# Core techniques of QA Systems over KBs a Survey Guillermo Echegoyen Blanco

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#### Intro

- A Question Answering System should be able to: Understand a Natural Language Question so as to be able to answer based on some pre-known data.
- Typically involves accepting a question and generating a SparQL query capable of extracting the information which answers the user question.
- QALD benchmark
- WebQuestions benchmark
- SimpleQuestions benchmark

#### **Tasks**

- Question Analysis
- Phrase Mapping
- Disambiguation
- Query Construction

# Question Analysis #1

Analyze syntactic features to extract meaningful information:

- Type of question
- Multilinguality
- Correspondance to KB entities/classes.
- Tokens in the sentence and it's relations.
- Useless words in the sentence.

# Question Analysis #2

#### Techniques based on:

- Recognizing Named Entities
- Segmenting with POS\* Tags
- Identifying dependencies using parsers

POS Tag: Part-Of-Speech Tag

# Question Analysis #3 - Recognizing named entities

#### Identify Named Entities and map to resource in KB

- NER Tools: Tools from NLP, Standford NER Tool.
   Domain specific, low precision 51% (He et al. 2014)
- N-Gram: Map n-grams to KB entities. Adv: Each NE can be recognized in the KB, disadv: Dissambiguation explodes (too much candidates). (SINA: Shekarpour et al. 2015, CASIA: He et al. 2014)
- Entity Linking Tools: DBpedia Spotlight (Daiber et al. 2013), DBpedia Lookup and AIDA (Yosef et al. 2011). Recognize NE and find the underlying KB resource, dissambiguating on the way. Adv: All-in-one. Disadv: Limited service, KB dependant.

# Question Analysis #4 - Segmenting using POS Tagging

Identify which phrase correspond to instances, properties, classes...and which is irrelevant.

 Handmade rules: Regular expressions depending on question type, structure.... (PowerAqua Lopez et al. 2012, Treo Freitas and Curry 2014, DEANNA Yahya et al. 2013). Disadv: regex built by hand.

```
WRB VBD DT NNP NNP VBN . When was the European Union founded ?
```

Figure: POS tagging from the Standford POS Tagger

# Question Analysis #5 - Segmenting using POS Tagging

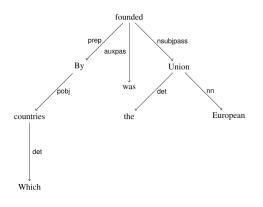
 Learning rules: Machine Learning approach, train over corpus (Xser Xu, Feng, and Zhao 2014, UTQA "Pouran-ebn veyseh A" 2016, very good results).
 Disadv: training corpus needed.

```
V-B
                                      E-B
                                               E-L
               C-B
                                                       R-B
none
                       none
                             none
Bv
      which
             countries
                              the
                                    European
                                              Union
                                                     founded
                       was
```

Figure: Question annonated with CoNLL IOB format

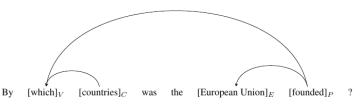
#### Question Analysis #6 - Parsers

Dependency grammars: Standford dependency parser, word dependencies. Adv: can extract relations along with it's arguments (gAnswer Zou et al. 2014, PATTY Nakashole, Weikum, and Suchanek 2012)



#### Question Analysis #7 - Parsers

Phrase Dependencies and DAGs: Dependencies between phrases. SHIFT-REDUCED parser. Disadv: parser trained on dataset (Xser Xu, Feng, and Zhao 2014).



# Question Analysis #8 - Summary

	NER	NE n-gram startegy	EL tools	POS hand-made	POS learned	Parser structural grammar	Dependency parser	Phrase dependencies and DAG	
BELA				Х					_
CASIA		Х			Х		X		
DEANNA		Х		Х			Х		
FREyA						Х			
gAnswer							X		
GFMed									
Hakimov et al.								Х	
Intui2						Х			
Intui 3	х			х					
ISOFT			X	Х			X		
POMELO		х							
PowerAqua				Х					_
QAKiS	Х	Х							
QAnswer		Х					X		Ξ
RTV		?					X		
SemGraphQA		Х					X		
SemSeK		Х				Х	Х		
SINA		Х							
SWIP		?					X		_
TBSL				Х					
TR Discover									Ξ
Treo				Х			Х		
UTQA					X				
Xser		?			X			X	Ĺ
Zhang et al.		Х							
Zhu et al.						X			

# Question Analysis #9 - Summary

#### Which techniques to choose?

- Xser (trained DAG) reports best results on QALD
   4.1 & 5
- gAnswer (Dependency grammars) reports fastest results on QALD 3 & 4
- UTQA (Learned POS tags) reports best results on QALD 6

Machine Learning approach: Can be fast enough and there is plenty of data available.

# Phrase Mapping #1

Find the resources in the KB with the highest probability that maps to the phrase.

#### Problems:

- String similarity
- Semantic similarity
- Language

# Phrase Mapping #2

- Database with lexicalization: WordNet, Wiktionary, PATTY Expand the phrase with synonims and use that for search. Adv: High number of candidates, disadv: Big search space, not very useful for domain specific mappings.
- Mappings using large texts: word2vec semantics reflected in the associated vector. Adv: aids in lexical gap, string similarity and semantic similarity, disadv: needs training on large texts, noisy, performance.

# Phrase Mapping #3

- KB Labels: Search in the labels provided by the KB's entitiy (all)
- Redirects: Follow the owl:sameAs links (gAnswer Zou et al. 2014)
- Extracted knowledge: From the previous phase (gAnswer Zou et al. 2014). Relations and arguments.
- Wikipedia specific: DBPedia Lookup, Wikimedia Miner Tool (gAnswer Zou et al. 2014, Xser Xu, Feng, and Zhao 2014, Zhu et al. n.d.)

# Phrase Mapping #4 - Summary

	Knowledge base labels	String similarity	Lucene index or similar	WordNet/Wiktionary	Redirects	PATTY	Using extracted knowledge	BOA or similar	Distributional Semantics	Wikipedia specific approaches
BELA	х	Х	х	х	х				Х	
CASIA	Х				х		X		Х	
DEANNA	Х									
FREyA	Х	х		х						
gAnswer	Х				х		Х			Х
GFMed	Х				х					
Hakimov et al.	Х							Х		
Intui2	Х									
Intui3	Х			х	х					X
ISOFT	Х		х			х			Х	
POMELO	Х									
PowerAqua	Х		х	Х	х					
QAKiS	Х							х		
QAnswer	Х	Х	Х	X	Х			Х		
RTV	Х		х						х	
SemGraphQA	Х		х	х	х					
SemSeK	X		х	х	х				Х	
SINA	Х									
SWIP	Х	X								
TBSL	Х	х	х	х				Х		
TR Discover	Х									
Treo	X		х						Х	
UTQA	Х	Х			Х				Х	
Xser	X					Х				X
Zhang et al.	Х	Х								
Zhu et al.	X								Х	X

# Phrase Mapping #5 - Summary

#### Which techniques to choose?

The best results here depend on the previous step and the computing resources available.

Options (can be combined together):

- KB's Labels
- Redirects
- word2vec
- PATTY

## Disambiguation #1

QA systems generate lots of possible interpretations due to language ambiguities and search process.

• Find univocally the resource that maps to the requested question.

#### Base approach (local dissambiguation):

- String or semantic similarity to resource label.
- Consistency check between the properties and their arguments.

# Disambiguation #2 - Graph Search

Dissambiguation carried out in the KB search step

- Subgraph matching against the KB (gAnswer Zou et al. 2014 does it on phrase mapping). Represent the question as a dependency graph and find an isomorfic subgraph in KB. Adv: very fast. Disadv: dissambiguation carries over. (high precision, low recall)
- SemSek Aggarwal and Buitelaar 2012 and Treo
  Freitas and Curry 2014 do it only with recognized
  instances (during question analysis phase). (low
  precision, high recall)

Assume that all relational phrases can be deduced from the question.

## Dissambiguation #3 - Graph Search

**gAnswer** searches in the edges and vertices, **SemSek**, **Treo** search on instances and properties attached.

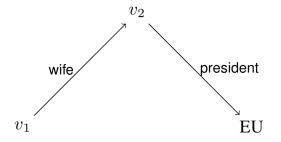


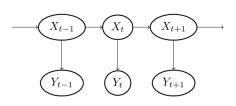
Figure: Subgraph generated for the question "Who is the wife of the president of the EU?"

# Dissambiguation #4 - Hidden Markov Model (HMM)

"By which countries was the EU founded?"

Two stochastic processes:

- Hidden (dissambiguation)  $X_{t \in N}$ : {dbo:Country, dbr:Euro, dbr:European\_Union, dbp:founded, dbp:establishedEvent}.
- Observed (question tokens), Y<sub>t∈N</sub>: {"countries", "EU", "founded"}.



## Dissambiguation #5 - HMM

The problem is reduced to find the most probable set of states. Extra parameters:

- Initial probability  $P(X_0 = x)$  for  $x \in X$
- Transition probability  $P(X_t = x_1 | X_{t-1} = x_2)$  for  $x_1, x_2 \in X$
- Emission probability  $P(Y_t = y | X_t = x)$  for  $x \in X, y \in Y$

It is not necessary to know the the dependency between different resources, just the available resources.

# Disambiguation #6 - HMM

#### SINA (Shekarpour et al. 2015): slow

- Emission: string similarity between label and segment.
- Initial & Transition: estimated based on the distance of the resource in the KB and popularity.

## RTV (Giannone, Bellomaria, and Basili 2013): inaccurate

- Emission: word embeddings
- Initial & Transition: uniform across all resources

## Dissambiguation #7 - ILP & MLN

#### ILP Optimization problem

 DEANNA (Yahya et al. 2013) Dependencies between the segments have to be computed in the question analysis phase. slow, low precision & recall

#### Markov Logic Network

 CASIA (He et al. 2014) Hard constraints like ILP, soft constraints flexibility training needed low precision & recall

## Dissambiguation #8 - Structured Perceptron

#### Considering:

- Similarity of the phrase and the corresponding resource
- Popularity of a label for a resource
- Compatibility of the range and domain of a property with the arguments.

**Xser** (Xu, Feng, and Zhao 2014) Solves ambiguity **fast, training needed** 

# Dissambiguation #9 - Summary

	Local disambiguation	Graph search	HMM	LIP	MLN	Structured perceptron	User feedback
BELA	х						
CASIA	х				Х		
DEANNA	х			х			
FREyA	х						х
gAnswer	х	х					
GFMed	Х						
Hakimov et al.	х						
Intui2	х						
Intui3	х						
ISOFT	Х						
POMELO	х						
PowerAqua	Х	Х					
QAKiS	х						
QAnswer	х						
RTV	х		Х				
SemGraphQA	х						
SemSeK	х	Х					
SINA	х		Х				
SWIP	х						х
TBSL	х						
Treo	х	х					
TR Discover	х						
UTQA				?			
Xser	Х					Х	
Zhang et al.	Х						
Zhu et al.	х						

# Dissambiguation #9 - Summary

#### Which techniques to choose

- Best results QALD 6, reported from UTQA ("Pouran-ebn veyseh A" 2016), whose method is unkown.
- Fastest method is gAnser (Zou et al. 2014) which dissambiguates in the phrase mapping step. (subgraph matchin)
- Best results in QALD 4.1 & 5 by Xser (Zou et al. 2014) which uses a (Perceptron)

## Query Construction #1 - Issues

Construct a **SPARQL** query that reflects user question and gets the answer.

Semantic Gap: Issues with how the information is encoded in the KB. One cannot deduce how the information is stored from the question.

"Which countries are in the European Union?" Could be encoded as:

dbr:Greece dbp:member dbr:European\_Union dbr:France dbp:member dbr:European\_Union or as:

dbr:Greece dct:subj dbc:Member\_states\_of\_the\_European\_Union dbr:France dct:subj dbc:Member\_states\_of\_the\_European\_Union **How to search correctly** 

# Query Construction #2

#### Approaches:

- Using templates
- Using information from the question analysis
- Using Semantic Parsers
- Using Machine Learning
- Using semantic information

## Query Construction #3 - Templates

Templates with parts of the query to be filled, in general by triples.

- QAKiS (Cabrio et al. 2012) select queries with only one triple.
- ISOFT (Park, Shim, and Lee 2014) ASK over one triple, simple SELECT, COUNT and ORDER BY or FILTER.
- PowerAqua (Lopez et al. 2012) reduces the question to one or two triples (<= 2 predicates).

Very restricted questions, language is too rich, disambiguity is key

## Query Construction #4 - Question Analysis

Most systems get the form of the query in the question analysis and phrase mapping step.

- Freya, Intui3 (Dima 2014) resources extracted in the phrase mapping step are combined into triples.
- DEANNA (Yahya et al. 2013) regex over POS tags in analysis step mapped to resources in phrase mapping step. ILP in dissambiguation step to get the triples.
- gAnswer, QAnswer, RTV, SemGraphQA (Zou et al. 2014, Ruseti et al. 2015, Giannone, Bellomaria, and Basili 2013, Beaumont, Grau, and Ligozat 2015) extract all the possible information from the dependency graph.

## Query Construction #5 - Question Analysis

**Xser** (Xu, Feng, and Zhao 2014) 3 ML algorithms, two KB independent (on the question analysis phase), one KB dependent (on dissambiguation step)

- First algorithm: determines segments of the question corresponding to variables, properties, instances and classes.
- Second algorithm: find dependencies between phrases. (Standford dependencies, PATTY)
- Third algorithm: Dissambiguation with a **Structured** Perceptron

## Query Construction #6 - Question Analysis

The problem with these methods is that they all assume that is possible to deduce the structure of the SPARQL query from the structure of the question without knowing how the knowledge is encoded in the KB.

# Query Construction #7 - Semantic Parsing

Compose a grammar and use it to extract structure from the query.

- Grammatical Framework (GFMed Marginean 2017)
- Feature-based Context Free Grammar (TR Discover, Song et al. 2015)
- Combinatorial Categorial Grammar (Hakimov et al. 2015)
- Lexical Tree Adjoint Grammar (TBSL Unger et al. 2012, BELA Walter et al. 2012)

## Query Construction #8 - Semantic Parsing

Question has to be well formulated. For each lexical item a corresponding semantic representation is needed. (ie married has to map with *dbo:spouse*). Learning corpus (Hakimov et al. 2015) or from POS tags (Unger et al. 2012). In general, **low recall** 

Lexical item	Syntactic category	Semantic representation
Barack Obama	NP	dbr:Barack_Obama
is	$(S\backslash NP)/(S\backslash NP)$	$\lambda f. \lambda x. f(x)$
married to	$(S\backslash NP)/NP$	$\lambda y.\lambda x.dbo: spouse(x,y)$
Michelle Obama	NP	dbr:Michelle_Obama

Figure: Semantic parsed question "Barack Obama is married to Michelle Obama"

# Query Construction #9 - Machine Learning

## CASIA (He et al. 2014) (low recall & precision)

- Question Analysis step: extract features like position of a phrase and POS tags or the type of dependency in the dependency tree
- Phrase Mapping step: associate resources with phrase segments and extract more features
- Dissambiguation step: MLN with extracted features to find most probable relation between segments and most probable mapping. retrained for each KB

# Query Construction #10 - Semantic information

**SINA** (Shekarpour et al. 2015), **POMELO** (Hamon et al. 2014), Zhang et al. 2016 do not rely on the syntactic features of the question, instead the whole process is done based on the KB, just with semantic information.

#### Advantages:

high recall, & precision

## Disadvantages:

- computationally expensive
- does not respect user question syntax. No difference between "Who is the mother of Angela Merkel?" and "Angela Merkel is the mother of who?"

# Query Construction #11 - Summary

	Using templates	Using info. from the QA	Using Semantic Parsing	Using machine learning	Semantic information	Not generating SPARQL
BELA			х			
CASIA				Х		
DEANNA		х				
FREyA		Х				
gAnswer		х				
GFMed			Х			
Hakimov et al.			Х			
Intui2		Х				
Intui3		X				
ISOFT	X					
POMELO					Х	
PowerAqua	X					
QAKiS	X					
QAnswer		X				
RTV		X				
SemGraphQA		X				
SemSeK						X
SINA					Х	
SWIP	X					
TBSL			Х			
Treo						х
TR Discover			X			
UTQA				?		
Xser		X				
Zhang et al.					Х	
Zhu et al.						X

# Query Construction #12 - Summary

#### Which techniques to choose?

There is no clear way to do this. A good approach is to construct the query on the previous analysis steps, assuming structure can be extracted from the question.

#### Conclusions

#### There exists many techniques for each part:

- Smart balance between different techniques lead to best results
- Tendency goes to Machine Learning

#### When possible, maximize:

- KB independance (pluggability)
- Performance (real time, scalability)
- Extracted Knowledge (implicit, enriches the context/domain)

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