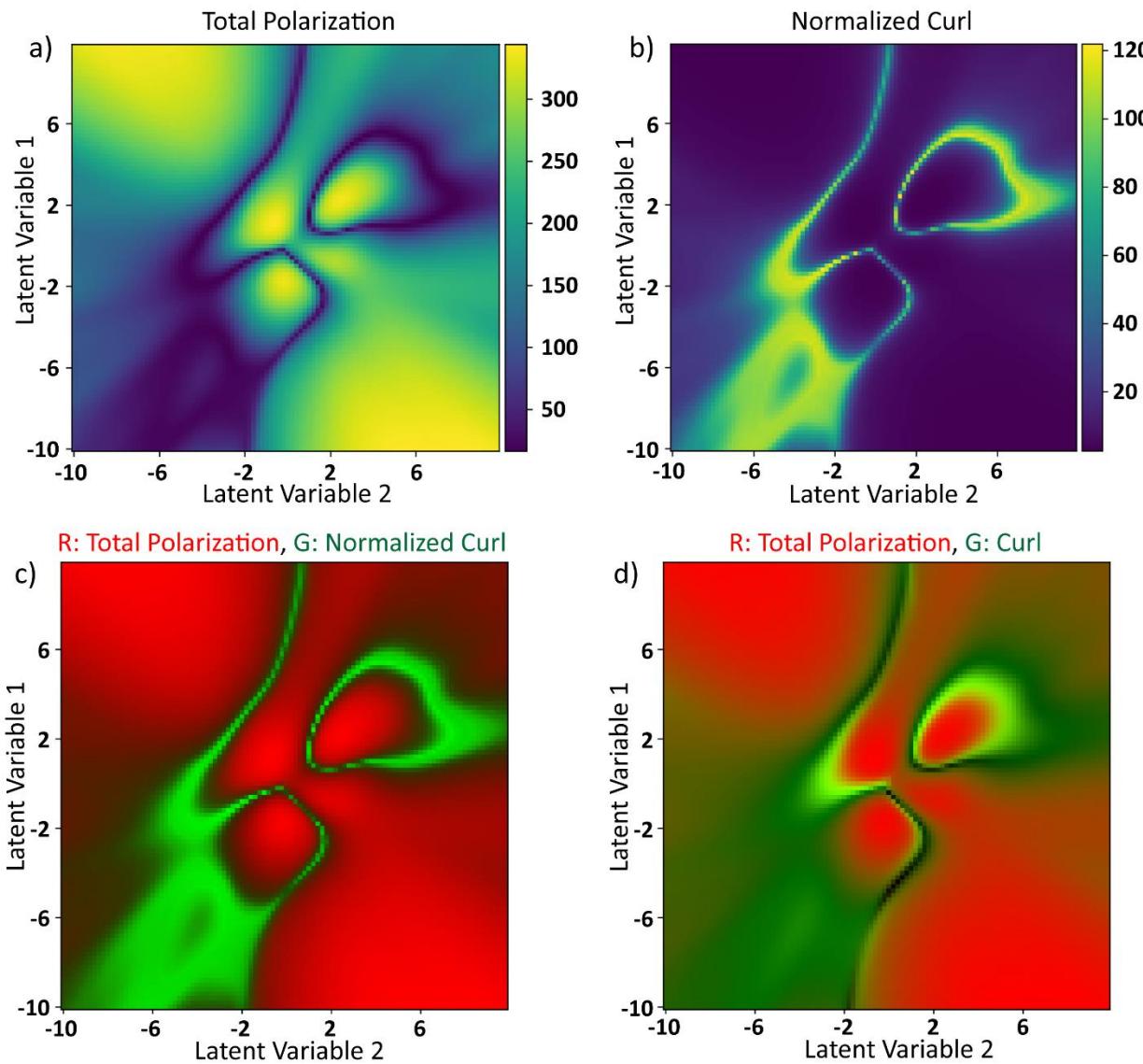
Ground truth target function

- Latent space is sampled and then decoded back into the space of electric field of 900-dimensions
- An equilibration region of 50-time steps is then added where the electric field is held constant at the final value of the decoded electric field.
- The **sum of absolute value of curl** at each lattice site at the end of the simulation is the target value to be optimized



- Curl decays in the equilibration region
- The rate of decay of the curl is proportional to the curl at the onset of the equilibration region
- The local maxima of the curl seemingly coincides with the local optima of the electric field.

Exploring the curl surface



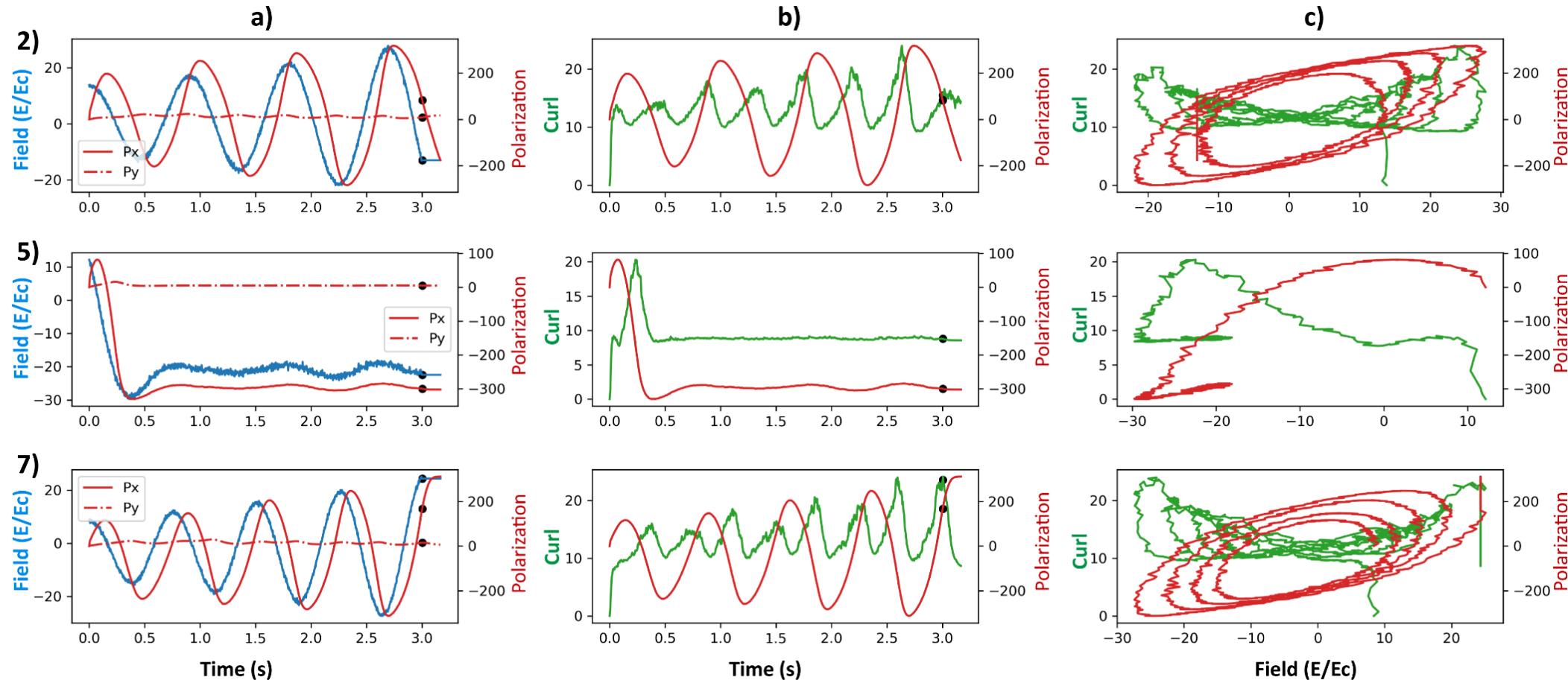
Normalized Curl

- At the end of the simulations, the polarization vector at each lattice site is normalized
- These normalized polarization vectors are then used to recalculate curl
- We will refer to it as the normalized curl
- It is supposed to estimate how much the vector field rotates without considering the magnitude of the field

Observations

- Normalized curl is inversely proportional to the magnitude of polarization
- The system is allowed to be in the most chaotic state when the polarization is the least as the effect of coupling terms is low
- The system's polarization is at the lowest the coercive field

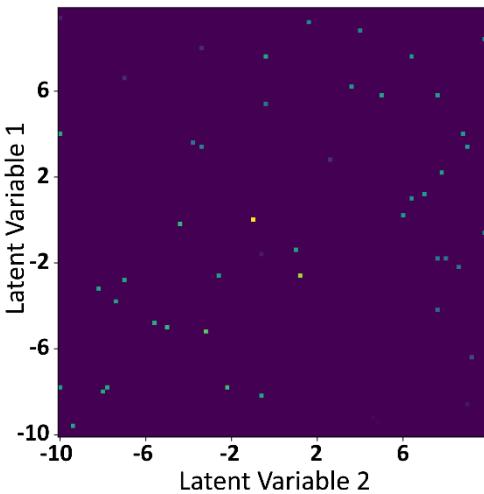
Exploring the curl surface



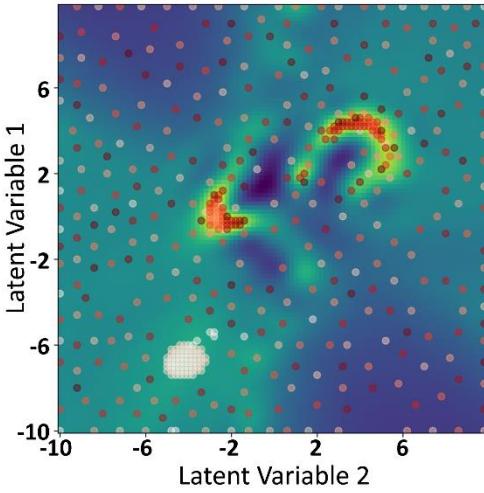
- The system's polarization is at the lowest the coercive field (A state of maximum normalized curl)
- But the curl is also a function of magnitude of the polarization
- Hence, the magnitude of the curl is maximum a few steps after the coercive field where the polarization grows in magnitude just enough that the coupling terms do not take over to kill the curl in the system
- This time coincides with the time it takes the electric field to reach the maximum from the coercive field, hence the overlap of the local maxima of curl and electric field

Bayesian Optimization in the Latent Space

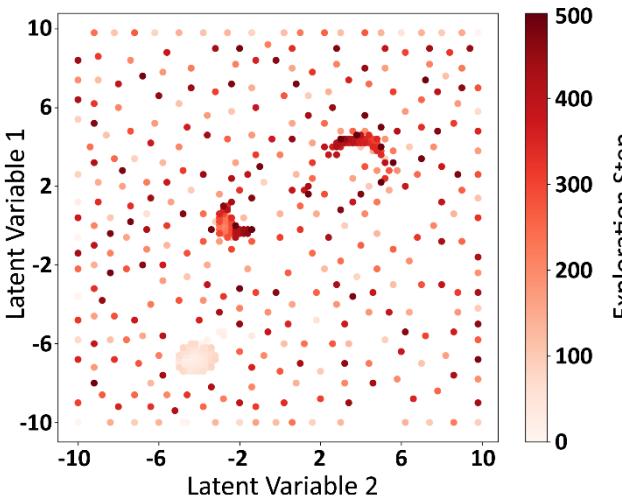
100 initial points



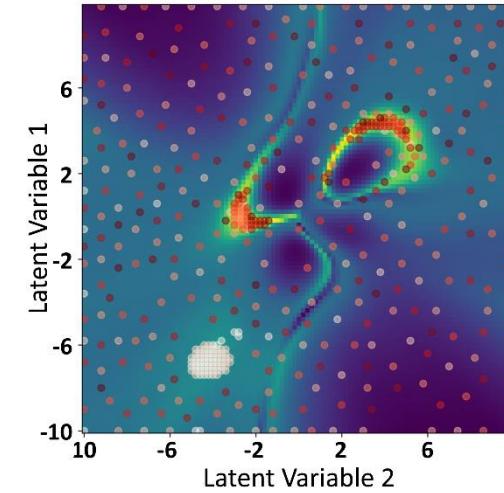
Reconstructed curl surface



Explored points

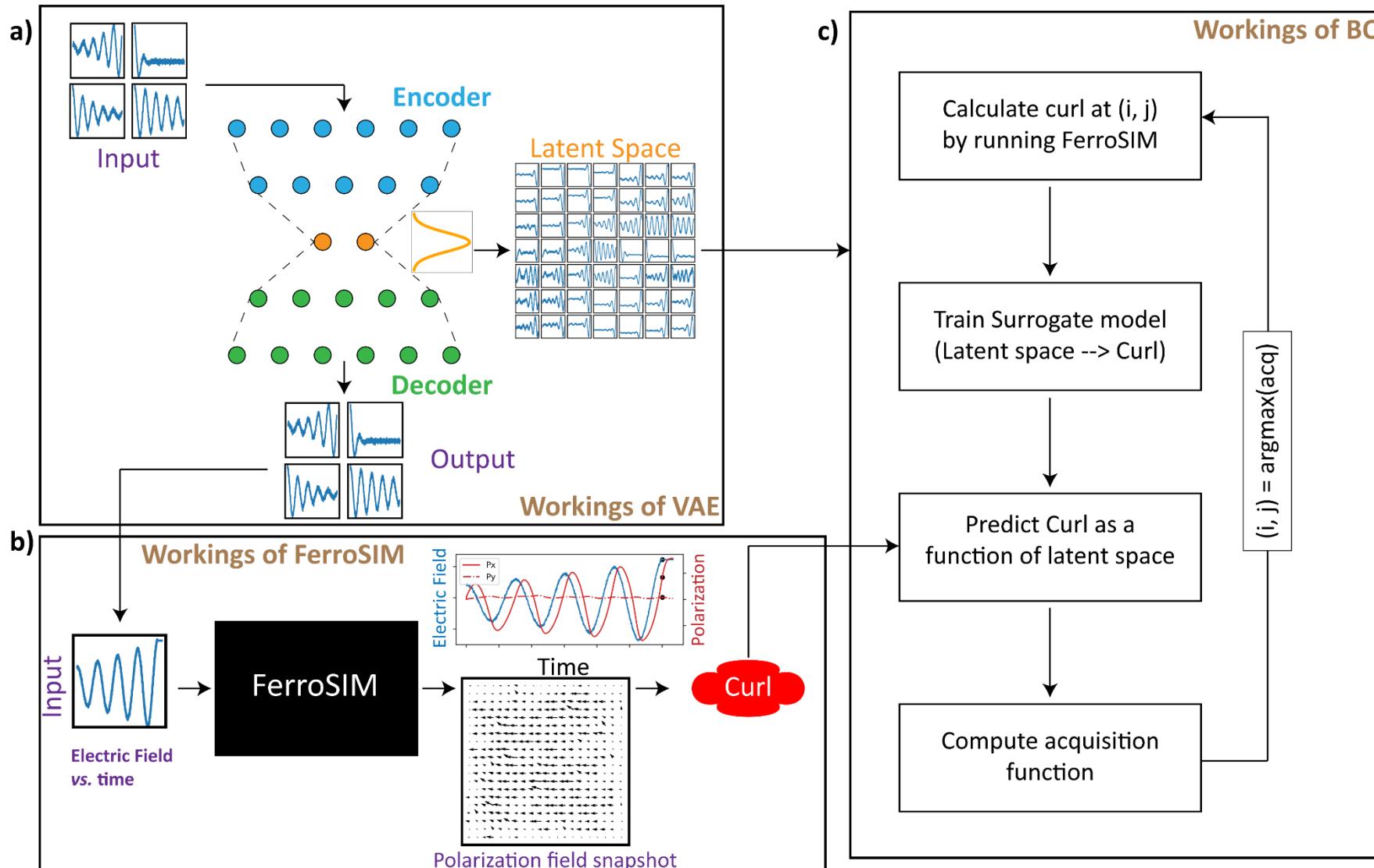


Original curl surface



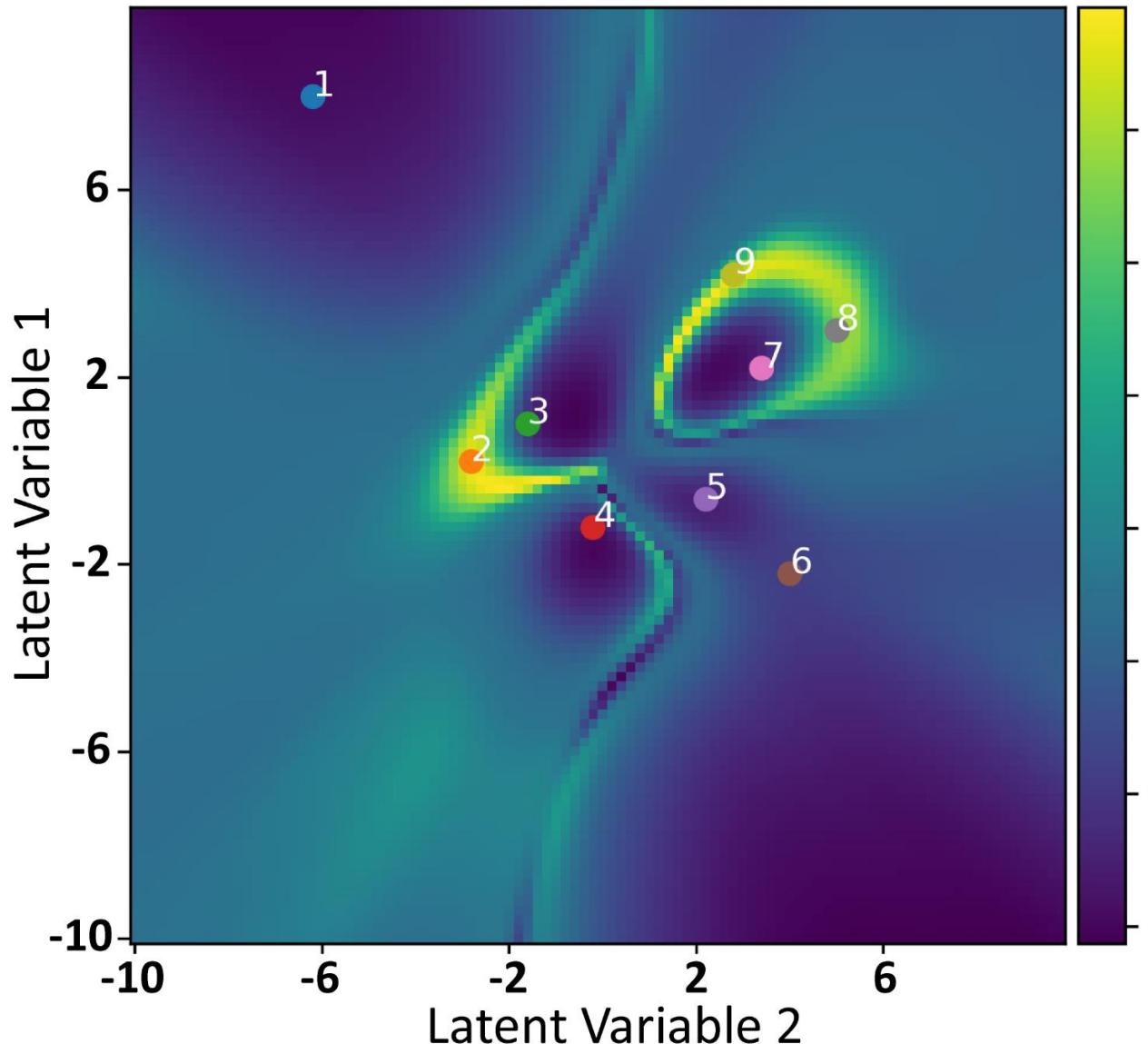
- 100 initialization points and the BO explored the latent space for the next 500 points
- Acq function: $\mu + 10\sigma$
- So, at the end BO only explored a total of 600 points out of 10,000 points the latent space is divided into
- Caveat: we had to tune the Acq with the ground truth data known

Putting everything together



Same approaches are used for molecular discovery, polymers, and biomolecules

What determines success?



- 14 The success of the BO in the latent space clearly depends on the shape on the manifold that points of interest form.
- 13
- 12 For VAE, the shape of the manifold is determined by the properties of the data only, including
 - (a) how strong correlations in data reflect in correlation in properties and
 - (b) weight of the “good” trajectories
- 11
- 10
- 9
- 8