Operating Systems and Concurrency

Introduction 3 COMP2007

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Recap Last Lecture

Operating system kernel design closely linked to hardware capabilities

Registers provide data consumed by the CPU

The MMU translates logical to physical addresses abstracting the details of the memory hardware

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Goals for Today

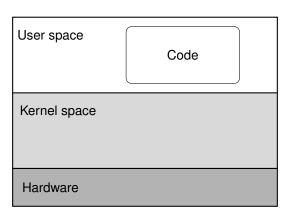
Overview

- Kernel and user space
- Interrupts
- System calls
- The C programming language an operating systems perspective.

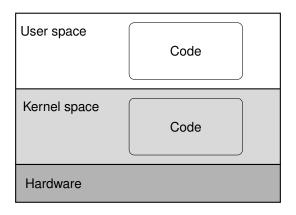
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User space
Kernel space
Hardware

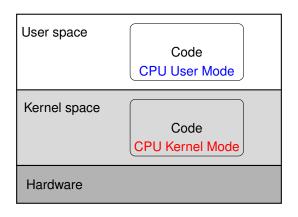
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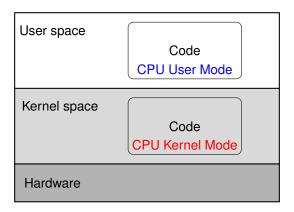
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Terminology

The code running in kernel mode is often referred to as the **kernel**. Although this is the core of an operating system, typically they will also have user processes for user interfaces, scheduling daemons, ...

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Entering the kernel to respond to events

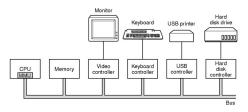


Figure: Simplified computer model (Tanenbaum, 2014)

The CPU cannot usefully live in isolation

Programs, and therefore the CPU, must be able to respond to various events. For example:

- Connected hardware devices might need to communicate
- The passing of time
- Bad things happening division by zero, hardware faults...

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Entering the kernel to respond to events

Interrupts are the mechanism by which such events are handled.

- Abstractly, an interrupt is a mechanism for changing the normal flow of execution
- Can happen asynchronously, triggered by unpredictable factors external to the CPU, such as user input
 - Sometimes the term interrupt is reserved for this class
- Can also happen synchronously, triggered directly by the CPU executing an instruction

- Sometime the term exception is used for this class

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Entering the kernel to respond to events

Interrupt mechanism (sketch)

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Entering the kernel to respond to events

Interrupt mechanism (sketch)

The CPU is doing some work - for example running a user process doing some calculations

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Entering the kernel to respond to events

Interrupt mechanism (sketch)

- The CPU is doing some work for example running a user process doing some calculations
- ② An interrupt is signalled by a hardware device for example to indicate some IO data is available

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Entering the kernel to respond to events

Interrupt mechanism (sketch)

- The CPU is doing some work for example running a user process doing some calculations
- An interrupt is signalled by a hardware device for example to indicate some IO data is available
- The CPU records aspects of its current state, switches to kernel mode, and runs code in a handler to service the interrupt

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Entering the kernel to respond to events

Interrupt mechanism (sketch)

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- An interrupt is signalled by a hardware device for example to indicate some IO data is available
- The CPU records aspects of its current state, switches to kernel mode, and runs code in a handler to service the interrupt
- Once completed, the CPU is returned to processing other tasks

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Entering the kernel to respond to events

Challenges

Handling interrupts is one of the more challenging tasks of operating system implementation.

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Entering the kernel to respond to events

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- Interrupts can come at any time
 - Ideally handling interrupts should not take long
 - Handlers may split work into a top component dealt with immediately, and a bottom component scheduled to be dealt with later

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Entering the kernel to respond to events

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- Interrupts may be interrupted by other interrupts it must be possible to nest interrupt handlers

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Entering the kernel to respond to events

Challenges

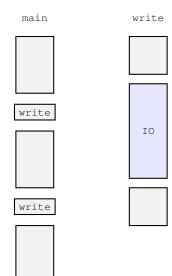
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- Sometimes critical code cannot be interrupted and they must be temporarily disabled

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CPU utilisation

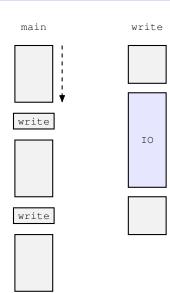
Blocking IO



CPU utilisation

Blocking IO

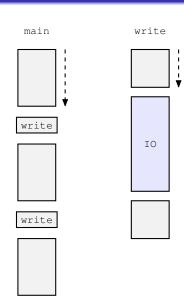
Naive IO which wastes CPU cycles waiting for slow devices to respond.



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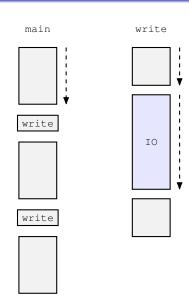
CPU utilisation

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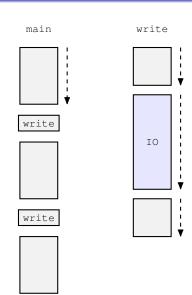
CPU utilisation

Blocking IO



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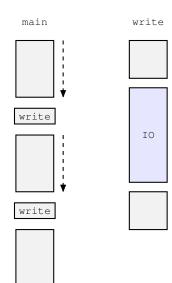
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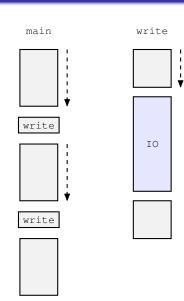
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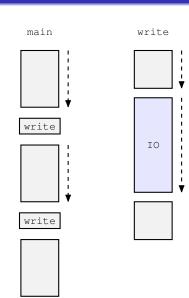
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CPU utilisation

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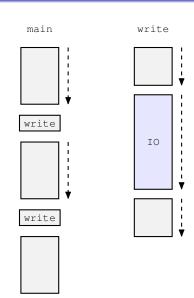
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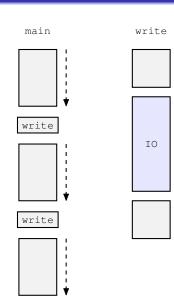
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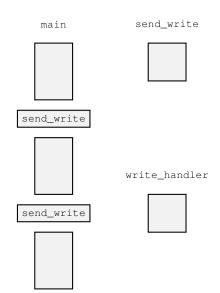
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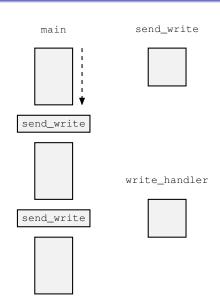
CPU utilisation

Interrupts



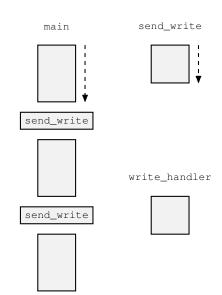
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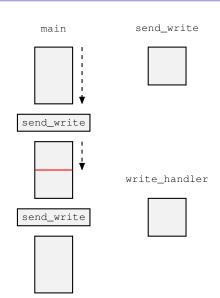
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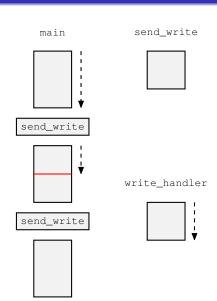
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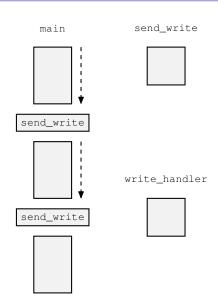
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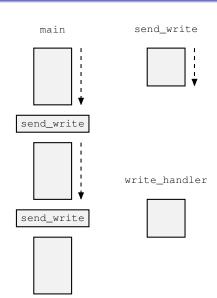
CPU utilisation

Interrupts



CPU utilisation

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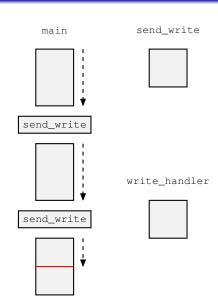


Interrupts

CPU utilisation

Interrupts

Better CPU usage supported by interrupts "calling back" when there is work to do.

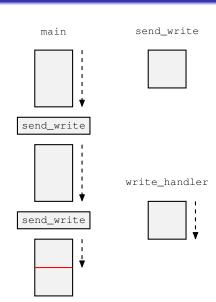


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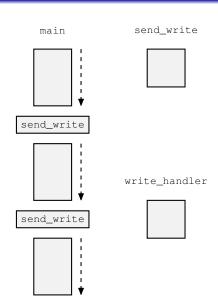


Interrupts

CPU utilisation

Interrupts

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Entering the kernel by software request

System calls are how programs request services from the operating system.

Example (Typical system calls)

- Requesting memory
- Accessing files
- Running programs (processes)
- Accessing concurrency features

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Entering the kernel by software request

We can distinguish between an API and systems calls.

- An API is a programming interface typically a library of functions that run in user space, for example pthreads
- To provide the required features an API may need to make system calls to access the required functionality
- The relationship is not necessarily one-to-one a single API function may invoke zero, one or many system calls
- Often programmers use less fussy terminology, and simply refer to the API functions as system calls

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Entering the kernel by software request

Question

How can a system call allow a user process to run kernel space code?

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Entering the kernel by software request

System call mechanisms (naive sketch)

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Entering the kernel by software request

System call mechanisms (naive sketch)

Some user code is running

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Entering the kernel by software request

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- A system call is required each has a unique system call number

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Entering the kernel by software request

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The system call number is stored in a designated register

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- The system call parameters are stored in designated registers

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Entering the kernel by software request

System call mechanisms (naive sketch)

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- A synchronous interrupt (exception) is triggered by an instruction referred to as a trap

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Entering the kernel by software request

System call mechanisms (naive sketch)

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- A synchronous interrupt (exception) is triggered by an instruction referred to as a trap
- The interrupt is handled by kernel mode code, which calls a system call service routine which delivers the required functionality

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Entering the kernel by software request

System call mechanisms (naive sketch)

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- The system call number is stored in a designated register
- The system call parameters are stored in designated registers
- A synchronous interrupt (exception) is triggered by an instruction referred to as a trap
- The interrupt is handled by kernel mode code, which calls a system call service routine which delivers the required functionality
- The operating system continues running the calling code

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Entering the kernel by software request

System call mechanisms (slightly less naive)

- The system call service routine may not actually service the request immediately - for example if it must wait on some resource such as IO
- The operating system may not continue running the original caller
- System calls are the kernel's big chance to get work done for the benefit of everything running on the system

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Entering the kernel by software request

System call mechanisms (fussier details)

- Details vary by operating system
- Modern approaches may avoid interrupt based mechanisms and use other CPU support for efficiency reasons

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Criteria for an OS Implementation Language

Question

Why would we choose such an old language like C for OS implementation?

```
#include <stdio.h>

int main() {
   printf("Hello Operating Systems and Concurrency\n");
}
```

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Criteria for an OS Implementation Language

Performance

As general purpose software, an OS must be fast enough for as many users as possible.

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A slow OS slows every program that it runs.

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- Bias toward performance over simplicity, elegance and maintainability.

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Criteria for an OS Implementation Language

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Cannot sacrifice correctness.

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Criteria for an OS Implementation Language

Portability

As OS development is difficult, reuse is desirable.

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Must run directly on the hardware - no interpreted languages.

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Criteria for an OS Implementation Language

Portability

As OS development is difficult, reuse is desirable.

- Must run directly on the hardware no interpreted languages.
- Ideally must be able to compile for many different hardware platforms.

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Criteria for an OS Implementation Language

Predictability

The behaviour of an OS must be predictable for user programs.

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 Example - games cannot tolerate unpredictable delays slowing frame rates and responsiveness.

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Criteria for an OS Implementation Language

Predictability

The behaviour of an OS must be predictable for user programs.

- Example games cannot tolerate unpredictable delays slowing frame rates and responsiveness.
- Unpredictable behaviour such as garbage collection is inappropriate.

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Recap

Take-Home Message

- Kernel mode code has more privileges than user code
- Interrupts change the normal flow of execution to invoke kernel code
- System calls allow us to run kernel code to access services of the operating system

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Test your understanding

- What would be the advantages and disadvantages of a small kernel with a limited collection of system calls?
- What would be the advantages and disadvantages of a large kernel with a rich collection of system calls?
- How much harm can a bug in a user process cause?
- How much harm can a bug in the kernel cause?

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