

# CS 344: Design and Analysis of Computer Algorithms

(Spring 2022 — Sections 5,6,7,8)

## Lecture 8: Hashing, Dynamic Programming

# Hash Tables: Chaining

How to **handle** the collisions?

# Chaining

- The hash table is an **array** with each cell being a **linked-list**
- Given the array  $A[1:n]$ :
  - For every  $i$ , we compute  $b(i) = h(A[i])$  and add  $A[i]$  to the tail of the linked-list at  $T[b(i)]$
- Given  $x$  to be searched:
  - We iterate over elements of the linked-list  $T[h(x)]$  to find  $x$  or output it does not exist

# Chaining : Example

- $h(x) = (x \bmod 4) + 1$

**n=8**

**m=4**

**A:**

20	150	16	71	31	51
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**T:**

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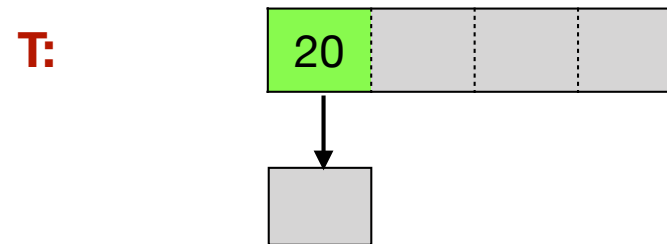
# Chaining : Example

- $h(x) = (x \bmod 4) + 1$
- $h(20) = 1$

**n=8** **m=4**

**A:**

20	150	16	71	31	51
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# Chaining : Example

- $h(x) = (x \bmod 4) + 1$
- $h(20) = 1$

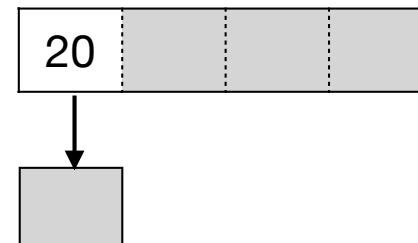
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**m=4**

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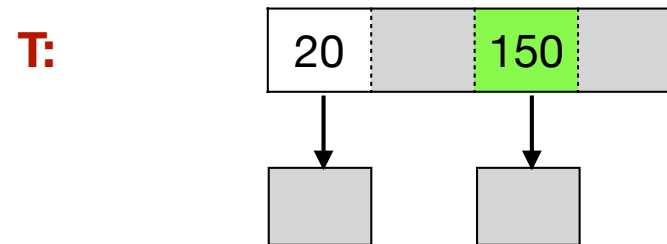
# Chaining : Example

- $h(x) = (x \bmod 4) + 1$
- $h(20) = 1$
- $h(150) = 3$

**n=8** **m=4**

**A:**

20	150	16	71	31	51
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# Chaining : Example

- $h(x) = (x \bmod 4) + 1$
- $h(20) = 1$
- $h(150) = 3$

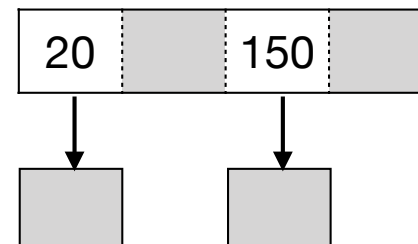
**n=8**

**m=4**

**A:**

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**T:**





# Chaining : Example

- $h(x) = (x \bmod 4) + 1$

- $h(20) = 1$

- $h(150) = 3$

- $h(16) = 1$

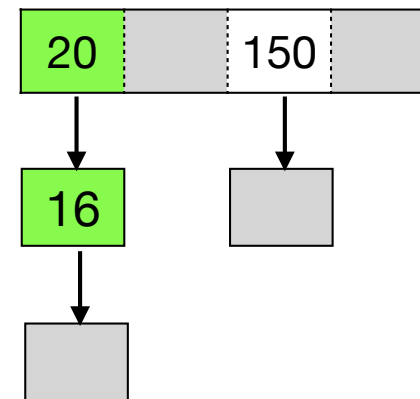
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# Chaining : Example

- $h(x) = (x \bmod 4) + 1$

- $h(20) = 1$

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- $h(16) = 1$

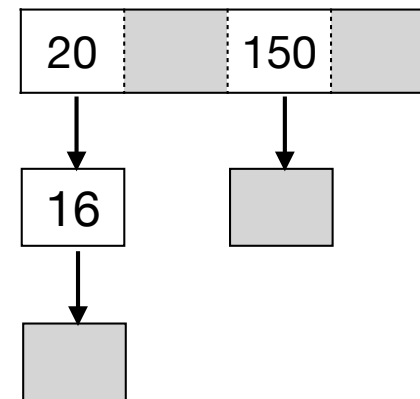
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# Chaining : Example

- $h(x) = (x \bmod 4) + 1$

- $h(20) = 1$

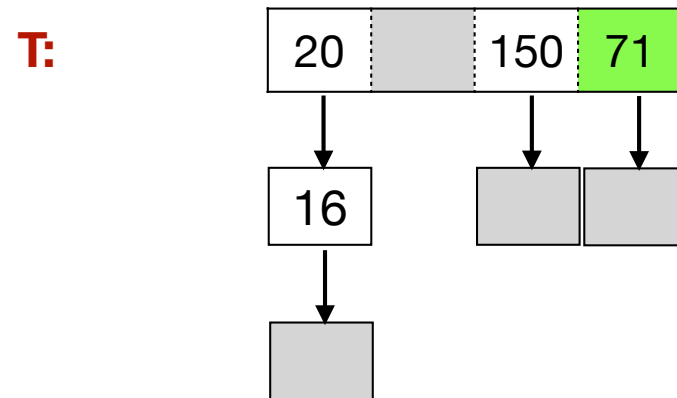
- $h(150) = 3$

- $h(16) = 1$

- $h(71) = 4$

**A:**

<b>n=8</b>			<b>m=4</b>		
20	150	16	71	31	51



# Chaining : Example

- $h(x) = (x \bmod 4) + 1$

- $h(20) = 1$

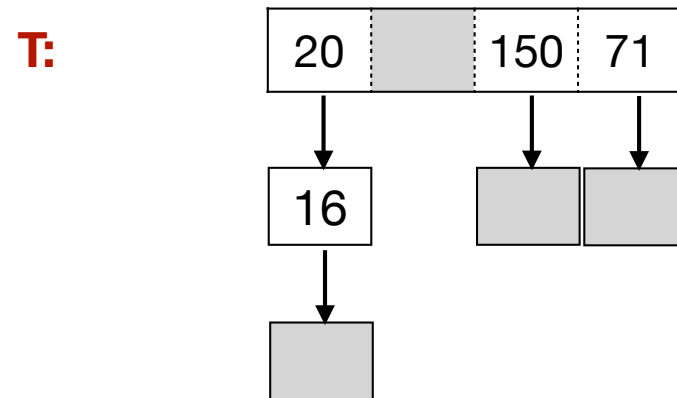
- $h(150) = 3$

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**A:**

<b>n=8</b>				<b>m=4</b>	
20	150	16	71	31	51



# Chaining : Example

- $h(x) = (x \bmod 4) + 1$

- $h(20) = 1$

- $h(150) = 3$

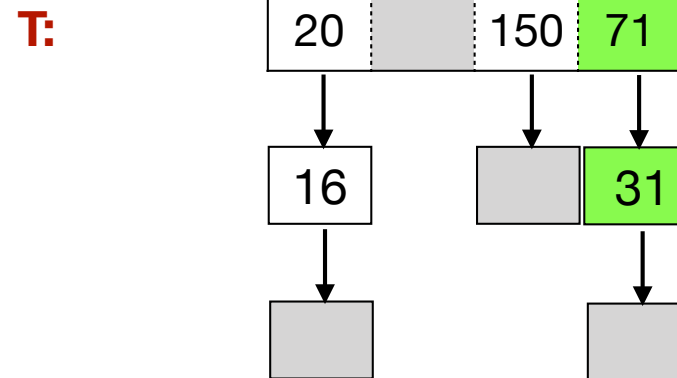
- $h(16) = 1$

- $h(71) = 4$

- $h(31) = 4$

**A:**

<b>n=8</b>				<b>m=4</b>	
20	150	16	71	31	51



# Chaining : Example

- $h(x) = (x \bmod 4) + 1$

- $h(20) = 1$

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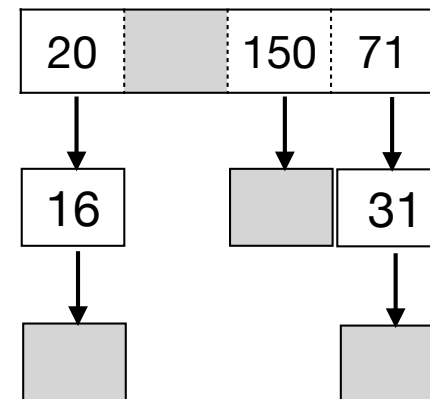
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# Chaining : Example

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- $h(20) = 1$

- $h(150) = 3$

- $h(16) = 1$

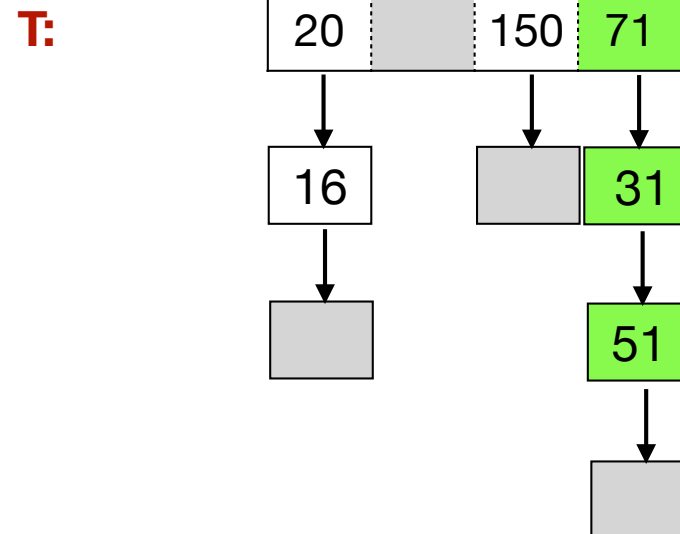
- $h(71) = 4$

- $h(31) = 4$

- $h(51) = 4$

**A:**

<b>n=8</b>				<b>m=4</b>	
20	150	16	71	31	51



# Chaining : Example

- $h(x) = (x \bmod 4) + 1$

- $h(20) = 1$

- $h(150) = 3$

- $h(16) = 1$

- $h(71) = 4$

- $h(31) = 4$

- $h(51) = 4$

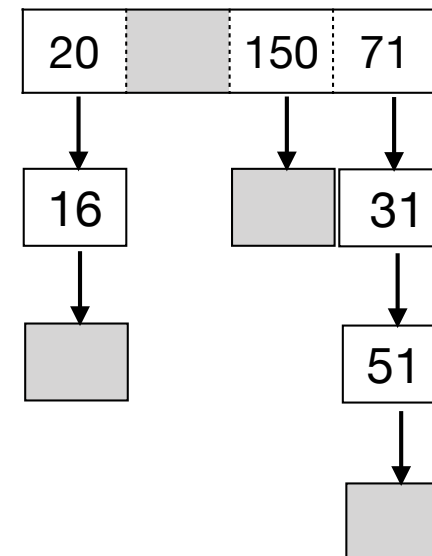
**n=8**

**m=4**

**A:**

20	150	16	71	31	51
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**T:**





# Chaining : Example

- $h(x) = (x \bmod 4) + 1$
- Search for  $x=31$ 
  - $h(31) = 4$

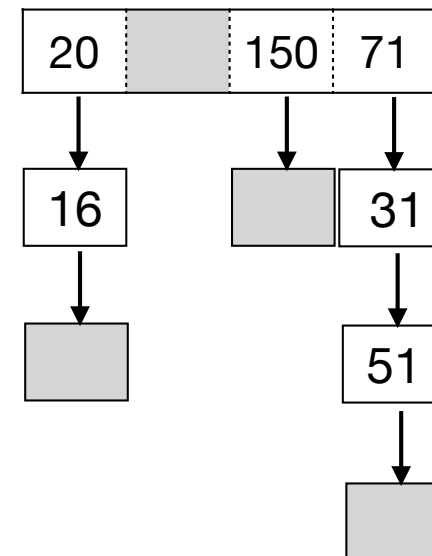
**n=8**

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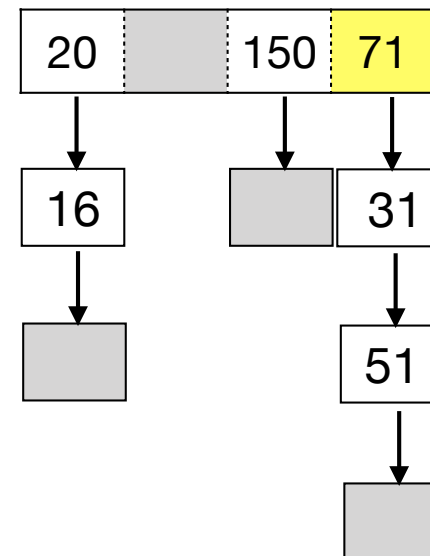
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- Search for  $x=31$ 
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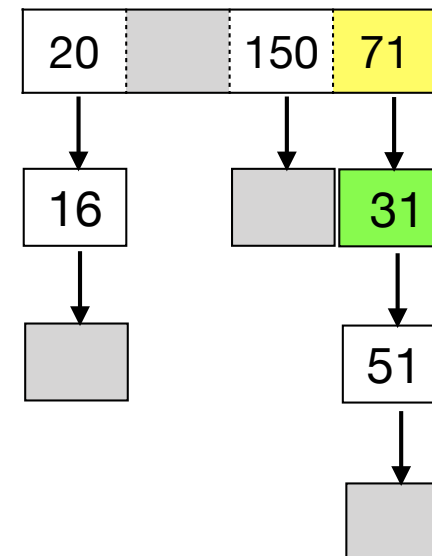
**n=8**

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**A:**

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**T:**



# Chaining : Example

- $h(x) = (x \bmod 4) + 1$

- Search for  $x=31$

- $h(31) = 4$

- Search for  $x=64$

- $h(64)=1$

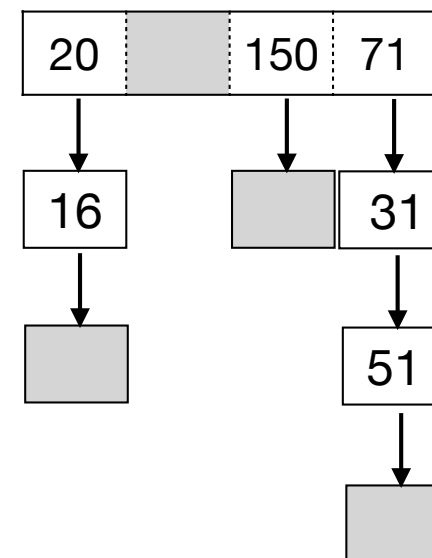
**n=8**

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# Chaining : Example

- $h(x) = (x \bmod 4) + 1$

- Search for  $x=31$

- $h(31) = 4$

- Search for  $x=64$

- $h(64)=1$

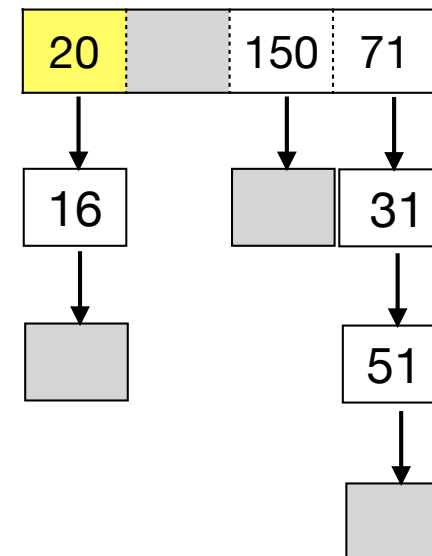
**n=8**

**m=4**

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# Chaining : Example

- $h(x) = (x \bmod 4) + 1$

- Search for  $x=31$

- $h(31) = 4$

- Search for  $x=64$

- $h(64)=1$

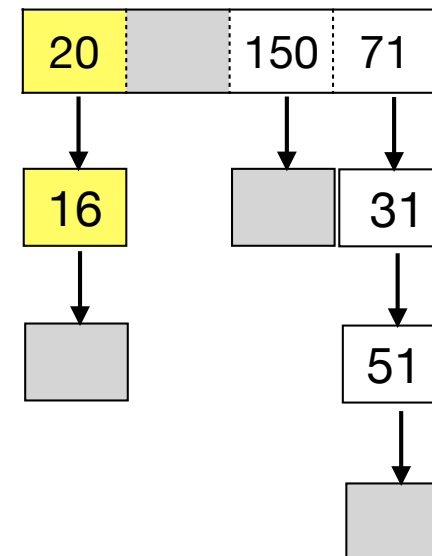
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- $h(x) = (x \bmod 4) + 1$

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- Search for  $x=64$

- $h(64)=1$

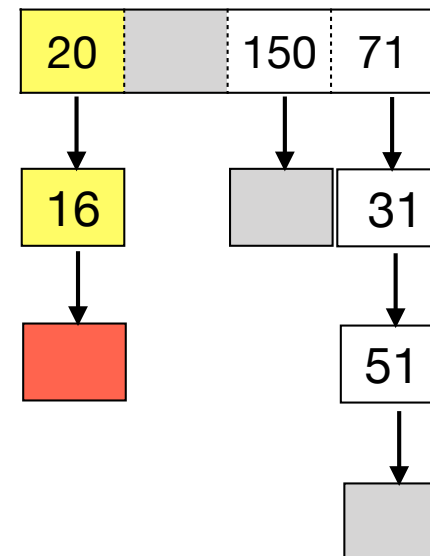
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- Search for  $x=31$

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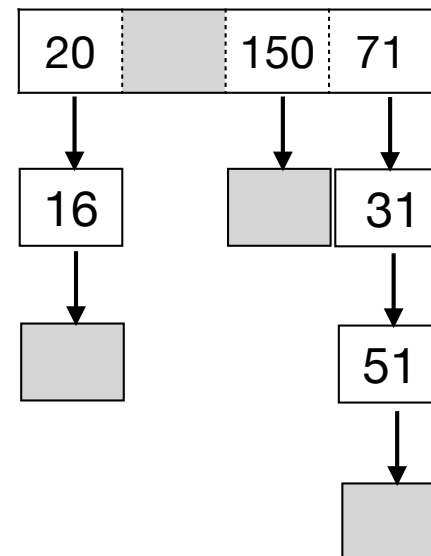
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**T:**





# Chaining: Proof of Correctness

- Every element  $A[i]$  of is added to the linked-list of  $T[h(A[i])]$  and no other number appears in the linked-list
- For any number  $x$ , it can only be in the linked-list  $T[h(x)]$  and we search the entire list for it

# Chaining: Runtime Analysis

- What is worst-case runtime of `search(x)`?

# Chaining: Runtime Analysis

- What is worst-case expected runtime of `search(x)`?

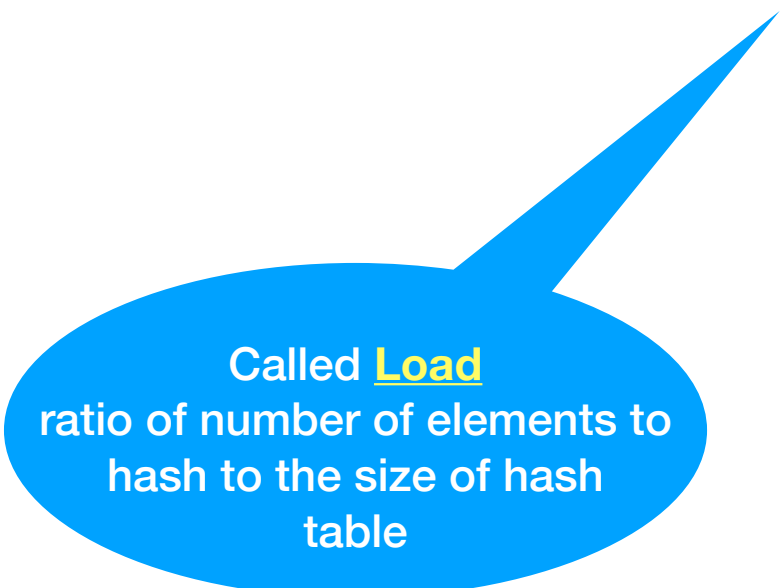
# Chaining: Runtime Analysis

- Worst-case expected runtime of **search**( $x$ ) using near-universal hash functions:
  - Define  $\ell(x)$  as the number of elements in  $A[1:n]$  mapped to  $x$  by the hash function  $h$
  - Runtime of **search**( $x$ ) is  $O(1 + \ell(x))$
- Worst-case expected runtime of **search**( $x$ ) is  $O(1 + \mathbf{E}_{h \in \mathcal{H}}[\ell(x)])$

# Chaining: Runtime Analysis

- So worst-case **expected** runtime is:

$$O(1 + \mathbf{E}_{h \in \mathcal{H}}[\ell(x)]) = O(1 + \frac{n}{m})$$



Called **Load**  
ratio of number of elements to  
hash to the size of hash  
table

**Dynamic Programming:  
It is just Smart Recursion**

# Dynamic Programming?

- A technique for speeding up recursive algorithms
- Proof of correctness: exactly the same as recursive algorithms
- Runtime? Will become much faster typically

# Fibonacci Numbers



# Recursive Algorithm

- **RecFibo**( $n$ ):
  - If  $n=1$  or  $n=2$ , return 1
  - Otherwise, return **RecFibo**( $n-1$ ) + **RecFibo**( $n-2$ )

# Recursive Algorithm

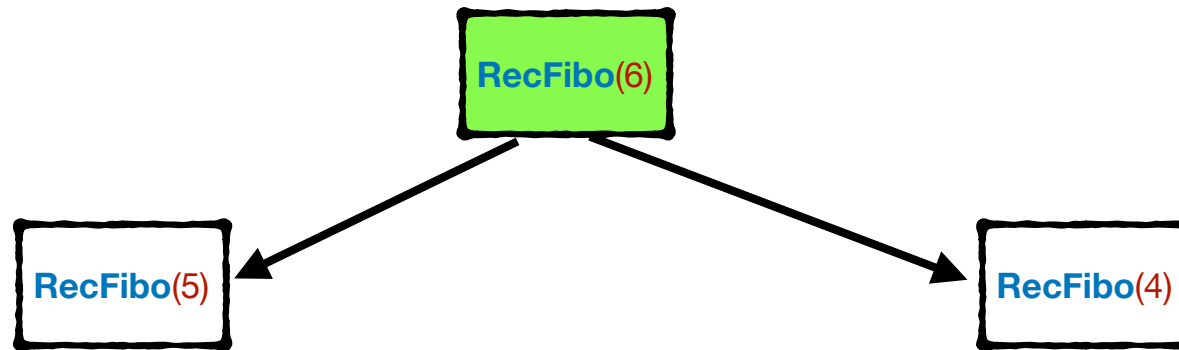
- **RecFibo**( $n$ ):
  - If  $n=1$  or  $n=2$ , return 1
  - Otherwise, return **RecFibo**( $n-1$ ) + **RecFibo**( $n-2$ )
- Proof of correctness: This is literally the same formula as  $F(n)$ !
- Runtime analysis?

# RecFibo(6):

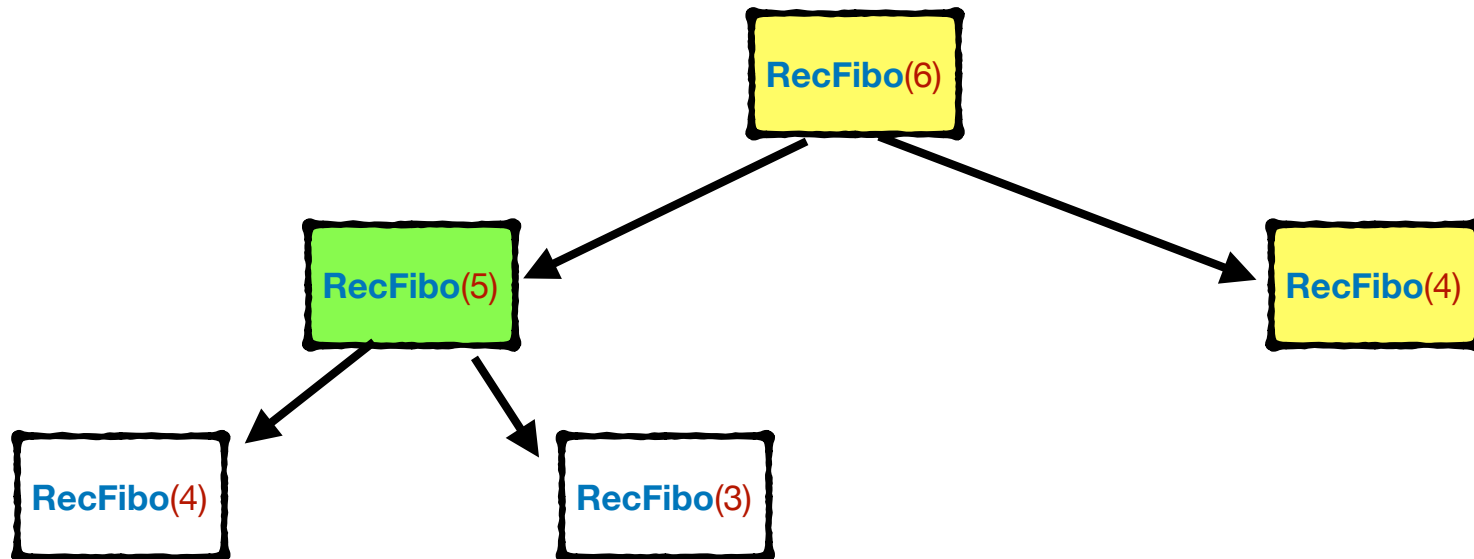


RecFibo(6)

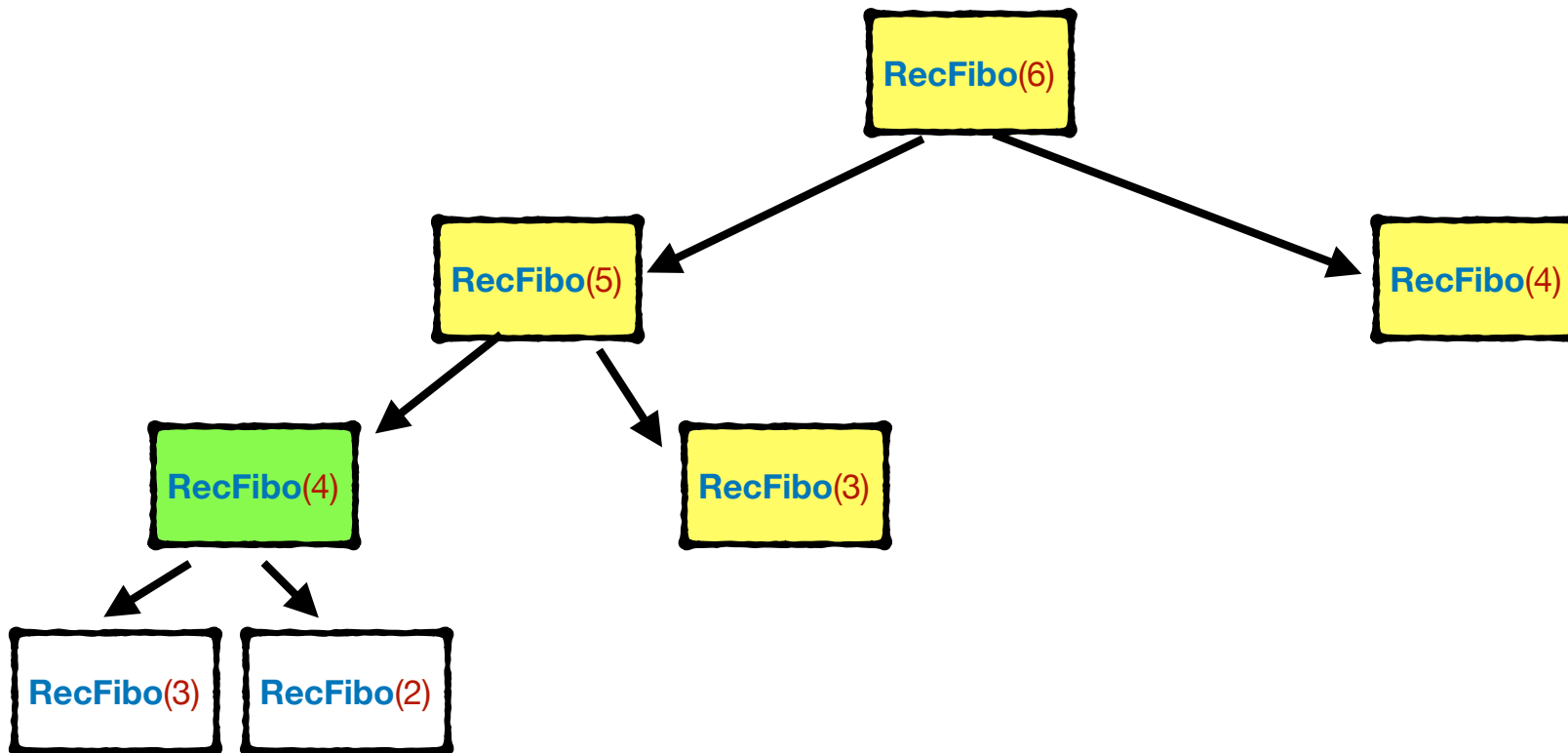
# RecFibo(6):



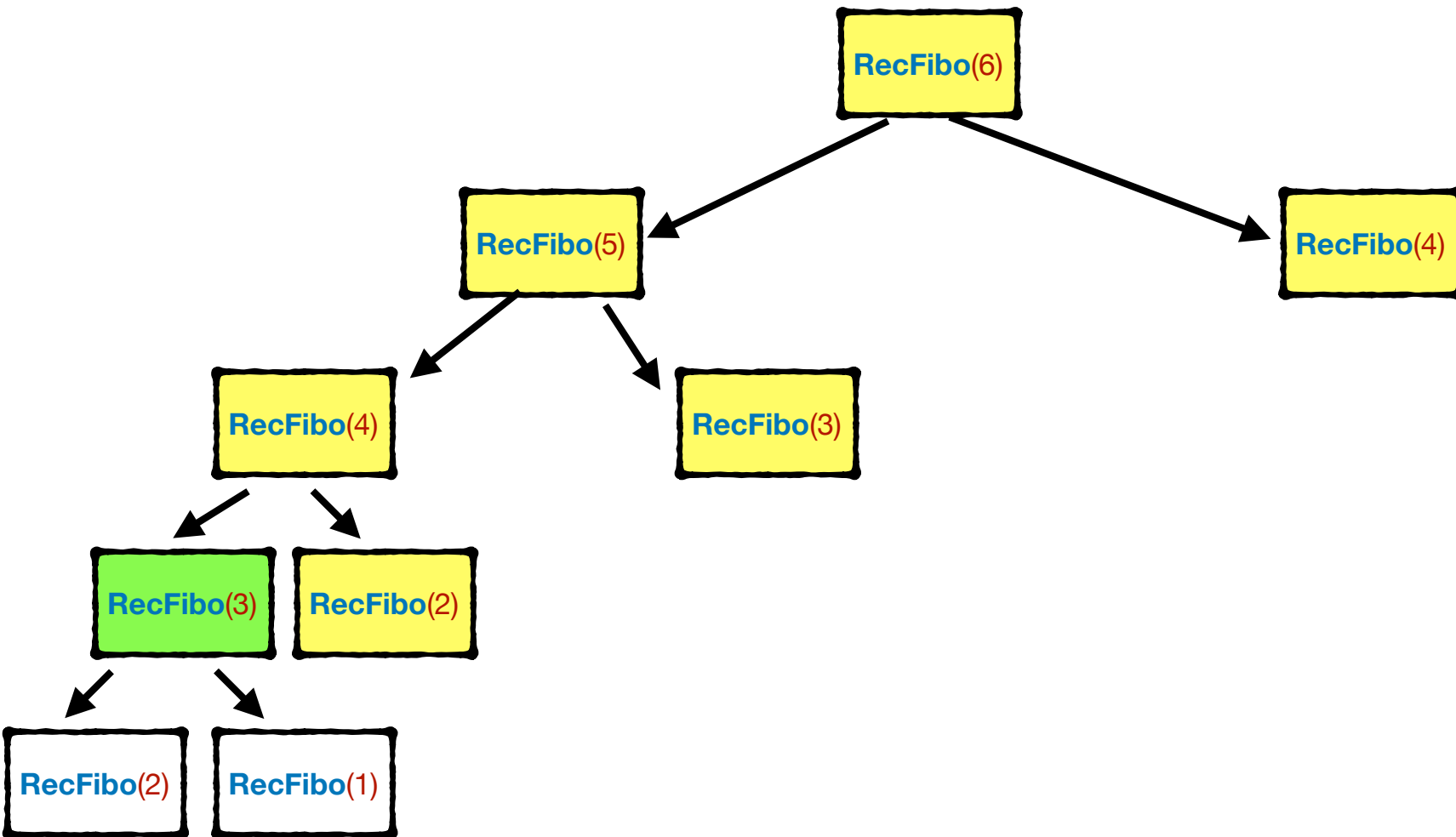
# RecFibo(6):



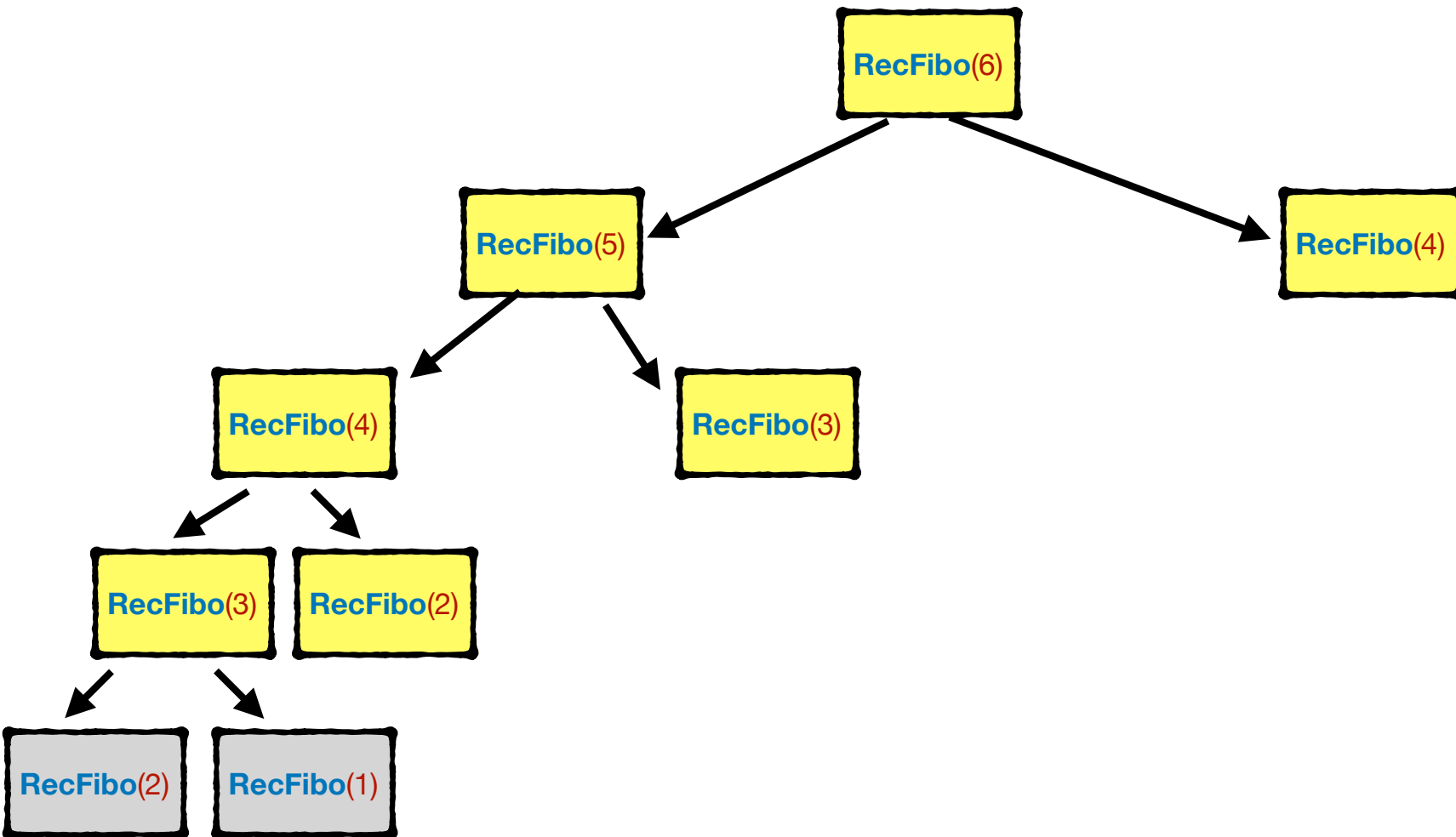
# RecFibo(6):



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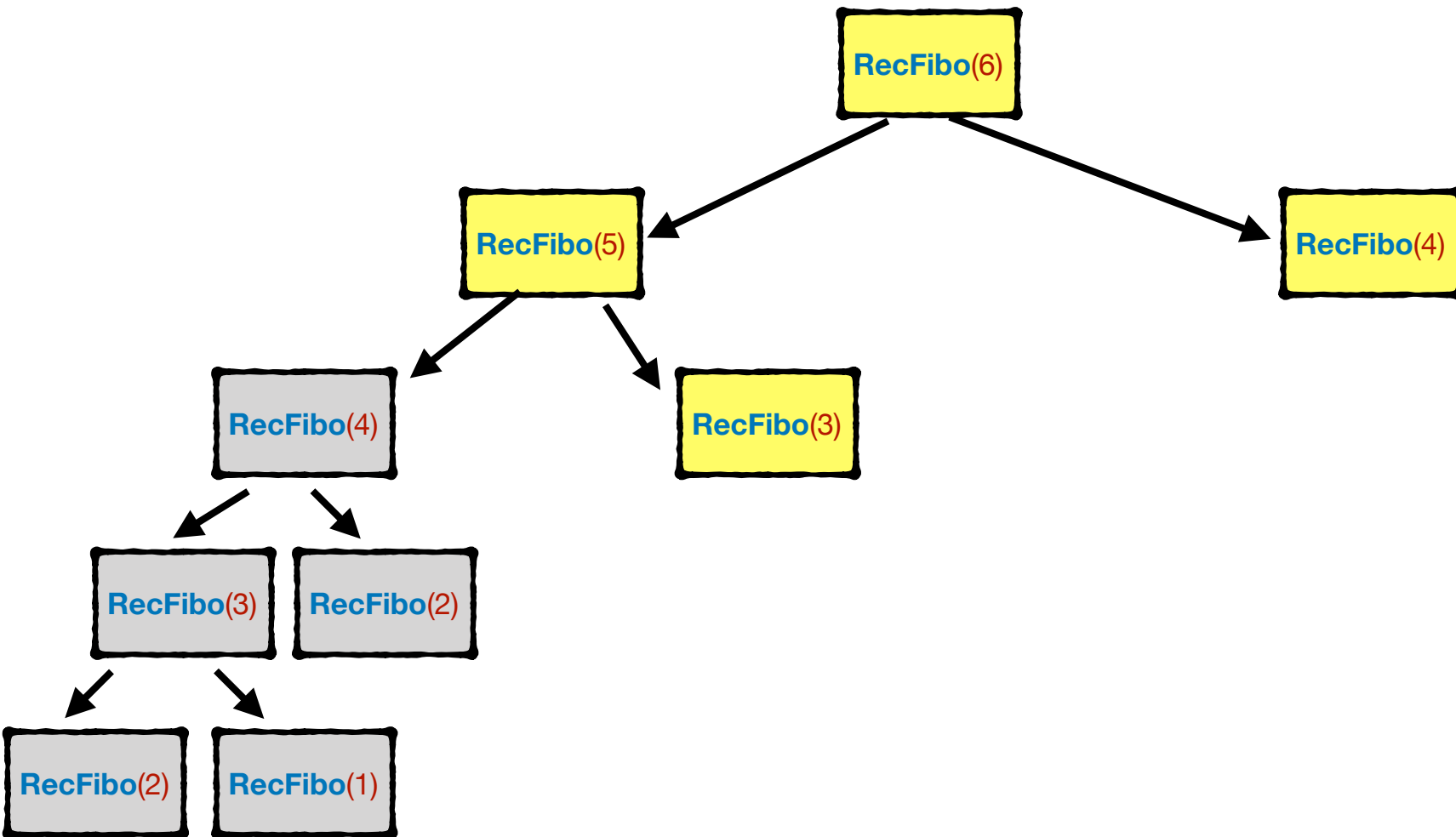


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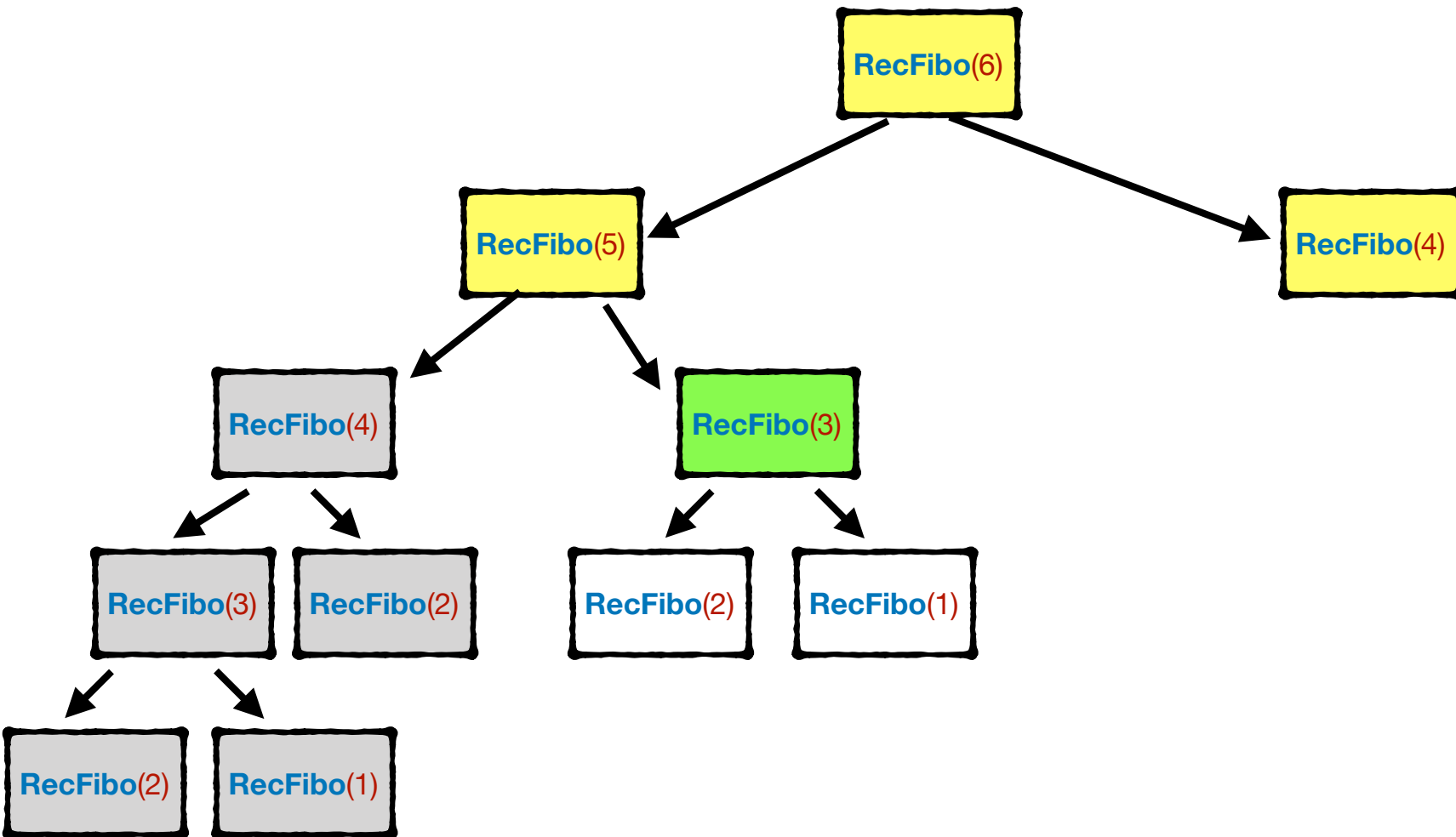




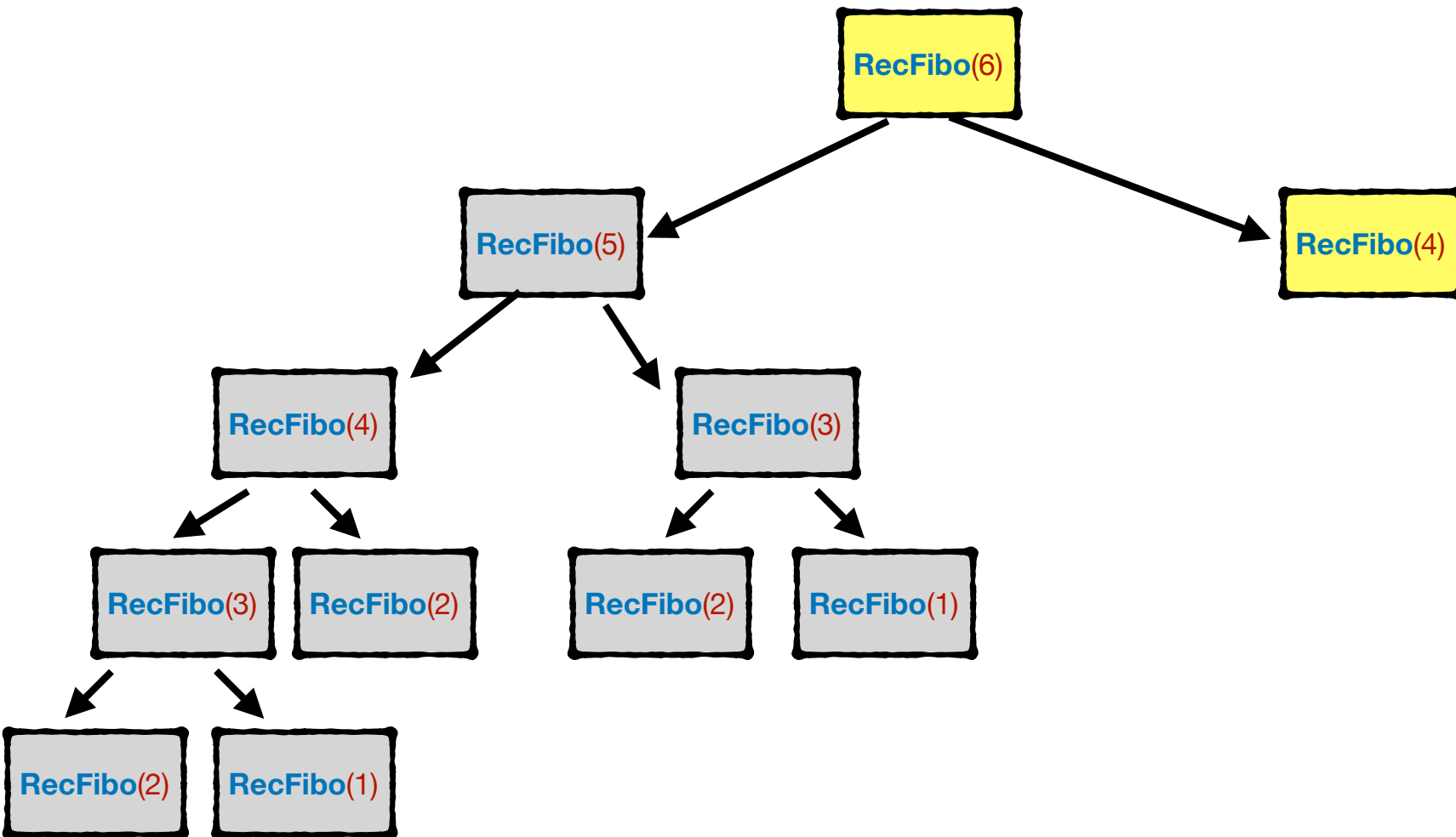
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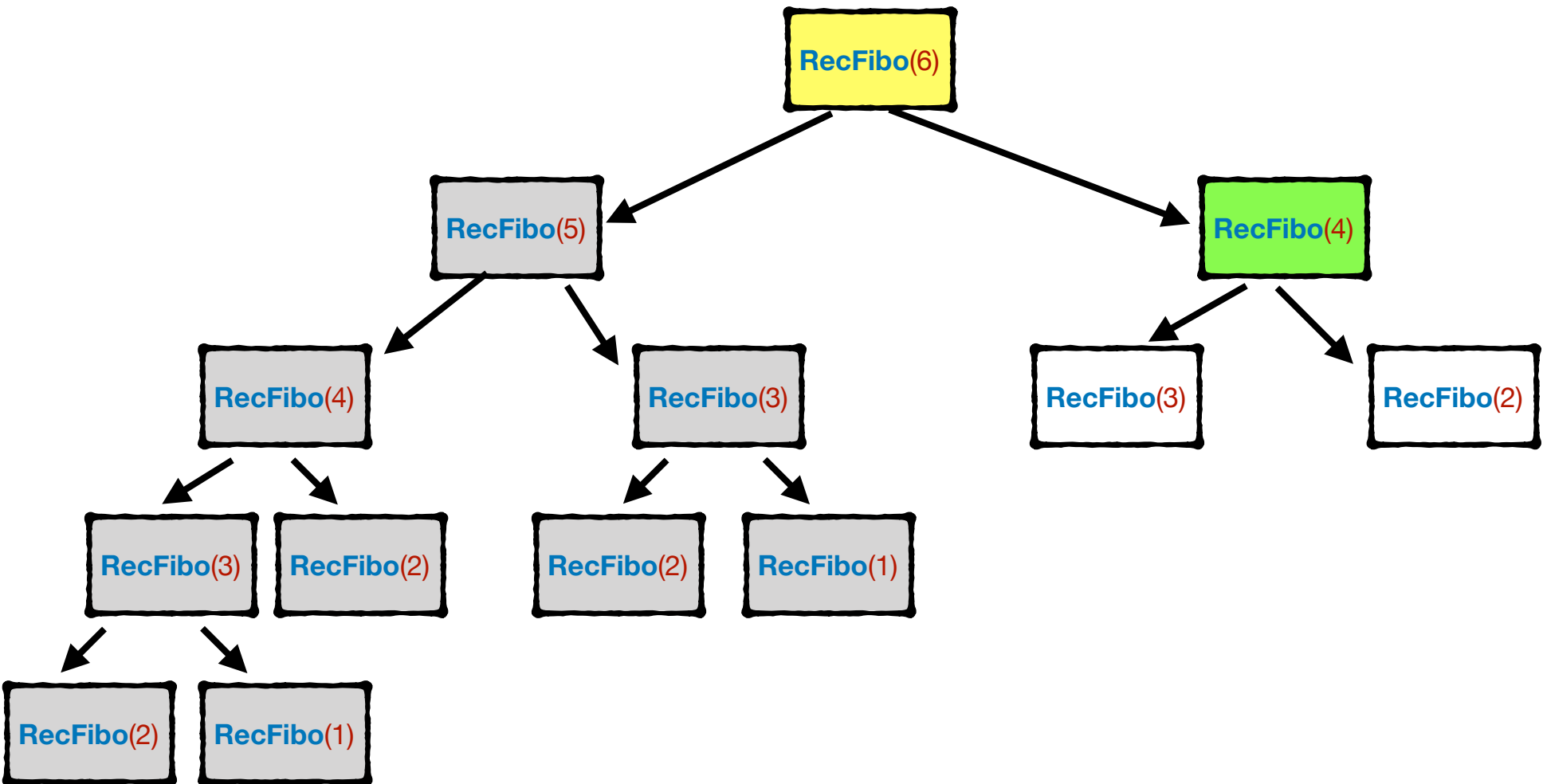
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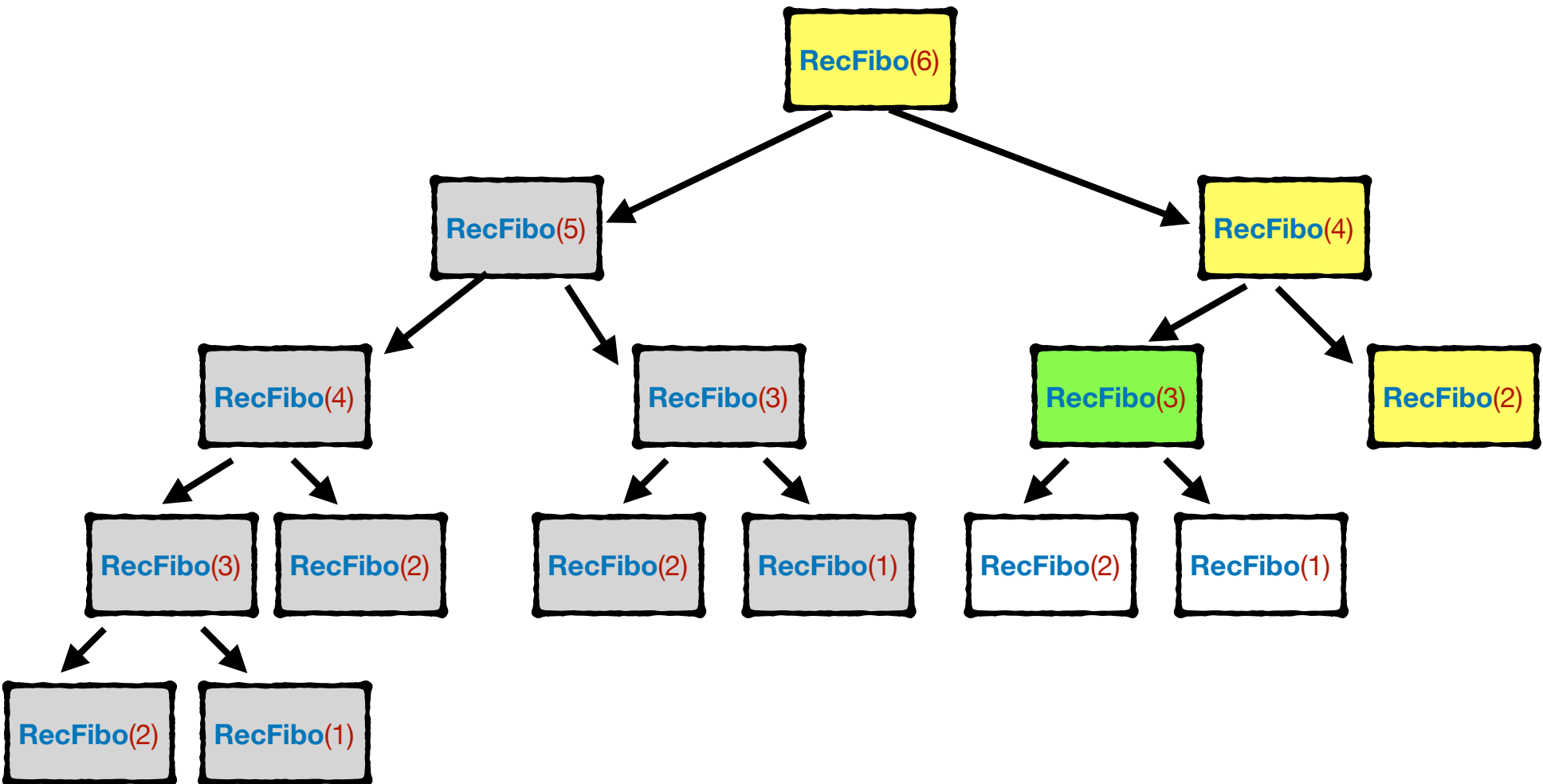
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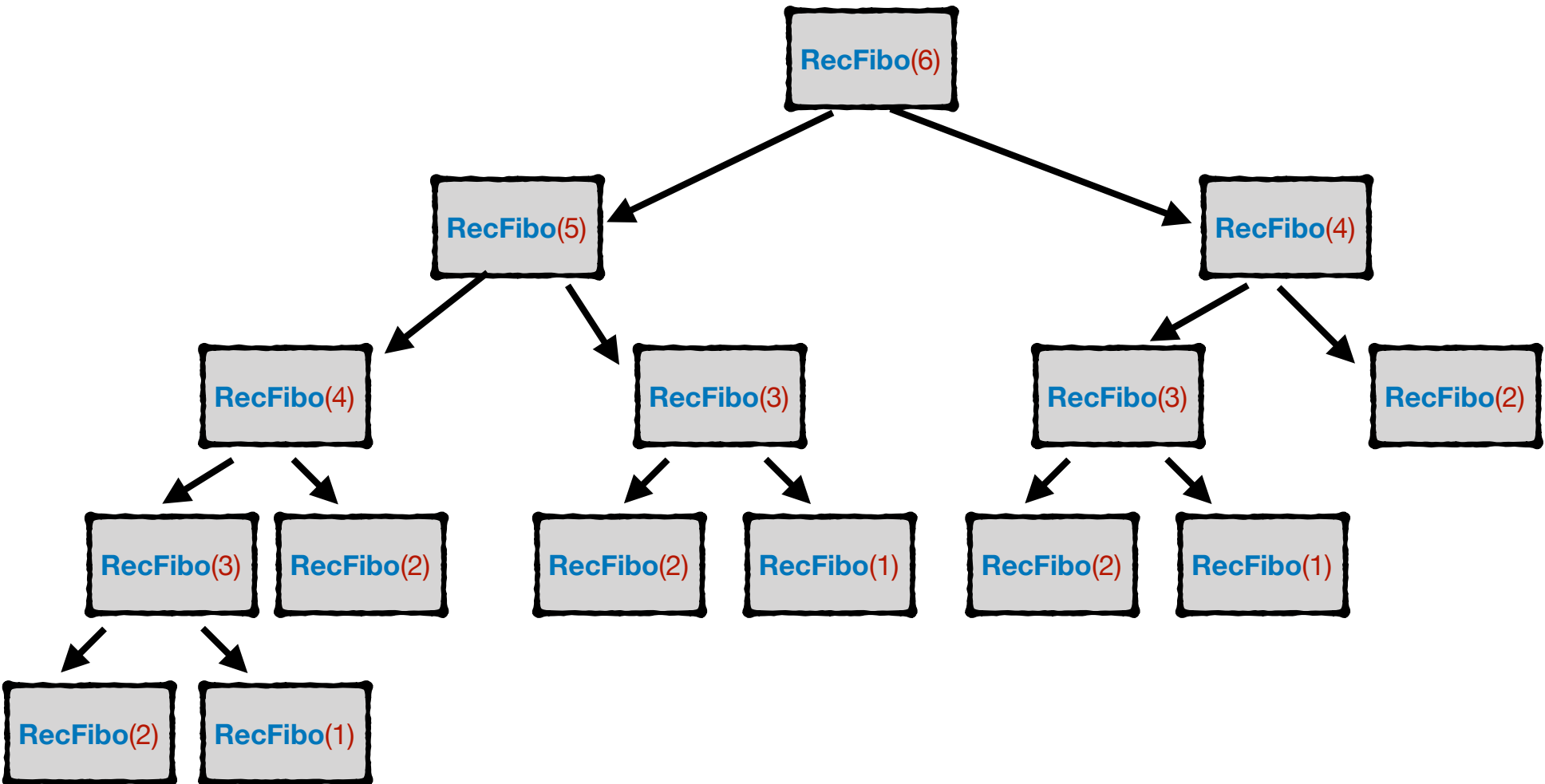
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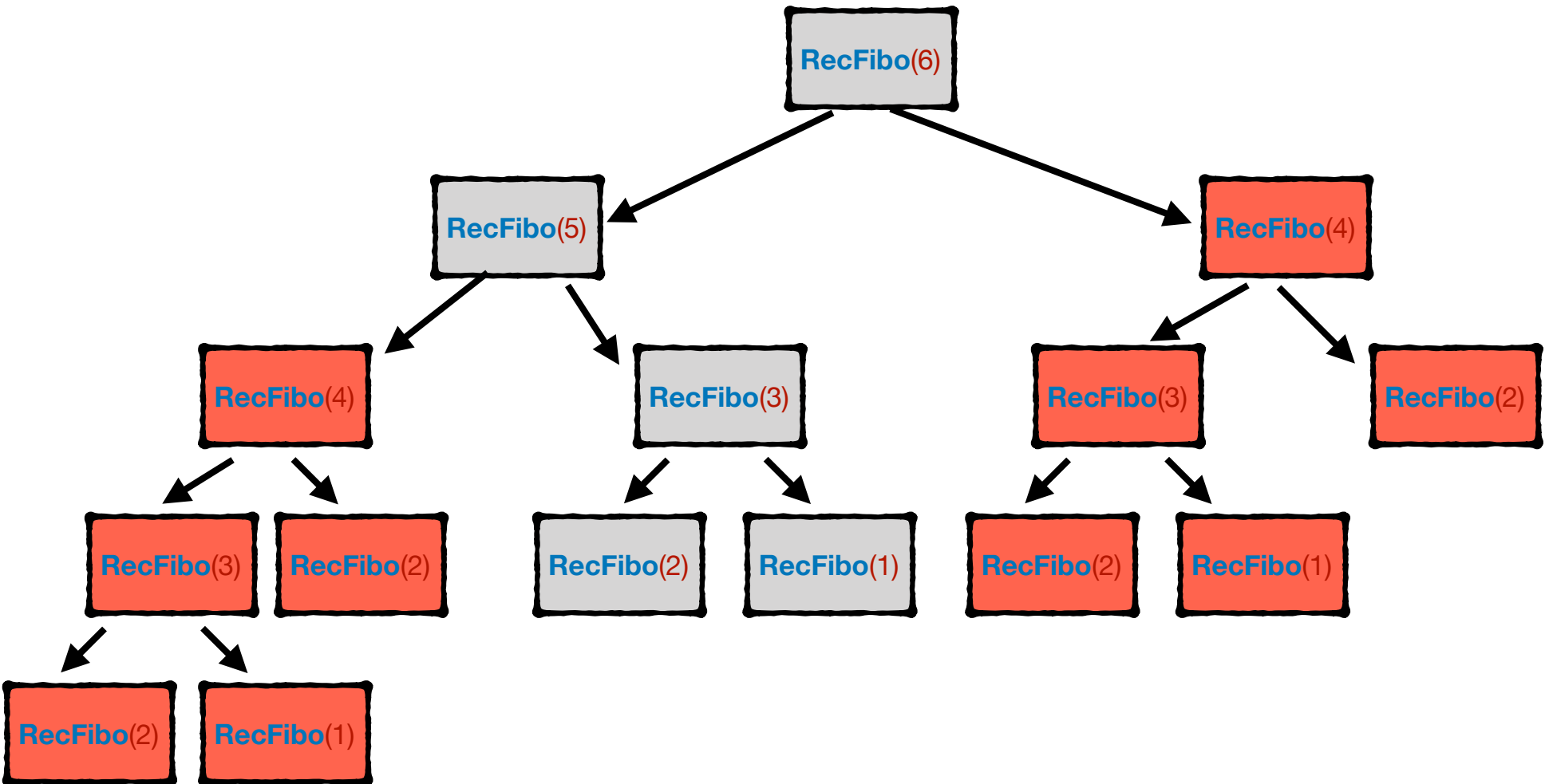
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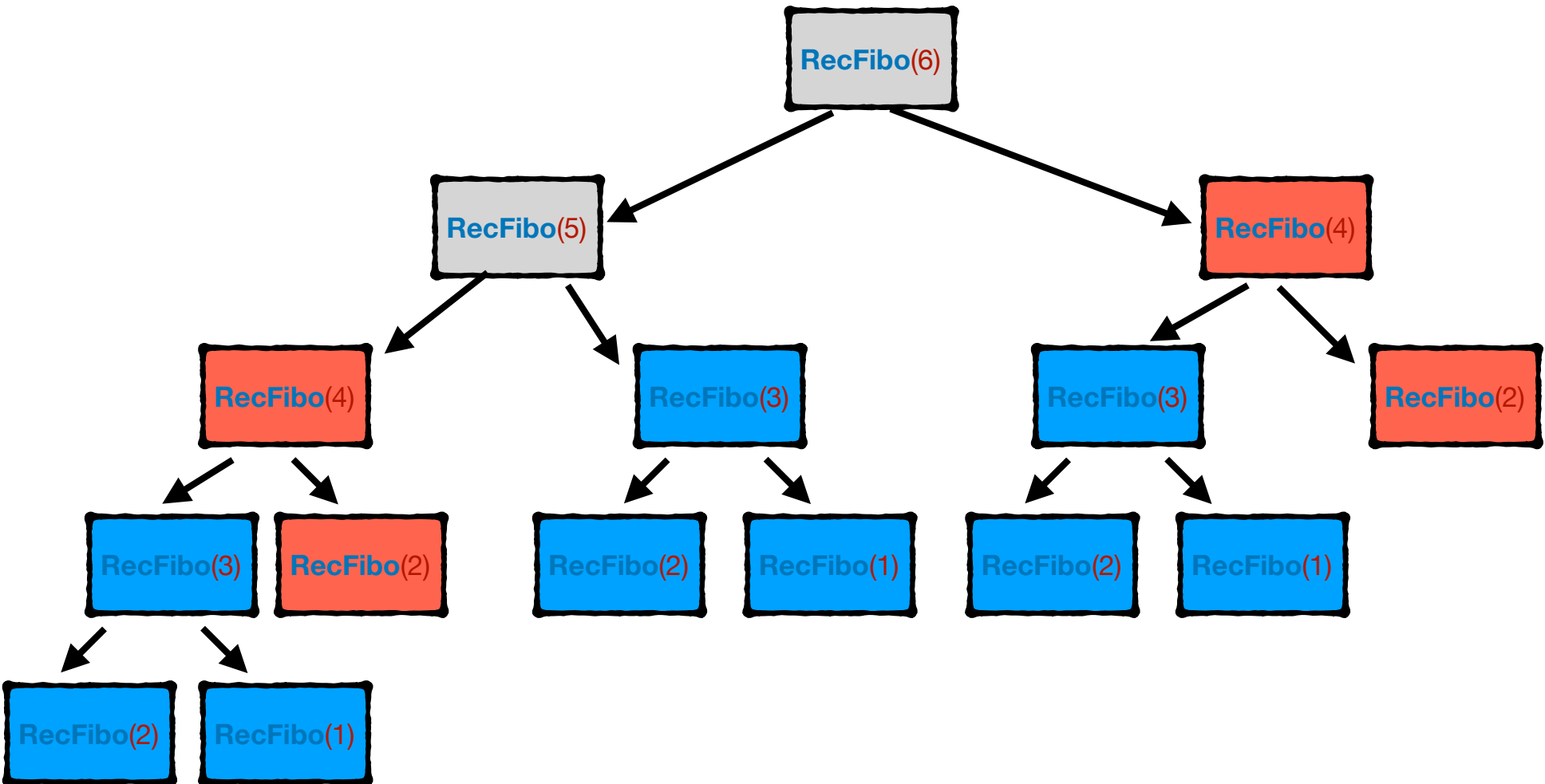
# RecFibo(6):



# What is wrong with this figure?

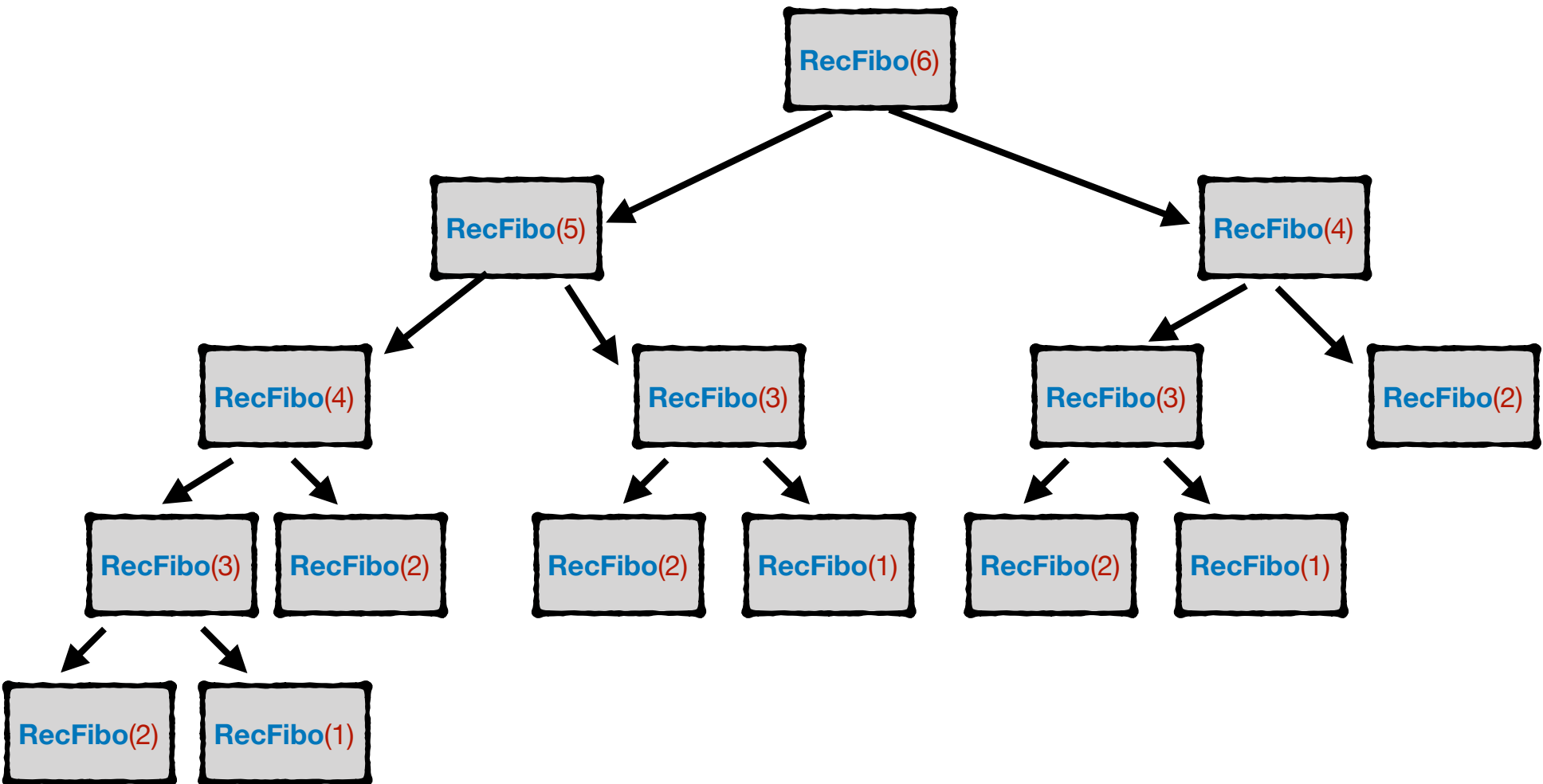


# What is wrong with this figure?

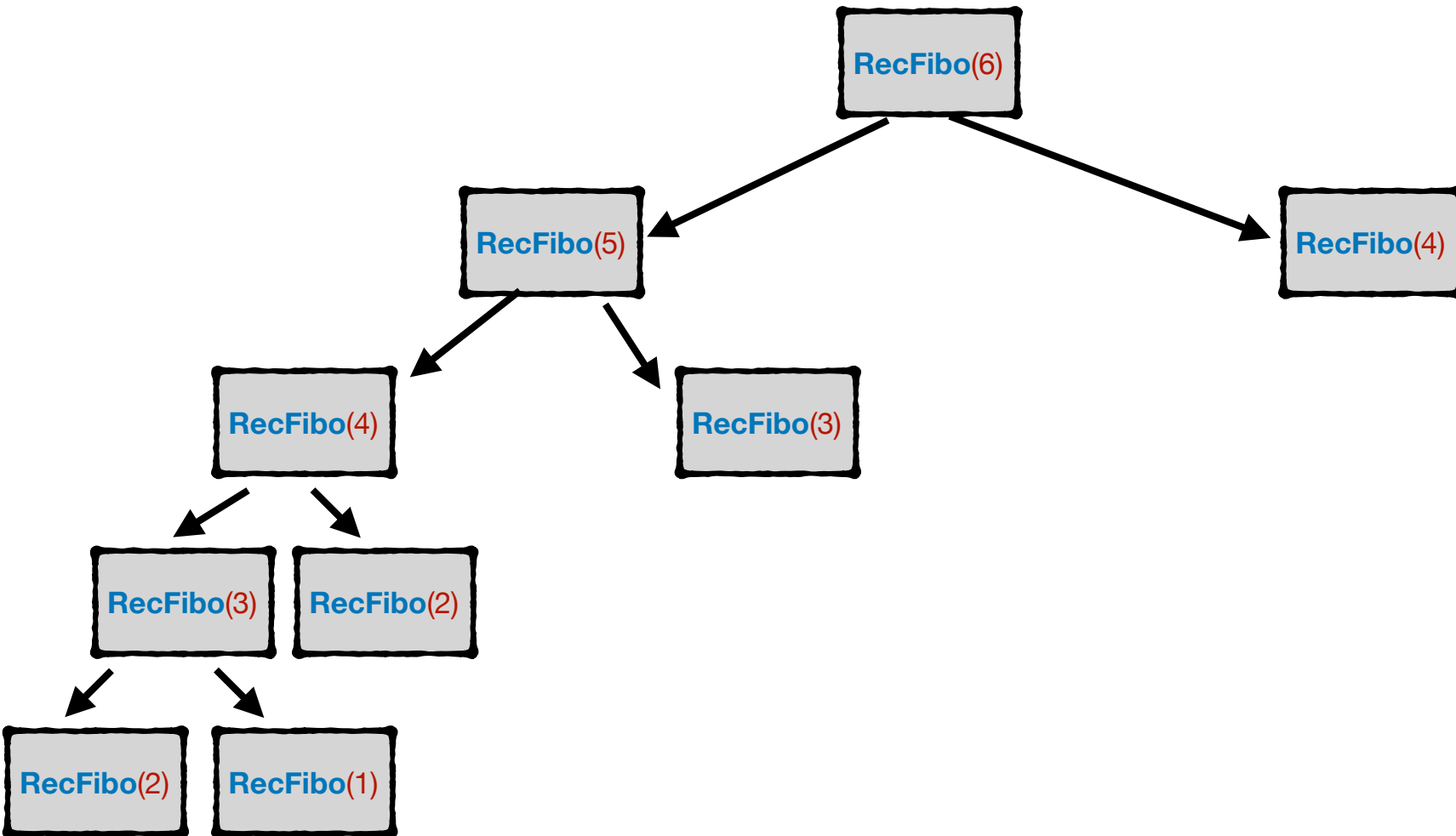




# An “ideal” figure?



# An “ideal” figure?



# Memoization

- Pick an array  $S[1:n]$  initialized with 'undefined' in every entry
- Whenever we compute  $F(i)$ , store it in  $S[i]$
- Next time, instead of recomputing  $F(i)$ , just return  $S[i]$

# Fibonacci Numbers with Memoization

- Initialize an array  $S[1:n]$  with 'undefined' in every entry
- **MemFibo**( $n$ ):
  - If  $S[n] \neq \text{'undefined'}$ , return  $S[n]$
  - If  $n=1$  or  $n=2$ , let  $S[n] = 1$
  - Otherwise, let  $S[n] = \text{MemFibo}(n-1) + \text{MemFibo}(n-2)$
  - Return  $S[n]$

# Fibonacci Numbers with Memoization

- Proof of Correctness:
  - Nothing has changed in the logic of algorithm between **MemFibo** and **RecFibo**
  - So **MemFibo** is also correct

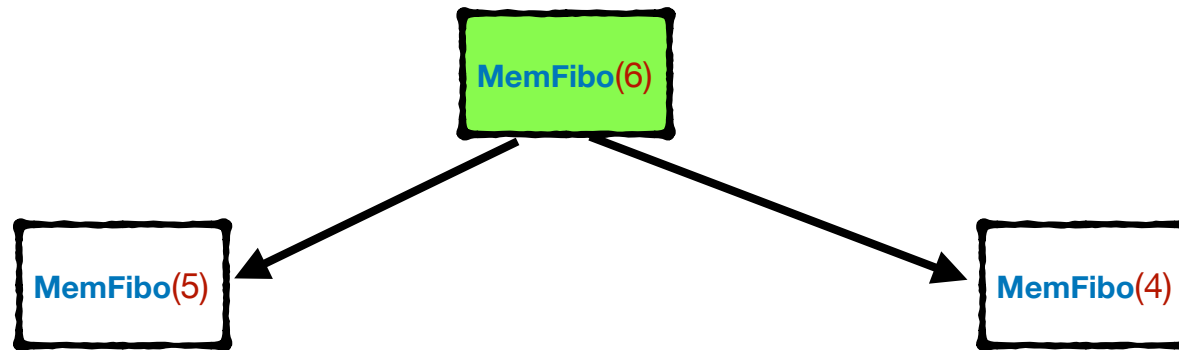
# Fibonacci Numbers with Memoization

- Runtime Analysis:
  - We spend  $O(1)$  time, ignoring recursive calls, for each subproblem **MemFibo**( $m$ ) for any  $1 \leq m \leq n$
  - We will **not** compute a subproblem **more than once**
  - So the runtime is  $O(n)$

# MemFibo(6)

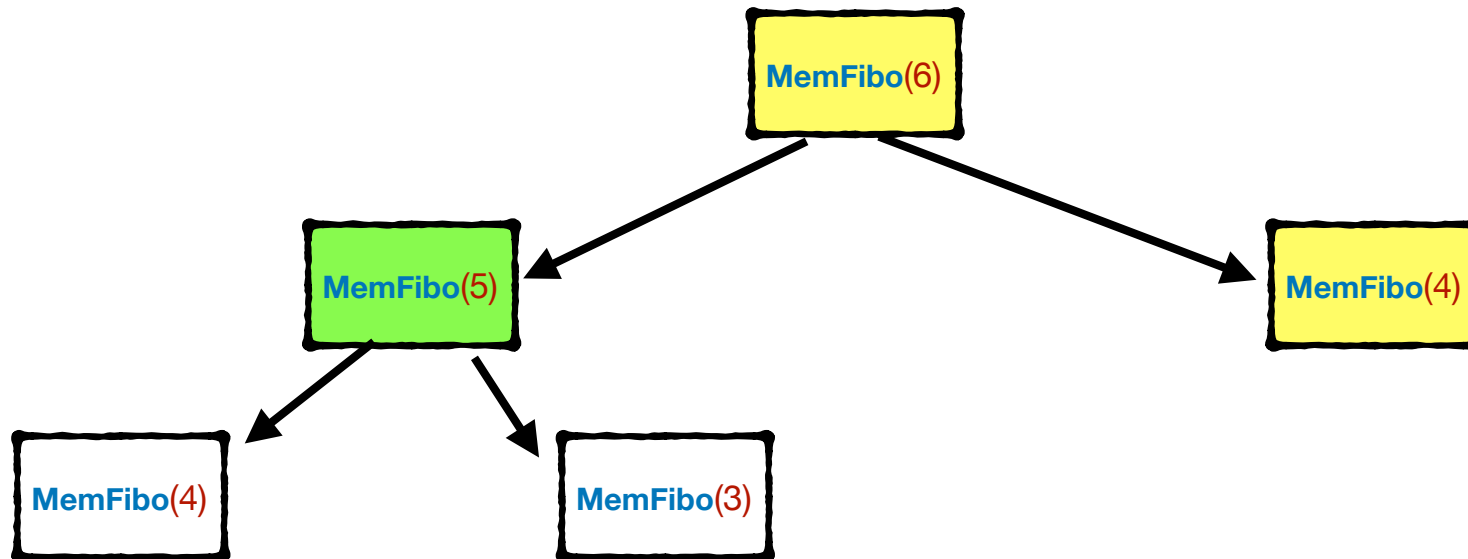


# MemFibo(6)

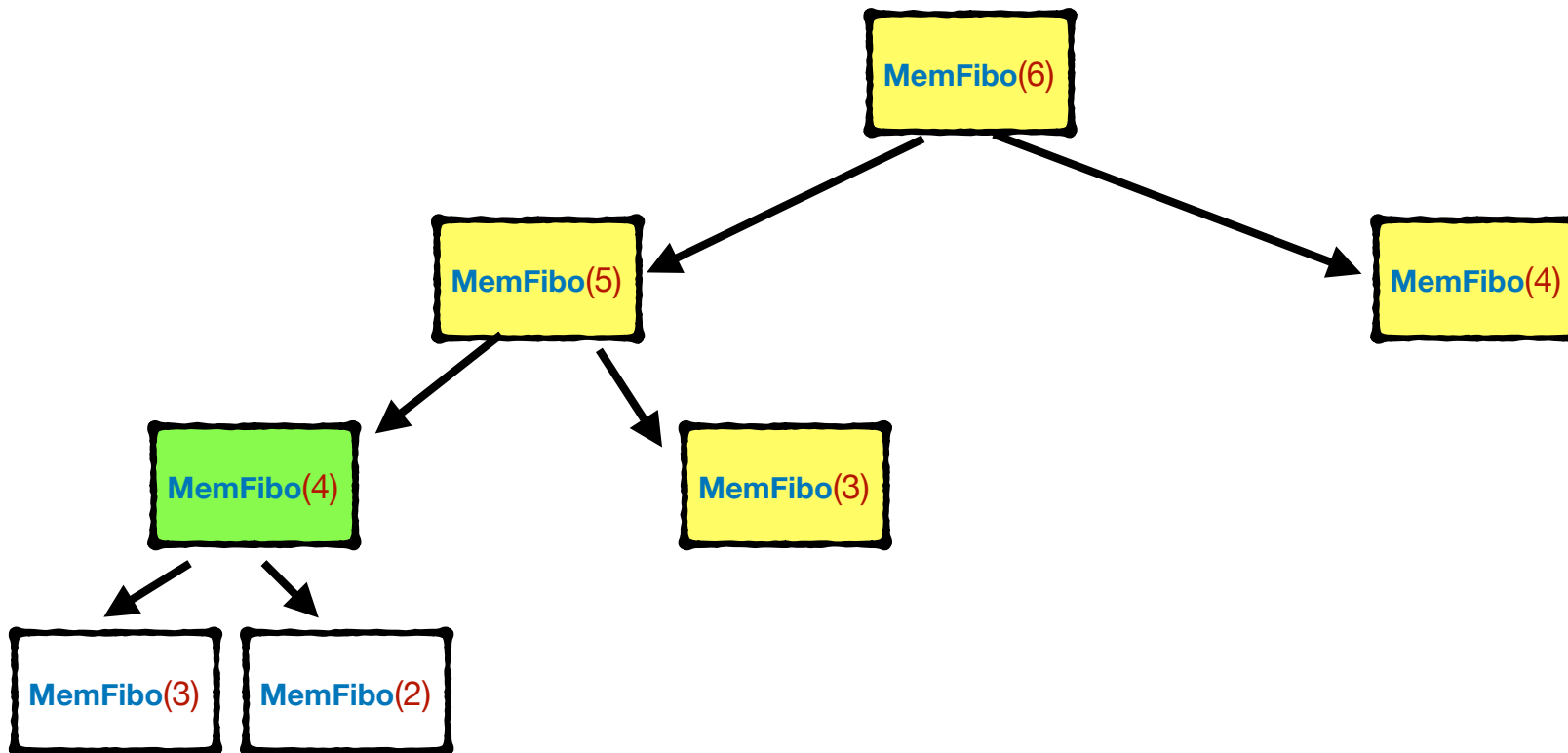




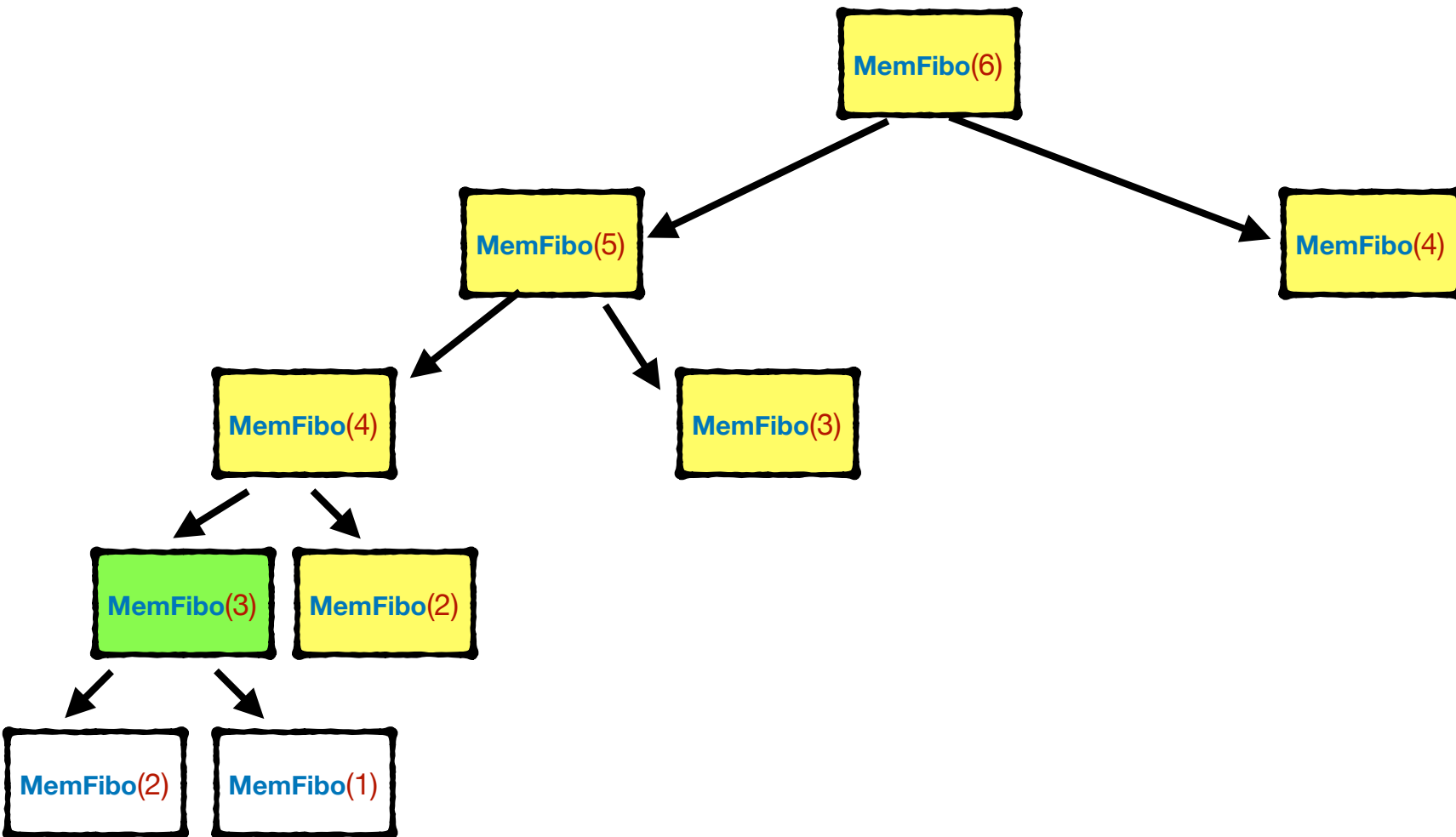
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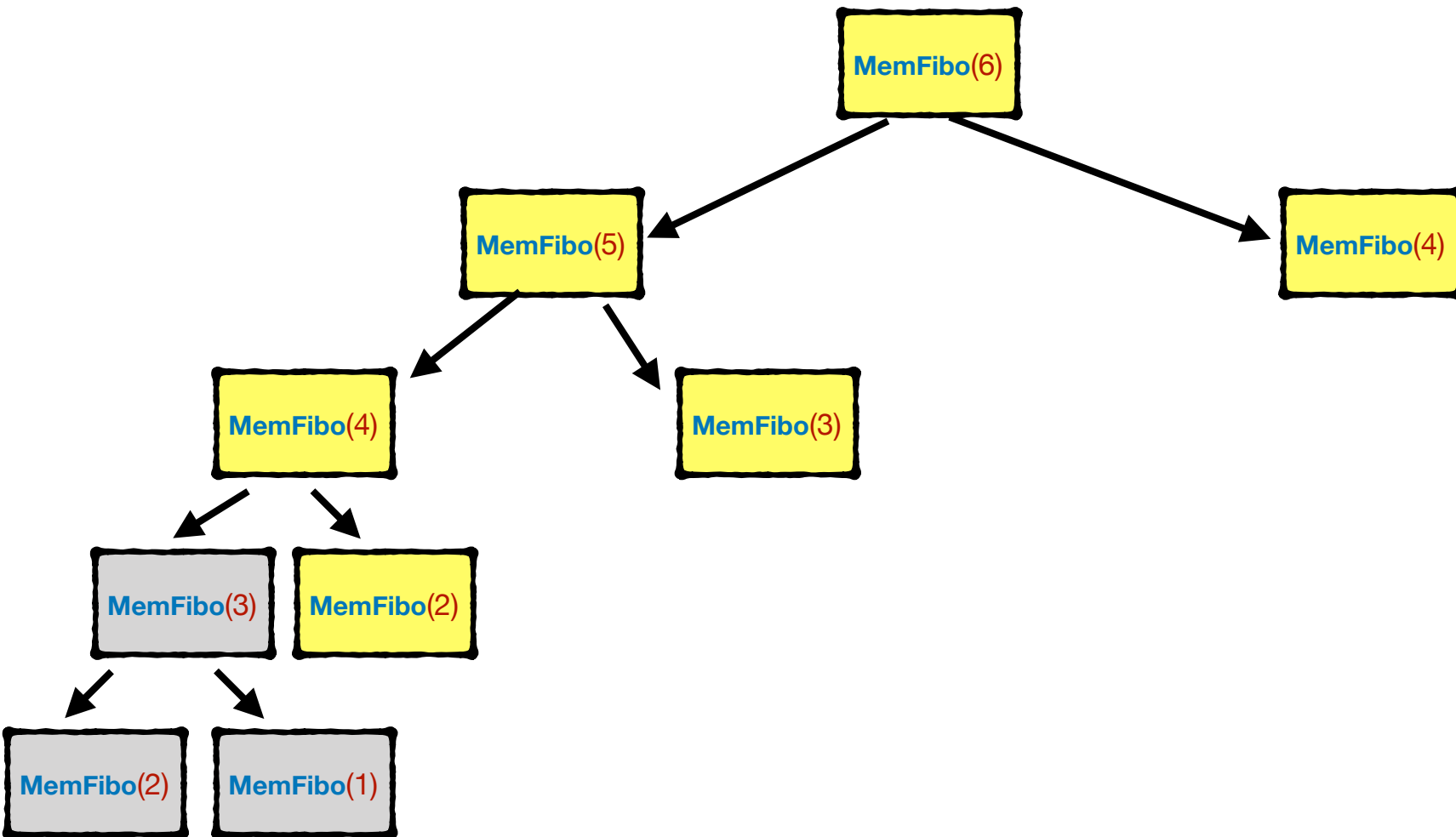
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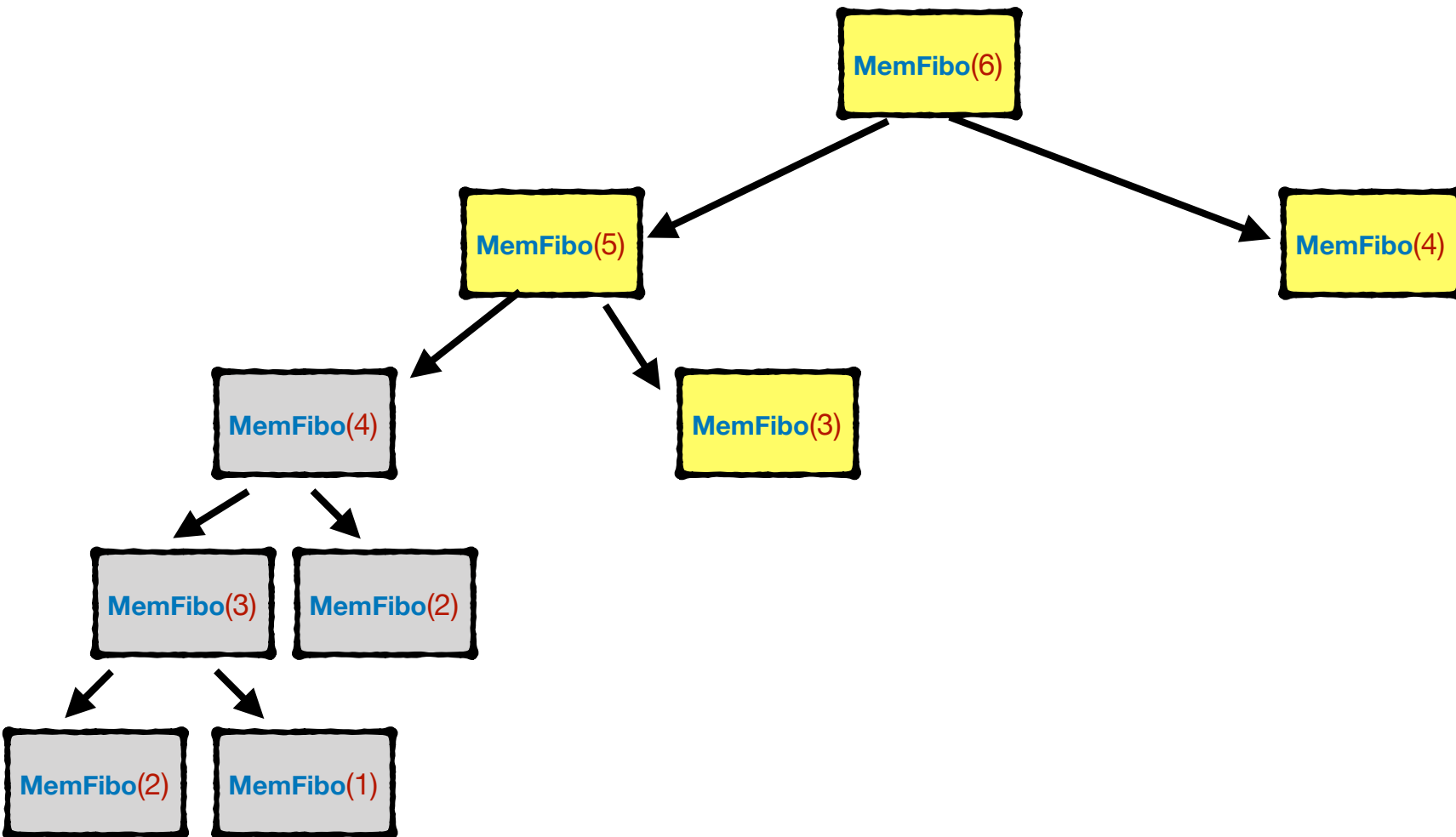
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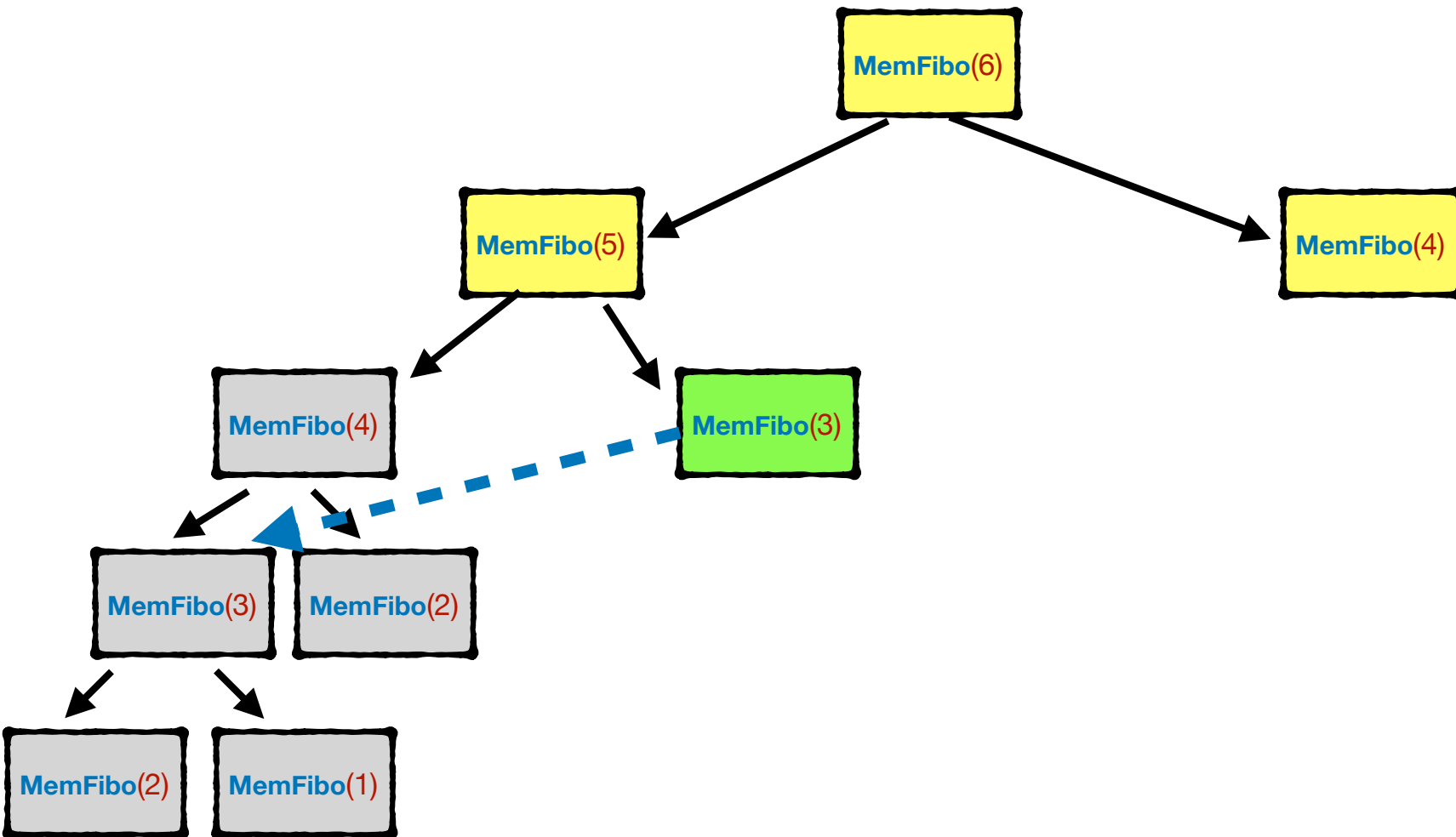
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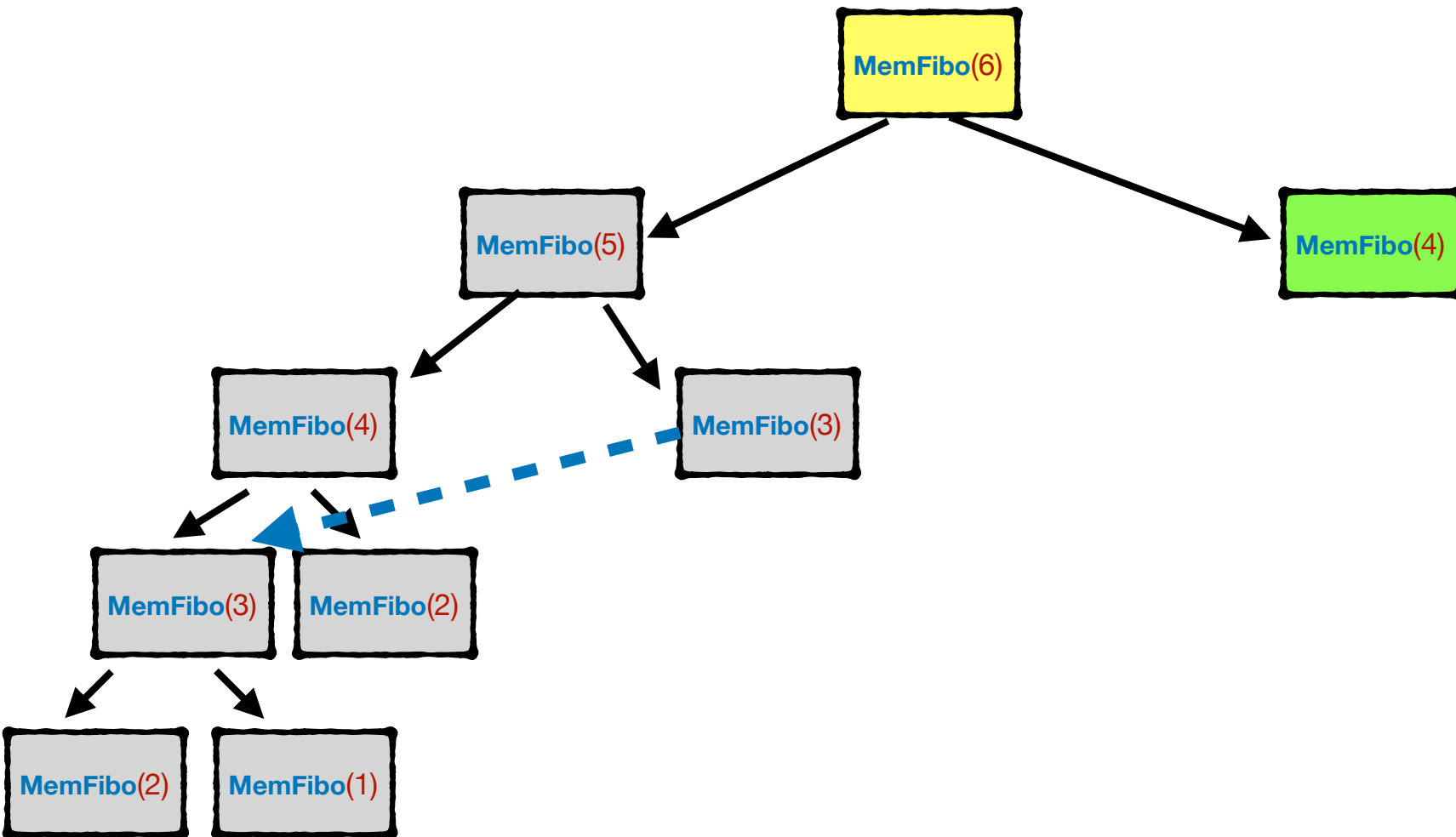
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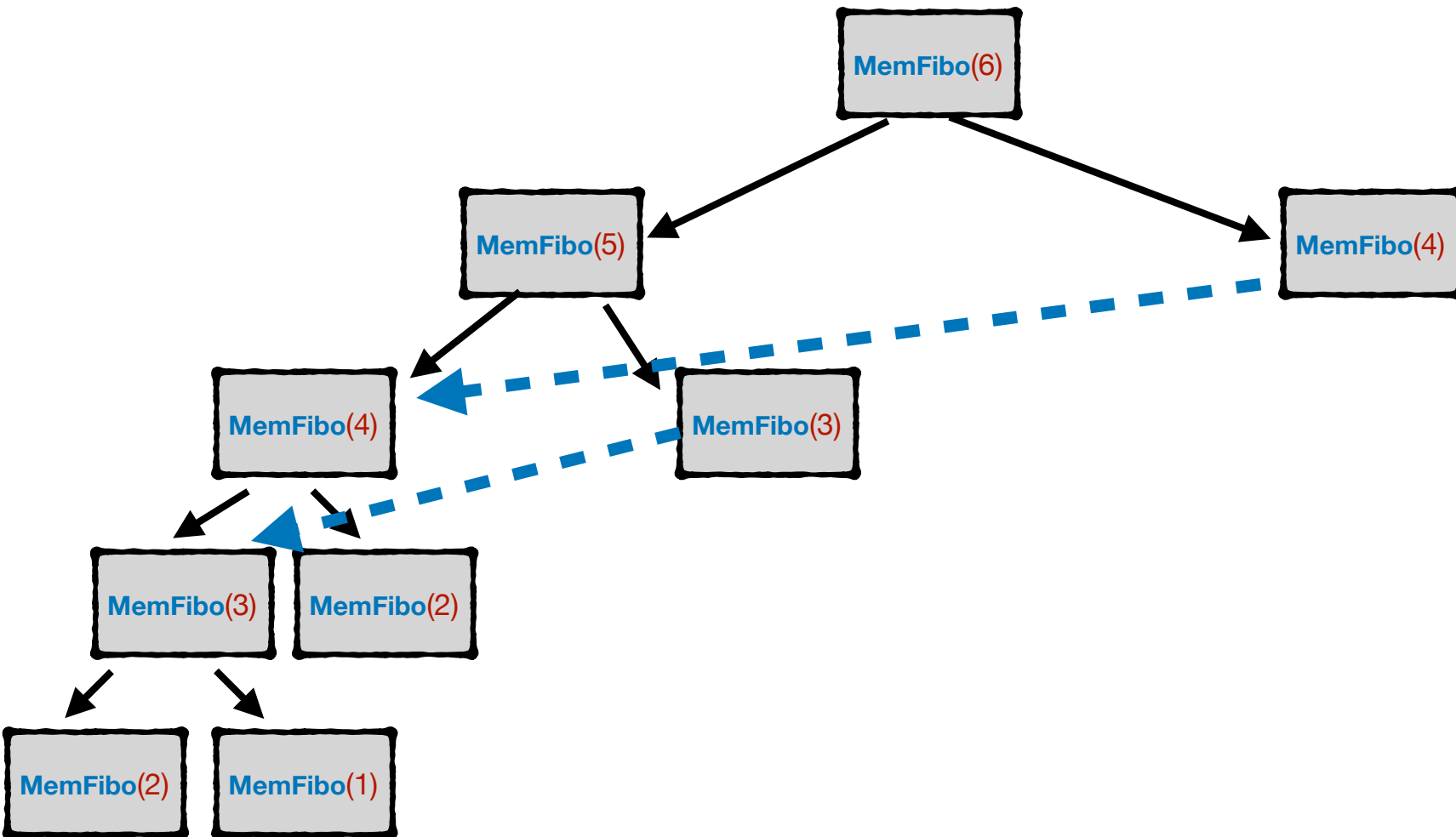
# MemFibo(6)



# MemFibo(6)



# MemFibo(6)





# Beyond Memoization?

- Memoization is a form of dynamic programming
- We literally only need to store the answers to our recursive calls so we do not recompute them
- It is usually called **top-down** dynamic programming
- There is also a notion of **bottom-up** dynamic programming
  - A way of stating dynamic programming without recursive calls

# Bottom-Up Dynamic Programming

- We can literally fill up the array of stored answers ourselves
- **DynFibo**(n):
  - Create an empty array  $S[1:n]$
  - Let  $S[1] = S[2] = 1$
  - For  $i = 3$  to  $n$ : let  $S[i] = S[i-1] + S[i-2]$
  - Return  $S[n]$

# Bottom-Up Dynamic Programming

- Runtime analysis?
  - A for-loop of length  $O(n)$
  - $O(1)$  runtime per each iteration of for-loop
  - $O(n)$  time in total then

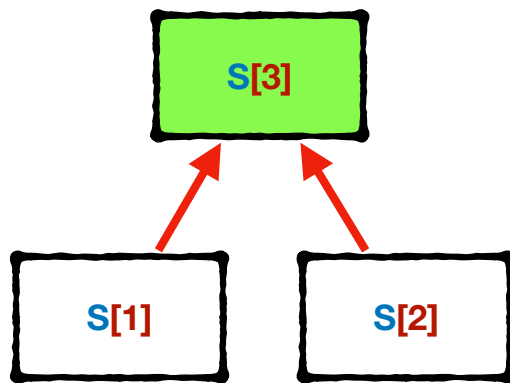
# DynFibo(6)

S[1]

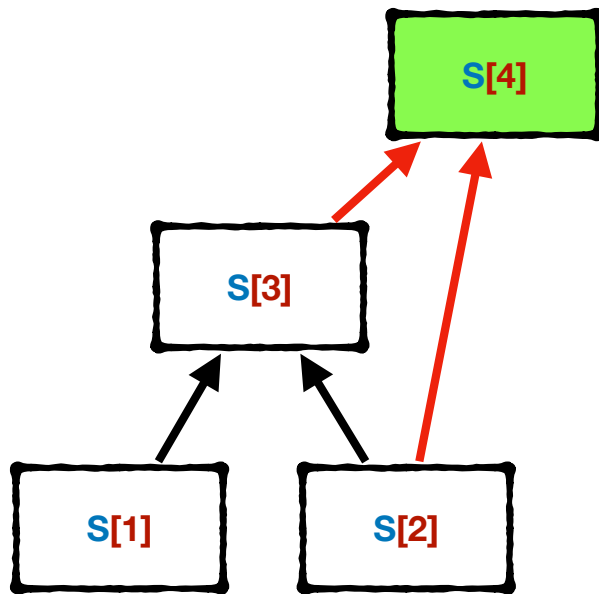
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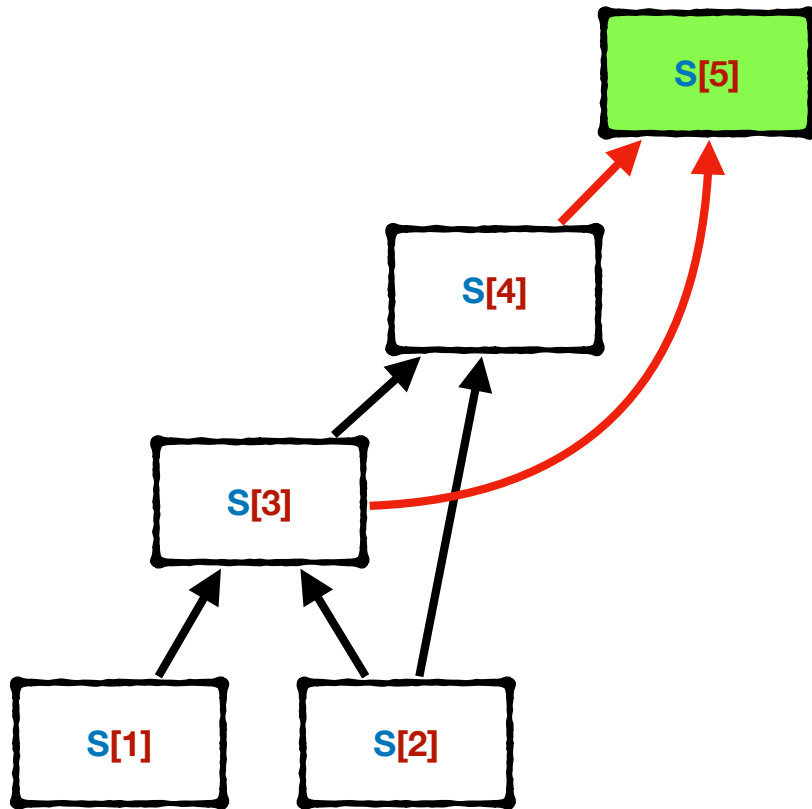
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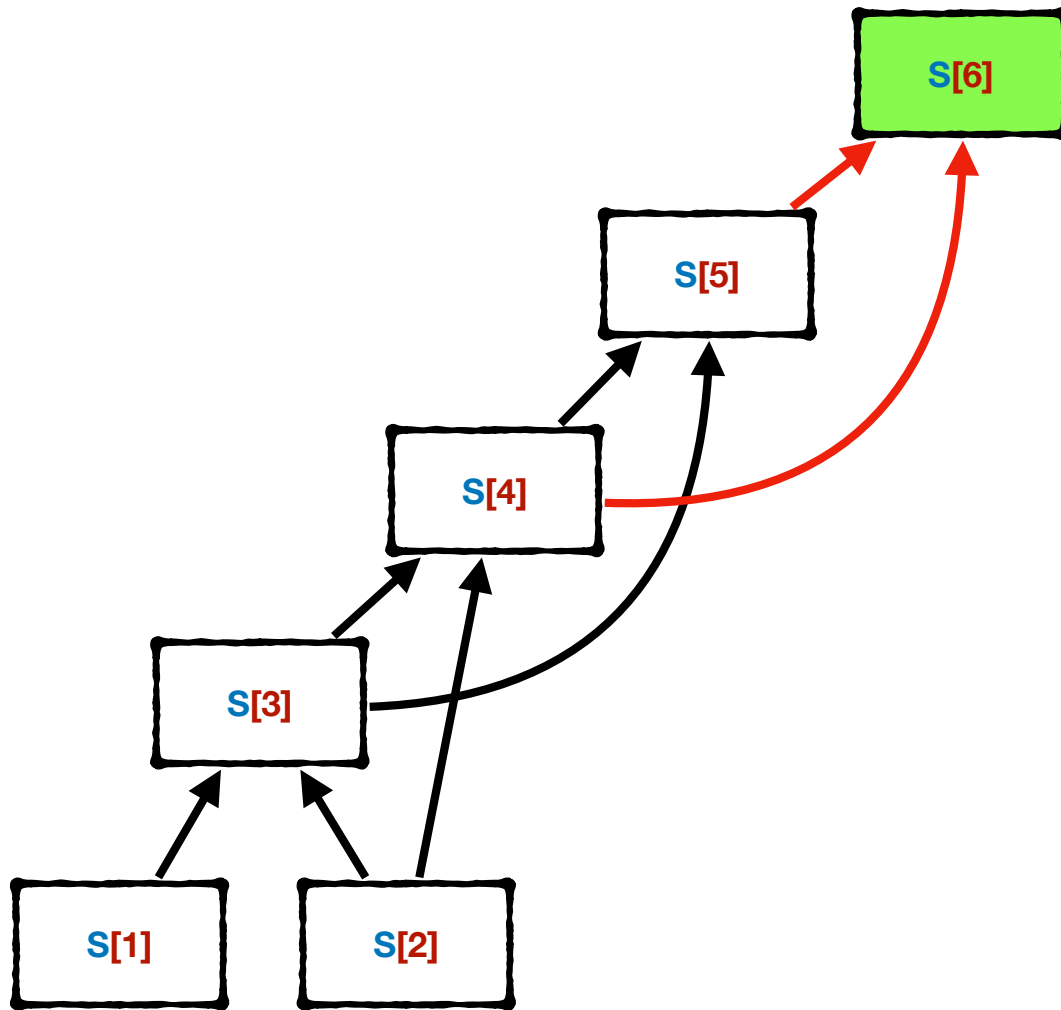


# DynFibo(6)





# DynFibo(6)



# Elements of Dynamic Programming

# Dynamic Programming?

- Write a **recursive formula** for the problem we want to solve
- Write a **recursive function** that computes the recursive formula
- Use a table to store the answer of recursive function for each recursive call and do not recompute them

# Dynamic Programming?

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- Write a **recursive function** that computes the recursive formula
- Use a table to store the answer of recursive function for each recursive call and do not recompute them
- Two ways:
  - Memoization: Top-Down Dynamic Programming
  - Iterative: Bottom-Up Dynamic Programming

# Dynamic Programming?

- Write a recursive formula for the problem we want to solve
- Write a recursive formula
- Use
- Two
  - Memoization
  - Iterative: Bottom-Up Dynamic Programming

Reminder: Goal of dynamic programming is to speed up computation nothing more

# Writing Recursive Formula

- Step One: **Specification**
  - Answer to the question of “**What?**”
  - Describe the problem you want to solve using your formula in **plain English**
    - Example: “For every  $1 \leq i \leq n$ , the formula  $F(i)$  is supposed to be the  $i$ -th Fibonacci number”
  - Describe how the answer to the original problem can be obtained **IF** we have a solution to the recursive formula
    - Example: Return  $F(n)$ ”

# Writing Recursive Formula

- Step Two: **Solution**
  - Answer to the question of “**How?**”
  - Give a recursive formula for solving for the problem you described in specification by solving the instances of the same problem
    - Example: “ $F(1) = F(2) = 1$ ; for any  $i > 2$ ,  $F(i) = F(i-1) + F(i-2)$ ”
  - Prove that this recursive formula indeed matches the specification provided in the previous step
    - Answer to the question of “**Why?**”

# Writing Recursive Formula

- Prove that this recursive formula indeed matches the specification provided in the previous step:
  - Prove that base case of recursive formula is correct
  - Prove that larger values of formula are computed correctly from the smaller values
- Note: this is just induction in disguise



# Next Steps?

- Step Three:
  - Use either **memoization** or **bottom-up dynamic programming**
  - The choice is entirely up to you
    - Just remember in bottom-up dynamic programming, you have to specify the **order of evaluation** also

# Next Steps?

- Step Four:
  - Analyze the runtime of the algorithm
    - How many subproblems are there?
    - How much time solving each one takes?
- We are done! This is all there is to dynamic programming
- The only thing remained for us is to practice

# Dynamic Programming

- Every time you do dynamic programming:
  - Clearly **specify subproblems** in plain English
  - Design a **recursive formula** for solving subproblems from smaller ones
  - **Prove the correctness** of your recursive formula
  - Turn the formula into a dynamic programming algorithm using either **memoization** or **bottom-up** approach
  - **Analyze the runtime** of your algorithm