hw4

October 7, 2021

1 Mathematical Foundations of Deep Neural Network

1.1 Homework 4

- 1.1.1 2017-11362
- 1.2 Problem 1: Finite difference with convolution.

$$Y_{i,\overline{i},\overline{j}} = X_{\overline{i}+i,\overline{j}} - X_{\overline{i}+\overline{j}} = \omega_{i} * \begin{bmatrix} X_{\overline{i}+i,\overline{j}+1} & X_{\overline{i}+i,\overline{j}+1} & X_{\overline{i}+i,\overline{j}+1} \\ X_{\overline{i},\overline{j}+1} & X_{\overline{i}+\overline{j}+1} & X_{\overline{i}+\overline{j}+1} \\ X_{\overline{i},\overline{j}+1} & X_{\overline{i}+\overline{j}+1} & X_{\overline{i}+\overline{j}+1} \end{bmatrix}$$

$$= \begin{bmatrix} 0 & 0 & 0 \\ 0 & -i & 0 \\ 0 & -i & 0 \end{bmatrix} * X \begin{bmatrix} i+i+\overline{i}+i, j+i+\overline{j}+1 \end{bmatrix}$$

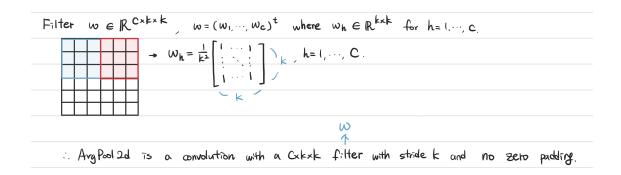
$$= \begin{bmatrix} 0 & 0 & 0 \\ 0 & -i & 0 \\ 0 & -i & i \\ 0 & 0 & 0 \end{bmatrix} * X \begin{bmatrix} i+i+\overline{i}+i, j+i+\overline{j}+1 \end{bmatrix}$$

$$Y_{2,\overline{i},\overline{j}} = X_{\widehat{i},\overline{j}+1} - X_{\overline{i},\overline{j}} = \begin{bmatrix} 0 & 0 & 0 \\ 0 & -i & i \\ 0 & -i & i \\ 0 & 0 & 0 \end{bmatrix} * X \begin{bmatrix} i+i+\overline{i}+i, j+i+\overline{j}+1 \end{bmatrix}$$

$$\omega = [\omega_{i,i}, \omega_{2,i}]$$

$$\omega = [\omega_{i,i}, \omega_{2,i}]$$

1.3 Problem 2: Average pooling as convolution.



1.4 Problem 3: RGB to grayscale mapping with 1×1 convolution.

$$W = [0.299, 0.587, 0.114]$$

 $\Rightarrow X \mapsto Y$ is a convolution with a filter W .

1.5 Problem 4: Commutation.

```
\sigma: \mathbb{R} \to \mathbb{R} : \text{non-decreasing} \quad \varrho: \mathbb{R}^{M\times h} \to \mathbb{R}^{k\times l} : \text{max pooling}
For simplicity assume m and n are divisible by k and l, respectively.

We only have to show that \sigma(\varrho_{(1}(x_{11}))) = \varrho_{(1)}(\sigma(x_{11})) where

\varrho_{(1)}: \mathbb{R}^{(m/k)\times(n/\ell)} \to \mathbb{R} \quad X_{(1)} = X [0: m/k, 0: n/\ell]
Let X_{13} = \varrho(X_{11}) = \max\{X_{11}\} (*)

Assume that \exists \tau', \tau' \in \mathcal{T} s.t \sigma(X_{\tau',\tau'}) = \varrho(\sigma(X_{11})) i.e, \sigma(X_{\tau',\tau'}) \to \sigma(X_{\tau',\tau}) which contradicts to (**)

Since \sigma is non-decreasing X_{\tau',\tau'} < X_{17} (**) \Rightarrow \sigma(X_{\tau',\tau'}) \leq \sigma(X_{17}) which contradicts to (**)

\therefore \sigma(\varrho(x)) = \varrho(\sigma(x)).
```

1.6 Problem 5: Non-CE loss function.

```
# Use data with only 4 and 9 as labels: which is hardest to classify
label 1, label 2 = 4, 9
# MNIST training data
train_set = datasets.MNIST(root='./mnist_data/', train=True,__
→transform=transforms.ToTensor(), download=True)
# Use data with two labels
idx = (train_set.targets == label_1) + (train_set.targets == label_2)
train_set.data = train_set.data[idx]
train_set.targets = train_set.targets[idx]
train_set.targets[train_set.targets == label_1] = -1
train_set.targets[train_set.targets == label_2] = 1
# MNIST testing data
test_set = datasets.MNIST(root='./mnist_data/', train=False,_
→transform=transforms.ToTensor())
# Use data with two labels
idx = (test_set.targets == label_1) + (test_set.targets == label_2)
test_set.data = test_set.data[idx]
test_set.targets = test_set.targets[idx]
test_set.targets[test_set.targets == label_1] = -1
test_set.targets[test_set.targets == label_2] = 1
Step 2: Define the neural network class
class LR(nn.Module) :
    Initialize model
        input_dim : dimension of given input data
    # MNIST data is 28x28 images
   def __init__(self, input_dim=28*28) :
       super().__init__()
       self.linear = nn.Linear(input_dim, 1, bias=True)
    ''' forward given input x '''
   def forward(self, x) :
       return self.linear(x.float().view(-1, 28*28))
```

/home/zendo/anaconda3/lib/python3.8/site-

packages/torchvision/datasets/mnist.py:498: UserWarning: The given NumPy array is not writeable, and PyTorch does not support non-writeable tensors. This means you can write to the underlying (supposedly non-writeable) NumPy array using the tensor. You may want to copy the array to protect its data or make it writeable

```
before converting it to a tensor. This type of warning will be suppressed for
the rest of this program. (Triggered internally at
../torch/csrc/utils/tensor_numpy.cpp:180.)
return torch.from_numpy(parsed.astype(m[2], copy=False)).view(*s)
```

```
[2]:
     Step 3: Create the model, specify loss function and optimizer.
     model = LR()
                                                     # Define a Neural Network Model
     def loss_function(z, y):
                                                             # Specify loss function_
     \rightarrow= nn.MSELoss()
         ans = (1 - y) *((1 - torch.sigmoid(-z))**2 + torch.sigmoid(z)**2)
         ans += (1 + y) *((1 - torch.sigmoid(z))**2 + torch.sigmoid(-z)**2)
     optimizer = torch.optim.SGD(model.parameters(), lr=1e-4) # specify SGD with
     → learning rate
     Step 4: Train model with SGD
     111
     import time
     start = time.time()
     for in range(10000) :
         # Sample a random data for training
         ind = randint(0, len(train set.data)-1)
         image, label = train_set.data[ind], train_set.targets[ind]
         # Clear previously computed gradient
         optimizer.zero_grad()
         # then compute gradient with forward and backward passes
         train_loss = loss_function(model(image), label.float())
         train_loss.backward()
         #(This syntax will make more sense once we learn about minibatches)
         # perform SGD step (parameter update)
         optimizer.step()
     end = time.time()
     print(f"Time ellapsed in training is: {end-start}")
     Step 5: Test model (Evaluate the accuracy)
```

```
test_loss, correct = 0, 0
misclassified_ind = []
correct_ind = []
# Evaluate accuracy using test data
for ind in range(len(test_set.data)) :
    image, label = test_set.data[ind], test_set.targets[ind]
    # evaluate model
    output = model(image)
    # Calculate cumulative loss
    test_loss += loss_function(output, label.float()).item()
    # Make a prediction
    if output.item() * label.item() >= 0 :
        correct += 1
        correct_ind += [ind]
    else:
        misclassified_ind += [ind]
# Print out the results
print('[Test set] Average loss: {:.4f}, Accuracy: {}/{} ({:.2f}%)\n'.format(
        test_loss /len(test_set.data), correct, len(test_set.data),
        100. * correct / len(test_set.data)))
```

Time ellapsed in training is: 2.7893874645233154
[Test set] Average loss: 0.8735, Accuracy: 1554/1991 (78.05%)

```
import time
start = time.time()
for _ in range(1000) :
    # Sample a random data for training
    ind = randint(0, len(train_set.data)-1)
    image, label = train_set.data[ind], train_set.targets[ind]
    # Clear previously computed gradient
    optimizer.zero_grad()
    # then compute gradient with forward and backward passes
    train_loss = loss_function(model(image), label.float())
    train loss.backward()
    #(This syntax will make more sense once we learn about minibatches)
    # perform SGD step (parameter update)
    optimizer.step()
end = time.time()
print(f"Time ellapsed in training is: {end-start}")
Step 5: Test model (Evaluate the accuracy)
test loss, correct = 0, 0
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# Evaluate accuracy using test data
for ind in range(len(test_set.data)) :
    image, label = test_set.data[ind], test_set.targets[ind]
    # evaluate model
    output = model(image)
    # Calculate cumulative loss
    test_loss += loss_function(output, label.float()).item()
    # Make a prediction
    if output.item() * label.item() >= 0 :
        correct += 1
        correct_ind += [ind]
    else:
        misclassified_ind += [ind]
```

Time ellapsed in training is: 0.1475691795349121 [Test set] Average loss: 11.4633, Accuracy: 1816/1991 (91.21%)

step 3 means MSELoss, and step 3-1 means CE loss. minimizing KL divergence is better than minimizing MSE.

1.7 Problem 6: Backprop for MLP.

1.8 Problem 7: LeNet5.

```
[4]: import torch
     import torch.nn as nn
     from torch.optim import Optimizer
     from torch.utils.data import DataLoader
     from torchvision import datasets
     from torchvision.transforms import transforms
     device = torch.device("cuda" if torch.cuda.is_available() else "cpu")
     111
     Step 1:
     111
     # MNIST dataset
     train_dataset = datasets.MNIST(root='./mnist_data/',
                                     train=True,
                                     transform=transforms.ToTensor(),
                                     download=True)
     test_dataset = datasets.MNIST(root='./mnist_data/',
                                    train=False,
                                    transform=transforms.ToTensor())
     111
     Step 2: LeNet5
     I I I
     # Modern LeNet uses this layer for C3
     class C3_layer_full(nn.Module):
         def __init__(self):
             super(C3_layer_full, self).__init__()
             self.conv_layer = nn.Conv2d(6, 16, kernel_size=5)
         def forward(self, x):
             return self.conv_layer(x)
     # Original LeNet uses this layer for C3
     class C3_layer(nn.Module):
         def __init__(self):
             super(C3_layer, self).__init__()
             self.ch_in_3 = [[0, 1, 2],
                             [1, 2, 3],
                              [2, 3, 4],
                              [3, 4, 5],
                              [0, 4, 5],
```

```
[0, 1, 5]] # filter with 3 subset of input channels
        self.ch_in_4 = [[0, 1, 2, 3],
                        [1, 2, 3, 4],
                        [2, 3, 4, 5],
                        [0, 3, 4, 5],
                        [0, 1, 4, 5],
                        [0, 1, 2, 5],
                        [0, 1, 3, 4],
                        [1, 2, 4, 5],
                        [0, 2, 3, 5]] # filter with 4 subset of input channels
        # put implementation here
        self.c3_in_3 = nn.ModuleList()
        self.c3_in_4 = nn.ModuleList()
        self.c3_in_6 = nn.Conv2d(6, 1, 5)
        for _ in range(6):
            self.c3_in_3.append(nn.Conv2d(3, 1, 5))
        for _ in range(9):
            self.c3_in_4.append(nn.Conv2d(4, 1, 5))
    def forward(self, x):
        # put implementation here
        output = []
        for i in range(6):
            output.append(self.c3_in_3[i](x[:, self.ch_in_3[i],:,:]))
        for i in range(9):
            output.append(self.c3_in_4[i](x[:, self.ch_in_4[i],:,:]))
        output.append(self.c3_in_6(x))
        return torch.cat(output, dim=1)
class LeNet(nn.Module) :
    def __init__(self) :
        super(LeNet, self).__init__()
        #padding=2 makes 28x28 image into 32x32
        self.C1_layer = nn.Sequential(
                nn.Conv2d(1, 6, kernel_size=5, padding=2),
                nn.Tanh()
        self.P2_layer = nn.Sequential(
                nn.AvgPool2d(kernel_size=2, stride=2),
                nn.Tanh()
                )
        self.C3_layer = nn.Sequential(
                #C3_layer_full(),
                C3_layer(),
                nn.Tanh()
                )
```

```
self.P4_layer = nn.Sequential(
                nn.AvgPool2d(kernel_size=2, stride=2),
                nn.Tanh()
        self.C5_layer = nn.Sequential(
                nn.Linear(5*5*16, 120),
                nn.Tanh()
        self.F6_layer = nn.Sequential(
                nn.Linear(120, 84),
                nn.Tanh()
        self.F7_layer = nn.Linear(84, 10)
        self.tanh = nn.Tanh()
    def forward(self, x) :
        output = self.C1_layer(x)
        output = self.P2_layer(output)
        output = self.C3_layer(output)
        output = self.P4_layer(output)
        output = output.view(-1,5*5*16)
        output = self.C5_layer(output)
        output = self.F6_layer(output)
        output = self.F7_layer(output)
        return output
111
Step 3
111
model = LeNet().to(device)
loss_function = torch.nn.CrossEntropyLoss()
optimizer = torch.optim.SGD(model.parameters(), lr=1e-1)
# print total number of trainable parameters
param_ct = sum([p.numel() for p in model.parameters()])
print(f"Total number of trainable parameters: {param_ct}")
111
Step 4
111
train_loader = torch.utils.data.DataLoader(dataset=train_dataset,_u
⇒batch size=100, shuffle=True)
import time
start = time.time()
for epoch in range(10) :
```

```
print("{}th epoch starting.".format(epoch))
    for images, labels in train_loader :
        images, labels = images.to(device), labels.to(device)
        optimizer.zero_grad()
        train_loss = loss_function(model(images), labels)
        train_loss.backward()
        optimizer.step()
end = time.time()
print("Time ellapsed in training is: {}".format(end - start))
 111
Step 5
111
test_loss, correct, total = 0, 0, 0
test_loader = torch.utils.data.DataLoader(dataset=test_dataset, batch_size=100,_u
 ⇒shuffle=False)
for images, labels in test_loader :
    images, labels = images.to(device), labels.to(device)
    output = model(images)
    test_loss += loss_function(output, labels).item()
    pred = output.max(1, keepdim=True)[1]
    correct += pred.eq(labels.view_as(pred)).sum().item()
    total += labels.size(0)
print('[Test set] Average loss: {:.4f}, Accuracy: {}/{} ({:.2f}%)\n'.format(
        test loss /total, correct, total,
        100. * correct / total))
Total number of trainable parameters: 60806
Oth epoch starting.
1th epoch starting.
2th epoch starting.
3th epoch starting.
4th epoch starting.
5th epoch starting.
6th epoch starting.
7th epoch starting.
8th epoch starting.
9th epoch starting.
```

Time ellapsed in training is: 80.43484139442444 [Test set] Average loss: 0.0004, Accuracy: 9863/10000 (98.63%)

```
[5]: '''
     Step 2: LeNet5 with C3_layer_full
     # Modern LeNet uses this layer for C3
     class C3_layer_full(nn.Module):
         def __init__(self):
             super(C3_layer_full, self).__init__()
             self.conv_layer = nn.Conv2d(6, 16, kernel_size=5)
         def forward(self, x):
             return self.conv_layer(x)
     class LeNet(nn.Module) :
         def __init__(self) :
             super(LeNet, self).__init__()
             #padding=2 makes 28x28 image into 32x32
             self.C1_layer = nn.Sequential(
                     nn.Conv2d(1, 6, kernel_size=5, padding=2),
                     nn.Tanh()
             self.P2_layer = nn.Sequential(
                     nn.AvgPool2d(kernel_size=2, stride=2),
                     nn.Tanh()
             self.C3_layer = nn.Sequential(
                     C3_layer_full(),
                     nn.Tanh()
             self.P4_layer = nn.Sequential(
                     nn.AvgPool2d(kernel_size=2, stride=2),
                     nn.Tanh()
             self.C5_layer = nn.Sequential(
                     nn.Linear(5*5*16, 120),
                     nn.Tanh()
             self.F6_layer = nn.Sequential(
                     nn.Linear(120, 84),
                     nn.Tanh()
             self.F7_layer = nn.Linear(84, 10)
             self.tanh = nn.Tanh()
```

```
def forward(self, x) :
        output = self.C1_layer(x)
        output = self.P2_layer(output)
        output = self.C3_layer(output)
        output = self.P4_layer(output)
        output = output.view(-1,5*5*16)
        output = self.C5_layer(output)
        output = self.F6 layer(output)
        output = self.F7_layer(output)
        return output
111
Step 3
111
model = LeNet().to(device)
loss_function = torch.nn.CrossEntropyLoss()
optimizer = torch.optim.SGD(model.parameters(), lr=1e-1)
# print total number of trainable parameters
param_ct = sum([p.numel() for p in model.parameters()])
print(f"Total number of trainable parameters: {param_ct}")
111
Step 4
111
train_loader = torch.utils.data.DataLoader(dataset=train_dataset,_u
→batch_size=100, shuffle=True)
import time
start = time.time()
for epoch in range(10) :
    print("{}th epoch starting.".format(epoch))
    for images, labels in train_loader :
        images, labels = images.to(device), labels.to(device)
        optimizer.zero_grad()
        train_loss = loss_function(model(images), labels)
        train_loss.backward()
        optimizer.step()
end = time.time()
print("Time ellapsed in training is: {}".format(end - start))
111
```

```
Total number of trainable parameters: 61706

Oth epoch starting.

1th epoch starting.

2th epoch starting.

3th epoch starting.

4th epoch starting.

5th epoch starting.

6th epoch starting.

7th epoch starting.

8th epoch starting.

9th epoch starting.

Time ellapsed in training is: 37.63770842552185

[Test set] Average loss: 0.0004, Accuracy: 9858/10000 (98.58%)
```

- C3_layer_full: 61706 params vs C3_layer: 60806 params (C3_layer_full > C3_layer)
- C3_layer_full: 98.58% accuracy vs 98.63% accuracy (C3_layer_full < C3_layer)

C3_layer_full has more parameters than C3_layer, but shows low accuracy compared to C3_layer.

- (*) Expected number of parameters of C3_layer: (3*6+4*9+6)*25 = 1500
- (*) Expected number of parameters of C3_layer_full: 6*16*25 = 2400

Difference between of them are equal to difference between 61706 and 60806.