

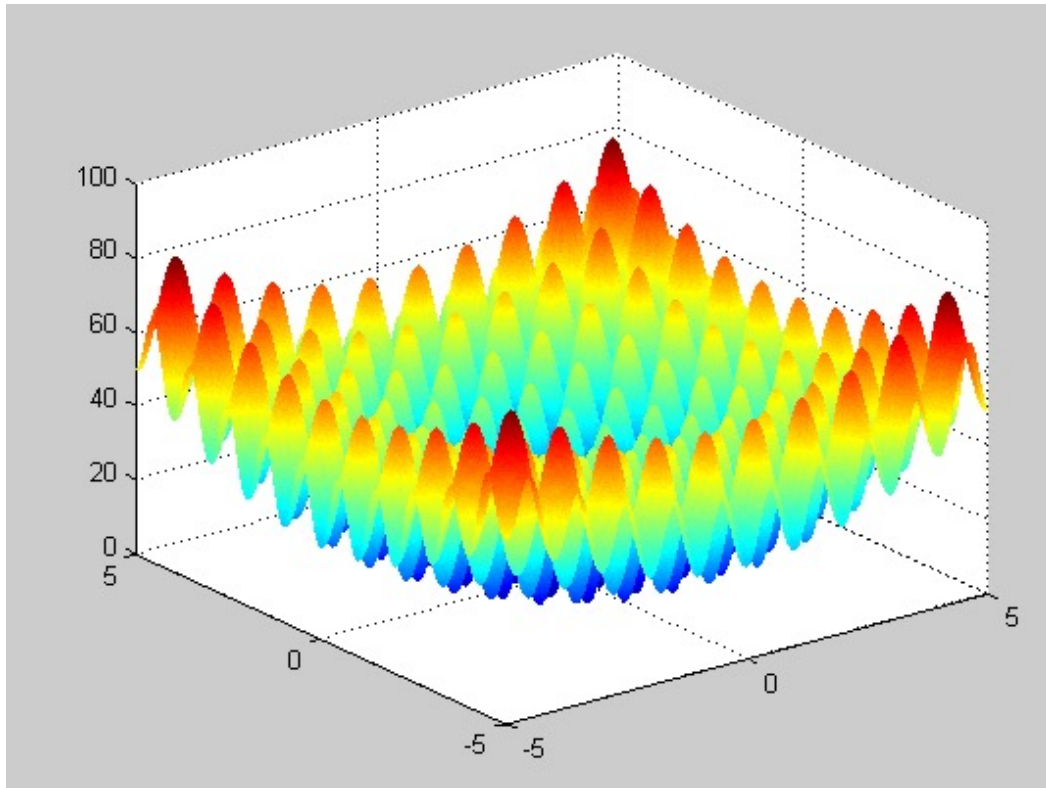
# PROBLEM FORMULATION AND SEARCH TREE FUNDAMENTALS OF AI(COMP1037)

Dr Qian Zhang  
University of Nottingham,  
Ningbo China 2023

# MATHEMATIC PROBLEM

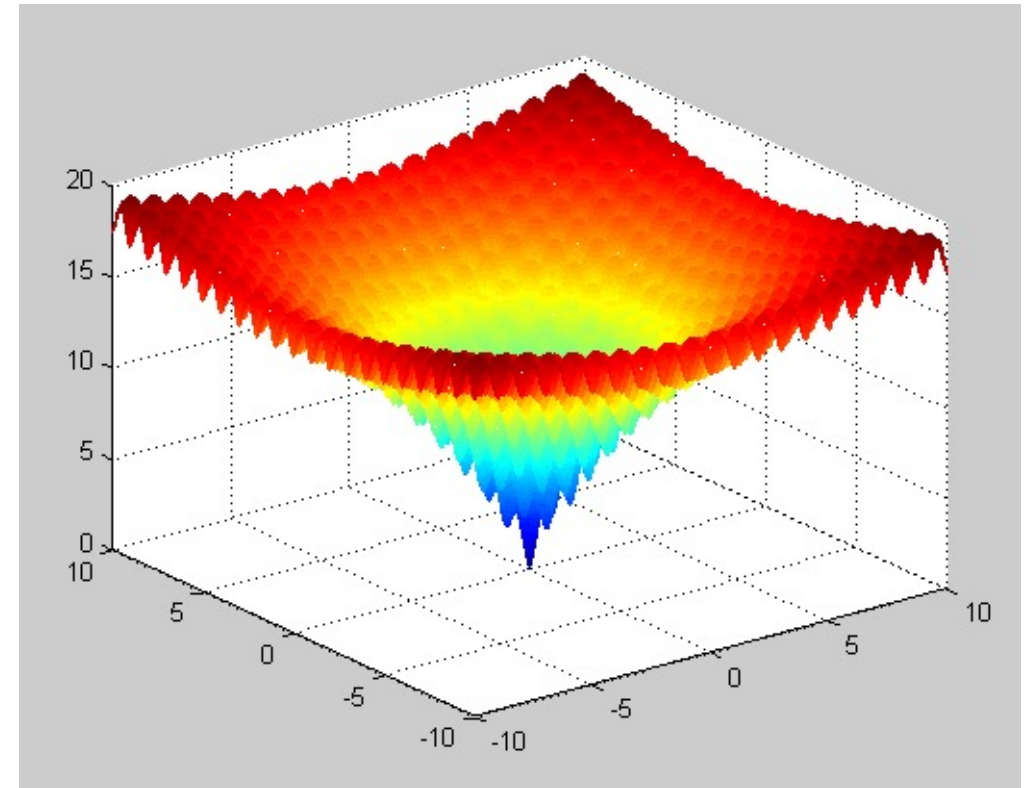
$$Ras(x) = 20 + x_1^2 + x_2^2 - 10(\cos 2\pi x_1 + \cos 2\pi x_2).$$

$$x_i \in [-5.12, 5.12]$$



$$F(\vec{x}) = -20 \cdot \exp\left(-0.2 \sqrt{\frac{1}{n} \cdot \sum_{i=1}^n x_i^2}\right) - \exp\left(\frac{1}{n} \sum_{i=1}^n \cos(2\pi \cdot x_i)\right) + 20 + e$$

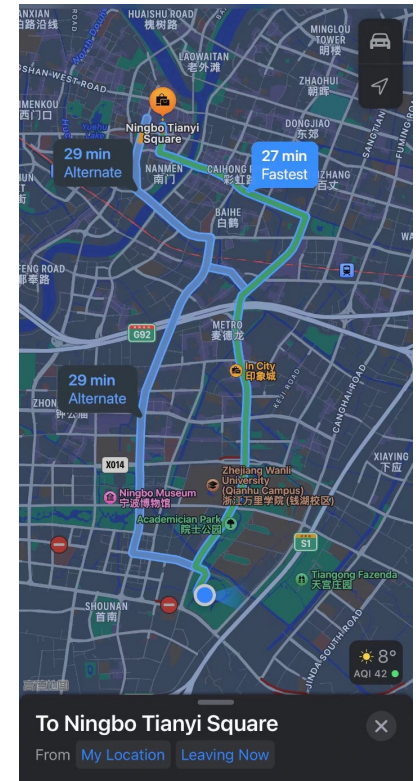
$$x_i \in [-30, 30]$$



# REAL-WORLD PROBLEM

Tree SEARCH

- ❖ Do you drive? Have you thought about how the route is planned in your GPS?
- ❖ How would you implement a cross-and-nought computer program?



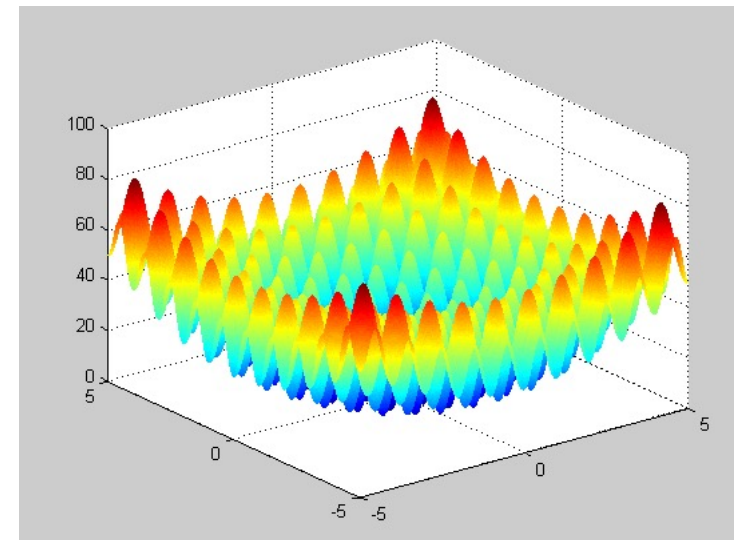
# SOLVING PROBLEM BY SEARCHING

- ❖ Many problems in real-world exhibit no detectable regular structure to be exploited, they appear “**chaotic**”, and do not yield to efficient algorithms
- ❖ Often we can't simply write down and solve the equations for a problem
- ❖ **Exhaustive search** of large state spaces appears to be the only viable approach

$$Ras(x) = 20 + x_1^2 + x_2^2 - 10(\cos 2\pi x_1 + \cos 2\pi x_2).$$

# SOLVING PROBLEM BY SEARCHING

- ❖ The concept of **search** plays an important role in science and engineering
- ❖ In one way, any problem whatsoever can be seen as a search for “the **right answer**”
- ❖ Search **space**
  - ❖ Set of all possible solutions to a problem
- ❖ Search **algorithms**
  - ❖ Take a problem as input
  - ❖ Return a solution to the problem





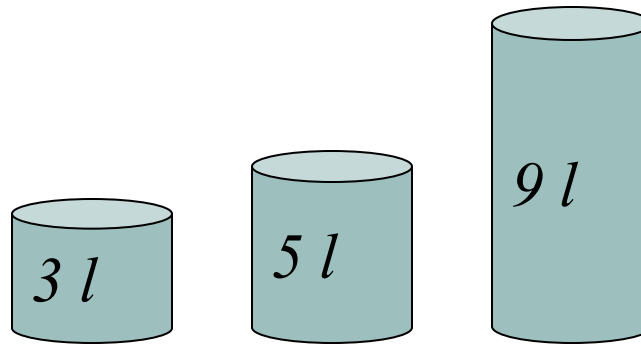
# OUTLINES

## ❖ Topic one: Definitions and examples of problems (2nd Session)

- **Problem formulation**
- Problem representation

## ❖ Topic two: Problem solving by searching

- **Uninformed(blind)** search algorithms (3<sup>rd</sup> Session)
  - Simplest exhaustive search
  - Breadth first search, depth first search, Uniform cost search
- **Informed** search algorithms (4<sup>th</sup> Session)
  - Use of heuristics that apply domain knowledge
  - A\* algorithm, Minimax algorithm

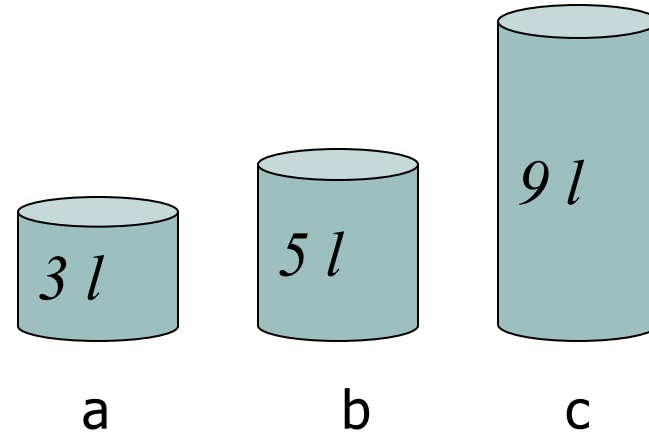


**Problem:** Using these three buckets, measure 7 liters of water.

Fill in the bucket? Pour out the water?

(one possible) Solution:

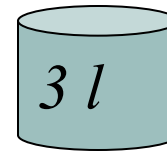
a	b	c	
0	0	0	start



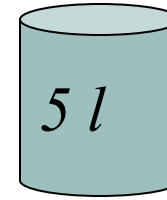


(one possible) Solution:

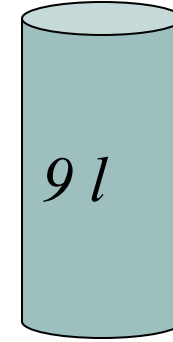
a	b	c	
0	0	0	start
3	0	0	



a



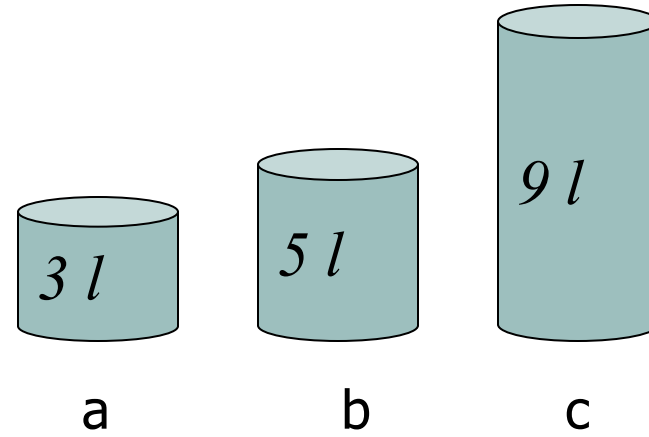
b



c

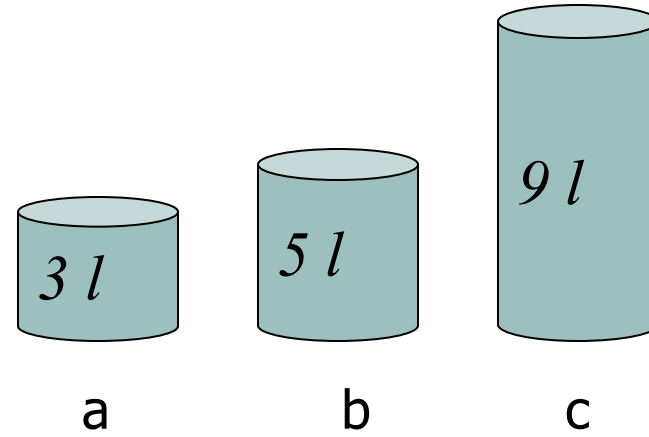
(one possible) Solution:

a	b	c	
0	0	0	start
3	0	0	
0	0	3	



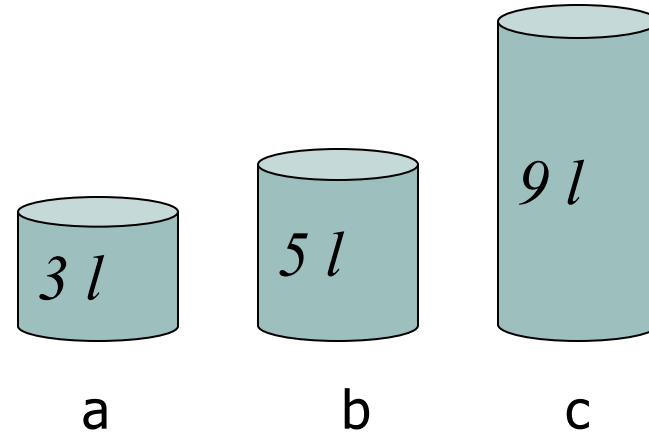
(one possible) Solution:

a	b	c	
0	0	0	start
3	0	0	
0	0	3	
3	0	3	



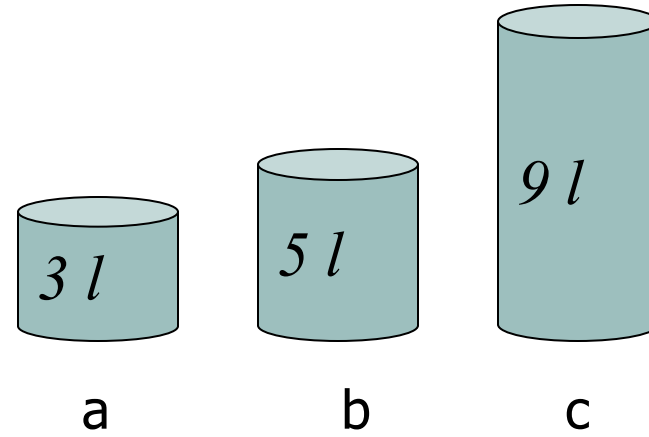
(one possible) Solution:

a	b	c	
0	0	0	start
3	0	0	
0	0	3	
3	0	3	
0	0	6	



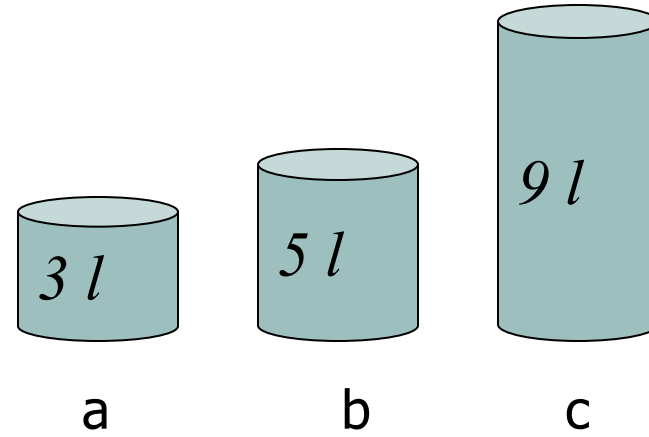
(one possible) Solution:

a	b	c	
0	0	0	start
3	0	0	
0	0	3	
3	0	3	
0	0	6	
3	0	6	



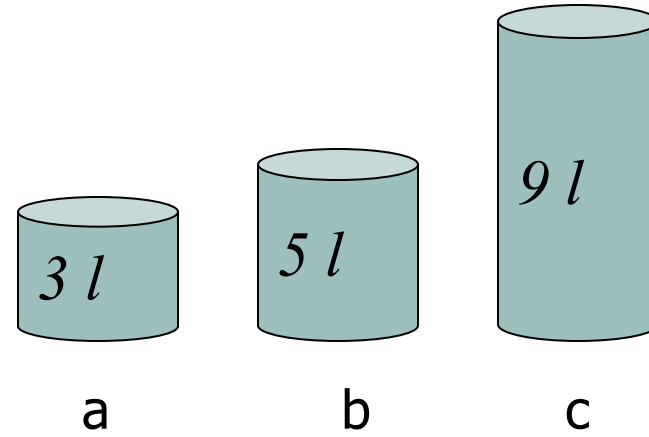
(one possible) Solution:

a	b	c	
0	0	0	start
3	0	0	
0	0	3	
3	0	3	
0	0	6	
3	0	6	
0	3	6	



(one possible) Solution:

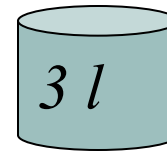
a	b	c	
0	0	0	start
3	0	0	
0	0	3	
3	0	3	
0	0	6	
3	0	6	
0	3	6	
3	3	6	



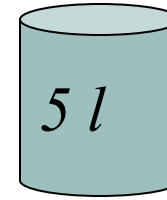


(one possible) Solution:

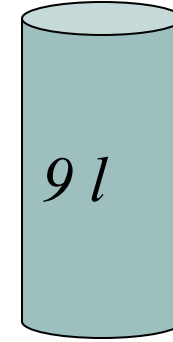
a	b	c	
0	0	0	start
3	0	0	
0	0	3	
3	0	3	
0	0	6	
3	0	6	
0	3	6	
3	3	6	
1	5	6	



a



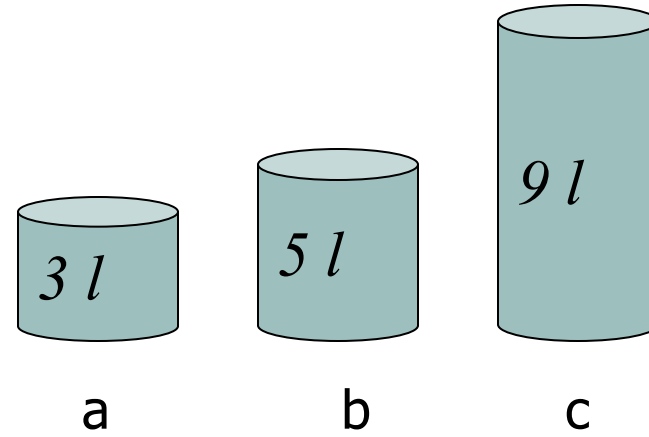
b



c

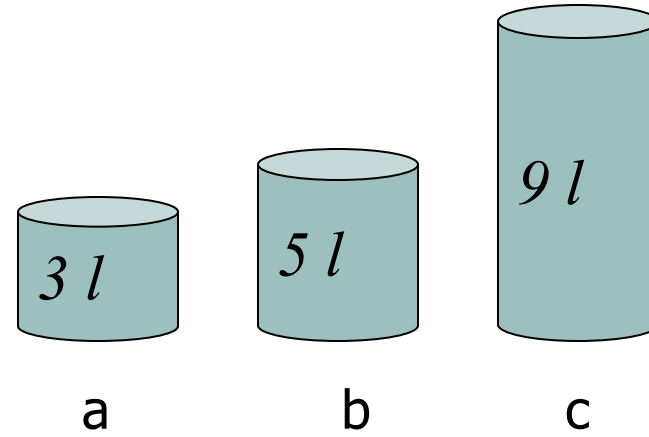
(one possible) Solution:

a	b	c	
0	0	0	start
3	0	0	
0	0	3	
3	0	3	
0	0	6	
3	0	6	
0	3	6	
3	3	6	
1	5	6	
0	5	7	goal



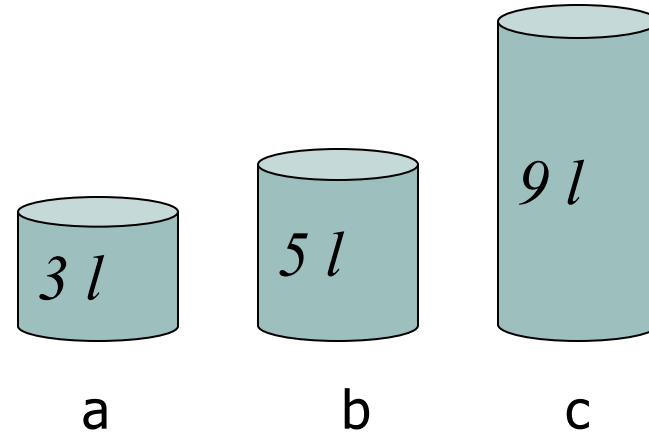
**Another Solution:**

a	b	c	
0	0	0	start
0	5	0	



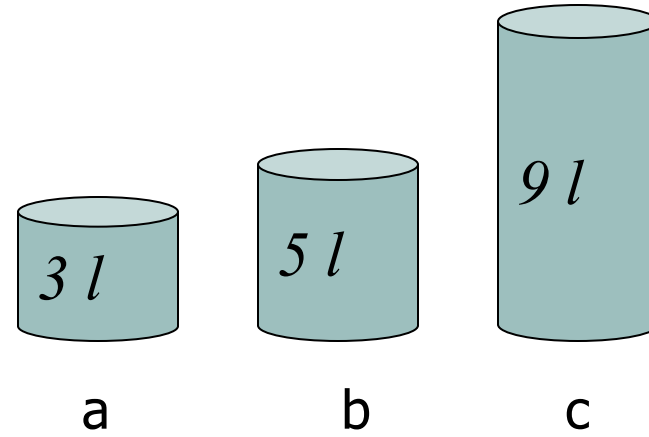
Another Solution:

a	b	c	
0	0	0	start
0	5	0	
3	2	0	



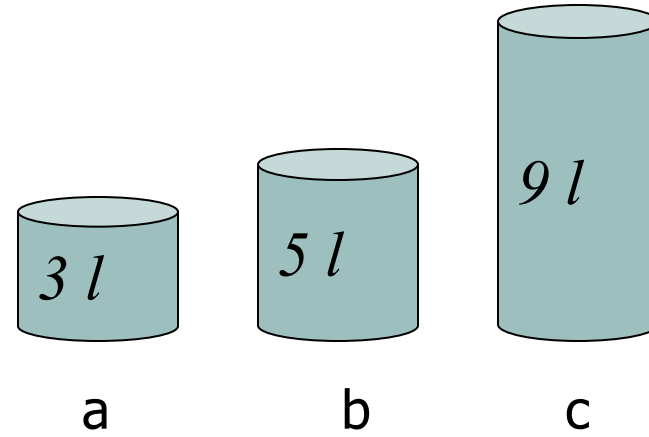
Another Solution:

a	b	c	
0	0	0	start
0	5	0	
3	2	0	
3	0	2	



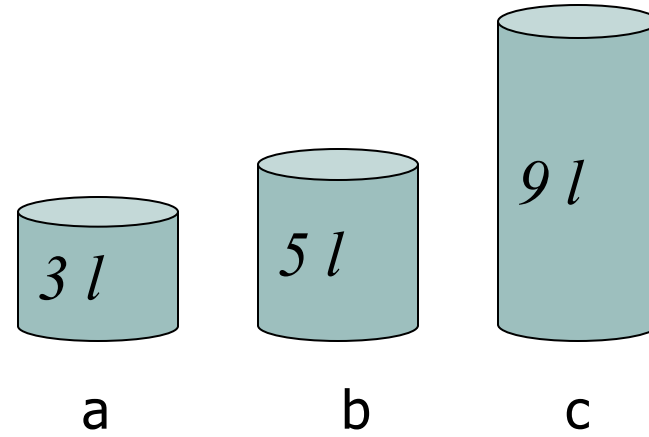
Another Solution:

a	b	c	
0	0	0	start
0	5	0	
3	2	0	
3	0	2	
3	5	2	



Another Solution:

a	b	c	
0	0	0	start
0	5	0	
3	2	0	
3	0	2	
3	5	2	
3	0	7	goal





- **Solution 1:**

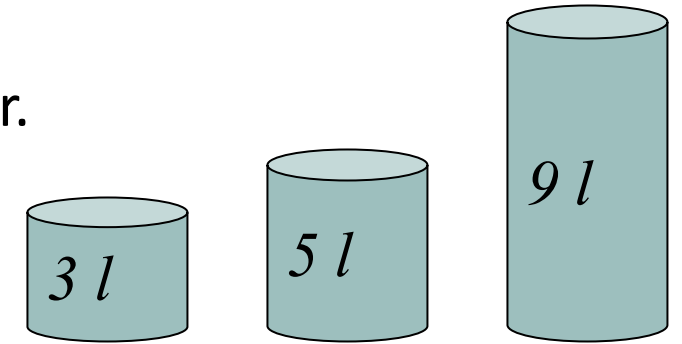
a	b	c	
0	0	0	start
3	0	0	
0	0	3	
3	0	3	
0	0	6	
3	0	6	
0	3	6	
3	3	6	
1	5	6	
0	5	7	goal

- **Solution 2:**

a	b	c	
0	0	0	start
0	5	0	
3	2	0	
3	0	2	
3	5	2	
3	0	7	goal

# PROBLEM FORMULATION

**Problem:** Using these three buckets, measure 7 liters of water.



What is the **environment**?

What are the **actions**?

What does it mean by '**success**'?

What is **solution**?

What to **search**?



**Problem formulation** is the process of deciding what **actions** and **states** to consider, given a **goal**.

# PROBLEM COMPONENTS

## ❖ Initial State

- The starting state of the problem, defined in a suitable manner

## ❖ Actions (Operators)

- An *action* or a set of *actions* that moves the problem from one state to another
- The set of all possible states reachable from a given state  $s$  by applying all legal action is known as the *neighbourhood* and the action(s) can be recognized as the **successor function**

# PROBLEM COMPONENTS

## ❖ Goal Test

- A test applied to a state which returns true if we have reached a state that solves the problem

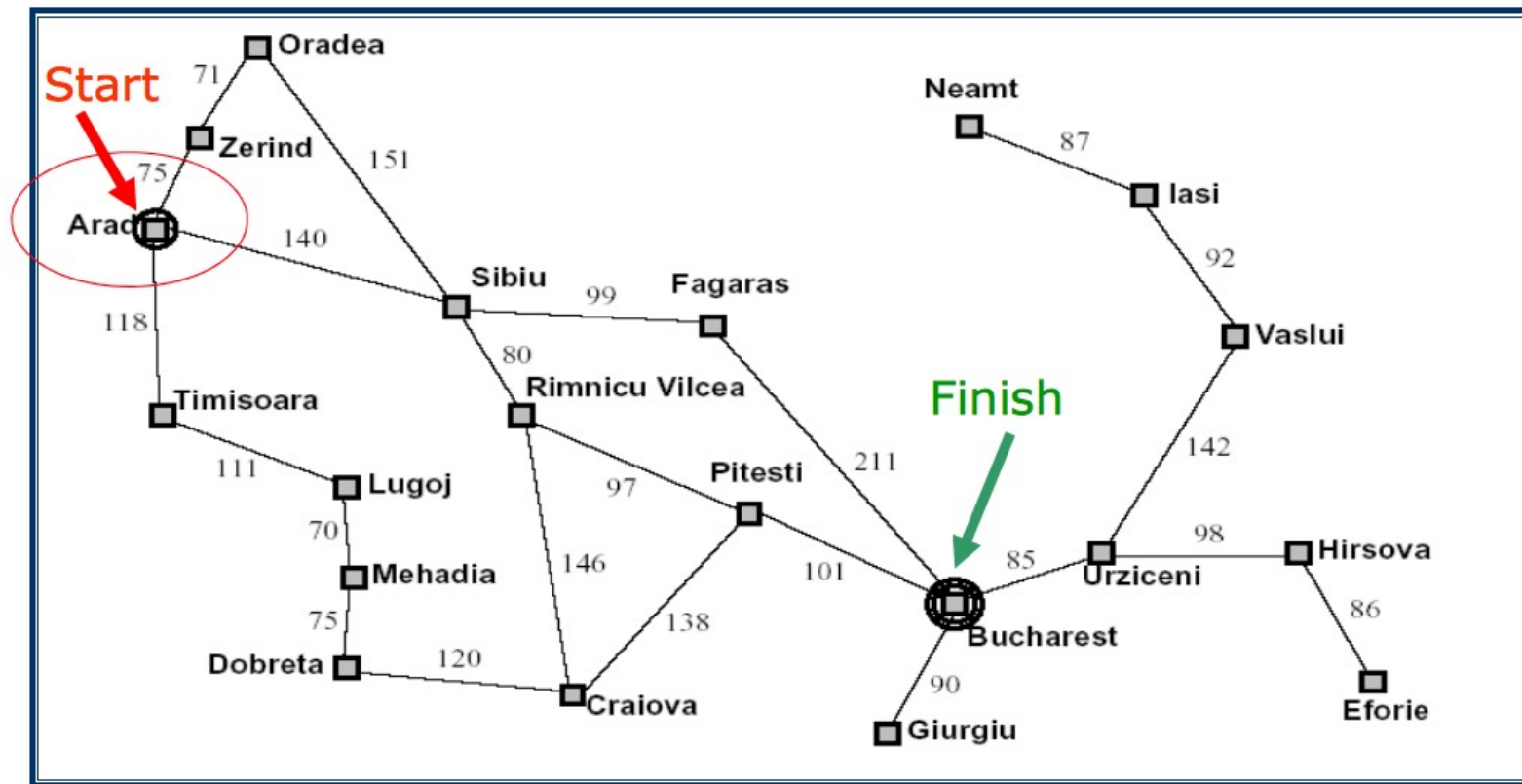
## ❖ Path Cost

- How much it costs to take a particular sequence of actions
- A *solution* to a problem is an *action sequence* that leads from the initial state to the goal state.

Note: The **initial state** and the **successor function** define the **state space** which is the set of all states reachable from the initial state (it forms a directed network or **graph**)

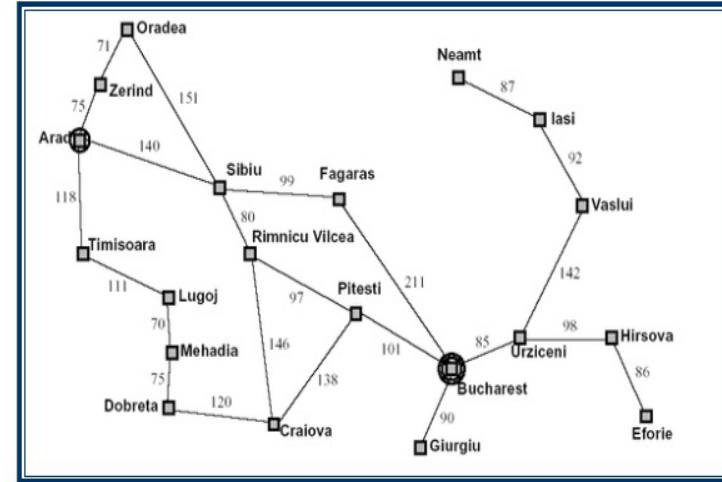
The **complexity** of a problem depends on the **size of the state space**.

# PROBLEM FORMULATION - ROMANIA



# PROBLEM FORMULATION - ROMANIA

- ❖ Initial State -> Arad
- ❖ Operator
  - driving between cities
  - state space consists of all 20 cities in the graph
- ❖ Goal Test
  - is the current state (city) Bucharest?
  - a solution is a path from the initial to the goal state
- ❖ Path cost is a function of time/distance/risk/petrol/...



Q: What is the neighbourhood of Arad?

# PROBLEM FORMULATION: 8-PUZZLE

5	4	
6	1	8
7	3	2

Initial State

1	4	7
2	5	8
3	6	

Goal State

<http://mypuzzle.org/sliding>



# PROBLEM FORMULATION: 8-PUZZLE

## ❖ Initial State

- specifies the location of each of the eight tiles and the blank in one of the nine squares

## ❖ Operators

- blank tile moves left, right, up or down

## ❖ Goal Test

- the current state matches a certain state

## ❖ Path Cost

- each move of the blank costs 1

5	4	
6	1	8
7	3	2

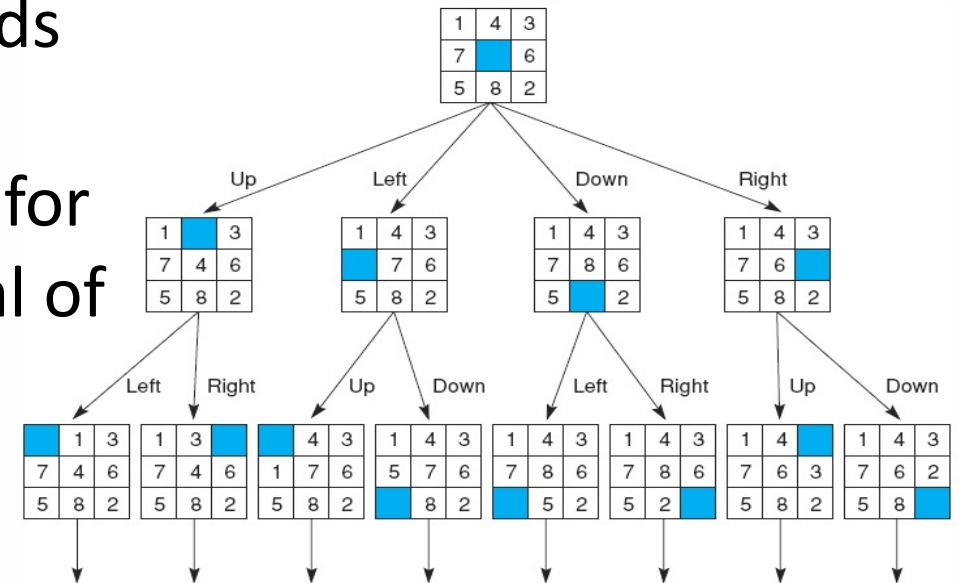
1	4	7
2	5	8
3	6	

Q: How big is the state space?

# PROBLEM FORMULATION: 8-PUZZLE

❖ The number of actions/operators depends on how they are formulated

- 4 possible moves could be specified for each of the 8 tiles, resulting in a total of  $4 \times 8 = 32$  operators.
- On the other hand, 4 moves for the “blank” square could be specified instead, so only 4 operators are needed.
- => Formulation shift can greatly simplify a problem!



# PROBLEM FORMULATION: 8-QUEEN

## ❖ Initial state

- An empty 8X8 board

## ❖ Operator

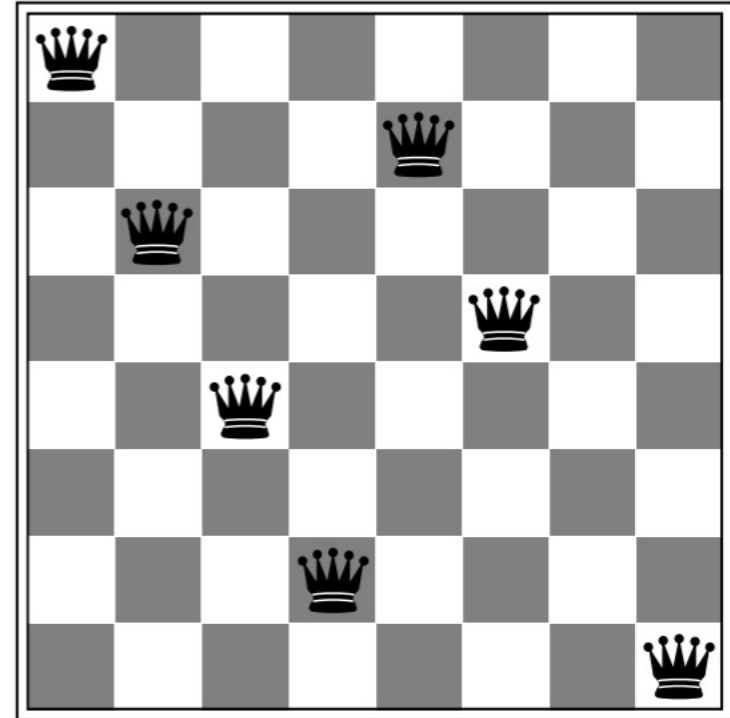
- Add a queen into a cell on the board

## ❖ States

- ❖ Any arrangement of 0 to 8 queens on the board

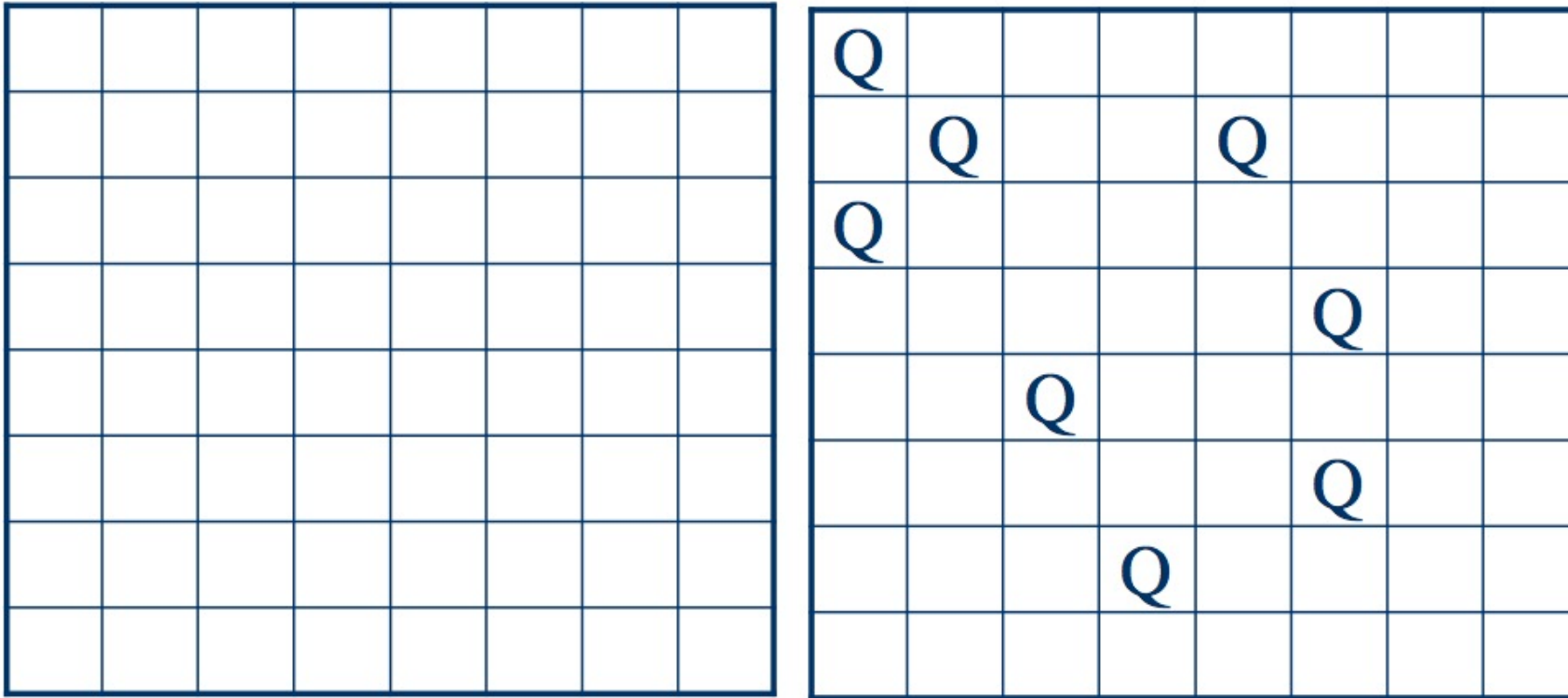
## ❖ Goal state

- ❖ A valid arrangement of 8 queens on board (none attacked)



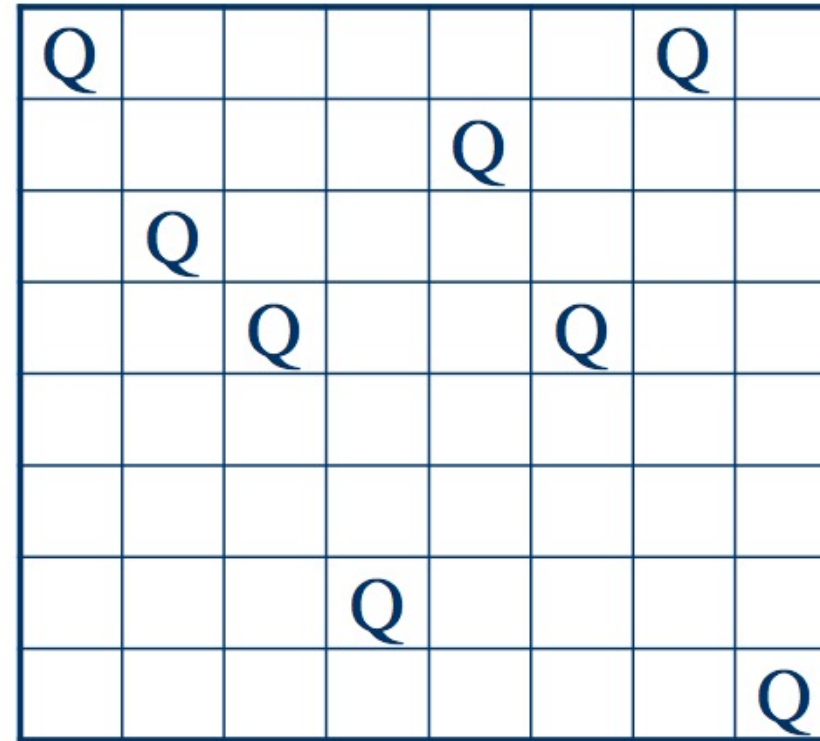
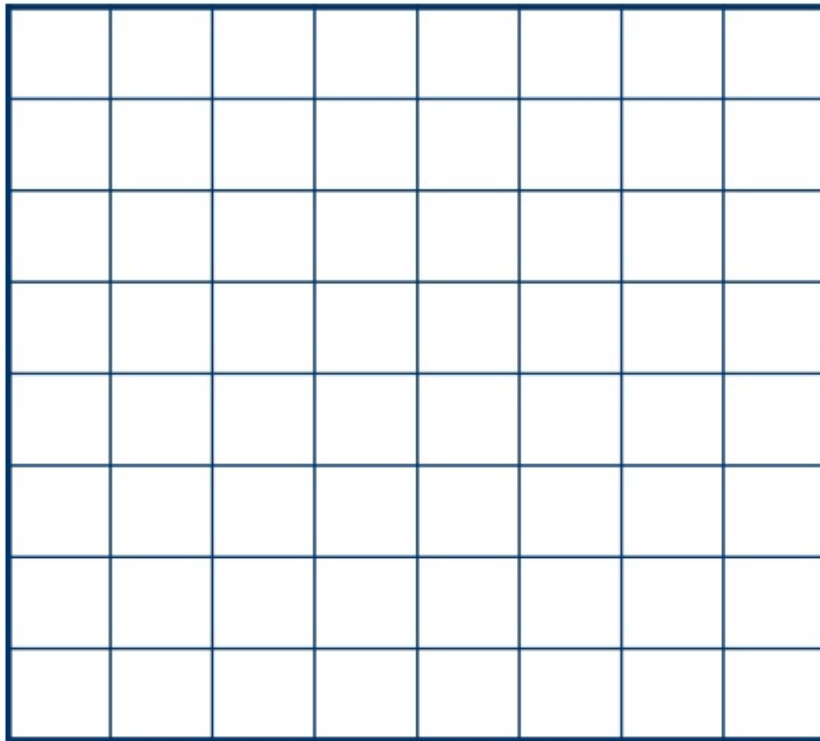
# PROBLEM FORMULATION:8-QUEEN

❖ 1st Formulation: add a queen to **any empty square**



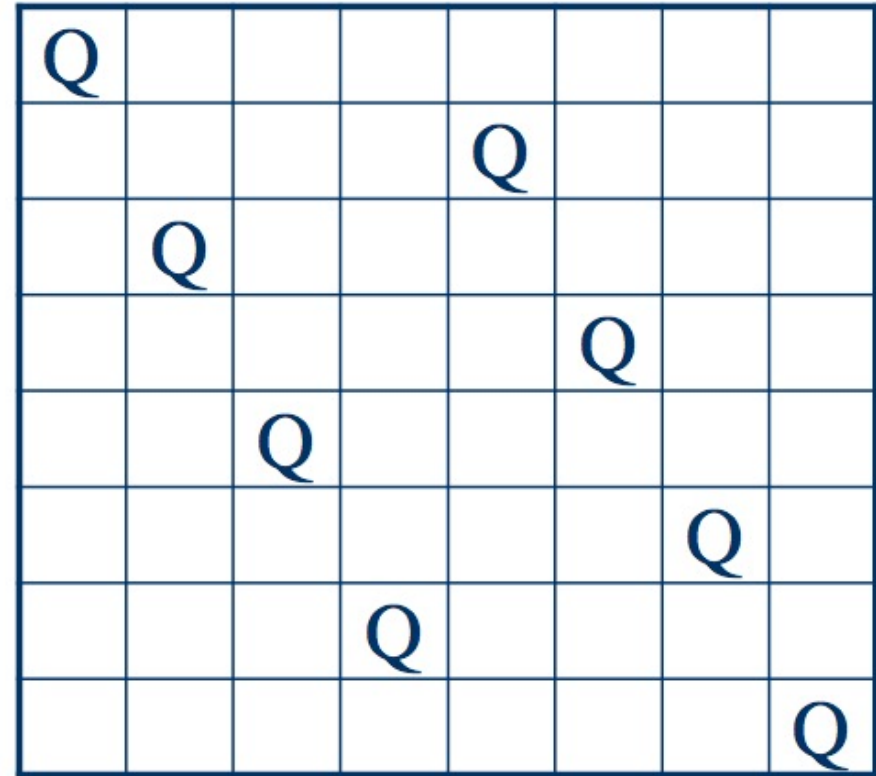
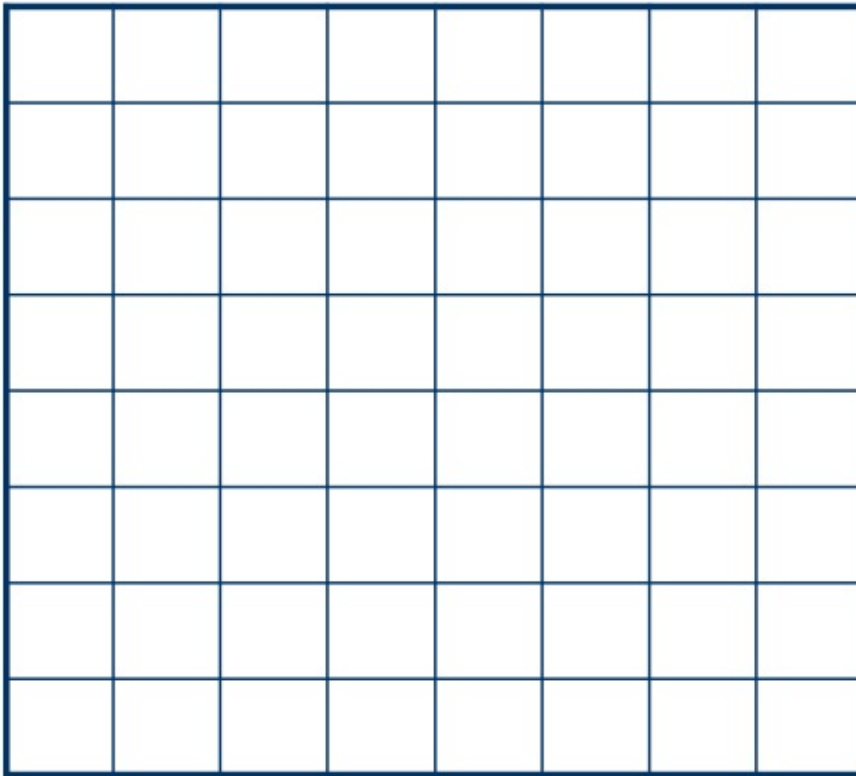
# PROBLEM FORMULATION:8-QUEEN

❖ 2nd Formulation: add a queen to any square in the **leftmost empty column**



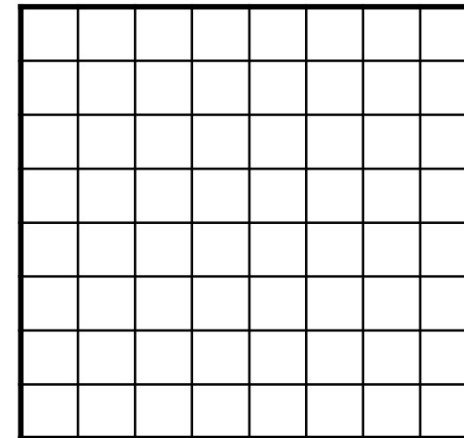
# PROBLEM FORMULATION:8-QUEEN

❖ 3rd Formulation: add a queen to any square in the **leftmost empty column** such that it is **not attacked by** any other queen



# PROBLEM FORMULATION: 8-QUEEN (1<sup>ST</sup> FORMULATION)

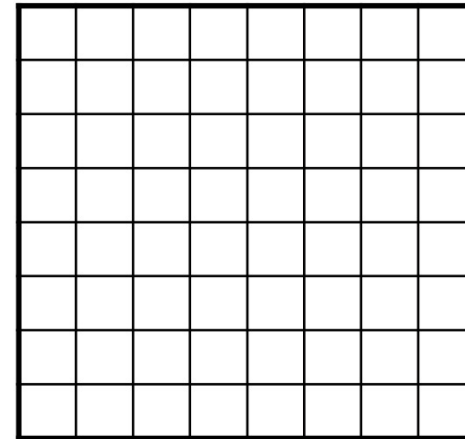
- ❖ 1st level: 1 root node  
(empty board)
- ❖ 2<sup>nd</sup> level: **64** nodes
- ❖ 3<sup>rd</sup> level: **63** nodes for  
each of the node on the 2<sup>nd</sup>  
level
- ❖ ...





# PROBLEM FORMULATION: 8-QUEEN (2<sup>ND</sup> FORMULATION)

- ❖ 1st level: 1 root node (empty board)
- ❖ 2<sup>nd</sup> level: 8 nodes
- ❖ 3<sup>rd</sup> level: 8 nodes for each of the node on the 2<sup>nd</sup> level
- ❖ ...
- ❖ Smaller tree



# OUTLINES

- ❖ Topic one: Definitions and examples of problems (2<sup>nd</sup> Session)
  - Problem formulation
  - **Problem representation**
- ❖ Topic two: Problem solving by searching
  - Uninformed(blind) search algorithms (3<sup>rd</sup> Session)
    - Simplest exhaustive search
    - Breadth first search, depth first search, Uniform cost search
  - Informed search algorithms (4<sup>th</sup> Session)
    - Use of heuristics that apply domain knowledge
    - A\* algorithm, Minimax algorithm

# TREES - TERMINOLOGY

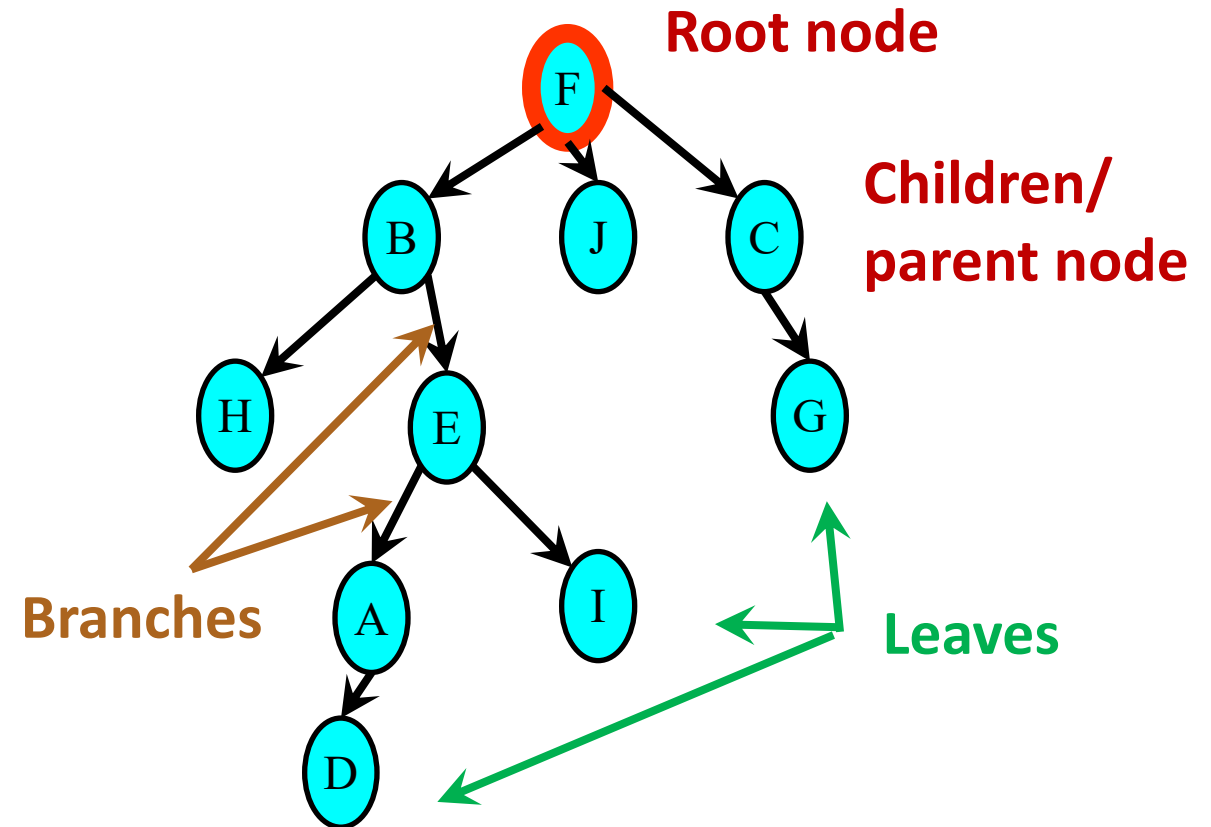
## ❖ Nodes

- Root node
- Children/parent of nodes
- Leaves

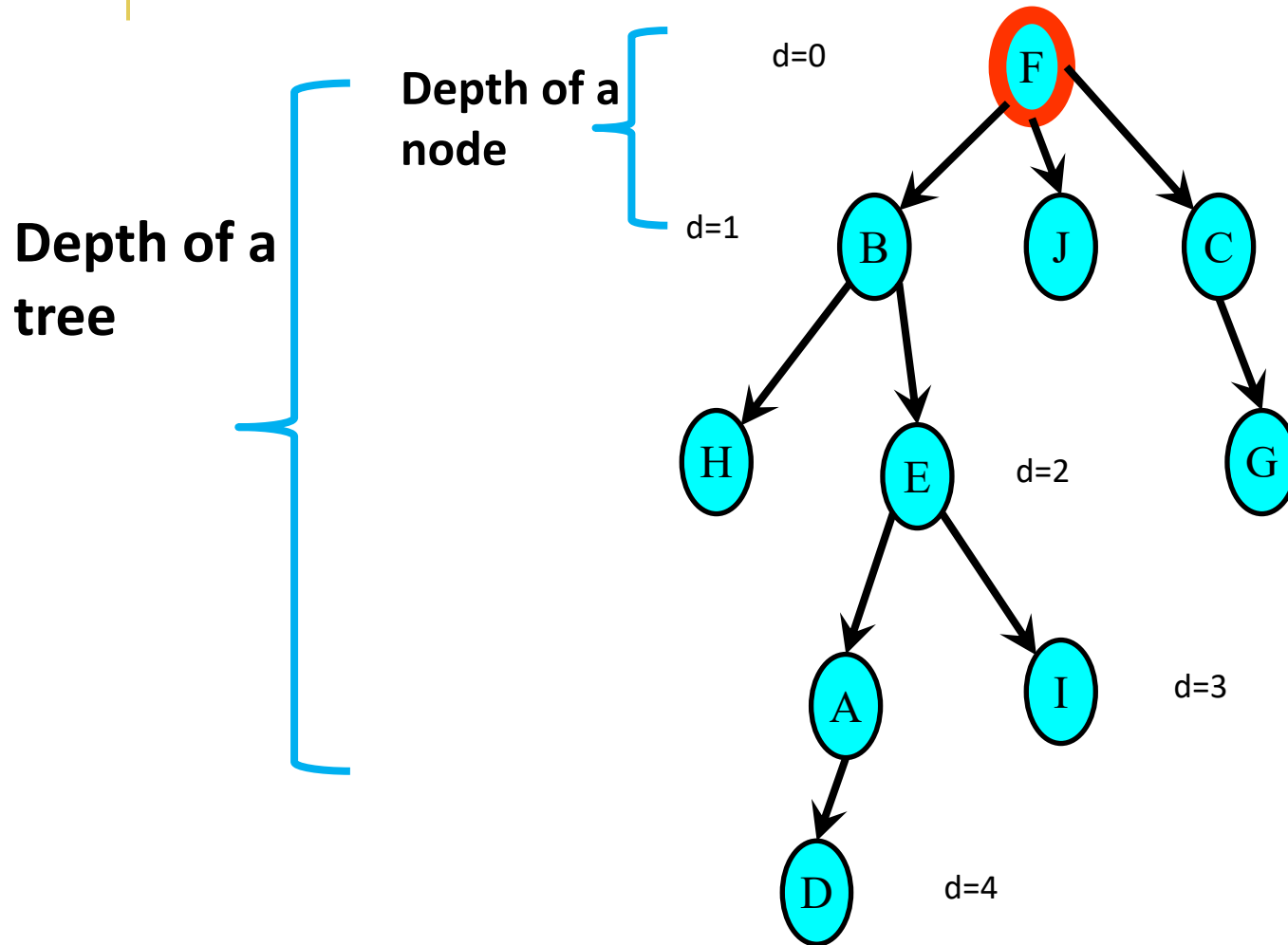
## ❖ Branches

## ❖ Average branching factor

- average number of branches of the nodes in the tree



# TREES – TERMINOLOGY (2)

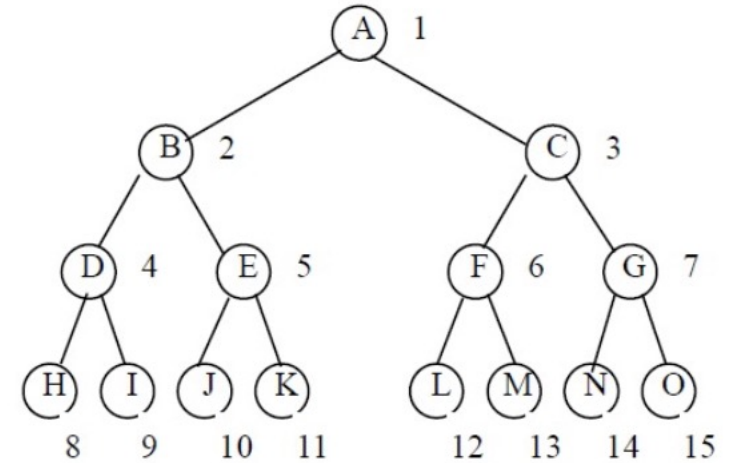


❖ The depth,  $d$ , of a node is just the number of edges (branches) away from the root node

❖ The depth of a tree is the depth of the deepest node  
■ in this case,  $\text{depth}=4$

# TREE SIZE

❖ Branching factor  $b=2$  (binary tree)



Depth $d$	# Nodes at $d = 2^d$	# Nodes in a tree = $2^{d+1}-1$
0	1	1
1	2	3
2	4	7
3	8	15
4	16	31
5	32	63

❖ Why we discuss the size of the tree?

# PROBLEM REPRESENTATION

## ❖ States – nodes

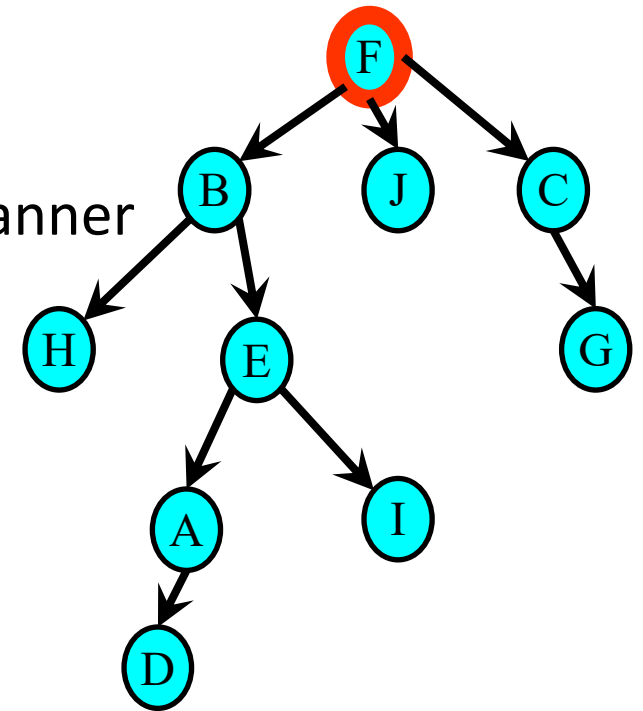
- Possible states of problem, defined in some suitable manner

## ❖ Initial State - root node

- The starting state of the problem

## ❖ State Space – all nodes in the tree

- The set of all states reachable from the initial state



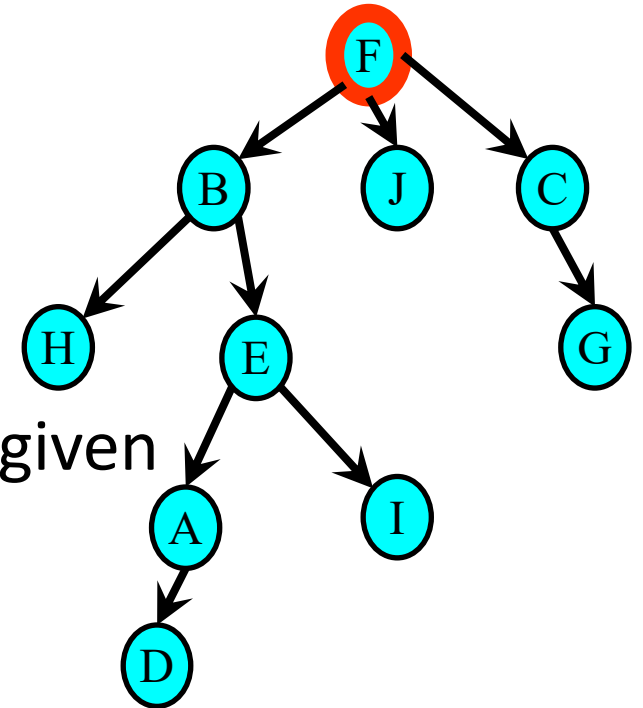
# PROBLEM REPRESENTATION

## ❖ Successors - Neighborhood

- The set of all possible states reachable from a given state
- Branching factor: number of neighborhoods

## ❖ Operator(s) – branches

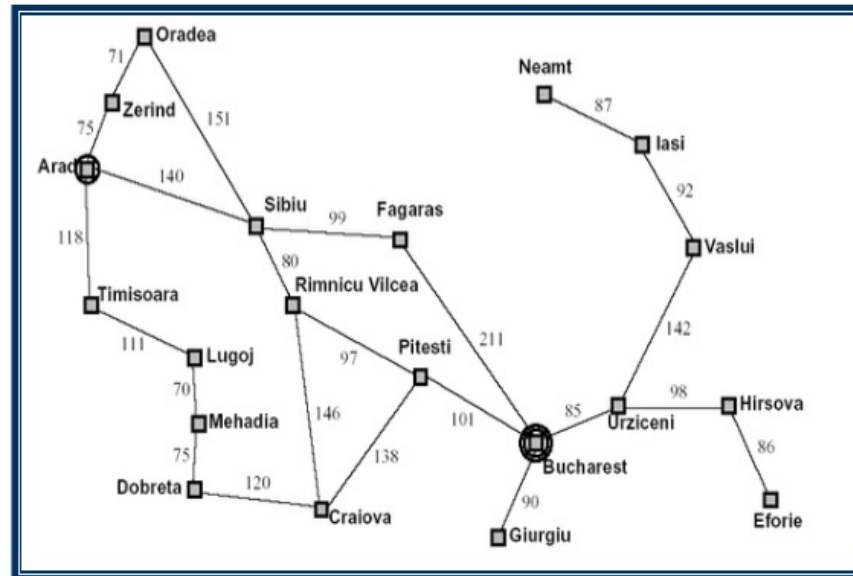
- A set of actions that moves the problem from one state to another



# SEARCH TREE – ROMANIA

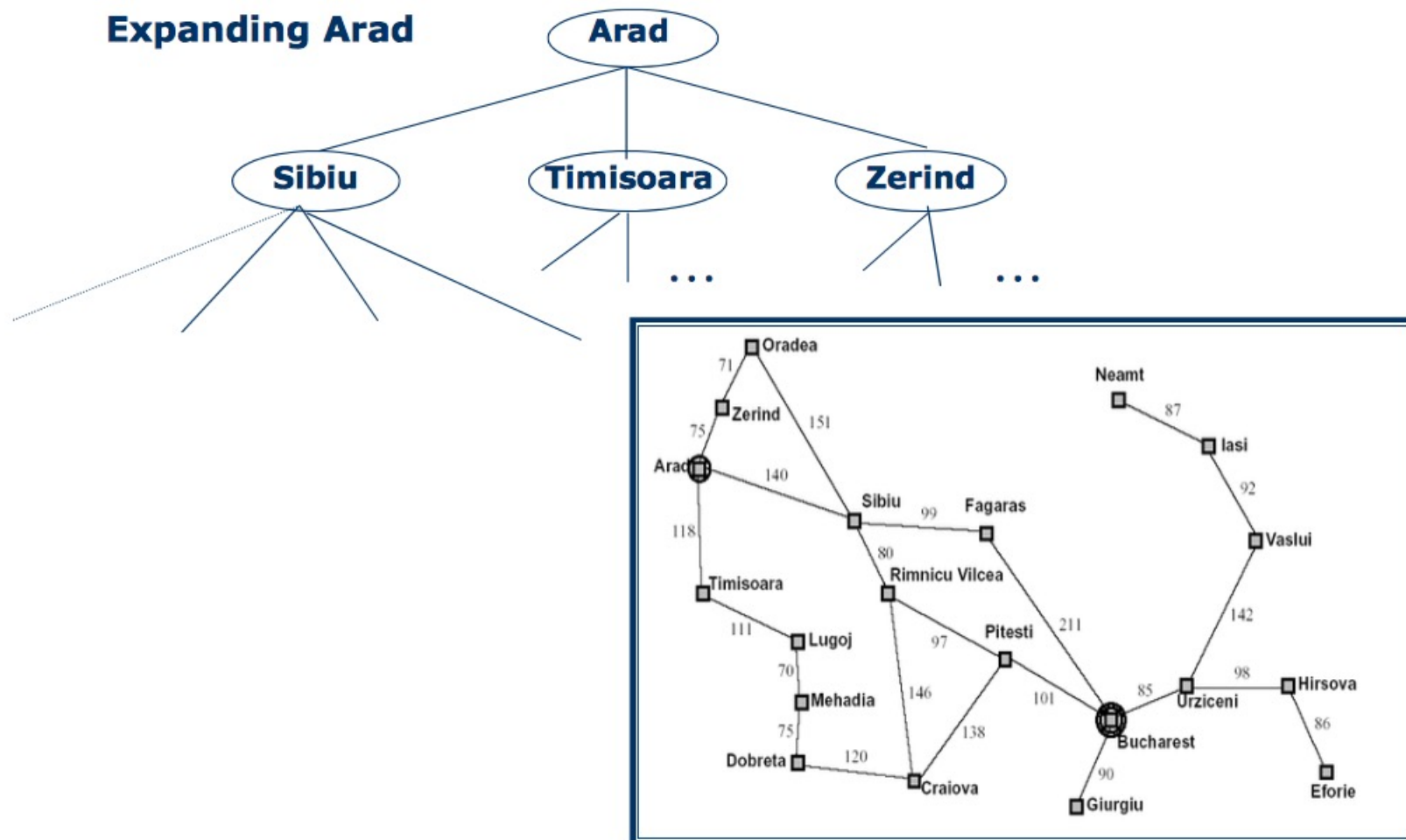
Initial State

Arad

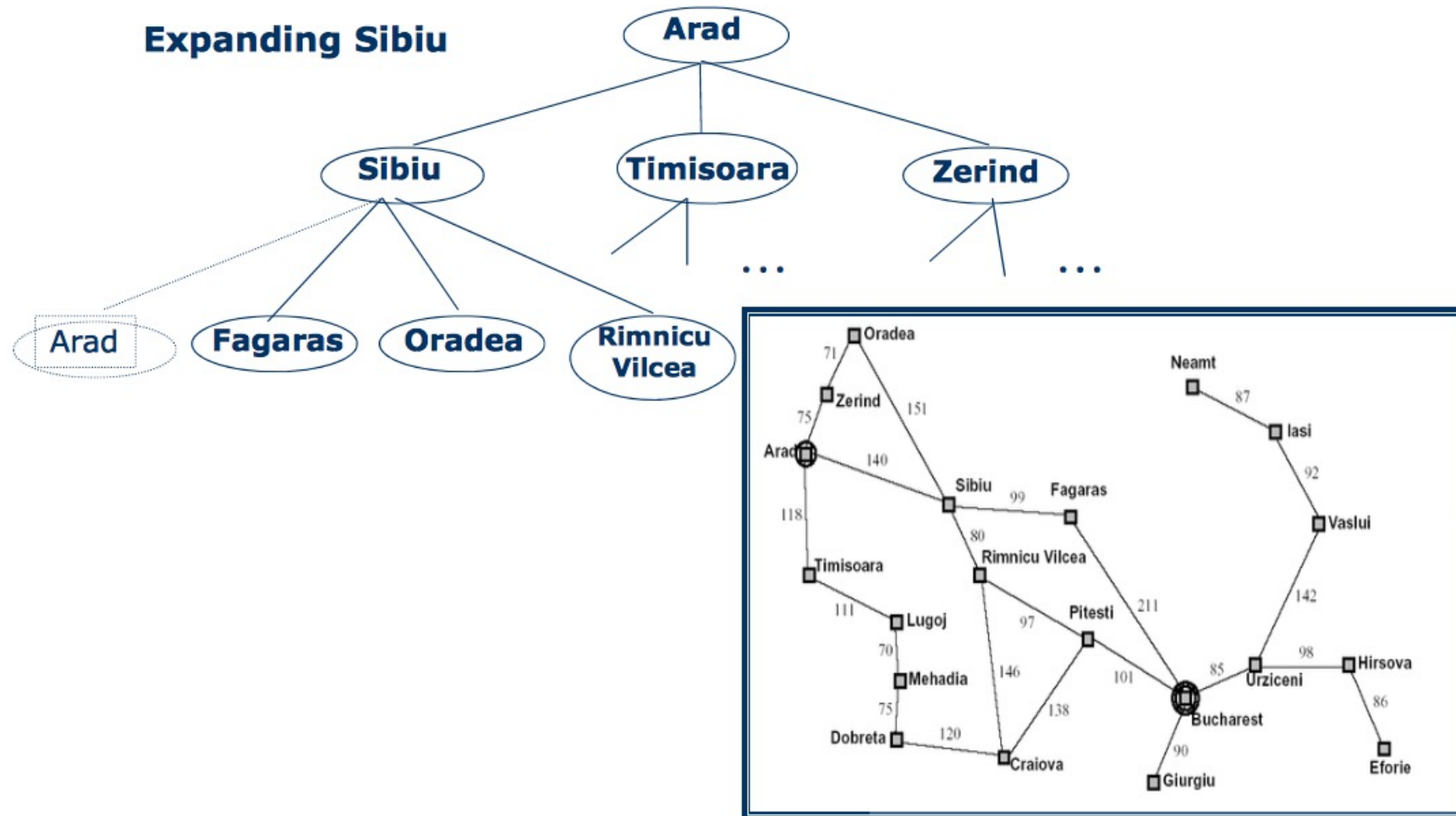




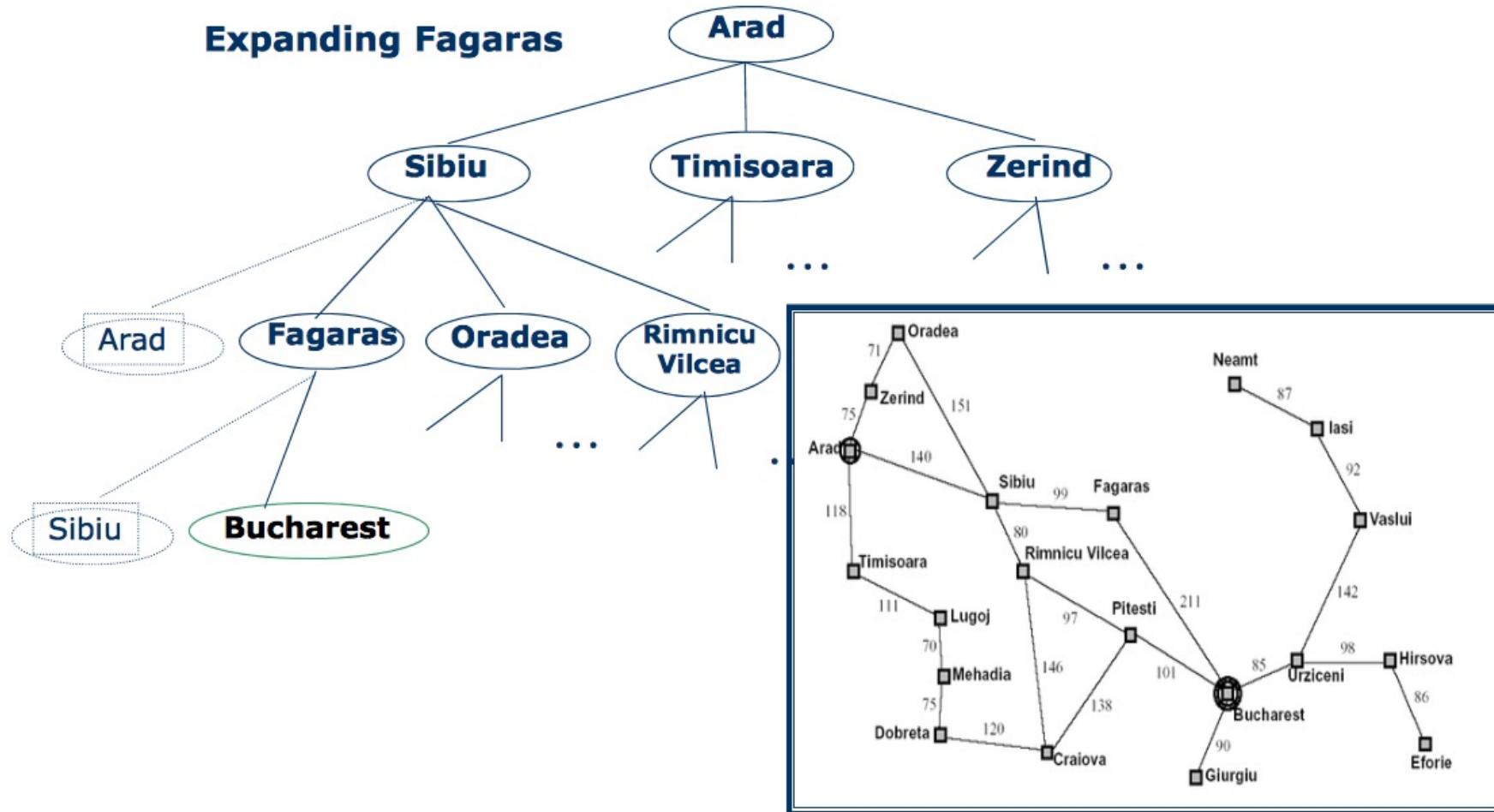
# SEARCH TREE – ROMANIA



# SEARCH TREE – ROMANIA

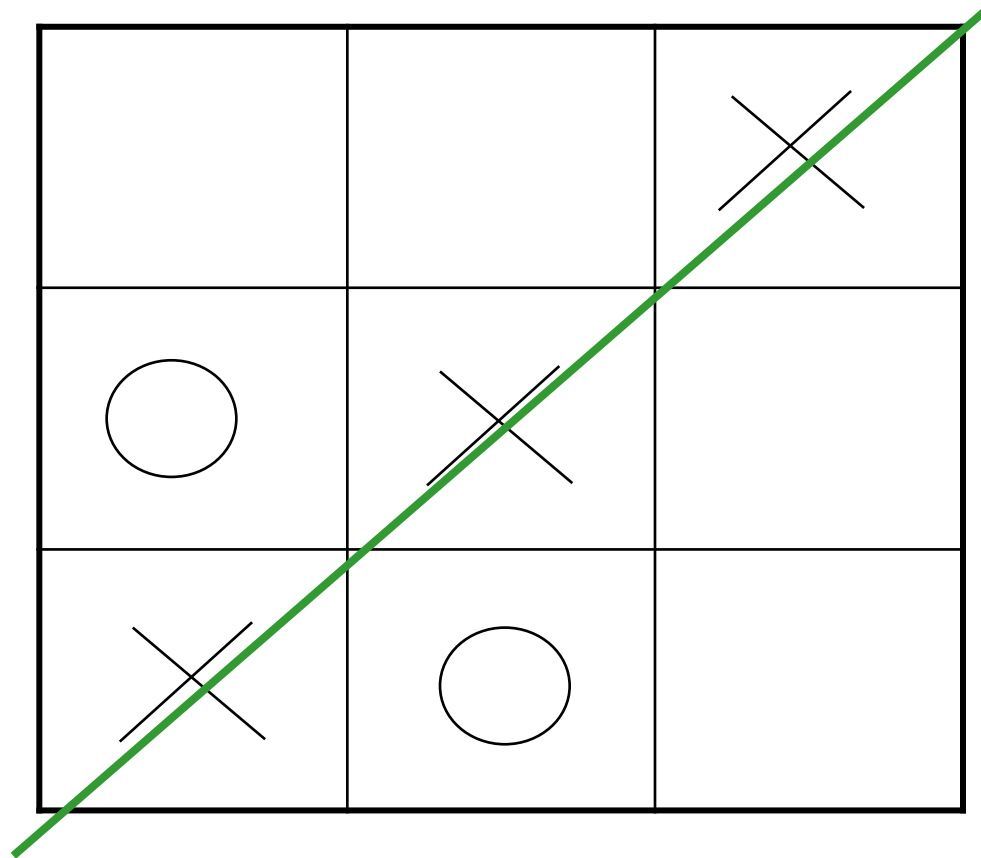


# SEARCH TREE – ROMANIA



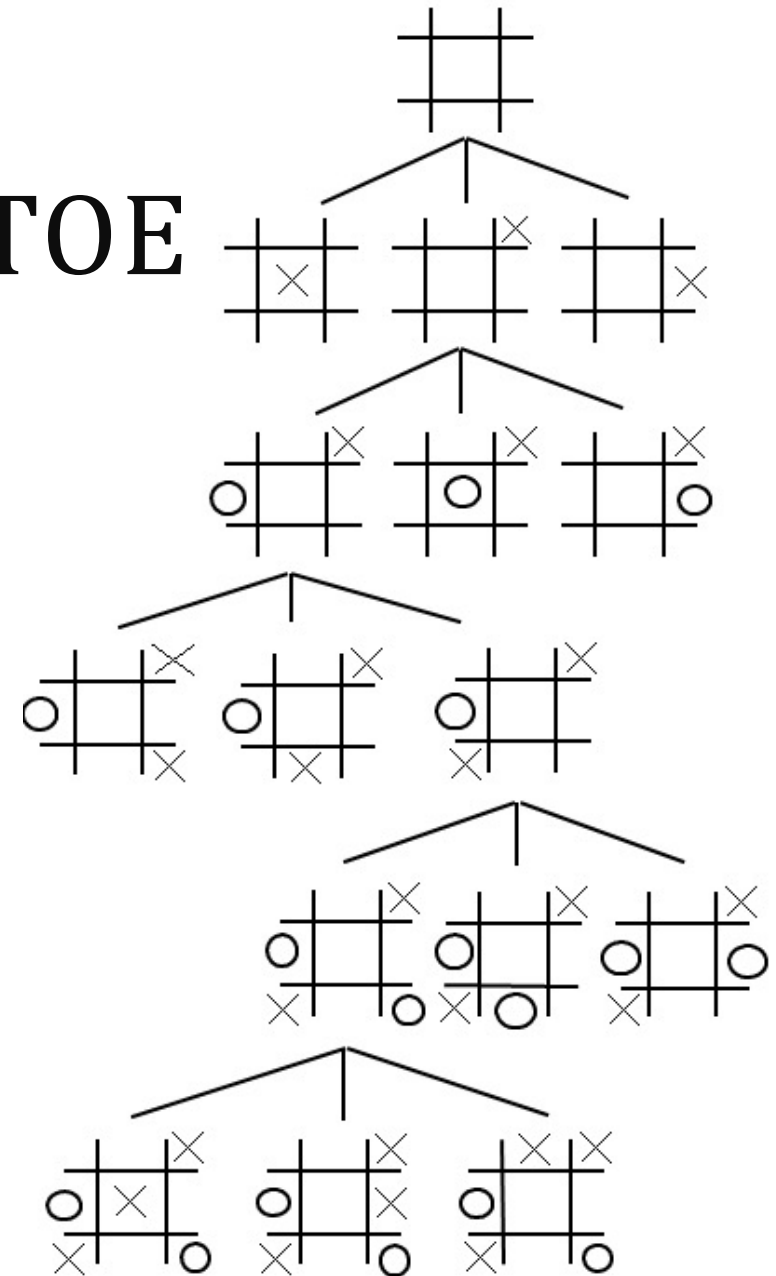
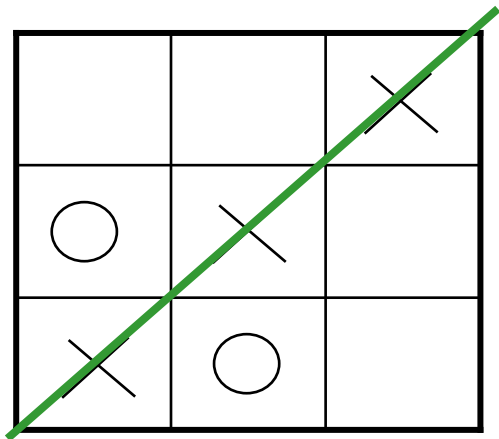
# SEARCH TREE - TIC-TAC-TOE

## ❖ Noughts and Crosses (Tic-Tac-Toe)

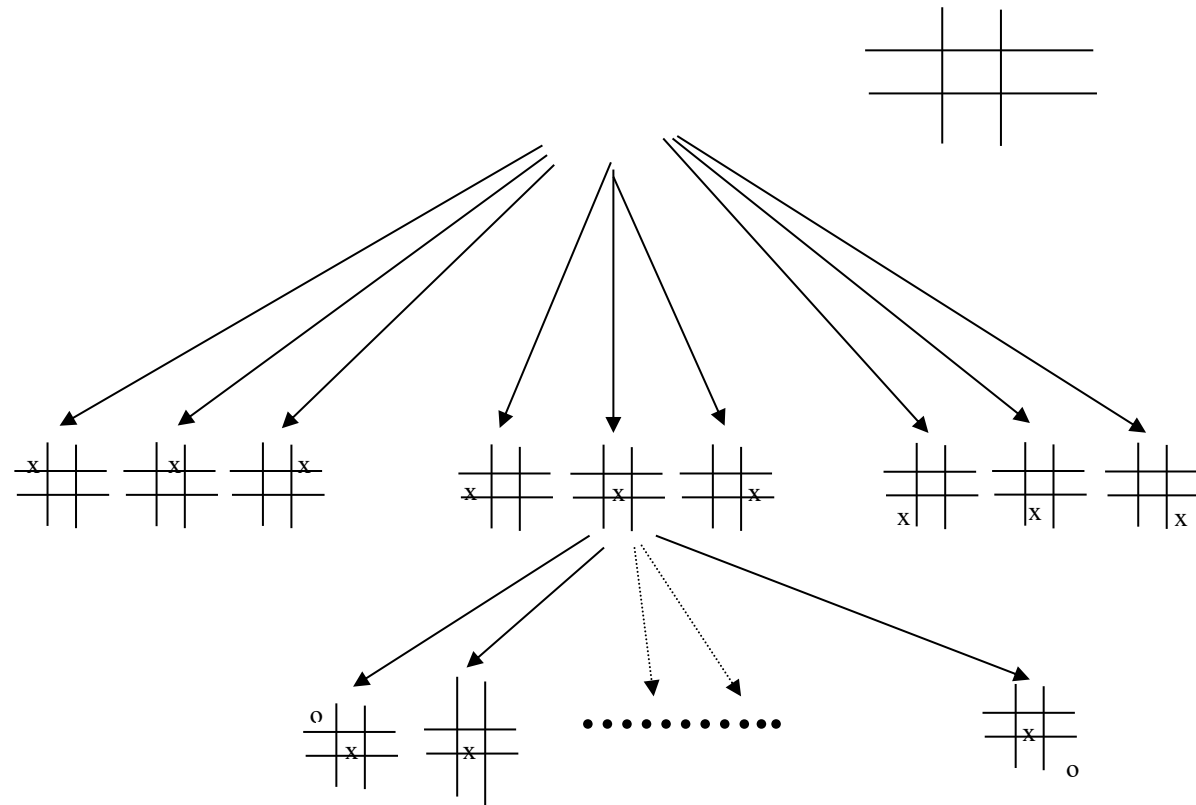


# SEARCH TREE - TIC-TAC-TOE

- ❖ Nodes: states of problem
- ❖ Root node: initial **state** of the problem
- ❖ Branches: moves by **operator**
- ❖ Branching factor: number of **neighbours**

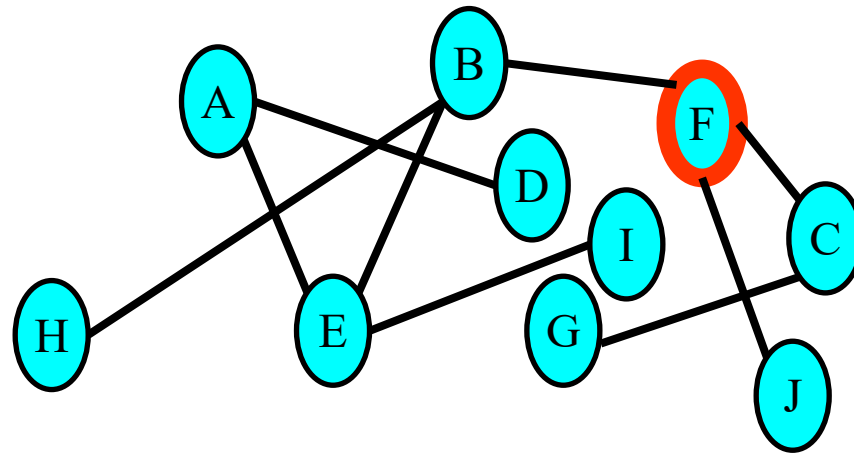


# FULL SEARCH TREE- TIC-TAC-TOE



# FINDING GOALS IN TREES

- ❖ Does the following tree contain a node “I”?
- ❖ Easily read from the graph



- ❖ so why the big deal about search?

# FINDING GOALS IN TREES: REALITY

❖ Does the tree under the following root contain a node “G”?

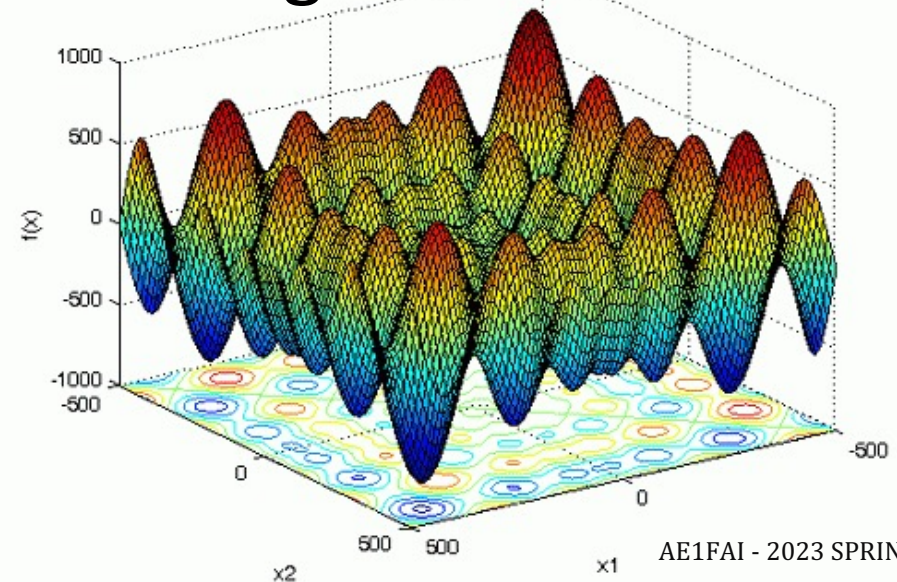


- ❖ All you get to see at first is the root node
  - and a guarantee that it is a tree
- ❖ The rest is up to you to discover during the process of search -> discover/create “on the fly”



# WHY IS GOAL SEARCH NOT TRIVIAL?

- ❖ Because the tree is not given as a pretty picture “on a piece of paper”
- ❖ At the start of the search, the search algorithm does not know
  - the size of the tree
  - the shape of the tree
  - the depth of the goal states



# WHY IS GOAL SEARCH NOT TRIVIAL?

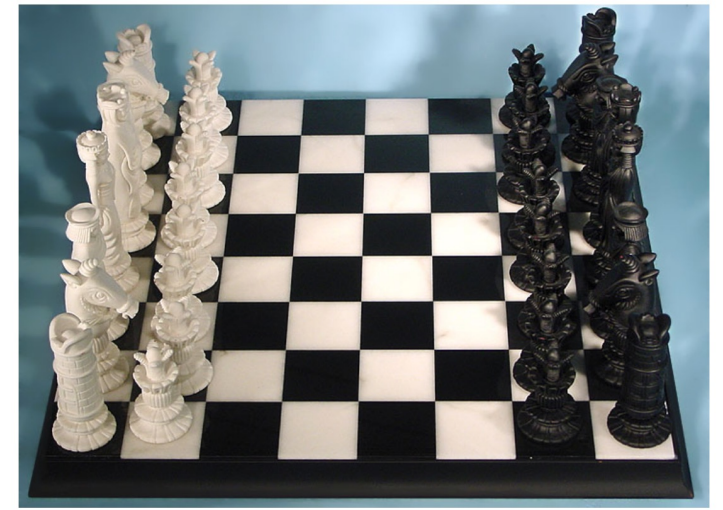
❖ How big can a search tree be?

- say there is a constant **branching factor  $b$**
- and one goal exists at depth  $d$
- search tree which includes a goal can have  $b^d$  different branches in the tree (worst case)

❖ Examples:

- $b=2, d=10: b^d = 2^{10} = 1024$
- $b = 10, d = 10: b^d = 10^{10} = 10,000,000,000$

# SEARCH TREE ISSUES



- ❖ Search trees grow very quickly
- ❖ The size of the search tree is governed by the **branching factor**
- ❖ Even the simple game with branching factor of **3** has a complete search tree of large number of potential nodes
- ❖ The search tree for chess has a branching factor of about 35

# SEARCH TREE ISSUES

- ❖ **Claude Shannon** delivered a paper in 1949 at a New York conference on how a computer could play chess.
- ❖ Chess has  $10^{120}$  unique games (based on an average of 40 moves - the average length of a master game).
- ❖ Working at 200 million positions per second, **Deep Blue** would require  $10^{100}$  years to evaluate all possible games.
- ❖  $10^{100}$  is larger than the number of atoms in the universe.



# SUMMARY

❖ Problem formulation

❖ Representation

- Problem
- State space
- Search tree

❖ Properties of search

# FURTHER READING

- ❖ AIMA Chapter 3.1-3.2
- ❖ Self study slides: fundamental issues in AI
- ❖ Next week: AIMA Chapter 3.3-3.4