

# *Pushdown Automata for CFLs*

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## EXERCISES

Give a CFG for each of the following languages:

- (1) The language  $\{0^n 1^m \mid n \neq m\}$ . *Hint: This requires two cases;  $n < m$  and  $n > m$ .*
- (2) The language of all UNBALANCED brackets, on the alphabet  $\{(, )\}$ .
- (3) The language of all strings in  $(0 + 1)^*$  that have twice as many 1s as 0s.

## ANSWERS

(1)  $\{0^n 1^m \mid n \neq m\}$ :

$$S \rightarrow A \mid B$$

$$A \rightarrow 0A1 \mid A1 \mid 1 \text{ (for } n < m\text{)}$$

$$B \rightarrow 0B1 \mid 0B \mid 0 \text{ (for } n > m\text{)}$$

(2) Unbalanced brackets, on  $\{(, )\}$ .

$$S \rightarrow U \mid O$$

$$U \rightarrow UU \mid (U) \mid ) \mid )U \mid (U$$

$$O \rightarrow OO \mid (O) \mid ( \mid (O \mid O($$

(3) All strings in  $(0 + 1)^*$  that have twice as many 1s as 0s.

$$S \rightarrow \epsilon \mid 1S1S0S \mid 1S0S1S \mid 0S1S1S$$

## PUSHDOWN AUTOMATA

- We have seen that a DFA/NFA cannot accept context-free languages such as  $\{0^n 1^n \mid n \geq 0\}$ , and a reason for this is that they have no storage or memory.
- Thus, an obvious way to derive a machine that can accept CFLs is to enhance a finite automaton with storage.
- A *pushdown automaton* (PDA) is a finite automaton with a pushdown stack added. A stack is a LIFO structure, so stack operations only access the top element in the stack.

## FORMAL DEFINITION OF A PUSHDOWN AUTOMATON

A *pushdown automaton* is a 6-tuple  $\langle Q, \Sigma, \Gamma, \delta, q_0, F \rangle$  where  $Q$  is a finite set of states,  $\Sigma$  is a finite set called the *input alphabet*,  $\Gamma$  is a finite set called the *stack alphabet*,  $q_0 \in Q$  is a distinguished start state,  $F \subseteq Q$  a set of final states, and  $\delta$  is a transition function, with

$$\delta : Q \times (\Sigma \cup \{\epsilon\}) \times (\Gamma \cup \{\lambda\}) \rightarrow 2^{Q \times (\Gamma \cup \{\lambda\})}$$

## PDA ALPHABETS

- The input alphabet  $\Sigma$  is similar to the corresponding alphabet of a DFA/NFA. It is denoted by lowercase letters  $a, b, c, \dots$  or numerical symbols 0 and 1.
- The *stack alphabet*  $\Gamma$  (which is not the same as the input alphabet) contains elements that may be stored on the stack. Elements of  $\Gamma$  are denoted by uppercase letters  $A, B, C, \dots$
- Similar to  $\epsilon$  which represents the empty string, we use  $\lambda$  which represents the empty stack symbol (reflecting the lack of a stack push or pop).

## PDA OPERATIONS

- The computation of a PDA always starts with the automaton in state  $q_0$ , the input to be given, and the stack empty.
- A PDA considers the current state, the input symbol, and the symbol on the top of the stack, to reach the next state. During a transition, a PDA may also push a symbol onto the stack.
- A PDA is said to accept a string if it ends on an accept state *with the stack empty*. If a PDA is in an accept state and there is no further symbol to process, but the stack is NOT empty, then the PDA is not considered to accept the string.

## PDA TRANSITIONS

The transition function  $\delta$  gives all possible transitions for a given state, input symbol, and stack top value. For instance, the value

$$\delta(q_i, a, A) = \{(q_j, B), (q_k, C)\}$$

indicates that when the PDA is in state  $q_i$ , has an input symbol  $a$ , and the stack top symbol is  $A \in \Gamma \cup \{\lambda\}$ , there are two possible transitions: to state  $q_j$  with  $B$  pushed onto the stack, or  $q_k$  with  $C$  pushed onto the stack.



## PDA TRANSITIONS—CONT'D

Alternatively,

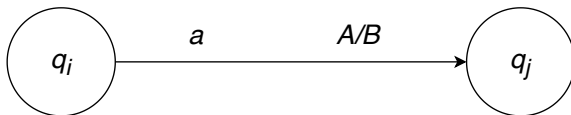
$$(q_j, B) \in \delta(q_i, a, A)$$

means that  $q_i$  is the current state,  $a$  is the current input symbol, and  $A$  is the current stack top value. The transition may cause the PDA to accept the input symbol  $a$ , change the state from  $q_i$  to  $q_j$ , pop  $A$  from the stack, and push  $B$  onto the stack.

## STATE DIAGRAMS FOR PDAs

$$\delta(q_i, a, A) = (q_j, B)$$

which means that in state  $q_i$ , on reading input symbol  $a$  and popping the stack top  $A$ , the PDA moves to state  $q_j$  and pushes  $B$  onto the stack, is represented as:



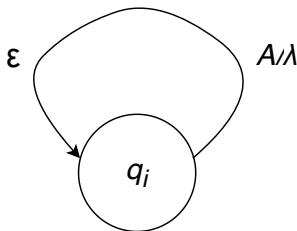
## STATE DIAGRAMS FOR PDAs—CONT'D

$$(q_i, \lambda) \in \delta(q_i, \epsilon, A)$$

## STATE DIAGRAMS FOR PDAs—CONT'D

$$(q_i, \lambda) \in \delta(q_i, \epsilon, A)$$

In state  $q_i$ , on reading  $\epsilon$  (i.e., no input symbol), pop  $A$  from the stack, stay in  $q_i$ , and push  $\lambda$  (i.e., nothing) onto the stack.



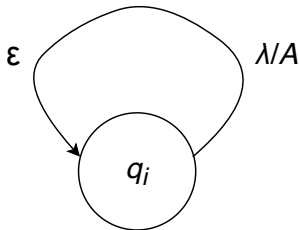
## STATE DIAGRAMS FOR PDAs—CONT'D

$$(q_i, A) \in \delta(q_i, \epsilon, \lambda)$$

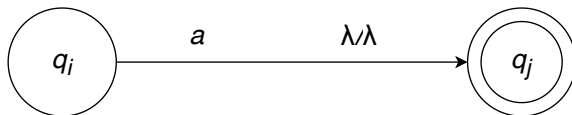
## STATE DIAGRAMS FOR PDAS—CONT'D

$$(q_i, A) \in \delta(q_i, \epsilon, \lambda)$$

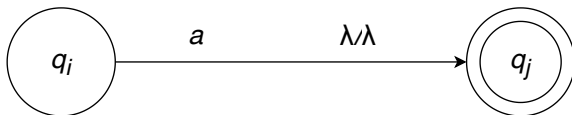
In state  $q_i$  on reading  $\epsilon$  (i.e., no input symbol) and popping  $\lambda$  from the stack (i.e., not popping the stack), stay in  $q_i$  and push  $A$  onto the stack.



## STATE DIAGRAMS FOR PDAs—CONT'D



## STATE DIAGRAMS FOR PDAs—CONT'D



This is the PDA version of a standard DFA transition; in state  $q_i$ , with input symbol  $a$ ,  $\lambda$  is popped from the stack (i.e., the stack is not popped), the state is changed to an accept state  $q_j$ , and  $\lambda$  is pushed onto the stack (i.e., there is no stack push).

$$\delta(q_i, a, \lambda) = (q_j, \lambda)$$



## A PDA TO ACCEPT THE CFL $\{a^n b^n \mid n \geq 0\}$

- As always, the PDA has to process the input string (which may be of the form  $a^n b^n$ ), and starts with the stack empty.
- The PDA must end in an accept state *and have an empty stack*, to be considered to accept the input string. (If the stack is non-empty, the string is not considered to be accepted even if the PDA is an accept state.)
- The obvious solution is to push a symbol  $A$  onto the stack each time  $a$  is read, and then pop  $A$  from the stack each time  $b$  is read.

A PDA TO ACCEPT  $\{a^n b^n \mid n \geq 0\}$

$$Q = \{q_0, q_1\}$$

$$\Sigma = \{a, b\}$$

$$\Gamma = \{A\}$$

$$F = ?$$

$$\delta = ?$$

A PDA TO ACCEPT  $\{a^n b^n \mid n \geq 0\}$ —CONT'D

$$Q = \{q_0, q_1\}$$

$$\Sigma = \{a, b\}$$

$$\Gamma = \{A\}$$

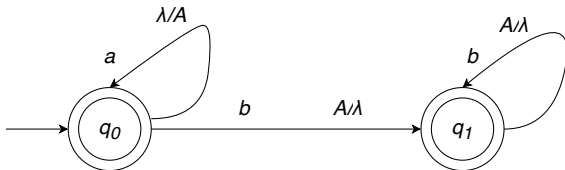
$$F = \{q_0, q_1\}$$

$$\delta(q_0, a, \lambda) = (q_0, A)$$

$$\delta(q_0, b, A) = (q_1, \lambda)$$

$$\delta(q_1, b, A) = (q_1, \lambda)$$

A PDA TO ACCEPT  $\{a^n b^n \mid n \geq 0\}$ —CONT'D

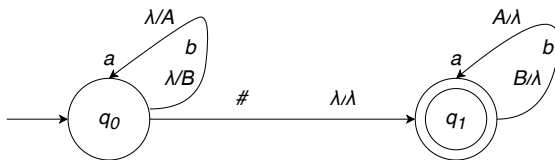


Exercise: show the computation of this PDA for the strings  $aaabbb$  (which is accepted) and  $aabbb$  (which is not).

A PDA TO ACCEPT  $\{w\#w^R \mid w \in (a + b)^*\}$

- In this case we need to copy the string  $w$  onto the stack, and read it off in the reverse order after reaching  $\#$ , to compare with  $w^R$ .
- We can still do it with just two states, but we need three input symbols  $a, b, \#$ , and two stack symbols (e.g.,  $A$  and  $B$ ).

A PDA TO ACCEPT  $\{w\#w^R \mid w \in (a+b)^*\}$ —CONT'D



Exercise: write down the full specification  $(Q, \Sigma, \Gamma, \delta, F)$  of the PDA, and show its working for the string  $aabb\#bbaa$  (which is accepted) and  $abba\#abb$  (which is not).

## PRACTICE PROBLEMS FOR PDAs

Give a PDA for each the following languages:

4.  $\emptyset$
5.  $\{\epsilon\}$
6.  $\{w \mid w \text{ contains an unequal number of 1s and 0s}\}$
7.  $\{w \mid \text{the length of } w \text{ is even}\}$
8.  $\{w \mid \text{the length of } w \text{ is at least 3 and its final symbol is a 0}\}$
9.  $\{w \mid w \in (0 + 1)^* \setminus \{0^i 1^i\}, i \in \mathbb{N}\}$
10.  $\{0^m 1^n \mid m = n \text{ or } m = 2n\}$ .
11.  $\{0^n \mid n \geq 0\} \cup \{0^n 1^n \mid n \geq 0\}$