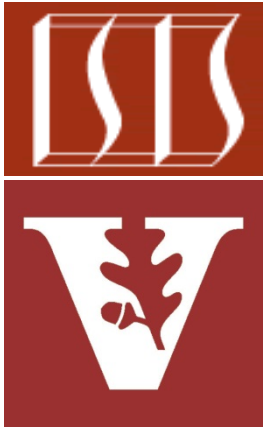


# Java Concurrency :

## Java Built-in Monitor Objects

### (Part 1)



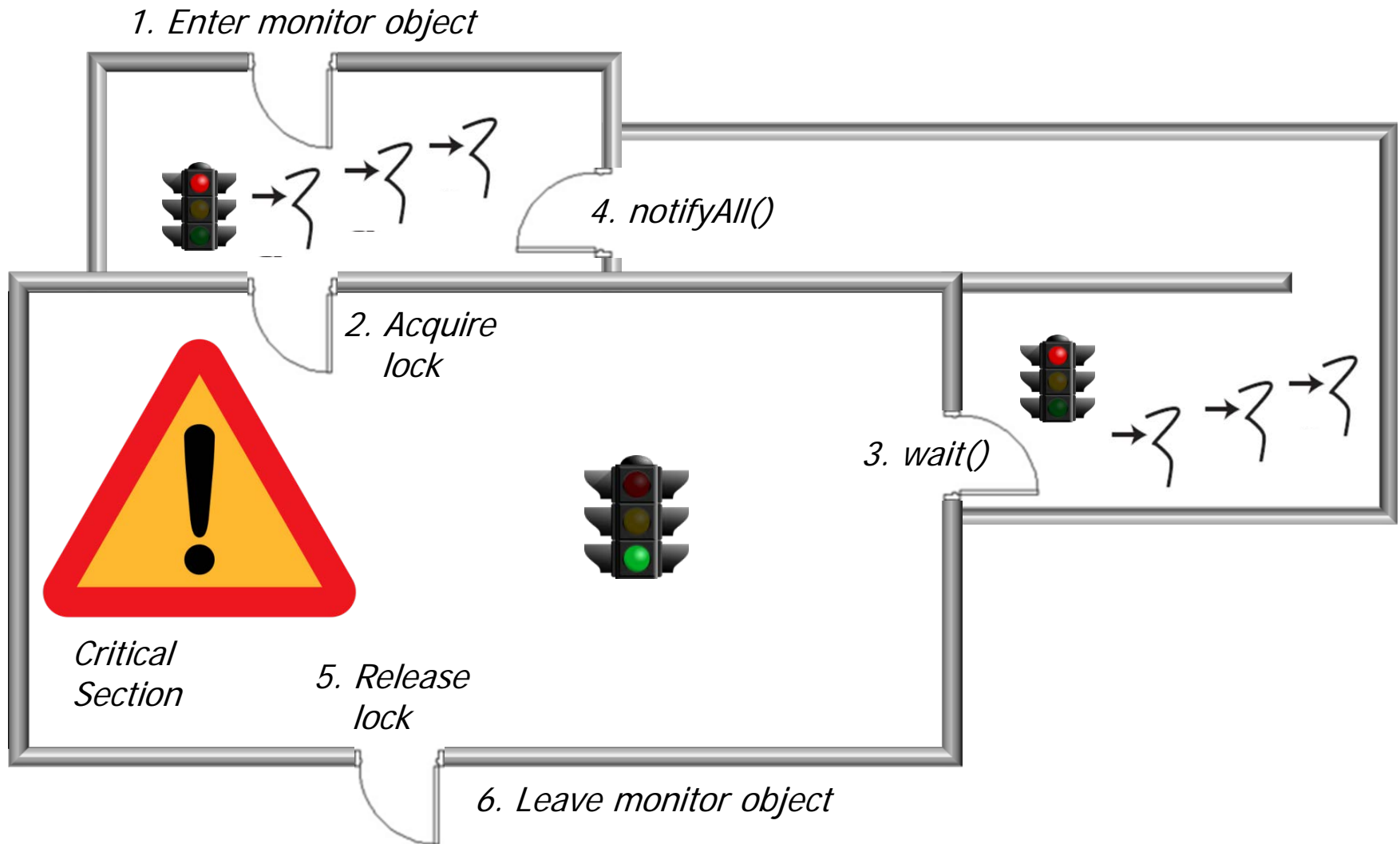
Douglas C. Schmidt  
[d.schmidt@vanderbilt.edu](mailto:d.schmidt@vanderbilt.edu)  
[www.dre.vanderbilt.edu/~schmidt](http://www.dre.vanderbilt.edu/~schmidt)

Institute for Software  
Integrated Systems  
Vanderbilt University  
Nashville, Tennessee, USA



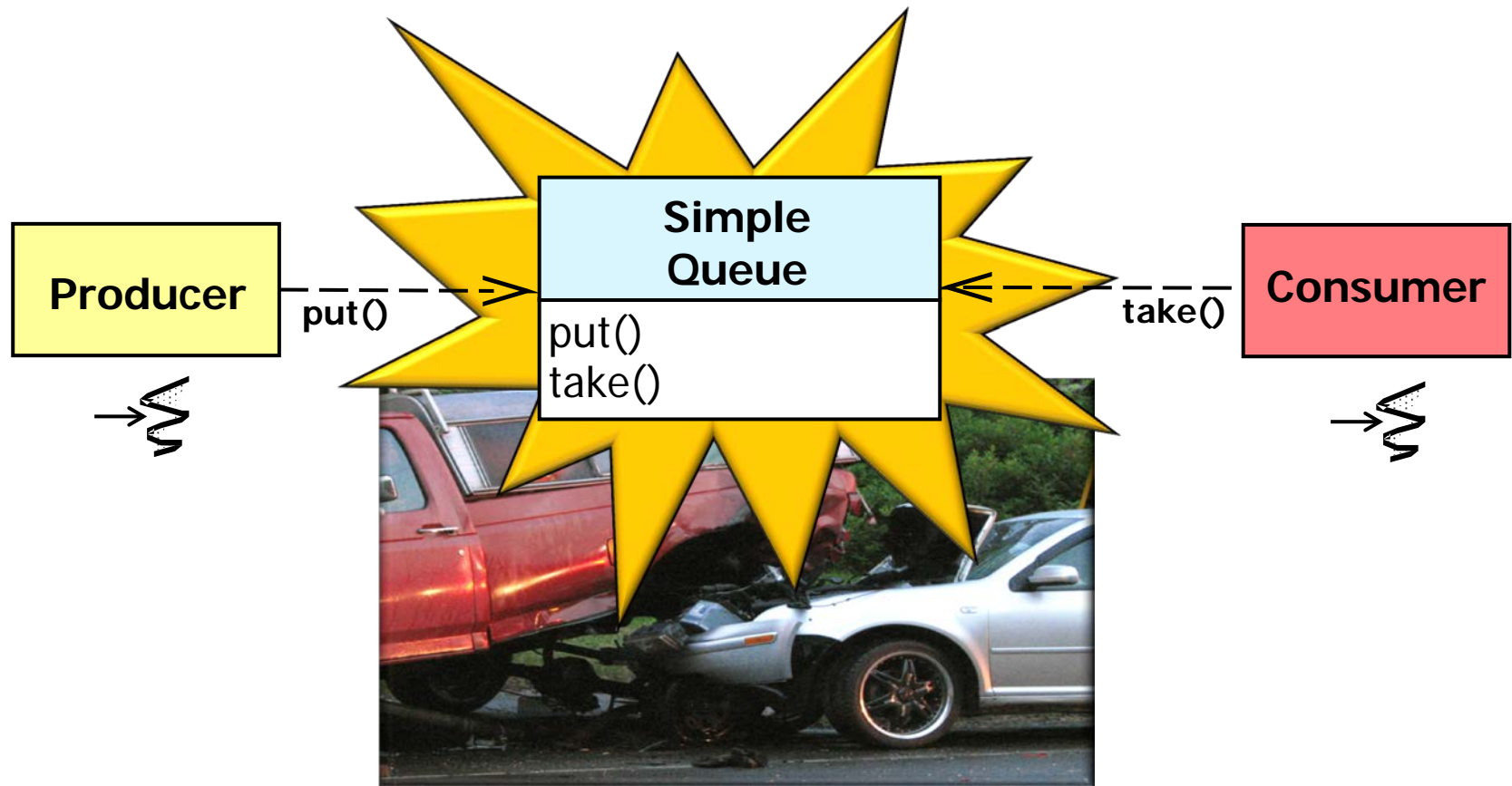
# Learning Objectives in this Part of the Module

- Recognize how Java built-in monitor objects can ensure mutual exclusion & coordination between threads running in a concurrent program



# Learning Objectives in this Part of the Module

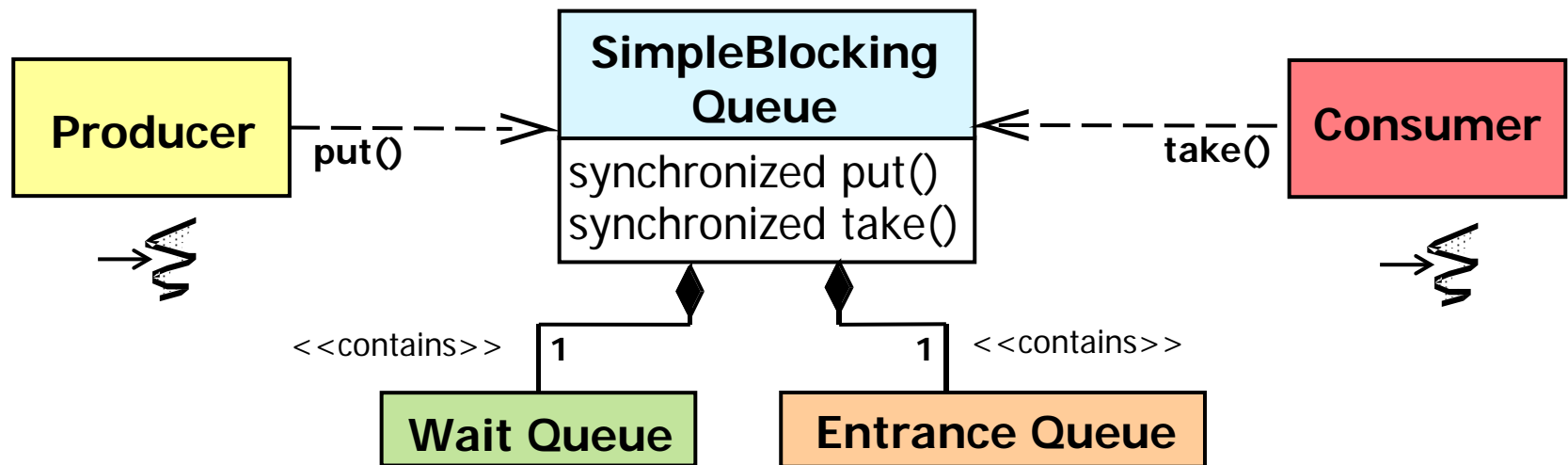
- Recognize how Java built-in monitor objects can ensure mutual exclusion & coordination between threads running in a concurrent program
- Understand how to fix a buggy concurrent Java program



Concurrent calls to `put()` & `take()` corrupt internal state in the SimpleQueue fields

# Learning Objectives in this Part of the Module

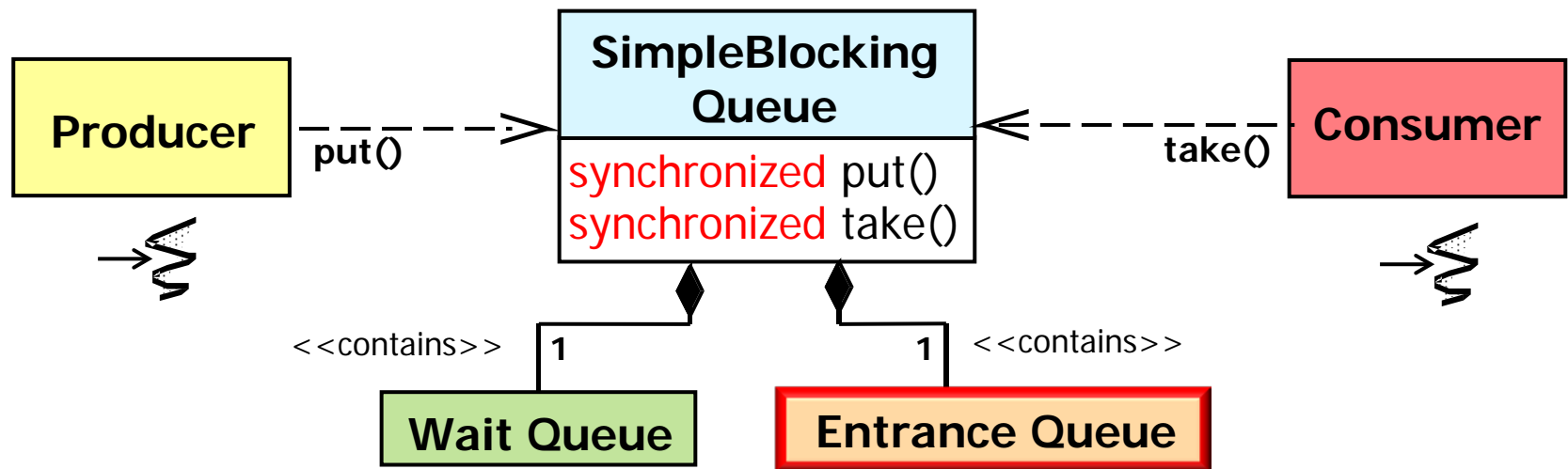
- Recognize how Java built-in monitor objects can ensure mutual exclusion & coordination between threads running in a concurrent program
- Understand how to fix a buggy concurrent Java program using various Java built-in monitor object features to synchronize the queue properly



See [github.com/douglasraigschmidt/LiveLessons/tree/master/BusySynchronizedQueue](https://github.com/douglasraigschmidt/LiveLessons/tree/master/BusySynchronizedQueue)

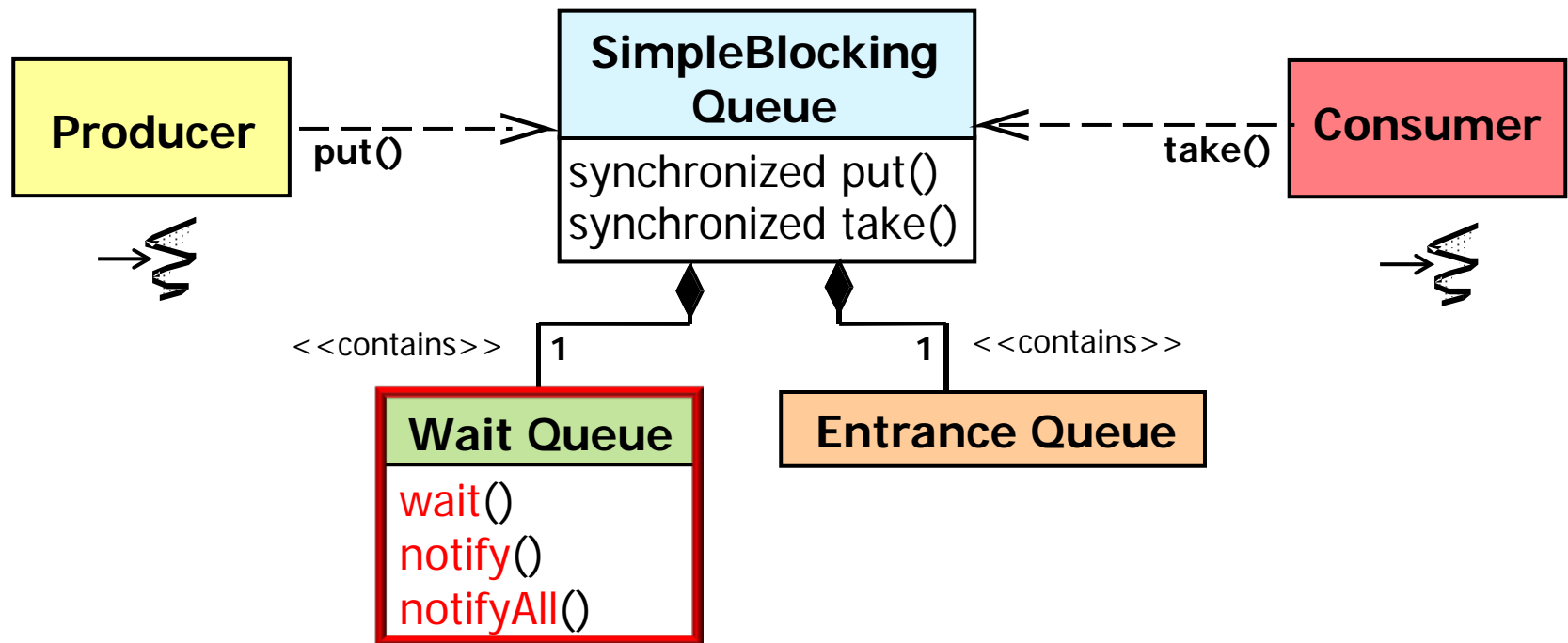
# Learning Objectives in this Part of the Module

- Recognize how Java built-in monitor objects can ensure mutual exclusion & coordination between threads running in a concurrent program
- Understand how to fix a buggy concurrent Java program using various Java built-in monitor object features to synchronize the queue properly, e.g.
  - Synchronized methods/statements



# Learning Objectives in this Part of the Module

- Recognize how Java built-in monitor objects can ensure mutual exclusion & coordination between threads running in a concurrent program
- Understand how to fix a buggy concurrent Java program using various Java built-in monitor object features to synchronize the queue properly, e.g.
  - Synchronized methods/statements
  - Waiting & notification mechanisms

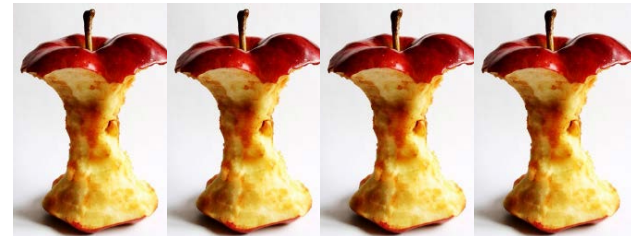
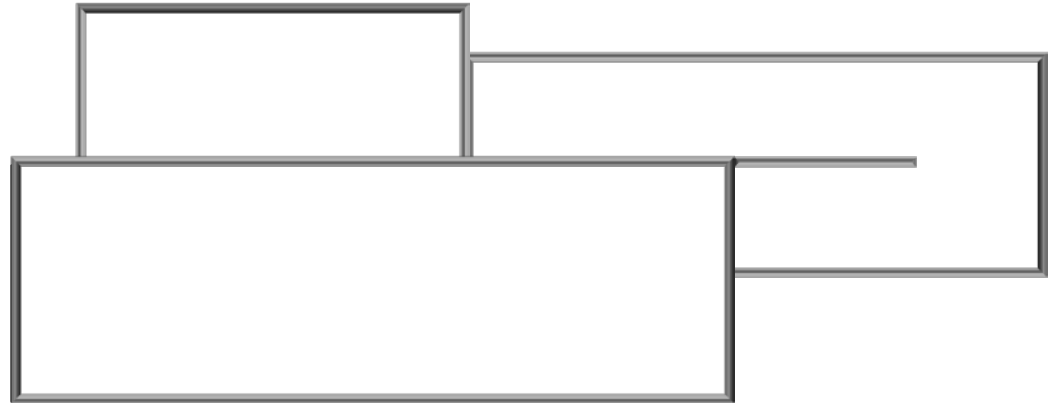


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# Overview of Monitors

# Overview of Monitors

- A monitor is a concurrency control construct used for synchronization

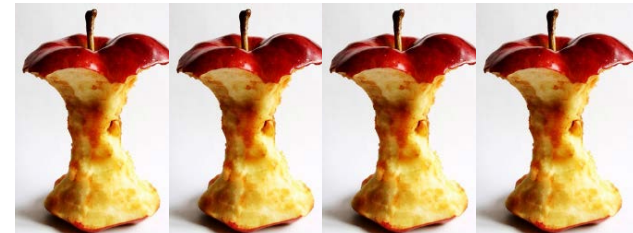
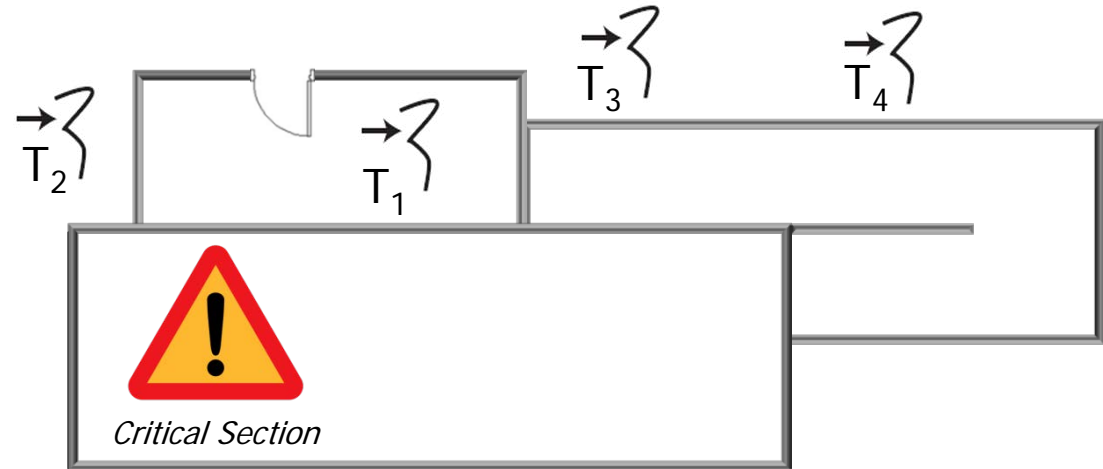


See [en.wikipedia.org/wiki/Monitor\\_\(synchronization\)](https://en.wikipedia.org/wiki/Monitor_(synchronization))



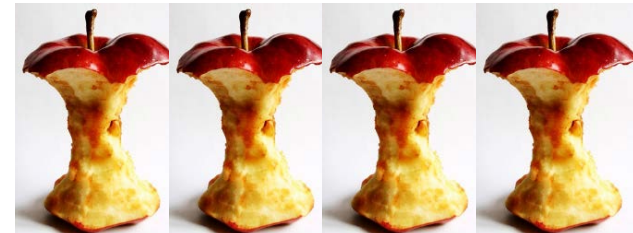
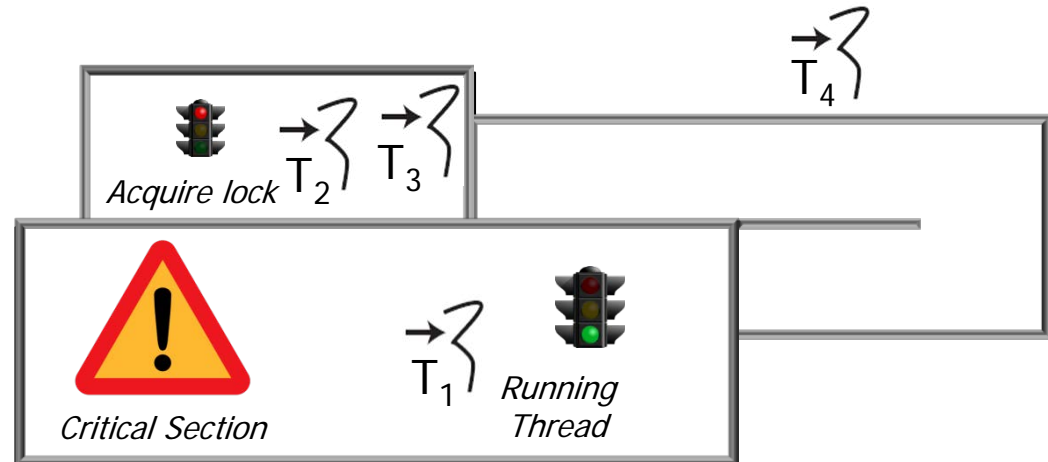
# Overview of Monitors

- A monitor is a concurrency control construct used for synchronization
- A monitor provides three capabilities to concurrent programs



# Overview of Monitors

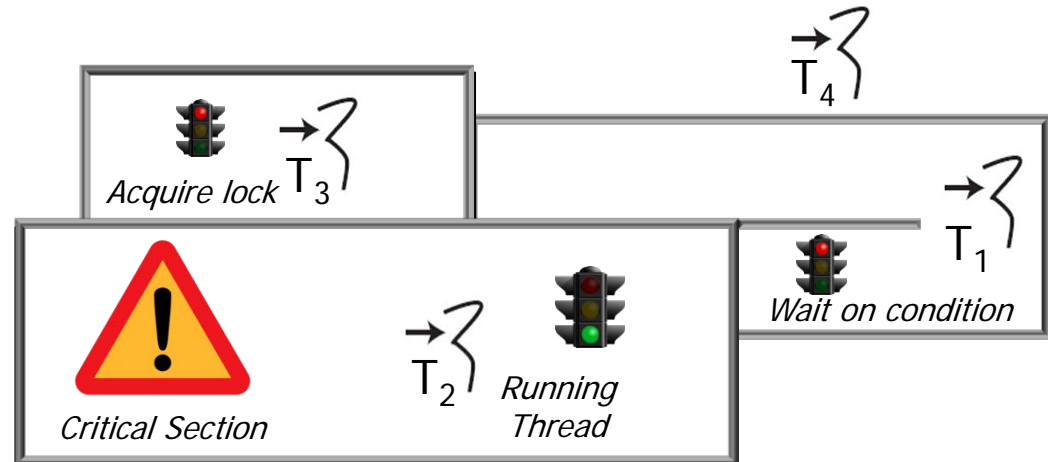
- A monitor is a concurrency control construct used for synchronization
- A monitor provides three capabilities to concurrent programs
  1. One thread at a time to have mutually exclusive access to a critical section



See [en.wikipedia.org/wiki/Critical\\_section](https://en.wikipedia.org/wiki/Critical_section)

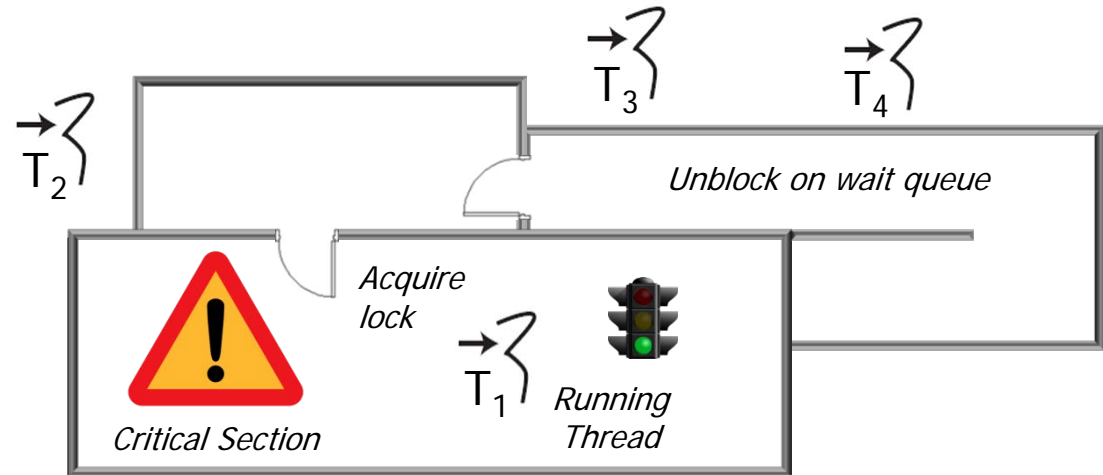
# Overview of Monitors

- A monitor is a concurrency control construct used for synchronization
- A monitor provides three capabilities to concurrent programs
  1. One thread at a time to have mutually exclusive access to a critical section
  2. Threads running in monitor block awaiting certain conditions to become true



# Overview of Monitors

- A monitor is a concurrency control construct used for synchronization
- A monitor provides three capabilities to concurrent programs
  1. One thread at a time to have mutually exclusive access to a critical section
  2. Threads running in monitor block awaiting certain conditions to become true
  3. Thread notifying others threads that conditions they're waiting on in monitor have been met

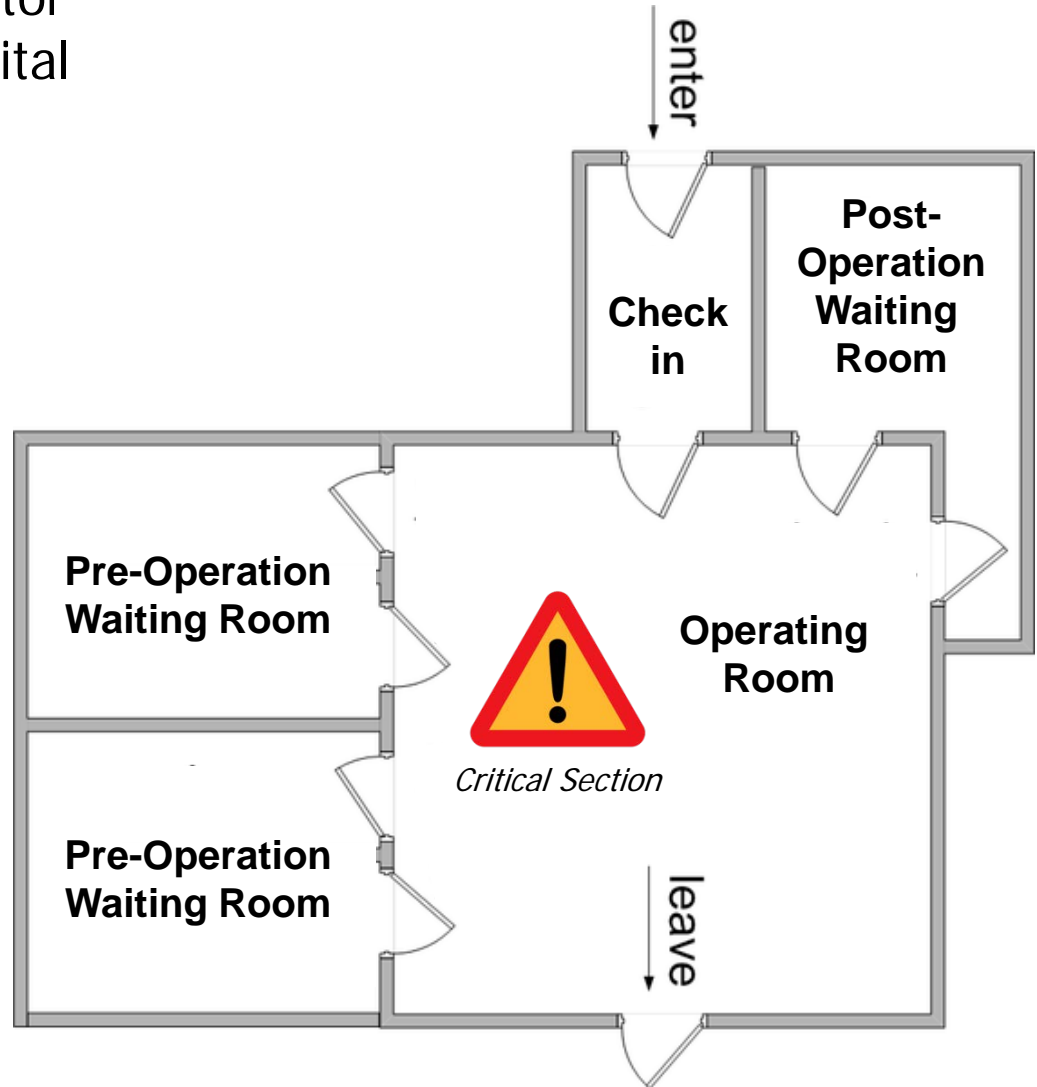


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# Human Known Use of Monitors

# Human Know Use of Monitors

- A human known use of a monitor is an operating room in a hospital



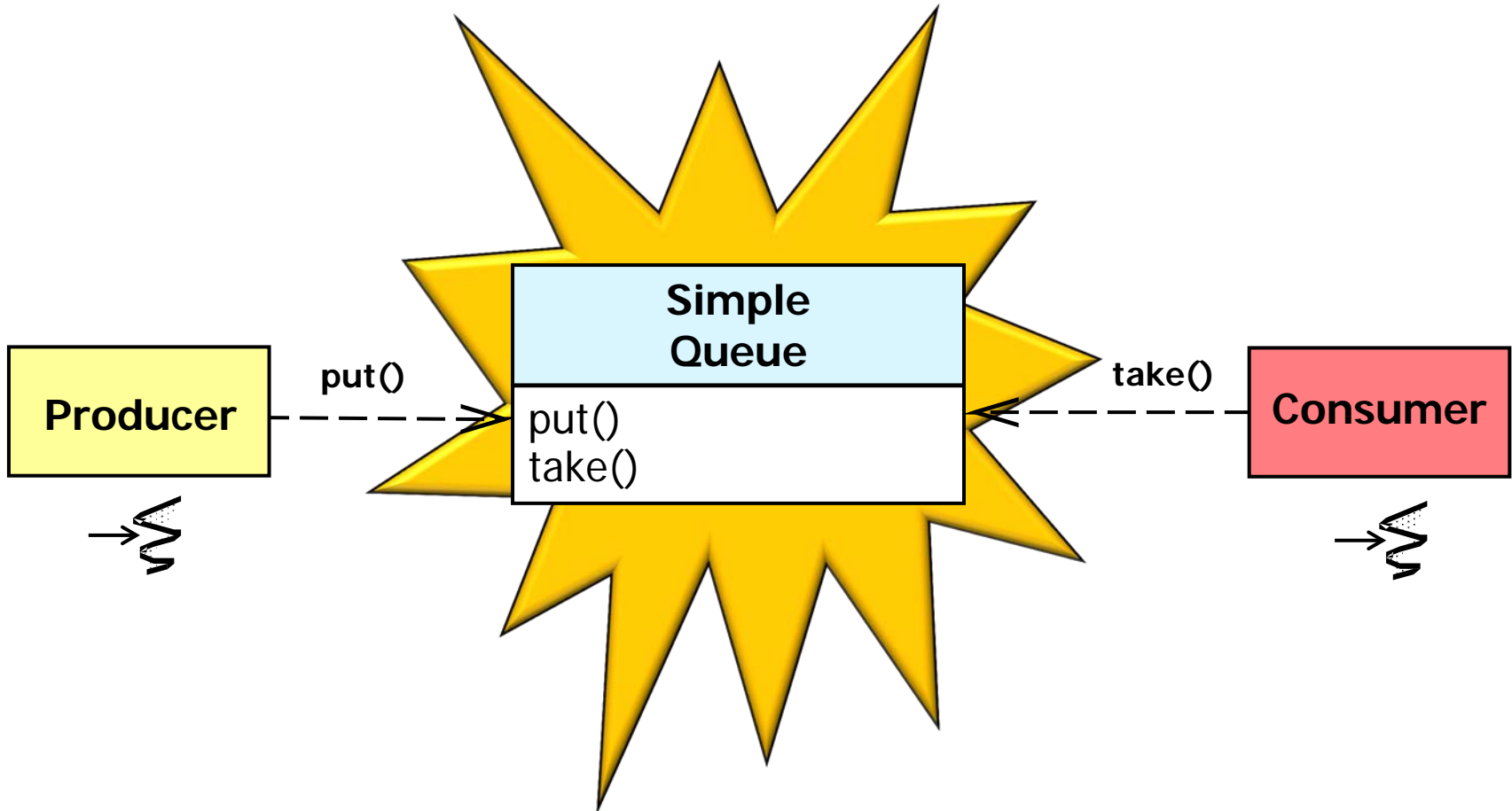
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# Motivating Example: A Buggy Producer/ Consumer Program (Part 1)



# Buggy Producer/Consumer Program Overview

- A simple concurrent producer/consumer program that attempts to pass messages via an object modeled on the Java ArrayBlockingQueue class

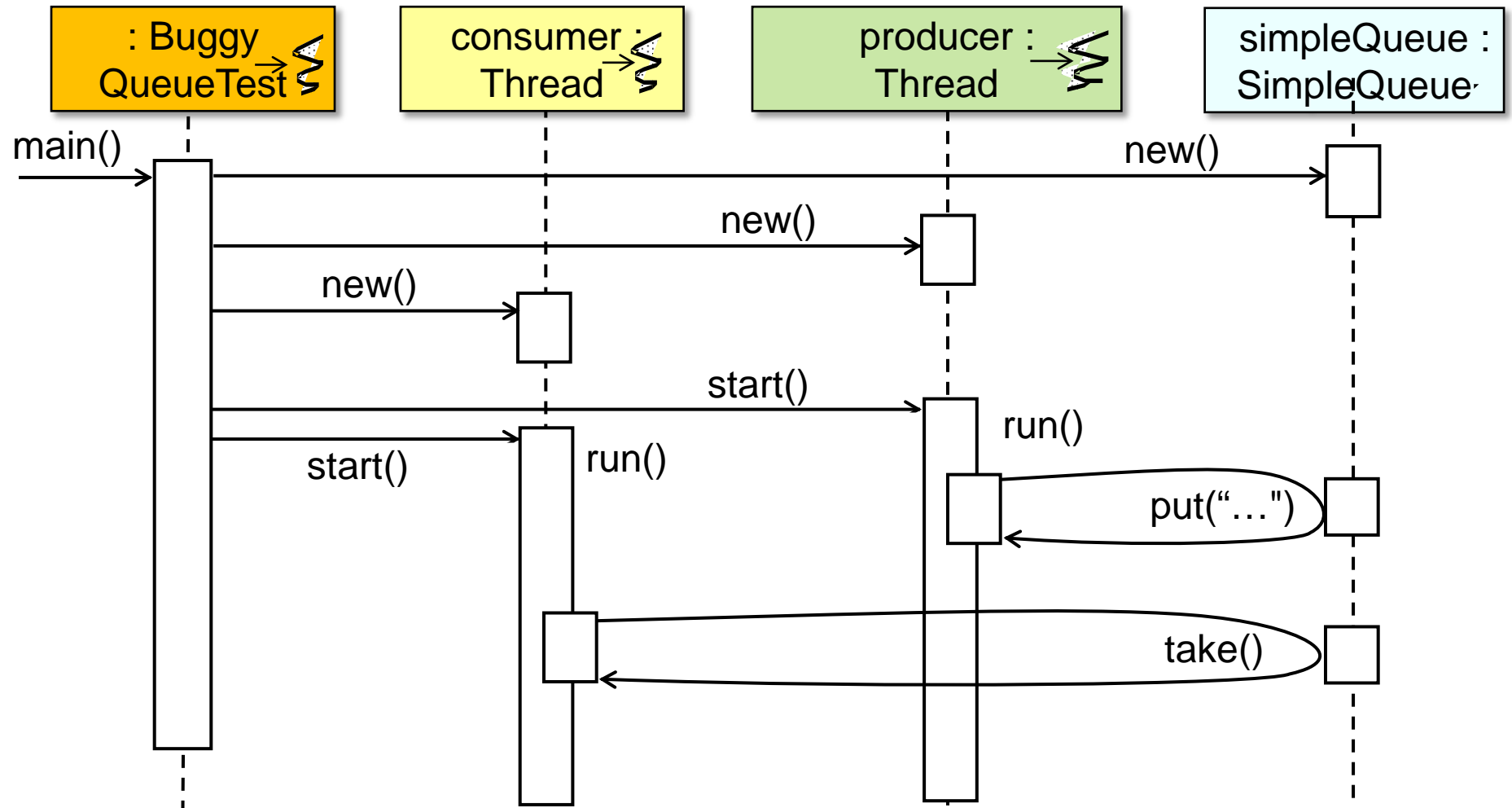


See [docs.oracle.com/javase/7/docs/api/java/util/concurrent/ArrayBlockingQueue.html](https://docs.oracle.com/javase/7/docs/api/java/util/concurrent/ArrayBlockingQueue.html)



# Buggy Producer/Consumer Program Overview

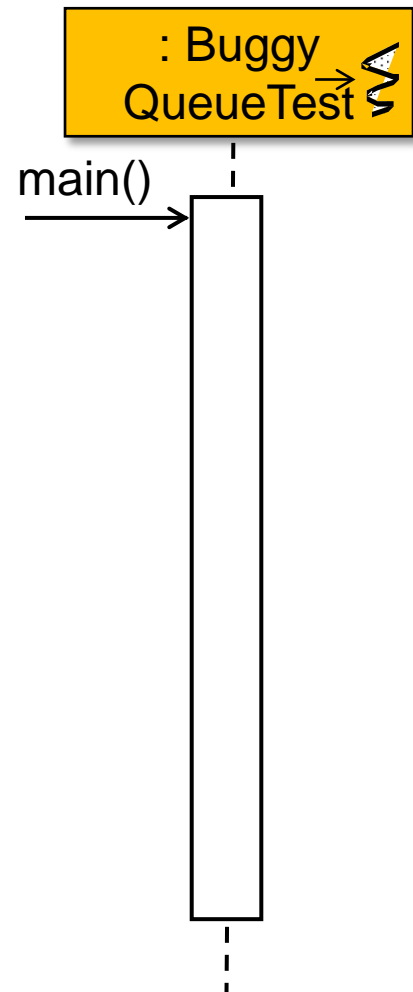
- UML sequence diagram showing the design of the buggy producer/consumer



See [github.com/douglasraigschmidt/LiveLessons/tree/master/BuggyQueue](https://github.com/douglasraigschmidt/LiveLessons/tree/master/BuggyQueue)

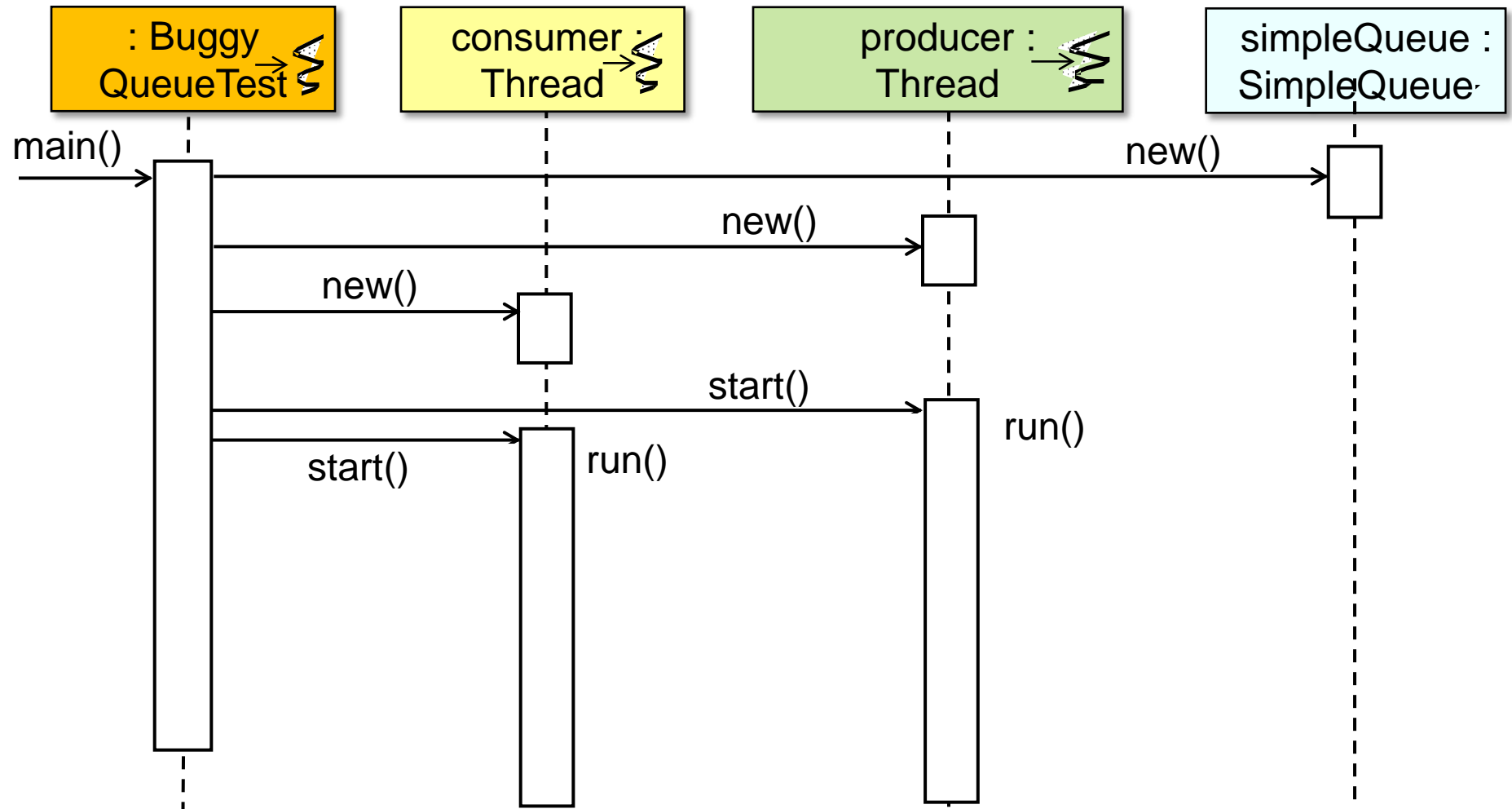
# Buggy Producer/Consumer Program Overview

- UML sequence diagram showing the design of the buggy producer/consumer



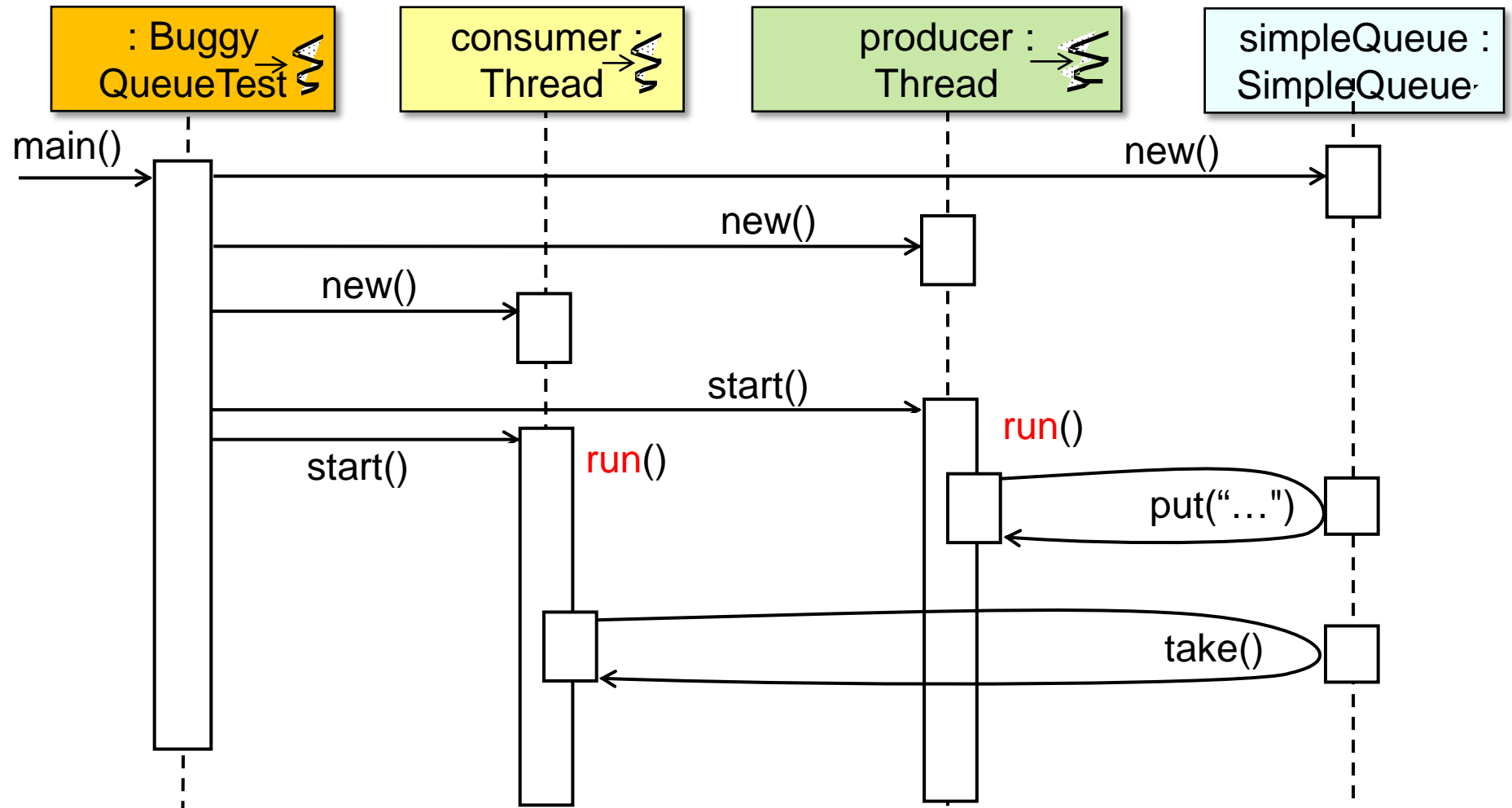
# Buggy Producer/Consumer Program Overview

- UML sequence diagram showing the design of the buggy producer/consumer



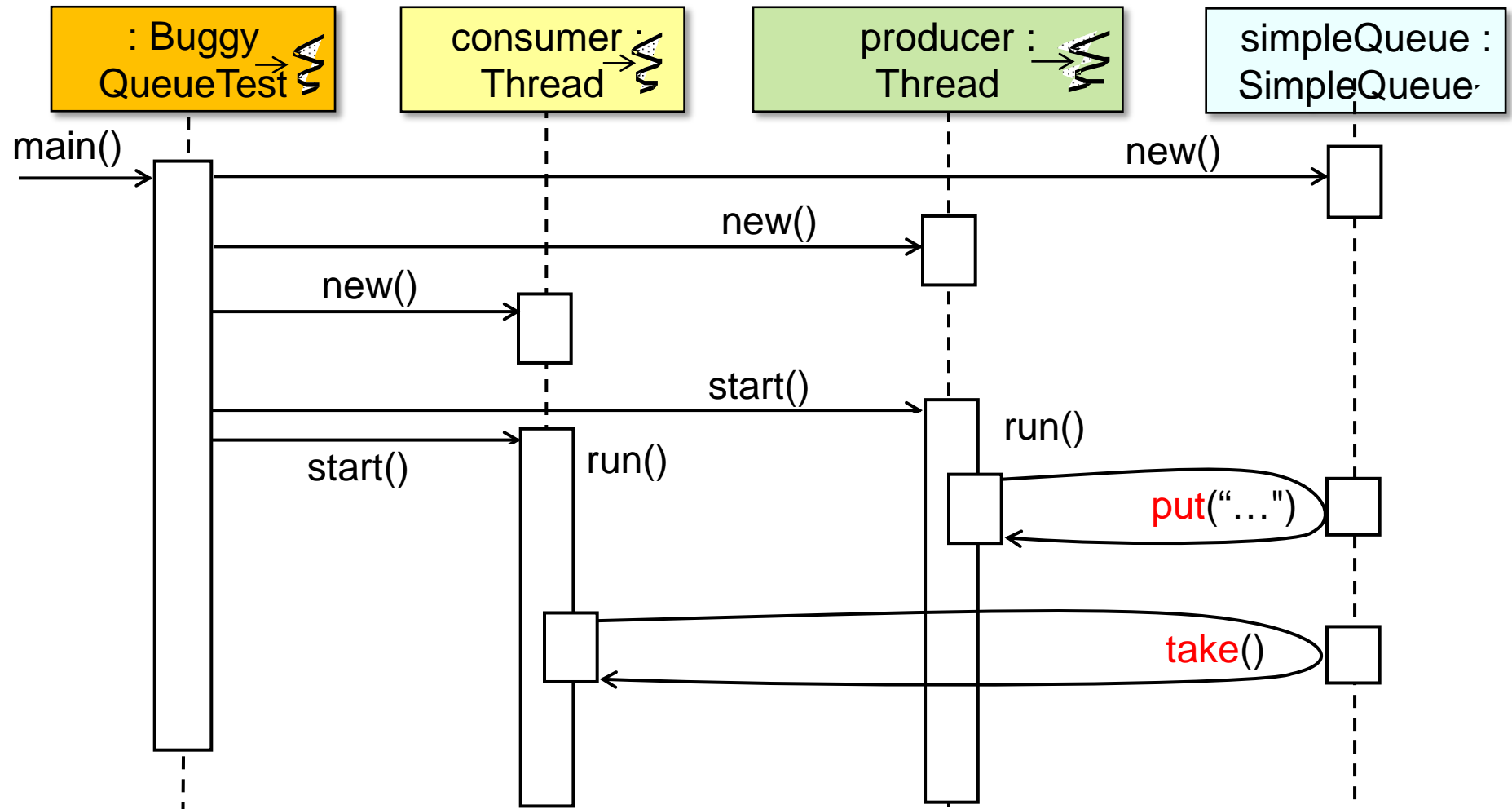
# Buggy Producer/Consumer Program Overview

- UML sequence diagram showing the design of the buggy producer/consumer



# Buggy Producer/Consumer Program Overview

- UML sequence diagram showing the design of the buggy producer/consumer



---

# Motivating Example: A Buggy Producer/ Consumer Program (Part 2)

# Implementation of the Buggy Producer/Consumer

- Implementation of the SimpleQueue class

```
static class SimpleQueue<E> implements BlockingQueue<E> {  
    private List<E> mList = new ArrayList<E>();  
  
    public void put(E msg){ mList.add(msg); }  
  
    public E take(){ return mList.remove(0); }  
    ...  
}
```

# Implementation of the Buggy Producer/Consumer

- Implementation of the SimpleQueue class

```
static class SimpleQueue<E> implements BlockingQueue<E> {  
    private List<E> mList = new ArrayList<E>();  
  
    public void put(E msg){ mList.add(msg); }  
  
    public E take(){ return mList.remove(0); }  
    ...  
}
```

---


See [docs.oracle.com/javase/7/docs/api/java/util/concurrent/BlockingQueue.html](https://docs.oracle.com/javase/7/docs/api/java/util/concurrent/BlockingQueue.html)



# Implementation of the Buggy Producer/Consumer

- Implementation of the SimpleQueue class

```
static class SimpleQueue<E> implements BlockingQueue<E> {  
    private List<E> mList = new ArrayList<E>();  
  
    public void put(E msg){ mList.add(msg); }  
  
    public E take(){ return mList.remove(0); }  
    ...  
}
```



Resizable-array implementation

---

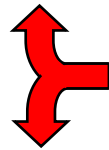
See [docs.oracle.com/javase/7/docs/api/java/util/ArrayList.html](https://docs.oracle.com/javase/7/docs/api/java/util/ArrayList.html)

# Implementation of the Buggy Producer/Consumer

- Implementation of the SimpleQueue class

```
static class SimpleQueue<E> implements BlockingQueue<E> {  
    private List<E> mList = new ArrayList<E>();
```

```
    public void put(E msg){ mList.add(msg); }
```



Non-synchronized public  
methods

```
    public E take(){ return mList.remove(0); }
```

```
    ...
```

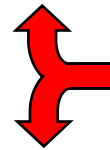
```
}
```

# Implementation of the Buggy Producer/Consumer

- Implementation of the SimpleQueue class

```
static class SimpleQueue<E> implements BlockingQueue<E> {  
    private List<E> mList = new ArrayList<E>();
```

```
    public void put(E msg){ mList.add(msg); }
```



Add & remove elements  
into/from the queue

```
    public E take(){ return mList.remove(0); }
```

```
    ...
```

```
}
```

# Implementation of the Buggy Producer/Consumer

- Implementation of the Main class

```
public static void main(String argv[]) {
    final SimpleQueue<String> sQue = new SimpleQueue<String>();

    Thread producer =
        new Thread(new Runnable(){
            public void run(){
                for(int i = 0; i < mMaxIterations; i++)
                    sQue.put(Integer.toString(i));
            }
        });

    Thread consumer =
        new Thread(new Runnable(){
            public void run(){
                for(int i = 0; i < mMaxIterations; i++)
                    System.out.println(sQue.take());
            }
        });


    producer.start();
    consumer.start(); ...
}
```

---

# Implementation of the Buggy Producer/Consumer

- Implementation of the Main class

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public static void main(String argv[]) {  
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            }  
        });  
  
    producer.start();  
    consumer.start(); ...  
}
```




Create a SimpleQueue

# Implementation of the Buggy Producer/Consumer

- Implementation of the Main class

```
public static void main(String argv[]) {  
    final SimpleQueue<String> sQue = new SimpleQueue<String>();  
  
    Thread producer =  
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            }  
        });  
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                    System.out.println(sQue.take());  
            }  
        });  
  
    producer.start();  
    consumer.start(); ...  
}
```

Create  
producer &  
consumer  
threads



# Implementation of the Buggy Producer/Consumer


- Implementation of the Main class

```
public static void main(String argv[]) {
    final SimpleQueue<String> sQue = new SimpleQueue<String>();

    Thread producer =
        new Thread(new Runnable(){
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                    sQue.put(Integer.toString(i));
            }
        });

    Thread consumer =
        new Thread(new Runnable(){
            public void run(){
                for(int i = 0; i < mMaxIterations; i++)
                    System.out.println(sQue.take());
            }
        });


    producer.start();
    consumer.start(); ...
}
```

Start  
producer &  
consumer  
threads 

# Implementation of the Buggy Producer/Consumer

- Implementation of the Main class

```
public static void main(String argv[]) {  
    final SimpleQueue<String> sQue = new SimpleQueue<String>();  
  
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            public void run(){  
                for(int i = 0; i < mMaxIterations; i++)  
                    System.out.println(sQue.take());  
            }  
        });  
  
    producer.start();  
    consumer.start(); ...  
}
```



Produce & consume  
Strings concurrently



---

# Evaluating the Buggy Producer/Consumer

# Evaluating the Buggy Producer/Consumer

---

- Key question: what's the output & why?

```
public static void main(String argv[]) {
    final SimpleQueue<String> sQue = new SimpleQueue<String>();

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            }
        });

    producer.start();
    consumer.start(); ...
}
```

# Evaluating the Buggy Producer/Consumer

- Key question: what's the output & why?

```
public static void  
    final SimpleQueue
```

```
Thread producer =
    new Thread(new
```

```
Thread consume
new Thread(r
```



## Exception in thread "Thread-1"

```
java.lang.IndexOutOfBoundsException: Index: 0, Size: 0
    at java.util.ArrayList.RangeCheck(ArrayList.java:547)
    at java.util.ArrayList.remove(ArrayList.java:387)
    at Main$sQue.take(Main.java:16)
    at Main$2.run(Main.java:34)
    at java.lang.Thread.run(Thread.java:662)
```

# Evaluating the Buggy Producer/Consumer

- Key question: what's the output & why?

```
static class SimpleQueue<E> implements BlockingQueue<E> {  
    private List<E> mList = new ArrayList<E>();
```

```
    public void put(E msg){ mList.add(msg); }
```

There's no protection against  
critical sections being run by  
multiple threads concurrently



```
    public E take(){ return mList.remove(0); }
```

```
    ...
```

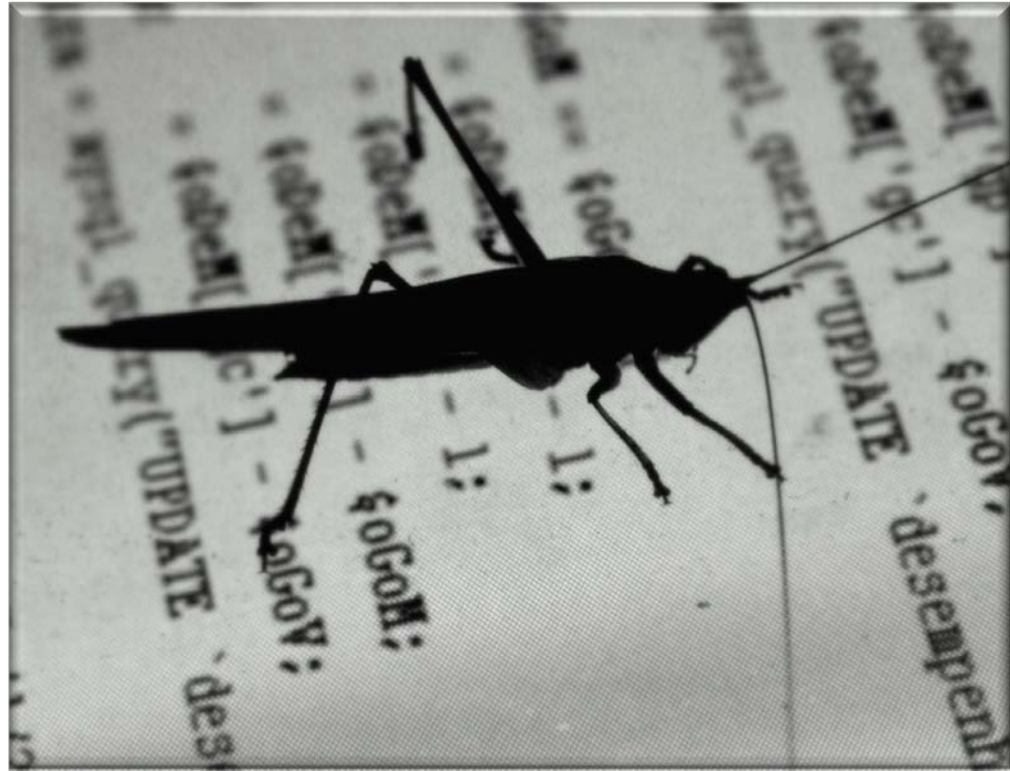
```
}
```

**Note that this implementation is not synchronized.** If multiple threads access an `ArrayList` instance concurrently, and at least one of the threads modifies the list structurally, it *must* be synchronized externally. (A structural modification is any operation that adds or deletes one or more elements, or explicitly resizes the backing array; merely setting the value of an element is not a structural modification.)

See [docs.oracle.com/javase/7/docs/api/java/util/ArrayList.html](https://docs.oracle.com/javase/7/docs/api/java/util/ArrayList.html)

# Evaluating the Buggy Producer/Consumer

- Key question: what's the output & why?
- These race conditions are hard to detect & debug, due to inherent complexities of synchronization

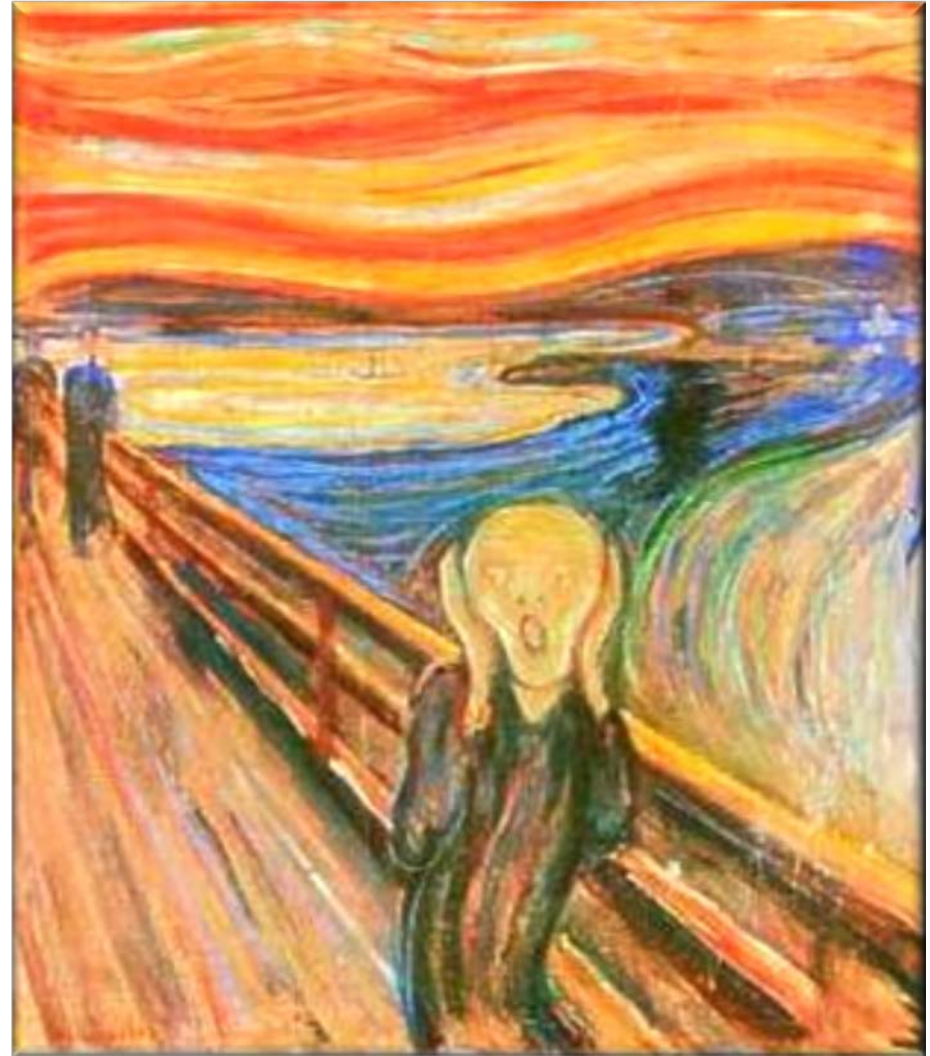




# Evaluating the Buggy Producer/Consumer

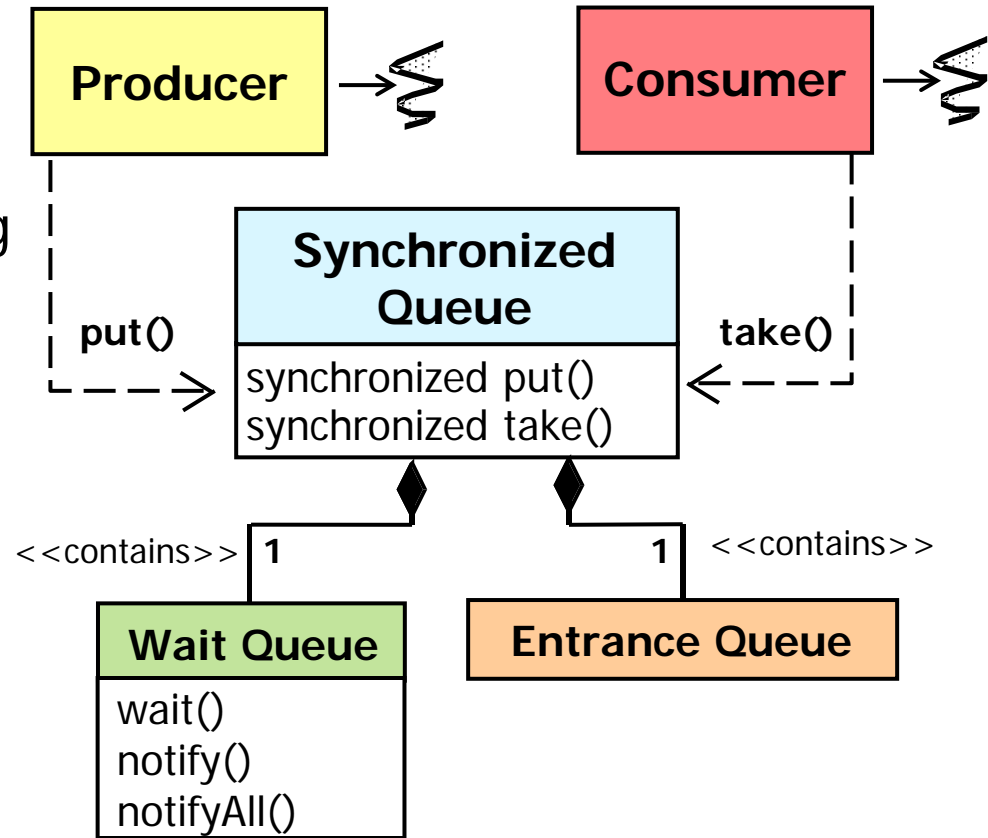
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- Key question: what's the output & why?
- These race conditions are hard to detect & debug, due to inherent complexities of synchronization
- Development & quality assurance of concurrent programs is tedious, error-prone, & non-portable



# Evaluating the Buggy Producer/Consumer

- Key question: what's the output & why?
- These race conditions are hard to detect & debug, due to inherent complexities of synchronization
- We'll fix these problems by applying various Java built-in monitor object mechanisms



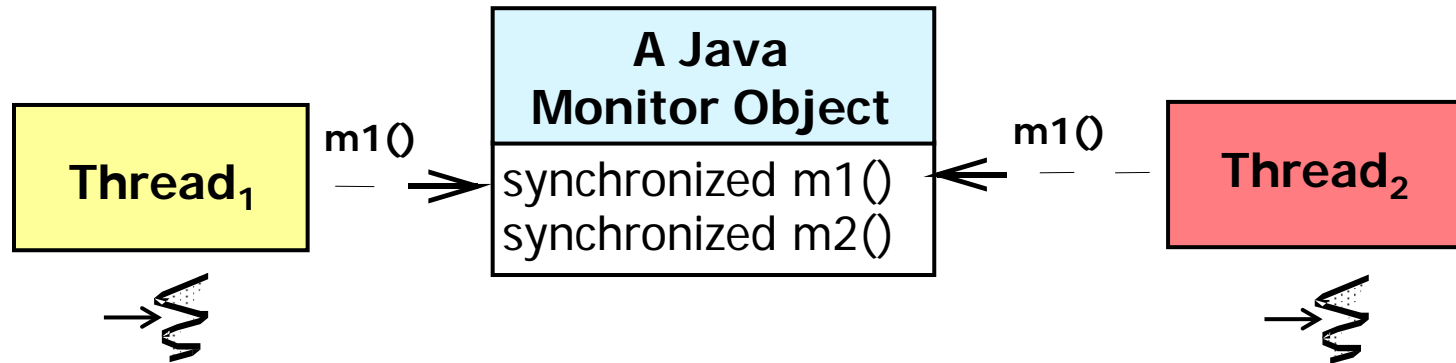
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# Overview of Built-in Java Monitor Objects



# Overview of Java Built-in Monitor Objects

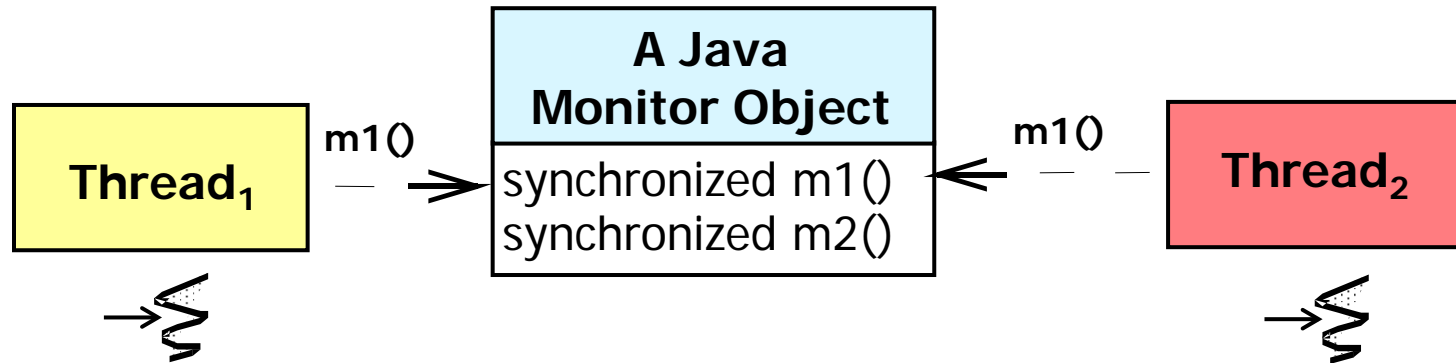
- All objects in Java can be used as built-in monitor objects, which support two types of thread synchronization



See [www.artima.com/insidejvm/ed2/threadsynch.html](http://www.artima.com/insidejvm/ed2/threadsynch.html)

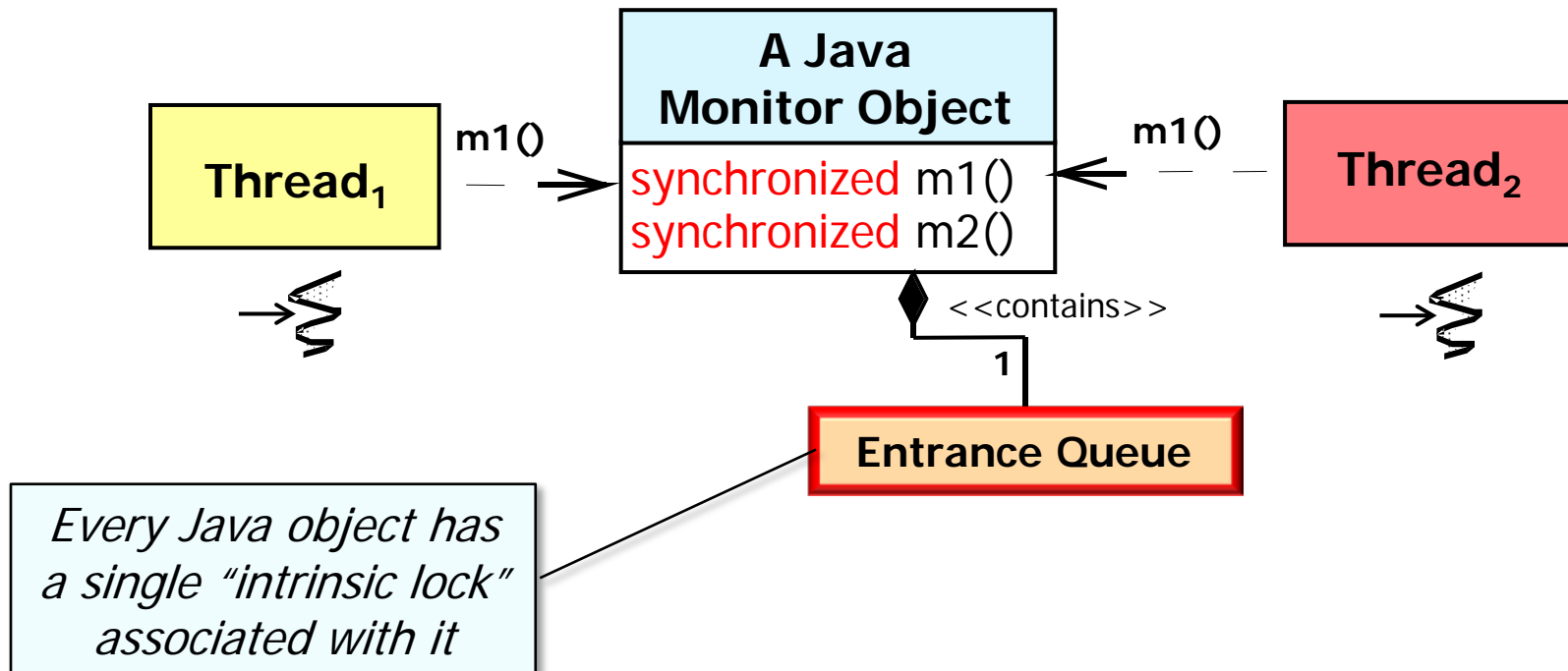
# Overview of Java Built-in Monitor Objects

- All objects in Java can be used as built-in monitor objects, which support two types of thread synchronization
  - **Mutual exclusion** – allows concurrent access & updates to shared data without race conditions



# Overview of Java Built-in Monitor Objects

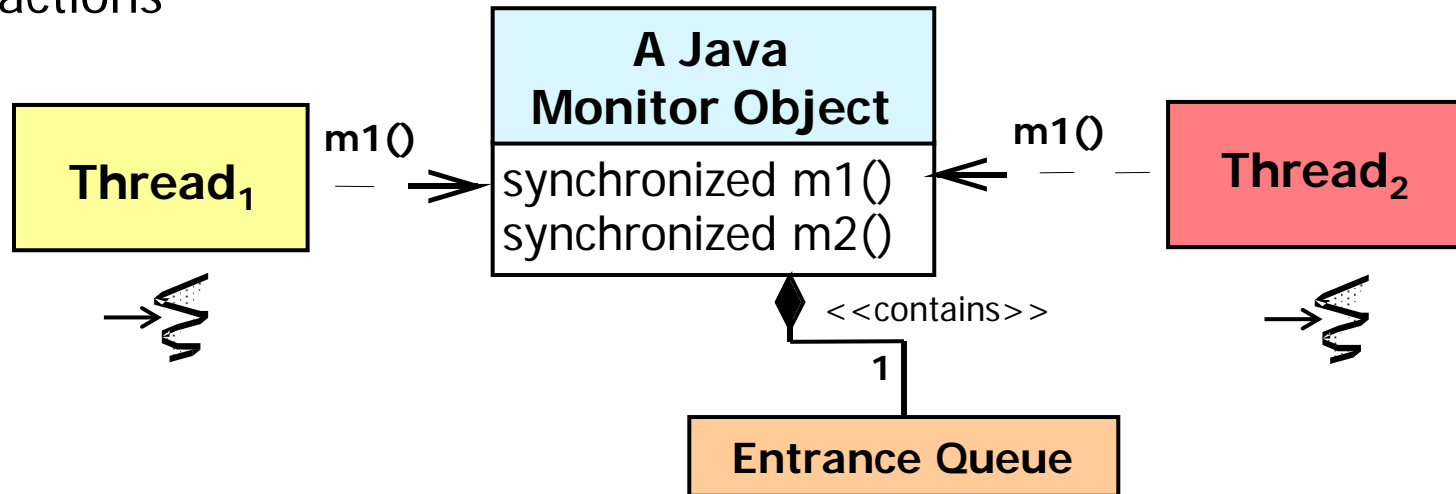
- All objects in Java can be used as built-in monitor objects, which support two types of thread synchronization
- **Mutual exclusion** – allows concurrent access & updates to shared data without race conditions



The JVM supports mutual exclusion via an entrance queue & synchronized methods

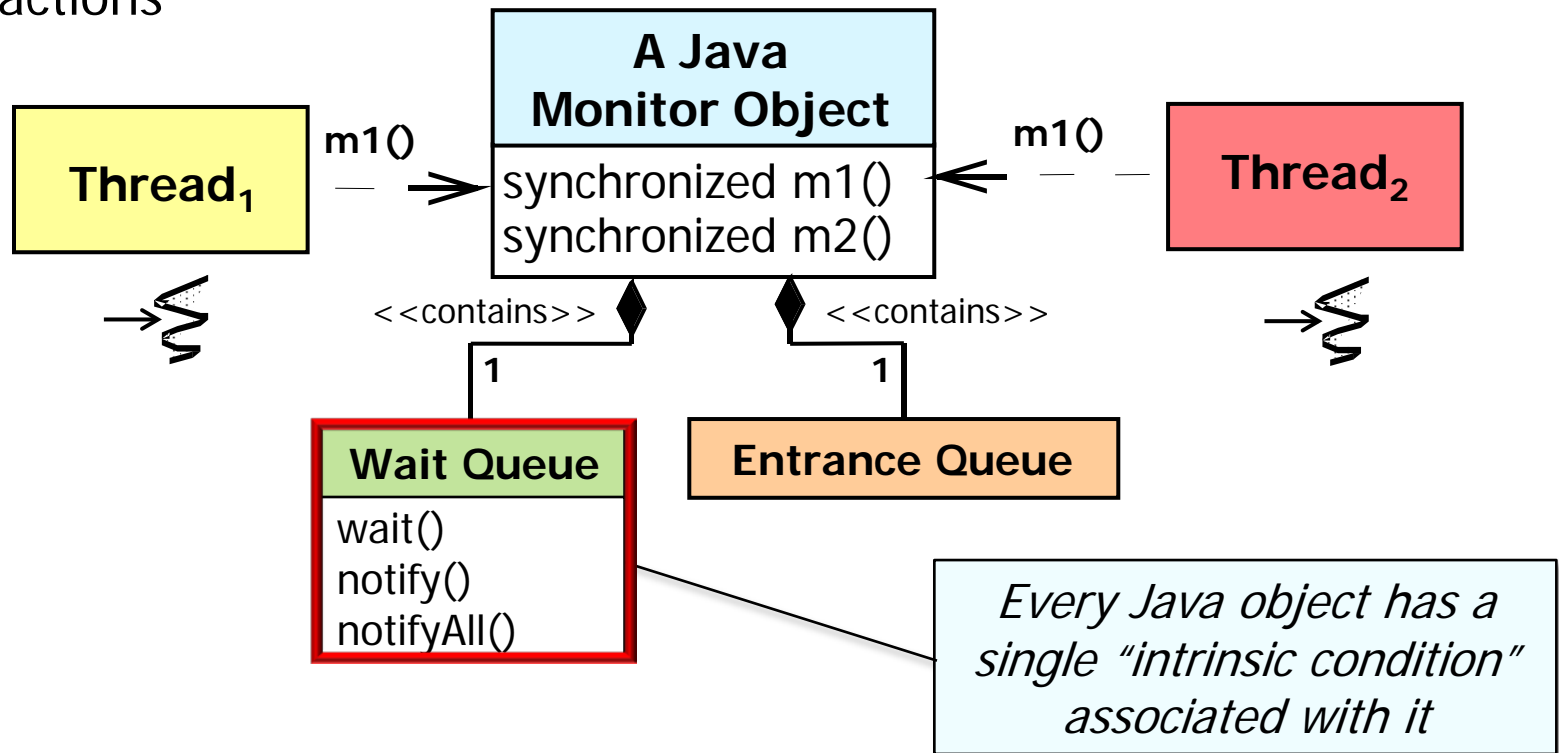
# Overview of Java Built-in Monitor Objects

- All objects in Java can be used as built-in monitor objects, which support two types of thread synchronization
  - **Mutual exclusion** – allows concurrent access & updates to shared data without race conditions
  - **Coordination** – enables threads to cooperatively schedule their interactions



# Overview of Java Built-in Monitor Objects

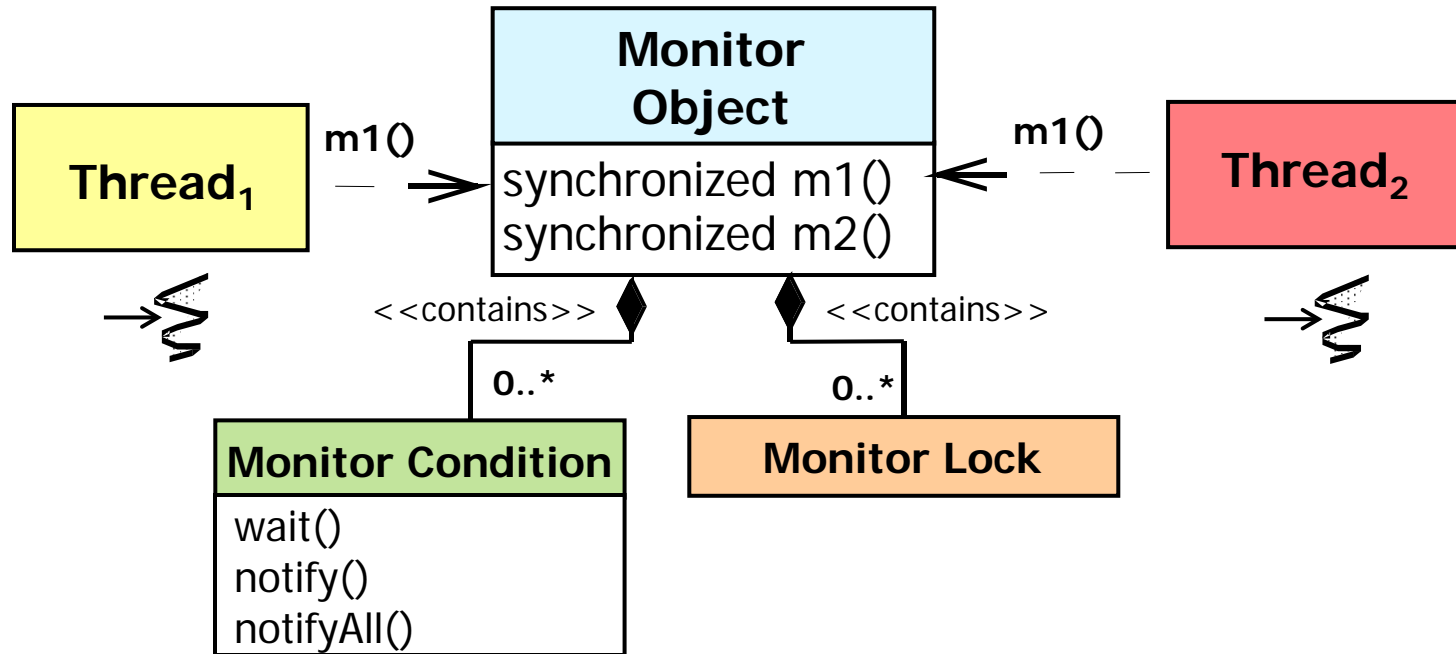
- All objects in Java can be used as built-in monitor objects, which support two types of thread synchronization
  - **Mutual exclusion** – allows concurrent access & updates to shared data without race conditions
  - **Coordination** – enables threads to cooperatively schedule their interactions



The JVM supports coordination via a wait queue & notification mechanisms

# Overview of Java Built-in Monitor Objects

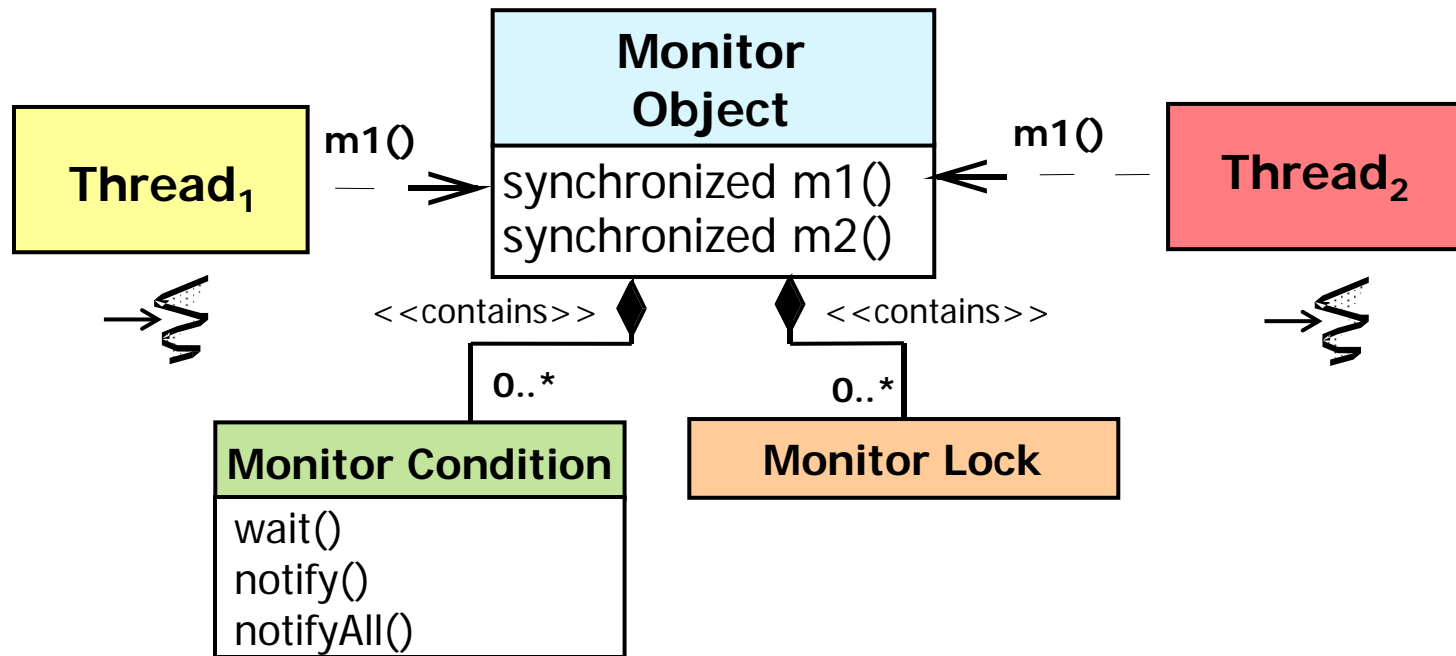
- All objects in Java can be used as built-in monitor objects, which support two types of thread synchronization
- These mechanisms implement a variant of the *Monitor Object* pattern



See [www.dre.vanderbilt.edu/~schmidt/PDF/monitor.pdf](http://www.dre.vanderbilt.edu/~schmidt/PDF/monitor.pdf)

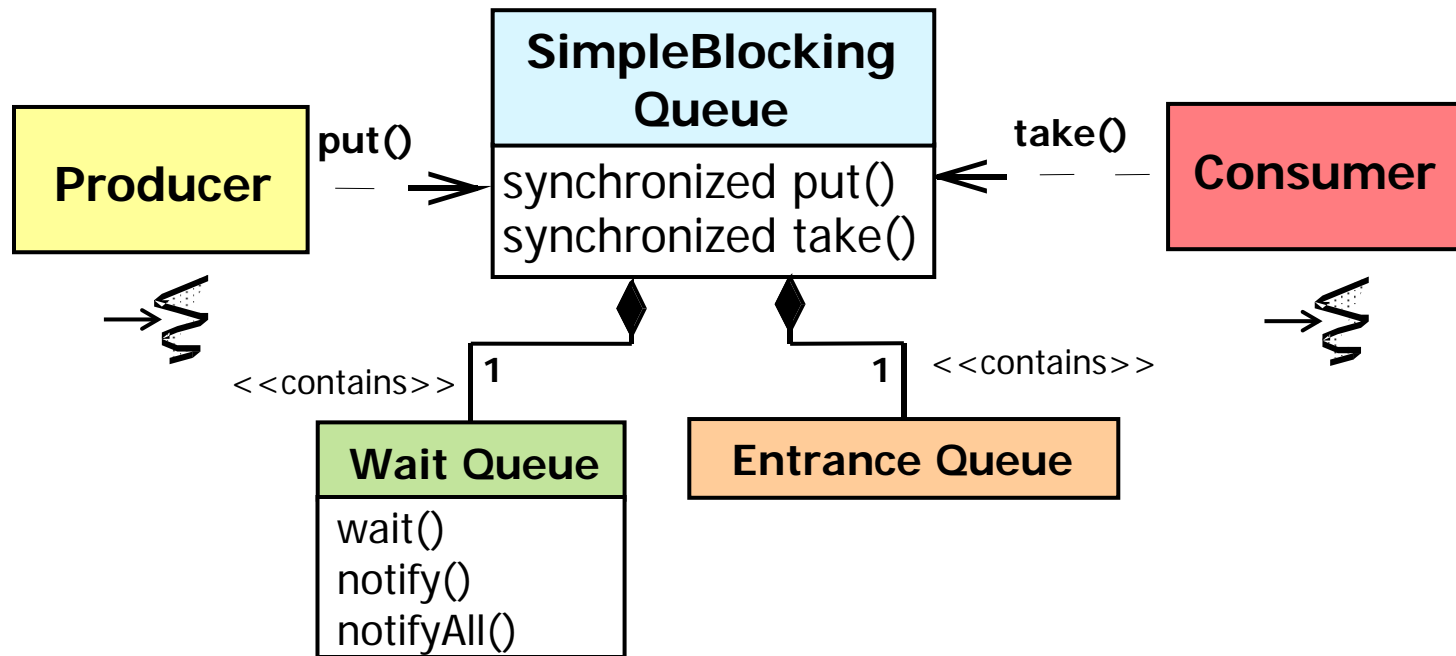
# Overview of Java Built-in Monitor Objects

- All objects in Java can be used as built-in monitor objects, which support two types of thread synchronization
- These mechanisms implement a variant of the *Monitor Object* pattern
  - Intent – Ensures that only one method runs within an object & allows an object's methods to cooperatively schedule their execution sequences



# Overview of Java Built-in Monitor Objects

- All objects in Java can be used as built-in monitor objects, which support two types of thread synchronization
- These mechanisms implement a variant of the *Monitor Object* pattern
- Java built-in monitor objects can implement a better solution to the earlier buggy SimpleQueue & upcoming inefficient BusySynchronizedQueue solutions





---

# Java Built-in Synchronized Methods

# Java Built-in Synchronized Methods

---

- The BusySynchronizedQueue class showcases Java built-in monitor object mechanisms

```
class BusySynchronizedQueue<E>
    implements BlockingQueue<E> {
    private final List<E> mList;
    private final int mCapacity;

    BusySynchronizedQueue(int capacity) {
        mList = new ArrayList<E>();
        mCapacity = capacity;
    }
    ...
}
```

---

See [github.com/douglasraigschmidt/LiveLessons/  
tree/master/BusySynchronizedQueue](https://github.com/douglasraigschmidt/LiveLessons/tree/master/BusySynchronizedQueue)

# Java Built-in Synchronized Methods

---

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    BusySynchronizedQueue(int capacity){  
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        mCapacity = capacity;  
    }  
    ...  
}
```

---

See [docs.oracle.com/javase/7/docs/api/java/util/concurrent/BlockingQueue.html](https://docs.oracle.com/javase/7/docs/api/java/util/concurrent/BlockingQueue.html)

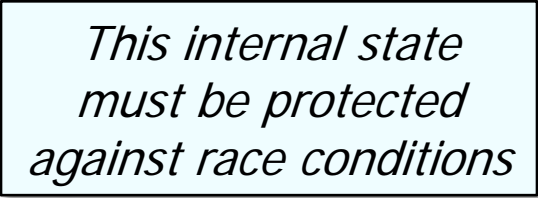
# Java Built-in Synchronized Methods

---

- The BusySynchronizedQueue class showcases Java built-in monitor object mechanisms

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    ...
}
```



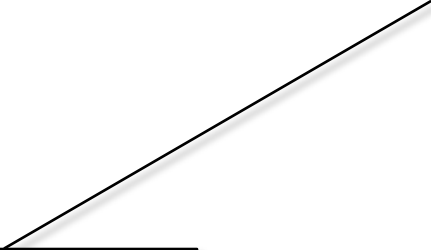
*This internal state  
must be protected  
against race conditions*

# Java Built-in Synchronized Methods

- The BusySynchronizedQueue class showcases Java built-in monitor object mechanisms

```
class BusySynchronizedQueue<E>
    implements BlockingQueue<E> {
    private final List<E> mList;
    private final int mCapacity;

    BusySynchronizedQueue(int capacity){
        mList = new ArrayList<E>();
        mCapacity = capacity;
    }
    ...
}
```



*The constructor  
initializes the  
internal state*

# Java Built-in Synchronized Methods

---

- The `BusySynchronizedQueue` class showcases Java built-in monitor object mechanisms
- Methods in a built-in monitor object must be marked with the `synchronized` keyword

```
class BusySynchronizedQueue<E>  
    implements BlockingQueue<E> {  
    ...  
    public synchronized void put(E msg)  
    { ... }  
  
    public synchronized E take()  
    { ... }  
  
    public synchronized boolean isEmpty()  
    { ... }  
  
    ...  
}
```

---

See [docs.oracle.com/javase/tutorial/essential/concurrency/syncmeth.html](https://docs.oracle.com/javase/tutorial/essential/concurrency/syncmeth.html)

# Java Built-in Synchronized Methods

- The `BusySynchronizedQueue` class showcases Java built-in monitor object mechanisms
- Methods in a built-in monitor object must be marked with the `synchronized` keyword
  - Access to a synchronized method is serialized w/other synchronized methods

```
class BusySynchronizedQueue<E>
    implements BlockingQueue<E> {
    ...
    public synchronized void put(E msg)
    { ... }

    public synchronized E take()
    { ... }

    public synchronized boolean isEmpty()
    { ... }

    ...
}
```



# Java Built-in Synchronized Methods

---

- The `BusySynchronizedQueue` class showcases Java built-in monitor object mechanisms
- Methods in a built-in monitor object must be marked with the `synchronized` keyword
  - Access to a synchronized method is serialized w/other synchronized methods
  - When used in the method signature, access to entire body of the method is serialized

```
class BusySynchronizedQueue<E>
    implements BlockingQueue<E> {
    ...
    public synchronized void put(E msg)
    { ... }

    public synchronized E take()
    { ... }

    public synchronized boolean isEmpty()
    { return mList.size() == 0; }

    ...
}
```



---

# Java Built-in Synchronized Statements

# Java Built-in Synchronized Statements

---

- Synchronized methods can yield excessive serialization overhead

```
public class Exchanger<V> {  
    ...  
    private synchronized void  
        createSlot(int index) {  
        final Slot newSlot = new Slot();  
        final Slot[] a = arena;  
        if (a[index] == null)  
            a[index] = newSlot;  
    }  
}
```

```
private volatile Slot[] arena =  
    new Slot[CAPACITY];
```

# Java Built-in Synchronized Statements

---

- Synchronized methods can yield excessive serialization overhead

```
public class Exchanger<V> {  
    ...  
    private synchronized void  
        createSlot(int index) {  
        final Slot newSlot = new Slot();  
        final Slot[] a = arena;  
        if (a[index] == null)  
            a[index] = newSlot;  
    }  
}
```

```
private volatile Slot[] arena =  
    new Slot[CAPACITY];
```

---

See [docs.oracle.com/javase/7/docs/api/java/util/concurrent/Exchanger.html](https://docs.oracle.com/javase/7/docs/api/java/util/concurrent/Exchanger.html)

# Java Built-in Synchronized Statements

---

- Synchronized methods can yield excessive serialization overhead

```
public class Exchanger<V> {  
    ...  
    private synchronized void  
        createSlot(int index) {  
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        final Slot[] a = arena;  
        if (a[index] == null)  
            a[index] = newSlot;  
    }  
}
```

```
private volatile Slot[] arena =  
    new Slot[CAPACITY];
```

# Java Built-in Synchronized Statements

- Synchronized methods can yield excessive serialization overhead

```
public class Exchanger<V> {  
    ...  
    private synchronized void  
        createSlot(int index) {  
        final Slot newSlot = new Slot();  
        final Slot[] a = arena;  
        if (a[index] == null)  
            a[index] = newSlot;  
    }  
}
```

*Lazily create slot  
if this is the first  
time it's accessed*

```
private volatile Slot[] arena =  
    new Slot[CAPACITY];
```

# Java Built-in Synchronized Statements

---

- Synchronized methods can yield excessive serialization overhead

```
public class Exchanger<V> {  
    ...  
    private synchronized void  
        createSlot(int index) {  
        final Slot newSlot = new Slot();  
        final Slot[] a = arena;  
        if (a[index] == null)  
            a[index] = newSlot;  
    }  
}
```

```
private volatile Slot[] arena =  
    new Slot[CAPACITY];
```

# Java Built-in Synchronized Statements

---

- Synchronized methods can yield excessive serialization overhead
- Statements within a method can therefore be marked with the synchronized keyword

```
public class Exchanger<V> {  
    ...  
    private void createSlot(int index) {  
        final Slot newSlot = new Slot();  
        final Slot[] a = arena;  
        synchronized (this) {  
            if (a[index] == null)  
                a[index] = newSlot;  
        }  
    }  
}
```

```
    private volatile Slot[] arena =  
        new Slot[CAPACITY];
```

---

See [docs.oracle.com/javase/tutorial/essential/concurrency/locksinc.html](https://docs.oracle.com/javase/tutorial/essential/concurrency/locksinc.html)

# Java Built-in Synchronized Statements

---

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- Statements within a method can therefore be marked with the synchronized keyword

```
public class Exchanger<V> {  
    ...  
    private void createSlot(int index) {  
        final Slot newSlot = new Slot();  
        final Slot[] a = arena;  
        synchronized (this) {  
            if (a[index] == null)  
                a[index] = newSlot;  
        }  
    }  
}
```

```
private volatile Slot[] arena =  
    new Slot[CAPACITY];
```

---

Synchronized statements enable more fine-grained serialization than synchronized methods



# Java Built-in Synchronized Statements

- Synchronized methods can yield excessive serialization overhead
- Statements within a method can therefore be marked with the synchronized keyword

```
public class Exchanger<V> {  
    ...  
    private void createSlot(int index) {  
        final Slot newSlot = new Slot();  
        final Slot[] a = arena;  
        synchronized (this) {  
            if (a[index] == null)  
                a[index] = newSlot;  
        }  
    }  
}
```

*Create slot outside of lock to narrow the synchronization region*

```
private volatile Slot[] arena =  
    new Slot[CAPACITY];
```

# Java Built-in Synchronized Statements

- Synchronized methods can yield excessive serialization overhead
- Statements within a method can therefore be marked with the synchronized keyword

```
public class Exchanger<V> {  
    ...  
    private void createSlot(int index) {  
        final Slot newSlot = new Slot();  
        final Slot[] a = arena;  
        synchronized (this) {  
            if (a[index] == null)  
                a[index] = newSlot;  
        }  
    }  
}
```

*Only this statement is serialized  
via the "intrinsic lock"*

```
private volatile Slot[] arena =  
    new Slot[CAPACITY];
```

# Java Built-in Synchronized Statements

---

- Synchronized methods can yield excessive serialization overhead
- Statements within a method can therefore be marked with the synchronized keyword

```
public class Exchanger<V> {  
    ...  
    private void createSlot(int index) {  
        final Slot newSlot = new Slot();  
        final Slot[] a = arena;  
        synchronized (a) {  
            if (a[index] == null)  
                a[index] = newSlot;  
        }  
    }  
}
```

*Or synchronize using  
an explicit object*

```
private volatile Slot[] arena =  
    new Slot[CAPACITY];
```

# Java Built-in Synchronized Statements

---

- Synchronized methods can yield excessive serialization overhead
- Statements within a method can therefore be marked with the synchronized keyword

```
public class Exchanger<V> {  
    ...  
    private void createSlot(int index) {  
        final Slot newSlot = new Slot();  
        final Slot[] a = arena;  
        synchronized (a) {  
            if (a[index] == null)  
                a[index] = newSlot;  
        }  
    }  
}
```

```
    private volatile Slot[] arena =  
        new Slot[CAPACITY];
```

# Java Built-in Synchronized Statements

---

- Synchronized methods can yield excessive serialization overhead
- Statements within a method can therefore be marked with the synchronized keyword

```
public class Exchanger<V> {  
    ...  
    private void createSlot(int index) {  
        final Slot newSlot = new Slot();  
        final Slot[] a = arena;  
        synchronized (a) {  
            if (a[index] == null)  
                a[index] = newSlot;  
        }  
    }  
}
```

```
    private volatile Slot[] arena =  
        new Slot[CAPACITY];
```

# Java Built-in Synchronized Statements

- Synchronized methods can yield excessive serialization overhead
- Statements within a method can therefore be marked with the synchronized keyword

```
public class Exchanger<V> {  
    ...  
    private void createSlot(int index) {  
        final Slot newSlot = new Slot();  
        final Slot[] a = arena;  
        synchronized (a) {  
            if (a[index] == null)  
                a[index] = newSlot;  
        }  
    }  
  
    private Object doExchange(...) {  
        ...  
        final Slot slot = arena[index];  
        if (slot == null)  
            // Lazily initialize slots  
            createSlot(index);  
    }  
}
```

*Double-Checked  
Locking optimization  
is done here*

See [en.wikipedia.org/wiki/  
Double-checked\\_locking](https://en.wikipedia.org/wiki/Double-checked_locking)

# Java Built-in Synchronized Statements

- Synchronized methods can yield excessive serialization overhead
- Statements within a method can therefore be marked with the synchronized keyword

```
public class Exchanger<V> {  
    ...  
    private void createSlot(int index) {  
        final Slot newSlot = new Slot();  
        final Slot[] a = arena;  
        synchronized (a) {  
            if (a[index] == null)  
                a[index] = newSlot;  
        }  
    }  
  
    private Object doExchange(...) {  
        ...  
        final Slot slot = arena[index];  
        if (slot == null)  
            // Lazily initialize slots  
            createSlot(index);  
    }  
}
```

*Double-Checked  
Locking optimization  
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- Synchronized methods can yield excessive serialization overhead
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```
public class Exchanger<V> {  
    ...  
    private void createSlot(int index) {  
        final Slot newSlot = new Slot();  
        final Slot[] a = arena;  
        synchronized (a) {  
            if (a[index] == null)  
                a[index] = newSlot;  
        }  
    }  
  
    private Object doExchange(...) {  
        ...  
        final Slot slot = arena[index];  
        if (slot == null)  
            // Lazily initialize slots  
            createSlot(index);  
    }  
}
```

*Double-Checked  
Locking optimization  
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# Java Built-in Synchronized Statements

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- Statements within a method can therefore be marked with the synchronized keyword

```
public class Exchanger<V> {  
    ...  
    private void createSlot(int index) {  
        final Slot newSlot = new Slot();  
        final Slot[] a = arena;  
        synchronized (a) {  
            if (a[index] == null)  
                a[index] = newSlot;  
        }  
    }  
  
    private Object doExchange(...) {  
        ...  
        final Slot slot = arena[index];  
        if (slot == null)  
            // Lazily initialize slots  
            createSlot(index);  
    }  
}
```

*Double-Checked  
Locking optimization  
is done here*

# Java Built-in Synchronized Statements

- Synchronized methods can yield excessive serialization overhead
- Statements within a method can therefore be marked with the synchronized keyword

## Intrinsic Locks and Synchronization

Synchronization is built around an internal entity known as the *intrinsic lock* or *monitor lock*. (The API specification often refers to this entity simply as a "monitor.") Intrinsic locks play a role in both aspects of synchronization: enforcing exclusive access to an object's state and establishing happens-before relationships that are essential to visibility.

Every object has an intrinsic lock associated with it. By convention, a thread that needs exclusive and consistent access to an object's fields has to *acquire* the object's intrinsic lock before accessing them, and then *release* the intrinsic lock when it's done with them. A thread is said to *own* the intrinsic lock between the time it has acquired the lock and released the lock. As long as a thread owns an intrinsic lock, no other thread can acquire the same lock. The other thread will block when it attempts to acquire the lock.

When a thread releases an intrinsic lock, a happens-before relationship is established between that action and any subsequent acquisition of the same lock.

## Locks In Synchronized Methods

When a thread invokes a synchronized method, it automatically acquires the intrinsic lock for that method's object and releases it when the method returns. The lock release occurs even if the return was caused by an uncaught exception.

You might wonder what happens when a static synchronized method is invoked, since a static method is associated with a class, not an object. In this case, the thread acquires the intrinsic lock for the `Class` object associated with the class. Thus access to class's static fields is controlled by a lock that's distinct from the lock for any instance of the class.

## Synchronized Statements

Another way to create synchronized code is with *synchronized statements*. Unlike synchronized methods, synchronized statements must specify the object that provides the intrinsic lock:

```
public void addName(String name) {  
    synchronized(this) {  
        lastName = name;  
        nameCount++;  
    }  
    nameList.add(name);  
}
```

See [docs.oracle.com/javase/tutorial/essential/concurrency/locksinc.html](https://docs.oracle.com/javase/tutorial/essential/concurrency/locksinc.html)

---

# Partial Solution Using Java Synchronized Methods

# Partial Solution Using Java Synchronized Methods



See [en.wikipedia.org/wiki/  
Crazy\\_Horse\\_Memorial](https://en.wikipedia.org/wiki/Crazy_Horse_Memorial)

# Partial Solution Using Java Synchronized Methods

- Java synchronized methods protects critical sections from concurrent access

```
class BusySynchronizedQueue<E> {  
    private final List<E> mList;  
  
    BusySynchronizedQueue(int capacity) {  
        mCapacity = capacity;  
        mList = new ArrayList<E>();  
    }  
  
    public void synchronized put(E msg) {  
        if (mList.size() < capacity)  
            mList.add(msg);  
    }  
  
    public E synchronized take() {  
        return mList.isEmpty() ? null : mList.remove(0);  
    }  
    ...  
}
```

---

See [github.com/douglasraigschmidt/LiveLessons/  
tree/master/BusySynchronizedQueue](https://github.com/douglasraigschmidt/LiveLessons/tree/master/BusySynchronizedQueue)

# Partial Solution Using Java Synchronized Methods

- Java synchronized methods protects critical sections from concurrent access

```
class BusySynchronizedQueue<E> {  
    private final List<E> mList;  
  
    BusySynchronizedQueue(int capacity) {  
        mCapacity = capacity;  
        mList = new ArrayList<E>();  
    }  
  
    public void synchronized put(E msg) {  
        if (mList.size() < capacity)  
            mList.add(msg);  
    }  
  
    public E synchronized take() {  
        return mList.isEmpty() ? null : mList.remove(0);  
    }  
    ...  
}
```

*Only one synchronized  
method can be active  
in any given object*

# Partial Solution Using Java Synchronized Methods

- Java synchronized methods protects critical sections from concurrent access
  - Adding the synchronized keyword has two effects

```
class BusySynchronizedQueue<E> {  
    private final List<E> mList;  
  
    BusySynchronizedQueue(int capacity) {  
        mCapacity = capacity;  
        mList = new ArrayList<E>();  
    }  
  
    public void synchronized put(E msg) {  
        if (mList.size() < capacity)  
            mList.add(msg);  
    }  
  
    public E synchronized take() {  
        return mList.isEmpty() ? null : mList.remove(0);  
    }  
    ...  
}
```

---

See [docs.oracle.com/javase/tutorial/essential/concurrency/syncmeth.html](https://docs.oracle.com/javase/tutorial/essential/concurrency/syncmeth.html)



# Partial Solution Using Java Synchronized Methods

- Java synchronized methods protects critical sections from concurrent access
  - Adding the synchronized keyword has two effects

```
class BusySynchronizedQueue<E> {  
    private final List<E> mList;  
  
    BusySynchronizedQueue(int capacity) {  
        mCapacity = capacity;  
        mList = new ArrayList<E>();  
    }  
  
    public void synchronized put(E msg) {  
        if (mList.size() < capacity)  
            mList.add(msg);  
    }  
  
    public E synchronized take() {  
        return mList.isEmpty() ? null : mList.remove(0);  
    }  
    ...  
}
```

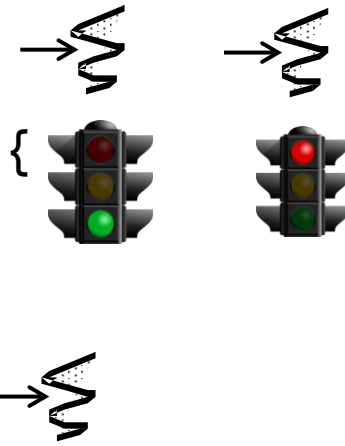
*Invocations of put() & take() on the same object can't interleave*



# Partial Solution Using Java Synchronized Methods

- Java synchronized methods protects critical sections from concurrent access
  - Adding the synchronized keyword has two effects

```
class BusySynchronizedQueue<E> {  
    private final List<E> mList;  
  
    BusySynchronizedQueue(int capacity) {  
        mCapacity = capacity;  
        mList = new ArrayList<E>();  
    }  
  
    public void synchronized put(E msg) {  
        if (mList.size() < capacity)  
            mList.add(msg);  
    }  
  
    public E synchronized take() {  
        return mList.isEmpty() ? null : mList.remove(0);  
    }  
    ...  
}
```



Each synchronized  
method is atomic

# Partial Solution Using Java Synchronized Methods

- Java synchronized methods protects critical sections from concurrent access
  - Adding the synchronized keyword has two effects

```
class BusySynchronizedQueue<E> {  
    private final List<E> mList;  
  
    BusySynchronizedQueue(int capacity) {  
        mCapacity = capacity;  
        mList = new ArrayList<E>();  
    }  
  
    public void synchronized put(E msg) {  
        if (mList.size() < capacity)  
            mList.add(msg);  
    }  
  
    public E synchronized take() {  
        return mList.isEmpty() ? null : mList.remove(0);  
    }  
    ...  
}
```

*Establishes a "happens-before" relation to ensure visibility of state changes to all threads*

See [en.wikipedia.org/wiki/Happened-before](https://en.wikipedia.org/wiki/Happened-before)

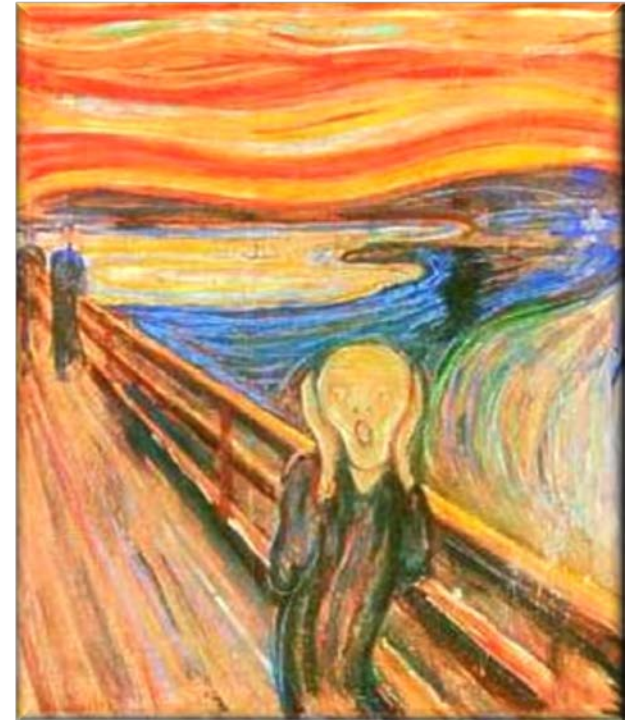
---

# Limitations of Java Synchronized Methods

# Limitations with Java Synchronized Methods

- Although Java synchronized methods protect critical sections from concurrent access there are limitations with using them alone

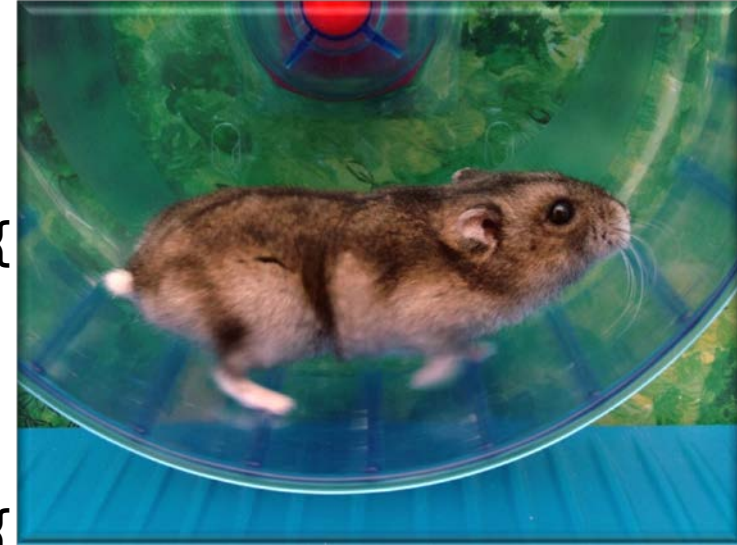
```
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    }  
  
    public E synchronized take() {  
        return mList.isEmpty() ? null : mList.remove(0);  
    }  
    ...  
}
```



# Limitations with Java Synchronized Methods

- Although Java synchronized methods protect critical sections from concurrent access there are limitations with using them alone

```
class BusySynchronizedQueue<E> {  
    private final List<E> mList;  
  
    BusySynchronizedQueue(int capacity) {  
        mCapacity = capacity;  
        mList = new ArrayList<E>();  
    }  
  
    public void synchronized put(E msg) {  
        if (mList.size() < capacity)  
            mList.add(msg);  
    }  
  
    public E synchronized take() {  
        return mList.isEmpty() ? null : mList.remove(0);  
    }  
    ...  
}
```



*Concurrent calls to these methods will "busy wait"..*

See [en.wikipedia.org/wiki/Busy\\_waiting](https://en.wikipedia.org/wiki/Busy_waiting)

# Limitations with Java Synchronized Methods

- Although Java synchronized methods protect critical sections from concurrent access there are limitations with using them alone

```
class BusySynchronizedQueue<E> {  
    private final List<E> mList;  
  
    BusySynchronizedQueue(int capacity) {  
        mCapacity = capacity;  
        mList = new ArrayList<E>();  
    }  
  
    public void synchronized put(E msg) {  
        if (mList.size() < capacity)  
            mList.add(msg);  
    }  
  
    public E synchronized take() {  
        return mList.isEmpty() ? null : mList.remove(0);  
    }  
    ...  
}
```

*Need to coordinate  
put() & take() so they  
won't busy wait when  
there's nothing to do*

Java built-in monitor object "wait" & "notify"  
mechanisms provide a convenient solution