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Search Summary

Date of Search:

05-Jun-2018 10:57:53 (05-Jun-2018 02:57:36 GMT)

Profile ID Number: 11274474 **Record Type:** Person Gender: Male Deceased: No

Names

Primary Name

First Name Surname **Middle Name**

Ming Tung Chow

Also Known As

Ben

Benjamin Chow Zhou Bin Chow Bin Zhou

Zhou Bin Chow

Zhou Bin Ben Chow

Chow

Ben Zhou Bin Chow

Ben

Benjamin Chow Bin

Country Details

Citizenship **United States Place of Birth Resident Of United States** Country China

Country of

Reported **United States**

Allegation

Dates

Type

Date of Birth 1970 Date of Birth 1971

Images

http://media.philly.com/images/dixon-227540-f-wp-content-uploads-2017-10-881604_2d54de5e63eb035-e1509475 595201-318x212.jpg

https://m.eet.com/content/images/eetimes/Benjamin_chow_175_1509497698.png

Relatives/Close Associates

Name Type Relation

B SOC B AM Canyon Bridge Capital Partners Limited Entity Employer

Liability Partnership

Associated Entities

Company
Canyon Bridge Capital Partners Inc.
Lattice Semiconductor Corp.
Mindax Ltd.

Warburg Pincus LLC

Relation

Associated Entity
Reported Associated Entity

Associated Entity
Associated Entity

D | DOW JONES WATCHLIST

Status: Active

Category 1: Special Interest Person (SIP)

Category 2: Financial Crime

The information on this site is derived from news articles published by various media providers around the world. It is not an exhaustive source of information about the individual or any related allegations of criminal activity, but reflects references in those articles to alleged criminal misconduct. The database may not reflect all or subsequent developments in a particular criminal matter; users are advised to conduct a further inquiry for any information that post-dates the date on which the search result was last modified.

Addresses

CityStateCountryLos AltosCaliforniaUnited StatesLos AngelesCaliforniaUnited States

Profile Notes Source Language: English ▼ Translate (Beta)

PROFILE CREATED: 17-Nov-2017 UPDATE ADDED: 25-Apr-2018

Keywords: conspiracy, fraud, insider dealing

People mentioned: Benjamin Chow

Companies mentioned: Canyon Bridge Capital Partners LLC, Lattice Semiconductor Corporation

Profile:

Benjamin Chow, the managing director of investment firm Canyon Bridge Capital Partners LLC, was charged with one count of conspiring to commit securities fraud and 13 counts of securities fraud in connection with an alleged multimillion dollar insider dealing case in an indictment returned by a federal grand jury in New York City, New York and unsealed on 30 November, 2017.

According to media reports, Chow's attorney stated that he had done nothing wrong. Court documents alleged that Chow provided a China-based trader and former colleague, material non-public information and updates regarding his firm's anticipated USD 1.3bn merger with Lattice Semiconductor Corporation (Lattice) between March 2016 and November 2017. The indictment stated that Chow provided the inside information concerning the planned deal to the other individual despite it being subject to non-disclosure agreements. It was alleged that Chow's actions generated at least USD 5m in profits for the other individual who, according to the government, violated a U.S. law barring insider trading by purchasing large amounts of Lattice stock with the expectation that it would increase when the sale contract was announced. The indictment stated that the other individual benefited from the alleged unlawful activity even as the sale of Lattice was reportedly interrupted in 2017 by the U.S. president who cited national-security interests due to potential military uses of Lattice technology.

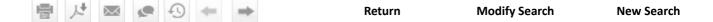
PROFILE UPDATED: 25-Apr-2018

On April 24, 2018, Chow was convicted by a federal jury in New York of conspiracy to commit securities fraud and seven counts of securities fraud in connection with the insider dealing case concerning Lattice.

Sources

Department of Justice Documents, 24-Apr-2018, on Factiva.com (AN=DOJDOC0020180424ee4o0008j) Department of Justice Documents, 30-Oct-2017, on Factiva.com (AN=DOJDOC0020171031edau0000j) Dow Jones Institutional News, 31-Oct-2017, on Factiva.com (AN=DJDN000020171031edav001uf) SNL Financial Services Daily, 02-Nov-2017, on Factiva.com (AN=SFSD000020171103edb200007) The Philadelphia Inquirer, 01-Nov-2017, on Factiva.com (AN=PHLI000020171101edb10000e)

Last Reviewed Date: 27-Apr-2018



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