

COMP1047: Systems and Architecture

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AY2023-24, Spring Semester Week 8

Computer Networks: Protocols in TCP/IP Suite



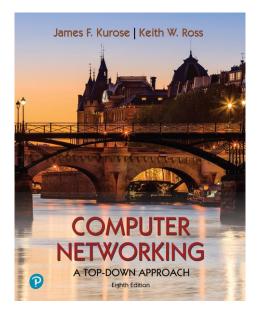
Introduction

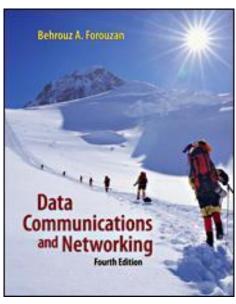
- Most of the slides are based on the Books
- 1. Computer Networking: A Top-Down Approach

8th edition by Jim Kurose, Keith Ross

and

2. Dr. Shun Yan Cheung
Home Page
Emory College of Arts and Sciences







Overview-Protocols in the TCP/IP Suite

Learning Outcomes:

- Understand principles behind transport layer services
- Understand the transport layer protocols
 - UDP: connectionless protocol
 - TCP: connection-oriented protocol

Overview/roadmap:

- Transport-layer services
 - Multiplexing and demultiplexing
- Connectionless transport: UDP
- Connection-oriented transport: TCP



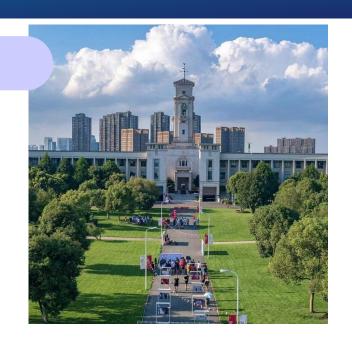


Recap from the Last Week

Last Week, we discussed

- OSI model by the ISO
- Why layered architecture is needed
- OSI model: layers in the OSI Model and their functions
- Protocols at each layer of the OSI
- Functions at each layer provide
- OSI vs. TCP/IP Model
- Processes
- Ports
- Socket

Any question in previous lecture?





Transport Layer: Introduction

Networking function lower layers provide

Physical layer:

Transmits data (signals) between neighbor nodes

Datalink layer:

 Ensure reliable transfer of data between neighbor nodes

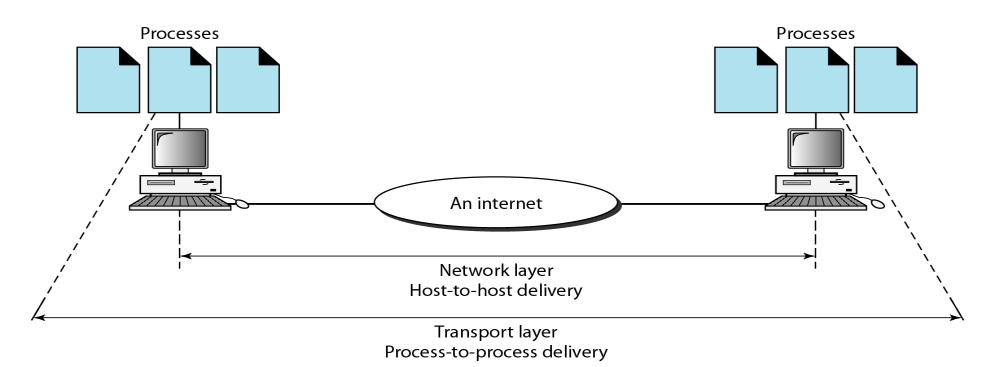
Network layer:

• Transmits data (packets) between any pair of nodes



Transport Layer

- The 4th layer in the OSI model
 - the first user level layer in the OSI architecture
 - the Transport layer provides communication services that a user can use





Transport Layer- different needs

- To understand the services provided by the Transport Layer, you need to keep this in mind
- Transport layer provide communication functions to user programs
- Different (users) programs have different needs
- Most program require reliable data communication
 - e.g. web browser, email, etc.
- Some programs can tolerate some data loss but need speedy packet delivery
 What programs run on your

Computer?

• e.g., audio chat tool

■ There are *multiple* user programs running on a *single* computer



Transport Layer- Protocols

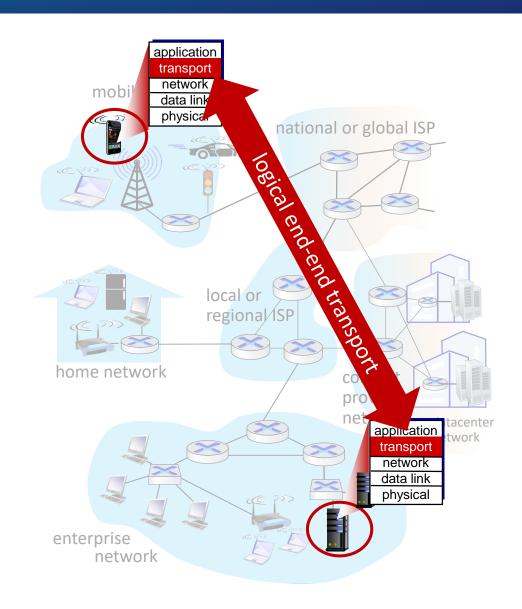
- Catering to different needs of the user programs
 - multiple Transport Layers protocols available
- The well-known Transport Protocols are:
- **TCP (Transmission Control Protocol)**
 - A heavy-weight (lots of overhead) protocol that provide reliable transfer over the IP protocol
- UDP (User Datagram Protocol)
 - A light-weight (minimal overhead) protocol that allow users to transmit packets as *quickly* as possible over the IP protocol

Introduction: 1-8



Transport Layer-Services

- provide *logical communication* between application processes running on different hosts
- transport protocols actions
 - sender: breaks application messages into *segments*, passes to network layer
 - receiver: reassembles *segments* into messages, passes to application layer
- two transport protocols available to Internet applications
 - TCP, UDP





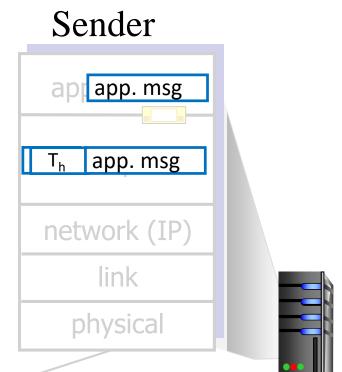
Transport Layer- Actions

Receiver

application
transport
network (IP)
link
physical

Sender:

- is passed an applicationlayer message
- determines segment header fields values
- creates segment
- passes segment to IP





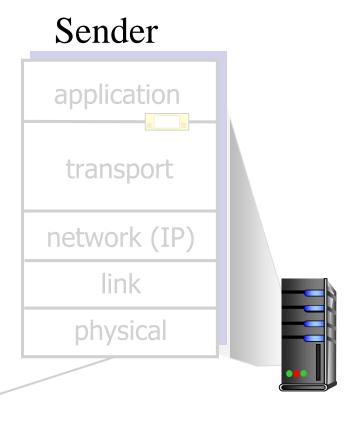
Transport Layer- Actions

Receiver



Receiver:

- receives *segment* from IP
- checks header values
- extracts application-layer message
- demultiplexes message up to application via socket



• Recall Socket number

- The combination of an IPv4 address and a port number
- A pair of sockets, one at the client and other at the server side, define the TCP/UDP connection end points



Transport Layer-Functions

- Useful functions provided by the Transport Layer
- Reliable Transfer
 - The TCP provides reliable communication between 2 user application programs

Multiplexing

 Every transport protocol provides multiplexing

Flow Control

- Ensure that the sender's transmission speed do not exceed the receive capability of the receiver
- We make sure that the receiver buffers are not depleted.

Congestion Control

- The congestion control mechanism will adjust the transmission rate (of the sender) according to the current state of the network
- The goals of congestion control:
 Transmit as fast as possible
- While not causing congestion in the network.



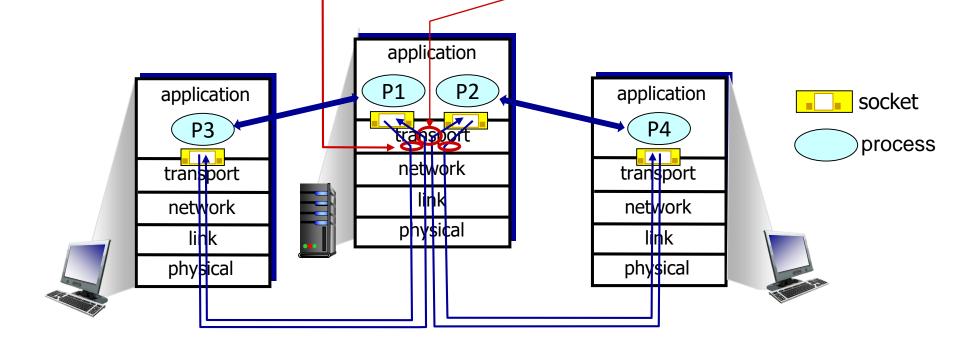
Multiplexing/demultiplexing

– multiplexing as sender:

handle data from multiple sockets, add transport header (later used for demultiplexing)

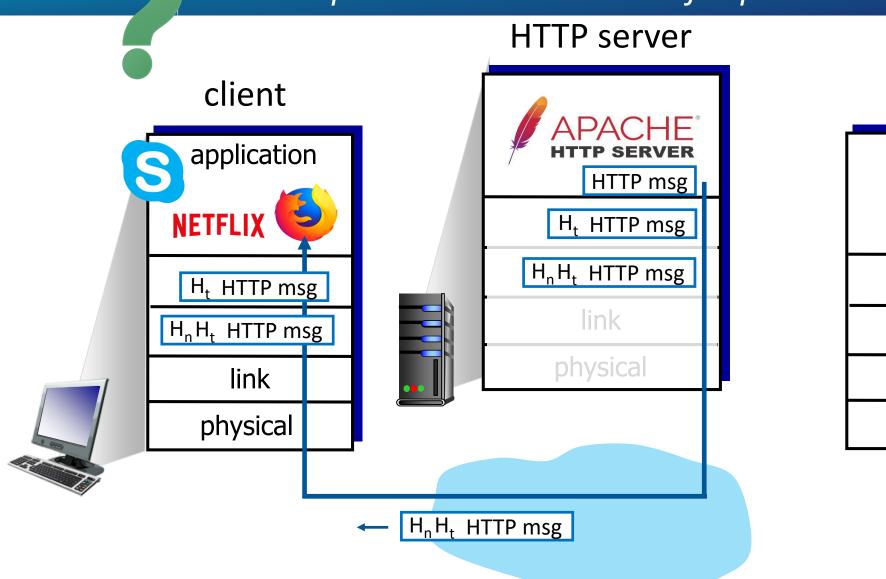
demultiplexing as receiver: -

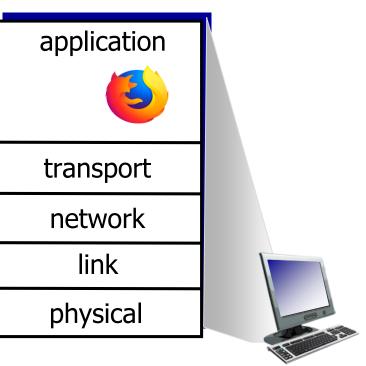
use header info to deliver received segments to correct socket





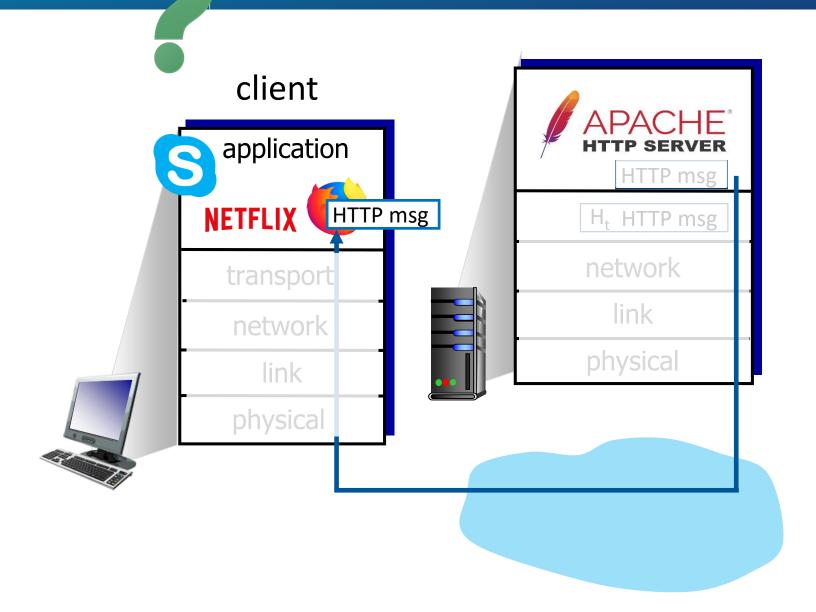
Q: how did transport layer know to deliver message to Firefox browser process rather then Netflix process or Skype process?

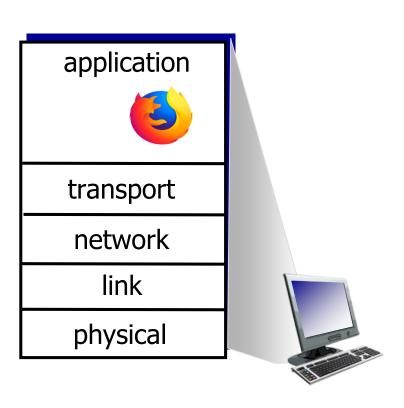






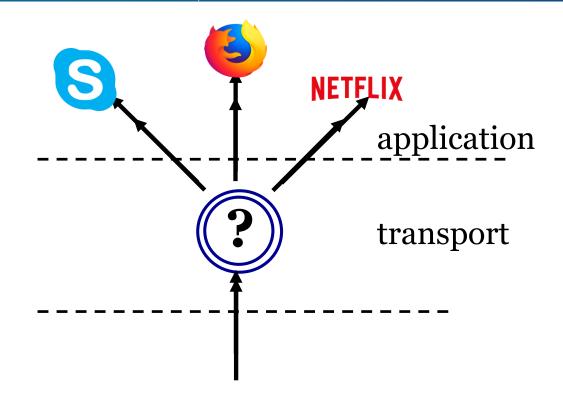
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De-Multiplexing



de-multiplexing

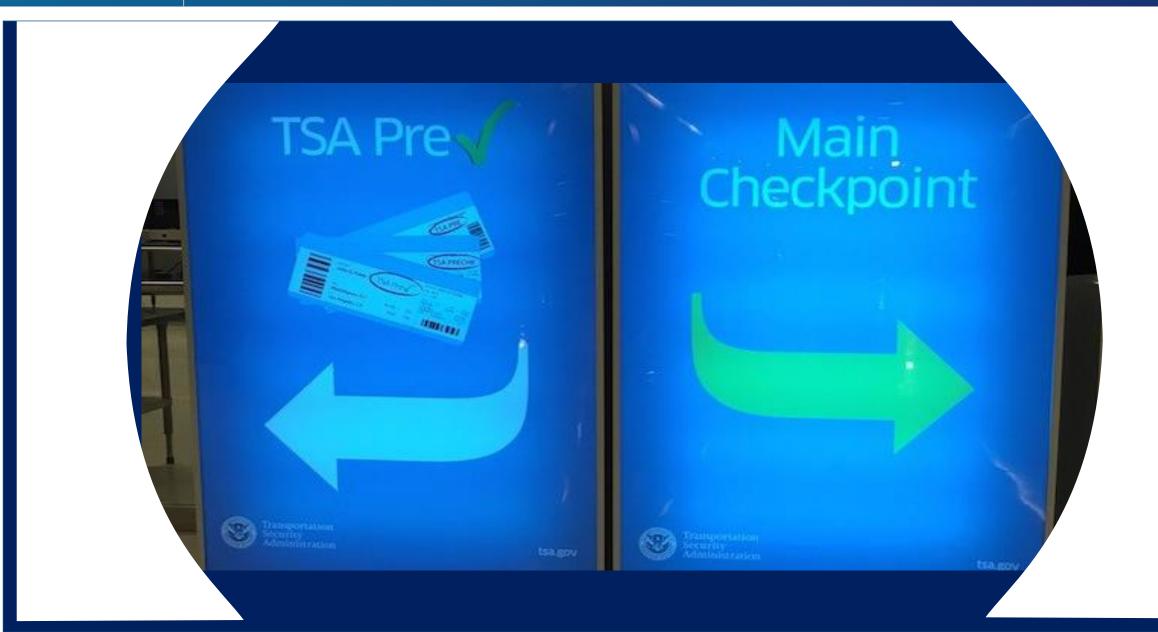






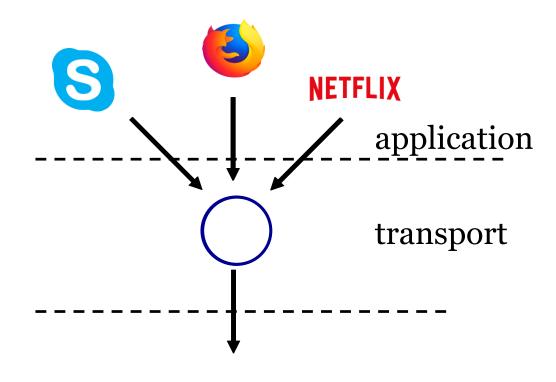




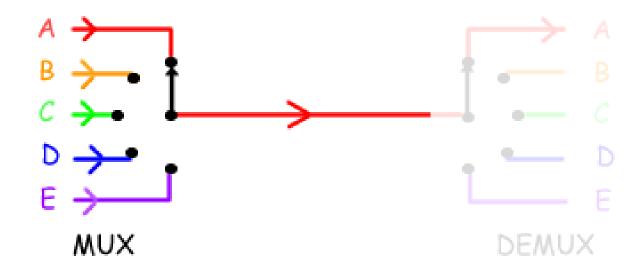


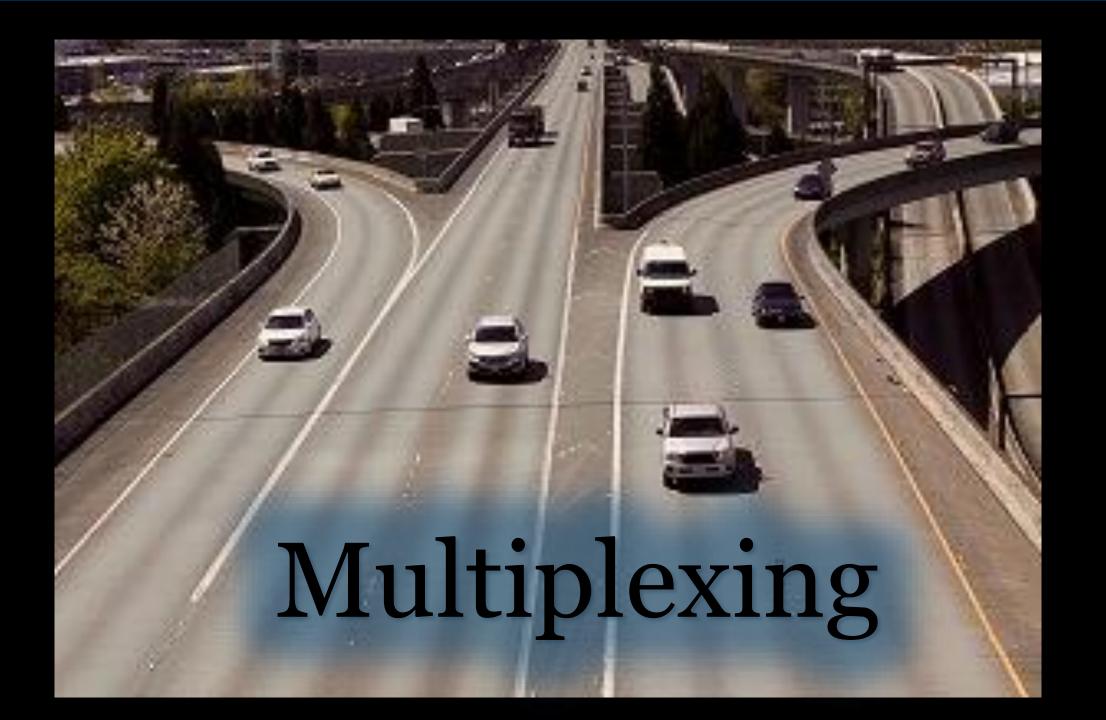


Multiplexing





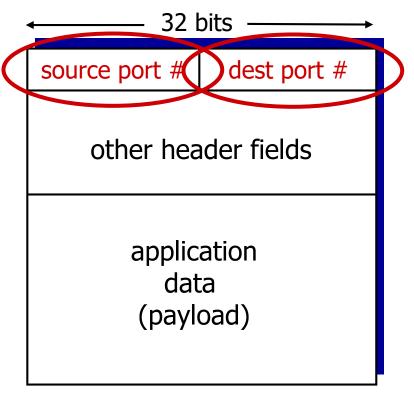






How demultiplexing works

- host receives IP datagrams
 - each datagram has source IP address, destination IP address
 - each datagram carries one transport-layer segment
 - each segment has source, destination port number
- host uses *IP addresses & port numbers* to direct segment to appropriate socket



TCP/UDP segment format



Connectionless demultiplexing

• When creating socket, must specify *host-local* port #:

- When creating datagram to send into UDP socket, must specify
 - destination IP address
 - destination port #

When receiving host receives *UDP* segment:

- checks destination port # in segment
- directs UDP segment to socket with that port #



IP/UDP datagrams with *same dest*.

port #, but different source IP
addresses and/or source port
numbers will be directed to *same*socket at receiving host

UDP: demultiplexing using destination port number (only)



Connectionless demultiplexing

```
mySocket =
                               socket(AF INET, SOCK DGRAM)
                             mySocket.bind(myaddr,6428);
mySocket =
                                                                 mySocket =
 socket(AF INET, SOCK STREAM)
                                                                  socket(AF INET, SOCK STREAM)
mySocket.bind(myaddr, 9157);
                                                                 mySocket.bind(myaddr, 5775);
                                            application
              application
                                                                          application
                                             transport
              transport
                                                                          transport
                                             network
                                                                           network
               network
                 link
                                                                             lihk
                                             physical
               physical
                                                                           physical
                              source port: 6428
                                                            source port: ?
                              dest port: 9157
                                                              dest port: ?
                                                                             What are the port
                                                     source port: ?
               source port: 9157
                                                     dest port: ?
                                                                             numbers of C and D?
                 dest port: 6428
```



Connection-oriented demultiplexing

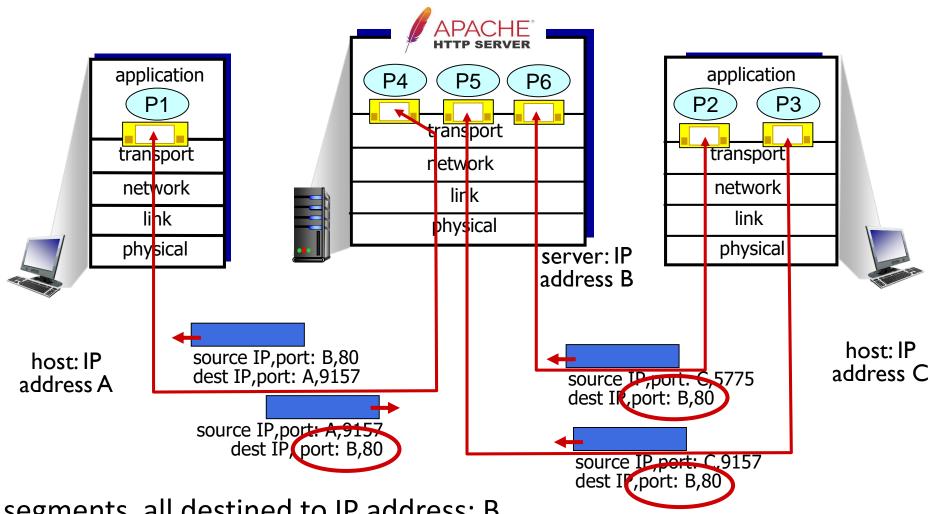
- TCP socket identified by 4-tuple:
 - source IP address
 - source port number
 - dest IP address
 - dest port number

- server may support many simultaneous TCP sockets:
 - each socket identified by its own 4-tuple
 - each socket associated with a different connecting client

 demux: receiver uses all four values (4-tuple) to direct segment to appropriate socket



Connection-oriented demultiplexing



Three segments, all destined to IP address: B,

dest port: 80 are demultiplexed to different sockets



(De)Multiplexing-Summary

- Multiplexing, demultiplexing: based on segment, datagram header field values
- UDP: demultiplexing using destination port number (only)
- **TCP:** demultiplexing using 4-tuple: source and destination IP addresses, and port numbers
- Multiplexing/demultiplexing happen at all layers



Reliability

- Two layers provide reliability
- Data Link Layer and
- Transport Layer

We discussed in last lecture that

The data link layer is responsible for moving frames from one hop (node) to the next.

We discussed in last lecture that

The transport layer is responsible for the delivery of a message from one process to another.



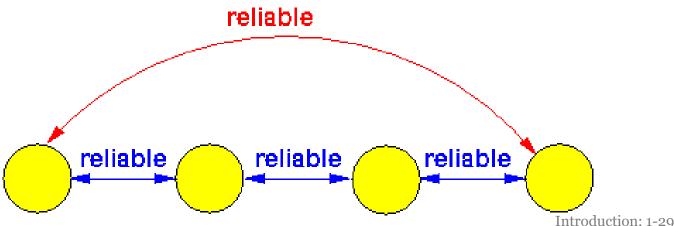
Hop-to-hop vs. End-to-end reliability

- Difference between hop-to-hop reliability (Datalink layer)
 and end-to-end reliability (Transport layer)
- A common misconception is:



- If messages are received reliably on a hop-to-hop basis:
- Then, the messages will be received *reliably* on an end-to-end basis

■ This **claim** is **false** !!!





Overview-Protocols in the TCP/IP Suite

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- Understand principles behind transport layer services
- Understand the transport layer protocols
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Overview/roadmap:

- We talked about
 - Transport layer actions
 - Transport layer services
 - Multiplexing and demultiplexing
 - Next we discuss
 - Connectionless transport: UDP
 - Connection-oriented transport: TCP

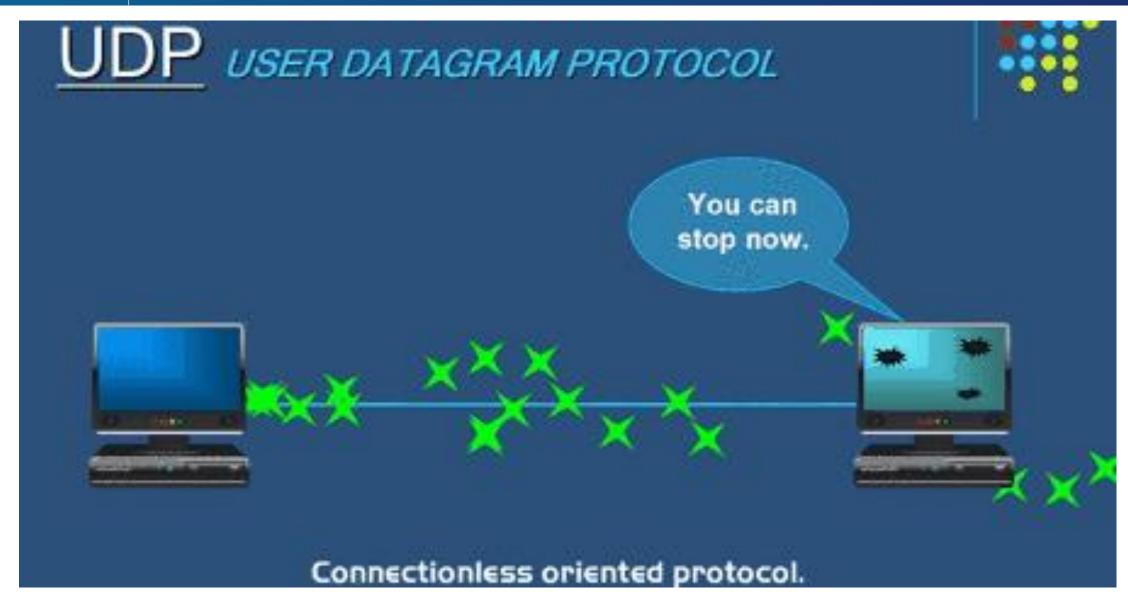


UDP: User Datagram Protocol

- UDP use:
 - streaming multimedia apps (loss tolerant, rate sensitive)
 - DNS- This protocol runs over UDP and uses port 53
 - SNMP- Simple Network Management Protocol
 - HTTP/3
- if reliable transfer needed over UDP
 - add needed reliability at application layer
 - add congestion control at application layer



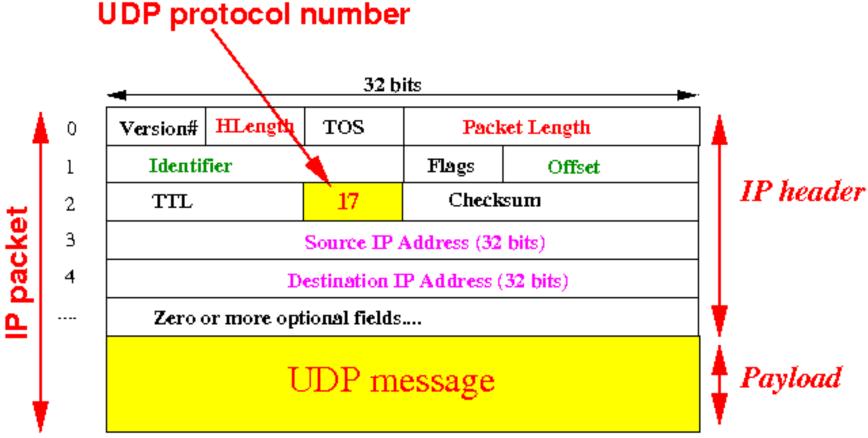
UDP: User Datagram Protocol





UDP: User Datagram Protocol

- Service:
 - Only Multiplexing provided by the UDP protocol:
- Messages:
 - UDP messages are carried inside IP packets:

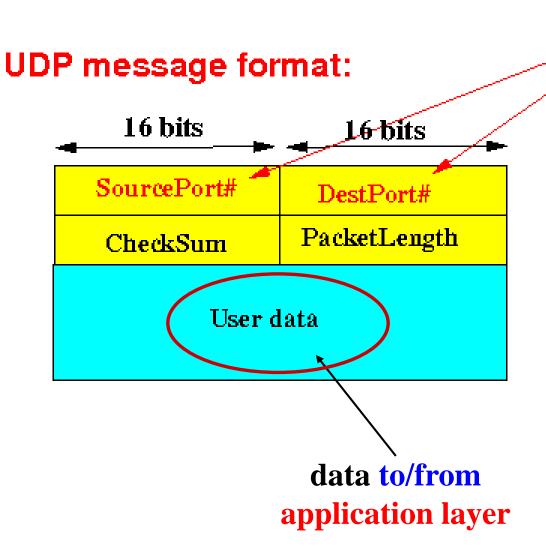


• Yes, yet another encapsulation....



UDP: Message Format

• Each transport layer defines its own message format

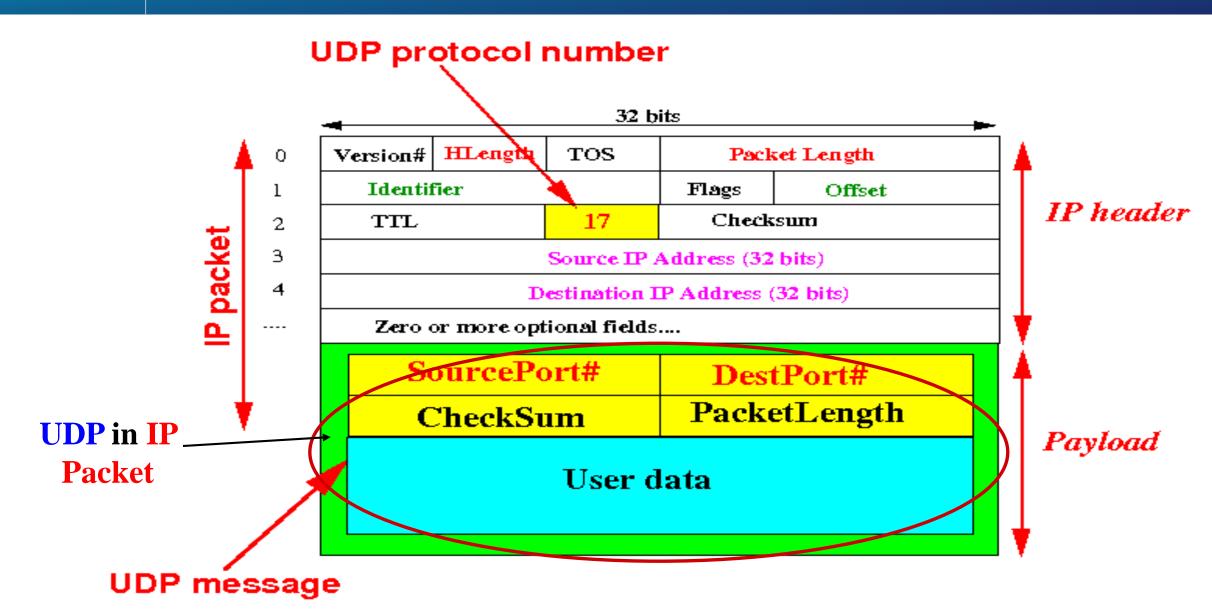


2¹⁶ UDP ports for multiplexing purpose What is the total number of Ports?

- Source Port# = port number used by the sender (application program)
- Dest Port# = port number used by the receiver (application program)
- CheckSum = checksum to protect the UDP packet
- PacketLength = length of user data portion (in #bytes)

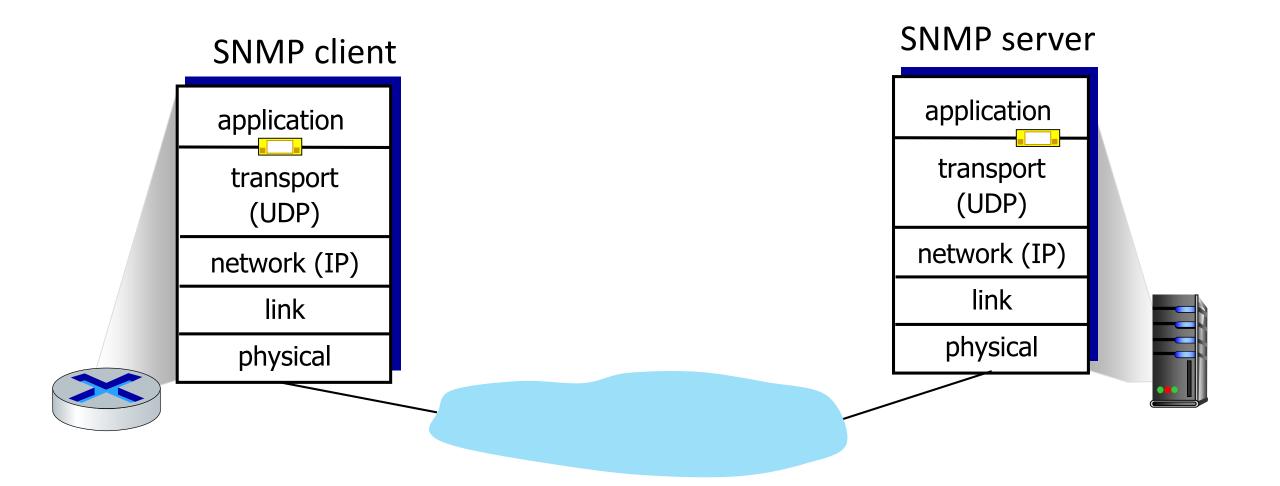


UDP in IP





UDP: Transport Layer Actions





UDP: Transport Layer Actions

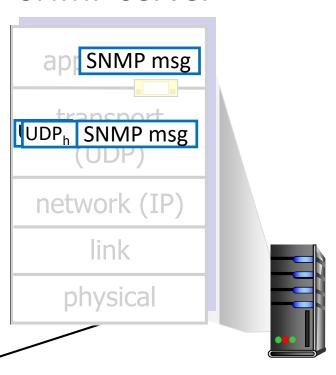
SNMP client

application
transport
(UDP)
network (IP)
link
physical

UDP sender actions:

- is passed an applicationlayer message
- determines UDP segment header fields values
- creates UDP segment
- passes segment to IP

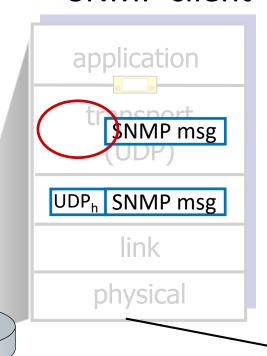
SNMP server





UDP: Transport Layer Actions

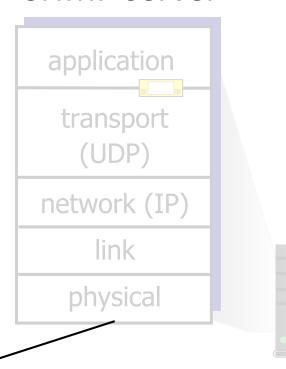
SNMP client



UDP receiver actions:

- receives segment from IP
- checks UDP checksum header value
- extracts application-layer message
- demultiplexes message up to application via socket

SNMP server





■ The UDP protocol provide 2¹⁶ (65536) different port numbers (16 bits)

■ UDP messages from a user (application) program is identified by

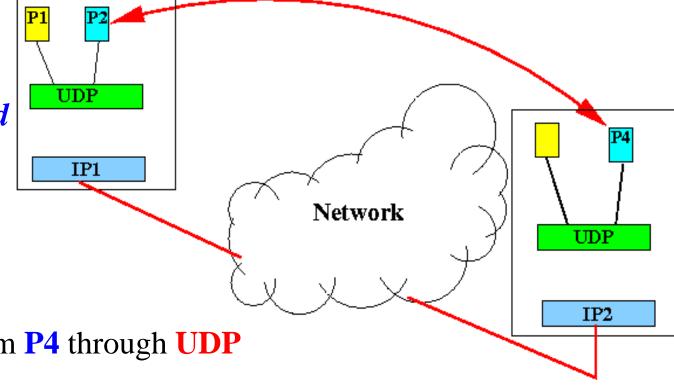
a unique UDP port number

and vice versa

 Received UDP messages are delivered to the user application program that own that UDP PortNumber

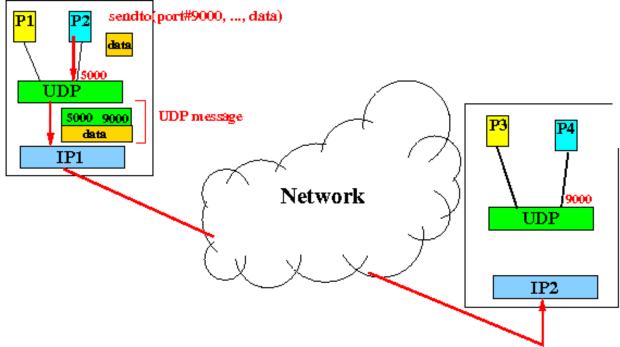
• Example:

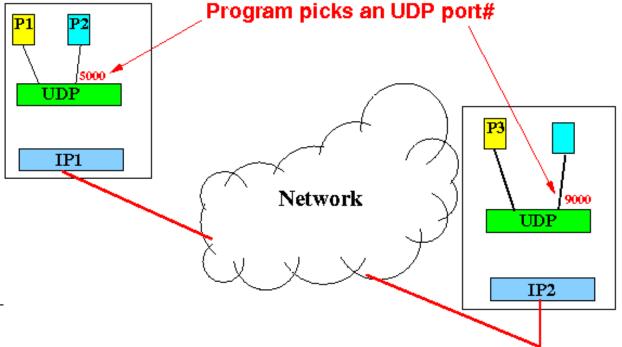
Program P2 communicates with program P4 through UDP





- Example Continued ...
- Program P2 communicates with program P4 through
 UDP
- Each program must pick a UDP port#



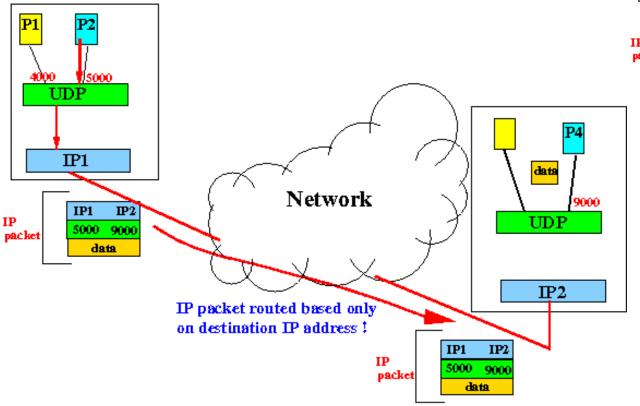


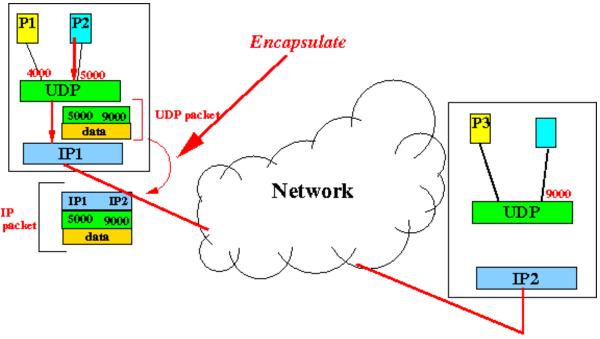
P2 transmits a UDP message to the UDP port# (9000) of P4

The UDP layer will insert the UDP port# 5000 used by P2 as the source port#



 The UDP message is encapsulated inside an IP packet (by the IP layer)

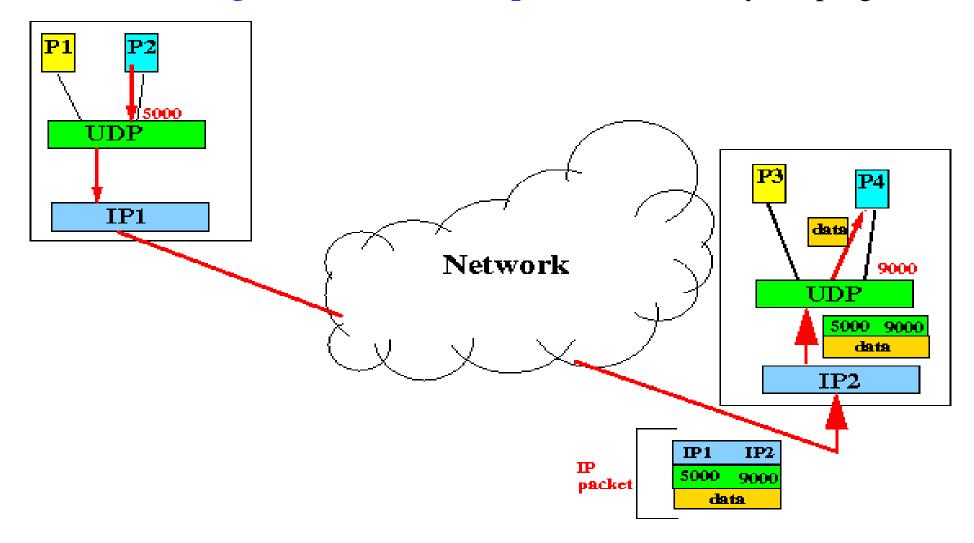




The IP packet is forwarded using *only* the destination IP address.



Here, the UDP message is delivered to the port #9000 own by the program P4





UDP: Strengths and Weaknesses

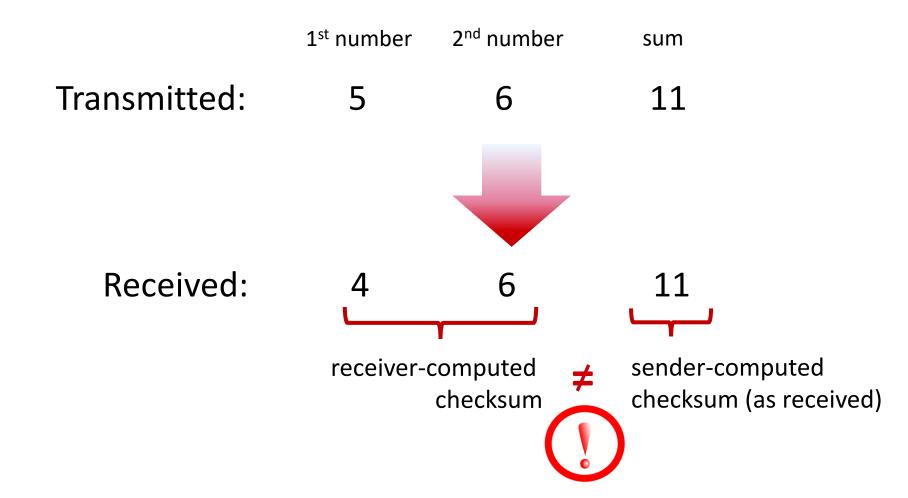
- Strength of the UDP protocol
 - UDP is *very* light weight and has almost no processing overhead
 - Fact:
 - The UDP protocol is ideally suited for
 - Network research that want to experiment with novel network techniques
 - the UDP layer adds very little processing overhead
 - Reason
 - Researchers who wants to implement new protocols do not want
 - the transport level software to add extra overhead (will give biased performance results)
 - So that the **performance** of the **experimental protocol** can be **measured** *accurately*

- Weakness of the UDP protocol:
 - UDP does not provide any additional service
 - Writing application program with UDP is very difficult



UDP checksum

Goal: detect errors (i.e., flipped bits) in transmitted segment





Internet checksum

Goal: detect errors (i.e., flipped bits) in transmitted segment

sender:

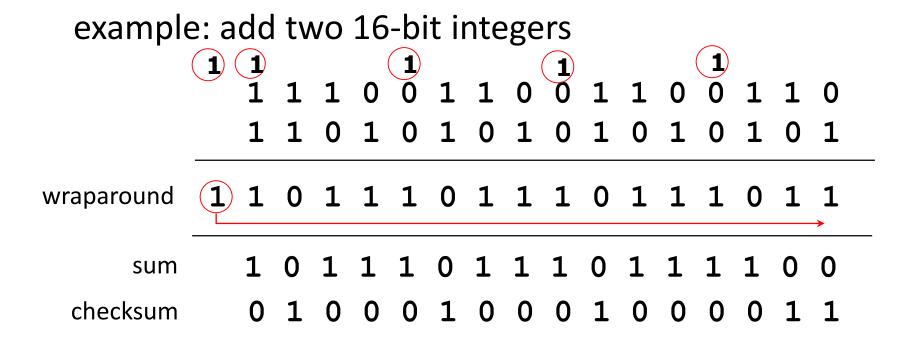
- treat contents of UDP segment (including UDP header fields and IP addresses) as sequence of 16-bit integers
- checksum: addition (one's complement sum) of segment content
- checksum value put into UDP checksum field

receiver:

- compute checksum of received segment
- check if computed checksum equals checksum field value:
 - not equal error detected
 - equal no error detected. But maybe errors nonetheless?



Internet checksum: an example

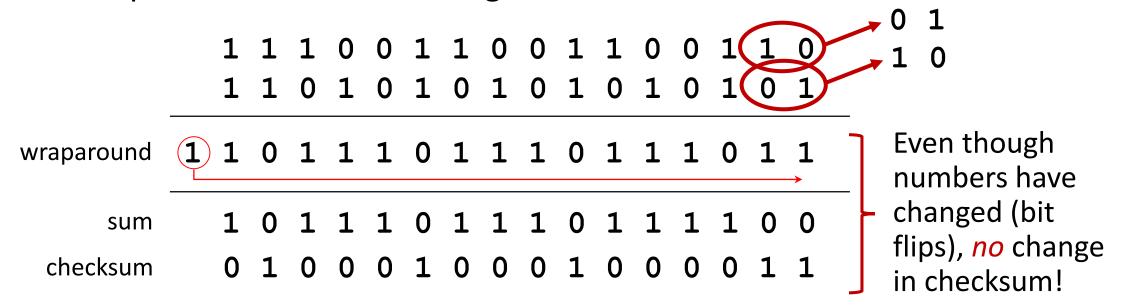


Note: when adding numbers, a carryout from the most significant bit needs to be added to the result



Internet checksum: weak protection!

example: add two 16-bit integers



Summary: UDP

- "no frills" protocol:
 - segments may be lost, delivered out of order
 - best effort service: "send and hope for the best"
- UDP has its plusses:
 - no setup/handshaking needed (no RTT incurred)
 - can function when network service is compromised
 - helps with reliability (checksum)
- build additional functionality on top of UDP in application layer (e.g., HTTP/3)



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TCP: overview

- point-to-point:
 - one sender, one receiver
- reliable, in-order byte steam:
 - no "message boundaries"
- full duplex data:
 - bi-directional data flow in same connection
 - MSS: maximum segment size

- cumulative ACKs
- pipelining:
 - TCP congestion and flow control set window size
- connection-oriented:
 - handshaking (exchange of control messages) initializes sender, receiver state before data exchange
- flow controlled:
 - sender will not overwhelm receiver



TCP: Transmission Control Protocol





TCP: Transmission Control Protocol

• Services:

- Multiplexing same as UDP protocol
- End-to-end reliable communication
- Flow control
- Congestion control

- Multiplexing:
- Multiplexing in TCP is implemented in the same way as in UDP
- The TCPprotocol also provides 2¹⁶ (65536) communication ports for use to application programs
- User applications select and associate with a TCP port#
- TCP packets contains TCP port numbers to identify the messages from different user applications

NOTE:

- TCP ports and UDP ports are different entities inside one computer
- There are 65536 UDP ports and 65536 TCP ports inside one computer



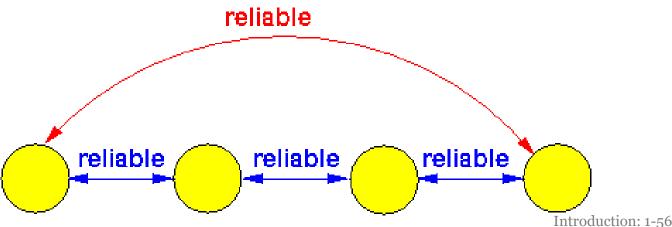
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TCP: End-to-end reliable communication

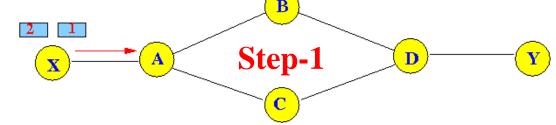
- Reliable Transfer
 - TCP uses the sliding window protocol to provide reliable communication

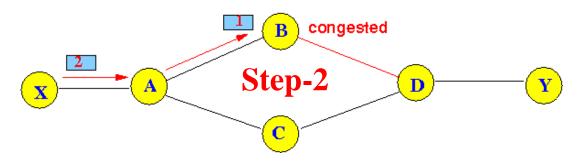
- Delay over a *single* hop in the datalink layer is relatively *constant*:
 - Timeout values (for frame retransmission) can be set very accurately
 - Delay over a *multiple* hops in the Transport Layer is extremely *variable* (due to congestion):
 - Timeout values (for packet retransmission) must be adjusted continuously.



What can cause end-to-end errors

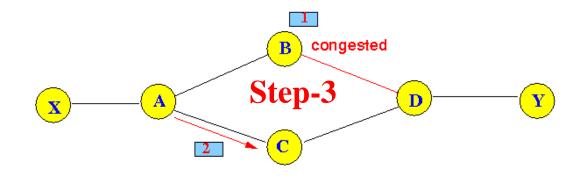
- In end-to-end communication, packets can be received out-of-order
- Example
 - Source X transmits 2 messages:

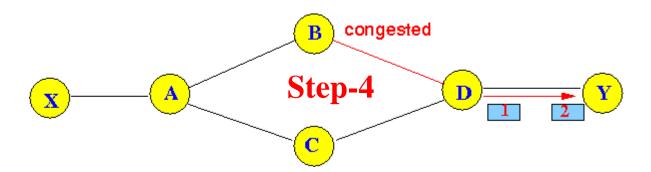




Router A recomputes routing tables
 and route packet 2 to a less congested node

Packet 1 is routed into a congested node B:





■ The packets will arrive *out-of-order*:



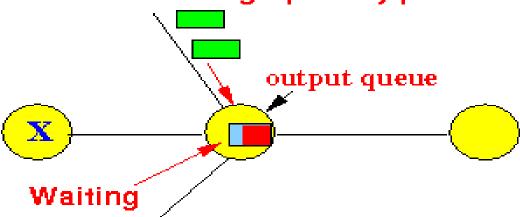
What can cause end-to-end errors

Received (and acknowledged) packets can be deleted later (to make space for a higher priority packet)

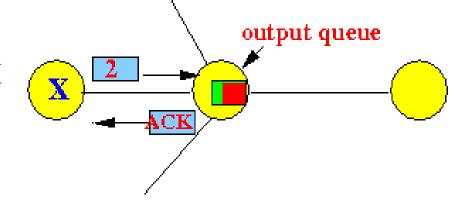
Example

A router received (and acknowledged) a packet from X

 The output queue is almost full High priority packets



• Some **received** and **acknowledged packet(s)** will have to be *discarded*!



Conclusion

Hop-to-hop reliability does *not* ensure end-to-end reliability



TCP: Flow Control

- Flow control = controlling the transmission rate at the source so that the packet arrivals can be buffered by the receiver
- Example:
- Suppose the receiver can process maximum 1000 packets per second
- If the sender transmits *more* than 1000 packets per second (for a prolonged period of time), then:
 - The receiver's buffer will eventually overflow

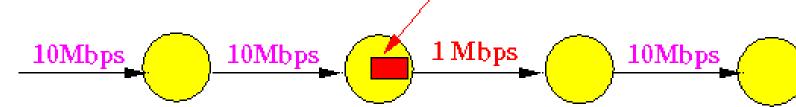


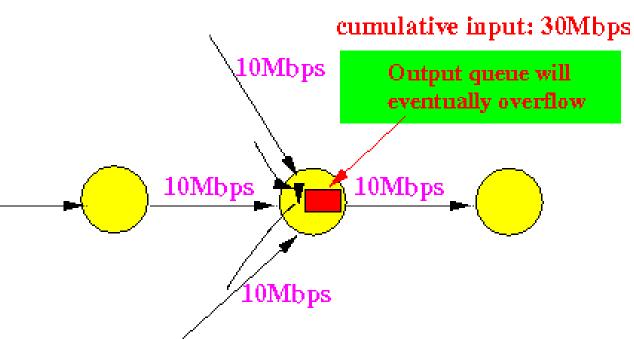
TCP: Congestion Control

• Congestion occurs when the input rate exceeds the output rate for

some **period** of **time**

• Example 1: a slower link





• Example 2: concentrated flows

Output queue will

eventually overflow



Solving Congestion Problem

- The answer on how to solve the congestion problem is easy
 - Reduce the transmission rate....
- Unfortunately, we do *not* know the answers to
- When do we reduce the transmission rate?
 - Congestion detection !!!
- **How much** ???
 - Transmission rate adjustment

• If we reduce the transmission rate by too much, the bandwidth will be *wasted* (idle !!!)



• TCP message and header format

32 bits SourcePort DestPort Sequence number TCP header Acknowledgement number Hdr Hdr Advertised length 0000000 FLAGS length WindowSize (# words) CheckSum UrgentDataPtr OPTIONS (0 or more) "Urgent" data User data

 Source Port and Dest Port are used to implement Multiplexing just like UDP

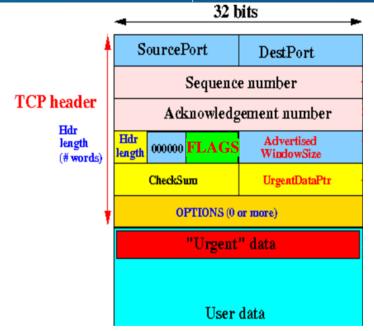
Flow control

Sliding window

Acknowledgement Number:

- In TCP, ACK(n) will acknowledge
 - All send sequence numbers that are < n
- the Acknowledgement Number is only valid if the ACK flag bit is set

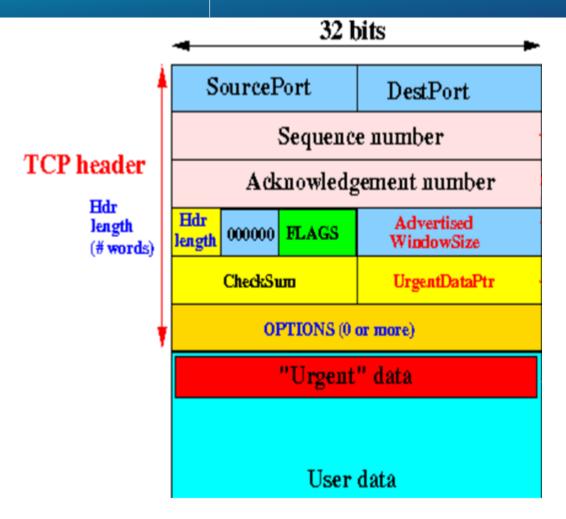




- ACK flag: (Acknowledgement)
- If the ACK bit is set:
 - The Ack (receive) sequence number in the TCP header is *valid*
- Otherwise:
 - The Ack (receive) sequence number in the TCP header is invalid and must be ignored

- FLAGS: used to indicate a TCP control message
- SYN flag: (synchronize)
 - SYN is set when the TCP source wants to establish a connection
- FIN flag: (finish)
 - FIN is set when the either TCP party wants to close an existing TCP connection
 - RESET flag: (reset)
 - **RESET** is used to **abort** a **TCP connection** (Abnormal exit)
 - **PUSH flag:** (**flush** the connection)
 - The **PUSH** bit is set when the user application invoked the *push* operation that flushes/clears the transmission buffer.
 - URG flag:
 - indicates that **urgent data** is **sent inside** the **TCP payload**
 - The Urgent pointer marks the end of the urgent data
 Transport Layer: 3-64

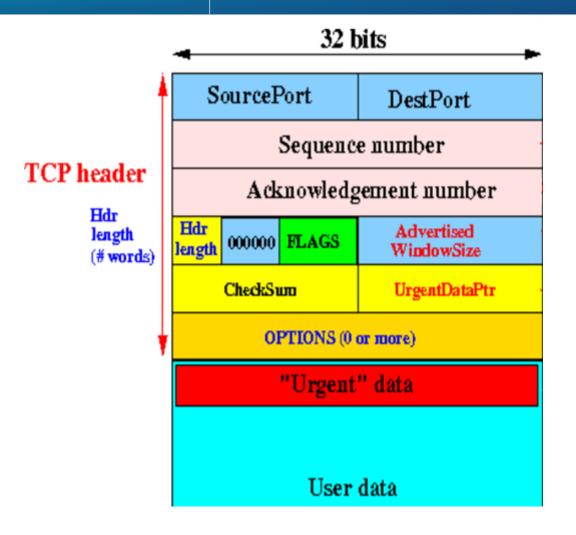




CheckSum: checksums the TCP header & message

- Sequence Number: Every *item* that require *reliable* transmission must be
 - identified by a unique (send) sequence number
- Example:
 - A SYN request (used to establish a TCP connection)
 - A FIN request (used to tear down a TCP connection)
 - Each byte that the user application transmits





- Advertised WindowSize:
 - Use in **flow control**
 - Advertised window size = the many bytes
 (free space) that is available in the receiver buffer
- Note:
 - The sender cannot transmit more data than it is given in the Adv. window size

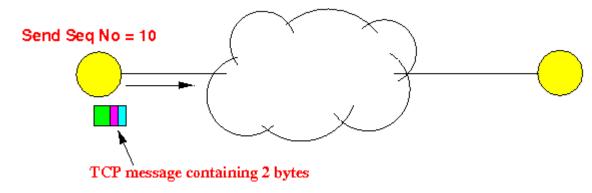
• UrgentDataPtr: The Urgent pointer marks the end of the urgent data



Unit of data in the TCP protocol

- TCP is a byte oriented protocol
 - The unit of data transmitted in TCP is 1 byte
- In other words:
 - Each byte is assigned a (unique) send sequence number
 - Each byte will be acknowledged individually
- It's **not** too **bad** since **TCP** uses *cumulative* **ACK**

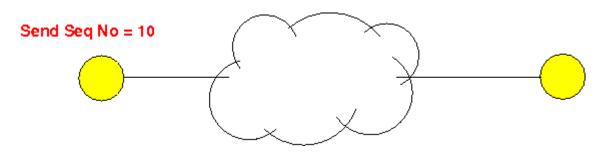
2. The sender transmits 1 (one) TCP message contains 2 bytes:

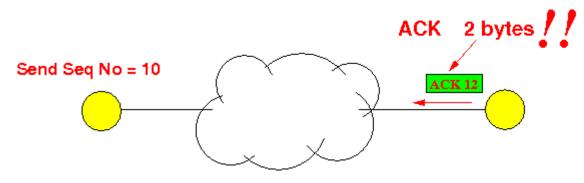


3. The receiver must acknowledge the reception of 2 bytes

Example:

1. Suppose the send sequence number = **10**:

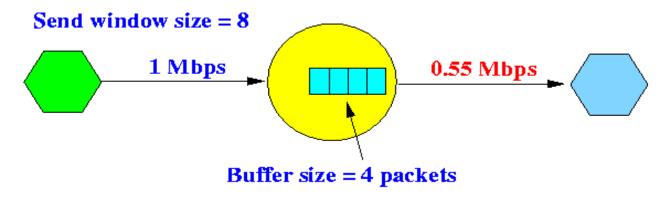


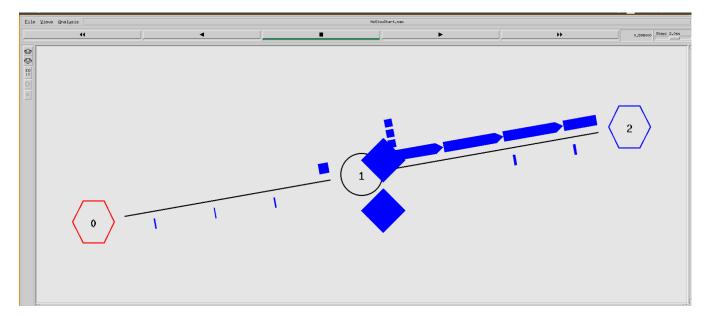




Congestion, Buffer overflow and Packet Drop

• Example Network





• What is the reason of packets drop



Overview-Protocols in the TCP/IP Suite

Any question?



Overview/roadmap:

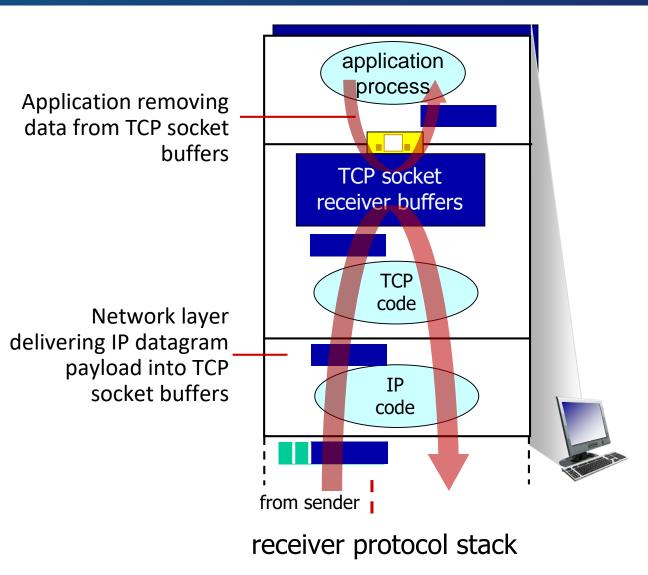
- So far, we talked about
 - TCP Services
- TCP Message Format
- Congestion Buffer Overflow
- Next we discuss
- Connection-oriented transport: TCP
 - TCP flow Control



TCP flow control

Q: What happens if network layer delivers data faster than application layer removes data from socket buffers?



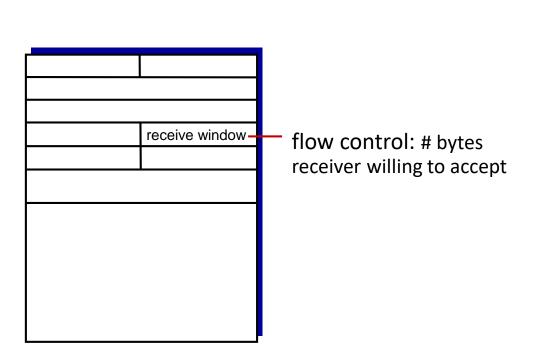


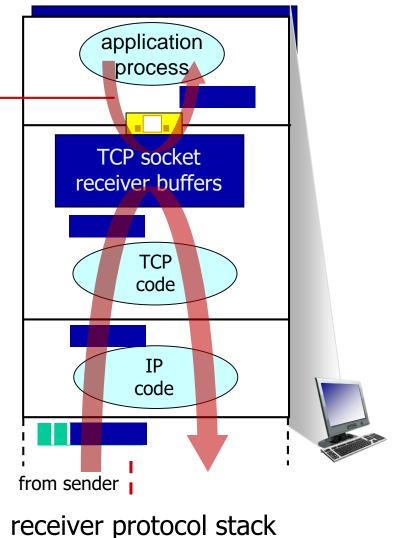


TCP flow control

Q: What happens if network layer delivers data faster than application layer removes data from socket buffers?

Application removing data from TCP socket buffers





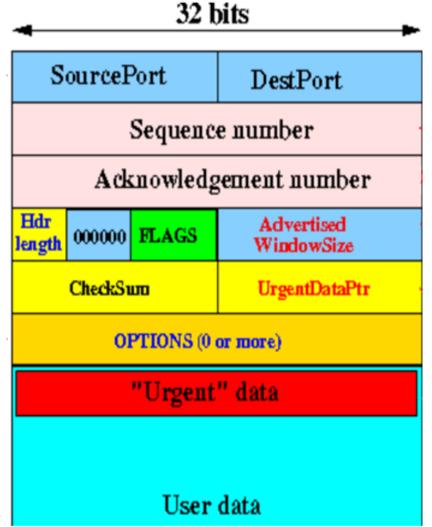


TCP flow control

TCP receiver "advertises" free buffer space in rwnd field in TCP header

sender limits amount of unACKed ("in-flight") data to received rwnd

guarantees receive buffer will not overflow flow control: # bytes receiver willing to accept

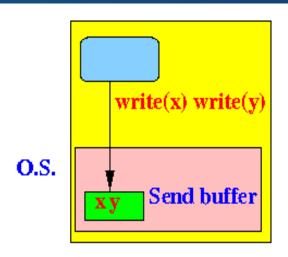


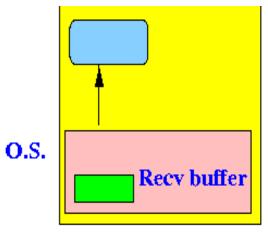
Transport Layer: 3-72



Flow Control in TCP- Send and Receive buffers

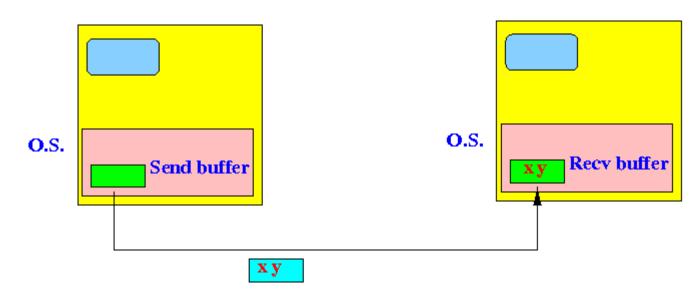
- When a user application sends
 data to another user application
- the data is first stored in a send buffer inside OS kernel





• When the send buffer contains more than MSS bytes or when the send time expires, the TCP module will send the data in a TCP segment to the receiver

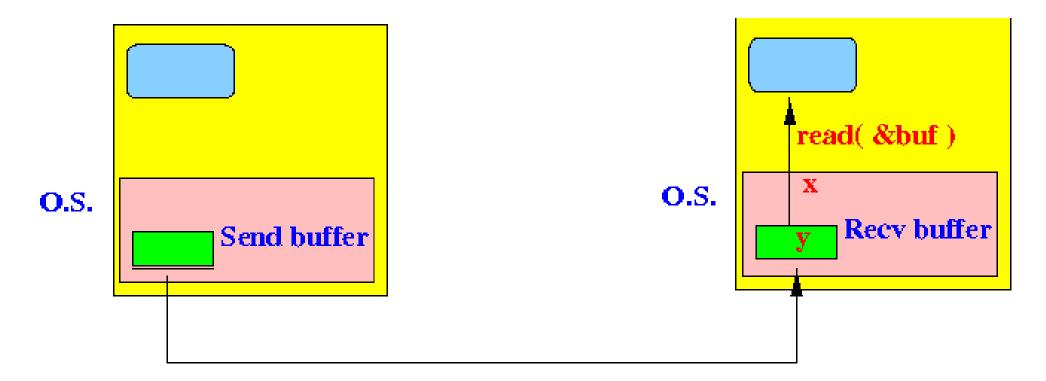
• The received data is stored in a receive buffer inside the OS kernel of the receiver computer





Flow Control in TCP- Send and Receive buffers

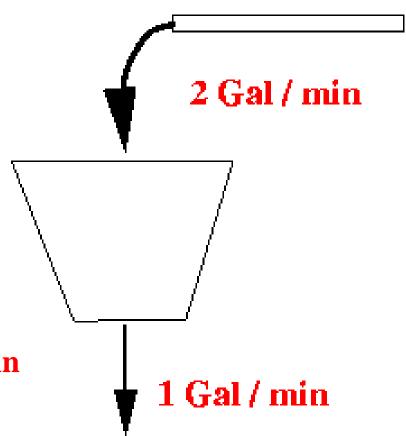
- The receiving application can use the read() system call to read the received data from the receive buffer
 - The receive buffer becomes empty





Flow Control in TCP- Flow Control

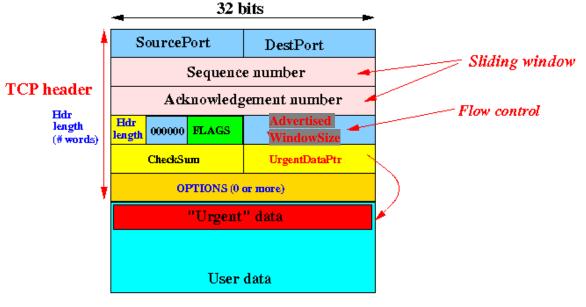
- Flow control is a mechanism to ensure that the transmission rate of the sender do *not* exceed the capacity of the receiver
- Fact:
- If the transmission rate exceeds the capacity of the receiver, then sooner or later, there is no buffer space left to store arriving packets
 - When this happens, newly arriving packets are discarded
- ANALOGY
- The bucket has a hole that let water drain at 1 Gal/min
- A pipe fills the bucket with water at 2 Gal/min
- Sooner or later, the bucket will **overflow**.

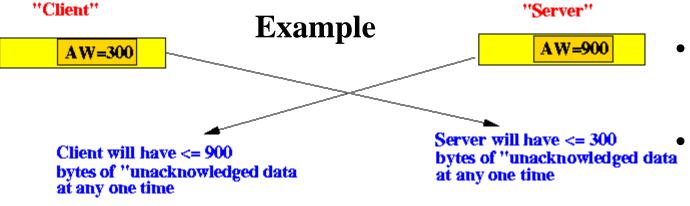




Flow Control in TCP- Implementation

- Flow control is implemented with the Advertised Windowsize in the TCP header
- Using the Advertised Window Size
- The adv. win. size is the maximum number of bytes that the receiver is willing to buffer
- The sender should honor the receiver's request and refrain from sending *more* data than the given adv. win. size





- The client tells the server not to send more than 300 bytes
- The server tells the client *not* to send more than 900 bytes



Receive buffer and Advertised Window Size

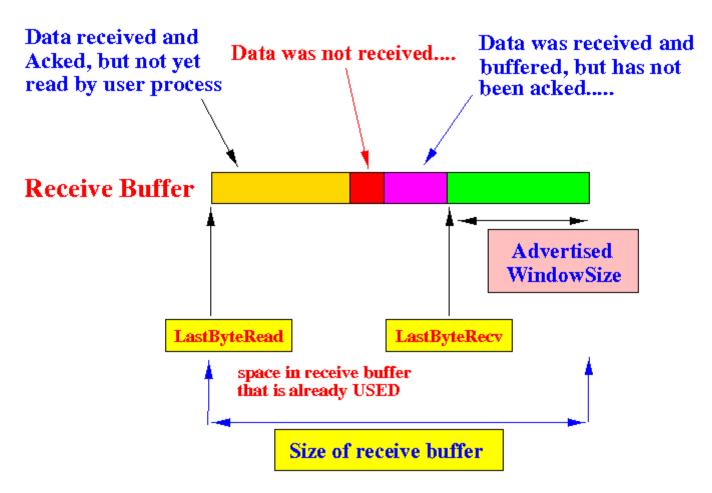
- Factors that determine the Advertised Window Size
 - The size of the receive buffer that the TCP connection has reserved
 - The receive buffer size is fixed at connection establishment
 - The amount of data currently buffered in the receive buffer

Receive buffer

- The receive buffer is located *inside* the Operating System (kernel).
- The receive buffer is filled when a data segment is received
- The receive buffer is emptied (a little bit) when data is read by the user process



Computing the Advertised Window Size



• Clearly, the Advertised WindowSize should be set to

Advertised Window = SizeRecvBuffer - (LastByteRecv - LastByteRead)

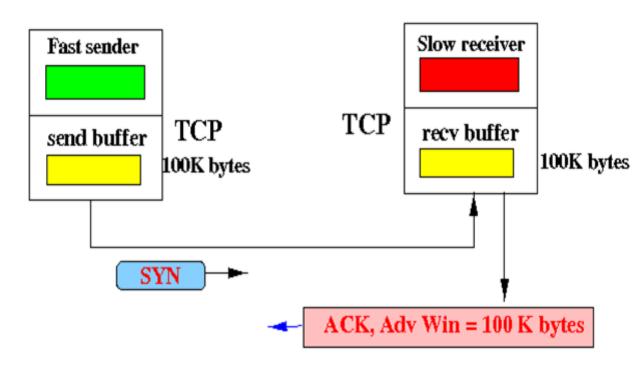


Flow Control in Action

- The effect of flow control is only evident when a speedier sender transmits to a slower receiver
- The following example of flow control uses a receive buffer size of 100 K bytes.

Example

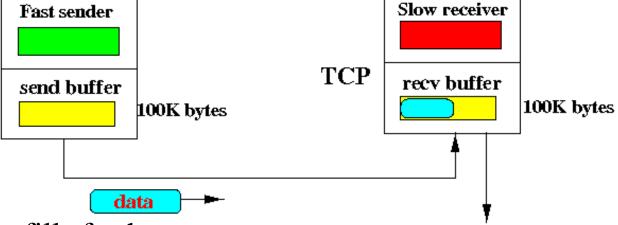
- Initially, the send and receive buffer are empty.
- Receiver advertises window of 100
 Kbytes



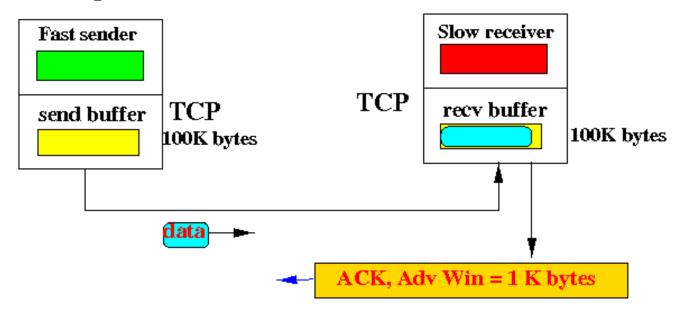


Flow Control- Example

- Sender sends many packets quickly, faster than the receiver can process TCP
- the receive buffer starts to fill and soon the advertised window drops to 50 Kbytes



- The situation continues and the receive buffer fills further
- The ACK packets from the receiver will now have a lower advertised window, e.g., 1 K bytes



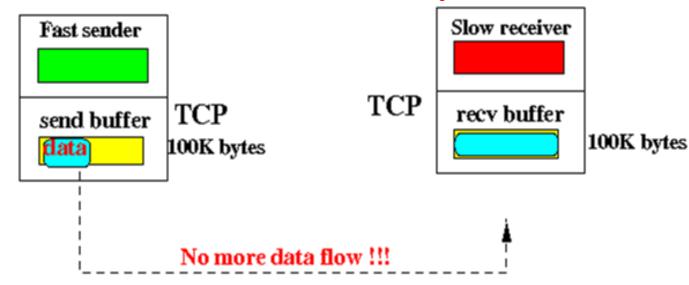
 Sooner or later, the receive buffer fills up

ACK, Adv Win = 50 K bytes



Flow Control- Example continued ...

- The receiver returns an ACK packets with the **advertised window = 0 bytes**
- This causes the sending TCP to stop transmitting more data
- and prevented the sending TCP to overflow the receive buffer of the receiving TCP.



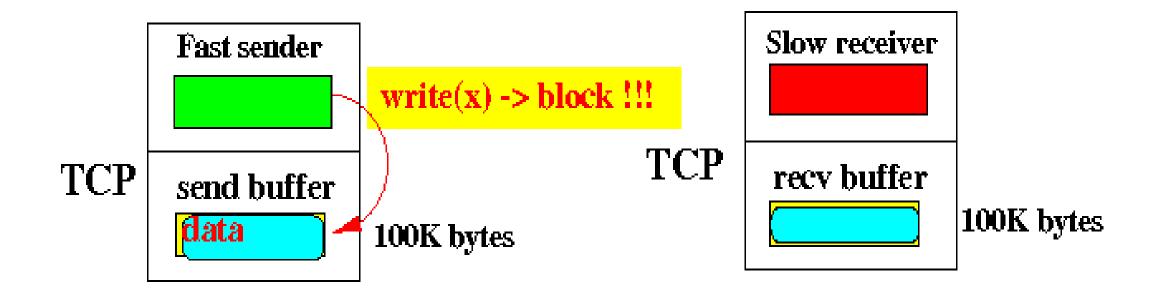
• NOTE

- This will not stop the sending application from sending more data
- The sending application process can still send more data
- but the data sent will remains in the send buffer (as given in the above figure)



Flow Control- Example continued ...

- If the sending application process continues sending, the send buffer will fill up
- A subsequent write () call will cause the sending application process to block



• Now the faster sending application process has been successfully throttle...

