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Profile

Search Summary

Date of Search : 08-May-2018 10:03:47 (08-May-2018 02:03:40 GMT)

Profile ID Number: 966232

Record Type: Person

Gender: Male

Deceased: No

Names

Primary Name

First Name

Dong

Surname

Han

Language

Chinese - Simplified

Original Script Name

韩东

Chinese - Traditional

韓東

Country Details

Citizenship

China

Resident Of

China

Dates

Type

Date of Birth

1956

Date of Birth

1957

List References

Other Official Lists

Name

CSRC (China) Enforcement Orders & Penalties

Since

24-Dec-2002

Relatives/Close Associates

OOL

Name

Jingdu Futures Brokerage Co., Ltd.

Type

Entity

Relation

Associate

OOL

Name

Li, Zhiping

Type

Person

Relation

Associate

D

DOW JONES WATCHLIST

Status: Active

https://djrc.dowjones.com/PersonDetailsInternet.aspx?PersonEntityID=QTMSOYWUA2bxcLHwaiMkGgTyWyIJPXfD/yXTVKzl9/VGQYv0A9Y=&iRecordTypeID=Q

Category 1: Special Interest Person (SIP)
Category 2: Financial Crime, Other Official Lists

The information on this site is derived from news articles published by various media providers around the world. It is not an exhaustive source of information about the individual or any related allegations of criminal activity, but reflects references in those articles to alleged criminal misconduct. The database may not reflect all or subsequent developments in a particular criminal matter; users are advised to conduct a further inquiry for any information that post-dates the date on which the search result was last modified.

Addresses

Address	City	Country
1 Xibalizhuangjia	Beijing	China

Profile Notes Source Language: English Translate (Beta)

CHINA SECURITIES REGULATORY COMMISSION (CSRC) NOTES:

Date: 24 Dec 2002
Offence: General manager of the Jingdu Futures Brokerage Co., Ltd. - Implementing decisions that resulted in the brokerage's failure to issue instructions of a client to a stock exchange and entering into agreements to share risks and interests with clients; operating in the position of General Manager of the brokerage without first obtaining the necessary certification
Type of Enforcement: Administrative Penalty - fined CNY50,000.00; ordered by the Commission to undergo disciplinary action

Sources

<http://www.csrc.gov.cn/pub/newsite/xzcfw/>
http://www.csrc.gov.cn/pub/zjhpublish/G00306212/200804/t20080418_14336.htm

Last Reviewed Date: 12-Sep-2012



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