

# *Understanding Deep Neural Networks*

## Section One: Introduction

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四川大学 计算机学院 章毅

Zhang Yi, *IEEE Fellow*  
Autumn, 2018

# Outline

## ■ Concepts

- An example: handwritten digits recognition
- How does a child recognize the handwritten digits
- Introduction to brain structure
- Discussions

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# Concepts



March 2016, AlphaGo beat Lee Sedol, 4:1



May 2017, AlphaGo beat Ke Jie, 3:0

Artificial Intelligence

The main working principle is deep learning.



Baidu 百度 AlphaGo alphago 百度一下

网页 图片 资讯 视频 贴吧 知道 地图 文库 音乐 更多»

百度为您找到相关结果约6,680,000个

您可以仅查看: 英文结果

AlphaGo 百度百科

简介: 阿尔法围棋 (AlphaGo) 是第一个击败人类职业围棋选手、第一个战胜围棋世界冠军的人工智能机器人, 由谷歌 (Google) 旗下 DeepMind 公司戴密斯·哈萨比斯领衔的团队开发。其主要工作原理是“深度学习”。2016年3月, 阿尔法围棋与围棋世界冠军、职业九段棋手李世石进行围棋人机大战, 以4比1的总比分获胜; 20...  
[旧版原理](#) [新版原理](#) [旧版战绩](#) [新版战绩](#) [版本介绍](#) [更多>>](#)  
<https://baike.baidu.com/>

[手把手: AlphaGo有啥了不起, 我也能教你做一个\(附Python代码\)](#)

2018年2月22日 - 在2016年3月, DeepMind 研发的 AlphaGo 以4:1 的成绩, 击败了曾荣获18次世界冠军的围棋选手, 李世石 (Lee Sedol), 超过2亿观众见证了这一历史时刻。一台机器已...  
<https://baijiahao.baidu.com/s?...> - 百度快照

[AlphaGo China | DeepMind](#)

查看此网页的中文翻译, 请点击 [翻译此页](#)

Team Go players enjoying the match with AlphaGo The match proved to be a pleasure to watch, giving spectators the unique opportunity to see world-class...  
<https://deepmind.com/research/> - 百度快照

[AlphaGo之父: 关于围棋, 人类3000年来犯了一个大错\\_科技\\_腾讯网](#)

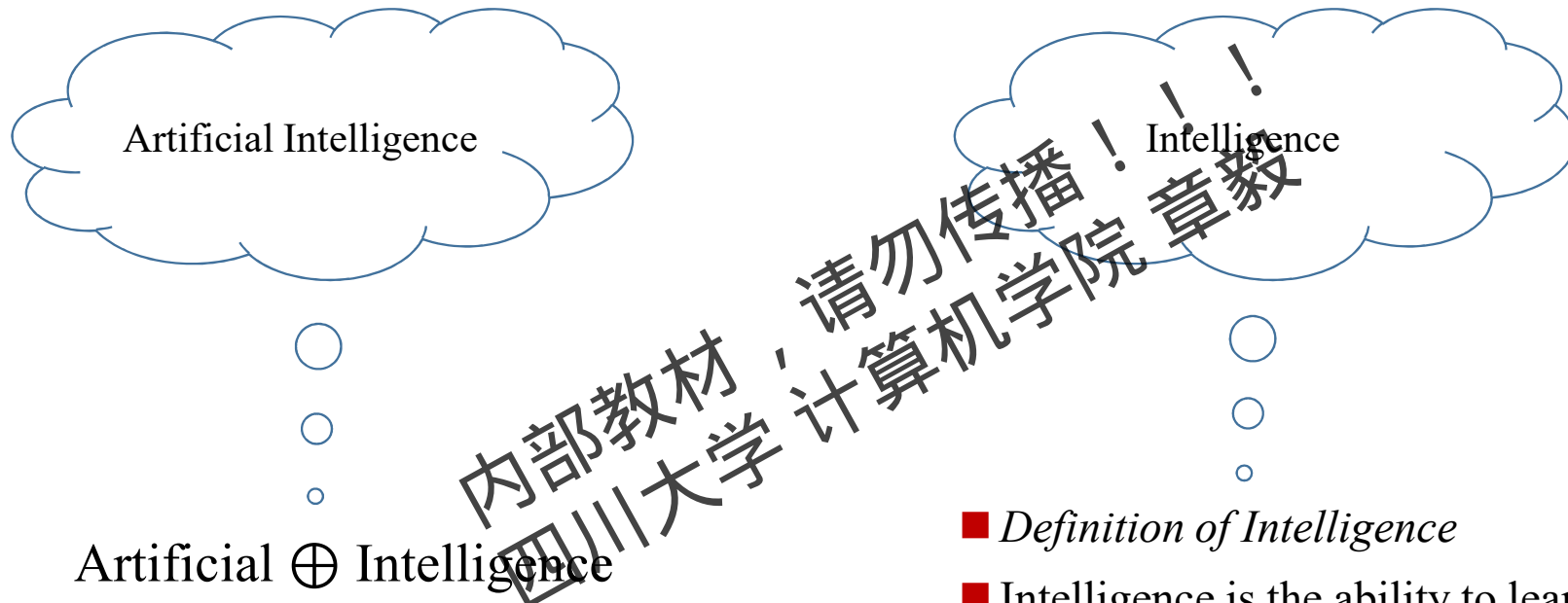
2017年4月14日 - 对于6月23日至27日与围棋人工智能程序 AlphaGo (阿尔法狗) 的对阵, 目前世界排名第一的中国职业九段柯洁放出...  
[tech.qq.com/a/20170414...](http://tech.qq.com/a/20170414...) - 百度快照

[从零开始, 全凭自学, 它用40天完虐AlphaGo! | 科学家 | 果壳网 科技...](#)

2017年10月25日 - 席卷了世界之后, AlphaGo 宣布不再和人下棋。但它的制造商并没有因此停下脚步...  
<https://www.guokr.com/article/> - 百度快照

[退役后的 AlphaGo 没有忘记人类, 它还要教柯洁重新下棋 | 极客公园](#)

# Concepts



- *Definition of Intelligence*

- Intelligence is the ability to learn about, learn from, understand, and interact with one's environment.

# Concepts

## ■ *Deep Learning*

- Method to train Deep Neural Networks



Professor Geoffrey E. Hinton

## Reducing the Dimensionality of Data with Neural Networks

G. E. Hinton<sup>1</sup> and R. R. Salakhutdinov

High-dimensional data can be converted to low-dimensional codes by training a multilayer neural network with a small central layer to reconstruct high-dimensional input vectors. Gradient descent can be used for fine-tuning the weights in such “autoencoder” networks, but this works well only if the initial weights are close to a good solution. We describe an effective way of initializing the weights that allows deep autoencoder networks to learn low-dimensional codes that work much better than principal components analysis as a tool to reduce the dimensionality of data.

Dimensionality reduction facilitates the classification, visualization, communication, and storage of high-dimensional data. A simple and widely used method is principal components analysis (PCA), which

finds the directions of greatest variance in the data set and represents each data point by its coordinates along each of these directions. We describe a nonlinear generalization of PCA that uses an adaptive, multilayer “encoder” network

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# Concepts

## Applications

- **Speech recognition**: RBM network reduce the error rate by 30%, which is the most significant breakthrough in the past decade.
- **Image recognition**: CNN achieve over 95% recognition rate on ImageNet2012 dataset, which is comparable to human performance.
- **Nature language processing**: recurrent neural networks show superior performance than baseline methods in NLP problems.



Microsoft simultaneous interpretation

## Products



IMAGENET



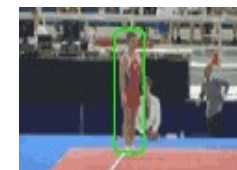
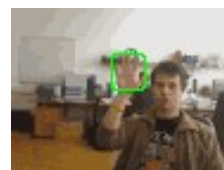
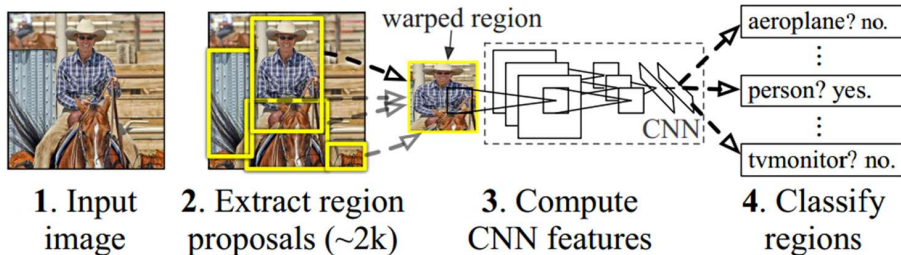
Stanford sentiment analysis

Google translation



Google voice assistance

## R-CNN: Regions with CNN features



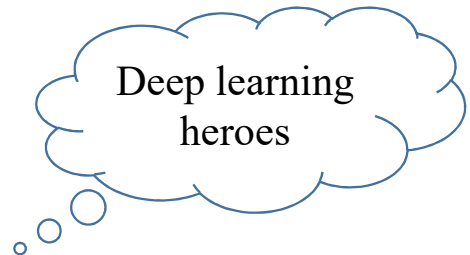
A group of young men playing a game of soccer. A black and white cat is sitting on a chair.

# Concepts

## ■ An advanced technology in computer science



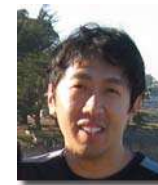
Deep learning is a new area of machine learning research, which has been introduced with the objective of moving machine learning closer to one of its original goals: artificial intelligence.



Geoffrey Hinton  
University of Toronto  
Google



Yann LeCun  
New York University  
Facebook



Andrew Ng  
Stanford University  
Baidu



Yoshua Bengio  
University of Montreal



Prof. Jürgen Schmidhuber  
IDSIA Dalle Molle Institute for Artificial Intelligence



Goodfellow



# Concepts

Deep Neural Networks  
*A way towards artificial intelligence*



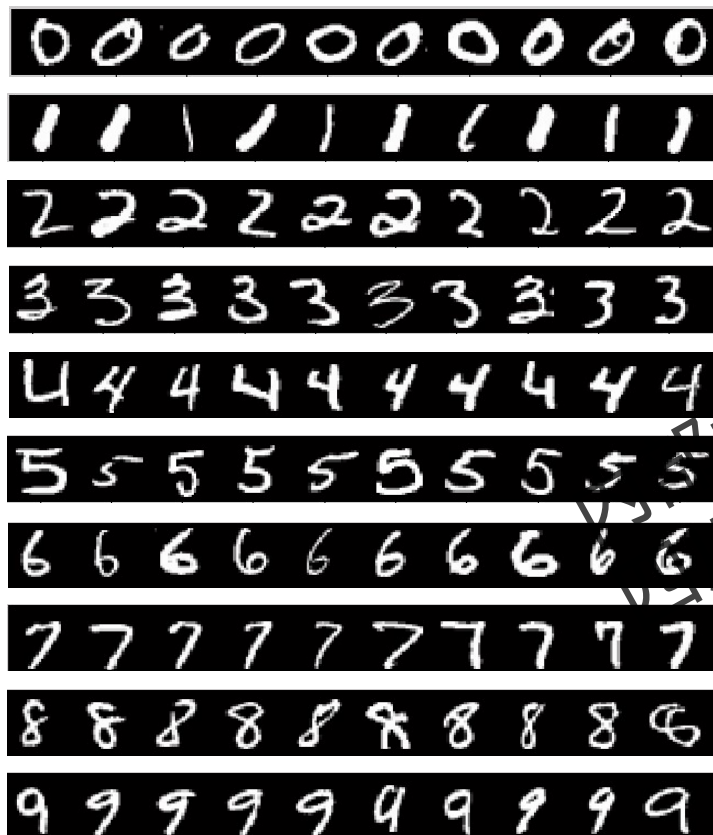
Deep Neural Networks are computational models inspired from brain working principle.



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- An example: handwritten digits recognition
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# Handwritten Digits Recognition

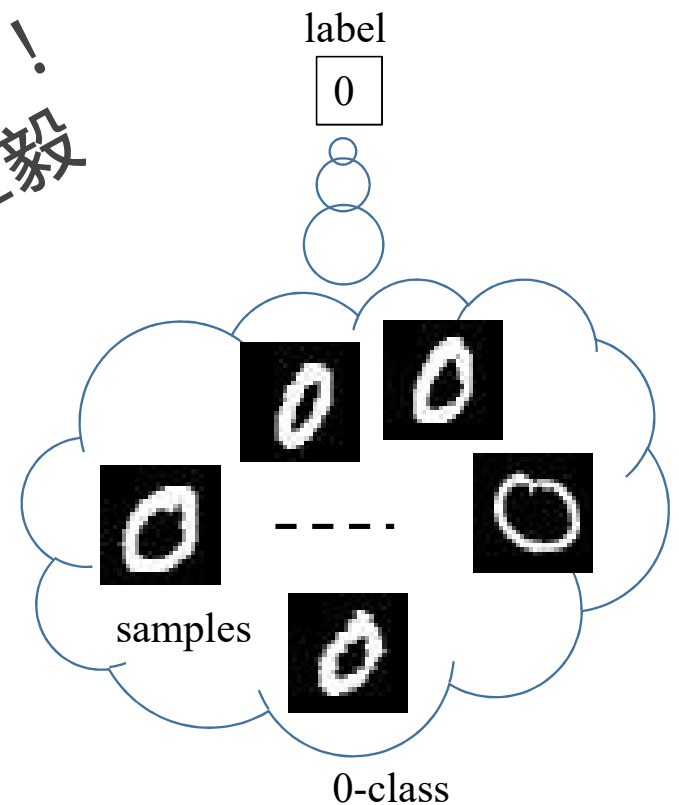


## Problem:

How to write a computer program to recognize the handwritten digits?



# Handwritten Digits Recognition



# Handwritten Digits Recognition



Recognition = classification

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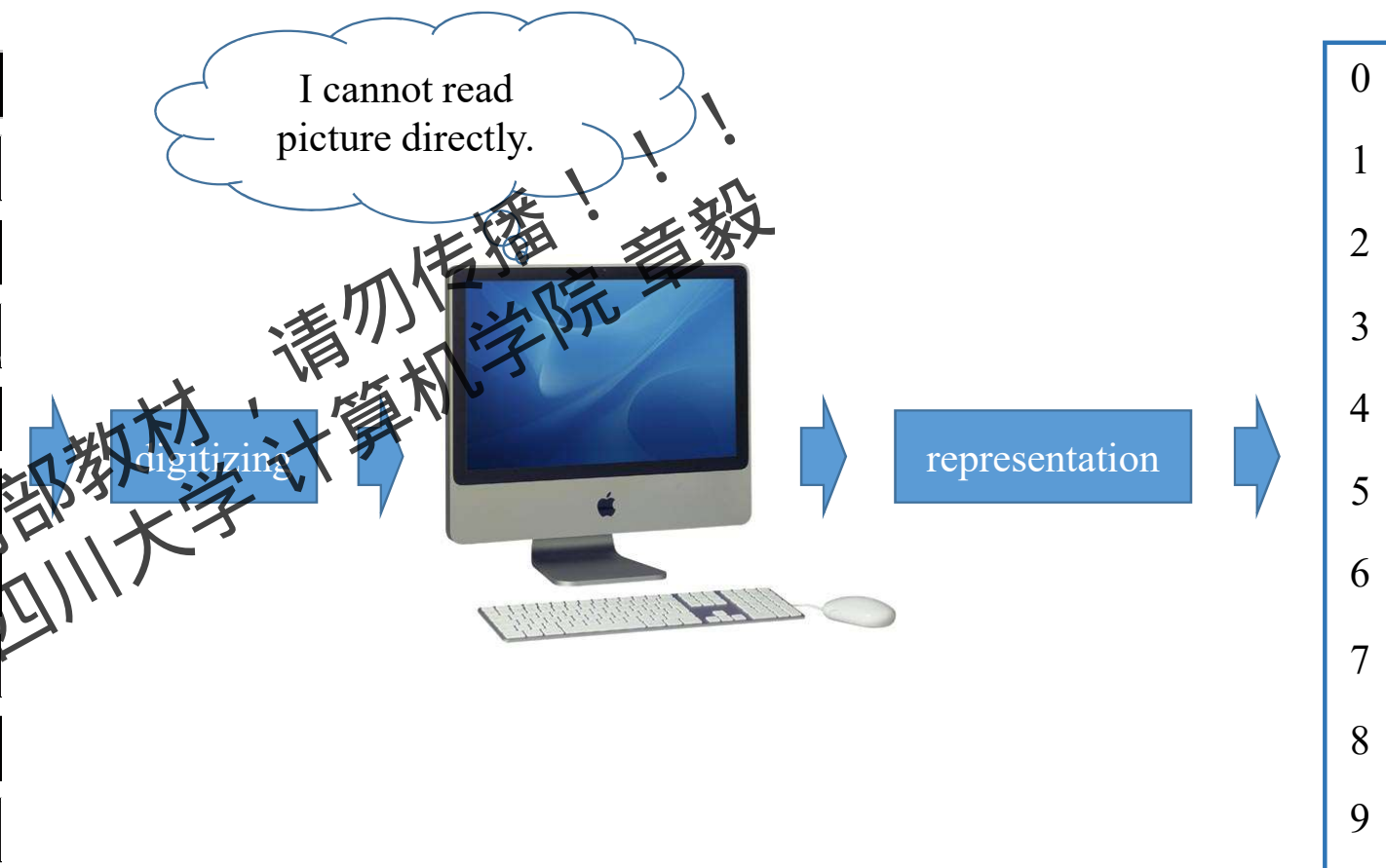
label

0  
1  
2  
3  
4  
5  
6  
7  
8  
9

# Handwritten Digits Recognition



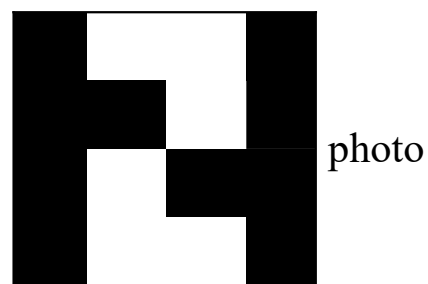
I cannot read  
picture directly.



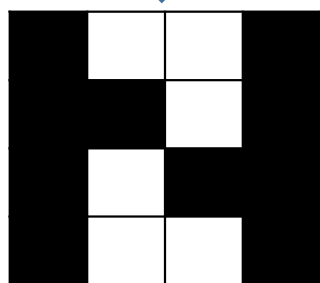
0  
1  
2  
3  
4  
5  
6  
7  
8  
9



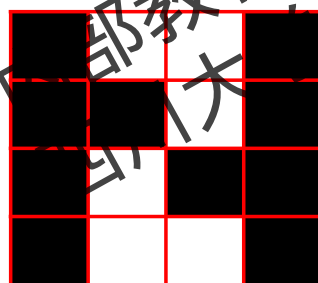
# Handwritten Digits Recognition



digitizing



$4 \times 4$

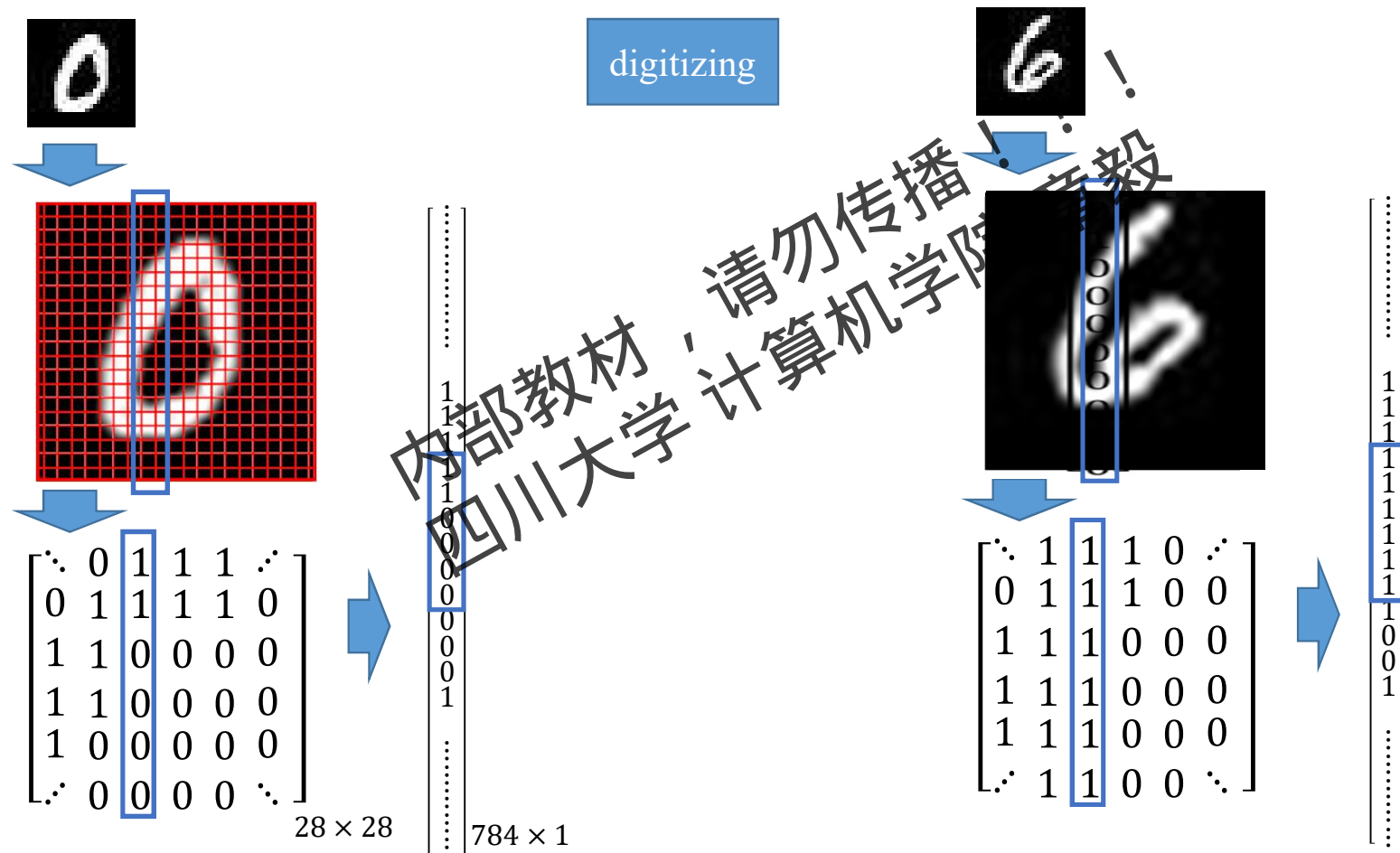


$\begin{bmatrix} 0 & 1 & 1 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 1 & 1 & 0 \end{bmatrix}$

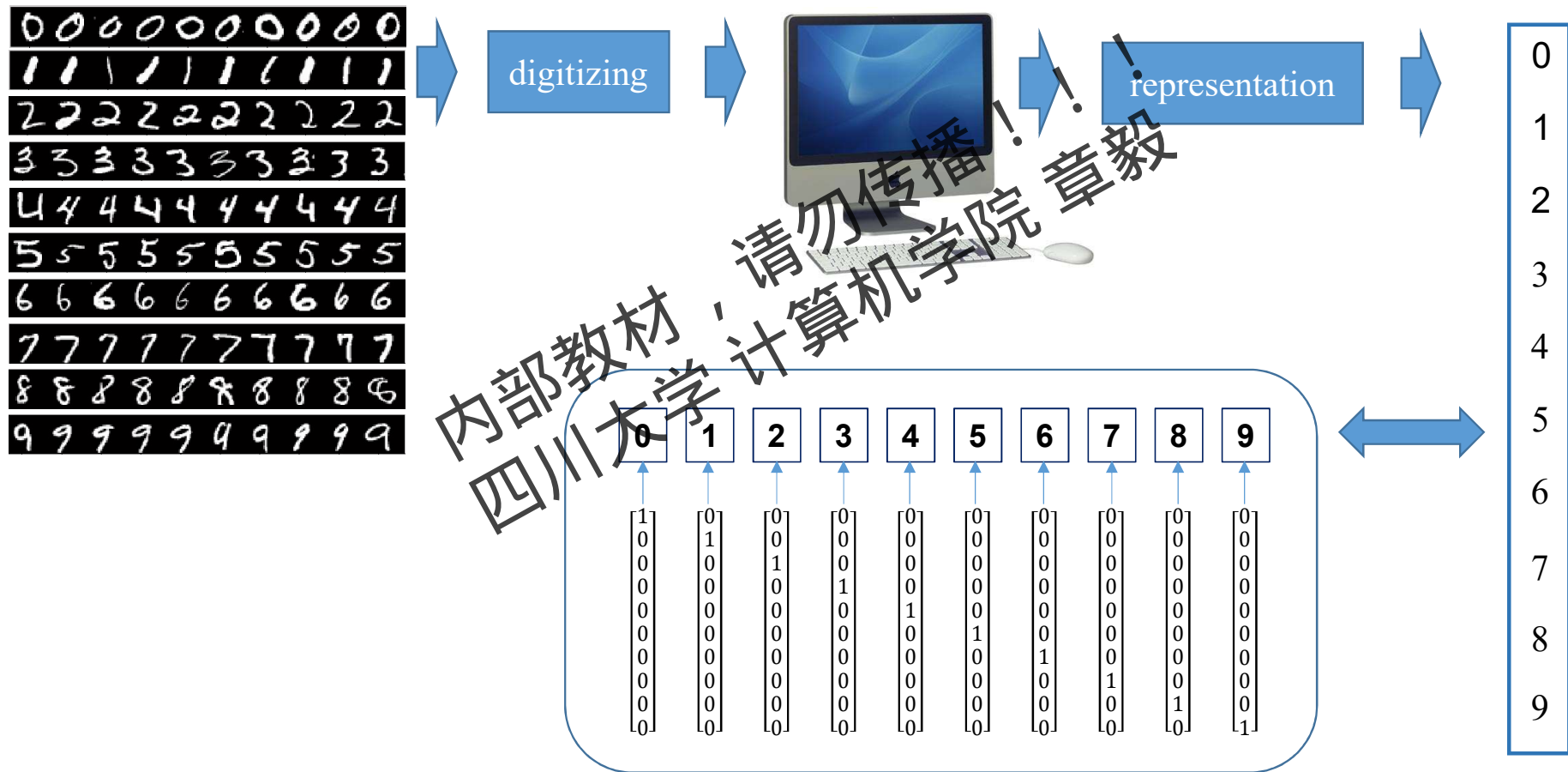
$4 \times 4$

$\begin{bmatrix} 0 \\ 0 \\ 0 \\ 0 \\ 1 \\ 0 \\ 1 \\ 1 \\ 1 \\ 1 \\ 0 \\ 1 \\ 0 \\ 0 \\ 0 \\ 0 \end{bmatrix}$   $16 \times 1$

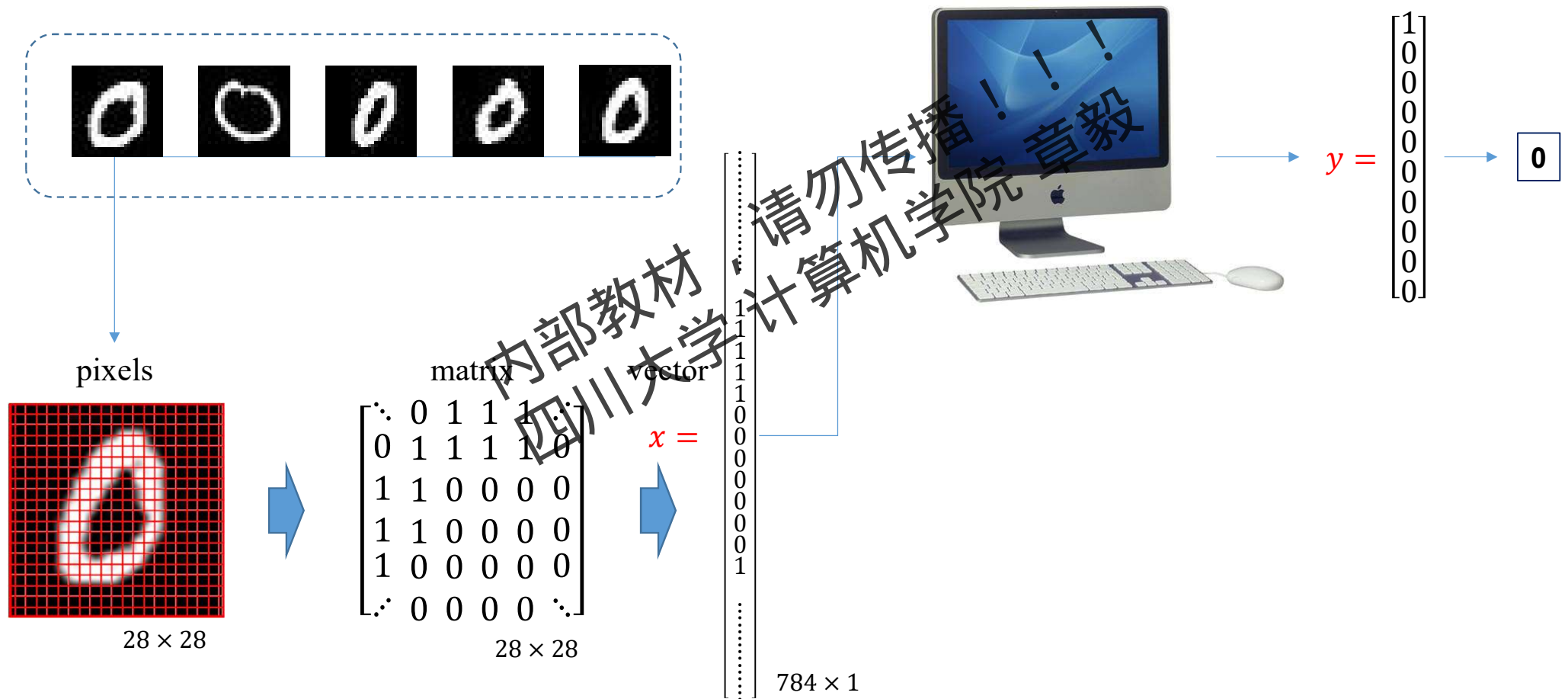
# Handwritten Digits Recognition



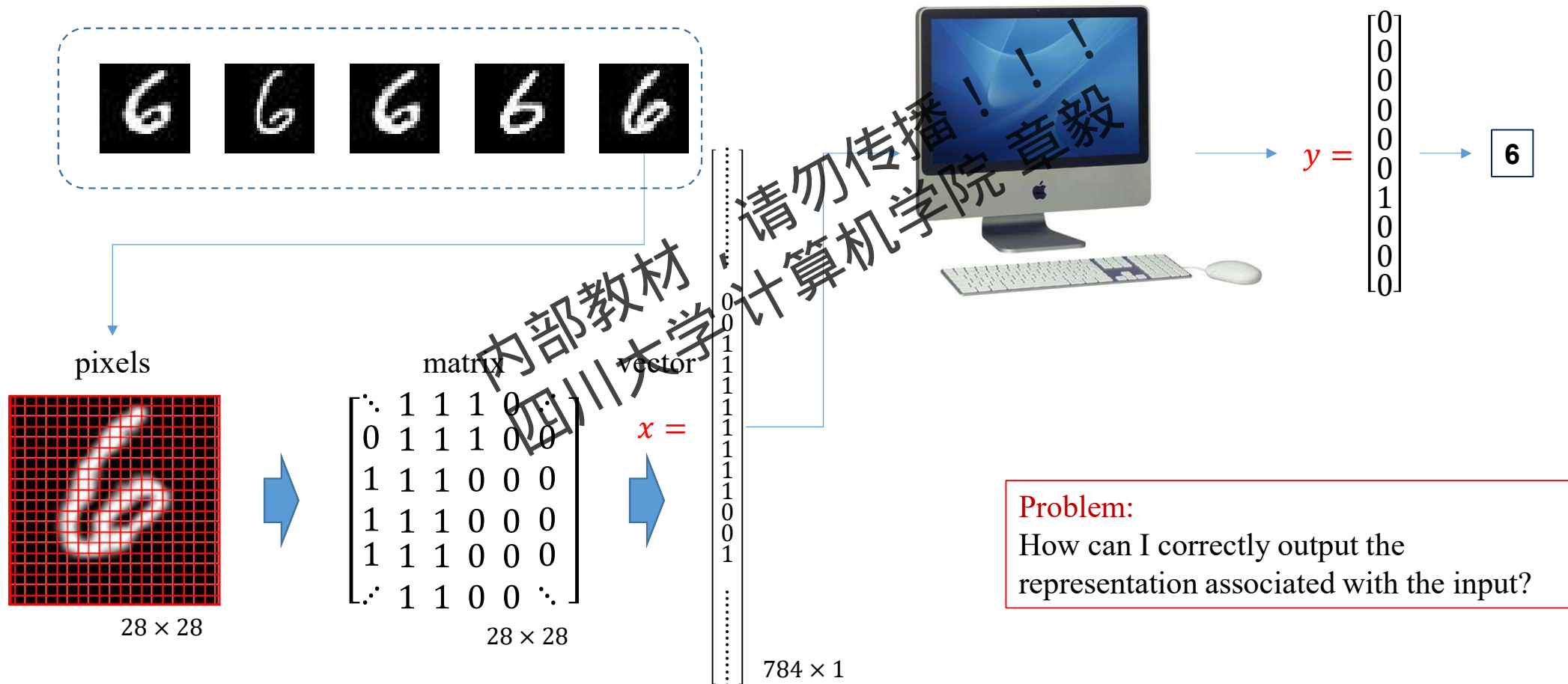
# Handwritten Digits Recognition



# Handwritten Digits Recognition



# Handwritten Digits Recognition





# Handwritten Digits Recognition



Input sample

$$F: x \rightarrow y$$



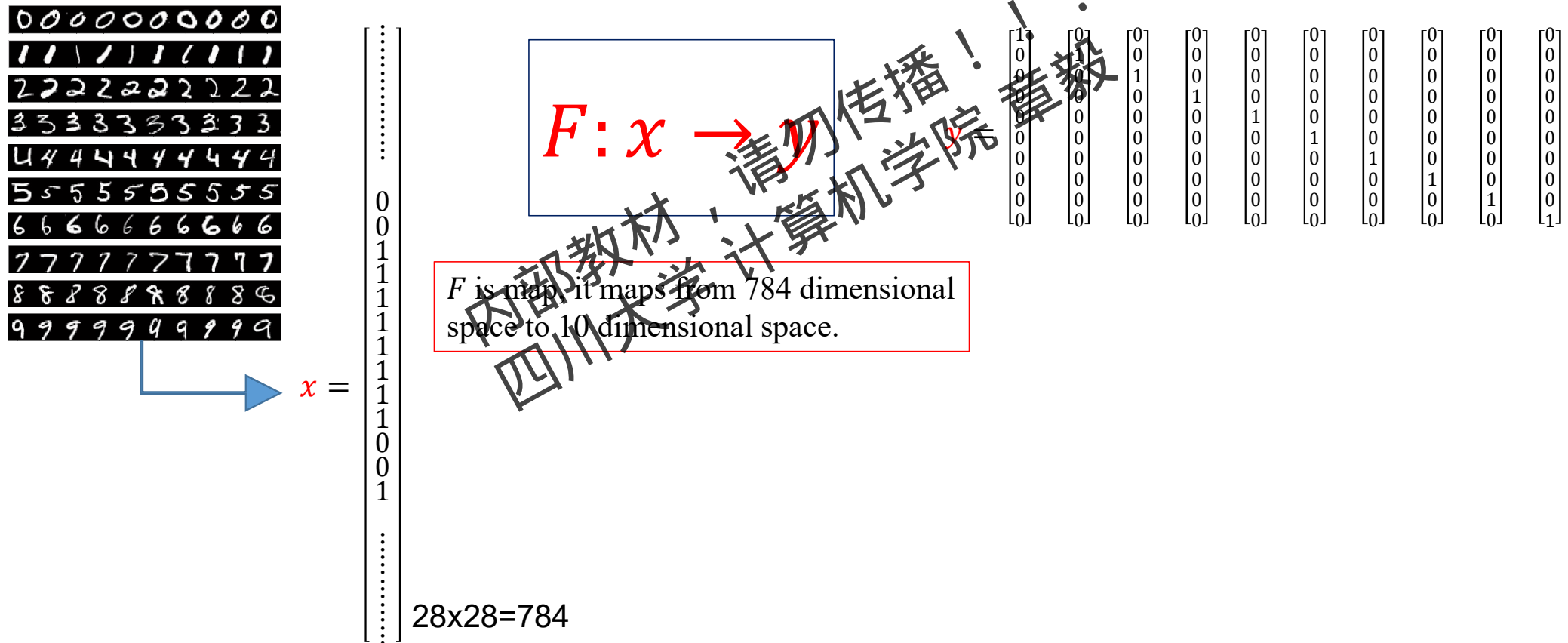
Label representation

$$y = F(x)$$

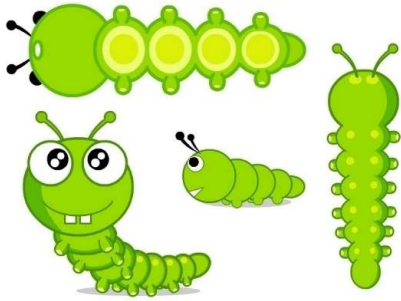
Are there  
such F exist?

0  
1  
2  
3  
4  
5  
6  
7  
8  
9

# Handwritten Digits Recognition



# An example: XOR-worms problem



Doted worms



Smooth worms



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# An example: XOR-worms problem

Doted worms



1

Smooth worms



0

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# An example: XOR-worms problem

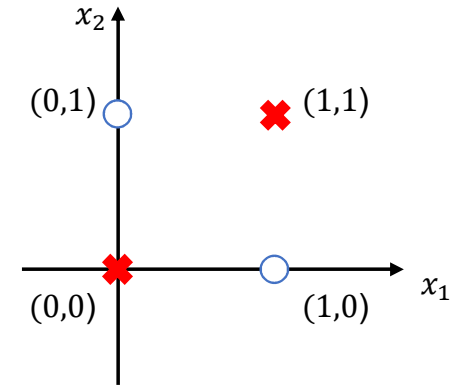
Doted worms


$$\begin{bmatrix} 0 \\ 1 \end{bmatrix}$$

$$\begin{bmatrix} 1 \\ 0 \end{bmatrix}$$

Smooth worms


$$\begin{bmatrix} 0 \\ 0 \end{bmatrix}$$

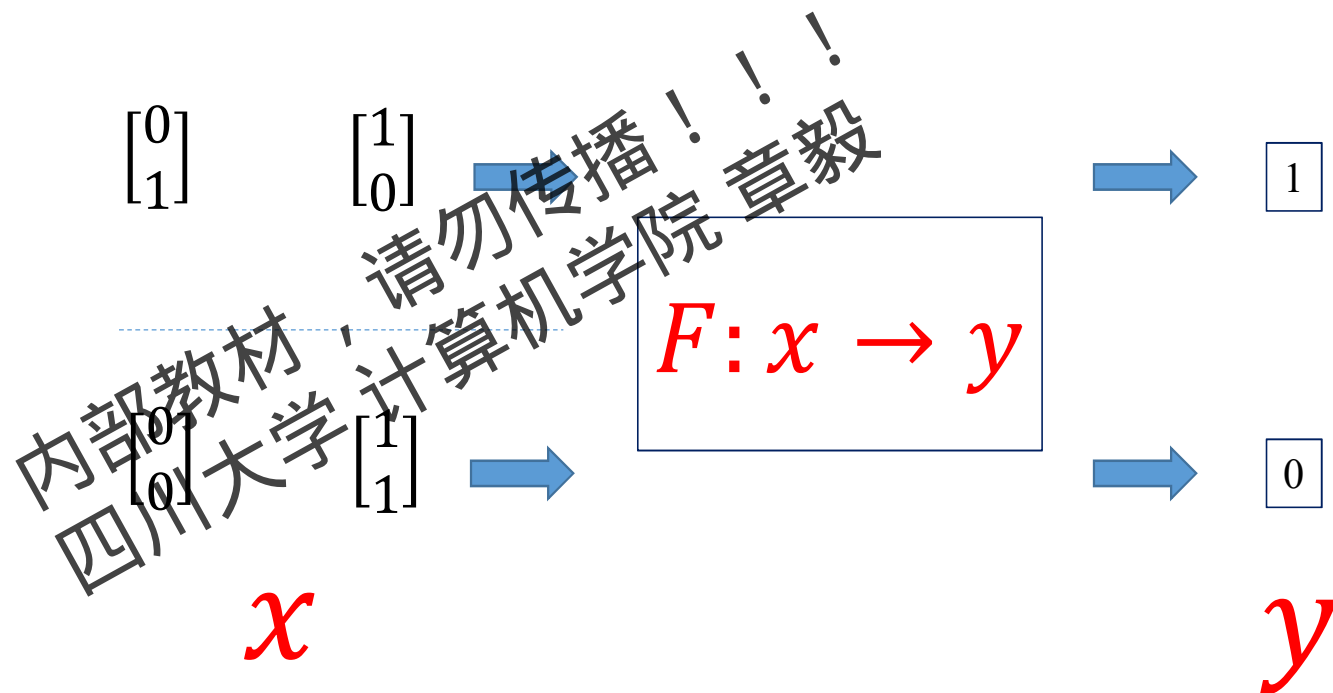
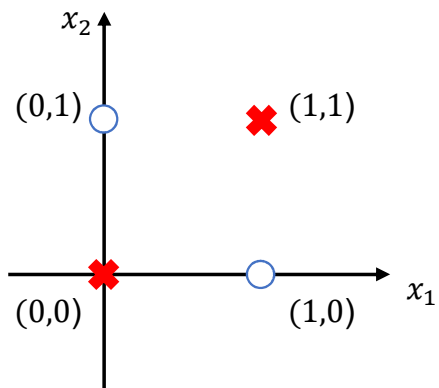
$$\begin{bmatrix} 1 \\ 1 \end{bmatrix}$$


1

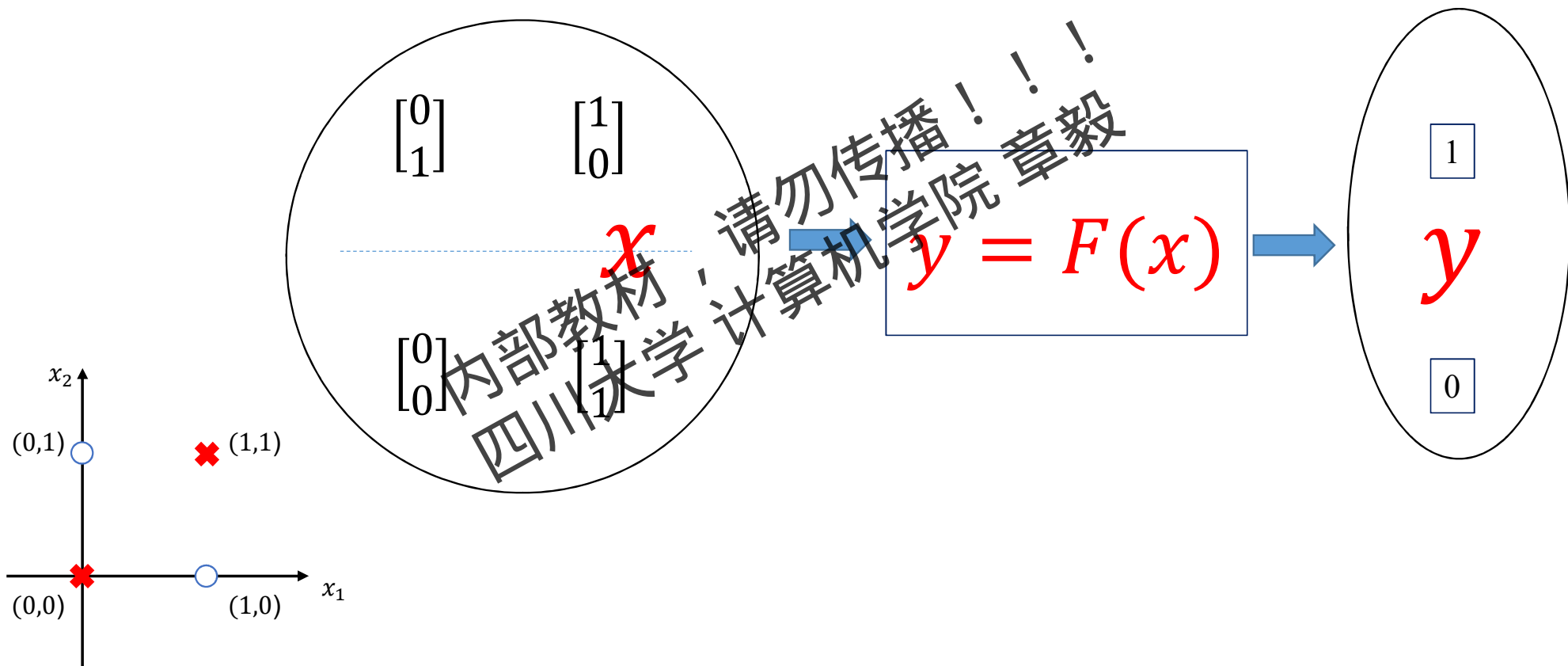
0



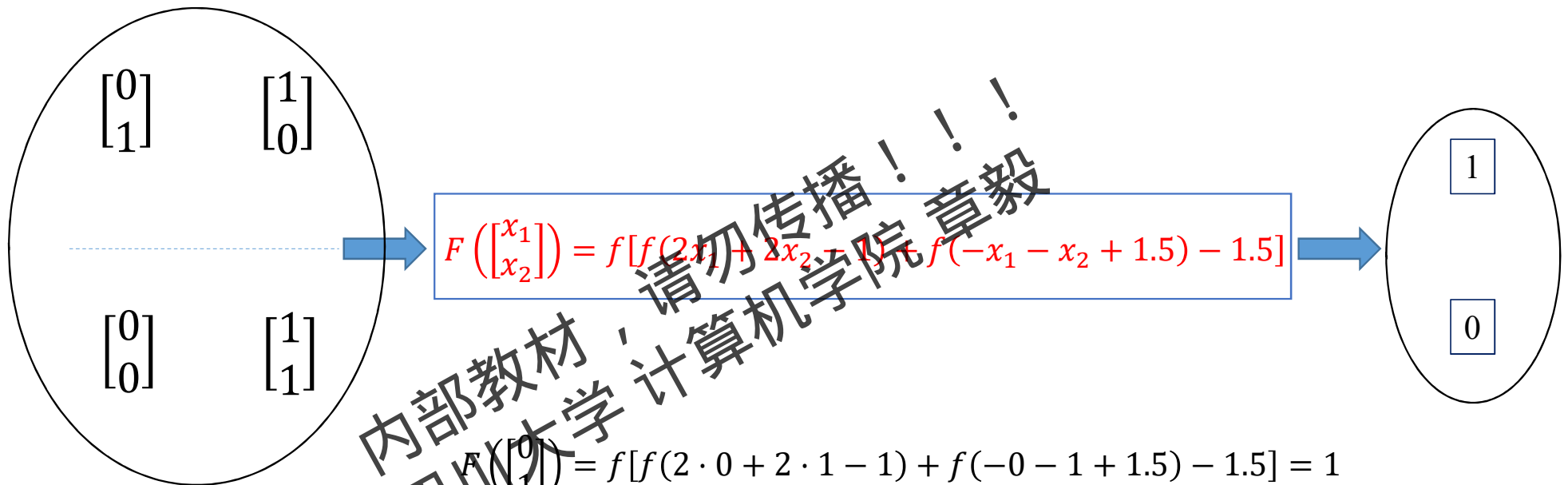
# An example: XOR-worms problem



# An example: XOR-worms problem



# An example: XOR-worms problem



$$F\left(\begin{bmatrix} x_1 \\ x_2 \end{bmatrix}\right) = f[f(2x_1 + 2x_2 - 1) + f(-x_1 - x_2 + 1.5) - 1.5]$$

$$F\left(\begin{bmatrix} 0 \\ 0 \end{bmatrix}\right) = f[f(2 \cdot 0 + 2 \cdot 0 - 1) + f(-0 - 0 + 1.5) - 1.5] = 1$$

$$F\left(\begin{bmatrix} 1 \\ 1 \end{bmatrix}\right) = f[f(2 \cdot 1 + 2 \cdot 1 - 1) + f(-1 - 1 + 1.5) - 1.5] = 0$$

$$f(s) = \begin{cases} 1, & s \geq 0 \\ 0, & \text{otherwise} \end{cases}$$

**Problem:**

How to construct the mapping  $F$ ?

# An example: XOR-worms problem

Doted worms



$$\begin{bmatrix} 0 \\ 1 \end{bmatrix}$$


$$\begin{bmatrix} 1 \\ 0 \end{bmatrix}$$

Smooth worms



$$\begin{bmatrix} 0 \\ 0 \end{bmatrix}$$


$$\begin{bmatrix} 1 \\ 1 \end{bmatrix}$$

$$F\left(\begin{bmatrix} x_1 \\ x_2 \end{bmatrix}\right) = f[f(2x_1 + 2x_2 - 1) + f(-x_1 - x_2 + 1.5) - 1.5]$$

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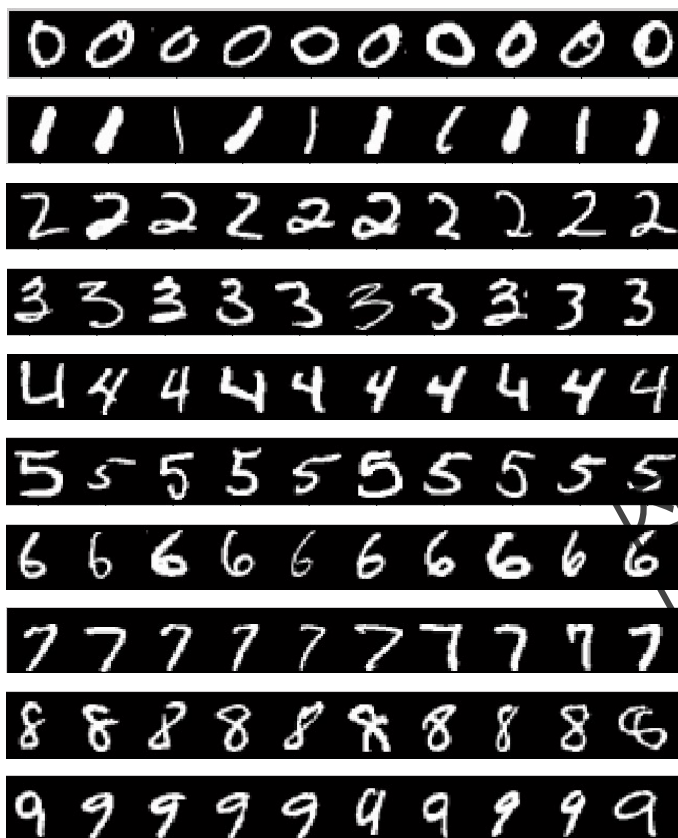
$$1$$

$$0$$

**Problem:**

How to construct the mapping  $F$ ?

# Handwritten Digits Recognition



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$$F: x \rightarrow y$$

representation

$y$

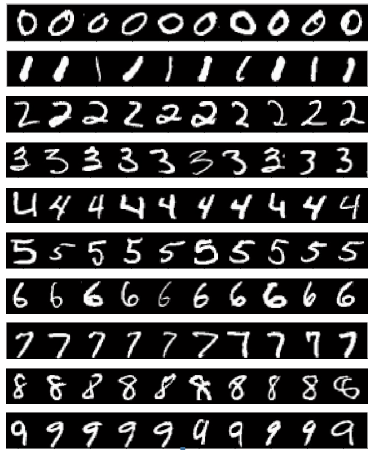
0  
1  
2  
3  
4  
5  
6  
7  
8  
9

Problem:

How to construct the mapping  $F$ ?



# Handwritten Digits Recognition


$$x =$$
[illegible]
$$28 \times 28 = 784$$

$$y = F(x)$$

## Problem:

How to construct the mapping  $F$  from 784 dimensional space to 10 dimensional space?

It is almost impossible manually!

Any other methods? Next, let's see how a child can easily do it.

# Outline

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- Discussions

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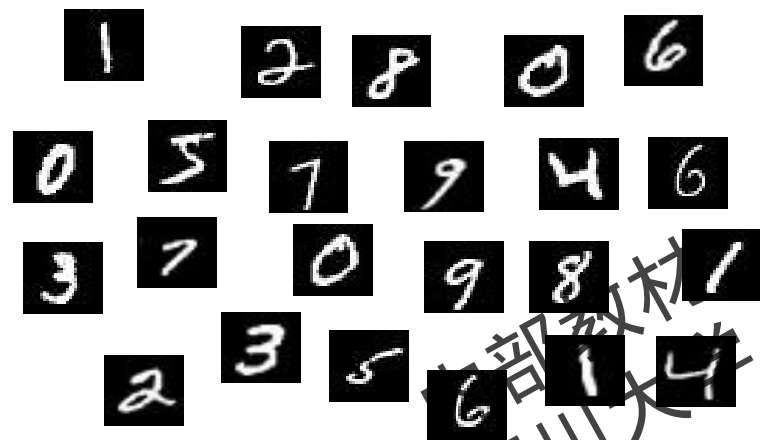
# How does a child recognize the handwritten digits?



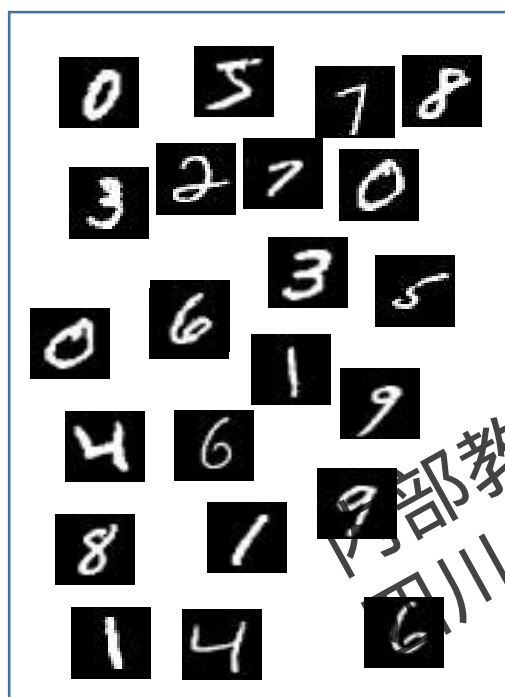
So easy!



# How does a child recognize the handwritten digits?



# How does a child recognize the handwritten digits?



## Training Data

Training Data is a set of samples used during training.  
Each sample is a pair of digit image and its label.



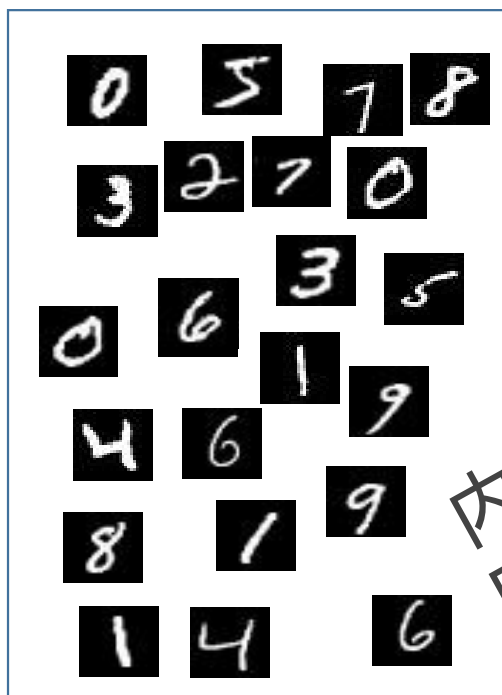
Training



# How does a child recognize the handwritten digits?



# How does a child recognize the handwritten digits?



1 2 3 4 5 6 7 8 9  
1 2 3 4 5 6 7 8 9  
2 2 3 4 4 5 6 7 8 9  
8 7 6 5 4 3 2 1 0 9  
6 5 4 3 2 1 0 9 8 7  
3 2 4 5 7 8 6 9

!!  
Training  
章毅

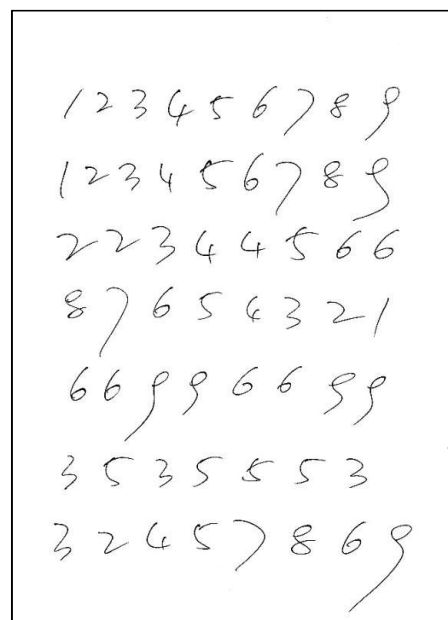


## Training Data

Training Data is a set of samples used during training.  
Each sample is a pair of digit image and its label.



# How does a child recognize the handwritten digits?



**Test Data**

Test Data is a set of samples used for testing.  
Each sample is a pair of digit image and its label.  
Note that, it is different from the training data.

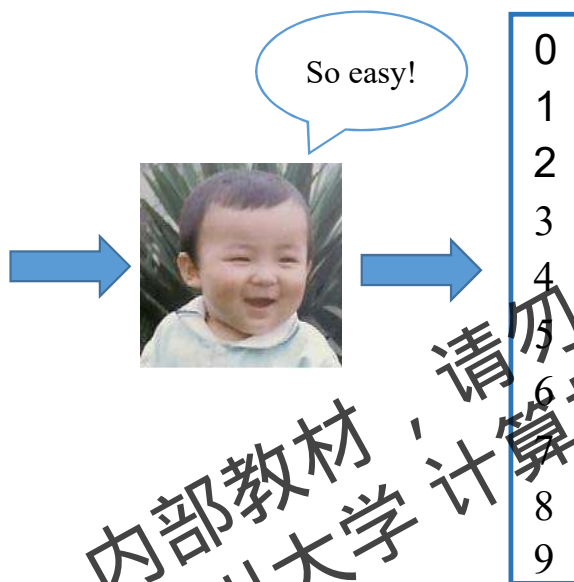
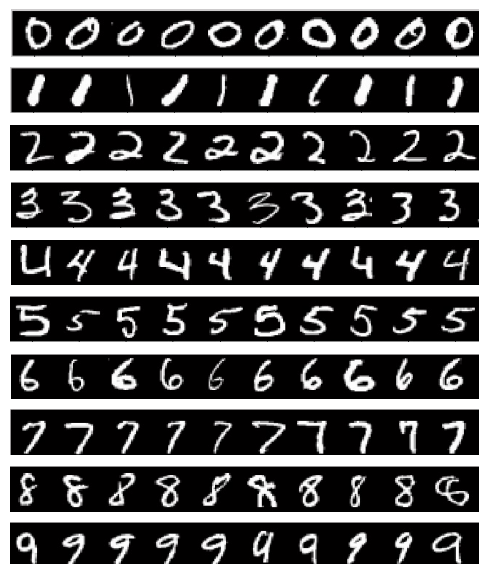
Testing



**Over-fitting:** perform very well on training data, but badly on test data

**Generalization performance:** the performance on new data that hasn't been used during training

# How does a child recognize the handwritten digits?



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The human brain is so powerful so that any child can recognize the handwritten digits easily.

Two important factors:

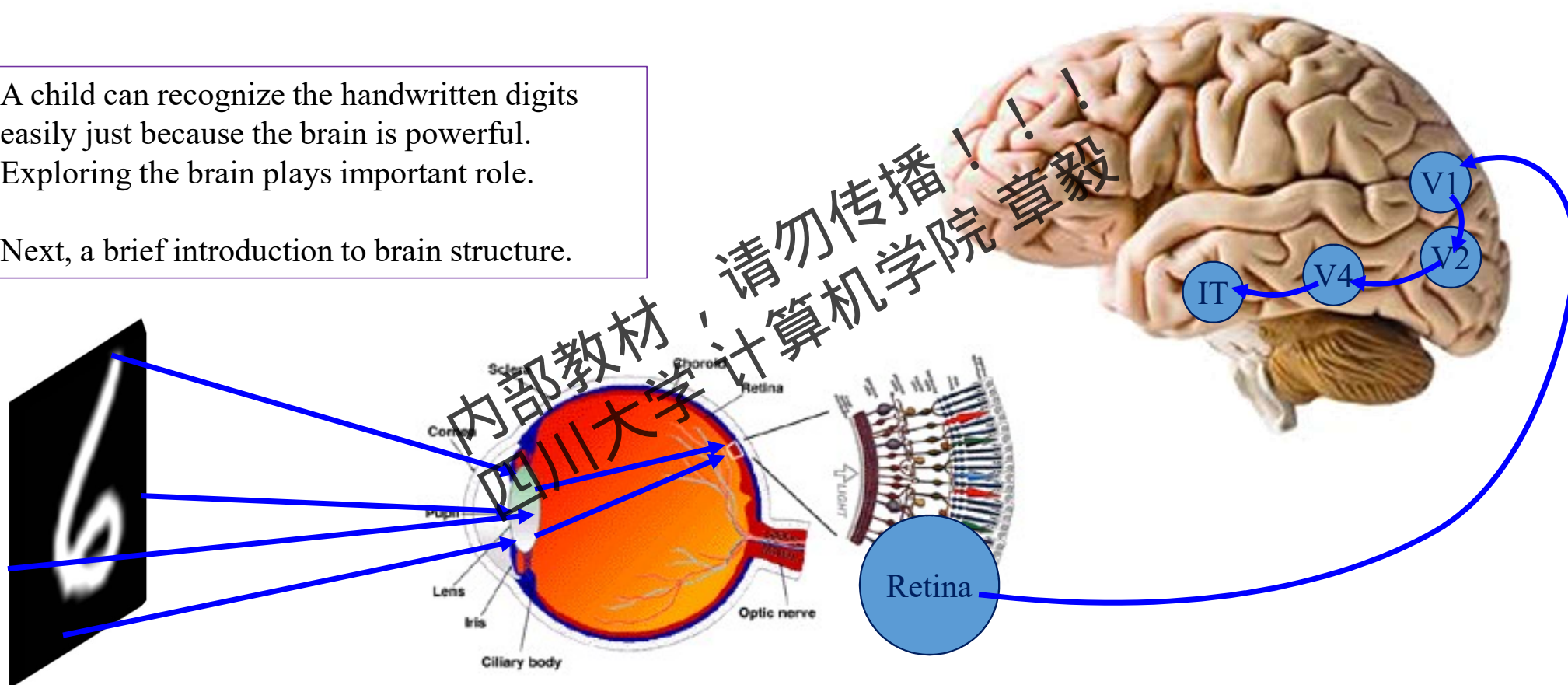
1. The brain has the structured ability.
2. Trained by some one.

**Problem: How to develop methods for recognition by exploring the brain?**

# How does a child recognize the handwritten digits?

A child can recognize the handwritten digits easily just because the brain is powerful.  
Exploring the brain plays important role.

Next, a brief introduction to brain structure.

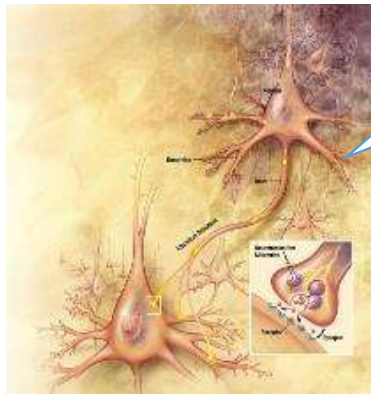


# Outline

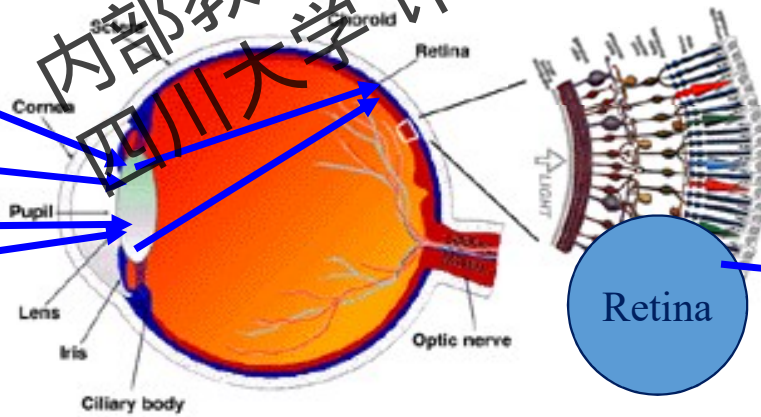
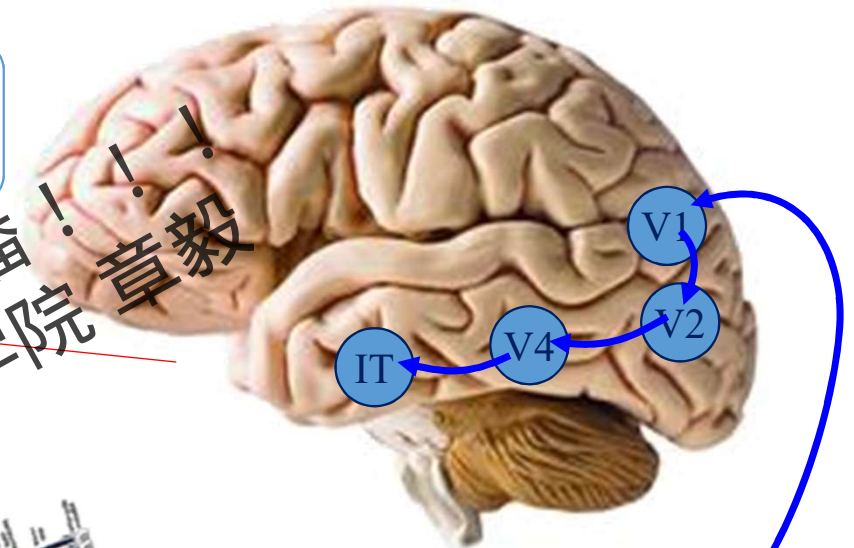
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# Introduction to brain structure



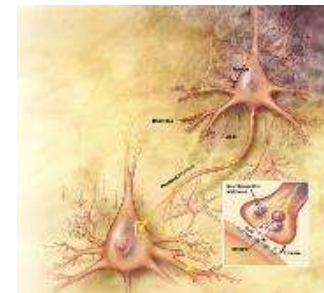
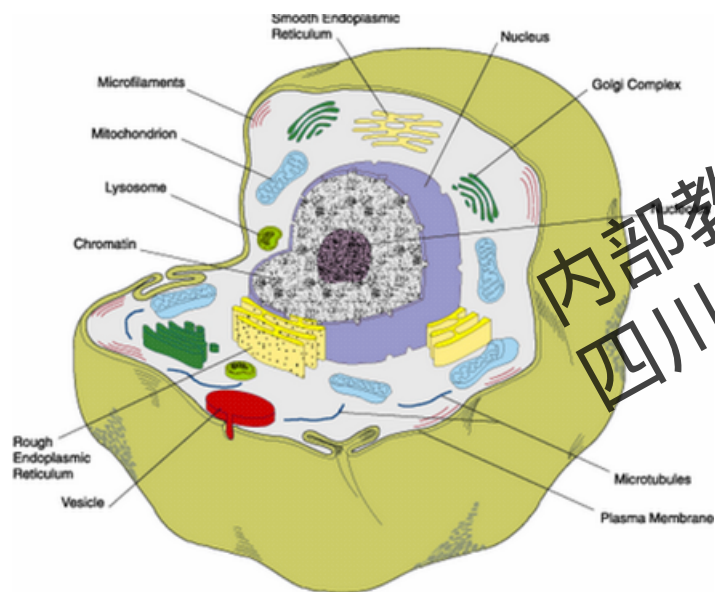
Behavior could be explained  
by the action of neurons.  
----- D. O. Hebb, 1949



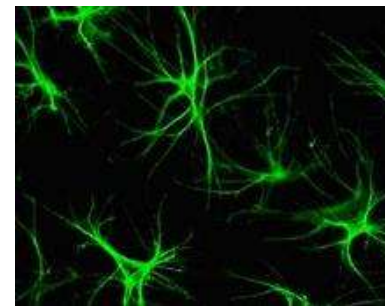


# Introduction to brain structure

- All tissues and organs in the body consist of cells.
- Cells in the nervous system: neurons and glia.



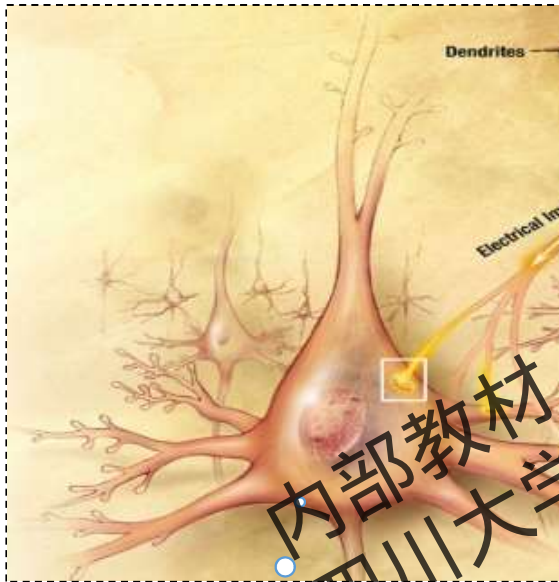
neurons



glia



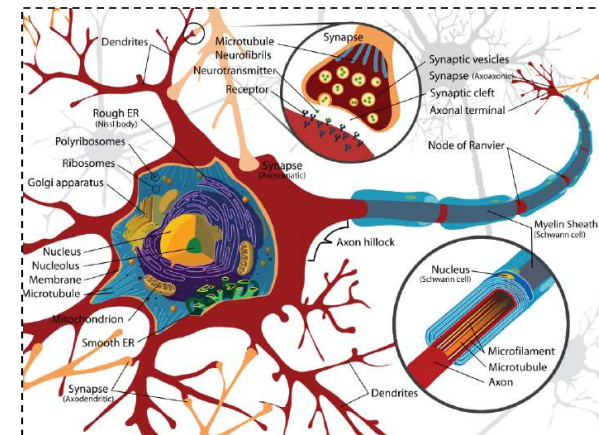
# Introduction to brain structure



Neuron size: 0.01~0.05mm



Retina resolution: 0.1mm

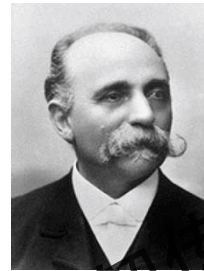
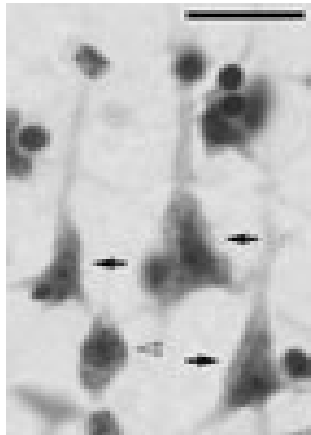




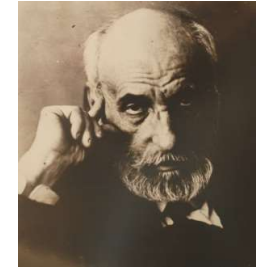
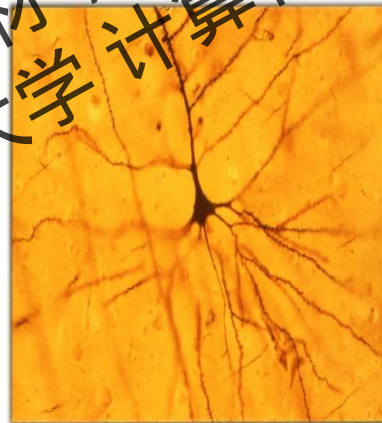
# Introduction to brain structure



Franz Nissl  
German, 19<sup>th</sup> century



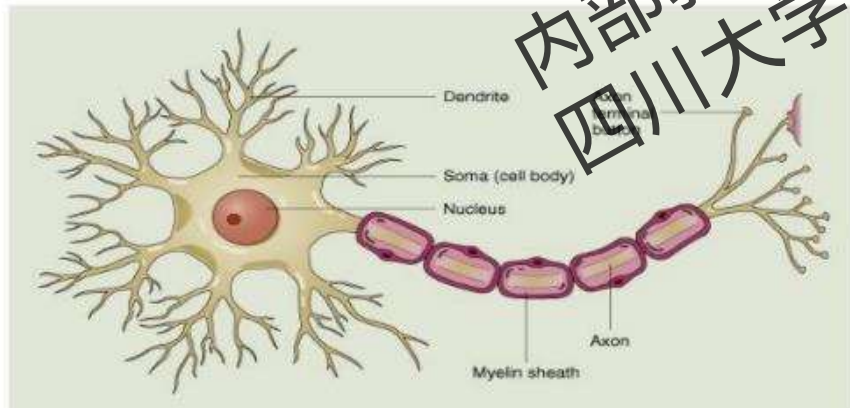
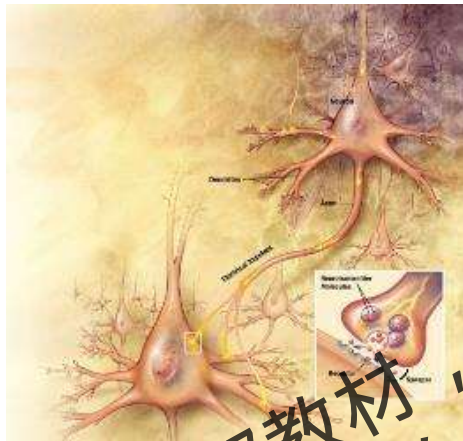
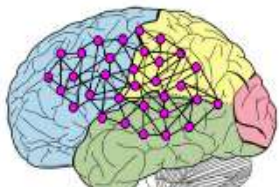
Camillo Golgi  
Italian, 19<sup>th</sup> century



Santiago Ramón Cajal  
Spanish, 19<sup>th</sup> century



# Introduction to brain structure



## What is a neuron?

- Three main components
  - Soma (cell body)
  - Dendrite
  - Axon

- A neuron is an electrically excitable cell in brain.
- Neuron processes and transmits information through electrical and chemical signals.

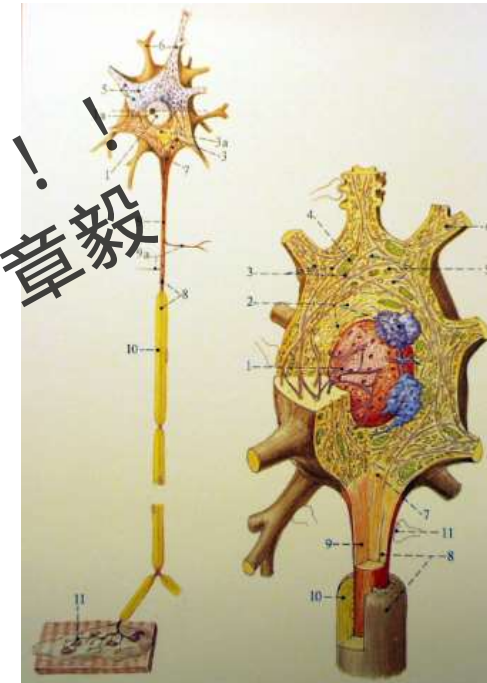
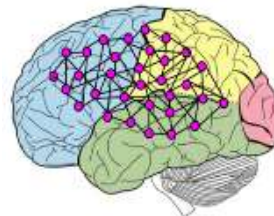
# Introduction to brain structure

## Brief statement of neuron

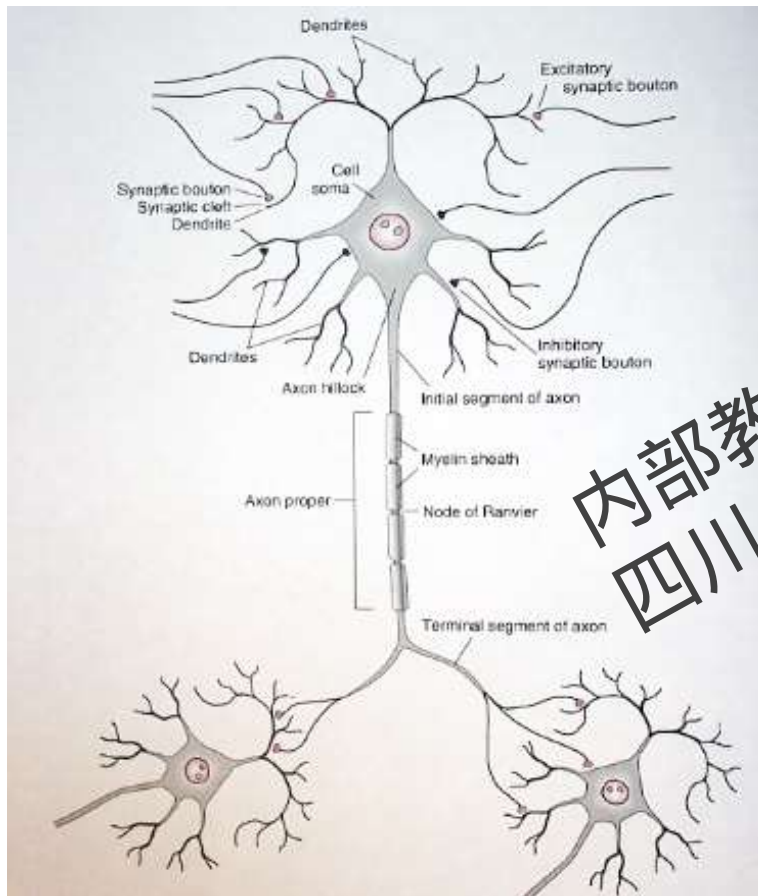
- Dendrites (inputs): receive chemical signals from other neurons.
- Soma (processing): collect and transfer and electrical signals.
- Axon (output): output signal to other neurons.



- A brain contains about  $10^{11}$  neurons



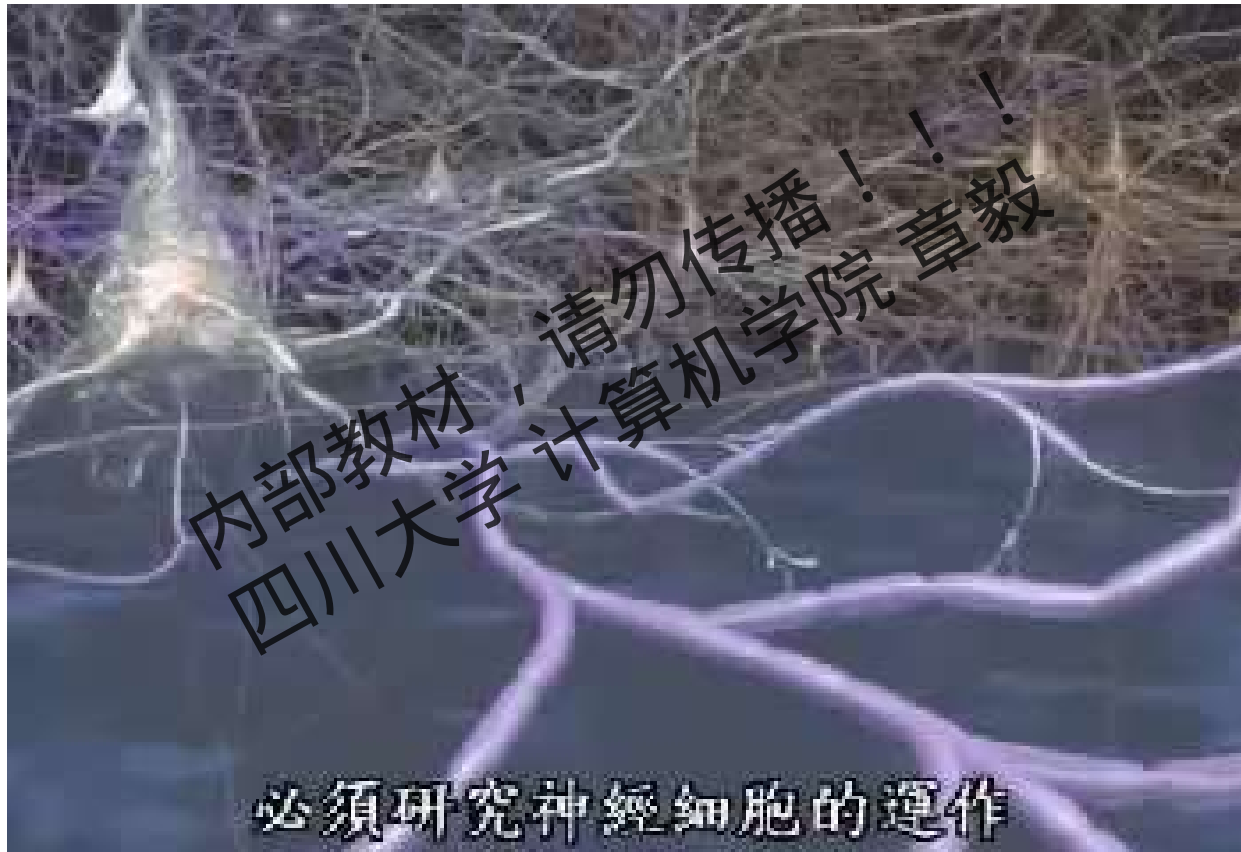
# Introduction to brain structure



Neural Network = Neurons + Connections



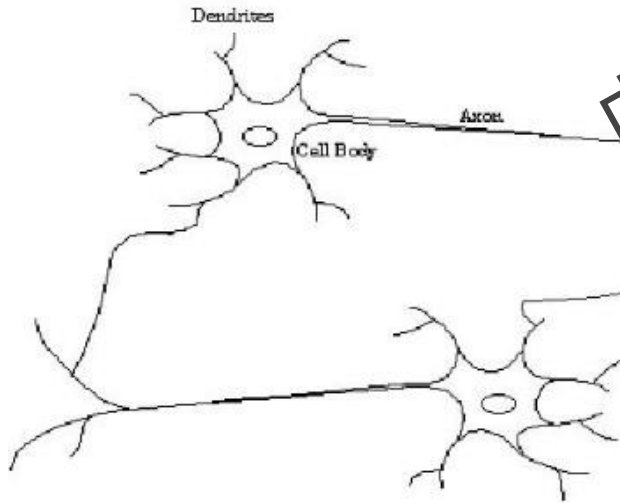
# Introduction to brain structure



# Introduction to brain structure



C. Golgi

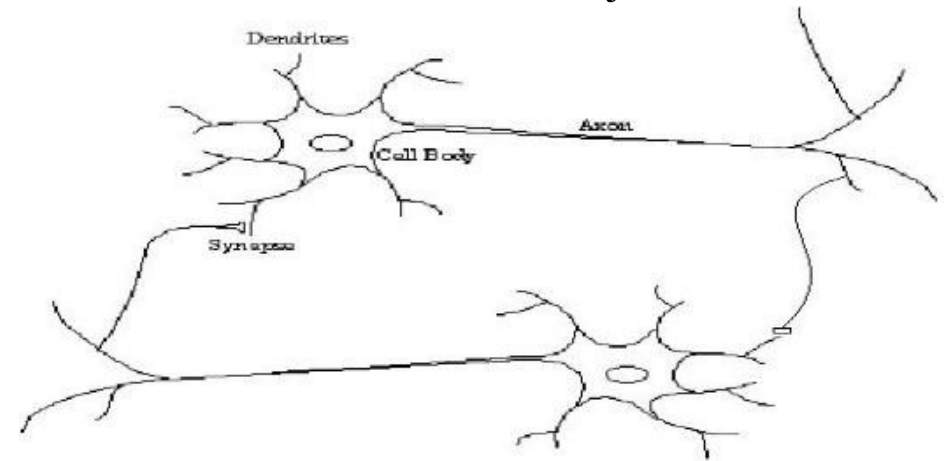


In what way are the neurons connected?

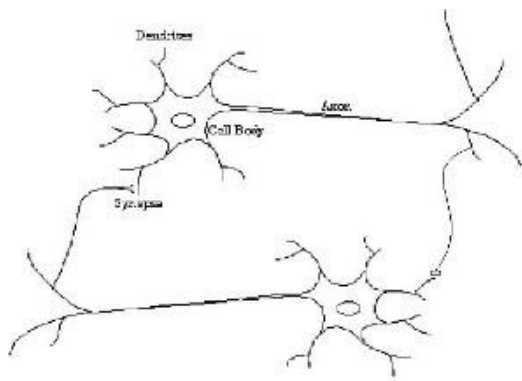
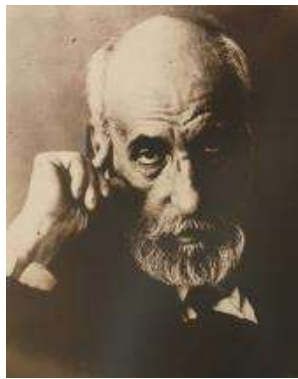
Shared the Nobel Prize in 1906



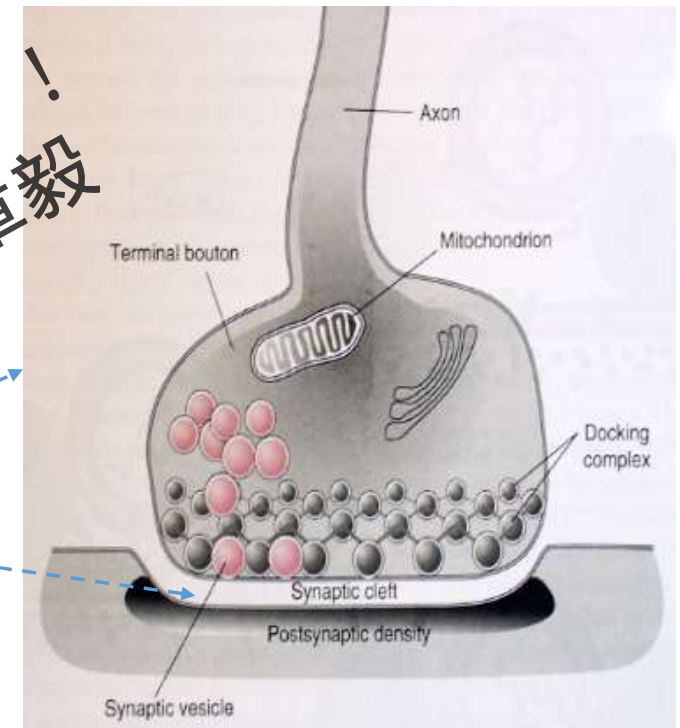
S. R. Cajal



# Introduction to brain structure



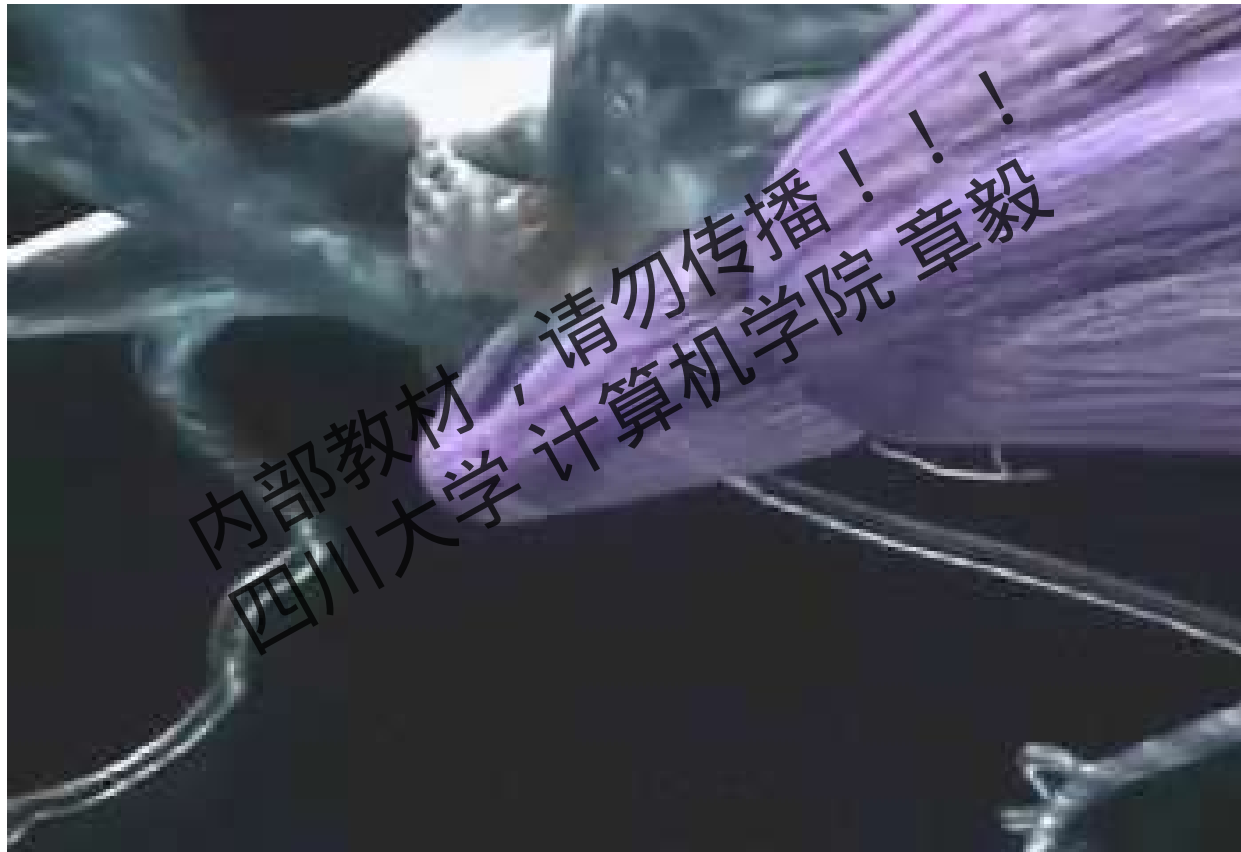
Synapse



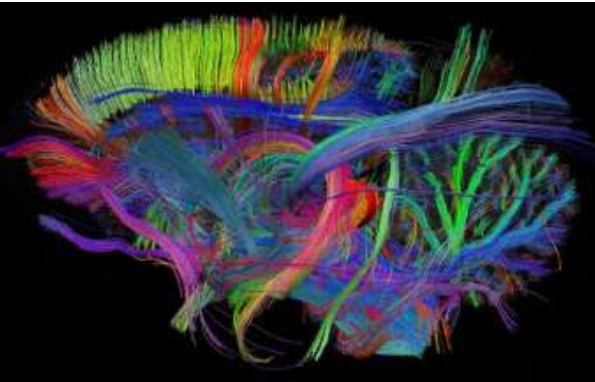
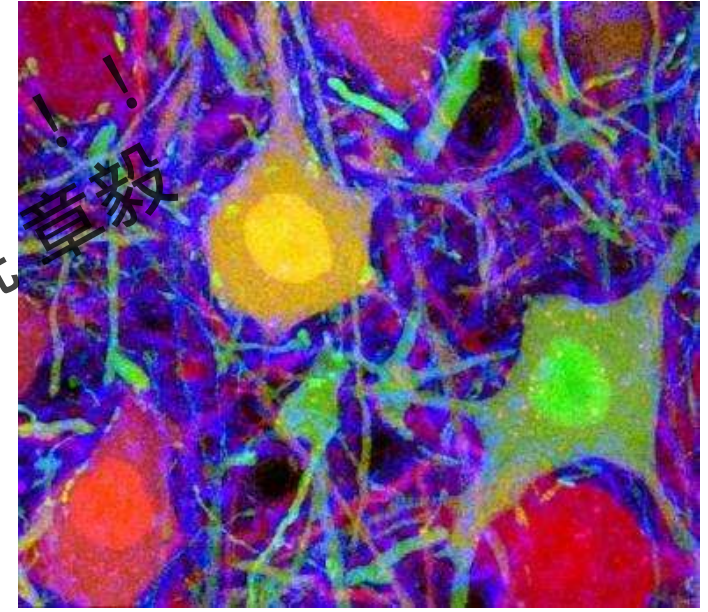
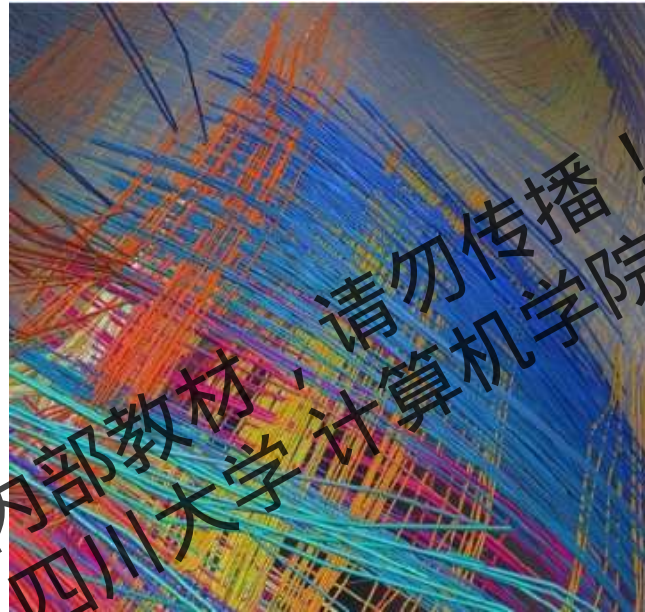
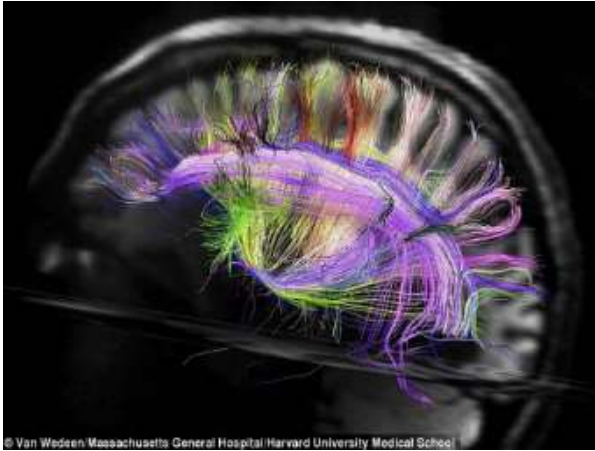
- A brain contains about  $10^{11}$  neurons
- Each neuron has about  $10^4$  connections



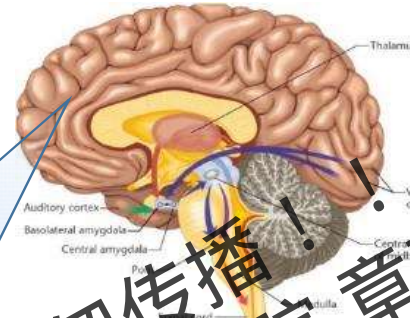
# Introduction to brain structure



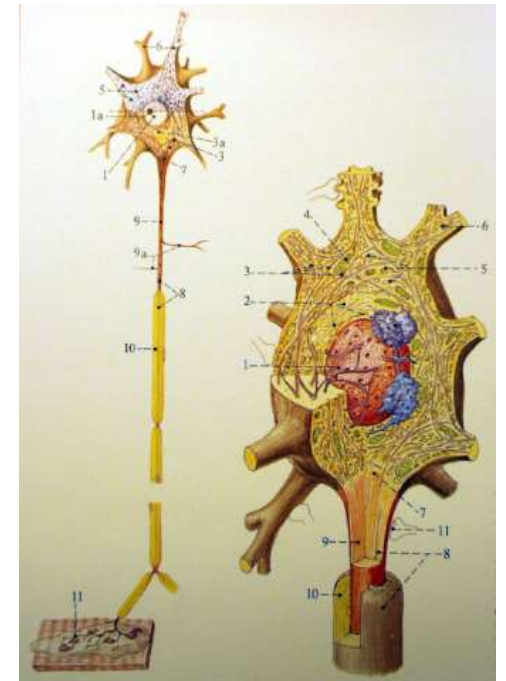
# Introduction to brain structure



# Introduction to brain structure

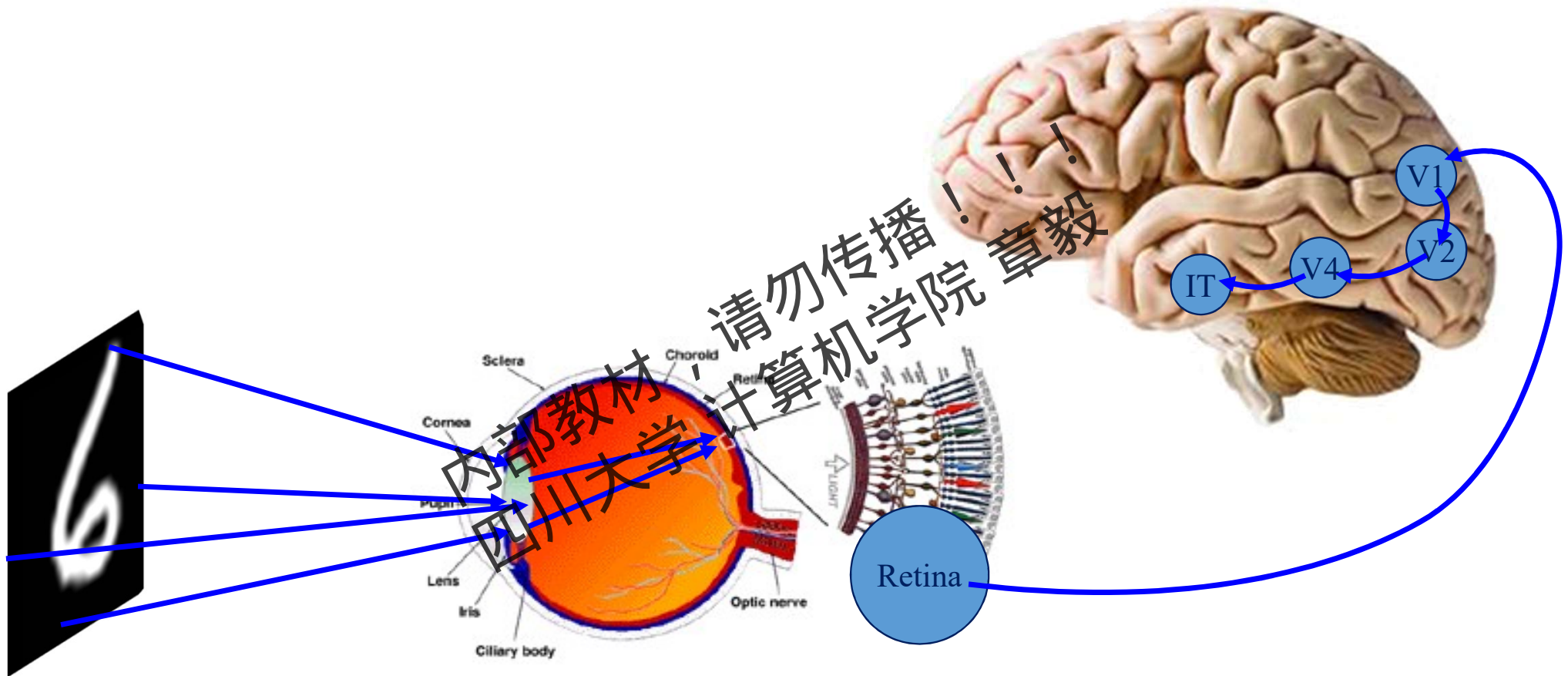


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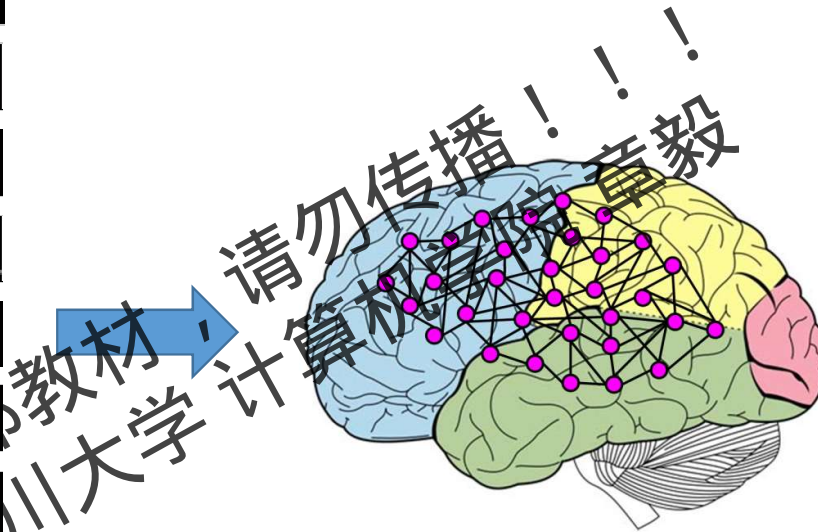
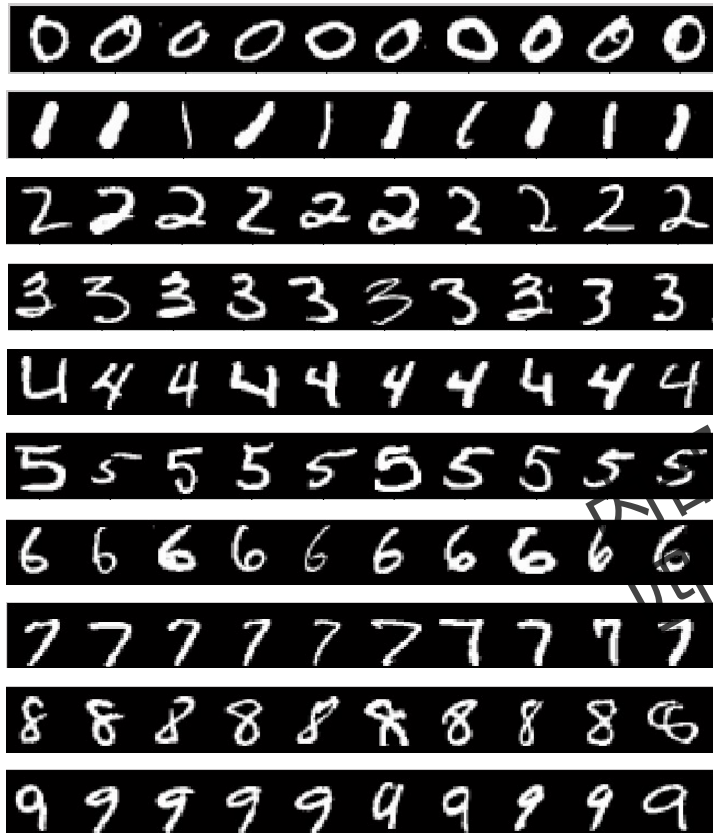




# Introduction to brain structure



# Introduction to brain structure

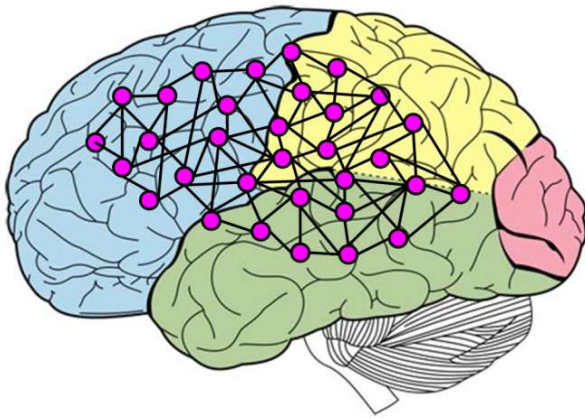


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## Problem:

- ❑ How to construct the computational model of brain?
- ❑ How to train the model of brain?  
How to develop the learning algorithm?

# Introduction to brain structure



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- What's next?
- Constructing the network model.
- Developing learning algorithm.

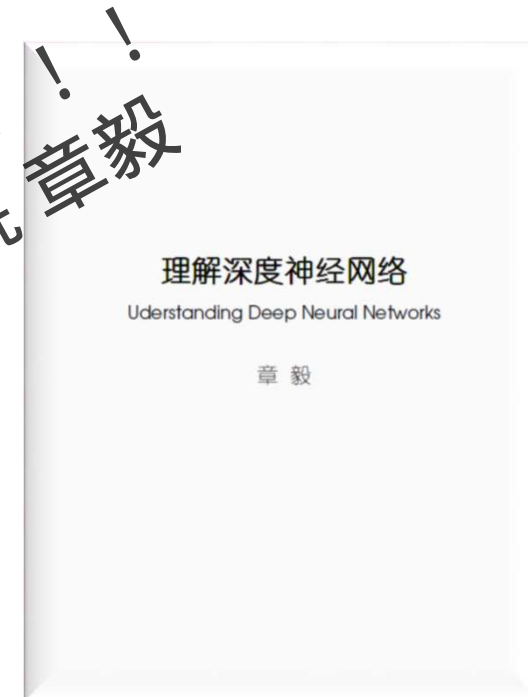
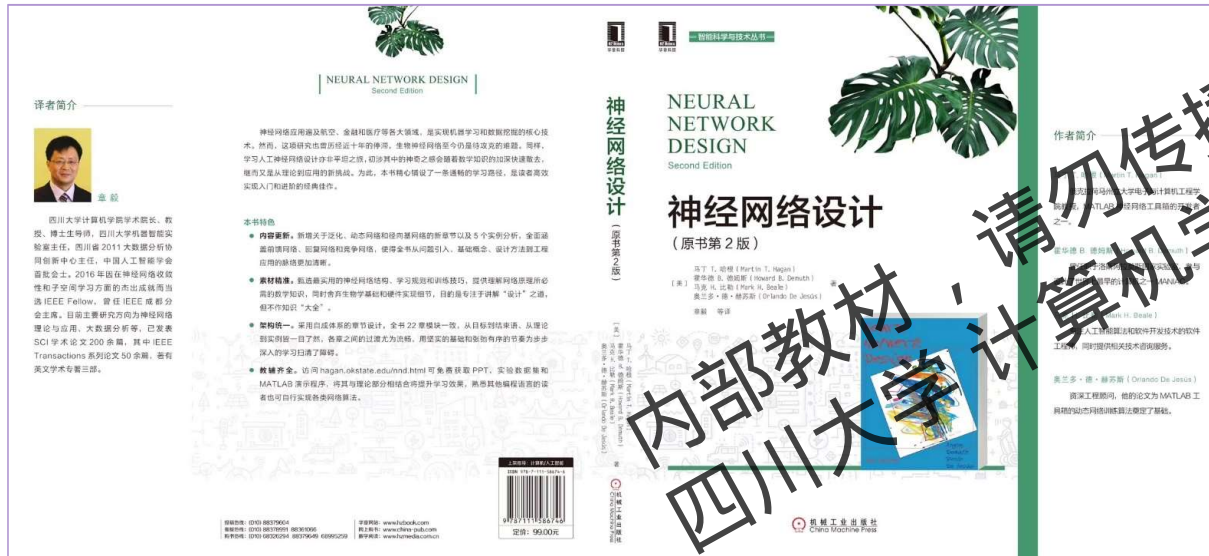
# Outline

- Concepts
- An example: handwritten digits recognition
- How does a child recognize the handwritten digits
- Introduction to brain structure
- Discussions

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# Discussions

## Reference Books



Under editing.....



# Discussions

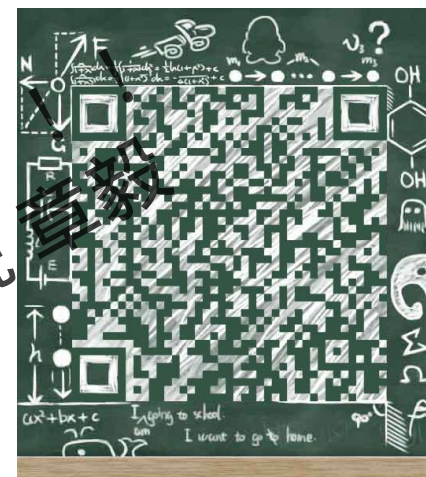
## TAs



Junjie Hu

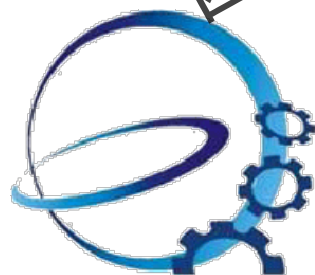


Xiuyuan Xu



深度学习引论2018

扫一扫二维码，加入该群。



<http://www.machineilab.org/>

<http://www.machineilab.org/users/zhangyi/index.html>

# Assignment

Implement the XOR-Worm problem classification by using MATLAB.



Doted worms



Smooth worms



$$F\left(\begin{bmatrix} x_1 \\ x_2 \end{bmatrix}\right) = f[f(2x_1 + 2x_2 - 1) + f(-x_1 - x_2 + 1.5) - 1.5]$$

$$f(s) = \begin{cases} 1, & s \geq 0 \\ 0, & \text{otherwise} \end{cases}$$

*Thanks*

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