



Profile

Search Summary

Date of Search : 25-Jun-2018 14:03:40 (25-Jun-2018 06:03:18 GMT)

Profile ID Number: 11265563

Record Type: Person

Gender: Male

Deceased: No

Names

Primary Name

First Name	Surname	Middle Name
Brian	Monk	Charles James

Also Known As

Brian Charles James	Monk	
Brian Charles	Monk	James

Country Details

Citizenship	Not Known
Resident Of	United Kingdom

Dates

Type	
Date of Birth	10-Apr-1953

List References

Other Official Lists	Name	Since
	FSC (Isle of Man) Not Fit and Proper Directions	07-Nov-2017

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DOW JONES

WATCHLIST

Status: Active

Category 1: Special Interest Person (SIP)

Category 2: Other Official Lists

Addresses

Address	City	Zip Code	Country
Windrift, Thornhill Park	Ramsey	IM8 3NB	Isle of Man

Profile Notes

Source Language: English ▼

Translate

(Beta)

ISLE OF MAN FINANCIAL SUPERVISION COMMISSION NOTES:

Particulars of prohibition: Mr Monk is prohibited from acting as a director (as defined in section 48 of the FSA), Isle of Man Resident Officer (as defined in Rule 8.21(2) of the Financial Services Rulebook 2013), Money Laundering Reporting Officer or deputy Money Laundering Reporting Officer (as defined under paragraph 3 of the Anti-Money Laundering and Countering the Financing of Terrorism Code 2015) or Compliance Officer (as referred to in Rule 8.18 of the Financial Services Rulebook 2013), of any body corporate carrying on, in or from the Isle of Man, any regulated activity as defined in section 3 of the FSA.

Public statement under Section 13 of the FSA:

Date on which prohibition takes effect: 7 November 2017

Sources

http://www.gov.im/fsc/enforcement/notfitandproper_directions.aspx

<https://www.iomfsa.im/enforcement/disciplinary-action/>

Last Reviewed Date:

08-Nov-2017



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