Java Tutorials

★ Updated for Java SE 8





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Java Tutorials

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Trail: Essential Classes

This trail discusses classes from the Java platform that are essential to most programmers.

- **Exceptions** explains the exception mechanism and how it is used to handle errors and other exceptional conditions. This lesson describes what an exception is, how to throw and catch exceptions, what to do with an exception once it has been caught, and how to use the exception class hierarchy.
- Basic I/O covers the Java platform classes used for basic input and output. It focuses primarily on *I/O Streams*, a powerful concept that greatly simplifies I/O operations. The lesson also looks at Serialization, which lets a program write whole objects out to streams and read them back again. Then the lesson looks at some file system operations, including random access files. Finally, it touchs briefly on the advanced features of the New I/O API.
- Zoncurrency explains how to write applications that perform multiple tasks simultaneously. The Java platform is designed from the ground up to support concurrent programming, with basic concurrency support in the Java programming language and the Java class libraries. Since version 5.0, the Java platform has also included high-level concurrency APIs. This lesson introduces the platform's basic concurrency support and summarizes some of the high-level APIs in the java.util.concurrent packages.
- The Platform Environment is defined by the underlying operating system, the Java virtual machine, the class libraries, and various configuration data supplied when the application is launched. This lesson describes some of the APIs an application uses to examine and configure its platform environment.
- Regular Expressions are a way to describe a set of strings based on common characteristics shared by each string in the set. They can be used to search, edit, or manipulate text and data. Regular expressions vary in complexity, but once you understand the basics of how they're constructed, you'll be able to decipher (or create) any regular expression. This lesson teaches the regular expression syntax supported by the <code>java.util.regex</code> API, and presents several working examples to illustrate how the various objects interact.
 - Legal Notices
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Lesson: Exceptions

The Java programming language uses *exceptions* to handle errors and other exceptional events. This lesson describes when and how to use exceptions.

What Is an Exception?

An exception is an event that occurs during the execution of a program that disrupts the normal flow of instructions.

The Catch or Specify Requirement

This section covers how to catch and handle exceptions. The discussion includes the try, catch, and finally blocks, as well as chained exceptions and logging.

How to Throw Exceptions

This section covers the throw statement and the Throwable class and its subclasses.

The try-with-resources Statement

This section describes the try-with-resources statement, which is a try statement that declares one or more resources. A resource is as an object that must be closed after the program is finished with it. The try-with-resources statement ensures that each resource is closed at the end of the statement.

<u>Unchecked Exceptions — The Controversy</u>

This section explains the correct and incorrect use of the unchecked exceptions indicated by subclasses of RuntimeException.

Advantages of Exceptions

The use of exceptions to manage errors has some advantages over traditional error-management techniques. You'll learn more in this section.

Summary

Questions and Exercises

Note: See <u>online version of topics</u> in this ebook to download complete source code.

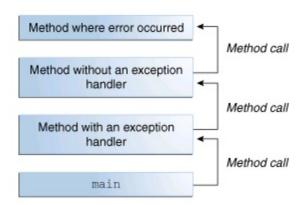
What Is an Exception?

The term *exception* is shorthand for the phrase "exceptional event."

Definition: An *exception* is an event, which occurs during the execution of a program, that disrupts the normal flow of the program's instructions.

When an error occurs within a method, the method creates an object and hands it off to the runtime system. The object, called an *exception object*, contains information about the error, including its type and the state of the program when the error occurred. Creating an exception object and handing it to the runtime system is called *throwing an exception*.

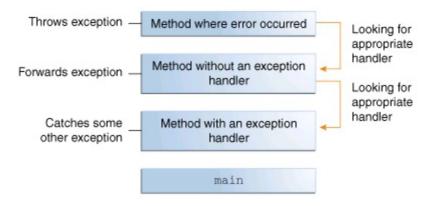
After a method throws an exception, the runtime system attempts to find something to handle it. The set of possible "somethings" to handle the exception is the ordered list of methods that had been called to get to the method where the error occurred. The list of methods is known as the *call stack* (see the next figure).



The call stack.

The runtime system searches the call stack for a method that contains a block of code that can handle the exception. This block of code is called an *exception handler*. The search begins with the method in which the error occurred and proceeds through the call stack in the reverse order in which the methods were called. When an appropriate handler is found, the runtime system passes the exception to the handler. An exception handler is considered appropriate if the type of the exception object thrown matches the type that can be handled by the handler.

The exception handler chosen is said to *catch the exception*. If the runtime system exhaustively searches all the methods on the call stack without finding an appropriate exception handler, as shown in the next figure, the runtime system (and, consequently, the program) terminates.



Searching the call stack for the exception handler.

Using exceptions to manage errors has some advantages over traditional error-management techniques. You can learn more in the <u>Advantages of Exceptions</u> section.

The Catch or Specify Requirement

Valid Java programming language code must honor the *Catch or Specify Requirement*. This means that code that might throw certain exceptions must be enclosed by either of the following:

- A try statement that catches the exception. The try must provide a handler for the exception, as described in <u>Catching and Handling Exceptions</u>.
- A method that specifies that it can throw the exception. The method must provide a throws clause that lists the exception, as described in <u>Specifying the Exceptions Thrown by a Method</u>.

Code that fails to honor the Catch or Specify Requirement will not compile.

Not all exceptions are subject to the Catch or Specify Requirement. To understand why, we need to look at the three basic categories of exceptions, only one of which is subject to the Requirement.

The Three Kinds of Exceptions

The first kind of exception is the *checked exception*. These are exceptional conditions that a well-written application should anticipate and recover from. For example, suppose an application prompts a user for an input file name, then opens the file by passing the name to the constructor for <code>java.io.FileReader</code>. Normally, the user provides the name of an existing, readable file, so the construction of the <code>FileReader</code> object succeeds, and the execution of the application proceeds normally. But sometimes the user supplies the name of a nonexistent file, and the constructor throws <code>java.io.FileNotFoundException</code>. A well-written program will catch this exception and notify the user of the mistake, possibly prompting for a corrected file name.

Checked exceptions *are subject* to the Catch or Specify Requirement. All exceptions are checked exceptions, except for those indicated by Error, RuntimeException, and their subclasses.

The second kind of exception is the *error*. These are exceptional conditions that are external to the application, and that the application usually cannot anticipate or recover from. For example, suppose that an application successfully opens a file for input, but is unable to read the file because of a hardware or system malfunction. The unsuccessful read will throw <code>java.io.loerror</code>. An application might choose to catch this exception, in order to notify the user of the problem — but it also might make sense for the program to print a stack trace and exit.

Errors *are not subject* to the Catch or Specify Requirement. Errors are those exceptions indicated by Error and its subclasses.

The third kind of exception is the *runtime exception*. These are exceptional conditions that are internal to the application, and that the application usually cannot anticipate or recover from. These usually indicate programming bugs, such as logic errors or improper use of an API. For example, consider the application described previously that passes a file name to the constructor for <code>FileReader</code>. If a logic error causes a <code>null</code> to be passed to the constructor, the constructor will throw <code>NullPointerException</code>. The application can catch this exception, but it probably makes more sense to eliminate the bug that caused the exception to occur.

Runtime exceptions *are not subject* to the Catch or Specify Requirement. Runtime exceptions are those indicated by RuntimeException and its subclasses.

Errors and runtime exceptions are collectively known as unchecked exceptions.

Bypassing Catch or Specify

Some programmers consider the Catch or Specify Requirement a serious flaw in the exception mechanism and bypass it by using unchecked exceptions in place of checked exceptions. In general, this is not recommended. The section Unchecked Exceptions— The Controversy talks about when it is appropriate to use unchecked exceptions.

Catching and Handling Exceptions

This section describes how to use the three exception handler components — the try, catch, and finally blocks — to write an exception handler. Then, the try-with-resources statement, introduced in Java SE 7, is explained. The try-with-resources statement is particularly suited to situations that use Closeable resources, such as streams.

The last part of this section walks through an example and analyzes what occurs during various scenarios.

The following example defines and implements a class named ListofNumbers. When constructed, ListofNumbers creates an ArrayList that contains 10 Integer elements with sequential values 0 through 9. The ListofNumbers class also defines a method named writeList, which writes the list of numbers into a text file called OutFile.txt. This example uses output classes defined in java.io, which are covered in Basic I/O.

```
// Note: This class won't compile by design!
import java.io.*;
import java.util.List;
import java.util.ArrayList;
public class ListOfNumbers {
   private List<Integer> list;
   private static final int SIZE = 10;
   public ListOfNumbers () {
      list = new ArrayList<Integer>(SIZE);
       for (int i = 0; i < SIZE; i++) {
           list.add(new Integer(i));
    public void writeList() {
        PrintWriter out = new PrintWriter(new FileWriter("OutFile.txt"));
        for (int i = 0; i < SIZE; i++) {
           out.println("Value at: " + i + " = " + list.get(i));
       out.close();
```

The first line in boldface is a call to a constructor. The constructor initializes an output stream on a file. If the file cannot be opened, the constructor throws an IOException. The second boldface line is a call to the ArrayList class's get method, which throws an IndexOutOfBoundsException if the value of its argument is too small (less than 0) or too large (more than the number of elements currently contained by the ArrayList).

If you try to compile the ListofNumbers class, the compiler prints an error message about the exception thrown by the FileWriter constructor. However, it does not display an error message about the exception thrown by get. The reason is that the exception thrown by the constructor, IOException, is a checked exception, and the one thrown by the get method,

IndexOutOfBoundsException, is an unchecked exception.

Now that you're familiar with the ListofNumbers class and where the exceptions can be thrown within it, you're ready to write exception handlers to catch and handle those exceptions.

The try Block

The first step in constructing an exception handler is to enclose the code that might throw an exception within a try block. In general, a try block looks like the following:

```
try {
    code
}
catch and finally blocks . . .
```

The segment in the example labeled code contains one or more legal lines of code that could throw an exception. (The catch and finally blocks are explained in the next two subsections.)

To construct an exception handler for the writeList method from the ListofNumbers class, enclose the exception-throwing statements of the writeList method within a try block. There is more than one way to do this. You can put each line of code that might throw an exception within its own try block and provide separate exception handlers for each. Or, you can put all the writeList code within a single try block and associate multiple handlers with it. The following listing uses one try block for the entire method because the code in question is very short.

```
private List<Integer> list;
private static final int SIZE = 10;

PrintWriter out = null;

try {
    System.out.println("Entered try statement");
    out = new PrintWriter(new FileWriter("OutFile.txt"));
    for (int i = 0; i < SIZE; i++) {
        out.println("Value at: " + i + " = " + list.get(i));
    }
} catch and finally statements . . .</pre>
```

If an exception occurs within the try block, that exception is handled by an exception handler associated with it. To associate an exception handler with a try block, you must put a catch block after it; the next section, The catch Blocks, shows you how.

The catch Blocks

You associate exception handlers with a try block by providing one or more catch blocks directly after the try block. No code can be between the end of the try block and the beginning of the first catch block.

```
try {
} catch (ExceptionType name) {
} catch (ExceptionType name) {
}
```

Each catch block is an exception handler and handles the type of exception indicated by its argument. The argument type, <code>ExceptionType</code>, declares the type of exception that the handler can handle and must be the name of a class that inherits from the <code>Throwable</code> class. The handler can refer to the exception with <code>name</code>.

The catch block contains code that is executed if and when the exception handler is invoked. The runtime system invokes the exception handler when the handler is the first one in the call stack whose <code>ExceptionType</code> matches the type of the exception thrown. The system considers it a match if the thrown object can legally be assigned to the exception handler's argument.

The following are two exception handlers for the writeList method — one for two types of checked exceptions that can be thrown within the try statement:

```
try {
} catch (FileNotFoundException e) {
    System.err.println("FileNotFoundException: " + e.getMessage());
    throw new SampleException(e);
} catch (IOException e) {
    System.err.println("Caught IOException: " + e.getMessage());
}
```

Both handlers print an error message. The second handler does nothing else. By catching any IOException that's not caught by the first handler, it allows the program to continue executing.

The first handler, in addition to printing a message, throws a user-defined exception. (Throwing exceptions is covered in detail later in this chapter in the <u>How to Throw Exceptions</u> section.)

In this example, when the FileNotFoundException is caught it causes a user-defined exception called SampleException to be thrown. You might want to do this if you want your program to handle an exception in this situation in a specific way.

Exception handlers can do more than just print error messages or halt the program. They can do error recovery, prompt the user to make a decision, or propagate the error up to a higher-level handler

using chained exceptions, as described in the **Chained Exceptions** section.

Catching More Than One Type of Exception with One Exception Handler

In Java SE 7 and later, a single catch block can handle more than one type of exception. This feature can reduce code duplication and lessen the temptation to catch an overly broad exception.

In the catch clause, specify the types of exceptions that block can handle, and separate each exception type with a vertical bar (+):

```
catch (IOException|SQLException ex) {
  logger.log(ex);
  throw ex;
}
```

Note: If a catch block handles more than one exception type, then the catch parameter is implicitly final. In this example, the catch parameter ex is final and therefore you cannot assign any values to it within the catch block.

The finally Block

The finally block always executes when the try block exits. This ensures that the finally block is executed even if an unexpected exception occurs. But finally is useful for more than just exception handling — it allows the programmer to avoid having cleanup code accidentally bypassed by a return, continue, or break. Putting cleanup code in a finally block is always a good practice, even when no exceptions are anticipated.

Note:If the JVM exits while the try or catch code is being executed, then the finally block may not execute. Likewise, if the thread executing the try or catch code is interrupted or killed, the finally block may not execute even though the application as a whole continues.

The try block of the writeList method that you've been working with here opens a PrintWriter. The program should close that stream before exiting the writeList method. This poses a somewhat complicated problem because writeList's try block can exit in one of three ways.

- 1. The new FileWriter statement fails and throws an IOException.
- 2. The vector.elementAt(i) statement fails and throws an ArrayIndexOutOfBoundsException.
- **3.** Everything succeeds and the try block exits normally.

The runtime system always executes the statements within the finally block regardless of what happens within the try block. So it's the perfect place to perform cleanup.

The following finally block for the writeList method cleans up and then closes the PrintWriter.

```
finally {
   if (out != null) {
      System.out.println("Closing PrintWriter");
      out.close();
   } else {
      System.out.println("PrintWriter not open");
   }
}
```

In the writeList example, you could provide for cleanup without the intervention of a finally block. For example, you could put the code to close the PrintWriter at the end of the try block and again within the exception handler for ArrayIndexOutOfBoundsException, as follows.

```
try {
    // Don't do this; it duplicates code.
    out.close();
} catch (FileNotFoundException e) {
    // Don't do this; it duplicates code.
    out.close();

    System.err.println("Caught FileNotFoundException: " + e.getMessage());
    throw new RuntimeException(e);
```

```
} catch (IOException e) {
    System.err.println("Caught IOException: " + e.getMessage());
}
```

However, this duplicates code, thus making the code difficult to read and error-prone should you modify it later. For example, if you add code that can throw a new type of exception to the try block, you have to remember to close the PrintWriter within the new exception handler.

Important: The finally block is a key tool for preventing resource leaks. When closing a file or otherwise recovering resources, place the code in a finally block to ensure that resource is *always* recovered.

If you are using Java SE 7 or later, consider using the try-with-resources statement in these situations, which automatically releases system resources when no longer needed. The <u>next section</u> has more information.

The try-with-resources Statement

The try-with-resources statement is a try statement that declares one or more resources. A resource is an object that must be closed after the program is finished with it. The try-with-resources statement ensures that each resource is closed at the end of the statement. Any object that implements java.lang.AutoCloseable, which includes all objects which implement java.io.Closeable, can be used as a resource.

The following example reads the first line from a file. It uses an instance of BufferedReader to read data from the file. BufferedReader is a resource that must be closed after the program is finished with it:

In this example, the resource declared in the try-with-resources statement is a <code>BufferedReader</code>. The declaration statement appears within parentheses immediately after the try keyword. The class <code>BufferedReader</code>, in Java SE 7 and later, implements the interface <code>java.lang.AutoCloseable</code>. Because the <code>BufferedReader</code> instance is declared in a try-with-resource statement, it will be closed regardless of whether the try statement completes normally or abruptly (as a result of the method <code>BufferedReader.readLine</code> throwing an <code>IOException</code>).

Prior to Java SE 7, you can use a finally block to ensure that a resource is closed regardless of whether the try statement completes normally or abruptly. The following example uses a finally block instead of a try-with-resources statement:

However, in this example, if the methods <code>readLine</code> and <code>close</code> both throw exceptions, then the method <code>readFirstLineFromFileWithFinallyBlock</code> throws the exception thrown from the <code>finally</code> block; the exception thrown from the <code>try</code> block is suppressed. In contrast, in the example <code>readFirstLineFromFile</code>, if exceptions are thrown from both the <code>try</code> block and the <code>try-with-resources</code> statement, then the method <code>readFirstLineFromFile</code> throws the exception thrown from the <code>try</code> block; the exception thrown from the <code>try-with-resources</code> block is suppressed. In Java SE 7 and later, you can retrieve suppressed exceptions; see the section Suppressed Exceptions for more information.

You may declare one or more resources in a try-with-resources statement. The following example retrieves the names of the files packaged in the zip file zipFileName and creates a text file that contains the names of these files:

```
public static void writeToFileZipFileContents(String zipFileName,
                                           String outputFileName)
                                           throws java.io.IOException {
    java.nio.charset.Charset charset =
         java.nio.charset.StandardCharsets.US_ASCII;
    java.nio.file.Path outputFilePath =
         java.nio.file.Paths.get(outputFileName);
    // Open zip file and create output file with
    // try-with-resources statement
   try (
       java.util.zip.ZipFile zf =
             new java.util.zip.ZipFile(zipFileName);
       java.io.BufferedWriter writer =
            java.nio.file.Files.newBufferedWriter(outputFilePath, charset)
        // Enumerate each entry
       for (java.util.Enumeration entries =
                               zf.entries(); entries.hasMoreElements();) {
            // Get the entry name and write it to the output file
            String newLine = System.getProperty("line.separator");
            String zipEntryName =
                 ((java.util.zip.ZipEntry)entries.nextElement()).getName() +
            writer.write(zipEntryName, 0, zipEntryName.length());
    }
```

In this example, the try-with-resources statement contains two declarations that are separated by a semicolon: <code>ZipFile</code> and <code>BufferedWriter</code>. When the block of code that directly follows it terminates, either normally or because of an exception, the <code>close</code> methods of the <code>BufferedWriter</code> and <code>ZipFile</code> objects are automatically called in this order. Note that the <code>close</code> methods of resources are called in the *opposite* order of their creation.

The following example uses a try-with-resources statement to automatically close a java.sql.Statement object:

```
public static void viewTable(Connection con) throws SQLException {
   String query = "select COF_NAME, SUP_ID, PRICE, SALES, TOTAL from COFFEES";

   try (Statement stmt = con.createStatement()) {
     ResultSet rs = stmt.executeQuery(query);

     while (rs.next()) {
        String coffeeName = rs.getString("COF_NAME");
        int supplierID = rs.getInt("SUP_ID");
        float price = rs.getFloat("PRICE");
        int sales = rs.getInt("SALES");
        int total = rs.getInt("TOTAL");

        System.out.println(coffeeName + ", " + supplierID + ", " +
```

```
price + ", " + sales + ", " + total);
} catch (SQLException e) {
    JDBCTutorialUtilities.printSQLException(e);
}
```

The resource java.sql.Statement used in this example is part of the JDBC 4.1 and later API.

Note: A try-with-resources statement can have catch and finally blocks just like an ordinary try statement. In a try-with-resources statement, any catch or finally block is run after the resources declared have been closed.

Suppressed Exceptions

An exception can be thrown from the block of code associated with the try-with-resources statement. In the example writeToFileZipFileContents, an exception can be thrown from the try block, and up to two exceptions can be thrown from the try-with-resources statement when it tries to close the ZipFile and BufferedWriter objects. If an exception is thrown from the try block and one or more exceptions are thrown from the try-with-resources statement, then those exceptions thrown from the try-with-resources statement are suppressed, and the exception thrown by the block is the one that is thrown by the writeToFileZipFileContents method. You can retrieve these suppressed exceptions by calling the Throwable.getSuppressed method from the exception thrown by the try block.

Classes That Implement the AutoCloseable or Closeable Interface

See the Javadoc of the <u>AutoCloseable</u> and <u>Closeable</u> interfaces for a list of classes that implement either of these interfaces. The Closeable interface extends the AutoCloseable interface. The close method of the Closeable interface throws exceptions of type IOException while the close method of the AutoCloseable interface throws exceptions of type Exception. Consequently, subclasses of the AutoCloseable interface can override this behavior of the close method to throw specialized exceptions, such as IOException, or no exception at all.

Putting It All Together

The previous sections described how to construct the try, catch, and finally code blocks for the writeList method in the ListofNumbers class. Now, let's walk through the code and investigate what can happen.

When all the components are put together, the writelist method looks like the following.

```
public void writeList() {
   PrintWriter out = null;
       System.out.println("Entering" + " try statement");
       out = new PrintWriter(new FileWriter("OutFile.txt"));
       for (int i = 0; i < SIZE; i++)
          out.println("Value at: " + i + " = " + vector.elementAt(i));
   } catch (ArrayIndexOutOfBoundsException e) {
       System.err.println("Caught ArrayIndexOutOfBoundsException: "
                          + e.getMessage());
   } catch (IOException e) {
       System.err.println("Caught IOException: " + e.getMessage());
   } finally {
       if (out != null) {
          System.out.println("Closing PrintWriter");
           out.close();
       }
       else {
          System.out.println("PrintWriter not open");
   }
```

As mentioned previously, this method's try block has three different exit possibilities; here are two of them.

- 1. Code in the try statement fails and throws an exception. This could be an IOException caused by the new FileWriter statement or an ArrayIndexOutOfBoundsException caused by a wrong index value in the for loop.
- **2.** Everything succeeds and the try statement exits normally.

Let's look at what happens in the writeList method during these two exit possibilities.

Scenario 1: An Exception Occurs

The statement that creates a FileWriter can fail for a number of reasons. For example, the constructor for the FileWriter throws an IOException if the program cannot create or write to the file indicated.

When FileWriter throws an IOException, the runtime system immediately stops executing the try block; method calls being executed are not completed. The runtime system then starts searching at the

top of the method call stack for an appropriate exception handler. In this example, when the IOException occurs, the FileWriter constructor is at the top of the call stack. However, the FileWriter constructor doesn't have an appropriate exception handler, so the runtime system checks the next method — the writeList method — in the method call stack. The writeList method has two exception handlers: one for IOException and one for ArrayIndexOutOfBoundsException.

The runtime system checks writelist's handlers in the order in which they appear after the try statement. The argument to the first exception handler is ArrayIndexOutOfBoundsException. This does not match the type of exception thrown, so the runtime system checks the next exception handler — IOException. This matches the type of exception that was thrown, so the runtime system ends its search for an appropriate exception handler. Now that the runtime has found an appropriate handler, the code in that catch block is executed.

After the exception handler executes, the runtime system passes control to the finally block. Code in the finally block executes regardless of the exception caught above it. In this scenario, the FileWriter was never opened and doesn't need to be closed. After the finally block finishes executing, the program continues with the first statement after the finally block.

Here's the complete output from the ListOfNumbers program that appears when an IOException is thrown.

```
Entering try statement
Caught IOException: OutFile.txt
PrintWriter not open
```

The boldface code in the following listing shows the statements that get executed during this scenario:

```
public void writeList() {
  PrintWriter out = null;
   try {
       System.out.println("Entering try statement");
       out = new PrintWriter(new FileWriter("OutFile.txt"));
       for (int i = 0; i < SIZE; i++)
           out.println("Value at: " + i + " = " + vector.elementAt(i));
   } catch (ArrayIndexOutOfBoundsException e) {
       System.err.println("Caught ArrayIndexOutOfBoundsException: "
                           + e.getMessage());
   } catch (IOException e) {
       System.err.println("Caught IOException: " + e.getMessage());
   } finally {
       if (out != null) {
           System.out.println("Closing PrintWriter");
           out.close();
       }
       else {
           System.out.println("PrintWriter not open");
       }
   }
```

Scenario 2: The try Block Exits Normally

In this scenario, all the statements within the scope of the try block execute successfully and throw no exceptions. Execution falls off the end of the try block, and the runtime system passes control to the finally block. Because everything was successful, the PrintWriter is open when control reaches the finally block, which closes the PrintWriter. Again, after the finally block finishes executing, the program continues with the first statement after the finally block.

Here is the output from the ListofNumbers program when no exceptions are thrown.

```
Entering try statement
Closing PrintWriter
```

The boldface code in the following sample shows the statements that get executed during this scenario.

```
public void writeList() {
   PrintWriter out = null;
        System.out.println("Entering try statement");
        out = new PrintWriter(new FileWriter("OutFile.txt"));
        for (int i = 0; i < SIZE; i++)
            out.println("Value at: " + i + " = " + vector.elementAt(i));
    } catch (ArrayIndexOutOfBoundsException e) {
        System.err.println("Caught ArrayIndexOutOfBoundsException: "
                           + e.getMessage());
    } catch (IOException e) {
        System.err.println("Caught IOException: " + e.getMessage());
    } finally {
        if (out != null) {
           System.out.println("Closing PrintWriter");
            out.close();
        }
        else {
           System.out.println("PrintWriter not open");
```

Specifying the Exceptions Thrown by a Method

The previous section showed how to write an exception handler for the writeList method in the ListofNumbers class. Sometimes, it's appropriate for code to catch exceptions that can occur within it. In other cases, however, it's better to let a method further up the call stack handle the exception. For example, if you were providing the ListofNumbers class as part of a package of classes, you probably couldn't anticipate the needs of all the users of your package. In this case, it's better to not catch the exception and to allow a method further up the call stack to handle it.

If the writeList method doesn't catch the checked exceptions that can occur within it, the writeList method must specify that it can throw these exceptions. Let's modify the original writeList method to specify the exceptions it can throw instead of catching them. To remind you, here's the original version of the writeList method that won't compile.

```
// Note: This method won't compile by design!
public void writeList() {
    PrintWriter out = new PrintWriter(new FileWriter("OutFile.txt"));
    for (int i = 0; i < SIZE; i++) {
        out.println("Value at: " + i + " = " + vector.elementAt(i));
    }
    out.close();
}</pre>
```

To specify that writeList can throw two exceptions, add a throws clause to the method declaration for the writeList method. The throws clause comprises the throws keyword followed by a comma-separated list of all the exceptions thrown by that method. The clause goes after the method name and argument list and before the brace that defines the scope of the method; here's an example.

```
public void writeList() throws IOException, ArrayIndexOutOfBoundsException {
```

Remember that ArrayIndexOutOfBoundsException is an unchecked exception; including it in the throws clause is not mandatory. You could just write the following.

```
public void writeList() throws IOException {
```

How to Throw Exceptions

Before you can catch an exception, some code somewhere must throw one. Any code can throw an exception: your code, code from a package written by someone else such as the packages that come with the Java platform, or the Java runtime environment. Regardless of what throws the exception, it's always thrown with the throw statement.

As you have probably noticed, the Java platform provides numerous exception classes. All the classes are descendants of the <u>Throwable</u> class, and all allow programs to differentiate among the various types of exceptions that can occur during the execution of a program.

You can also create your own exception classes to represent problems that can occur within the classes you write. In fact, if you are a package developer, you might have to create your own set of exception classes to allow users to differentiate an error that can occur in your package from errors that occur in the Java platform or other packages.

You can also create *chained* exceptions. For more information, see the <u>Chained Exceptions</u> section.

The throw Statement

All methods use the throw statement to throw an exception. The throw statement requires a single argument: a throwable object. Throwable objects are instances of any subclass of the Throwable class. Here's an example of a throw statement.

```
throw someThrowableObject;
```

Let's look at the throw statement in context. The following pop method is taken from a class that implements a common stack object. The method removes the top element from the stack and returns the object.

```
public Object pop() {
    Object obj;

if (size == 0) {
        throw new EmptyStackException();
}

obj = objectAt(size - 1);
setObjectAt(size - 1, null);
size--;
return obj;
}
```

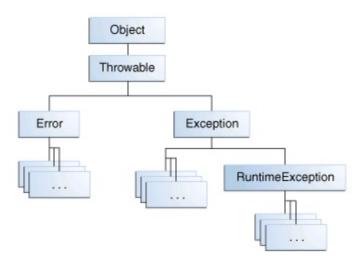
The pop method checks to see whether any elements are on the stack. If the stack is empty (its size is equal to 0), pop instantiates a new EmptyStackException object (a member of java.util) and throws it. The <u>Creating Exception Classes</u> section in this chapter explains how to create your own exception classes. For now, all you need to remember is that you can throw only objects that inherit from the java.lang.Throwable class.

Note that the declaration of the pop method does not contain a throws clause.

EmptyStackException is not a checked exception, so pop is not required to state that it might occur.

Throwable Class and Its Subclasses

The objects that inherit from the Throwable class include direct descendants (objects that inherit directly from the Throwable class) and indirect descendants (objects that inherit from children or grandchildren of the Throwable class). The figure below illustrates the class hierarchy of the Throwable class and its most significant subclasses. As you can see, Throwable has two direct descendants: Error and Exception.



The Throwable class.

Error Class

When a dynamic linking failure or other hard failure in the Java virtual machine occurs, the virtual machine throws an Error. Simple programs typically do *not* catch or throw Errors.

Exception Class

Most programs throw and catch objects that derive from the Exception class. An Exception indicates that a problem occurred, but it is not a serious system problem. Most programs you write will throw and catch Exceptions as opposed to Errors.

The Java platform defines the many descendants of the Exception class. These descendants indicate various types of exceptions that can occur. For example, IllegalAccessException signals that a particular method could not be found, and NegativeArraySizeException indicates that a program attempted to create an array with a negative size.

One Exception subclass, RuntimeException, is reserved for exceptions that indicate incorrect use of an API. An example of a runtime exception is NullPointerException, which occurs when a method tries to access a member of an object through a null reference. The section <u>Unchecked Exceptions</u> — <u>The Controversy</u> discusses why most applications shouldn't throw runtime exceptions or subclass RuntimeException.

Chained Exceptions

An application often responds to an exception by throwing another exception. In effect, the first exception *causes* the second exception. It can be very helpful to know when one exception causes another. *Chained Exceptions* help the programmer do this.

The following are the methods and constructors in Throwable that support chained exceptions.

```
Throwable getCause()
Throwable initCause(Throwable)
Throwable(String, Throwable)
Throwable(Throwable)
```

The Throwable argument to initCause and the Throwable constructors is the exception that caused the current exception. getCause returns the exception that caused the current exception, and initCause sets the current exception's cause.

The following example shows how to use a chained exception.

```
try {
} catch (IOException e) {
   throw new SampleException("Other IOException", e);
}
```

In this example, when an IOException is caught, a new SampleException exception is created with the original cause attached and the chain of exceptions is thrown up to the next higher level exception handler.

Accessing Stack Trace Information

Now let's suppose that the higher-level exception handler wants to dump the stack trace in its own format.

Definition: A *stack trace* provides information on the execution history of the current thread and lists the names of the classes and methods that were called at the point when the exception occurred. A stack trace is a useful debugging tool that you'll normally take advantage of when an exception has been thrown.

The following code shows how to call the getStackTrace method on the exception object.

} }

Logging API

The next code snippet logs where an exception occurred from within the catch block. However, rather than manually parsing the stack trace and sending the output to System.err(), it sends the output to a file using the logging facility in the <u>java.util.logging</u> package.

```
try {
    Handler handler = new FileHandler("OutFile.log");
    Logger.getLogger("").addHandler(handler);

} catch (IOException e) {
    Logger logger = Logger.getLogger("package.name");
    StackTraceElement elements[] = e.getStackTrace();
    for (int i = 0, n = elements.length; i < n; i++) {
        logger.log(Level.WARNING, elements[i].getMethodName());
    }
}</pre>
```

Creating Exception Classes

When faced with choosing the type of exception to throw, you can either use one written by someone else — the Java platform provides a lot of exception classes you can use — or you can write one of your own. You should write your own exception classes if you answer yes to any of the following questions; otherwise, you can probably use someone else's.

- Do you need an exception type that isn't represented by those in the Java platform?
- Would it help users if they could differentiate your exceptions from those thrown by classes written by other vendors?
- Does your code throw more than one related exception?
- If you use someone else's exceptions, will users have access to those exceptions? A similar question is, should your package be independent and self-contained?

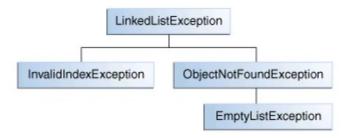
An Example

Suppose you are writing a linked list class. The class supports the following methods, among others:

- objectAt(int n) Returns the object in the nth position in the list. Throws an exception if the argument is less than 0 or more than the number of objects currently in the list.
- firstobject() Returns the first object in the list. Throws an exception if the list contains no objects.
- indexOf(Object o) Searches the list for the specified Object and returns its position in the list. Throws an exception if the object passed into the method is not in the list.

The linked list class can throw multiple exceptions, and it would be convenient to be able to catch all exceptions thrown by the linked list with one exception handler. Also, if you plan to distribute your linked list in a package, all related code should be packaged together. Thus, the linked list should provide its own set of exception classes.

The next figure illustrates one possible class hierarchy for the exceptions thrown by the linked list.



Example exception class hierarchy.

Choosing a Superclass

Any Exception subclass can be used as the parent class of LinkedListException. However, a quick perusal of those subclasses shows that they are inappropriate because they are either too specialized or completely unrelated to LinkedListException. Therefore, the parent class of LinkedListException should be Exception.

Most applets and applications you write will throw objects that are Exceptions. Errors are normally used for serious, hard errors in the system, such as those that prevent the JVM from running.

Note: For readable code, it's good practice to append the string Exception to the names of all classes that inherit (directly or indirectly) from the Exception class.

Unchecked Exceptions — The Controversy

Because the Java programming language does not require methods to catch or to specify unchecked exceptions (RuntimeException, Error, and their subclasses), programmers may be tempted to write code that throws only unchecked exceptions or to make all their exception subclasses inherit from RuntimeException. Both of these shortcuts allow programmers to write code without bothering with compiler errors and without bothering to specify or to catch any exceptions. Although this may seem convenient to the programmer, it sidesteps the intent of the catch or specify requirement and can cause problems for others using your classes.

Why did the designers decide to force a method to specify all uncaught checked exceptions that can be thrown within its scope? Any Exception that can be thrown by a method is part of the method's public programming interface. Those who call a method must know about the exceptions that a method can throw so that they can decide what to do about them. These exceptions are as much a part of that method's programming interface as its parameters and return value.

The next question might be: "If it's so good to document a method's API, including the exceptions it can throw, why not specify runtime exceptions too?" Runtime exceptions represent problems that are the result of a programming problem, and as such, the API client code cannot reasonably be expected to recover from them or to handle them in any way. Such problems include arithmetic exceptions, such as dividing by zero; pointer exceptions, such as trying to access an object through a null reference; and indexing exceptions, such as attempting to access an array element through an index that is too large or too small.

Runtime exceptions can occur anywhere in a program, and in a typical one they can be very numerous. Having to add runtime exceptions in every method declaration would reduce a program's clarity. Thus, the compiler does not require that you catch or specify runtime exceptions (although you can).

One case where it is common practice to throw a RuntimeException is when the user calls a method incorrectly. For example, a method can check if one of its arguments is incorrectly null. If an argument is null, the method might throw a NullPointerException, which is an unchecked exception.

Generally speaking, do not throw a RuntimeException or create a subclass of RuntimeException simply because you don't want to be bothered with specifying the exceptions your methods can throw.

Here's the bottom line guideline: If a client can reasonably be expected to recover from an exception, make it a checked exception. If a client cannot do anything to recover from the exception, make it an unchecked exception.

Advantages of Exceptions

Now that you know what exceptions are and how to use them, it's time to learn the advantages of using exceptions in your programs.

Advantage 1: Separating Error-Handling Code from "Regular" Code

Exceptions provide the means to separate the details of what to do when something out of the ordinary happens from the main logic of a program. In traditional programming, error detection, reporting, and handling often lead to confusing spaghetti code. For example, consider the pseudocode method here that reads an entire file into memory.

```
readFile {
    open the file;
    determine its size;
    allocate that much memory;
    read the file into memory;
    close the file;
}
```

At first glance, this function seems simple enough, but it ignores all the following potential errors.

- What happens if the file can't be opened?
- What happens if the length of the file can't be determined?
- What happens if enough memory can't be allocated?
- What happens if the read fails?
- What happens if the file can't be closed?

To handle such cases, the readFile function must have more code to do error detection, reporting, and handling. Here is an example of what the function might look like.

```
errorCodeType readFile {
   initialize errorCode = 0;
   open the file;
    if (theFileIsOpen) {
       determine the length of the file;
       if (gotTheFileLength) {
           allocate that much memory;
           if (gotEnoughMemory) {
               read the file into memory;
               if (readFailed) {
                   errorCode = -1;
               }
            } else {
               errorCode = -2;
           }
       } else {
           errorCode = -3;
       close the file;
       if (theFileDidntClose && errorCode == 0) {
           errorCode = -4;
       } else {
```

```
errorCode = errorCode and -4;
}
else {
    errorCode = -5;
}
return errorCode;
}
```

There's so much error detection, reporting, and returning here that the original seven lines of code are lost in the clutter. Worse yet, the logical flow of the code has also been lost, thus making it difficult to tell whether the code is doing the right thing: Is the file really being closed if the function fails to allocate enough memory? It's even more difficult to ensure that the code continues to do the right thing when you modify the method three months after writing it. Many programmers solve this problem by simply ignoring it — errors are reported when their programs crash.

Exceptions enable you to write the main flow of your code and to deal with the exceptional cases elsewhere. If the readFile function used exceptions instead of traditional error-management techniques, it would look more like the following.

```
readFile {
   try {
       open the file;
       determine its size;
       allocate that much memory;
       read the file into memory;
       close the file;
   } catch (fileOpenFailed) {
      doSomethina:
   } catch (sizeDeterminationFailed) {
       doSomething;
   } catch (memoryAllocationFailed) {
       doSomething;
   } catch (readFailed) {
       doSomething;
   } catch (fileCloseFailed) {
       doSomething;
```

Note that exceptions don't spare you the effort of doing the work of detecting, reporting, and handling errors, but they do help you organize the work more effectively.

Advantage 2: Propagating Errors Up the Call Stack

A second advantage of exceptions is the ability to propagate error reporting up the call stack of methods. Suppose that the readFile method is the fourth method in a series of nested method calls made by the main program: method1 calls method2, which calls method3, which finally calls readFile.

```
method1 {
    call method2;
}
method2 {
```

```
call method3;
}
method3 {
    call readFile;
}
```

Suppose also that method1 is the only method interested in the errors that might occur within readFile. Traditional error-notification techniques force method2 and method3 to propagate the error codes returned by readFile up the call stack until the error codes finally reach method1—the only method that is interested in them.

```
method1 {
   errorCodeType error;
    error = call method2;
    if (error)
       doErrorProcessing;
   else
       proceed;
errorCodeType method2 {
   errorCodeType error;
   error = call method3;
   if (error)
       return error;
   else
       proceed;
errorCodeType method3 {
   errorCodeType error;
   error = call readFile;
    if (error)
       return error;
   else
       proceed;
```

Recall that the Java runtime environment searches backward through the call stack to find any methods that are interested in handling a particular exception. A method can duck any exceptions thrown within it, thereby allowing a method farther up the call stack to catch it. Hence, only the methods that care about errors have to worry about detecting errors.

```
method1 {
    try {
        call method2;
    } catch (exception e) {
        doErrorProcessing;
    }
}
method2 throws exception {
        call method3;
}
method3 throws exception {
        call readFile;
```

}

However, as the pseudocode shows, ducking an exception requires some effort on the part of the middleman methods. Any checked exceptions that can be thrown within a method must be specified in its throws clause.

Advantage 3: Grouping and Differentiating Error Types

Because all exceptions thrown within a program are objects, the grouping or categorizing of exceptions is a natural outcome of the class hierarchy. An example of a group of related exception classes in the Java platform are those defined in <code>java.io</code>— <code>IOException</code> and its descendants. <code>IOException</code> is the most general and represents any type of error that can occur when performing I/O. Its descendants represent more specific errors. For example, <code>FileNotFoundException</code> means that a file could not be located on disk.

A method can write specific handlers that can handle a very specific exception. The FileNotFoundException class has no descendants, so the following handler can handle only one type of exception.

```
catch (FileNotFoundException e) {
    ...
}
```

A method can catch an exception based on its group or general type by specifying any of the exception's superclasses in the catch statement. For example, to catch all I/O exceptions, regardless of their specific type, an exception handler specifies an IOException argument.

```
catch (IOException e) {
...
}
```

This handler will be able to catch all I/O exceptions, including FileNotFoundException, EOFException, and so on. You can find details about what occurred by querying the argument passed to the exception handler. For example, use the following to print the stack trace.

```
catch (IOException e) {
    // Output goes to System.err.
    e.printStackTrace();
    // Send trace to stdout.
    e.printStackTrace(System.out);
}
```

You could even set up an exception handler that handles any Exception with the handler here.

```
// A (too) general exception handler
catch (Exception e) {
   ...
}
```

The Exception class is close to the top of the Throwable class hierarchy. Therefore, this handler will catch many other exceptions in addition to those that the handler is intended to catch. You may want to handle exceptions this way if all you want your program to do, for example, is print out an error message for the user and then exit.

In most situations, however, you want exception handlers to be as specific as possible. The reason is that the first thing a handler must do is determine what type of exception occurred before it can decide on the best recovery strategy. In effect, by not catching specific errors, the handler must accommodate any possibility. Exception handlers that are too general can make code more error-prone by catching and handling exceptions that weren't anticipated by the programmer and for which the handler was not intended.

As noted, you can create groups of exceptions and handle exceptions in a general fashion, or you can use the specific exception type to differentiate exceptions and handle exceptions in an exact fashion.

Summary

A program can use exceptions to indicate that an error occurred. To throw an exception, use the throw statement and provide it with an exception object — a descendant of Throwable — to provide information about the specific error that occurred. A method that throws an uncaught, checked exception must include a throws clause in its declaration.

A program can catch exceptions by using a combination of the try, catch, and finally blocks.

- The try block identifies a block of code in which an exception can occur.
- The catch block identifies a block of code, known as an exception handler, that can handle a particular type of exception.
- The finally block identifies a block of code that is guaranteed to execute, and is the right place to close files, recover resources, and otherwise clean up after the code enclosed in the try block.

The try statement should contain at least one catch block or a finally block and may have multiple catch blocks.

The class of the exception object indicates the type of exception thrown. The exception object can contain further information about the error, including an error message. With exception chaining, an exception can point to the exception that caused it, which can in turn point to the exception that caused it, and so on.

Questions and Exercises

Questions

1. Is the following code legal?

```
try {
} finally {
}
```

2. What exception types can be caught by the following handler?

```
catch (Exception e) {
}
```

What is wrong with using this type of exception handler?

3. Is there anything wrong with the following exception handler as written? Will this code compile?

```
try {
} catch (Exception e) {
} catch (ArithmeticException a) {
}
```

- 4. Match each situation in the first list with an item in the second list.
- **a.** int[] A; A[0] = 0;
- **b.** The JVM starts running your program, but the JVM can't find the Java platform classes. (The Java platform classes reside in classes.zip or rt.jar.)
- c. A program is reading a stream and reaches the end of stream marker.
- **d.** Before closing the stream and after reaching the end of stream marker, a program tries to read the stream again.
- a. error
- **b.** __checked exception
- c. compile error
- **d.** no exception

Exercises

- **a.** Add a readList method to ListOfNumbers.java. This method should read in int values from a file, print each value, and append them to the end of the vector. You should catch all appropriate errors. You will also need a text file containing numbers to read in.
- **b.** Modify the following cat method so that it will compile.

```
public static void cat(File file) {
   RandomAccessFile input = null;
   String line = null;

try {
    input = new RandomAccessFile(file, "r");
    while ((line = input.readLine()) != null) {
```

```
System.out.println(line);
}
return;
} finally {
   if (input != null) {
      input.close();
   }
}
```

Check your answers.

Questions

1. Question: Is the following code legal?

```
try {
} finally {
}
```

Answer: Yes, it's legal — and very useful A try statement does not have to have a catch block if it has a finally block. If the code in the try statement has multiple exit points and no associated catch clauses, the code in the finally block is executed no matter how the try block is exited. Thus it makes sense to provide a finally block whenever there is code that *must always* be executed. This include resource recovery code, such as the code to close I/O streams.

2. Question: What exception types can be caught by the following handler?

```
catch (Exception e) {
```

What is wrong with using this type of exception handler? **Answer:** This handler catches exceptions of type <code>Exception</code>; therefore, it catches any exception. This can be a poor implementation because you are losing valuable information about the type of exception being thrown and making your code less efficient. As a result, your program may be forced to determine the type of exception before it can decide on the best recovery strategy.

3. Question: Is there anything wrong with this exception handler as written? Will this code compile?

```
try {
} catch (Exception e) {
} catch (ArithmeticException a) {
}
```

Answer: This first handler catches exceptions of type Exception; therefore, it catches any exception, including ArithmeticException. The second handler could never be reached. This code will not compile.

- **4. Question:** Match each situation in the first list with an item in the second list.
- **a.** int[] A; A[0] = 0;
- **b.** The JVM starts running your program, but the JVM can't find the Java platform classes. (The Java platform classes reside in classes.zip or rt.jar.)
- c. A program is reading a stream and reaches the end of stream marker.
- **d.** Before closing the stream and after reaching the end of stream marker, a program tries to read the stream again.
- a. error
- **b.** __checked exception
- **c.** __compile error
- **d.** no exception

Answer:

a. 3 (compile error). The array is not initialized and will not compile.

- **b.** 1 (error).
- **c.** 4 (no exception). When you read a stream, you expect there to be an end of stream marker. You should use exceptions to catch unexpected behavior in your program.
- **d.** 2 (checked exception).

Exercises

- **1. Exercise:** Add a readList method to ListOfNumbers.java. This method should read in int values from a file, print each value, and append them to the end of the vector. You should catch all appropriate errors. You will also need a text file containing numbers to read in. **Answer:** See ListOfNumbers2.java.
- **2. Exercise:** Modify the following cat method so that it will compile:

```
public static void cat(File file) {
    RandomAccessFile input = null;
    String line = null;

    try {
        input = new RandomAccessFile(file, "r");
        while ((line = input.readLine()) != null) {
            System.out.println(line);
        }
        return;
    } finally {
        if (input != null) {
            input.close();
        }
    }
}
```

Answer: The code to catch exceptions is shown in bold:

```
public static void cat(File file) {
    RandomAccessFile input = null;
    String line = null;
        input = new RandomAccessFile(file, "r");
        while ((line = input.readLine()) != null) {
           System.out.println(line);
        return;
    } catch(FileNotFoundException fnf) {
        System.err.format("File: %s not found%n", file);
    } catch(IOException e) {
        System.err.println(e.toString());
    } finally {
        if (input != null) {
            try {
                input.close();
            } catch(IOException io) {
            }
        }
    }
```

Lesson: Basic I/O

This lesson covers the Java platform classes used for basic I/O. It first focuses on *I/O Streams*, a powerful concept that greatly simplifies I/O operations. The lesson also looks at serialization, which lets a program write whole objects out to streams and read them back again. Then the lesson looks at file I/O and file system operations, including random access files.

Most of the classes covered in the I/O Streams section are in the java.io package. Most of the classes covered in the File I/O section are in the java.nio.file package.

I/O Streams

- Byte Streams handle I/O of raw binary data.
- <u>Character Streams</u> handle I/O of character data, automatically handling translation to and from the local character set.
- <u>Buffered Streams</u> optimize input and output by reducing the number of calls to the native API.
- Scanning and Formatting allows a program to read and write formatted text.
- I/O from the Command Line describes the Standard Streams and the Console object.
- <u>Data Streams</u> handle binary I/O of primitive data type and string values.
- Object Streams handle binary I/O of objects.

File I/O (Featuring NIO.2)

- What is a Path? examines the concept of a path on a file system.
- The Path Class introduces the cornerstone class of the java.nio.file package.
- Path Operations looks at methods in the Path class that deal with syntactic operations.
- File Operations introduces concepts common to many of the file I/O methods.
- Checking a File or Directory shows how to check a file's existence and its level of accessibility.
- Deleting a File or Directory.
- Copying a File or Directory.
- Moving a File or Directory.
- Managing Metadata explains how to read and set file attributes.
- Reading, Writing and Creating Files shows the stream and channel methods for reading and writing files.
- Random Access Files shows how to read or write files in a non-sequentially manner.
- <u>Creating and Reading Directories</u> covers API specific to directories, such as how to list a directory's contents.
- Links, Symbolic or Otherwise covers issues specific to symbolic and hard links.
- Walking the File Tree demonstrates how to recursively visit each file and directory in a file tree.
- <u>Finding Files</u> shows how to search for files using pattern matching.
- <u>Watching a Directory for Changes</u> shows how to use the watch service to detect files that are added, removed or updated in one or more directories.
- Other Useful Methods covers important API that didn't fit elsewhere in the lesson.
- <u>Legacy File I/O Code</u> shows how to leverage Path functionality if you have older code using the java.io.File class. A table mapping java.io.File API to java.nio.file API is provided.

Summary

A summary of the key points covered in this trail.

Questions and Exercises

Test what you've learned in this trail by trying these questions and exercises.

The I/O Classes in Action

Many of the examples in the next trail, <u>Custom Networking</u> use the I/O streams described in this lesson to read from and write to network connections.

Security consideration: Some I/O operations are subject to approval by the current security manager. The example programs contained in these lessons are standalone applications, which by default have no security manager. To work in an applet, most of these examples would have to be modified. See What Applets Can and Cannot Do for information about the security restrictions placed on applets.

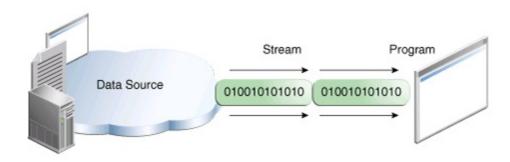
Note: See <u>online version of topics</u> in this ebook to download complete source code.

I/O Streams

An *I/O Stream* represents an input source or an output destination. A stream can represent many different kinds of sources and destinations, including disk files, devices, other programs, and memory arrays.

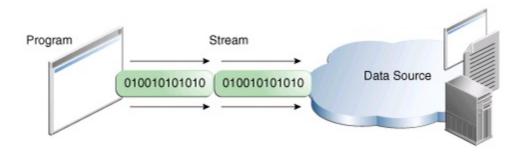
Streams support many different kinds of data, including simple bytes, primitive data types, localized characters, and objects. Some streams simply pass on data; others manipulate and transform the data in useful ways.

No matter how they work internally, all streams present the same simple model to programs that use them: A stream is a sequence of data. A program uses an *input stream* to read data from a source, one item at a time:



Reading information into a program.

A program uses an *output stream* to write data to a destination, one item at time:



Writing information from a program.

In this lesson, we'll see streams that can handle all kinds of data, from primitive values to advanced objects.

The data source and data destination pictured above can be anything that holds, generates, or consumes data. Obviously this includes disk files, but a source or destination can also be another program, a peripheral device, a network socket, or an array.

In the next section, we'll use the most basic kind of streams, byte streams, to demonstrate the common operations of Stream I/O. For sample input, we'll use the example file xanadu.txt, which contains the following verse:

In Xanadu did Kubla Khan
A stately pleasure-dome decree:
Where Alph, the sacred river, ran
Through caverns measureless to man
Down to a sunless sea.

Byte Streams

Programs use *byte streams* to perform input and output of 8-bit bytes. All byte stream classes are descended from <u>InputStream</u> and <u>OutputStream</u>.

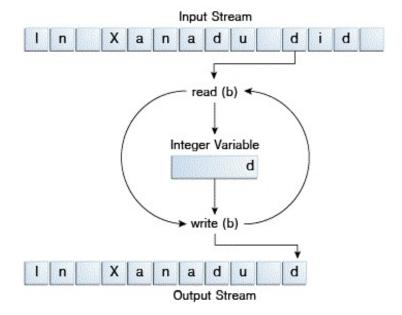
There are many byte stream classes. To demonstrate how byte streams work, we'll focus on the file I/O byte streams, <u>FileInputStream</u> and <u>FileOutputStream</u>. Other kinds of byte streams are used in much the same way; they differ mainly in the way they are constructed.

Using Byte Streams

We'll explore FileInputStream and FileOutputStream by examining an example program named CopyBytes, which uses byte streams to copy xanadu.txt, one byte at a time.

```
import java.io.FileInputStream;
import java.io.FileOutputStream;
import java.io.IOException;
public class CopyBytes {
   public static void main(String[] args) throws IOException {
        FileInputStream in = null;
        FileOutputStream out = null;
        try {
           in = new FileInputStream("xanadu.txt");
            out = new FileOutputStream("outagain.txt");
            int c;
            while ((c = in.read()) != -1) {
              out.write(c);
            }
        } finally {
           if (in != null) {
               in.close();
           if (out != null) {
               out.close();
       }
```

CopyBytes spends most of its time in a simple loop that reads the input stream and writes the output stream, one byte at a time, as shown in the following figure.



Simple byte stream input and output.

Always Close Streams

Closing a stream when it's no longer needed is very important — so important that <code>copyBytes</code> uses a <code>finally</code> block to guarantee that both streams will be closed even if an error occurs. This practice helps avoid serious resource leaks.

One possible error is that <code>CopyBytes</code> was unable to open one or both files. When that happens, the stream variable corresponding to the file never changes from its initial <code>null</code> value. That's why <code>CopyBytes</code> makes sure that each stream variable contains an object reference before invoking <code>close</code>.

When Not to Use Byte Streams

CopyBytes seems like a normal program, but it actually represents a kind of low-level I/O that you should avoid. Since xanadu.txt contains character data, the best approach is to use character streams, as discussed in the next section. There are also streams for more complicated data types. Byte streams should only be used for the most primitive I/O.

So why talk about byte streams? Because all other stream types are built on byte streams.

Character Streams

The Java platform stores character values using Unicode conventions. Character stream I/O automatically translates this internal format to and from the local character set. In Western locales, the local character set is usually an 8-bit superset of ASCII.

For most applications, I/O with character streams is no more complicated than I/O with byte streams. Input and output done with stream classes automatically translates to and from the local character set. A program that uses character streams in place of byte streams automatically adapts to the local character set and is ready for internationalization — all without extra effort by the programmer.

If internationalization isn't a priority, you can simply use the character stream classes without paying much attention to character set issues. Later, if internationalization becomes a priority, your program can be adapted without extensive recoding. See the <u>Internationalization</u> trail for more information.

Using Character Streams

All character stream classes are descended from <u>Reader</u> and <u>Writer</u>. As with byte streams, there are character stream classes that specialize in file I/O: <u>FileReader</u> and <u>FileWriter</u>. The CopyCharacters example illustrates these classes.

```
import java.io.FileReader;
import java.io.FileWriter;
import java.io.IOException;
public class CopyCharacters {
   public static void main(String[] args) throws IOException {
       FileReader inputStream = null;
       FileWriter outputStream = null;
       trv {
           inputStream = new FileReader("xanadu.txt");
           outputStream = new FileWriter("characteroutput.txt");
            while ((c = inputStream.read()) != -1) {
               outputStream.write(c);
        } finally {
           if (inputStream != null) {
               inputStream.close();
           if (outputStream != null) {
               outputStream.close();
       }
```

CopyCharacters is very similar to CopyBytes. The most important difference is that CopyCharacters uses FileReader and FileWriter for input and output in place of FileInputStream and FileOutputStream. Notice that both CopyBytes and CopyCharacters use an int variable to read to and write from. However, in CopyCharacters, the int variable holds a

character value in its last 16 bits; in CopyBytes, the int variable holds a byte value in its last 8 bits.

Character Streams that Use Byte Streams

Character streams are often "wrappers" for byte streams. The character stream uses the byte stream to perform the physical I/O, while the character stream handles translation between characters and bytes. FileReader, for example, uses FileInputStream, while FileWriter uses FileOutputStream.

There are two general-purpose byte-to-character "bridge" streams: <u>InputStreamReader</u> and <u>OutputStreamWriter</u>. Use them to create character streams when there are no prepackaged character stream classes that meet your needs. The <u>sockets lesson</u> in the <u>networking trail</u> shows how to create character streams from the byte streams provided by socket classes.

Line-Oriented I/O

Character I/O usually occurs in bigger units than single characters. One common unit is the line: a string of characters with a line terminator at the end. A line terminator can be a carriage-return/line-feed sequence ("\r\n"), a single carriage-return ("\r"), or a single line-feed ("\n"). Supporting all possible line terminators allows programs to read text files created on any of the widely used operating systems.

Let's modify the CopyCharacters example to use line-oriented I/O. To do this, we have to use two classes we haven't seen before, <u>BufferedReader</u> and <u>PrintWriter</u>. We'll explore these classes in greater depth in <u>Buffered I/O</u> and <u>Formatting</u>. Right now, we're just interested in their support for line-oriented I/O.

The CopyLines example invokes BufferedReader.readLine and PrintWriter.println to do input and output one line at a time.

```
import java.io.FileReader;
import java.io.FileWriter;
import java.io.BufferedReader;
import java.io.PrintWriter;
import java.io.IOException;
public class CopyLines {
    public static void main(String[] args) throws IOException {
       BufferedReader inputStream = null;
       PrintWriter outputStream = null;
           inputStream = new BufferedReader(new FileReader("xanadu.txt"));
            outputStream = new PrintWriter(new FileWriter("characteroutput.txt"));
            String 1:
            while ((l = inputStream.readLine()) != null) {
               outputStream.println(1);
            }
        } finally {
           if (inputStream != null) {
               inputStream.close();
```

```
if (outputStream != null) {
         outputStream.close();
     }
}
```

Invoking readline returns a line of text with the line. Copylines outputs each line using println, which appends the line terminator for the current operating system. This might not be the same line terminator that was used in the input file.

There are many ways to structure text input and output beyond characters and lines. For more information, see <u>Scanning and Formatting</u>.

Buffered Streams

Most of the examples we've seen so far use *unbuffered* I/O. This means each read or write request is handled directly by the underlying OS. This can make a program much less efficient, since each such request often triggers disk access, network activity, or some other operation that is relatively expensive.

To reduce this kind of overhead, the Java platform implements *buffered* I/O streams. Buffered input streams read data from a memory area known as a *buffer*; the native input API is called only when the buffer is empty. Similarly, buffered output streams write data to a buffer, and the native output API is called only when the buffer is full.

A program can convert an unbuffered stream into a buffered stream using the wrapping idiom we've used several times now, where the unbuffered stream object is passed to the constructor for a buffered stream class. Here's how you might modify the constructor invocations in the CopyCharacters example to use buffered I/O:

```
inputStream = new BufferedReader(new FileReader("xanadu.txt"));
outputStream = new BufferedWriter(new FileWriter("characteroutput.txt"));
```

There are four buffered stream classes used to wrap unbuffered streams: BufferedInputStream and BufferedWeiter create buffered byte streams, while BufferedWeiter create buffered character streams.

Flushing Buffered Streams

It often makes sense to write out a buffer at critical points, without waiting for it to fill. This is known as *flushing* the buffer.

Some buffered output classes support *autoflush*, specified by an optional constructor argument. When autoflush is enabled, certain key events cause the buffer to be flushed. For example, an autoflush PrintWriter object flushes the buffer on every invocation of println or format. See <u>Formatting</u> for more on these methods.

To flush a stream manually, invoke its flush method. The flush method is valid on any output stream, but has no effect unless the stream is buffered.

Scanning and Formatting

Programming I/O often involves translating to and from the neatly formatted data humans like to work with. To assist you with these chores, the Java platform provides two APIs. The <u>scanner</u> API breaks input into individual tokens associated with bits of data. The <u>formatting</u> API assembles data into nicely formatted, human-readable form.

Scanning

Objects of type <u>scanner</u> are useful for breaking down formatted input into tokens and translating individual tokens according to their data type.

Breaking Input into Tokens

By default, a scanner uses white space to separate tokens. (White space characters include blanks, tabs, and line terminators. For the full list, refer to the documentation for Character.isWhitespace.) To see how scanning works, let's look at Scanxan, a program that reads the individual words in xanadu.txt and prints them out, one per line.

Notice that Scanxan invokes Scanner's close method when it is done with the scanner object. Even though a scanner is not a stream, you need to close it to indicate that you're done with its underlying stream.

The output of Scanxan looks like this:

```
In
Xanadu
did
Kubla
Khan
A
stately
pleasure-dome
...
```

To use a different token separator, invoke useDelimiter(), specifying a regular expression. For example, suppose you wanted the token separator to be a comma, optionally followed by white space. You would invoke,

```
s.useDelimiter(",\\s*");
```

Translating Individual Tokens

The scanxan example treats all input tokens as simple string values. scanner also supports tokens for all of the Java language's primitive types (except for char), as well as BigInteger and BigDecimal. Also, numeric values can use thousands separators. Thus, in a US locale, Scanner correctly reads the string "32,767" as representing an integer value.

We have to mention the locale, because thousands separators and decimal symbols are locale specific. So, the following example would not work correctly in all locales if we didn't specify that the scanner should use the us locale. That's not something you usually have to worry about, because your input data usually comes from sources that use the same locale as you do. But this example is part of the Java Tutorial and gets distributed all over the world.

The ScanSum example reads a list of double values and adds them up. Here's the source:

```
import java.io.FileReader;
import java.io.BufferedReader;
import java.io.IOException;
import java.util.Scanner;
import java.util.Locale;
public class ScanSum {
    public static void main(String[] args) throws IOException {
        Scanner s = null;
        double sum = 0;
           s = new Scanner(new BufferedReader(new FileReader("usnumbers.txt")));
            s.useLocale(Locale.US);
            while (s.hasNext()) {
               if (s.hasNextDouble()) {
                   sum += s.nextDouble();
                } else {
                   s.next();
            }
        } finally {
            s.close();
        System.out.println(sum);
```

And here's the sample input file, usnumbers.txt

```
8.5
32,767
3.14159
1,000,000.1
```

The output string is "1032778.74159". The period will be a different character in some locales, because <code>System.out</code> is a <code>PrintStream</code> object, and that class doesn't provide a way to override the default locale. We could override the locale for the whole program — or we could just use formatting, as described in the next topic, Formatting.

Formatting

Stream objects that implement formatting are instances of either <u>PrintWriter</u>, a character stream class, or <u>PrintStream</u>, a byte stream class.

Note: The only PrintStream objects you are likely to need are <u>System.out</u> and <u>System.err</u>. (See <u>I/O from the Command Line</u> for more on these objects.) When you need to create a formatted output stream, instantiate PrintWriter, not PrintStream.

Like all byte and character stream objects, instances of PrintStream and PrintWriter implement a standard set of write methods for simple byte and character output. In addition, both PrintStream and PrintWriter implement the same set of methods for converting internal data into formatted output. Two levels of formatting are provided:

- print and println format individual values in a standard way.
- format formats almost any number of values based on a format string, with many options for precise formatting.

The print and println Methods

Invoking print or println outputs a single value after converting the value using the appropriate tostring method. We can see this in the Root example:

```
public class Root {
    public static void main(String[] args) {
        int i = 2;
        double r = Math.sqrt(i);

        System.out.print("The square root of ");
        System.out.print(i);
        System.out.print(" is ");
        System.out.print(r);
        System.out.print(r);
        System.out.println(".");

        i = 5;
        r = Math.sqrt(i);
        System.out.println("The square root of " + i + " is " + r + ".");
    }
}
```

Here is the output of Root:

```
The square root of 2 is 1.4142135623730951.
The square root of 5 is 2.23606797749979.
```

The i and r variables are formatted twice: the first time using code in an overload of print, the second time by conversion code automatically generated by the Java compiler, which also utilizes tostring. You can format any value this way, but you don't have much control over the results.

The format Method

The format method formats multiple arguments based on a *format string*. The format string consists of static text embedded with *format specifiers*; except for the format specifiers, the format string is output unchanged.

Format strings support many features. In this tutorial, we'll just cover some basics. For a complete description, see <u>format string syntax</u> in the API specification.

The Root2 example formats two values with a single format invocation:

```
public class Root2 {
   public static void main(String[] args) {
      int i = 2;
      double r = Math.sqrt(i);

      System.out.format("The square root of %d is %f.%n", i, r);
   }
}
```

Here is the output:

```
The square root of 2 is 1.414214.
```

Like the three used in this example, all format specifiers begin with a % and end with a 1- or 2-character *conversion* that specifies the kind of formatted output being generated. The three conversions used here are:

- d formats an integer value as a decimal value.
- f formats a floating point value as a decimal value.
- n outputs a platform-specific line terminator.

Here are some other conversions:

- x formats an integer as a hexadecimal value.
- s formats any value as a string.
- tB formats an integer as a locale-specific month name.

There are many other conversions.

Note:

Except for %% and %n, all format specifiers must match an argument. If they don't, an exception is thrown.

In the Java programming language, the \n escape always generates the linefeed character (\u000A). Don't use \n unless you specifically want a linefeed character. To get the correct line separator for

the local platform, use %n.

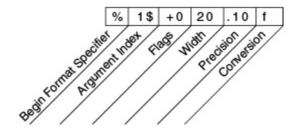
In addition to the conversion, a format specifier can contain several additional elements that further customize the formatted output. Here's an example, Format, that uses every possible kind of element.

```
public class Format {
    public static void main(String[] args) {
        System.out.format("%f, %1$+020.10f %n", Math.PI);
    }
}
```

Here's the output:

```
3.141593, +00000003.1415926536
```

The additional elements are all optional. The following figure shows how the longer specifier breaks down into elements.



Elements of a Format Specifier.

The elements must appear in the order shown. Working from the right, the optional elements are:

- **Precision**. For floating point values, this is the mathematical precision of the formatted value. For s and other general conversions, this is the maximum width of the formatted value; the value is right-truncated if necessary.
- Width. The minimum width of the formatted value; the value is padded if necessary. By default the value is left-padded with blanks.
- **Flags** specify additional formatting options. In the Format example, the + flag specifies that the number should always be formatted with a sign, and the 0 flag specifies that 0 is the padding character. Other flags include (pad on the right) and , (format number with locale-specific thousands separators). Note that some flags cannot be used with certain other flags or with certain conversions.
- The **Argument Index** allows you to explicitly match a designated argument. You can also specify < to match the same argument as the previous specifier. Thus the example could have said: System.out.format("%f, %<+020.10f %n", Math.PI);

VO from the Command Line

A program is often run from the command line and interacts with the user in the command line environment. The Java platform supports this kind of interaction in two ways: through the Standard Streams and through the Console.

Standard Streams

Standard Streams are a feature of many operating systems. By default, they read input from the keyboard and write output to the display. They also support I/O on files and between programs, but that feature is controlled by the command line interpreter, not the program.

The Java platform supports three Standard Streams: *Standard Input*, accessed through <code>system.in</code>; *Standard Output*, accessed through <code>system.out</code>; and *Standard Error*, accessed through <code>system.err</code>. These objects are defined automatically and do not need to be opened. Standard Output and Standard Error are both for output; having error output separately allows the user to divert regular output to a file and still be able to read error messages. For more information, refer to the documentation for your command line interpreter.

You might expect the Standard Streams to be character streams, but, for historical reasons, they are byte streams. System.out and System.err are defined as <u>PrintStream</u> objects. Although it is technically a byte stream, <u>PrintStream</u> utilizes an internal character stream object to emulate many of the features of character streams.

By contrast, System.in is a byte stream with no character stream features. To use Standard Input as a character stream, wrap System.in in InputStreamReader.

InputStreamReader cin = new InputStreamReader(System.in);

The Console

A more advanced alternative to the Standard Streams is the Console. This is a single, predefined object of type <u>Console</u> that has most of the features provided by the Standard Streams, and others besides. The Console is particularly useful for secure password entry. The Console object also provides input and output streams that are true character streams, through its reader and writer methods.

Before a program can use the Console, it must attempt to retrieve the Console object by invoking <code>system.console()</code>. If the Console object is available, this method returns it. If <code>system.console</code> returns <code>NULL</code>, then Console operations are not permitted, either because the OS doesn't support them or because the program was launched in a noninteractive environment.

The Console object supports secure password entry through its readPassword method. This method helps secure password entry in two ways. First, it suppresses echoing, so the password is not visible on the user's screen. Second, readPassword returns a character array, not a String, so the password

can be overwritten, removing it from memory as soon as it is no longer needed.

The Password example is a prototype program for changing a user's password. It demonstrates several Console methods.

```
import java.io.Console;
import java.util.Arrays;
import java.io.IOException;
public class Password {
    public static void main (String args[]) throws IOException {
        Console c = System.console();
        if (c == null) {
            System.err.println("No console.");
            System.exit(1);
        String login = c.readLine("Enter your login: ");
        char [] oldPassword = c.readPassword("Enter your old password: ");
        if (verify(login, oldPassword)) {
            boolean noMatch;
            do {
                char [] newPassword1 = c.readPassword("Enter your new password: ");
                char [] newPassword2 = c.readPassword("Enter new password again: ");
                noMatch = ! Arrays.equals(newPassword1, newPassword2);
                if (noMatch) {
                    c.format("Passwords don't match. Try again.%n");
                } else {
                    change(login, newPassword1);
                    c.format("Password for %s changed.%n", login);
                Arrays.fill(newPassword1, ' ');
                Arrays.fill(newPassword2, ' ');
            } while (noMatch);
        Arrays.fill(oldPassword, ' ');
    // Dummy change method.
    static boolean verify(String login, char[] password) {
        // This method always returns
        // true in this example.
        // Modify this method to verify
        // password according to your rules.
        return true;
    // Dummy change method.
    static void change(String login, char[] password) {
        // Modify this method to change
        // password according to your rules.
```

The Password class follows these steps:

- 1. Attempt to retrieve the Console object. If the object is not available, abort.
- 2. Invoke Console.readLine to prompt for and read the user's login name.

- **3.** Invoke Console.readPassword to prompt for and read the user's existing password.
- **4.** Invoke verify to confirm that the user is authorized to change the password. (In this example, verify is a dummy method that always returns true.)
- **5.** Repeat the following steps until the user enters the same password twice:
- **a.** Invoke Console.readPassword twice to prompt for and read a new password.
- **b.** If the user entered the same password both times, invoke change to change it. (Again, change is a dummy method.)
- c. Overwrite both passwords with blanks.
- **6.** Overwrite the old password with blanks.

Data Streams

Data streams support binary I/O of primitive data type values (boolean, char, byte, short, int, long, float, and double) as well as String values. All data streams implement either the <u>DataInput</u> interface or the <u>DataOutput</u> interface. This section focuses on the most widely-used implementations of these interfaces, <u>DataInputStream</u> and <u>DataOutputStream</u>.

The DataStreams example demonstrates data streams by writing out a set of data records, and then reading them in again. Each record consists of three values related to an item on an invoice, as shown in the following table:

Order in record	Data tyne	Data description	Output Method	Input Method	Sa V
1	double	Item price	DataOutputStream.writeDouble	DataInputStream.readDouble	19
2	int	Unit count	DataOutputStream.writeInt	DataInputStream.readInt	12
3	String	Item description	DataOutputStream.writeUTF	DataInputStream.readUTF	"J T- Sh

Let's examine crucial code in DataStreams. First, the program defines some constants containing the name of the data file and the data that will be written to it:

```
static final String dataFile = "invoicedata";

static final double[] prices = { 19.99, 9.99, 15.99, 3.99, 4.99 };

static final int[] units = { 12, 8, 13, 29, 50 };

static final String[] descs = {
    "Java T-shirt",
    "Java Mug",
    "Duke Juggling Dolls",
    "Java Pin",
    "Java Key Chain"
};
```

Then DataStreams opens an output stream. Since a DataOutputStream can only be created as a wrapper for an existing byte stream object, DataStreams provides a buffered file output byte stream.

DataStreams writes out the records and closes the output stream.

```
for (int i = 0; i < prices.length; i ++) {
   out.writeDouble(prices[i]);
   out.writeInt(units[i]);
   out.writeUTF(descs[i]);</pre>
```

}

The writeUTF method writes out string values in a modified form of UTF-8. This is a variable-width character encoding that only needs a single byte for common Western characters.

Now DataStreams reads the data back in again. First it must provide an input stream, and variables to hold the input data. Like DataOutputStream, DataInputStream must be constructed as a wrapper for a byte stream.

Now DataStreams can read each record in the stream, reporting on the data it encounters.

```
try {
    while (true) {
        price = in.readDouble();
        unit = in.readInt();
        desc = in.readUTF();
        System.out.format("You ordered %d" + " units of %s at $%.2f%n",
            unit, desc, price);
        total += unit * price;
    }
} catch (EOFException e) {
}
```

Notice that DataStreams detects an end-of-file condition by catching EOFException, instead of testing for an invalid return value. All implementations of DataInput methods use EOFException instead of return values.

Also notice that each specialized write in DataStreams is exactly matched by the corresponding specialized read. It is up to the programmer to make sure that output types and input types are matched in this way: The input stream consists of simple binary data, with nothing to indicate the type of individual values, or where they begin in the stream.

DataStreams uses one very bad programming technique: it uses floating point numbers to represent monetary values. In general, floating point is bad for precise values. It's particularly bad for decimal fractions, because common values (such as 0.1) do not have a binary representation.

The correct type to use for currency values is <u>java.math.BigDecimal</u>. Unfortunately, BigDecimal is an object type, so it won't work with data streams. However, BigDecimal *will* work with object streams, which are covered in the next section.

Object Streams

Just as data streams support I/O of primitive data types, object streams support I/O of objects. Most, but not all, standard classes support serialization of their objects. Those that do implement the marker interface Serializable.

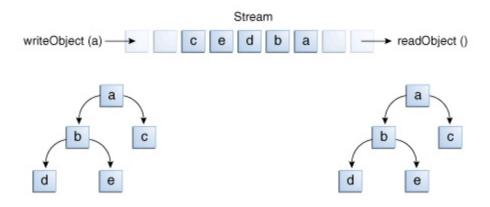
The object stream classes are <u>ObjectInputStream</u> and <u>ObjectOutputStream</u>. These classes implement <u>ObjectInput</u> and <u>ObjectOutput</u>, which are subinterfaces of DataInput and DataOutput. That means that all the primitive data I/O methods covered in <u>Data Streams</u> are also implemented in object streams. So an object stream can contain a mixture of primitive and object values. The ObjectStreams example illustrates this. ObjectStreams creates the same application as DataStreams, with a couple of changes. First, prices are now <u>BigDecimal</u>Objects, to better represent fractional values. Second, a <u>Calendar</u> object is written to the data file, indicating an invoice date.

If readObject() doesn't return the object type expected, attempting to cast it to the correct type may throw a ClassNotFoundException. In this simple example, that can't happen, so we don't try to catch the exception. Instead, we notify the compiler that we're aware of the issue by adding ClassNotFoundException to the main method's throws clause.

Output and Input of Complex Objects

The writeObject and readObject methods are simple to use, but they contain some very sophisticated object management logic. This isn't important for a class like Calendar, which just encapsulates primitive values. But many objects contain references to other objects. If readObject is to reconstitute an object from a stream, it has to be able to reconstitute all of the objects the original object referred to. These additional objects might have their own references, and so on. In this situation, writeObject traverses the entire web of object references and writes all objects in that web onto the stream. Thus a single invocation of writeObject can cause a large number of objects to be written to the stream.

This is demonstrated in the following figure, where writeObject is invoked to write a single object named **a**. This object contains references to objects **b** and **c**, while **b** contains references to **d** and **e**. Invoking writeObject(a) writes not just **a**, but all the objects necessary to reconstitute **a**, so the other four objects in this web are written also. When **a** is read back by readobject, the other four objects are read back as well, and all the original object references are preserved.



I/O of multiple referred-to objects

You might wonder what happens if two objects on the same stream both contain references to a single object. Will they both refer to a single object when they're read back? The answer is "yes." A stream can only contain one copy of an object, though it can contain any number of references to it. Thus if you explicitly write an object to a stream twice, you're really writing only the reference twice. For example, if the following code writes an object ob twice to a stream:

```
Object ob = new Object();
out.writeObject(ob);
out.writeObject(ob);
```

Each writeObject has to be matched by a readObject, so the code that reads the stream back will look something like this:

```
Object ob1 = in.readObject();
Object ob2 = in.readObject();
```

This results in two variables, ob1 and ob2, that are references to a single object.

However, if a single object is written to two different streams, it is effectively duplicated — a single program reading both streams back will see two distinct objects.

File I/O (Featuring NIO.2)

Note: This tutorial reflects the file I/O mechanism introduced in the JDK 7 release. The Java SE 6 version of the File I/O tutorial was brief, but you can download the <u>Java SE Tutorial 2008-03-14</u> version of the tutorial which contains the earlier File I/O content.

The java.nio.file package and its related package, java.nio.file.attribute, provide comprehensive support for file I/O and for accessing the default file system. Though the API has many classes, you need to focus on only a few entry points. You will see that this API is very intuitive and easy to use.

The tutorial starts by asking <u>what is a path?</u> Then, the <u>Path class</u>, the primary entry point for the package, is introduced. Methods in the <u>Path class</u> relating to <u>syntactic operations</u> are explained. The tutorial then moves on to the other primary class in the package, the <u>Files</u> class, which contains methods that deal with file operations. First, some concepts common to many <u>file operations</u> are introduced. The tutorial then covers methods for <u>checking</u>, <u>deleting</u>, <u>copying</u>, and <u>moving</u> files.

The tutorial shows how <u>metadata</u> is managed, before moving on to <u>file I/O</u> and <u>directory I/O</u>. <u>Random access files</u> are explained and issues specific to <u>symbolic and hard links</u> are examined.

Next, some of the very powerful, but more advanced, topics are covered. First, the capability to recursively walk the file tree is demonstrated, followed by information about how to search for files using wild cards. Next, how to watch a directory for changes is explained and demonstrated. Then, methods that didn't fit elsewhere are given some attention.

Finally, if you have file I/O code written prior to the Java SE 7 release, there is a <u>map from the old</u> <u>API to the new API</u>, as well as important information about the File.toPath method for developers who would like to <u>leverage the new API without rewriting existing code</u>.

What Is a Path? (And Other File System Facts)

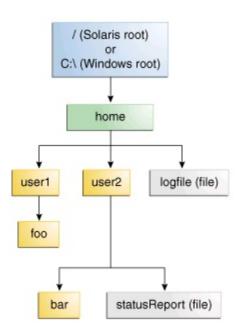
A file system stores and organizes files on some form of media, generally one or more hard drives, in such a way that they can be easily retrieved. Most file systems in use today store the files in a tree (or *hierarchical*) structure. At the top of the tree is one (or more) root nodes. Under the root node, there are files and directories (*folders* in Microsoft Windows). Each directory can contain files and subdirectories, which in turn can contain files and subdirectories, and so on, potentially to an almost limitless depth.

This section covers the following:

- What Is a Path?
- Relative or Absolute?
- Symbolic Links

What Is a Path?

The following figure shows a sample directory tree containing a single root node. Microsoft Windows supports multiple root nodes. Each root node maps to a volume, such as C:\ or D:\. The Solaris OS supports a single root node, which is denoted by the slash character, /.



Sample Directory Structure

A file is identified by its path through the file system, beginning from the root node. For example, the statusReport file in the previous figure is described by the following notation in the Solaris OS:

/home/sally/statusReport

In Microsoft Windows, statusReport is described by the following notation:

The character used to separate the directory names (also called the *delimiter*) is specific to the file system: The Solaris OS uses the forward slash (/), and Microsoft Windows uses the backslash slash (/).

Relative or Absolute?

A path is either *relative* or *absolute*. An absolute path always contains the root element and the complete directory list required to locate the file. For example, /home/sally/statusReport is an absolute path. All of the information needed to locate the file is contained in the path string.

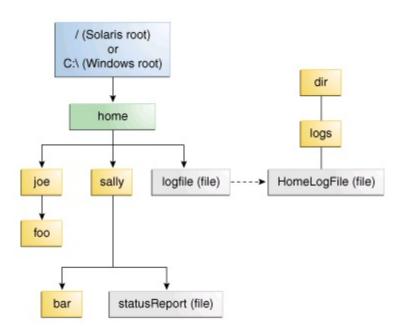
A relative path needs to be combined with another path in order to access a file. For example, joe/foo is a relative path. Without more information, a program cannot reliably locate the joe/foo directory in the file system.

Symbolic Links

File system objects are most typically directories or files. Everyone is familiar with these objects. But some file systems also support the notion of symbolic links. A symbolic link is also referred to as a *symlink* or a *soft link*.

A *symbolic link* is a special file that serves as a reference to another file. For the most part, symbolic links are transparent to applications, and operations on symbolic links are automatically redirected to the target of the link. (The file or directory being pointed to is called the *target* of the link.) Exceptions are when a symbolic link is deleted, or renamed in which case the link itself is deleted, or renamed and not the target of the link.

In the following figure, logFile appears to be a regular file to the user, but it is actually a symbolic link to dir/logs/HomeLogFile. HomeLogFile is the target of the link.



Example of a Symbolic Link.

A symbolic link is usually transparent to the user. Reading or writing to a symbolic link is the same as reading or writing to any other file or directory.

The phrase *resolving a link* means to substitute the actual location in the file system for the symbolic link. In the example, resolving <code>logFile</code> yields <code>dir/logs/HomeLogFile</code>.

In real-world scenarios, most file systems make liberal use of symbolic links. Occasionally, a carelessly created symbolic link can cause a circular reference. A circular reference occurs when the target of a link points back to the original link. The circular reference might be indirect: directory a points to directory b, which points to directory c, which contains a subdirectory pointing back to directory a. Circular references can cause havoc when a program is recursively walking a directory structure. However, this scenario has been accounted for and will not cause your program to loop infinitely.

The next page discusses the heart of file I/O support in the Java programming language, the Path class.

The Path Class

The <u>Path</u> class, introduced in the Java SE 7 release, is one of the primary entrypoints of the <u>java.nio.file</u> package. If your application uses file I/O, you will want to learn about the powerful features of this class.

Version Note: If you have pre-JDK7 code that uses <code>java.io.File</code>, you can still take advantage of the <code>Path</code> class functionality by using the <code>File.toPath</code> method. See <u>Legacy File I/O Code</u> for more information.

As its name implies, the Path class is a programmatic representation of a path in the file system. A Path object contains the file name and directory list used to construct the path, and is used to examine, locate, and manipulate files.

A Path instance reflects the underlying platform. In the Solaris OS, a Path uses the Solaris syntax (/home/joe/foo) and in Microsoft Windows, a Path uses the Windows syntax (C:\home\joe\foo). A Path is not system independent. You cannot compare a Path from a Solaris file system and expect it to match a Path from a Windows file system, even if the directory structure is identical and both instances locate the same relative file.

The file or directory corresponding to the Path might not exist. You can create a Path instance and manipulate it in various ways: you can append to it, extract pieces of it, compare it to another path. At the appropriate time, you can use the methods in the <u>Files</u> class to check the existence of the file corresponding to the Path, create the file, open it, delete it, change its permissions, and so on.

The next page examines the Path class in detail.

Path Operations

The <u>Path</u> class includes various methods that can be used to obtain information about the path, access elements of the path, convert the path to other forms, or extract portions of a path. There are also methods for matching the path string and methods for removing redundancies in a path. This lesson addresses these <u>Path</u> methods, sometimes called *syntactic* operations, because they operate on the path itself and don't access the file system.

This section covers the following:

- Creating a Path
- Retrieving Information About a Path
- Removing Redundancies from a Path
- Converting a Path
- Joining Two Paths
- Creating a Path Between Two Paths
- Comparing Two Paths

Creating a Path

A Path instance contains the information used to specify the location of a file or directory. At the time it is defined, a Path is provided with a series of one or more names. A root element or a file name might be included, but neither are required. A Path might consist of just a single directory or file name.

You can easily create a Path object by using one of the following get methods from the <u>Paths</u> (note the plural) helper class:

```
Path p1 = Paths.get("/tmp/foo");
Path p2 = Paths.get(args[0]);
Path p3 = Paths.get(URI.create("file:///Users/joe/FileTest.java"));
```

The Paths.get method is shorthand for the following code:

```
Path p4 = FileSystems.getDefault().getPath("/users/sally");
```

The following example creates /u/joe/logs/foo.log assuming your home directory is /u/joe, or $C:\joe\logs\foo.log$ if you are on Windows.

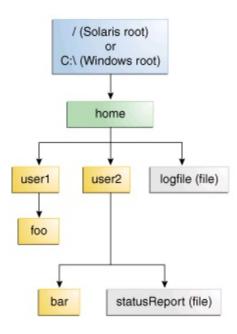
```
Path p5 = Paths.get(System.getProperty("user.home"),"logs", "foo.log");
```

Retrieving Information about a Path

You can think of the Path as storing these name elements as a sequence. The highest element in the directory structure would be located at index 0. The lowest element in the directory structure would

be located at index [n-1], where n is the number of name elements in the Path. Methods are available for retrieving individual elements or a subsequence of the Path using these indexes.

The examples in this lesson use the following directory structure.



Sample Directory Structure

The following code snippet defines a Path instance and then invokes several methods to obtain information about the path:

```
// None of these methods requires that the file corresponding
// to the Path exists.
// Microsoft Windows syntax
Path path = Paths.get("C:\\home\\joe\\foo");

// Solaris syntax
Path path = Paths.get("/home/joe/foo");

System.out.format("toString: %s%n", path.toString());
System.out.format("getFileName: %s%n", path.getFileName());
System.out.format("getName(0): %s%n", path.getName(0));
System.out.format("getNameCount: %d%n", path.getNameCount());
System.out.format("subpath(0,2): %s%n", path.subpath(0,2));
System.out.format("getParent: %s%n", path.getParent());
System.out.format("getRoot: %s%n", path.getRoot());
```

Here is the output for both Windows and the Solaris OS:

Method Invoked	Returns in the Solaris OS	Returns in Microsoft Windows	Comment
			Returns the string representation of the Path. If t
			path was created using
			Filesystems.getDefault().getPath(Strin

toString	/home/joe/foo		or Paths.get (the latter is a convenience metho for getPath), the method performs minor syntactic cleanup. For example, in a UNIX operating system, it will correct the input string //home/joe/foo to /home/joe/foo.
getFileName	foo	llfoo l	Returns the file name or the last element of the sequence of name elements.
getName(0)	home	home	Returns the path element corresponding to the specified index. The 0th element is the path element closest to the root.
getNameCount	3	3	Returns the number of elements in the path.
subpath(0,2)	home/joe	home\joe	Returns the subsequence of the Path (not includi a root element) as specified by the beginning and ending indexes.
getParent	/home/joe	\home\joe	Returns the path of the parent directory.
getRoot	/	C:\	Returns the root of the path.

The previous example shows the output for an absolute path. In the following example, a relative path is specified:

```
// Solaris syntax
Path path = Paths.get("sally/bar");
or
// Microsoft Windows syntax
Path path = Paths.get("sally\\bar");
```

Here is the output for Windows and the Solaris OS:

Method Invoked	Returns in the Solaris OS	Returns in Microsoft Windows
toString	sally/bar	sally\bar
getFileName	bar	bar
getName(0)	sally	sally
getNameCount	2	2
subpath(0,1)	sally	sally
getParent	sally	sally
getRoot	null	null

Removing Redundancies From a Path

Many file systems use "." notation to denote the current directory and ".." to denote the parent directory. You might have a situation where a Path contains redundant directory information. Perhaps a server is configured to save its log files in the "/dir/logs/." directory, and you want to delete the

trailing "/." notation from the path.

The following examples both include redundancies:

```
/home/./joe/foo
/home/sally/../joe/foo
```

The normalize method removes any redundant elements, which includes any "." or "directory/.." occurrences. Both of the preceding examples normalize to /home/joe/foo.

It is important to note that normalize doesn't check at the file system when it cleans up a path. It is a purely syntactic operation. In the second example, if sally were a symbolic link, removing sally/.. might result in a Path that no longer locates the intended file.

To clean up a path while ensuring that the result locates the correct file, you can use the torealPath method. This method is described in the next section, <u>Converting a Path</u>.

Converting a Path

You can use three methods to convert the Path. If you need to convert the path to a string that can be opened from a browser, you can use <u>toUri</u>. For example:

```
Path p1 = Paths.get("/home/logfile");
// Result is file:///home/logfile
System.out.format("%s%n", p1.toUri());
```

The <u>toAbsolutePath</u> method converts a path to an absolute path. If the passed-in path is already absolute, it returns the same Path object. The toAbsolutePath method can be very helpful when processing user-entered file names. For example:

```
public class FileTest {
   public static void main(String[] args) {
       if (args.length < 1) {
           System.out.println("usage: FileTest file");
           System.exit(-1);
       // Converts the input string to a Path object.
       Path inputPath = Paths.get(args[0]);
       // Converts the input Path
       // to an absolute path.
       // Generally, this means prepending
       // the current working
       // directory. If this example
       // were called like this:
       // java FileTest foo
       // the getRoot and getParent methods
       // would return null
       // on the original "inputPath"
        // instance. Invoking getRoot and
        // getParent on the "fullPath"
```

```
// instance returns expected values.
Path fullPath = inputPath.toAbsolutePath();
}
}
```

The toAbsolutePath method converts the user input and returns a Path that returns useful values when queried. The file does not need to exist for this method to work.

The <u>toRealPath</u> method returns the *real* path of an existing file. This method performs several operations in one:

- If true is passed to this method and the file system supports symbolic links, this method resolves any symbolic links in the path.
- If the Path is relative, it returns an absolute path.
- If the Path contains any redundant elements, it returns a path with those elements removed.

This method throws an exception if the file does not exist or cannot be accessed. You can catch the exception when you want to handle any of these cases. For example:

```
try {
    Path fp = path.toRealPath();
} catch (NoSuchFileException x) {
    System.err.format("%s: no such" + " file or directory%n", path);
    // Logic for case when file doesn't exist.
} catch (IOException x) {
    System.err.format("%s%n", x);
    // Logic for other sort of file error.
}
```

Joining Two Paths

You can combine paths by using the resolve method. You pass in a *partial path*, which is a path that does not include a root element, and that partial path is appended to the original path.

For example, consider the following code snippet:

```
// Solaris
Path p1 = Paths.get("/home/joe/foo");
// Result is /home/joe/foo/bar
System.out.format("%s%n", p1.resolve("bar"));
or
// Microsoft Windows
Path p1 = Paths.get("C:\\home\\joe\\foo");
// Result is C:\home\\joe\foo\bar
System.out.format("%s%n", p1.resolve("bar"));
```

Passing an absolute path to the resolve method returns the passed-in path:

```
// Result is /home/joe
Paths.get("foo").resolve("/home/joe");
```

Creating a Path Between Two Paths

A common requirement when you are writing file I/O code is the capability to construct a path from one location in the file system to another location. You can meet this using the relativize method. This method constructs a path originating from the original path and ending at the location specified by the passed-in path. The new path is *relative* to the original path.

For example, consider two relative paths defined as joe and sally:

```
Path p1 = Paths.get("joe");
Path p2 = Paths.get("sally");
```

In the absence of any other information, it is assumed that joe and sally are siblings, meaning nodes that reside at the same level in the tree structure. To navigate from joe to sally, you would expect to first navigate one level up to the parent node and then down to sally:

```
// Result is ../sally
Path p1_to_p2 = p1.relativize(p2);
// Result is ../joe
Path p2_to_p1 = p2.relativize(p1);
```

Consider a slightly more complicated example:

```
Path p1 = Paths.get("home");
Path p3 = Paths.get("home/sally/bar");
// Result is sally/bar
Path p1_to_p3 = p1.relativize(p3);
// Result is ../..
Path p3_to_p1 = p3.relativize(p1);
```

In this example, the two paths share the same node, home. To navigate from home to bar, you first navigate one level down to sally and then one more level down to bar. Navigating from bar to home requires moving up two levels.

A relative path cannot be constructed if only one of the paths includes a root element. If both paths include a root element, the capability to construct a relative path is system dependent.

The recursive copy example uses the relativize and resolve methods.

Comparing Two Paths

The Path class supports equals, enabling you to test two paths for equality. The <u>startsWith</u> and <u>endsWith</u> methods enable you to test whether a path begins or ends with a particular string. These methods are easy to use. For example:

```
Path path = ...;
```

```
Path otherPath = ...;
Path beginning = Paths.get("/home");
Path ending = Paths.get("foo");

if (path.equals(otherPath)) {
    // equality logic here
} else if (path.startsWith(beginning)) {
    // path begins with "/home"
} else if (path.endsWith(ending)) {
    // path ends with "foo"
}
```

The Path class implements the <u>Iterable</u> interface. The <u>iterator</u> method returns an object that enables you to iterate over the name elements in the path. The first element returned is that closest to the root in the directory tree. The following code snippet iterates over a path, printing each name element:

```
Path path = ...;
for (Path name: path) {
    System.out.println(name);
}
```

The Path class also implements the <u>Comparable</u> interface. You can compare Path objects by using compareTo which is useful for sorting.

You can also put Path objects into a Collection. See the <u>Collections</u> trail for more information about this powerful feature.

When you want to verify that two Path objects locate the same file, you can use the isSameFile method, as described in Checking Whether Two Paths Locate the Same File.

File Operations

The <u>Files</u> class is the other primary entrypoint of the java.nio.file package. This class offers a rich set of static methods for reading, writing, and manipulating files and directories. The Files methods work on instances of Path objects. Before proceeding to the remaining sections, you should familiarize yourself with the following common concepts:

- Releasing System Resources
- Catching Exceptions
- <u>Varargs</u>
- Atomic Operations
- Method Chaining
- What Is a Glob?
- Link Awareness

Releasing System Resources

Many of the resources that are used in this API, such as streams or channels, implement or extend the <u>java.io.Closeable</u> interface. A requirement of a <u>Closeable</u> resource is that the <u>close</u> method must be invoked to release the resource when no longer required. Neglecting to close a resource can have a negative implication on an application's performance. The <u>try</u>-with-resources statement, described in the next section, handles this step for you.

Catching Exceptions

With file I/O, unexpected conditions are a fact of life: a file exists (or doesn't exist) when expected, the program doesn't have access to the file system, the default file system implementation does not support a particular function, and so on. Numerous errors can be encountered.

All methods that access the file system can throw an IOException. It is best practice to catch these exceptions by embedding these methods into a try-with-resources statement, introduced in the Java SE 7 release. The try-with-resources statement has the advantage that the compiler automatically generates the code to close the resource(s) when no longer required. The following code shows how this might look:

```
Charset charset = Charset.forName("US-ASCII");
String s = ...;
try (BufferedWriter writer = Files.newBufferedWriter(file, charset)) {
   writer.write(s, 0, s.length());
} catch (IOException x) {
   System.err.format("IOException: %s%n", x);
}
```

For more information, see The try-with-resources Statement.

Alternatively, you can embed the file I/O methods in a try block and then catch any exceptions in a catch block. If your code has opened any streams or channels, you should close them in a finally

block. The previous example would look something like the following using the try-catch-finally approach:

```
Charset charset = Charset.forName("US-ASCII");
String s = ...;
BufferedWriter writer = null;
try {
    writer = Files.newBufferedWriter(file, charset);
    writer.write(s, 0, s.length());
} catch (IOException x) {
    System.err.format("IOException: %s%n", x);
} finally {
    if (writer != null) writer.close();
}
```

For more information, see <u>Catching and Handling Exceptions</u>.

In addition to IOException, many specific exceptions extend <u>FileSystemException</u>. This class has some useful methods that return the file involved (<u>getFile</u>), the detailed message string (<u>getMessage</u>), the reason why the file system operation failed (<u>getReason</u>), and the "other" file involved, if any (<u>getOtherFile</u>).

The following code snippet shows how the getFile method might be used:

```
try (...) {
    ...
} catch (NoSuchFileException x) {
    System.err.format("%s does not exist\n", x.getFile());
}
```

For purposes of clarity, the file I/O examples in this lesson may not show exception handling, but your code should always include it.

Varargs

Several Files methods accept an arbitrary number of arguments when flags are specified. For example, in the following method signature, the ellipses notation after the CopyOption argument indicates that the method accepts a variable number of arguments, or *varargs*, as they are typically called:

```
Path Files.move(Path, Path, CopyOption...)
```

When a method accepts a varargs argument, you can pass it a comma-separated list of values or an array (CopyOption[]) of values.

In the move example, the method can be invoked as follows:

```
import static java.nio.file.StandardCopyOption.*;
```

For more information about varargs syntax, see Arbitrary Number of Arguments.

Atomic Operations

Several Files methods, such as move, can perform certain operations atomically in some file systems.

An *atomic file operation* is an operation that cannot be interrupted or "partially" performed. Either the entire operation is performed or the operation fails. This is important when you have multiple processes operating on the same area of the file system, and you need to guarantee that each process accesses a complete file.

Method Chaining

Many of the file I/O methods support the concept of *method chaining*.

You first invoke a method that returns an object. You then immediately invoke a method on *that* object, which returns yet another object, and so on. Many of the I/O examples use the following technique:

```
String value = Charset.defaultCharset().decode(buf).toString();
UserPrincipal group =
   file.getFileSystem().getUserPrincipalLookupService().
   lookupPrincipalByName("me");
```

This technique produces compact code and enables you to avoid declaring temporary variables that you don't need.

What Is a Glob?

Two methods in the Files class accept a glob argument, but what is a glob?

You can use glob syntax to specify pattern-matching behavior.

A glob pattern is specified as a string and is matched against other strings, such as directory or file names. Glob syntax follows several simple rules:

- An asterisk, *, matches any number of characters (including none).
- Two asterisks, **, works like * but crosses directory boundaries. This syntax is generally used for matching complete paths.
- A question mark, ?, matches exactly one character.

- Braces specify a collection of subpatterns. For example:
 - {sun, moon, stars} matches "sun", "moon", or "stars".
 - {temp*, tmp*} matches all strings beginning with "temp" or "tmp".
- Square brackets convey a set of single characters or, when the hyphen character (-) is used, a range of characters. For example:
 - [aeiou] matches any lowercase vowel.
 - o [0-9] matches any digit.
 - [A-Z] matches any uppercase letter.
 - [a-z, A-z] matches any uppercase or lowercase letter.

Within the square brackets, *, ?, and \ match themselves.

- All other characters match themselves.
- To match *, ?, or the other special characters, you can escape them by using the backslash character, \. For example: \\ matches a single backslash, and \? matches the question mark.

Here are some examples of glob syntax:

- *.html Matches all strings that end in .html
- ??? Matches all strings with exactly three letters or digits
- *[0-9] * Matches all strings containing a numeric value
- *. {htm, html, pdf} Matches any string ending with .htm, .html or .pdf
- a?*.java Matches any string beginning with a, followed by at least one letter or digit, and ending with .java
- {foo*, *[0-9]*} Matches any string beginning with foo or any string containing a numeric value

Note: If you are typing the glob pattern at the keyboard and it contains one of the special characters, you must put the pattern in quotes ("*"), use the backslash (*), or use whatever escape mechanism is supported at the command line.

The glob syntax is powerful and easy to use. However, if it is not sufficient for your needs, you can also use a regular expression. For more information, see the <u>Regular Expressions</u> lesson.

For more information about the glob sytnax, see the API specification for the <u>getPathMatcher</u> method in the FileSystem class.

Link Awareness

The Files class is "link aware." Every Files method either detects what to do when a symbolic link is encountered, or it provides an option enabling you to configure the behavior when a symbolic link is encountered.

Checking a File or Directory

You have a Path instance representing a file or directory, but does that file exist on the file system? Is it readable? Writable? Executable?

Verifying the Existence of a File or Directory

The methods in the Path class are syntactic, meaning that they operate on the Path instance. But eventually you must access the file system to verify that a particular Path exists, or does not exist. You can do so with the exists(Path, LinkOption...) and the notExists(Path, LinkOption...) methods. Note that !Files.exists(path) is not equivalent to Files.notExists(path). When you are testing a file's existence, three results are possible:

- The file is verified to exist.
- The file is verified to not exist.
- The file's status is unknown. This result can occur when the program does not have access to the file.

If both exists and notExists return false, the existence of the file cannot be verified.

Checking File Accessibility

To verify that the program can access a file as needed, you can use the <u>isReadable(Path)</u>, <u>isWritable(Path)</u>, and <u>isExecutable(Path)</u> methods.

The following code snippet verifies that a particular file exists and that the program has the ability to execute the file.

```
Path file = ...;
boolean isRegularExecutableFile = Files.isRegularFile(file) &
    Files.isReadable(file) & Files.isExecutable(file);
```

Note:Once any of these methods completes, there is no guarantee that the file can be accessed. A common security flaw in many applications is to perform a check and then access the file. For more information, use your favorite search engine to look up TOCTTOU (pronounced *TOCK-too*).

Checking Whether Two Paths Locate the Same File

When you have a file system that uses symbolic links, it is possible to have two different paths that locate the same file. The <u>isSameFile(Path, Path)</u> method compares two paths to determine if they locate the same file on the file system. For example:

```
Path p1 = ...;
Path p2 = ...;

if (Files.isSameFile(p1, p2)) {
    // Logic when the paths locate the same file
```



Deleting a File or Directory

You can delete files, directories or links. With symbolic links, the link is deleted and not the target of the link. With directories, the directory must be empty, or the deletion fails.

The Files class provides two deletion methods.

The <u>delete(Path)</u> method deletes the file or throws an exception if the deletion fails. For example, if the file does not exist a NoSuchFileException is thrown. You can catch the exception to determine why the delete failed as follows:

```
try {
    Files.delete(path);
} catch (NoSuchFileException x) {
    System.err.format("%s: no such" + " file or directory%n", path);
} catch (DirectoryNotEmptyException x) {
    System.err.format("%s not empty%n", path);
} catch (IOException x) {
    // File permission problems are caught here.
    System.err.println(x);
}
```

The <u>deletelfExists(Path)</u> method also deletes the file, but if the file does not exist, no exception is thrown. Failing silently is useful when you have multiple threads deleting files and you don't want to throw an exception just because one thread did so first.

Copying a File or Directory

You can copy a file or directory by using the <u>copy (Path, Path, CopyOption...)</u> method. The copy fails if the target file exists, unless the REPLACE EXISTING option is specified.

Directories can be copied. However, files inside the directory are not copied, so the new directory is empty even when the original directory contains files.

When copying a symbolic link, the target of the link is copied. If you want to copy the link itself, and not the contents of the link, specify either the NOFOLLOW_LINKS OF REPLACE_EXISTING option.

This method takes a varargs argument. The following StandardCopyOption and LinkOption enums are supported:

- REPLACE_EXISTING Performs the copy even when the target file already exists. If the target is a symbolic link, the link itself is copied (and not the target of the link). If the target is a non-empty directory, the copy fails with the FileAlreadyExistsException exception.
- COPY_ATTRIBUTES Copies the file attributes associated with the file to the target file. The exact file attributes supported are file system and platform dependent, but last-modified-time is supported across platforms and is copied to the target file.
- NOFOLLOW_LINKS Indicates that symbolic links should not be followed. If the file to be copied is a symbolic link, the link is copied (and not the target of the link).

If you are not familiar with enums, see Enum Types.

The following shows how to use the copy method:

```
import static java.nio.file.StandardCopyOption.*;
...
Files.copy(source, target, REPLACE_EXISTING);
```

In addition to file copy, the Files class also defines methods that may be used to copy between a file and a stream. The copy(InputStream, Path, CopyOptions...) method may be used to copy all bytes from an input stream to a file. The copy(Path, OutputStream) method may be used to copy all bytes from a file to an output stream.

The Copy example uses the Copy and Files.walkFileTree methods to support a recursive copy. See <u>Walking the File Tree</u> for more information.

Moving a File or Directory

You can move a file or directory by using the <u>move (Path, Path, CopyOption...)</u> method. The move fails if the target file exists, unless the REPLACE EXISTING option is specified.

Empty directories can be moved. If the directory is not empty, the move is allowed when the directory can be moved without moving the contents of that directory. On UNIX systems, moving a directory within the same partition generally consists of renaming the directory. In that situation, this method works even when the directory contains files.

This method takes a varargs argument the following standard copy option enums are supported:

- REPLACE_EXISTING Performs the move even when the target file already exists. If the target is a symbolic link, the symbolic link is replaced but what it points to is not affected.
- ATOMIC_MOVE Performs the move as an atomic file operation. If the file system does not support an atomic move, an exception is thrown. With an ATOMIC_MOVE you can move a file into a directory and be guaranteed that any process watching the directory accesses a complete file.

The following shows how to use the move method:

```
import static java.nio.file.StandardCopyOption.*;
...
Files.move(source, target, REPLACE_EXISTING);
```

Though you can implement the move method on a single directory as shown, the method is most often used with the file tree recursion mechanism. For more information, see <u>Walking the File Tree</u>.

Managing Metadata (File and File Store Attributes)

The definition of *metadata* is "data about other data." With a file system, the data is contained in its files and directories, and the metadata tracks information about each of these objects: Is it a regular file, a directory, or a link? What is its size, creation date, last modified date, file owner, group owner, and access permissions?

A file system's metadata is typically referred to as its *file attributes*. The Files class includes methods that can be used to obtain a single attribute of a file, or to set an attribute.

Methods	Comment
size(Path)	Returns the size of the specified file in bytes.
isDirectory(Path, LinkOption)	Returns true if the specified Path locates a file that is a directory.
isRegularFile(Path, LinkOption)	Returns true if the specified Path locates a file that is a regular file.
<u>isSymbolicLink(Path)</u>	Returns true if the specified Path locates a file that is a symbolic link.
<u>isHidden(Path)</u>	Returns true if the specified Path locates a file that is considered hidden by the file system.
<pre>getLastModifiedTime(Path, LinkOption) setLastModifiedTime(Path, FileTime)</pre>	Returns or sets the specified file's last modified time.
<pre>getOwner(Path, LinkOption) setOwner(Path, UserPrincipal)</pre>	Returns or sets the owner of the file.
<pre>getPosixFilePermissions(Path, LinkOption) setPosixFilePermissions(Path, Set<posixfilepermission>)</posixfilepermission></pre>	Returns or sets a file's POSIX file permissions.
<pre>getAttribute(Path, String, LinkOption) setAttribute(Path, String, Object, LinkOption)</pre>	Returns or sets the value of a file attribute.

If a program needs multiple file attributes around the same time, it can be inefficient to use methods that retrieve a single attribute. Repeatedly accessing the file system to retrieve a single attribute can adversely affect performance. For this reason, the Files class provides two readAttributes methods to fetch a file's attributes in one bulk operation.

Method	Comment	
readAttributes(Path,	Reads a file's attributes as a bulk operation. The string parameter	

String, LinkOption)	identifies the attributes to be read.
Class <a>,	Reads a file's attributes as a bulk operation. The Class <a> parameter is the type of attributes requested and the method returns an object of that class.

Before showing examples of the readAttributes methods, it should be mentioned that different file systems have different notions about which attributes should be tracked. For this reason, related file attributes are grouped together into views. A *view* maps to a particular file system implementation, such as POSIX or DOS, or to a common functionality, such as file ownership.

The supported views are as follows:

- <u>BasicFileAttributeView</u> Provides a view of basic attributes that are required to be supported by all file system implementations.
- <u>DosFileAttributeView</u> Extends the basic attribute view with the standard four bits supported on file systems that support the DOS attributes.
- PosixFileAttributeView Extends the basic attribute view with attributes supported on file systems that support the POSIX family of standards, such as UNIX. These attributes include file owner, group owner, and the nine related access permissions.
- <u>FileOwnerAttributeView</u> Supported by any file system implementation that supports the concept of a file owner.
- <u>AclfileAttributeView</u> Supports reading or updating a file's Access Control Lists (ACL). The NFSv4 ACL model is supported. Any ACL model, such as the Windows ACL model, that has a well-defined mapping to the NFSv4 model might also be supported.
- <u>UserDefinedFileAttributeView</u> Enables support of metadata that is user defined. This view can be mapped to any extension mechanisms that a system supports. In the Solaris OS, for example, you can use this view to store the MIME type of a file.

A specific file system implementation might support only the basic file attribute view, or it may support several of these file attribute views. A file system implementation might support other attribute views not included in this API.

In most instances, you should not have to deal directly with any of the FileAttributeView interfaces. (If you do need to work directly with the FileAttributeView, you can access it via the getFileAttributeView (Path, Class<V>, LinkOption...) method.)

The readAttributes methods use generics and can be used to read the attributes for any of the file attributes views. The examples in the rest of this page use the readAttributes methods.

The remainder of this section covers the following topics:

- Basic File Attributes
- <u>Setting Time Stamps</u>
- DOS File Attributes
- POSIX File Permissions

- Setting a File or Group Owner
- User-Defined File Attributes
- File Store Attributes

Basic File Attributes

As mentioned previously, to read the basic attributes of a file, you can use one of the <code>Files.readAttributes</code> methods, which reads all the basic attributes in one bulk operation. This is far more efficient than accessing the file system separately to read each individual attribute. The varargs argument currently supports the <code>LinkOption</code> enum, <code>NOFOLLOW_LINKS</code>. Use this option when you do not want symbolic links to be followed.

A word about time stamps: The set of basic attributes includes three time stamps: creationTime, lastModifiedTime, and lastAccessTime. Any of these time stamps might not be supported in a particular implementation, in which case the corresponding accessor method returns an implementation-specific value. When supported, the time stamp is returned as an <u>FileTime</u> object.

The following code snippet reads and prints the basic file attributes for a given file and uses the methods in the BasicFileAttributes class.

```
Path file = ...;
BasicFileAttributes attr = Files.readAttributes(file, BasicFileAttributes.class);

System.out.println("creationTime: " + attr.creationTime());
System.out.println("lastAccessTime: " + attr.lastAccessTime());
System.out.println("lastModifiedTime: " + attr.lastModifiedTime());

System.out.println("isDirectory: " + attr.isDirectory());
System.out.println("isOther: " + attr.isOther());
System.out.println("isRegularFile: " + attr.isRegularFile());
System.out.println("isSymbolicLink: " + attr.isSymbolicLink());
System.out.println("size: " + attr.size());
```

In addition to the accessor methods shown in this example, there is a filekey method that returns either an object that uniquely identifies the file or null if no file key is available.

Setting Time Stamps

The following code snippet sets the last modified time in milliseconds:

```
Path file = ...;
BasicFileAttributes attr =
    Files.readAttributes(file, BasicFileAttributes.class);
long currentTime = System.currentTimeMillis();
FileTime ft = FileTime.fromMillis(currentTime);
Files.setLastModifiedTime(file, ft);
}
```

DOS File Attributes

DOS file attributes are also supported on file systems other than DOS, such as Samba. The following snippet uses the methods of the <u>DosFileAttributes</u> class.

However, you can set a DOS attribute using the <u>setAttribute(Path, String, Object, LinkOption...)</u> method, as follows:

```
Path file = ...;
Files.setAttribute(file, "dos:hidden", true);
```

POSIX File Permissions

POSIX is an acronym for Portable Operating System Interface for UNIX and is a set of IEEE and ISO standards designed to ensure interoperability among different flavors of UNIX. If a program conforms to these POSIX standards, it should be easily ported to other POSIX-compliant operating systems.

Besides file owner and group owner, POSIX supports nine file permissions: read, write, and execute permissions for the file owner, members of the same group, and "everyone else."

The following code snippet reads the POSIX file attributes for a given file and prints them to standard output. The code uses the methods in the <u>PosixFileAttributes</u> class.

```
Path file = ...;
PosixFileAttributes attr =
   Files.readAttributes(file, PosixFileAttributes.class);
System.out.format("%s %s %s%n",
   attr.owner().getName(),
   attr.group().getName(),
   PosixFilePermissions.toString(attr.permissions()));
```

The PosixFilePermissions helper class provides several useful methods, as follows:

- The tostring method, used in the previous code snippet, converts the file permissions to a string (for example, rw-r--r--).
- The fromstring method accepts a string representing the file permissions and constructs a set of file permissions.
- The asfileAttribute method accepts a set of file permissions and constructs a file attribute that can be passed to the Path.createFile or Path.createDirectory method.

The following code snippet reads the attributes from one file and creates a new file, assigning the attributes from the original file to the new file:

```
Path sourceFile = ...;
Path newFile = ...;
PosixFileAttributes attrs =
    Files.readAttributes(sourceFile, PosixFileAttributes.class);
FileAttribute<Set<PosixFilePermission>> attr =
    PosixFilePermissions.asFileAttribute(attrs.permissions());
Files.createFile(file, attr);
```

The asfileAttribute method wraps the permissions as a fileAttribute. The code then attempts to create a new file with those permissions. Note that the umask also applies, so the new file might be more secure than the permissions that were requested.

To set a file's permissions to values represented as a hard-coded string, you can use the following code:

```
Path file = ...;
Set<PosixFilePermission> perms =
    PosixFilePermissions.fromString("rw-----");
FileAttribute<Set<PosixFilePermission>> attr =
    PosixFilePermissions.asFileAttribute(perms);
Files.setPosixFilePermissions(file, perms);
```

The Chmod example recursively changes the permissions of files in a manner similar to the chmod utility.

Setting a File or Group Owner

To translate a name into an object you can store as a file owner or a group owner, you can use the <u>UserPrincipalLookupService</u> service. This service looks up a name or group name as a string and returns a <u>UserPrincipal</u> object representing that string. You can obtain the user principal look-up service for the default file system by using the <u>FileSystem.getUserPrincipalLookupService</u> method.

The following code snippet shows how to set the file owner by using the setowner method:

There is no special-purpose method in the Files class for setting a group owner. However, a safe way to do so directly is through the POSIX file attribute view, as follows:

```
Path file = ...;
GroupPrincipal group =
   file.getFileSystem().getUserPrincipalLookupService()
        .lookupPrincipalByGroupName("green");
```

```
Files.getFileAttributeView(file, PosixFileAttributeView.class)
.setGroup(group);
```

User-Defined File Attributes

If the file attributes supported by your file system implementation aren't sufficient for your needs, you can use the UserDefinedAttributeView to create and track your own file attributes.

Some implementations map this concept to features like NTFS Alternative Data Streams and extended attributes on file systems such as ext3 and ZFS. Most implementations impose restrictions on the size of the value, for example, ext3 limits the size to 4 kilobytes.

A file's MIME type can be stored as a user-defined attribute by using this code snippet:

To read the MIME type attribute, you would use this code snippet:

```
Path file = ...;
UserDefinedFileAttributeView view = Files
.getFileAttributeView(file,UserDefinedFileAttributeView.class);
String name = "user.mimetype";
ByteBuffer buf = ByteBuffer.allocate(view.size(name));
view.read(name, buf);
buf.flip();
String value = Charset.defaultCharset().decode(buf).toString();
```

The xdd example shows how to get, set, and delete a user-defined attribute.

Note: In Linux, you might have to enable extended attributes for user-defined attributes to work. If you receive an <code>unsupportedOperationException</code> when trying to access the user-defined attribute view, you need to remount the file system. The following command remounts the root partition with extended attributes for the ext3 file system. If this command does not work for your flavor of Linux, consult the documentation.

```
$ sudo mount -o remount,user_xattr /
```

If you want to make the change permanent, add an entry to /etc/fstab.

File Store Attributes

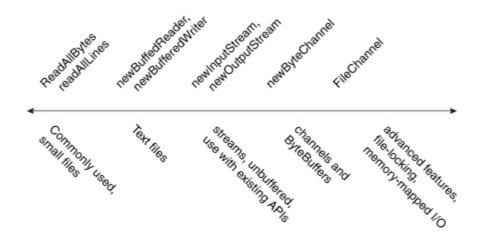
You can use the <u>FileStore</u> class to learn information about a file store, such as how much space is available. The <u>getFileStore</u> (<u>Path</u>) method fetches the file store for the specified file.

The following code snippet prints the space usage for the file store where a particular file resides:

The Diskusage example uses this API to print disk space information for all the stores in the default file system. This example uses the <u>getFileStores</u> method in the FileSystem class to fetch all the file stores for the the file system.

Reading, Writing, and Creating Files

This page discusses the details of reading, writing, creating, and opening files. There are a wide array of file I/O methods to choose from. To help make sense of the API, the following diagram arranges the file I/O methods by complexity.



File I/O Methods Arranged from Less Complex to More Complex

On the far left of the diagram are the utility methods <code>readAllBytes</code>, <code>readAllLines</code>, and the <code>write</code> methods, designed for simple, common cases. To the right of those are the methods used to iterate over a stream or lines of text, such as <code>newBufferedReader</code>, <code>newBufferedWriter</code>, then <code>newInputStream</code> and <code>newOutputStream</code>. These methods are interoperable with the <code>java.io</code> package. To the right of those are the methods for dealing with <code>ByteChannels</code>, <code>SeekableByteChannels</code>, and <code>ByteBuffers</code>, such as the <code>newByteChannel</code> method. Finally, on the far right are the methods that use <code>FileChannel</code> for advanced applications needing file locking or memory-mapped I/O.

Note: The methods for creating a new file enable you to specify an optional set of initial attributes for the file. For example, on a file system that supports the POSIX set of standards (such as UNIX), you can specify a file owner, group owner, or file permissions at the time the file is created. The Metadata page explains file attributes, and how to access and set them.

This page has the following topics:

- The OpenOptions Parameter
- Commonly Used Methods for Small Files
- <u>Buffered I/O Methods for Text Files</u>
- Methods for Unbuffered Streams and Interoperable with java.io APIs
- Methods for Channels and ByteBuffers
- Methods for Creating Regular and Temporary Files

The OpenOptions Parameter

Several of the methods in this section take an optional openOptions parameter. This parameter is optional and the API tells you what the default behavior is for the method when none is specified.

The following StandardOpenOptions enums are supported:

- WRITE Opens the file for write access.
- APPEND Appends the new data to the end of the file. This option is used with the WRITE or CREATE options.
- TRUNCATE_EXISTING Truncates the file to zero bytes. This option is used with the WRITE option.
- CREATE_NEW Creates a new file and throws an exception if the file already exists.
- CREATE Opens the file if it exists or creates a new file if it does not.
- DELETE_ON_CLOSE Deletes the file when the stream is closed. This option is useful for temporary files.
- SPARSE Hints that a newly created file will be sparse. This advanced option is honored on some file systems, such as NTFS, where large files with data "gaps" can be stored in a more efficient manner where those empty gaps do not consume disk space.
- SYNC Keeps the file (both content and metadata) synchronized with the underlying storage device.
- DSYNC Keeps the file content synchronized with the underlying storage device.

Commonly Used Methods for Small Files

Reading All Bytes or Lines from a File

If you have a small-ish file and you would like to read its entire contents in one pass, you can use the readAllBytes(Path) or readAllLines(Path, Charset) method. These methods take care of most of the work for you, such as opening and closing the stream, but are not intended for handling large files. The following code shows how to use the readAllBytes method:

```
Path file = ...;
byte[] fileArray;
fileArray = Files.readAllBytes(file);
```

Writing All Bytes or Lines to a File

You can use one of the write methods to write bytes, or lines, to a file.

- write(Path, byte[], OpenOption...)
- write(Path, Iterable< extends CharSequence>, Charset, OpenOption...)

The following code snippet shows how to use a write method.

```
Path file = ...;
byte[] buf = ...;
Files.write(file, buf);
```

Buffered I/O Methods for Text Files

The java.nio.file package supports channel I/O, which moves data in buffers, bypassing some of the layers that can bottleneck stream I/O.

Reading a File by Using Buffered Stream I/O

The <u>newBufferedReader (Path, Charset)</u> method opens a file for reading, returning a BufferedReader that can be used to read text from a file in an efficient manner.

The following code snippet shows how to use the newBufferedReader method to read from a file. The file is encoded in "US-ASCII."

```
Charset charset = Charset.forName("US-ASCII");
try (BufferedReader reader = Files.newBufferedReader(file, charset)) {
   String line = null;
   while ((line = reader.readLine()) != null) {
        System.out.println(line);
   }
} catch (IOException x) {
   System.err.format("IOException: %s%n", x);
}
```

Writing a File by Using Buffered Stream I/O

You can use the <u>newBufferedWriter(Path, Charset, OpenOption...)</u> method to write to a file using a BufferedWriter.

The following code snippet shows how to create a file encoded in "US-ASCII" using this method:

```
Charset charset = Charset.forName("US-ASCII");
String s = ...;
try (BufferedWriter writer = Files.newBufferedWriter(file, charset)) {
   writer.write(s, 0, s.length());
} catch (IOException x) {
   System.err.format("IOException: %s%n", x);
}
```

Methods for Unbuffered Streams and Interoperable with java.io APIs

Reading a File by Using Stream I/O

To open a file for reading, you can use the <u>newInputStream(Path, OpenOption...)</u> method. This method returns an unbuffered input stream for reading bytes from the file.

```
Path file = ...;
try (InputStream in = Files.newInputStream(file);
    BufferedReader reader =
        new BufferedReader(new InputStreamReader(in))) {
    String line = null;
    while ((line = reader.readLine()) != null) {
        System.out.println(line);
    }
}
```

```
} catch (IOException x) {
    System.err.println(x);
}
```

Creating and Writing a File by Using Stream I/O

You can create a file, append to a file, or write to a file by using the <u>newOutputStream(Path, OpenOption...)</u> method. This method opens or creates a file for writing bytes and returns an unbuffered output stream.

The method takes an optional OpenOption parameter. If no open options are specified, and the file does not exist, a new file is created. If the file exists, it is truncated. This option is equivalent to invoking the method with the CREATE and TRUNCATE_EXISTING options.

The following code snippet opens a log file. If the file does not exist, it is created. If the file exists, it is opened for appending.

Methods for Channels and ByteBuffers

Reading and Writing Files by Using Channel I/O

While stream I/O reads a character at a time, channel I/O reads a buffer at a time. The ByteChannel interface provides basic read and write-functionality. A SeekableByteChannel is a ByteChannel also supports truncating the file associated with the channel and querying the file for its size.

The capability to move to different points in the file and then read from or write to that location makes random access of a file possible. See <u>Random Access Files</u> for more information.

There are two methods for reading and writing channel I/O.

- newByteChannel(Path, OpenOption...)
- newByteChannel(Path, Set<? extends OpenOption>, FileAttribute<?>...)

Note: The newByteChannel methods return an instance of a SeekableByteChannel. With a default file system, you can cast this seekable byte channel to a <u>FileChannel</u> providing access to more advanced features such mapping a region of the file directly into memory for faster access, locking a region of the file so other processes cannot access it, or reading and writing bytes from an absolute position without affecting the channel's current position.

Both newByteChannel methods enable you to specify a list of OpenOption options. The same open options used by the newOutputStream methods are supported, in addition to one more option: READ is required because the SeekableByteChannel supports both reading and writing.

Specifying READ opens the channel for reading. Specifying WRITE or APPEND opens the channel for writing. If none of these options is specified, the channel is opened for reading.

The following code snippet reads a file and prints it to standard output:

```
// Defaults to READ
try (SeekableByteChannel sbc = Files.newByteChannel(file)) {
    ByteBuffer buf = ByteBuffer.allocate(10);

    // Read the bytes with the proper encoding for this platform. If
    // you skip this step, you might see something that looks like
    // Chinese characters when you expect Latin-style characters.
    String encoding = System.getProperty("file.encoding");
    while (sbc.read(buf) > 0) {
        buf.rewind();
        System.out.print(Charset.forName(encoding).decode(buf));
        buf.flip();
    }
} catch (IOException x) {
    System.out.println("caught exception: " + x);
```

The following code snippet, written for UNIX and other POSIX file systems, creates a log file with a specific set of file permissions. This code creates a log file or appends to the log file if it already exists. The log file is created with read/write permissions for owner and read only permissions for group.

```
import static java.nio.file.StandardCopyOption.*;
// Create the set of options for appending to the file.
Set<OpenOptions> options = new HashSet<OpenOption>();
options.add(APPEND);
options.add(CREATE);
// Create the custom permissions attribute.
Set<PosixFilePermission> perms =
   PosixFilePermissions.fromString("rw-r----");
FileAttribute<Set<PosixFilePermission>> attr =
   PosixFilePermissions.asFileAttribute(perms);
// Convert the string to a ByteBuffer.
String s = \ldots;
byte data[] = s.getBytes();
ByteBuffer bb = ByteBuffer.wrap(data);
try (SeekableByteChannel sbc = Files.newByteChannel(file, options, attr)) {
   sbc.write(bb);
```

```
} catch (IOException x) {
    System.out.println("exception thrown: " + x);
}
```

Methods for Creating Regular and Temporary Files

Creating Files

You can create an empty file with an initial set of attributes by using the <a href="mailto:createFile(Path, FileAttribute<?">createFile(Path, FileAttribute<?) method. For example, if, at the time of creation, you want a file to have a particular set of file permissions, use the createFile method to do so. If you do not specify any attributes, the file is created with default attributes. If the file already exists, createFile throws an exception.

In a single atomic operation, the createFile method checks for the existence of the file and creates that file with the specified attributes, which makes the process more secure against malicious code.

The following code snippet creates a file with default attributes:

<u>POSIX File Permissions</u> has an example that uses createFile(Path, FileAttribute<?>) to create a file with pre-set permissions.

You can also create a new file by using the newOutputStream methods, as described in <u>Creating and Writing a File using Stream I/O</u>. If you open a new output stream and close it immediately, an empty file is created.

Creating Temporary Files

You can create a temporary file using one of the following createTempFile methods:

- createTempFile(Path, String, String, FileAttribute<?>)
- createTempFile(String, String, FileAttribute<?>)

The first method allows the code to specify a directory for the temporary file and the second method creates a new file in the default temporary-file directory. Both methods allow you to specify a suffix for the filename and the first method allows you to also specify a prefix. The following code snippet gives an example of the second method:

The result of running this file would be something like the following:

```
The temporary file has been created: /tmp/509668702974537184.myapp
```

The specific format of the temporary file name is platform specific.

Random Access Files

Random access files permit nonsequential, or random, access to a file's contents. To access a file randomly, you open the file, seek a particular location, and read from or write to that file.

This functionality is possible with the <u>SeekableByteChannel</u> interface. The <u>SeekableByteChannel</u> interface extends channel I/O with the notion of a current position. Methods enable you to set or query the position, and you can then read the data from, or write the data to, that location. The API consists of a few, easy to use, methods:

- position Returns the channel's current position
- position (long) Sets the channel's position
- read (ByteBuffer) Reads bytes into the buffer from the channel
- write (ByteBuffer) Writes bytes from the buffer to the channel
- <u>truncate (long)</u> Truncates the file (or other entity) connected to the channel

Reading and Writing Files With Channel I/O shows that the Path.newByteChannel methods return an instance of a SeekableByteChannel. On the default file system, you can use that channel as is, or you can cast it to a FileChannel giving you access to more advanced features, such as mapping a region of the file directly into memory for faster access, locking a region of the file, or reading and writing bytes from an absolute location without affecting the channel's current position.

The following code snippet opens a file for both reading and writing by using one of the newByteChannel methods. The SeekableByteChannel that is returned is cast to a FileChannel. Then, 12 bytes are read from the beginning of the file, and the string "I was here!" is written at that location. The current position in the file is moved to the end, and the 12 bytes from the beginning are appended. Finally, the string, "I was here!" is appended, and the channel on the file is closed.

```
String s = "I was here!\n";
byte data[] = s.getBytes();
ByteBuffer out = ByteBuffer.wrap(data);
ByteBuffer copy = ByteBuffer.allocate(12);
try (FileChannel fc = (FileChannel.open(file, READ, WRITE))) {
   // Read the first 12
   // bytes of the file.
   int nread;
      nread = fc.read(copy);
    } while (nread != -1 && copy.hasRemaining());
   // Write "I was here!" at the beginning of the file.
   fc.position(0);
    while (out.hasRemaining())
       fc.write(out);
   out.rewind();
    // Move to the end of the file. Copy the first 12 bytes to
    // the end of the file. Then write "I was here!" again.
    long length = fc.size();
    fc.position(length-1);
    copy.flip();
    while (copy.hasRemaining())
```

```
fc.write(copy);
while (out.hasRemaining())
   fc.write(out);
} catch (IOException x) {
   System.out.println("I/O Exception: " + x);
}
```

Creating and Reading Directories

Some of the methods previously discussed, such as delete, work on files, links *and* directories. But how do you list all the directories at the top of a file system? How do you list the contents of a directory or create a directory?

This section covers the following functionality specific to directories:

- <u>Listing a File System's Root Directories</u>
- Creating a Directory
- Creating a Temporary Directory
- Listing a Directory's Contents
- Filtering a Directory Listing By Using Globbing
- Writing Your Own Directory Filter

Listing a File System's Root Directories

You can list all the root directories for a file system by using the <u>FileSystem.getRootDirectories</u> method. This method returns an Iterable, which enables you to use the <u>enhanced for</u> statement to iterate over all the root directories.

The following code snippet prints the root directories for the default file system:

```
Iterable<Path> dirs = FileSystems.getDefault().getRootDirectories();
for (Path name: dirs) {
    System.err.println(name);
}
```

Creating a Directory

You can create a new directory by using the <u>createDirectory(Path, FileAttribute<?>)</u> method. If you don't specify any FileAttributes, the new directory will have default attributes. For example:

```
Path dir = ...;
Files.createDirectory(path);
```

The following code snippet creates a new directory on a POSIX file system that has specific permissions:

```
Set<PosixFilePermission> perms =
    PosixFilePermissions.fromString("rwxr-x---");
FileAttribute<Set<PosixFilePermission>> attr =
    PosixFilePermissions.asFileAttribute(perms);
Files.createDirectory(file, attr);
```

To create a directory several levels deep when one or more of the parent directories might not yet

exist, you can use the convenience method, <u>createDirectories(Path</u>, <u>FileAttribute<?></u>). As with the createDirectory(Path, FileAttribute<?>) method, you can specify an optional set of initial file attributes. The following code snippet uses default attributes:

```
Files.createDirectories(Paths.get("foo/bar/test"));
```

The directories are created, as needed, from the top down. In the foo/bar/test example, if the foo directory does not exist, it is created. Next, the bar directory is created, if needed, and, finally, the test directory is created.

It is possible for this method to fail after creating some, but not all, of the parent directories.

Creating a Temporary Directory

You can create a temporary directory using one of createTempDirectory methods:

- createTempDirectory(Path, String, FileAttribute<?>...)
- createTempDirectory(String, FileAttribute<?>...)

The first method allows the code to specify a location for the temporary directory and the second method creates a new directory in the default temporary-fle directory.

Listing a Directory's Contents

You can list all the contents of a directory by using the <u>newDirectoryStream (Path)</u> method. This method returns an object that implements the <u>DirectoryStream</u> interface. The class that implements the <u>DirectoryStream</u> interface also implements Iterable, so you can iterate through the directory stream, reading all of the objects. This approach scales well to very large directories.

Remember: The returned DirectoryStream is a *stream*. If you are not using a try-with-resources statement, don't forget to close the stream in the finally block. The try-with-resources statement takes care of this for you.

The following code snippet shows how to print the contents of a directory:

```
Path dir = ...;
try (DirectoryStream<Path> stream = Files.newDirectoryStream(dir)) {
   for (Path file: stream) {
       System.out.println(file.getFileName());
   }
} catch (IOException | DirectoryIteratorException x) {
   // IOException can never be thrown by the iteration.
   // In this snippet, it can only be thrown by newDirectoryStream.
   System.err.println(x);
}
```

The Path objects returned by the iterator are the names of the entries resolved against the directory.

So, if you are listing the contents of the /tmp directory, the entries are returned with the form /tmp/a, /tmp/b, and so on.

This method returns the entire contents of a directory: files, links, subdirectories, and hidden files. If you want to be more selective about the contents that are retrieved, you can use one of the other newDirectoryStream methods, as described later in this page.

Note that if there is an exception during directory iteration then <code>DirectoryIteratorException</code> is thrown with the <code>IOException</code> as the cause. Iterator methods cannot throw exception exceptions.

Filtering a Directory Listing By Using Globbing

If you want to fetch only files and subdirectories where each name matches a particular pattern, you can do so by using the newDirectoryStream(Path, String) method, which provides a built-in glob filter. If you are not familiar with glob syntax, see What Is a Glob?

For example, the following code snippet lists files relating to Java: .class, .java, and .jar files.:

```
Path dir = ...;
try (DirectoryStream<Path> stream =
    Files.newDirectoryStream(dir, "*.{java,class,jar}")) {
    for (Path entry: stream) {
        System.out.println(entry.getFileName());
    }
} catch (IOException x) {
    // IOException can never be thrown by the iteration.
    // In this snippet, it can // only be thrown by newDirectoryStream.
    System.err.println(x);
}
```

Writing Your Own Directory Filter

Perhaps you want to filter the contents of a directory based on some condition other than pattern matching. You can create your own filter by implementing the <u>DirectoryStream.Filter<T></u> interface. This interface consists of one method, accept, which determines whether a file fulfills the search requirement.

For example, the following code snippet implements a filter that retrieves only directories:

```
DirectoryStream.Filter<Path> filter =
    newDirectoryStream.Filter<Path>() {
    public boolean accept(Path file) throws IOException {
        try {
            return (Files.isDirectory(path));
        } catch (IOException x) {
            // Failed to determine if it's a directory.
            System.err.println(x);
            return false;
        }
    }
};
```

Once the filter has been created, it can be invoked by using the newDirectoryStream(Path, DirectoryStream.Filter<? super Path>) method. The following code snippet uses the isDirectory filter to print only the directory's subdirectories to standard output:

This method is used to filter a single directory only. However, if you want to find all the subdirectories in a file tree, you would use the mechanism for <u>Walking the File Tree</u>.

Links, Symbolic or Otherwise

As mentioned previously, the java.nio.file package, and the Path class in particular, is "link aware." Every Path method either detects what to do when a symbolic link is encountered, or it provides an option enabling you to configure the behavior when a symbolic link is encountered.

The discussion so far has been about <u>symbolic or *soft* links</u>, but some file systems also support hard links. *Hard links* are more restrictive than symbolic links, as follows:

- The target of the link must exist.
- Hard links are generally not allowed on directories.
- Hard links are not allowed to cross partitions or volumes. Therefore, they cannot exist across file systems.
- A hard link looks, and behaves, like a regular file, so they can be hard to find.
- A hard link is, for all intents and purposes, the same entity as the original file. They have the same file permissions, time stamps, and so on. All attributes are identical.

Because of these restrictions, hard links are not used as often as symbolic links, but the Path methods work seamlessly with hard links.

Several methods deal specifically with links and are covered in the following sections:

- Creating a Symbolic Link
- Creating a Hard Link
- Detecting a Symbolic Link
- Finding the Target of a Link

Creating a Symbolic Link

If your file system supports it, you can create a symbolic link by using the <a href="mailto:createSymbolicLink(Path, Path, FileAttribute<?>)">createSymbolicLink(Path, Path, FileAttribute<?>) method. The second Path argument represents the target file or directory and might or might not exist. The following code snippet creates a symbolic link with default permissions:

```
Path newLink = ...;
Path target = ...;
try {
    Files.createSymbolicLink(newLink, target);
} catch (IOException x) {
    System.err.println(x);
} catch (UnsupportedOperationException x) {
    // Some file systems do not support symbolic links.
    System.err.println(x);
}
```

The FileAttributes vararg enables you to specify initial file attributes that are set atomically when the link is created. However, this argument is intended for future use and is not currently implemented.

Creating a Hard Link

You can create a hard (or *regular*) link to an existing file by using the <u>createLink(Path, Path)</u> method. The second Path argument locates the existing file, and it must exist or a NoSuchFileException is thrown. The following code snippet shows how to create a link:

```
Path newLink = ...;
Path existingFile = ...;
try {
    Files.createLink(newLink, existingFile);
} catch (IOException x) {
    System.err.println(x);
} catch (UnsupportedOperationException x) {
    // Some file systems do not
    // support adding an existing
    // file to a directory.
    System.err.println(x);
}
```

Detecting a Symbolic Link

To determine whether a Path instance is a symbolic link, you can use the <u>isSymbolicLink(Path)</u> method. The following code snippet shows how:

```
Path file = ...;
boolean isSymbolicLink =
   Files.isSymbolicLink(file);
```

For more information, see Managing Metadata.

Finding the Target of a Link

You can obtain the target of a symbolic link by using the readSymbolicLink(Path) method, as follows:

If the Path is not a symbolic link, this method throws a NotLinkException.

Walking the File Tree

Do you need to create an application that will recursively visit all the files in a file tree? Perhaps you need to delete every .class file in a tree, or find every file that hasn't been accessed in the last year. You can do so with the <u>FileVisitor</u> interface.

This section covers the following:

- The FileVisitor Interface
- Kickstarting the Process
- Considerations When Creating a FileVisitor
- Controlling the Flow
- Examples

The FileVisitor Interface

To walk a file tree, you first need to implement a FileVisitor. A FileVisitor specifies the required behavior at key points in the traversal process: when a file is visited, before a directory is accessed, after a directory is accessed, or when a failure occurs. The interface has four methods that correspond to these situations:

- previsitDirectory Invoked before a directory's entries are visited.
- <u>postVisitDirectory</u> Invoked after all the entries in a directory are visited. If any errors are encountered, the specific exception is passed to the method.
- <u>visitFile</u> Invoked on the file being visited. The file's BasicFileAttributes is passed to the method, or you can use the <u>file attributes</u> package to read a specific set of attributes. For example, you can choose to read the file's DosFileAttributeView to determine if the file has the "hidden" bit set.
- <u>visitFileFailed</u> Invoked when the file cannot be accessed. The specific exception is passed to the method. You can choose whether to throw the exception, print it to the console or a log file, and so on.

If you don't need to implement all four of the FileVisitor methods, instead of implementing the FileVisitor interface, you can extend the <u>SimpleFileVisitor</u> class. This class, which implements the FileVisitor interface, visits all files in a tree and throws an IOError when an error is encountered. You can extend this class and override only the methods that you require.

Here is an example that extends <code>simpleFileVisitor</code> to print all entries in a file tree. It prints the entry whether the entry is a regular file, a symbolic link, a directory, or some other "unspecified" type of file. It also prints the size, in bytes, of each file. Any exception that is encountered is printed to the console.

The FileVisitor methods are shown in bold:

```
public static class PrintFiles
    extends SimpleFileVisitor<Path> {
    // Print information about
    // each type of file.
    @Override
    public FileVisitResult visitFile(Path file,
                                   BasicFileAttributes attr) {
        if (attr.isSymbolicLink()) {
           System.out.format("Symbolic link: %s ", file);
        } else if (attr.isRegularFile()) {
           System.out.format("Regular file: %s ", file);
            System.out.format("Other: %s ", file);
        System.out.println("(" + attr.size() + "bytes)");
        return CONTINUE;
    // Print each directory visited.
    @Override
    public FileVisitResult postVisitDirectory(Path dir,
                                          IOException exc) {
        System.out.format("Directory: %s%n", dir);
        return CONTINUE;
    // If there is some error accessing
    // the file, let the user know.
    // If you don't override this method
    // and an error occurs, an IOException
    // is thrown.
    @Override
    public FileVisitResult visitFileFailed(Path file,
                                       IOException exc) {
        System.err.println(exc);
        return CONTINUE;
```

Kickstarting the Process

Once you have implemented your FileVisitor, how do you initiate the file walk? There are two walkFileTree methods in the Files class.

- walkFileTree(Path, FileVisitor)
- walkFileTree(Path, Set<FileVisitOption>, int, FileVisitor)

The first method requires only a starting point and an instance of your FileVisitor. You can invoke the PrintFiles file visitor as follows:

```
Path startingDir = ...;
PrintFiles pf = new PrintFiles();
Files.walkFileTree(startingDir, pf);
```

The second walkFileTree method enables you to additionally specify a limit on the number of levels visited and a set of <u>FileVisitOption</u> enums. If you want to ensure that this method walks the entire file tree, you can specify Integer.MAX_VALUE for the maximum depth argument.

You can specify the FileVisitOption enum, FOLLOW_LINKS, which indicates that symbolic links should be followed.

This code snippet shows how the four-argument method can be invoked:

```
import static java.nio.file.FileVisitResult.*;

Path startingDir = ...;

EnumSet<FileVisitOption> opts = EnumSet.of(FOLLOW_LINKS);

Finder finder = new Finder(pattern);
Files.walkFileTree(startingDir, opts, Integer.MAX_VALUE, finder);
```

Considerations When Creating a FileVisitor

A file tree is walked depth first, but you cannot make any assumptions about the iteration order that subdirectories are visited.

If your program will be changing the file system, you need to carefully consider how you implement your FileVisitor.

For example, if you are writing a recursive delete, you first delete the files in a directory before deleting the directory itself. In this case, you delete the directory in postVisitDirectory.

If you are writing a recursive copy, you create the new directory in previsitDirectory before attempting to copy the files to it (in visitFiles). If you want to preserve the attributes of the source directory (similar to the UNIX cp -p command), you need to do that *after* the files have been copied, in postVisitDirectory. The Copy example shows how to do this.

If you are writing a file search, you perform the comparison in the visitFile method. This method finds all the files that match your criteria, but it does not find the directories. If you want to find both files and directories, you must also perform the comparison in either the previsitDirectory or postVisitDirectory method. The Find example shows how to do this.

You need to decide whether you want symbolic links to be followed. If you are deleting files, for example, following symbolic links might not be advisable. If you are copying a file tree, you might want to allow it. By default, walkFileTree does not follow symbolic links.

The visitFile method is invoked for files. If you have specified the FOLLOW_LINKS option and your file tree has a circular link to a parent directory, the looping directory is reported in the visitFileFailed method with the FileSystemLoopException. The following code snippet shows how to catch a circular link and is from the Copy example:

```
} else {
        System.err.format("Unable to copy:" + " %s: %s%n", file, exc);
}
return CONTINUE;
}
```

This case can occur only when the program is following symbolic links.

Controlling the Flow

Perhaps you want to walk the file tree looking for a particular directory and, when found, you want the process to terminate. Perhaps you want to skip specific directories.

The FileVisitor methods return a <u>FileVisitResult</u> value. You can abort the file walking process or control whether a directory is visited by the values you return in the FileVisitor methods:

- CONTINUE Indicates that the file walking should continue. If the previsitDirectory method returns CONTINUE, the directory is visited.
- TERMINATE Immediately aborts the file walking. No further file walking methods are invoked after this value is returned.
- SKIP_SUBTREE When previsitDirectory returns this value, the specified directory and its subdirectories are skipped. This branch is "pruned out" of the tree.
- SKIP_SIBLINGS When previsitDirectory returns this value, the specified directory is not visited, postVisitDirectory is not invoked, and no further unvisited siblings are visited. If returned from the postVisitDirectory method, no further siblings are visited. Essentially, nothing further happens in the specified directory.

In this code snippet, any directory named sccs is skipped:

```
import static java.nio.file.FileVisitResult.*;

public FileVisitResult
    preVisitDirectory(Path dir,
        BasicFileAttributes attrs) {
    (if (dir.getFileName().toString().equals("SCCS")) {
        return SKIP_SUBTREE;
    }
    return CONTINUE;
}
```

In this code snippet, as soon as a particular file is located, the file name is printed to standard output, and the file walking terminates:

```
System.out.println("Located file: " + file);
  return TERMINATE;
}
return CONTINUE;
```

Examples

The following examples demonstrate the file walking mechanism:

- Find Recurses a file tree looking for files and directories that match a particular glob pattern. This example is discussed in Finding Files.
- chmod Recursively changes permissions on a file tree (for POSIX systems only).
- Copy Recursively copies a file tree.
- WatchDir Demonstrates the mechanism that watches a directory for files that have been created, deleted or modified. Calling this program with the -r option watches an entire tree for changes. For more information about the file notification service, see Watching a Directory for Changes.

Finding Files

If you have ever used a shell script, you have most likely used pattern matching to locate files. In fact, you have probably used it extensively. If you haven't used it, pattern matching uses special characters to create a pattern and then file names can be compared against that pattern. For example, in most shell scripts, the asterisk, *, matches any number of characters. For example, the following command lists all the files in the current directory that end in .html:

```
% ls *.html
```

The java.nio.file package provides programmatic support for this useful feature. Each file system implementation provides a <u>PathMatcher</u>. You can retrieve a file system's <u>PathMatcher</u> by using the <u>getPathMatcher</u> (<u>String</u>) method in the <u>FileSystem</u> class. The following code snippet fetches the path matcher for the default file system:

```
String pattern = ...;
PathMatcher matcher =
  FileSystems.getDefault().getPathMatcher("glob:" + pattern);
```

The string argument passed to <code>getPathMatcher</code> specifies the syntax flavor and the pattern to be matched. This example specifies *glob* syntax. If you are unfamiliar with glob syntax, see What is a Glob.

Glob syntax is easy to use and flexible but, if you prefer, you can also use regular expressions, or *regex*, syntax. For further information about regex, see the <u>Regular Expressions</u> lesson. Some file system implementations might support other syntaxes.

If you want to use some other form of string-based pattern matching, you can create your own PathMatcher class. The examples in this page use glob syntax.

Once you have created your PathMatcher instance, you are ready to match files against it. The PathMatcher interface has a single method, matches, that takes a Path argument and returns a boolean: It either matches the pattern, or it does not. The following code snippet looks for files that end in .java or .class and prints those files to standard output:

```
PathMatcher matcher =
    FileSystems.getDefault().getPathMatcher("glob:*.{java,class}");

Path filename = ...;
if (matcher.matches(filename)) {
    System.out.println(filename);
}
```

Recursive Pattern Matching

Searching for files that match a particular pattern goes hand-in-hand with walking a file tree. How many times do you know a file is *somewhere* on the file system, but where? Or perhaps you need to

find all files in a file tree that have a particular file extension.

The Find example does precisely that. Find is similar to the UNIX find utility, but has pared down functionally. You can extend this example to include other functionality. For example, the find utility supports the -prune flag to exclude an entire subtree from the search. You could implement that functionality by returning SKIP_SUBTREE in the previsitDirectory method. To implement the -L option, which follows symbolic links, you could use the four-argument walkFileTree method and pass in the FOLLOW_LINKS enum (but make sure that you test for circular links in the visitFile method).

To run the Find application, use the following format:

```
% java Find <path> -name "<glob_pattern>"
```

The pattern is placed inside quotation marks so any wildcards are not interpreted by the shell. For example:

```
% java Find . -name "*.html"
```

Here is the source code for the Find example:

```
* Sample code that finds files that match the specified glob pattern.
 * For more information on what constitutes a glob pattern, see
 * http://docs.oracle.com/javase/tutorial/essential/io/fileOps.html#qlob
 ^{\star} The file or directories that match the pattern are printed to
 * standard out. The number of matches is also printed.
 ^{\star} When executing this application, you must put the glob pattern
 * in quotes, so the shell will not expand any wild cards:
               java Find . -name "*.java"
import java.io.*;
import java.nio.file.*;
import java.nio.file.attribute.*;
import static java.nio.file.FileVisitResult.*;
import static java.nio.file.FileVisitOption.*;
import java.util.*;
public class Find {
    public static class Finder
        extends SimpleFileVisitor<Path> {
        private final PathMatcher matcher;
        private int numMatches = 0;
        Finder(String pattern) {
           matcher = FileSystems.getDefault()
                    .getPathMatcher("glob:" + pattern);
        // Compares the glob pattern against
```

```
// the file or directory name.
   void find(Path file) {
        Path name = file.getFileName();
        if (name != null && matcher.matches(name)) {
            numMatches++;
            System.out.println(file);
   // Prints the total number of
    // matches to standard out.
   void done() {
        System.out.println("Matched: "
            + numMatches);
   // Invoke the pattern matching
    // method on each file.
   @Override
   public FileVisitResult visitFile(Path file,
            BasicFileAttributes attrs) {
        find(file);
        return CONTINUE;
    // Invoke the pattern matching
   // method on each directory.
   @Override
   public FileVisitResult preVisitDirectory(Path dir,
            BasicFileAttributes attrs) {
        find(dir);
        return CONTINUE;
   @Override
   public FileVisitResult visitFileFailed(Path file,
            IOException exc) {
        System.err.println(exc);
        return CONTINUE;
static void usage() {
   System.err.println("java Find <path>" +
       " -name \"<glob_pattern>\"");
   System.exit(-1);
public static void main(String[] args)
   throws IOException {
   if (args.length < 3 || !args[1].equals("-name"))</pre>
        usage();
   Path startingDir = Paths.get(args[0]);
   String pattern = args[2];
   Finder finder = new Finder(pattern);
   Files.walkFileTree(startingDir, finder);
   finder.done();
```

Recursively walking a file tree is covered in Walking the File Tree.

Watching a Directory for Changes

Have you ever found yourself editing a file, using an IDE or another editor, and a dialog box appears to inform you that one of the open files has changed on the file system and needs to be reloaded? Or perhaps, like the NetBeans IDE, the application just quietly updates the file without notifying you. The following sample dialog box shows how this notification looks with the free editor, <u>jEdit</u>:



jEdit Dialog Box Showing That a Modified File Is Detected

To implement this functionality, called *file change notification*, a program must be able to detect what is happening to the relevant directory on the file system. One way to do so is to poll the file system looking for changes, but this approach is inefficient. It does not scale to applications that have hundreds of open files or directories to monitor.

The java.nio.file package provides a file change notification API, called the Watch Service API. This API enables you to register a directory (or directories) with the watch service. When registering, you tell the service which types of events you are interested in: file creation, file deletion, or file modification. When the service detects an event of interest, it is forwarded to the registered process. The registered process has a thread (or a pool of threads) dedicated to watching for any events it has registered for. When an event comes in, it is handled as needed.

This section covers the following:

- Watch Service Overview
- Try It Out
- Creating a Watch Service and Registering for Events
- Processing Events
- Retrieving the File Name
- When to Use and Not Use This API

Watch Service Overview

The WatchService API is fairly low level, allowing you to customize it. You can use it as is, or you can choose to create a high-level API on top of this mechanism so that it is suited to your particular needs.

Here are the basic steps required to implement a watch service:

- Create a WatchService "watcher" for the file system.
- For each directory that you want monitored, register it with the watcher. When registering a directory, you specify the type of events for which you want notification. You receive a <code>Watchkey</code> instance for each directory that you register.
- Implement an infinite loop to wait for incoming events. When an event occurs, the key is signaled and placed into the watcher's queue.
- Retrieve the key from the watcher's queue. You can obtain the file name from the key.
- Retrieve each pending event for the key (there might be multiple events) and process as needed.
- Reset the key, and resume waiting for events.
- Close the service: The watch service exits when either the thread exits or when it is closed (by invoking its closed method).

Watchkeys are thread-safe and can be used with the java.nio.concurrent package. You can dedicate a thread pool to this effort.

Try It Out

Because this API is more advanced, try it out before proceeding. Save the WatchDir example to your computer, and compile it. Create a test directory that will be passed to the example. WatchDir uses a single thread to process all events, so it blocks keyboard input while waiting for events. Either run the program in a separate window, or in the background, as follows:

```
java WatchDir test &
```

Play with creating, deleting, and editing files in the test directory. When any of these events occurs, a message is printed to the console. When you have finished, delete the test directory and WatchDir exits. Or, if you prefer, you can manually kill the process.

You can also watch an entire file tree by specifying the -r option. When you specify -r, WatchDir walks the file tree, registering each directory with the watch service.

Creating a Watch Service and Registering for Events

The first step is to create a new <u>WatchService</u> by using the <u>newWatchService</u> method in the FileSystem class, as follows:

```
WatchService watcher = FileSystems.getDefault().newWatchService();
```

Next, register one or more objects with the watch service. Any object that implements the <u>Watchable</u>

interface can be registered. The Path class implements the Watchable interface, so each directory to be monitored is registered as a Path object.

As with any Watchable, the Path class implements two register methods. This page uses the two-argument version, register (WatchService, WatchEvent.Kind<?>...). (The three-argument version takes a WatchEvent.Modifier, which is not currently implemented.)

When registering an object with the watch service, you specify the types of events that you want to monitor. The supported StandardWatchEventKinds event types follow:

- ENTRY CREATE A directory entry is created.
- ENTRY_DELETE A directory entry is deleted.
- ENTRY MODIFY A directory entry is modified.
- OVERFLOW Indicates that events might have been lost or discarded. You do not have to register for the OVERFLOW event to receive it.

The following code snippet shows how to register a Path instance for all three event types:

Processing Events

The order of events in an event processing loop follow:

- 1. Get a watch key. Three methods are provided:
 - poll Returns a queued key, if available. Returns immediately with a null value, if unavailable.
 - poll(long, TimeUnit) Returns a queued key, if one is available. If a queued key is not immediately available, the program waits until the specified time. The TimeUnit argument determines whether the specified time is nanoseconds, milliseconds, or some other unit of time.
 - take Returns a queued key. If no queued key is available, this method waits.
- **2.** Process the pending events for the key. You fetch the List of <u>WatchEvents</u> from the <u>pollEvents</u> method.
- **3.** Retrieve the type of event by using the <u>kind</u> method. No matter what events the key has registered for, it is possible to receive an OVERFLOW event. You can choose to handle the overflow or ignore it, but you should test for it.
- **4.** Retrieve the file name associated with the event. The file name is stored as the context of the event, so the <u>context</u> method is used to retrieve it.

5. After the events for the key have been processed, you need to put the key back into a ready state by invoking <u>reset</u>. If this method returns false, the key is no longer valid and the loop can exit. This step is very **important**. If you fail to invoke reset, this key will not receive any further events.

A watch key has a state. At any given time, its state might be one of the following:

- Ready indicates that the key is ready to accept events. When first created, a key is in the ready state.
- signaled indicates that one or more events are queued. Once the key has been signaled, it is no longer in the ready state until the <u>reset</u> method is invoked.
- Invalid indicates that the key is no longer active. This state happens when one of the following events occurs:
 - The process explicitly cancels the key by using the <u>cancel</u> method.
 - The directory becomes inaccessible.
 - The watch service is closed.

Here is an example of an event processing loop. It is taken from the <code>Email</code> example, which watches a directory, waiting for new files to appear. When a new file becomes available, it is examined to determine if it is a <code>text/plain</code> file by using the <code>probeContentType(Path)</code> method. The intention is that <code>text/plain</code> files will be emailed to an alias, but that implementation detail is left to the reader.

The methods specific to the watch service API are shown in bold:

```
for (;;) {
   // wait for key to be signaled
   WatchKey key;
    try {
       key = watcher.take();
    } catch (InterruptedException x) {
       return:
   for (WatchEvent<?> event: key.pollEvents()) {
        WatchEvent.Kind<?> kind = event.kind();
        // This key is registered only
        // for ENTRY CREATE events,
        // but an OVERFLOW event can
        // occur regardless if events
        // are lost or discarded.
        if (kind == OVERFLOW) {
           continue;
        // The filename is the
        // context of the event.
        WatchEvent<Path> ev = (WatchEvent<Path>) event;
        Path filename = ev.context();
        // Verify that the new
        // file is a text file.
        try {
           // Resolve the filename against the directory.
            // If the filename is "test" and the directory is "foo",
            // the resolved name is "test/foo".
```

```
Path child = dir.resolve(filename);
        if (!Files.probeContentType(child).equals("text/plain")) {
            System.err.format("New file '%s'" +
                " is not a plain text file.%n", filename);
           continue;
    } catch (IOException x) {
        System.err.println(x);
        continue;
   // Email the file to the
   // specified email alias.
   System.out.format("Emailing file %s%n", filename);
    //Details left to reader....
// Reset the key -- this step is critical if you want to
// receive further watch events. If the key is no longer valid,
// the directory is inaccessible so exit the loop.
boolean valid = key.reset();
if (!valid) {
   break;
```

Retrieving the File Name

The file name is retrieved from the event context. The Email example retrieves the file name with this code:

```
WatchEvent<Path> ev = (WatchEvent<Path>)event;
Path filename = ev.context();
```

When you compile the Email example, it generates the following error:

```
Note: Email.java uses unchecked or unsafe operations.
Note: Recompile with -Xlint:unchecked for details.
```

This error is a result of the line of code that casts the watchEvent<T> to a watchEvent<Path>. The watchDir example avoids this error by creating a utility cast method that suppresses the unchecked warning, as follows:

```
@SuppressWarnings("unchecked")
static <T> WatchEvent<T> cast(WatchEvent<?> event) {
    return (WatchEvent<Path>) event;
}
```

If you are unfamiliar with the @suppresswarnings syntax, see Annotations.

When to Use and Not Use This API

The Watch Service API is designed for applications that need to be notified about file change events. It is well suited for any application, like an editor or IDE, that potentially has many open files and

needs to ensure that the files are synchronized with the file system. It is also well suited for an application server that watches a directory, perhaps waiting for .jsp or .jsr files to drop, in order to deploy them.

This API is *not* designed for indexing a hard drive. Most file system implementations have native support for file change notification. The Watch Service API takes advantage of this support where available. However, when a file system does not support this mechanism, the Watch Service will poll the file system, waiting for events.

Other Useful Methods

A few useful methods did not fit elsewhere in this lesson and are covered here. This section covers the following:

- <u>Determining MIME Type</u>
- <u>Default File System</u>
- Path String Separator
- File System's File Stores

Determining MIME Type

To determine the MIME type of a file, you might find the <u>probeContentType(Path)</u> method useful. For example:

```
try {
    String type = Files.probeContentType(filename);
    if (type == null) {
        System.err.format("'%s' has an" + " unknown filetype.%n", filename);
    } else if (!type.equals("text/plain") {
        System.err.format("'%s' is not" + " a plain text file.%n", filename);
        continue;
    }
} catch (IOException x) {
    System.err.println(x);
}
```

Note that probecontentType returns null if the content type cannot be determined.

The implementation of this method is highly platform specific and is not infallible. The content type is determind by the platform's default file type detector. For example, if the detector determines a file's content type to be application/x-java based on the .class extension, it might be fooled.

You can provide a custom <u>FileTypeDetector</u> if the default is not sufficient for your needs.

The Email example uses the probeContentType method.

Default File System

To retrieve the default file system, use the <u>getDefault</u> method. Typically, this FileSystems method (note the plural) is chained to one of the FileSystem methods (note the singular), as follows:

```
PathMatcher matcher =
FileSystems.getDefault().getPathMatcher("glob:*.*");
```

Path String Separator

The path separator for POSIX file systems is the forward slash, /, and for Microsoft Windows is the backslash, \. Other file systems might use other delimiters. To retrieve the Path separator for the

default file system, you can use one of the following approaches:

```
String separator = File.separator;
String separator = FileSystems.getDefault().getSeparator();
```

The <u>getSeparator</u> method is also used to retrieve the path separator for any available file system.

File System's File Stores

A file system has one or more file stores to hold its files and directories. The *file store* represents the underlying storage device. In UNIX operating systems, each mounted file system is represented by a file store. In Microsoft Windows, each volume is represented by a file store: C:, D:, and so on.

To retrieve a list of all the file stores for the file system, you can use the <u>getFileStores</u> method. This method returns an Iterable, which allows you to use the <u>enhanced for</u> statement to iterate over all the root directories.

```
for (FileStore store: FileSystems.getDefault().getFileStores()) {
    ...
}
```

If you want to retrive the file store where a particular file is located, use the <u>getFileStore</u> method in the Files class, as follows:

```
Path file = ...;
FileStore store= Files.getFileStore(file);
```

The DiskUsage example uses the getFileStores method.

Legacy File I/O Code

Interoperability With Legacy Code

Prior to the Java SE 7 release, the java.io.File class was the mechanism used for file I/O, but it had several drawbacks.

- Many methods didn't throw exceptions when they failed, so it was impossible to obtain a useful error message. For example, if a file deletion failed, the program would receive a "delete fail" but wouldn't know if it was because the file didn't exist, the user didn't have permissions, or there was some other problem.
- The rename method didn't work consistently across platforms.
- There was no real support for symbolic links.
- More support for metadata was desired, such as file permissions, file owner, and other security attributes.
- Accessing file metadata was inefficient.
- Many of the File methods didn't scale. Requesting a large directory listing over a server could result in a hang. Large directories could also cause memory resource problems, resulting in a denial of service.
- It was not possible to write reliable code that could recursively walk a file tree and respond appropriately if there were circular symbolic links.

Perhaps you have legacy code that uses java.io.File and would like to take advantage of the java.nio.file.Path functionality with minimal impact to your code.

The java.io.File class provides the <u>toPath</u> method, which converts an old style File instance to a java.nio.file.Path instance, as follows:

```
Path input = file.toPath();
```

You can then take advantage of the rich feature set available to the Path class.

For example, assume you had some code that deleted a file:

```
file.delete();
```

You could modify this code to use the Files.delete method, as follows:

```
Path fp = file.toPath();
Files.delete(fp);
```

Conversely, the <u>Path.toFile</u> method constructs a java.io.File object for a Path object.

Mapping java.io. File Functionality to java.nio. file

Because the Java implementation of file I/O has been completely re-architected in the Java SE 7 release, you cannot swap one method for another method. If you want to use the rich functionality offered by the <code>java.nio.file</code> package, your easiest solution is to use the <code>File.toPath</code> method as suggested in the previous section. However, if you do not want to use that approach or it is not sufficient for your needs, you must rewrite your file I/O code.

There is no one-to-one correspondence between the two APIs, but the following table gives you a general idea of what functionality in the <code>java.io.File</code> API maps to in the <code>java.nio.file</code> API and tells you where you can obtain more information.

java.io.File Functionality	java.nio.file Functionality	Tutorial Coverage
java.io.File	java.nio.file.Path	The Path Class
java.io.RandomAccessFile	The SeekableByteChannel functionality.	Random Access Files
File.canRead, canWrite, canExecute	Files.isReadable, Files.isWritable, and Files.isExecutable. On UNIX file systems, the Managing Metadata (File and File Store Attributes) package is used to check the nine file permissions.	Checking a File or Directory Managing Metadata
File.isDirectory(), File.isFile(), and File.length()	Files.isDirectory(Path, LinkOption), Files.isRegularFile(Path, LinkOption), and Files.size(Path)	Managing Metadata
File.lastModified() and File.setLastModified(long)	Files.getLastModifiedTime(Path, LinkOption) and Files.setLastMOdifiedTime(Path, FileTime)	Managing Metadata
The File methods that set various attributes: setExecutable, setReadOnly, setWritable	These methods are replaced by the Files method setAttribute(Path, String, Object, LinkOption).	Managing Metadata
new File(parent, "newfile")	parent.resolve("newfile")	Path Operations
File.renameTo	Files.move	Moving a File or Directory
File.delete	Files.delete	Deleting a File or Directory
File.createNewFile	Files.createFile	Creating Files
File.deleteOnExit	Replaced by the DELETE_ON_CLOSE option specified in the createfile method.	Creating Files
		Creating Files

File.createTempFile	<pre>Files.createTempFile(Path, String, FileAttributes<?>), Files.createTempFile(Path, String, String, FileAttributes<?>)</pre>	Creating and Writing a File by Using Stream I/O Reading and Writing Files by Using Channel I/O
File.exists	Files.exists and Files.notExists	Verifying the Existence of a File or Directory
File.compareTo and equals	Path.compareTo and equals	Comparing Two Paths
File.getAbsolutePath and getAbsoluteFile	Path.toAbsolutePath	Converting a Path
File.getCanonicalPath and getCanonicalFile	Path.toRealPath Of normalize	Converting a Path (toRealPath) Removing Redundancies From a Path (normalize)
File.toURI	Path.toURI	Converting a Path
File.isHidden	Files.isHidden	Retrieving Information About the Path
File.list and listFiles	Path.newDirectoryStream	Listing a Directory's Contents
File.mkdir and mkdirs	Path.createDirectory	Creating a Directory
File.listRoots	FileSystem.getRootDirectories	Listing a File System's Root Directories
File.getTotalSpace, File.getFreeSpace, File.getUsableSpace	FileStore.getTotalSpace, FileStore.getUnallocatedSpace, FileStore.getUsableSpace, FileStore.getTotalSpace	File Store Attributes

Summary

The java.io package contains many classes that your programs can use to read and write data. Most of the classes implement sequential access streams. The sequential access streams can be divided into two groups: those that read and write bytes and those that read and write Unicode characters. Each sequential access stream has a speciality, such as reading from or writing to a file, filtering data as its read or written, or serializing an object.

The java.nio.file package provides extensive support for file and file system I/O. This is a very comprehensive API, but the key entry points are as follows:

- The Path class has methods for manipulating a path.
- The Files class has methods for file operations, such as moving, copy, deleting, and also methods for retrieving and setting file attributes.
- The FileSystem class has a variety of methods for obtaining information about the file system.

More information on NIO.2 can be found on the <u>OpenJDK: NIO</u> project website on <u>java.net</u>. This site includes resources for features provided by NIO.2 that are beyond the scope of this tutorial, such as multicasting, asynchronous I/O, and creating your own file system implementation.

Questions and Exercises: Basic I/O

Questions

- 1. What class and method would you use to read a few pieces of data that are at known positions near the end of a large file?
- 2. When invoking format, what is the best way to indicate a new line?
- 3. How would you determine the MIME type of a file?
- 4. What method(s) would you use to determine whether a file is a symbolic link?

Exercises

- 1. Write an example that counts the number of times a particular character, such as e, appears in a file. The character can be specified at the command line. You can use xanadu.txt as the input file.
- 2. The file datafile begins with a single long that tells you the offset of a single int piece of data within the same file. Write a program that gets the int piece of data. What is the int data?

Check your answers.

Questions

- Question 1. What class and method would you use to read a few pieces of data that are at known positions near the end of a large file?
- Answer 1. Files.newByteChannel returns an instance of SeekableByteChannel which allows you to read from (or write to) any position in the file.
- Question 2. When invoking format, what is the best way to indicate a new line?
- Answer 2. Use the %n conversion the \n escape is not platform independent!
- Question 3. How would you determine the MIME type of a file?
- Answer 3. The Files.probeContentType method uses the platform's underlying file type detector to evaluate and return the MIME type.
- Question 4. What method(s) would you use to determine whether a file is a symbolic link?
- Answer 4. You would use the Files.isSymbolicLink method.

Exercises

- Exercise 1. Write an example that counts the number of times a particular character, such as e, appears in a file. The character can be specified at the command line. You can use <code>xanadu.txt</code> as the input file.
- Answer 1. See CountLetter. java for the solution.
- Exercise 2. The file datafile begins with a single long that tells you the offset of a single int piece of data within the same file. Write a program that gets the int piece of data. What is the int data?
- Answer 2. 123. See FindInt.java for the solution.

Lesson: Concurrency

Computer users take it for granted that their systems can do more than one thing at a time. They assume that they can continue to work in a word processor, while other applications download files, manage the print queue, and stream audio. Even a single application is often expected to do more than one thing at a time. For example, that streaming audio application must simultaneously read the digital audio off the network, decompress it, manage playback, and update its display. Even the word processor should always be ready to respond to keyboard and mouse events, no matter how busy it is reformatting text or updating the display. Software that can do such things is known as *concurrent* software.

The Java platform is designed from the ground up to support concurrent programming, with basic concurrency support in the Java programming language and the Java class libraries. Since version 5.0, the Java platform has also included high-level concurrency APIs. This lesson introduces the platform's basic concurrency support and summarizes some of the high-level APIs in the <code>java.util.concurrent packages</code>.

Note: See <u>online version of topics</u> in this ebook to download complete source code.

Processes and Threads

In concurrent programming, there are two basic units of execution: *processes* and *threads*. In the Java programming language, concurrent programming is mostly concerned with threads. However, processes are also important.

A computer system normally has many active processes and threads. This is true even in systems that only have a single execution core, and thus only have one thread actually executing at any given moment. Processing time for a single core is shared among processes and threads through an OS feature called time slicing.

It's becoming more and more common for computer systems to have multiple processors or processors with multiple execution cores. This greatly enhances a system's capacity for concurrent execution of processes and threads — but concurrency is possible even on simple systems, without multiple processors or execution cores.

Processes

A process has a self-contained execution environment. A process generally has a complete, private set of basic run-time resources; in particular, each process has its own memory space.

Processes are often seen as synonymous with programs or applications. However, what the user sees as a single application may in fact be a set of cooperating processes. To facilitate communication between processes, most operating systems support *Inter Process Communication* (IPC) resources, such as pipes and sockets. IPC is used not just for communication between processes on the same system, but processes on different systems.

Most implementations of the Java virtual machine run as a single process. A Java application can create additional processes using a <u>ProcessBuilder</u> object. Multiprocess applications are beyond the scope of this lesson.

Threads

Threads are sometimes called *lightweight processes*. Both processes and threads provide an execution environment, but creating a new thread requires fewer resources than creating a new process.

Threads exist within a process — every process has at least one. Threads share the process's resources, including memory and open files. This makes for efficient, but potentially problematic, communication.

Multithreaded execution is an essential feature of the Java platform. Every application has at least one thread — or several, if you count "system" threads that do things like memory management and signal handling. But from the application programmer's point of view, you start with just one thread, called the *main thread*. This thread has the ability to create additional threads, as we'll demonstrate in the next section.

Thread Objects

Each thread is associated with an instance of the class <u>Thread</u>. There are two basic strategies for using Thread objects to create a concurrent application.

- To directly control thread creation and management, simply instantiate Thread each time the application needs to initiate an asynchronous task.
- To abstract thread management from the rest of your application, pass the application's tasks to an *executor*.

This section documents the use of Thread objects. Executors are discussed with other <u>high-level</u> concurrency objects.

Defining and Starting a Thread

An application that creates an instance of Thread must provide the code that will run in that thread. There are two ways to do this:

• *Provide a Runnable object.* The Runnable interface defines a single method, run, meant to contain the code executed in the thread. The Runnable object is passed to the Thread constructor, as in the HelloRunnable example:

```
public class HelloRunnable implements Runnable {
    public void run() {
        System.out.println("Hello from a thread!");
    }
    public static void main(String args[]) {
            (new Thread(new HelloRunnable())).start();
    }
}
```

• Subclass Thread. The Thread class itself implements Runnable, though its run method does nothing. An application can subclass Thread, providing its own implementation of run, as in the HelloThread example:

```
public class HelloThread extends Thread {
   public void run() {
       System.out.println("Hello from a thread!");
   }
   public static void main(String args[]) {
       (new HelloThread()).start();
   }
}
```

Notice that both examples invoke Thread.start in order to start the new thread.

Which of these idioms should you use? The first idiom, which employs a Runnable object, is more general, because the Runnable object can subclass a class other than Thread. The second idiom is easier to use in simple applications, but is limited by the fact that your task class must be a descendant of Thread. This lesson focuses on the first approach, which separates the Runnable task from the Thread object that executes the task. Not only is this approach more flexible, but it is applicable to the high-level thread management APIs covered later.

The Thread class defines a number of methods useful for thread management. These include static methods, which provide information about, or affect the status of, the thread invoking the method. The other methods are invoked from other threads involved in managing the thread and Thread object. We'll examine some of these methods in the following sections.

Pausing Execution with Sleep

Thread.sleep causes the current thread to suspend execution for a specified period. This is an efficient means of making processor time available to the other threads of an application or other applications that might be running on a computer system. The sleep method can also be used for pacing, as shown in the example that follows, and waiting for another thread with duties that are understood to have time requirements, as with the SimpleThreads example in a later section.

Two overloaded versions of sleep are provided: one that specifies the sleep time to the millisecond and one that specifies the sleep time to the nanosecond. However, these sleep times are not guaranteed to be precise, because they are limited by the facilities provided by the underlying OS. Also, the sleep period can be terminated by interrupts, as we'll see in a later section. In any case, you cannot assume that invoking sleep will suspend the thread for precisely the time period specified.

The SleepMessages example uses sleep to print messages at four-second intervals:

```
public class SleepMessages {
    public static void main(String args[])
        throws InterruptedException {
        String importantInfo[] = {
            "Mares eat oats",
            "Does eat oats",
            "Little lambs eat ivy",
            "A kid will eat ivy too"
        } ;
        for (int i = 0;
            i < importantInfo.length;</pre>
            i++) {
            //Pause for 4 seconds
            Thread.sleep(4000);
            //Print a message
            System.out.println(importantInfo[i]);
```

Notice that main declares that it throws InterruptedException. This is an exception that sleep throws when another thread interrupts the current thread while sleep is active. Since this application has not defined another thread to cause the interrupt, it doesn't bother to catch

InterruptedException.

Interrupts

An *interrupt* is an indication to a thread that it should stop what it is doing and do something else. It's up to the programmer to decide exactly how a thread responds to an interrupt, but it is very common for the thread to terminate. This is the usage emphasized in this lesson.

A thread sends an interrupt by invoking <u>interrupt</u> on the Thread object for the thread to be interrupted. For the interrupt mechanism to work correctly, the interrupted thread must support its own interruption.

Supporting Interruption

How does a thread support its own interruption? This depends on what it's currently doing. If the thread is frequently invoking methods that throw InterruptedException, it simply returns from the run method after it catches that exception. For example, suppose the central message loop in the SleepMessages example were in the run method of a thread's Runnable object. Then it might be modified as follows to support interrupts:

Many methods that throw InterruptedException, such as sleep, are designed to cancel their current operation and return immediately when an interrupt is received.

What if a thread goes a long time without invoking a method that throws InterruptedException? Then it must periodically invoke Thread.interrupted, which returns true if an interrupt has been received. For example:

```
for (int i = 0; i < inputs.length; i++) {
    heavyCrunch(inputs[i]);
    if (Thread.interrupted()) {
        // We've been interrupted: no more crunching.
        return;
    }
}</pre>
```

In this simple example, the code simply tests for the interrupt and exits the thread if one has been received. In more complex applications, it might make more sense to throw an

InterruptedException:

```
if (Thread.interrupted()) {
```

```
throw new InterruptedException();
```

This allows interrupt handling code to be centralized in a catch clause.

The Interrupt Status Flag

The interrupt mechanism is implemented using an internal flag known as the *interrupt status*. Invoking Thread.interrupt sets this flag. When a thread checks for an interrupt by invoking the static method Thread.interrupted, interrupt status is cleared. The non-static isInterrupted method, which is used by one thread to query the interrupt status of another, does not change the interrupt status flag.

By convention, any method that exits by throwing an InterruptedException clears interrupt status when it does so. However, it's always possible that interrupt status will immediately be set again, by another thread invoking interrupt.

Joins

The join method allows one thread to wait for the completion of another. If t is a Thread object whose thread is currently executing,

t.join();

causes the current thread to pause execution until t's thread terminates. Overloads of join allow the programmer to specify a waiting period. However, as with sleep, join is dependent on the OS for timing, so you should not assume that join will wait exactly as long as you specify.

Like sleep, join responds to an interrupt by exiting with an InterruptedException.

The SimpleThreads Example

The following example brings together some of the concepts of this section. SimpleThreads consists of two threads. The first is the main thread that every Java application has. The main thread creates a new thread from the Runnable object, MessageLoop, and waits for it to finish. If the MessageLoop thread takes too long to finish, the main thread interrupts it.

The MessageLoop thread prints out a series of messages. If interrupted before it has printed all its messages, the MessageLoop thread prints a message and exits.

```
public class SimpleThreads {
    // Display a message, preceded by
    // the name of the current thread
    static void threadMessage(String message) {
        String threadName =
            Thread.currentThread().getName();
        System.out.format("%s: %s%n",
                          threadName,
                          message);
    private static class MessageLoop
        implements Runnable {
        public void run() {
            String importantInfo[] = {
                "Mares eat oats",
                "Does eat oats",
                "Little lambs eat ivy",
                "A kid will eat ivy too"
            };
            try {
                for (int i = 0;
                     i < importantInfo.length;</pre>
                     i++) {
                    // Pause for 4 seconds
                    Thread.sleep(4000);
                    // Print a message
                    threadMessage(importantInfo[i]);
            } catch (InterruptedException e) {
                threadMessage("I wasn't done!");
        }
    public static void main(String args[])
        throws InterruptedException {
        // Delay, in milliseconds before
        // we interrupt MessageLoop
        // thread (default one hour).
        long patience = 1000 * 60 * 60;
        // If command line argument
        // present, gives patience
        // in seconds.
        if (args.length > 0) {
                patience = Long.parseLong(args[0]) * 1000;
            } catch (NumberFormatException e) {
                System.err.println("Argument must be an integer.");
```

```
System.exit(1);
    }
}
threadMessage("Starting MessageLoop thread");
long startTime = System.currentTimeMillis();
Thread t = new Thread(new MessageLoop());
t.start();
threadMessage("Waiting for MessageLoop thread to finish");
// loop until MessageLoop
// thread exits
while (t.isAlive()) {
   threadMessage("Still waiting...");
    // Wait maximum of 1 second
    // for MessageLoop thread
    // to finish.
   t.join(1000);
    if (((System.currentTimeMillis() - startTime) > patience)
          && t.isAlive()) {
        threadMessage("Tired of waiting!");
        t.interrupt();
        // Shouldn't be long now
        // -- wait indefinitely
        t.join();
    }
threadMessage("Finally!");
```

Synchronization

Threads communicate primarily by sharing access to fields and the objects reference fields refer to. This form of communication is extremely efficient, but makes two kinds of errors possible: *thread interference* and *memory consistency errors*. The tool needed to prevent these errors is *synchronization*.

However, synchronization can introduce *thread contention*, which occurs when two or more threads try to access the same resource simultaneously *and* cause the Java runtime to execute one or more threads more slowly, or even suspend their execution. <u>Starvation and livelock</u> are forms of thread contention. See the section <u>Liveness</u> for more information.

This section covers the following topics:

- <u>Thread Interference</u> describes how errors are introduced when multiple threads access shared data.
- <u>Memory Consistency Errors</u> describes errors that result from inconsistent views of shared memory.
- <u>Synchronized Methods</u> describes a simple idiom that can effectively prevent thread interference and memory consistency errors.
- <u>Implicit Locks and Synchronization</u> describes a more general synchronization idiom, and describes how synchronization is based on implicit locks.
- <u>Atomic Access</u> talks about the general idea of operations that can't be interfered with by other threads.

Thread Interference

Consider a simple class called Counter

```
class Counter {
    private int c = 0;

public void increment() {
        c++;
    }

public void decrement() {
        c--;
    }

public int value() {
        return c;
    }
}
```

Counter is designed so that each invocation of increment will add 1 to c, and each invocation of decrement will subtract 1 from c. However, if a Counter object is referenced from multiple threads, interference between threads may prevent this from happening as expected.

Interference happens when two operations, running in different threads, but acting on the same data, *interleave*. This means that the two operations consist of multiple steps, and the sequences of steps overlap.

It might not seem possible for operations on instances of <code>counter</code> to interleave, since both operations on <code>c</code> are single, simple statements. However, even simple statements can translate to multiple steps by the virtual machine. We won't examine the specific steps the virtual machine takes — it is enough to know that the single expression <code>c++</code> can be decomposed into three steps:

- 1. Retrieve the current value of c.
- 2. Increment the retrieved value by 1.
- **3.** Store the incremented value back in c.

The expression c-- can be decomposed the same way, except that the second step decrements instead of increments.

Suppose Thread A invokes increment at about the same time Thread B invokes decrement. If the initial value of c is 0, their interleaved actions might follow this sequence:

- 1. Thread A: Retrieve c.
- **2.** Thread B: Retrieve c.
- **3.** Thread A: Increment retrieved value; result is 1.
- **4.** Thread B: Decrement retrieved value; result is -1.
- **5.** Thread A: Store result in c; c is now 1.

6. Thread B: Store result in c; c is now -1.

Thread A's result is lost, overwritten by Thread B. This particular interleaving is only one possibility. Under different circumstances it might be Thread B's result that gets lost, or there could be no error at all. Because they are unpredictable, thread interference bugs can be difficult to detect and fix.

Memory Consistency Errors

Memory consistency errors occur when different threads have inconsistent views of what should be the same data. The causes of memory consistency errors are complex and beyond the scope of this tutorial. Fortunately, the programmer does not need a detailed understanding of these causes. All that is needed is a strategy for avoiding them.

The key to avoiding memory consistency errors is understanding the *happens-before* relationship. This relationship is simply a guarantee that memory writes by one specific statement are visible to another specific statement. To see this, consider the following example. Suppose a simple int field is defined and initialized:

```
int counter = 0;
```

The counter field is shared between two threads, A and B. Suppose thread A increments counter:

```
counter++;
```

Then, shortly afterwards, thread B prints out counter:

```
System.out.println(counter);
```

If the two statements had been executed in the same thread, it would be safe to assume that the value printed out would be "1". But if the two statements are executed in separate threads, the value printed out might well be "0", because there's no guarantee that thread A's change to counter will be visible to thread B — unless the programmer has established a happens-before relationship between these two statements.

There are several actions that create happens-before relationships. One of them is synchronization, as we will see in the following sections.

We've already seen two actions that create happens-before relationships.

- When a statement invokes Thread.start, every statement that has a happens-before relationship with that statement also has a happens-before relationship with every statement executed by the new thread. The effects of the code that led up to the creation of the new thread are visible to the new thread.
- When a thread terminates and causes a Thread.join in another thread to return, then all the statements executed by the terminated thread have a happens-before relationship with all the statements following the successful join. The effects of the code in the thread are now visible to the thread that performed the join.

For a list of actions that create happens-before relationships, refer to the <u>Summary page of the java.util.concurrent package</u>.

Synchronized Methods

The Java programming language provides two basic synchronization idioms: *synchronized methods* and *synchronized statements*. The more complex of the two, synchronized statements, are described in the next section. This section is about synchronized methods.

To make a method synchronized, simply add the synchronized keyword to its declaration:

```
public class SynchronizedCounter {
    private int c = 0;

    public synchronized void increment() {
        c++;
    }

    public synchronized void decrement() {
        c--;
    }

    public synchronized int value() {
        return c;
    }
}
```

If count is an instance of synchronized counter, then making these methods synchronized has two effects:

- First, it is not possible for two invocations of synchronized methods on the same object to interleave. When one thread is executing a synchronized method for an object, all other threads that invoke synchronized methods for the same object block (suspend execution) until the first thread is done with the object.
- Second, when a synchronized method exits, it automatically establishes a happens-before relationship with *any subsequent invocation* of a synchronized method for the same object. This guarantees that changes to the state of the object are visible to all threads.

Note that constructors cannot be synchronized — using the synchronized keyword with a constructor is a syntax error. Synchronizing constructors doesn't make sense, because only the thread that creates an object should have access to it while it is being constructed.

Warning: When constructing an object that will be shared between threads, be very careful that a reference to the object does not "leak" prematurely. For example, suppose you want to maintain a List called instances containing every instance of class. You might be tempted to add the following line to your constructor:

```
instances.add(this);
```

But then other threads can use instances to access the object before construction of the object is complete.

Synchronized methods enable a simple strategy for preventing thread interference and memory

consistency errors: if an object is visible to more than one thread, all reads or writes to that object's variables are done through synchronized methods. (An important exception: final fields, which cannot be modified after the object is constructed, can be safely read through non-synchronized methods, once the object is constructed) This strategy is effective, but can present problems with liveness, as we'll see later in this lesson.

Intrinsic Locks and Synchronization

Synchronization is built around an internal entity known as the *intrinsic lock* or *monitor lock*. (The API specification often refers to this entity simply as a "monitor.") Intrinsic locks play a role in both aspects of synchronization: enforcing exclusive access to an object's state and establishing happensbefore relationships that are essential to visibility.

Every object has an intrinsic lock associated with it. By convention, a thread that needs exclusive and consistent access to an object's fields has to *acquire* the object's intrinsic lock before accessing them, and then *release* the intrinsic lock when it's done with them. A thread is said to *own* the intrinsic lock between the time it has acquired the lock and released the lock. As long as a thread owns an intrinsic lock, no other thread can acquire the same lock. The other thread will block when it attempts to acquire the lock.

When a thread releases an intrinsic lock, a happens-before relationship is established between that action and any subsequent acquistion of the same lock.

Locks In Synchronized Methods

When a thread invokes a synchronized method, it automatically acquires the intrinsic lock for that method's object and releases it when the method returns. The lock release occurs even if the return was caused by an uncaught exception.

You might wonder what happens when a static synchronized method is invoked, since a static method is associated with a class, not an object. In this case, the thread acquires the intrinsic lock for the class object associated with the class. Thus access to class's static fields is controlled by a lock that's distinct from the lock for any instance of the class.

Synchronized Statements

Another way to create synchronized code is with *synchronized statements*. Unlike synchronized methods, synchronized statements must specify the object that provides the intrinsic lock:

```
public void addName(String name) {
    synchronized(this) {
        lastName = name;
        nameCount++;
    }
    nameList.add(name);
}
```

In this example, the addName method needs to synchronize changes to lastName and nameCount, but also needs to avoid synchronizing invocations of other objects' methods. (Invoking other objects' methods from synchronized code can create problems that are described in the section on <u>Liveness</u>.) Without synchronized statements, there would have to be a separate, unsynchronized method for the sole purpose of invoking nameList.add.

Synchronized statements are also useful for improving concurrency with fine-grained synchronization. Suppose, for example, class MsLunch has two instance fields, c1 and c2, that are never used together. All updates of these fields must be synchronized, but there's no reason to prevent an update of c1 from being interleaved with an update of c2 — and doing so reduces concurrency by creating unnecessary blocking. Instead of using synchronized methods or otherwise using the lock associated with this, we create two objects solely to provide locks.

```
public class MsLunch {
    private long c1 = 0;
    private long c2 = 0;
    private Object lock1 = new Object();
    private Object lock2 = new Object();

    public void inc1() {
        synchronized(lock1) {
            c1++;
        }
    }
    public void inc2() {
        synchronized(lock2) {
            c2++;
        }
    }
}
```

Use this idiom with extreme care. You must be absolutely sure that it really is safe to interleave access of the affected fields.

Reentrant Synchronization

Recall that a thread cannot acquire a lock owned by another thread. But a thread *can* acquire a lock that it already owns. Allowing a thread to acquire the same lock more than once enables *reentrant synchronization*. This describes a situation where synchronized code, directly or indirectly, invokes a method that also contains synchronized code, and both sets of code use the same lock. Without reentrant synchronization, synchronized code would have to take many additional precautions to avoid having a thread cause itself to block.

Atomic Access

In programming, an *atomic* action is one that effectively happens all at once. An atomic action cannot stop in the middle: it either happens completely, or it doesn't happen at all. No side effects of an atomic action are visible until the action is complete.

We have already seen that an increment expression, such as c++, does not describe an atomic action. Even very simple expressions can define complex actions that can decompose into other actions. However, there are actions you can specify that are atomic:

- Reads and writes are atomic for reference variables and for most primitive variables (all types except long and double).
- Reads and writes are atomic for *all* variables declared volatile (*including* long and double variables).

Atomic actions cannot be interleaved, so they can be used without fear of thread interference. However, this does not eliminate all need to synchronize atomic actions, because memory consistency errors are still possible. Using volatile variables reduces the risk of memory consistency errors, because any write to a volatile variable establishes a happens-before relationship with subsequent reads of that same variable. This means that changes to a volatile variable are always visible to other threads. What's more, it also means that when a thread reads a volatile variable, it sees not just the latest change to the volatile, but also the side effects of the code that led up the change.

Using simple atomic variable access is more efficient than accessing these variables through synchronized code, but requires more care by the programmer to avoid memory consistency errors. Whether the extra effort is worthwhile depends on the size and complexity of the application.

Some of the classes in the <u>java.util.concurrent</u> package provide atomic methods that do not rely on synchronization. We'll discuss them in the section on <u>High Level Concurrency Objects</u>.

Liveness

A concurrent application's ability to execute in a timely manner is known as its *liveness*. This section describes the most common kind of liveness problem, <u>deadlock</u>, and goes on to briefly describe two other liveness problems, <u>starvation and livelock</u>.

Deadlock

Deadlock describes a situation where two or more threads are blocked forever, waiting for each other. Here's an example.

Alphonse and Gaston are friends, and great believers in courtesy. A strict rule of courtesy is that when you bow to a friend, you must remain bowed until your friend has a chance to return the bow. Unfortunately, this rule does not account for the possibility that two friends might bow to each other at the same time. This example application, <code>Deadlock</code>, models this possibility:

```
public class Deadlock {
    static class Friend {
       private final String name;
       public Friend(String name) {
           this.name = name;
        public String getName() {
           return this.name;
        public synchronized void bow(Friend bower) {
           System.out.format("%s: %s"
              + " has bowed to me!%n",
               this.name, bower.getName());
           bower.bowBack(this);
        public synchronized void bowBack(Friend bower) {
           System.out.format("%s: %s"
              + " has bowed back to me!%n",
               this.name, bower.getName());
    public static void main(String[] args) {
        final Friend alphonse =
           new Friend("Alphonse");
        final Friend gaston =
          new Friend("Gaston");
        new Thread(new Runnable() {
           public void run() { alphonse.bow(gaston); }
        }).start();
        new Thread(new Runnable() {
          public void run() { gaston.bow(alphonse); }
       }).start();
    }
```

When Deadlock runs, it's extremely likely that both threads will block when they attempt to invoke bowBack. Neither block will ever end, because each thread is waiting for the other to exit bow.

Starvation and Livelock

Starvation and livelock are much less common a problem than deadlock, but are still problems that every designer of concurrent software is likely to encounter.

Starvation

Starvation describes a situation where a thread is unable to gain regular access to shared resources and is unable to make progress. This happens when shared resources are made unavailable for long periods by "greedy" threads. For example, suppose an object provides a synchronized method that often takes a long time to return. If one thread invokes this method frequently, other threads that also need frequent synchronized access to the same object will often be blocked.

Livelock

A thread often acts in response to the action of another thread. If the other thread's action is also a response to the action of another thread, then *livelock* may result. As with deadlock, livelocked threads are unable to make further progress. However, the threads are not blocked — they are simply too busy responding to each other to resume work. This is comparable to two people attempting to pass each other in a corridor: Alphonse moves to his left to let Gaston pass, while Gaston moves to his right to let Alphonse pass. Seeing that they are still blocking each other, Alphone moves to his right, while Gaston moves to his left. They're still blocking each other, so...

Guarded Blocks

Threads often have to coordinate their actions. The most common coordination idiom is the *guarded block*. Such a block begins by polling a condition that must be true before the block can proceed. There are a number of steps to follow in order to do this correctly.

Suppose, for example guardedJoy is a method that must not proceed until a shared variable joy has been set by another thread. Such a method could, in theory, simply loop until the condition is satisfied, but that loop is wasteful, since it executes continuously while waiting.

```
public void guardedJoy() {
    // Simple loop guard. Wastes
    // processor time. Don't do this!
    while(!joy) {}
    System.out.println("Joy has been achieved!");
}
```

A more efficient guard invokes <u>Object.wait</u> to suspend the current thread. The invocation of wait does not return until another thread has issued a notification that some special event may have occurred — though not necessarily the event this thread is waiting for:

```
public synchronized void guardedJoy() {
    // This guard only loops once for each special event, which may not
    // be the event we're waiting for.
    while(!joy) {
        try {
            wait();
        } catch (InterruptedException e) {}
    }
    System.out.println("Joy and efficiency have been achieved!");
}
```

Note: Always invoke wait inside a loop that tests for the condition being waited for. Don't assume that the interrupt was for the particular condition you were waiting for, or that the condition is still true.

Like many methods that suspend execution, wait can throw InterruptedException. In this example, we can just ignore that exception — we only care about the value of joy.

Why is this version of guardedJoy synchronized? Suppose d is the object we're using to invoke wait. When a thread invokes d.wait, it must own the intrinsic lock for d — otherwise an error is thrown. Invoking wait inside a synchronized method is a simple way to acquire the intrinsic lock.

When wait is invoked, the thread releases the lock and suspends execution. At some future time, another thread will acquire the same lock and invoke <u>Object.notifyAll</u>, informing all threads waiting on that lock that something important has happened:

```
public synchronized notifyJoy() {
    joy = true;
```

```
notifyAll();
}
```

Some time after the second thread has released the lock, the first thread reacquires the lock and resumes by returning from the invocation of wait.

Note: There is a second notification method, notify, which wakes up a single thread. Because notify doesn't allow you to specify the thread that is woken up, it is useful only in massively parallel applications — that is, programs with a large number of threads, all doing similar chores. In such an application, you don't care which thread gets woken up.

Let's use guarded blocks to create a *Producer-Consumer* application. This kind of application shares data between two threads: the *producer*, that creates the data, and the *consumer*, that does something with it. The two threads communicate using a shared object. Coordination is essential: the consumer thread must not attempt to retrieve the data before the producer thread has delivered it, and the producer thread must not attempt to deliver new data if the consumer hasn't retrieved the old data.

In this example, the data is a series of text messages, which are shared through an object of type Drop:

```
public class Drop {
   // Message sent from producer
   // to consumer.
   private String message;
   // True if consumer should wait
   // for producer to send message,
   // false if producer should wait for
   // consumer to retrieve message.
   private boolean empty = true;
   public synchronized String take() {
        // Wait until message is
        // available.
        while (empty) {
           try {
               wait();
           } catch (InterruptedException e) {}
        // Toggle status.
        empty = true;
        // Notify producer that
        // status has changed.
        notifyAll();
        return message;
   public synchronized void put(String message) {
        // Wait until message has
        // been retrieved.
        while (!empty) {
           try {
               wait();
           } catch (InterruptedException e) {}
        // Toggle status.
        empty = false;
        // Store message.
```

```
this.message = message;
    // Notify consumer that status
    // has changed.
    notifyAll();
}
```

The producer thread, defined in Producer, sends a series of familiar messages. The string "DONE" indicates that all messages have been sent. To simulate the unpredictable nature of real-world applications, the producer thread pauses for random intervals between messages.

```
import java.util.Random;
public class Producer implements Runnable {
    private Drop drop;
    public Producer(Drop drop) {
        this.drop = drop;
    public void run() {
        String importantInfo[] = {
            "Mares eat oats",
            "Does eat oats",
            "Little lambs eat ivy",
            "A kid will eat ivy too"
        };
        Random random = new Random();
        for (int i = 0;
            i < importantInfo.length;</pre>
            i++) {
            drop.put(importantInfo[i]);
                Thread.sleep(random.nextInt(5000));
            } catch (InterruptedException e) {}
        drop.put("DONE");
```

The consumer thread, defined in Consumer, simply retrieves the messages and prints them out, until it retrieves the "DONE" string. This thread also pauses for random intervals.

```
Thread.sleep(random.nextInt(5000));
} catch (InterruptedException e) {}
}
}
```

Finally, here is the main thread, defined in ProducerConsumerExample, that launches the producer and consumer threads.

```
public class ProducerConsumerExample {
    public static void main(String[] args) {
        Drop drop = new Drop();
        (new Thread(new Producer(drop))).start();
        (new Thread(new Consumer(drop))).start();
    }
}
```

Note: The Drop class was written in order to demonstrate guarded blocks. To avoid re-inventing the wheel, examine the existing data structures in the <u>Java Collections Framework</u> before trying to code your own data-sharing objects. For more information, refer to the <u>Questions and Exercises</u> section.

Immutable Objects

An object is considered *immutable* if its state cannot change after it is constructed. Maximum reliance on immutable objects is widely accepted as a sound strategy for creating simple, reliable code.

Immutable objects are particularly useful in concurrent applications. Since they cannot change state, they cannot be corrupted by thread interference or observed in an inconsistent state.

Programmers are often reluctant to employ immutable objects, because they worry about the cost of creating a new object as opposed to updating an object in place. The impact of object creation is often overestimated, and can be offset by some of the efficiencies associated with immutable objects. These include decreased overhead due to garbage collection, and the elimination of code needed to protect mutable objects from corruption.

The following subsections take a class whose instances are mutable and derives a class with immutable instances from it. In so doing, they give general rules for this kind of conversion and demonstrate some of the advantages of immutable objects.

A Synchronized Class Example

The class, SynchronizedRGB, defines objects that represent colors. Each object represents the color as three integers that stand for primary color values and a string that gives the name of the color.

```
public class SynchronizedRGB {
    // Values must be between 0 and 255.
    private int red;
   private int green;
    private int blue;
    private String name;
    private void check(int red,
                       int green,
                       int blue) {
        if (red < 0 || red > 255
            || green < 0 || green > 255
            || blue < 0 || blue > 255) {
            throw new IllegalArgumentException();
    public SynchronizedRGB (int red,
                           int green,
                            int blue,
                           String name) {
        check(red, green, blue);
        this.red = red;
        this.green = green;
        this.blue = blue;
        this.name = name;
    public void set (int red,
                    int green,
                    int blue,
                    String name) {
        check(red, green, blue);
        synchronized (this) {
            this.red = red;
            this.green = green;
            this.blue = blue;
            this.name = name;
    public synchronized int getRGB() {
        return ((red << 16) | (green << 8) | blue);
    public synchronized String getName() {
        return name;
    public synchronized void invert() {
        red = 255 - red;
        green = 255 - green;
        blue = 255 - blue;
        name = "Inverse of " + name;
```

SynchronizedRGB must be used carefully to avoid being seen in an inconsistent state. Suppose, for example, a thread executes the following code:

If another thread invokes <code>color.set</code> after Statement 1 but before Statement 2, the value of <code>myColorInt</code> won't match the value of <code>myColorName</code>. To avoid this outcome, the two statements must be bound together:

```
synchronized (color) {
   int myColorInt = color.getRGB();
   String myColorName = color.getName();
}
```

This kind of inconsistency is only possible for mutable objects — it will not be an issue for the immutable version of SynchronizedRGB.

A Strategy for Defining Immutable Objects

The following rules define a simple strategy for creating immutable objects. Not all classes documented as "immutable" follow these rules. This does not necessarily mean the creators of these classes were sloppy — they may have good reason for believing that instances of their classes never change after construction. However, such strategies require sophisticated analysis and are not for beginners.

- 1. Don't provide "setter" methods methods that modify fields or objects referred to by fields.
- 2. Make all fields final and private.
- **3.** Don't allow subclasses to override methods. The simplest way to do this is to declare the class as final. A more sophisticated approach is to make the constructor private and construct instances in factory methods.
- **4.** If the instance fields include references to mutable objects, don't allow those objects to be changed:
 - Don't provide methods that modify the mutable objects.
 - Don't share references to the mutable objects. Never store references to external, mutable objects passed to the constructor; if necessary, create copies, and store references to the copies. Similarly, create copies of your internal mutable objects when necessary to avoid returning the originals in your methods.

Applying this strategy to Synchronized RGB results in the following steps:

- 1. There are two setter methods in this class. The first one, set, arbitrarily transforms the object, and has no place in an immutable version of the class. The second one, invert, can be adapted by having it create a new object instead of modifying the existing one.
- 2. All fields are already private; they are further qualified as final.
- 3. The class itself is declared final.
- **4.** Only one field refers to an object, and that object is itself immutable. Therefore, no safeguards against changing the state of "contained" mutable objects are necessary.

After these changes, we have ImmutableRGB:

```
public ImmutableRGB(int red,
                   int green,
                   int blue,
                   String name) {
    check(red, green, blue);
    this.red = red;
    this.green = green;
    this.blue = blue;
    this.name = name;
public int getRGB() {
   return ((red << 16) | (green << 8) | blue);
public String getName() {
   return name;
public ImmutableRGB invert() {
    return new ImmutableRGB(255 - red,
                  255 - green,
                   255 - blue,
                   "Inverse of " + name);
}
```

High Level Concurrency Objects

So far, this lesson has focused on the low-level APIs that have been part of the Java platform from the very beginning. These APIs are adequate for very basic tasks, but higher-level building blocks are needed for more advanced tasks. This is especially true for massively concurrent applications that fully exploit today's multiprocessor and multi-core systems.

In this section we'll look at some of the high-level concurrency features introduced with version 5.0 of the Java platform. Most of these features are implemented in the new java.util.concurrent packages. There are also new concurrent data structures in the Java Collections Framework.

- Lock objects support locking idioms that simplify many concurrent applications.
- Executors define a high-level API for launching and managing threads. Executor implementations provided by java.util.concurrent provide thread pool management suitable for large-scale applications.
- <u>Concurrent collections</u> make it easier to manage large collections of data, and can greatly reduce the need for synchronization.
- <u>Atomic variables</u> have features that minimize synchronization and help avoid memory consistency errors.
- <u>ThreadLocalRandom</u> (in JDK 7) provides efficient generation of pseudorandom numbers from multiple threads.

Lock Objects

Synchronized code relies on a simple kind of reentrant lock. This kind of lock is easy to use, but has many limitations. More sophisticated locking idioms are supported by the java.util.concurrent.locks package. We won't examine this package in detail, but instead will focus on its most basic interface, Lock.

Lock objects work very much like the implicit locks used by synchronized code. As with implicit locks, only one thread can own a Lock object at a time. Lock objects also support a wait/notify mechanism, through their associated <u>Condition</u> objects.

The biggest advantage of Lock objects over implicit locks is their ability to back out of an attempt to acquire a lock. The tryLock method backs out if the lock is not available immediately or before a timeout expires (if specified). The lockInterruptibly method backs out if another thread sends an interrupt before the lock is acquired.

Let's use Lock objects to solve the deadlock problem we saw in <u>Liveness</u>. Alphonse and Gaston have trained themselves to notice when a friend is about to bow. We model this improvement by requiring that our Friend objects must acquire locks for *both* participants before proceeding with the bow. Here is the source code for the improved model, Safelock. To demonstrate the versatility of this idiom, we assume that Alphonse and Gaston are so infatuated with their newfound ability to bow safely that they can't stop bowing to each other:

```
import java.util.concurrent.locks.Lock;
import java.util.concurrent.locks.ReentrantLock;
import java.util.Random;
public class Safelock {
   static class Friend {
      private final String name;
       private final Lock lock = new ReentrantLock();
       public Friend(String name) {
           this.name = name;
        public String getName() {
           return this.name;
        public boolean impendingBow(Friend bower) {
           Boolean myLock = false;
           Boolean yourLock = false;
               myLock = lock.tryLock();
               yourLock = bower.lock.tryLock();
            } finally {
               if (! (myLock && yourLock)) {
                   if (myLock) {
                        lock.unlock();
                    if (yourLock) {
                       bower.lock.unlock();
```

```
return myLock && yourLock;
   public void bow(Friend bower) {
        if (impendingBow(bower)) {
            try {
                System.out.format("%s: %s has"
                    + " bowed to me!%n",
                    this.name, bower.getName());
                bower.bowBack(this);
            } finally {
                lock.unlock();
                bower.lock.unlock();
            }
        } else {
            System.out.format("%s: %s started"
                + " to bow to me, but saw that"
                + " I was already bowing to"
                + " him.%n",
                this.name, bower.getName());
        }
   public void bowBack(Friend bower) {
        System.out.format("%s: %s has" +
            " bowed back to me!%n",
            this.name, bower.getName());
}
static class BowLoop implements Runnable {
   private Friend bower;
   private Friend bowee;
   public BowLoop(Friend bower, Friend bowee) {
        this.bower = bower;
        this.bowee = bowee;
   public void run() {
        Random random = new Random();
        for (;;) {
            try {
                Thread.sleep(random.nextInt(10));
            } catch (InterruptedException e) {}
            bowee.bow(bower);
        }
   }
}
public static void main(String[] args) {
   final Friend alphonse =
        new Friend("Alphonse");
   final Friend gaston =
       new Friend("Gaston");
   new Thread(new BowLoop(alphonse, gaston)).start();
   new Thread(new BowLoop(gaston, alphonse)).start();
```

Executors

In all of the previous examples, there's a close connection between the task being done by a new thread, as defined by its Runnable object, and the thread itself, as defined by a Thread object. This works well for small applications, but in large-scale applications, it makes sense to separate thread management and creation from the rest of the application. Objects that encapsulate these functions are known as *executors*. The following subsections describe executors in detail.

- Executor Interfaces define the three executor object types.
- Thread Pools are the most common kind of executor implementation.
- Fork/Join is a framework (new in JDK 7) for taking advantage of multiple processors.

Executor Interfaces

The java.util.concurrent package defines three executor interfaces:

- Executor, a simple interface that supports launching new tasks.
- ExecutorService, a subinterface of Executor, which adds features that help manage the lifecycle, both of the individual tasks and of the executor itself.
- ScheduledExecutorService, a subinterface of ExecutorService, supports future and/or periodic execution of tasks.

Typically, variables that refer to executor objects are declared as one of these three interface types, not with an executor class type.

The Executor Interface

The <u>Executor</u> interface provides a single method, execute, designed to be a drop-in replacement for a common thread-creation idiom. If r is a Runnable object, and e is an Executor object you can replace

```
(new Thread(r)).start();
```

with

```
e.execute(r);
```

However, the definition of execute is less specific. The low-level idiom creates a new thread and launches it immediately. Depending on the Executor implementation, execute may do the same thing, but is more likely to use an existing worker thread to run r, or to place r in a queue to wait for a worker thread to become available. (We'll describe worker threads in the section on Thread Pools.)

The executor implementations in java.util.concurrent are designed to make full use of the more advanced ExecutorService and ScheduledExecutorService interfaces, although they also work with the base Executor interface.

The ExecutorService Interface

The <u>ExecutorService</u> interface supplements execute with a similar, but more versatile submit method. Like execute, submit accepts Runnable objects, but also accepts <u>Callable</u> objects, which allow the task to return a value. The submit method returns a <u>Future</u> object, which is used to retrieve the Callable return value and to manage the status of both Callable and Runnable tasks.

ExecutorService also provides methods for submitting large collections of Callable objects. Finally, ExecutorService provides a number of methods for managing the shutdown of the executor. To support immediate shutdown, tasks should handle <u>interrupts</u> correctly.

The ScheduledExecutorService Interface

The <u>ScheduledExecutorService</u> interface supplements the methods of its parent ExecutorService with schedule, which executes a Runnable or Callable task after a specified delay. In addition, the interface defines scheduleAtFixedRate and scheduleWithFixedDelay, which executes specified tasks repeatedly, at defined intervals.

Thread Pools

Most of the executor implementations in java.util.concurrent use thread pools, which consist of worker threads. This kind of thread exists separately from the Runnable and Callable tasks it executes and is often used to execute multiple tasks.

Using worker threads minimizes the overhead due to thread creation. Thread objects use a significant amount of memory, and in a large-scale application, allocating and deallocating many thread objects creates a significant memory management overhead.

One common type of thread pool is the *fixed thread pool*. This type of pool always has a specified number of threads running; if a thread is somehow terminated while it is still in use, it is automatically replaced with a new thread. Tasks are submitted to the pool via an internal queue, which holds extra tasks whenever there are more active tasks than threads.

An important advantage of the fixed thread pool is that applications using it *degrade gracefully*. To understand this, consider a web server application where each HTTP request is handled by a separate thread. If the application simply creates a new thread for every new HTTP request, and the system receives more requests than it can handle immediately, the application will suddenly stop responding to *all* requests when the overhead of all those threads exceed the capacity of the system. With a limit on the number of the threads that can be created, the application will not be servicing HTTP requests as quickly as they come in, but it will be servicing them as quickly as the system can sustain.

A simple way to create an executor that uses a fixed thread pool is to invoke the newFixedThreadPool factory method in java.util.concurrent.Executors This class also provides the following factory methods:

- The newCachedThreadPool method creates an executor with an expandable thread pool. This executor is suitable for applications that launch many short-lived tasks.
- The <u>newSingleThreadExecutor</u> method creates an executor that executes a single task at a time.
- Several factory methods are ScheduledExecutorService versions of the above executors.

If none of the executors provided by the above factory methods meet your needs, constructing instances of java.util.concurrent.ThreadPoolExecutor or java.util.concurrent.ScheduledThreadPoolExecutor will give you additional options.

Fork/Join

The fork/join framework is an implementation of the ExecutorService interface that helps you take advantage of multiple processors. It is designed for work that can be broken into smaller pieces recursively. The goal is to use all the available processing power to enhance the performance of your application.

As with any ExecutorService implementation, the fork/join framework distributes tasks to worker threads in a thread pool. The fork/join framework is distinct because it uses a *work-stealing* algorithm. Worker threads that run out of things to do can steal tasks from other threads that are still busy.

The center of the fork/join framework is the <u>ForkJoinPool</u> class, an extension of the AbstractExecutorService class. ForkJoinPool implements the core work-stealing algorithm and can execute <u>ForkJoinTask</u> processes.

Basic Use

The first step for using the fork/join framework is to write code that performs a segment of the work. Your code should look similar to the following pseudocode:

```
if (my portion of the work is small enough)
do the work directly
else
split my work into two pieces
invoke the two pieces and wait for the results
```

Wrap this code in a ForkJoinTask subclass, typically using one of its more specialized types, either RecursiveTask (which can return a result) or RecursiveAction.

After your ForkJoinTask subclass is ready, create the object that represents all the work to be done and pass it to the invoke() method of a ForkJoinPool instance.

Blurring for Clarity

To help you understand how the fork/join framework works, consider the following example. Suppose that you want to blur an image. The original *source* image is represented by an array of integers, where each integer contains the color values for a single pixel. The blurred *destination* image is also represented by an integer array with the same size as the source.

Performing the blur is accomplished by working through the source array one pixel at a time. Each pixel is averaged with its surrounding pixels (the red, green, and blue components are averaged), and the result is placed in the destination array. Since an image is a large array, this process can take a long time. You can take advantage of concurrent processing on multiprocessor systems by implementing the algorithm using the fork/join framework. Here is one possible implementation:

```
private int[] mSource;
private int mStart;
private int mLength;
private int[] mDestination;
// Processing window size; should be odd.
private int mBlurWidth = 15;
public ForkBlur(int[] src, int start, int length, int[] dst) {
   mSource = src;
   mStart = start;
   mLength = length;
   mDestination = dst;
protected void computeDirectly() {
   int sidePixels = (mBlurWidth - 1) / 2;
   for (int index = mStart; index < mStart + mLength; index++) {</pre>
        // Calculate average.
        float rt = 0, qt = 0, bt = 0;
        for (int mi = -sidePixels; mi <= sidePixels; mi++) {</pre>
            int mindex = Math.min(Math.max(mi + index, 0),
                                mSource.length - 1);
            int pixel = mSource[mindex];
            rt += (float)((pixel & 0x00ff0000) >> 16)
                  / mBlurWidth;
            gt += (float)((pixel & 0x0000ff00) >> 8)
                  / mBlurWidth;
            bt += (float)((pixel & 0x000000ff) >> 0)
                  / mBlurWidth;
        // Reassemble destination pixel.
        int dpixel = (0xff000000)
               (((int)rt) << 16) |
               (((int)gt) << 8) |
               (((int)bt) << 0);
        mDestination[index] = dpixel;
```

Now you implement the abstract compute() method, which either performs the blur directly or splits it into two smaller tasks. A simple array length threshold helps determine whether the work is performed or split.

If the previous methods are in a subclass of the RecursiveAction class, then setting up the task to

run in a ForkJoinPool is straightforward, and involves the following steps:

1. Create a task that represents all of the work to be done.

```
// source image pixels are in src
// destination image pixels are in dst
ForkBlur fb = new ForkBlur(src, 0, src.length, dst);
```

2. Create the ForkJoinPool that will run the task.

```
ForkJoinPool pool = new ForkJoinPool();
```

3. Run the task.

```
pool.invoke(fb);
```

For the full source code, including some extra code that creates the destination image file, see the ForkBlur example.

Standard Implementations

Besides using the fork/join framework to implement custom algorithms for tasks to be performed concurrently on a multiprocessor system (such as the ForkBlur.java example in the previous section), there are some generally useful features in Java SE which are already implemented using the fork/join framework. One such implementation, introduced in Java SE 8, is used by the java.util.Arrays class for its parallelSort() methods. These methods are similar to sort(), but leverage concurrency via the fork/join framework. Parallel sorting of large arrays is faster than sequential sorting when run on multiprocessor systems. However, how exactly the fork/join framework is leveraged by these methods is outside the scope of the Java Tutorials. For this information, see the Java API documentation.

Another implementation of the fork/join framework is used by methods in the <code>java.util.streams</code> package, which is part of <u>Project Lambda</u> scheduled for the Java SE 8 release. For more information, see the <u>Lambda Expressions</u> section.

Concurrent Collections

The java.util.concurrent package includes a number of additions to the Java Collections Framework. These are most easily categorized by the collection interfaces provided:

- <u>BlockingQueue</u> defines a first-in-first-out data structure that blocks or times out when you attempt to add to a full queue, or retrieve from an empty queue.
- <u>ConcurrentMap</u> is a subinterface of <u>java.util.Map</u> that defines useful atomic operations. These operations remove or replace a key-value pair only if the key is present, or add a key-value pair only if the key is absent. Making these operations atomic helps avoid synchronization. The standard general-purpose implementation of <code>ConcurrentMap</code> is <u>ConcurrentHashMap</u>, which is a concurrent analog of <u>HashMap</u>.
- <u>ConcurrentNavigableMap</u> is a subinterface of ConcurrentMap that supports approximate matches. The standard general-purpose implementation of ConcurrentNavigableMap is <u>ConcurrentSkipListMap</u>, which is a concurrent analog of <u>TreeMap</u>.

All of these collections help avoid <u>Memory Consistency Errors</u> by defining a happens-before relationship between an operation that adds an object to the collection with subsequent operations that access or remove that object.

Atomic Variables

The <u>java.util.concurrent.atomic</u> package defines classes that support atomic operations on single variables. All classes have get and set methods that work like reads and writes on volatile variables. That is, a set has a happens-before relationship with any subsequent get on the same variable. The atomic compareAndSet method also has these memory consistency features, as do the simple atomic arithmetic methods that apply to integer atomic variables.

To see how this package might be used, let's return to the Counter class we originally used to demonstrate thread interference:

```
class Counter {
    private int c = 0;

    public void increment() {
        c++;
    }

    public void decrement() {
        c--;
    }

    public int value() {
        return c;
    }
}
```

One way to make Counter safe from thread interference is to make its methods synchronized, as in SynchronizedCounter:

```
class SynchronizedCounter {
    private int c = 0;

    public synchronized void increment() {
        c++;
    }

    public synchronized void decrement() {
        c--;
    }

    public synchronized int value() {
        return c;
    }
}
```

For this simple class, synchronization is an acceptable solution. But for a more complicated class, we might want to avoid the liveness impact of unnecessary synchronization. Replacing the int field with an AtomicInteger allows us to prevent thread interference without resorting to synchronization, as in AtomicCounter:

```
import java.util.concurrent.atomic.AtomicInteger;

class AtomicCounter {
    private AtomicInteger c = new AtomicInteger(0);

    public void increment() {
        c.incrementAndGet();
    }

    public void decrement() {
        c.decrementAndGet();
    }

    public int value() {
        return c.get();
    }
}
```

Concurrent Random Numbers

In JDK 7, <u>java.util.concurrent</u> includes a convenience class, <u>ThreadLocalRandom</u>, for applications that expect to use random numbers from multiple threads or ForkJoinTaskS.

For concurrent access, using ThreadLocalRandom instead of Math.random() results in less contention and, ultimately, better performance.

All you need to do is call ThreadLocalRandom.current(), then call one of its methods to retrieve a random number. Here is one example:

```
int r = ThreadLocalRandom.current() .nextInt(4, 77);
```

For Further Reading

- Concurrent Programming in Java: Design Principles and Pattern (2nd Edition) by Doug Lea. A comprehensive work by a leading expert, who's also the architect of the Java platform's concurrency framework.
- *Java Concurrency in Practice* by Brian Goetz, Tim Peierls, Joshua Bloch, Joseph Bowbeer, David Holmes, and Doug Lea. A practical guide designed to be accessible to the novice.
- Effective Java Programming Language Guide (2nd Edition) by Joshua Bloch. Though this is a general programming guide, its chapter on threads contains essential "best practices" for concurrent programming.
- Concurrency: State Models & Java Programs (2nd Edition), by Jeff Magee and Jeff Kramer. An introduction to concurrent programming through a combination of modeling and practical examples.
- Java Concurrent Animated: Animations that show usage of concurrency features.

Questions and Exercises: Concurrency

Questions

1. Can you pass a Thread object to Executor. execute? Would such an invocation make sense?

Exercises

1. Compile and run BadThreads.java:

```
public class BadThreads {
   static String message;
    private static class CorrectorThread
       extends Thread {
       public void run() {
           try {
               sleep(1000);
           } catch (InterruptedException e) {}
           // Key statement 1:
           message = "Mares do eat oats.";
        }
    public static void main(String args[])
        throws InterruptedException {
       (new CorrectorThread()).start();
       message = "Mares do not eat oats.";
        Thread.sleep(2000);
        // Key statement 2:
        System.out.println(message);
```

The application should print out "Mares do eat oats." Is it guaranteed to always do this? If not, why not? Would it help to change the parameters of the two invocations of sleep? How would you guarantee that all changes to message will be visible in the main thread?

2. Modify the producer-consumer example in <u>Guarded Blocks</u> to use a standard library class instead of the Drop class.

Check your answers.

Questions

1. Question: Can you pass a Thread object to Executor.execute? Would such an invocation make sense? Why or why not? Answer: Thread implements the Runnable interface, so you can pass an instance of Thread to Executor.execute. However it doesn't make sense to use Thread objects this way. If the object is directly instantiated from Thread, its run method doesn't do anything. You can define a subclass of Thread with a useful run method — but such a class would implement features that the executor would not use.

Exercises

1. Exercise: Compile and run BadThreads.java:

```
public class BadThreads {
   static String message;
   private static class CorrectorThread
       extends Thread {
       public void run() {
          try {
              sleep(1000);
           } catch (InterruptedException e) {}
           // Key statement 1:
           message = "Mares do eat oats.";
    }
    public static void main(String args[])
       throws InterruptedException {
       (new CorrectorThread()).start();
       message = "Mares do not eat oats.";
       Thread.sleep(2000);
       // Key statement 2:
       System.out.println(message);
```

The application should print out "Mares do eat oats." Is it guaranteed to always do this? If not, why not? Would it help to change the parameters of the two invocations of sleep? How would you guarantee that all changes to message will be visible to the main thread?

Solution: The program will almost always print out "Mares do eat oats." However, this result is not guaranteed, because there is no happens-before relationship between "Key statement 1" and "Key statement 2". This is true even if "Key statement 1" actually executes before "Key statement 2" — remember, a happens-before relationship is about visibility, not sequence.

There are two ways you can guarantee that all changes to message will be visible to the main thread:

- In the main thread, retain a reference to the CorrectorThread instance. Then invoke join on that instance before referring to message
- Encapsulate message in an object with synchronized methods. Never reference message except through those methods.

Both of these techniques establish the necessary happens-before relationship, making changes to

message visible.

A third technique is to simply declare message as volatile. This guarantees that any write to message (as in "Key statement 1") will have a happens-before relationship with any subsequent reads of message (as in "Key statement 2"). But it does not guarantee that "Key statement 1" will *literally* happen before "Key statement 2". They will *probably* happen in sequence, but because of scheduling uncertainties and the unknown granularity of sleep, this is not guaranteed.

Changing the arguments of the two sleep invocations does not help either, since this does nothing to guarantee a happens-before relationship.

2. Exercise: Modify the producer-consumer example in <u>Guarded Blocks</u> to use a standard library class instead of the <u>Drop</u> class. **Solution:** The <u>java.util.concurrent.BlockingOueue</u> interface defines a get method that blocks if the queue is empty, and a put methods that blocks if the queue is full. These are effectively the same operations defined by <u>Drop</u> — except that <u>Drop</u> is not a queue! However, there's another way of looking at Drop: it's a queue with a capacity of zero. Since there's no room in the queue for *any* elements, every get blocks until the corresponding take and every take blocks until the corresponding get. There is an implementation of <u>BlockingQueue</u> with precisely this behavior: <u>java.util.concurrent.SynchronousQueue</u>.

BlockingQueue is almost a drop-in replacement for Drop. The main problem in Producer is that with BlockingQueue, the put and get methods throw InterruptedException. This means that the existing try must be moved up a level:

```
import java.util.Random;
import java.util.concurrent.BlockingQueue;
public class Producer implements Runnable {
    private BlockingQueue<String> drop;
   public Producer(BlockingQueue<String> drop) {
        this.drop = drop;
   public void run() {
        String importantInfo[] = {
           "Mares eat oats",
            "Does eat oats",
            "Little lambs eat ivy",
            "A kid will eat ivy too"
        Random random = new Random();
        try {
            for (int i = 0;
                i < importantInfo.length;</pre>
                drop.put(importantInfo[i]);
                Thread.sleep(random.nextInt(5000));
            drop.put("DONE");
        } catch (InterruptedException e) {}
```

Similar changes are required for Consumer:

```
import java.util.Random;
import java.util.concurrent.BlockingQueue;
public class Consumer implements Runnable {
```

For ProducerConsumerExample, we simply change the declaration for the drop object:

Lesson: The Platform Environment

An application runs in a *platform environment*, defined by the underlying operating system, the Java virtual machine, the class libraries, and various configuration data supplied when the application is launched. This lesson describes some of the APIs an application uses to examine and configure its platform environment. The lesson consists of three sections:

- <u>Configuration Utilities</u> describes APIs used to access configuration data supplied when the application is deployed, or by the application's user.
- System Utilities describes miscellaneous APIs defined in the system and Runtime classes.
- <u>PATH and CLASSPATH</u> describes environment variables used to configure JDK development tools and other applications.

1.14:1:4:

Configuration Utilities		
This section describes some of the configuration utilities that help an application access its startup context.		

Properties

Properties are configuration values managed as key/value pairs. In each pair, the key and value are both string values. The key identifies, and is used to retrieve, the value, much as a variable name is used to retrieve the variable's value. For example, an application capable of downloading files might use a property named "download.lastDirectory" to keep track of the directory used for the last download.

To manage properties, create instances of <u>java.util.Properties</u>. This class provides methods for the following:

- loading key/value pairs into a Properties object from a stream,
- retrieving a value from its key,
- listing the keys and their values,
- enumerating over the keys, and
- saving the properties to a stream.

For an introduction to streams, refer to the section <u>I/O Streams</u> in the <u>Basic I/O</u> lesson.

Properties extends <u>java.util.Hashtable</u>. Some of the methods inherited from Hashtable support the following actions:

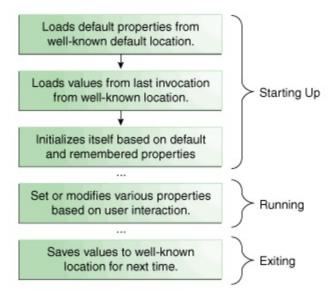
- testing to see if a particular key or value is in the Properties object,
- getting the current number of key/value pairs,
- removing a key and its value,
- adding a key/value pair to the Properties list,
- enumerating over the values or the keys,
- retrieving a value by its key, and
- finding out if the Properties object is empty.

Security Considerations: Access to properties is subject to approval by the current security manager. The example code segments in this section are assumed to be in standalone applications, which, by default, have no security manager. The same code in an applet may not work depending on the browser in which it is running. See What Applets Can and Cannot Do in the Java Applets lesson for information about security restrictions on applets.

The System class maintains a Properties object that defines the configuration of the current working environment. For more about these properties, see <u>System Properties</u>. The remainder of this section explains how to use properties to manage application configuration.

Properties in the Application Life Cycle

The following figure illustrates how a typical application might manage its configuration data with a Properties object over the course of its execution.



Starting Up

The actions given in the first three boxes occur when the application is starting up. First, the application loads the default properties from a well-known location into a Properties object. Normally, the default properties are stored in a file on disk along with the .class and other resource files for the application.

Next, the application creates another Properties object and loads the properties that were saved from the last time the application was run. Many applications store properties on a peruser basis, so the properties loaded in this step are usually in a specific file in a particular directory maintained by this application in the user's home directory. Finally, the application uses the default and remembered properties to initialize itself.

The key here is consistency. The application must always load and save properties to the same location so that it can find them the next time it's executed.

- Running
 - During the execution of the application, the user may change some settings, perhaps in a Preferences window, and the Properties object is updated to reflect these changes. If the users changes are to be remembered in future sessions, they must be saved.
- Exiting Upon exiting, the application saves the properties to its well-known location, to be loaded again when the application is next started up.

Setting Up the Properties Object

The following Java code performs the first two steps described in the previous section: loading the default properties and loading the remembered properties:

```
. . .
// create and load default properties
Properties defaultProps = new Properties();
FileInputStream in = new FileInputStream("defaultProperties");
defaultProps.load(in);
in.close();

// create application properties with default
Properties applicationProps = new Properties(defaultProps);
```

```
// now load properties
// from last invocation
in = new FileInputStream("appProperties");
applicationProps.load(in);
in.close();
. . .
```

First, the application sets up a default Properties object. This object contains the set of properties to use if values are not explicitly set elsewhere. Then the load method reads the default values from a file on disk named defaultProperties.

Next, the application uses a different constructor to create a second Properties object, applicationProps, whose default values are contained in defaultProps. The defaults come into play when a property is being retrieved. If the property can't be found in applicationProps, then its default list is searched.

Finally, the code loads a set of properties into applicationProps from a file named appProperties. The properties in this file are those that were saved from the application the last time it was invoked, as explained in the next section.

Saving Properties

The following example writes out the application properties from the previous example using Properties.store. The default properties don't need to be saved each time because they never change.

```
FileOutputStream out = new FileOutputStream("appProperties");
applicationProps.store(out, "---No Comment---");
out.close();
```

The store method needs a stream to write to, as well as a string that it uses as a comment at the top of the output.

Getting Property Information

Once the application has set up its Properties object, the application can query the object for information about various keys and values that it contains. An application gets information from a Properties object after start up so that it can initialize itself based on choices made by the user. The Properties class has several methods for getting property information:

- contains (Object value) and contains Key (Object key)

 Returns true if the value or the key is in the Properties object. Properties inherits these methods from Hashtable. Thus they accept Object arguments, but only String values should be used.
- getProperty(String key) and getProperty(String key, String default)
 Returns the value for the specified property. The second version provides for a default value. If the key is not found, the default is returned.
- list(PrintStream s) and list(PrintWriter w)

Writes all of the properties to the specified stream or writer. This is useful for debugging.

- elements(), keys(), and propertyNames()
 Returns an Enumeration containing the keys or values (as indicated by the method name)
 contained in the Properties object. The keys method only returns the keys for the object itself;
 the propertyNames method returns the keys for default properties as well.
- stringPropertyNames()
 Like propertyNames, but returns a set<string>, and only returns names of properties where both key and value are strings. Note that the set object is not backed by the Properties object, so changes in one do not affect the other.
- size()
 Returns the current number of key/value pairs.

Setting Properties

A user's interaction with an application during its execution may impact property settings. These changes should be reflected in the Properties object so that they are saved when the application exits (and calls the store method). The following methods change the properties in a Properties object:

- setProperty(String key, String value)

 Puts the key/value pair in the Properties object.
- remove (Object key)
 Removes the key/value pair associated with key.

Note: Some of the methods described above are defined in Hashtable, and thus accept key and value argument types other than String. Always use Strings for keys and values, even if the method allows other types. Also do not invoke Hashtable.set or Hastable.setAll on Properties objects; always use Properties.setProperty.

Command-Line Arguments

A Java application can accept any number of arguments from the command line. This allows the user to specify configuration information when the application is launched.

The user enters command-line arguments when invoking the application and specifies them after the name of the class to be run. For example, suppose a Java application called sort sorts lines in a file. To sort the data in a file named friends.txt, a user would enter:

```
java Sort friends.txt
```

When an application is launched, the runtime system passes the command-line arguments to the application's main method via an array of strings. In the previous example, the command-line arguments passed to the sort application in an array that contains a single string: "friends.txt".

Echoing Command-Line Arguments

The Echo example displays each of its command-line arguments on a line by itself:

```
public class Echo {
    public static void main (String[] args) {
        for (String s: args) {
            System.out.println(s);
        }
    }
}
```

The following example shows how a user might run Echo. User input is in italics.

```
java Echo Drink Hot Java
Drink
Hot
Java
```

Note that the application displays each word — <code>Drink</code>, <code>Hot</code>, and <code>Java</code> — on a line by itself. This is because the space character separates command-line arguments. To have <code>Drink</code>, <code>Hot</code>, and <code>Java</code> interpreted as a single argument, the user would join them by enclosing them within quotation marks.

```
java Echo "Drink Hot Java"
Drink Hot Java
```

Parsing Numeric Command-Line Arguments

If an application needs to support a numeric command-line argument, it must convert a string argument that represents a number, such as "34", to a numeric value. Here is a code snippet that

converts a command-line argument to an int:

```
int firstArg;
if (args.length > 0) {
    try {
        firstArg = Integer.parseInt(args[0]);
    } catch (NumberFormatException e) {
        System.err.println("Argument" + args[0] + " must be an integer.");
        System.exit(1);
    }
}
```

parseInt throws a NumberFormatException if the format of args[0] isn't valid. All of the Number classes — Integer, Float, Double, and so on — have parseXXX methods that convert a String representing a number to an object of their type.

Environment Variables

Many operating systems use *environment variables* to pass configuration information to applications. Like properties in the Java platform, environment variables are key/value pairs, where both the key and the value are strings. The conventions for setting and using environment variables vary between operating systems, and also between command line interpreters. To learn how to pass environment variables to applications on your system, refer to your system documentation.

Querying Environment Variables

On the Java platform, an application uses <u>System.getenv</u> to retrieve environment variable values. Without an argument, getenv returns a read-only instance of java.util.Map, where the map keys are the environment variable names, and the map values are the environment variable values. This is demonstrated in the EnvMap example:

With a String argument, getenv returns the value of the specified variable. If the variable is not defined, getenv returns null. The Env example uses getenv this way to query specific environment variables, specified on the command line:

Passing Environment Variables to New Processes

When a Java application uses a ProcessBuilder object to create a new process, the default set of

environment variables passed to the new process is the same set provided to the application's virtual machine process. The application can change this set using ProcessBuilder.environment.

Platform Dependency Issues

There are many subtle differences between the way environment variables are implemented on different systems. For example, Windows ignores case in environment variable names, while UNIX does not. The way environment variables are used also varies. For example, Windows provides the user name in an environment variable called USERNAME, while UNIX implementations might provide the user name in USER, LOGNAME, or both.

To maximize portability, never refer to an environment variable when the same value is available in a system property. For example, if the operating system provides a user name, it will always be available in the system property user.name.

Other Configuration Utilities

Here is a summary of some other configuration utilities.

The *Preferences API* allows applications to store and retrieve configuration data in an implementation-dependent backing store. Asynchronous updates are supported, and the same set of preferences can be safely updated by multiple threads and even multiple applications. For more information, refer to the <u>Preferences API Guide</u>.

An application deployed in a *JAR archive* uses a *manifest* to describe the contents of the archive. For more information, refer to the <u>Packaging Programs in JAR Files</u> lesson.

The configuration of a *Java Web Start application* is contained in a *JNLP file*. For more information, refer to the Java Web Start lesson.

The configuration of a *Java Plug-in applet* is partially determined by the HTML tags used to embed the applet in the web page. Depending on the applet and the browser, these tags can include <applet>, <object>, <embed>, and <param>. For more information, refer to the <u>Java Applets</u> lesson.

The class <u>java.util.ServiceLoader</u> provides a simple *service provider* facility. A service provider is an implementation of a *service* — a well-known set of interfaces and (usually abstract) classes. The classes in a service provider typically implement the interfaces and subclass the classes defined in the service. Service providers can be installed as extensions (see <u>The Extension Mechanism</u>). Providers can also be made available by adding them to the class path or by some other platform-specific means.

System Utilities

The <u>System</u> class implements a number of system utilities. Some of these have already been covered in the previous section on <u>Configuration Utilities</u>. This section covers some of the other system utilities.

Command-Line I/O Objects

system provides several predefined I/O objects that are useful in a Java application that is meant to be launched from the command line. These implement the Standard I/O streams provided by most operating systems, and also a console object that is useful for entering passwords. For more information, refer to I/O from the Command Line in the Basic I/O lesson.

System Properties

In <u>Properties</u>, we examined the way an application can use <u>Properties</u> objects to maintain its configuration. The Java platform itself uses a <u>Properties</u> object to maintain its own configuration. The <u>System</u> class maintains a <u>Properties</u> object that describes the configuration of the current working environment. System properties include information about the current user, the current version of the Java runtime, and the character used to separate components of a file path name.

The following table describes some of the most important system properties

Key	Meaning
"file.separator"	Character that separates components of a file path. This is "/" on UNIX and "\" on Windows.
"java.class.path"	Path used to find directories and JAR archives containing class files. Elements of the class path are separated by a platform-specific character specified in the path.separator property.
"java.home"	Installation directory for Java Runtime Environment (JRE)
"java.vendor"	JRE vendor name
"java.vendor.url"	JRE vendor URL
"java.version"	JRE version number
"line.separator"	Sequence used by operating system to separate lines in text files
"os.arch"	Operating system architecture
"os.name"	Operating system name
"os.version"	Operating system version
"path.separator"	Path separator character used in java.class.path
"user.dir"	User working directory
"user.home"	User home directory
"user.name"	User account name

Security consideration: Access to system properties can be restricted by the <u>Security Manager</u>. This is most often an issue in applets, which are prevented from reading some system properties, and from writing *any* system properties. For more on accessing system properties in applets, refer to <u>System Properties</u> in the <u>Doing More With Java Rich Internet Applications</u> lesson.

Reading System Properties

The System class has two methods used to read system properties: getProperty and getProperties.

The System class has two different versions of getProperty. Both retrieve the value of the property named in the argument list. The simpler of the two getProperty methods takes a single argument, a property key For example, to get the value of path.separator, use the following statement:

```
System.getProperty("path.separator");
```

The getProperty method returns a string containing the value of the property. If the property does not exist, this version of getProperty returns null.

The other version of <code>getProperty</code> requires two <code>string</code> arguments: the first argument is the key to look up and the second argument is a default value to return if the key cannot be found or if it has no value. For example, the following invocation of <code>getProperty</code> looks up the <code>system</code> property called <code>subliminal.message</code>. This is not a valid system property, so instead of returning null, this method returns the default value provided as a second argument: "Buy <code>stayPuft Marshmallows!"</code>

```
System.getProperty("subliminal.message", "Buy StayPuft Marshmallows!");
```

The last method provided by the System class to access property values is the getProperties method, which returns a <u>Properties</u> object. This object contains a complete set of system property definitions.

Writing System Properties

To modify the existing set of system properties, use System.setProperties. This method takes a Properties object that has been initialized to contain the properties to be set. This method replaces the entire set of system properties with the new set represented by the Properties object.

Warning: Changing system properties is potentially dangerous and should be done with discretion. Many system properties are not reread after start-up and are there for informational purposes. Changing some properties may have unexpected side-effects.

The next example, PropertiesTest, creates a Properties object and initializes it from myProperties.txt.

```
subliminal.message=Buy StayPuft Marshmallows!
```

Properties then uses System.setProperties to install the new Properties objects as the current set of system properties.

```
import java.io.FileInputStream;
import java.util.Properties;
public class PropertiesTest {
   public static void main(String[] args)
        throws Exception {
        // set up new properties object
        // from file "myProperties.txt"
        FileInputStream propFile =
           new FileInputStream( "myProperties.txt");
        Properties p =
           new Properties(System.getProperties());
        p.load(propFile);
        // set the system properties
        System.setProperties(p);
        // display new properties
        System.getProperties().list(System.out);
```

Note how PropertiesTest creates the Properties object, p, which is used as the argument to setProperties:

```
Properties p = new Properties(System.getProperties());
```

This statement initializes the new properties object, p, with the current set of system properties, which in the case of this small application, is the set of properties initialized by the runtime system. Then the application loads additional properties into p from the file myProperties.txt and sets the system properties to p. This has the effect of adding the properties listed in myProperties.txt to the set of properties created by the runtime system at startup. Note that an application can create p without any default Properties object, like this:

```
Properties p = new Properties();
```

Also note that the value of system properties can be overwritten! For example, if myProperties.txt contains the following line, the java.vendor system property will be overwritten:

```
java.vendor=Acme Software Company
```

In general, be careful not to overwrite system properties.

The setProperties method changes the set of system properties for the current running application. These changes are not persistent. That is, changing the system properties within an application will not affect future invocations of the Java interpreter for this or any other application. The runtime system re-initializes the system properties each time its starts up. If changes to system properties are to be persistent, then the application must write the values to some file before exiting and read them in again upon startup.

The Security Manager

A *security manager* is an object that defines a security policy for an application. This policy specifies actions that are unsafe or sensitive. Any actions not allowed by the security policy cause a <u>SecurityException</u> to be thrown. An application can also query its security manager to discover which actions are allowed.

Typically, a web applet runs with a security manager provided by the browser or Java Web Start plugin. Other kinds of applications normally run without a security manager, unless the application itself defines one. If no security manager is present, the application has no security policy and acts without restrictions.

This section explains how an application interacts with an existing security manager. For more detailed information, including information on how to design a security manager, refer to the <u>Security Guide</u>.

Interacting with the Security Manager

The security manager is an object of type <u>SecurityManager</u>; to obtain a reference to this object, invoke System.getSecurityManager.

```
SecurityManager appsm = System.getSecurityManager();
```

If there is no security manager, this method returns null.

Once an application has a reference to the security manager object, it can request permission to do specific things. Many classes in the standard libraries do this. For example, <code>system.exit</code>, which terminates the Java virtual machine with an exit status, invokes <code>securityManager.checkExit</code> to ensure that the current thread has permission to shut down the application.

The SecurityManager class defines many other methods used to verify other kinds of operations. For example, SecurityManager.checkAccess verifies thread accesses, and SecurityManager.checkPropertyAccess verifies access to the specified property. Each operation or group of operations has its own checkXXX() method.

In addition, the set of checkxxx() methods represents the set of operations that are already subject to the protection of the security manager. Typically, an application does not have to directly invoke any checkxxx() methods.

Recognizing a Security Violation

Many actions that are routine without a security manager can throw a SecurityException when run with a security manager. This is true even when invoking a method that isn't documented as throwing SecurityException. For example, consider the following code used to read a file:

```
reader = new FileReader("xanadu.txt");
```

In the absence of a security manager, this statement executes without error, provided xanadu.txt exists and is readable. But suppose this statement is inserted in a web applet, which typically runs under a security manager that does not allow file input. The following error messages might result:

```
appletviewer fileApplet.html
Exception in thread "AWT-EventQueue-1" java.security.AccessControlException: access denied (java.io.FilePermission characteroutput.txt write)
    at java.security.AccessControlContext.checkPermission(AccessControlContext.java:323)
    at java.security.AccessController.checkPermission(AccessController.java:546)
    at java.lang.SecurityManager.checkPermission(SecurityManager.java:532)
    at java.lang.SecurityManager.checkWrite(SecurityManager.java:962)
    at java.io.FileOutputStream.
    init>(FileOutputStream.java:169)
    at java.io.FileOutputStream.
cinit>(FileOutputStream.java:70)
    at java.io.FileWriter.
init>(FileWriter.java:46)
```

Note that the specific exception thrown in this case, <u>java.security.AccessControlException</u>, is a subclass of SecurityException.

Miscellaneous Methods in System

This section describes some of the methods in system that aren't covered in the previous sections.

The arrayCopy method efficiently copies data between arrays. For more information, refer to <u>Arrays</u> in the <u>Language Basics</u> lesson.

The <u>currentTimeMillis</u> and <u>nanoTime</u> methods are useful for measuring time intervals during execution of an application. To measure a time interval in milliseconds, invoke <u>currentTimeMillis</u> twice, at the beginning and end of the interval, and subtract the first value returned from the second. Similarly, invoking <u>nanoTime</u> twice measures an interval in nanoseconds.

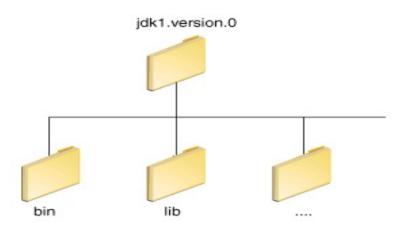
Note: The accuracy of both currentTimeMillis and nanoTime is limited by the time services provided by the operating system. Do not assume that currentTimeMillis is accurate to the nearest millisecond or that nanoTime is accurate to the nearest nanosecond. Also, neither currentTimeMillis nor nanoTime should be used to determine the current time. Use a high-level method, such as java.util.Calendar.getInstance.

The <u>exit</u> method causes the Java virtual machine to shut down, with an integer exit status specified by the argument. The exit status is available to the process that launched the application. By convention, an exit status of o indicates normal termination of the application, while any other value is an error code.

PATH and CLASSPATH

This section explains how to use the PATH and CLASSPATH environment variables on Microsoft Windows, Solaris, and Linux. Consult the installation instructions included with your installation of the Java Development Kit (JDK) software bundle for current information.

After installing the software, the JDK directory will have the structure shown below.



The bin directory contains both the compiler and the launcher.

Update the PATH Environment Variable (Microsoft Windows)

You can run Java applications just fine without setting the PATH environment variable. Or, you can optionally set it as a convenience.

Set the PATH environment variable if you want to be able to conveniently run the executables (javac.exe, java.exe, javadoc.exe, and so on) from any directory without having to type the full path of the command. If you do not set the PATH variable, you need to specify the full path to the executable every time you run it, such as:

```
C:\Java\jdk1.7.0\bin\javac MyClass.java
```

The PATH environment variable is a series of directories separated by semicolons (;). Microsoft Windows looks for programs in the PATH directories in order, from left to right. You should have only one bin directory for the JDK in the path at a time (those following the first are ignored), so if one is already present, you can update that particular entry.

The following is an example of a PATH environment variable:

```
C:\Java\jdk1.7.0\bin;C:\Windows\System32\;C:\Windows\;C:\Windows\System32\Wbem
```

It is useful to set the PATH environment variable permanently so it will persist after rebooting. To make a permanent change to the PATH variable, use the **System** icon in the Control Panel. The precise procedure varies depending on the version of Windows:

Windows XP

- 1. Select Start, select Control Panel. double click System, and select the Advanced tab.
- **2.** Click Environment Variables. In the section System Variables, find the PATH environment variable and select it. Click Edit. If the PATH environment variable does not exist, click New.
- **3.** In the **Edit System Variable** (or **New System Variable**) window, specify the value of the PATH environment variable. Click **OK**. Close all remaining windows by clicking **OK**.

Windows Vista:

- 1. From the desktop, right click the My Computer icon.
- 2. Choose Properties from the context menu.
- 3. Click the Advanced tab (Advanced system settings link in Vista).
- **4.** Click **Environment Variables**. In the section **System Variables**, find the PATH environment variable and select it. Click **Edit**. If the PATH environment variable does not exist, click New.
- **5.** In the **Edit System Variable** (or **New System Variable**) window, specify the value of the PATH environment variable. Click **OK**. Close all remaining windows by clicking **OK**.

Windows 7:

- 1. From the desktop, right click the Computer icon.
- **2.** Choose **Properties** from the context menu.
- 3. Click the Advanced system settings link.
- **4.** Click Environment Variables. In the section System Variables, find the PATH environment variable and select it. Click Edit. If the PATH environment variable does not exist, click New.
- **5.** In the **Edit System Variable** (or **New System Variable**) window, specify the value of the PATH environment variable. Click **OK**. Close all remaining windows by clicking **OK**.

Note: You may see a PATH environment variable similar to the following when editing it from the Control Panel:

%JAVA HOME%\bin;%SystemRoot%\system32;%SystemRoot%;%SystemRoot%\System32\Wbem

Variables enclosed in percentage signs (%) are existing environment variables. If one of these variables is listed in the **Environment Variables** window from the Control Panel (such as JAVA_HOME), then you can edit its value. If it does not appear, then it is a special environment variable that the operating system has defined. For example, SystemRoot is the location of the Microsoft Windows system folder. To obtain the value of a environment variable, enter the following at a command prompt. (This example obtains the value of the SystemRoot environment variable):

echo %SystemRoot%

Update the PATH Variable (Solaris and Linux)

You can run the JDK just fine without setting the PATH variable, or you can optionally set it as a convenience. However, you should set the path variable if you want to be able to run the executables (javac, java, javadoc, and so on) from any directory without having to type the full path of the command. If you do not set the PATH variable, you need to specify the full path to the executable every time you run it, such as:

```
% /usr/local/jdk1.7.0/bin/javac MyClass.java
```

To find out if the path is properly set, execute:

```
% java -version
```

This will print the version of the java tool, if it can find it. If the version is old or you get the error java: Command not found, then the path is not properly set.

To set the path permanently, set the path in your startup file.

For C shell (csh), edit the startup file (~/.cshrc):

```
set path=(/usr/local/jdk1.7.0/bin $path)
```

For bash, edit the startup file (~/.bashrc):

```
PATH=/usr/local/jdk1.7.0/bin:$PATH
export PATH
```

For ksh, the startup file is named by the environment variable, ENV. To set the path:

```
PATH=/usr/local/jdk1.7.0/bin:$PATH
export PATH
```

For sh, edit the profile file (~/.profile):

```
PATH=/usr/local/jdk1.7.0/bin:$PATH export PATH
```

Then load the startup file and verify that the path is set by repeating the java command:

For C shell (csh):

```
% source ~/.cshrc
% java -version
```

For ksh, bash, or sh:

```
% . /.profile
% java -version
```

Checking the CLASSPATH variable (All platforms)

The CLASSPATH variable is one way to tell applications, including the JDK tools, where to look for user classes. (Classes that are part of the JRE, JDK platform, and extensions should be defined through other means, such as the bootstrap class path or the extensions directory.)

The preferred way to specify the class path is by using the -cp command line switch. This allows the CLASSPATH to be set individually for each application without affecting other applications. Setting the CLASSPATH can be tricky and should be performed with care.

The default value of the class path is ".", meaning that only the current directory is searched. Specifying either the CLASSPATH variable or the -cp command line switch overrides this value.

To check whether CLASSPATH is set on Microsoft Windows NT/2000/XP, execute the following:

C:> echo %CLASSPATH%

On Solaris or Linux, execute the following:

% echo \$CLASSPATH

If CLASSPATH is not set you will get a CLASSPATH: Undefined variable error (Solaris or Linux) or simply %CLASSPATH% (Microsoft Windows NT/2000/XP).

To modify the CLASSPATH, use the same procedure you used for the PATH variable.

Class path wildcards allow you to include an entire directory of .jar files in the class path without explicitly naming them individually. For more information, including an explanation of class path wildcards, and a detailed description on how to clean up the CLASSPATH environment variable, see the <u>Setting the Class Path</u> technical note.

Questions and Exercises: The Platform Environment

Questions

1. A programmer installs a new library contained in a .jar file. In order to access the library from his code, he sets the CLASSPATH environment variable to point to the new .jar file. Now he finds that he gets an error message when he tries to launch simple applications:

```
java Hello
Exception in thread "main" java.lang.NoClassDefFoundError: Hello
```

In this case, the Hello class is compiled into a .class file in the current directory — yet the java command can't seem to find it. What's going wrong?

Exercises

- 1. Write an application, PersistentEcho, with the following features:
 - If PersistentEcho is run with command line arguments, it prints out those arguments. It also saves the string printed out to a property, and saves the property to a file called PersistentEcho.txt
 - If PersistentEcho is run with no command line arguments, it looks for an environment variable called PERSISTENTECHO. If that variable exists, PersistentEcho prints out its value, and also saves the value in the same way it does for command line arguments.
 - If PersistentEcho is run with no command line arguments, and the PERSISTENTECHO environment variable is not defined, it retrieves the property value from PersistentEcho.txt and prints that out.

Check your answers.

Questions

Question 1.A programmer installs a new library contained in a .jar file. In order to access the library from his code, he sets the CLASSPATH environment variable to point to the new .jar file. Now he finds that he gets an error message when he tries to launch simple applications:

```
java Hello
Exception in thread "main" java.lang.NoClassDefFoundError: Hello
```

In this case, the Hello class is compiled into a .class file in the current directory — yet the java command can't seem to find it. What's going wrong?

Answer 1. A class is only found if it appears in the class path. By default, the class path consists of the current directory. If the CLASSPATH environment variable is set, and doesn't include the current directory, the launcher can no longer find classes in the current directory. The solution is to change the CLASSPATH variable to include the current directory. For example, if the CLASSPATH value is c:\java\newLibrary.jar (Windows) or /home/me/newLibrary.jar (UNIX or Linux) it needs to be changed to .;c:\java\newLibrary.jar or .:/home/me/newLibrary.jar.

Exercises

Exercise 1.

Write an application, PersistentEcho, with the following features:

- If PersistentEcho is run with command line arguments, it prints out those arguments. It also saves the string printed out to a property, and saves the property to a file called PersistentEcho.txt
- If PersistentEcho is run with no command line arguments, it looks for an environment variable called PERSISTENTECHO. If that variable exists, PersistentEcho prints out its value, and also saves the value in the same way it does for command line arguments.
- If PersistentEcho is run with no command line arguments, and the PERSISTENTECHO environment variable is not defined, it retrieves the property value from PersistentEcho.txt and prints that out.

Answer 1.

```
// If so retrieve them.
if (args.length > 0) {
    for (String arg: args) {
        argString += arg + " ";
    argString = argString.trim();
// No arguments, is there
// an environment variable?
// If so, //retrieve it.
else if ((argString = System.getenv("PERSISTENTECHO")) != null) {}
// No environment variable
// either. Retrieve property value.
else {
    notProperty = false;
    // Set argString to null.
    // If it's still null after
    // we exit the try block,
    // we've failed to retrieve
    // the property value.
    argString = null;
    FileInputStream fileInputStream = null;
    try {
        fileInputStream =
            new FileInputStream("PersistentEcho.txt");
        Properties inProperties
            = new Properties();
        inProperties.load(fileInputStream);
        argString = inProperties.getProperty("argString");
    } catch (IOException e) {
        System.err.println("Can't read property file.");
        System.exit(1);
    } finally {
        if (fileInputStream != null) {
                fileInputStream.close();
            } catch(IOException e) {};
if (argString == null) {
    System.err.println("Couldn't find argString property");
    System.exit(1);
// Somehow, we got the
// value. Echo it already!
System.out.println(argString);
// If we didn't retrieve the
// value from the property,
// save it //in the property.
if (notProperty) {
    Properties outProperties =
        new Properties();
    outProperties.setProperty("argString",
                              argString);
    FileOutputStream fileOutputStream = null;
    try {
        fileOutputStream =
            new FileOutputStream("PersistentEcho.txt");
        outProperties.store(fileOutputStream,
                "PersistentEcho properties");
    } catch (IOException e) {}
    finally {
        if (fileOutputStream != null) {
            try {
                fileOutputStream.close();
            } catch(IOException e) {};
```

}	}	}	}									

Lesson: Regular Expressions

This lesson explains how to use the <u>java.util.regex</u> API for pattern matching with regular expressions. Although the syntax accepted by this package is similar to the <u>Perl</u> programming language, knowledge of Perl is not a prerequisite. This lesson starts with the basics, and gradually builds to cover more advanced techniques.

Introduction

Provides a general overview of regular expressions. It also introduces the core classes that comprise this API.

Test Harness

Defines a simple application for testing pattern matching with regular expressions.

String Literals

Introduces basic pattern matching, metacharacters, and quoting.

Character Classes

Describes simple character classes, negation, ranges, unions, intersections, and subtraction.

Predefined Character Classes

Describes the basic predefined character classes for whitespace, word, and digit characters.

Ouantifiers

Explains greedy, reluctant, and possessive quantifiers for matching a specified expression *x* number of times.

Capturing Groups

Explains how to treat multiple characters as a single unit.

Boundary Matchers

Describes line, word, and input boundaries.

Methods of the Pattern Class

Examines other useful methods of the Pattern class, and explores advanced features such as compiling with flags and using embedded flag expressions.

Methods of the Matcher Class

Describes the commonly-used methods of the Matcher class.

Methods of the PatternSyntaxException Class

Describes how to examine a PatternSyntaxException.

Additional Resources

To read more about regular expressions, consult this section for additional resources.

Note: See <u>online version of topics</u> in this ebook to download complete source code.

Introduction

What Are Regular Expressions?

Regular expressions are a way to describe a set of strings based on common characteristics shared by each string in the set. They can be used to search, edit, or manipulate text and data. You must learn a specific syntax to create regular expressions — one that goes beyond the normal syntax of the Java programming language. Regular expressions vary in complexity, but once you understand the basics of how they're constructed, you'll be able to decipher (or create) any regular expression.

This trail teaches the regular expression syntax supported by the <code>java.util.regex</code> API and presents several working examples to illustrate how the various objects interact. In the world of regular expressions, there are many different flavors to choose from, such as grep, Perl, Tcl, Python, PHP, and awk. The regular expression syntax in the <code>java.util.regex</code> API is most similar to that found in Perl.

How Are Regular Expressions Represented in This Package?

The java.util.regex package primarily consists of three classes: Pattern, Matcher, and PatternSyntaxException.

- A Pattern object is a compiled representation of a regular expression. The Pattern class provides no public constructors. To create a pattern, you must first invoke one of its public static compile methods, which will then return a Pattern object. These methods accept a regular expression as the first argument; the first few lessons of this trail will teach you the required syntax.
- A Matcher object is the engine that interprets the pattern and performs match operations against an input string. Like the Pattern class, Matcher defines no public constructors. You obtain a Matcher object by invoking the matcher method on a Pattern object.
- A PatternSyntaxException object is an unchecked exception that indicates a syntax error in a regular expression pattern.

The last few lessons of this trail explore each class in detail. But first, you must understand how regular expressions are actually constructed. Therefore, the next section introduces a simple test harness that will be used repeatedly to explore their syntax.

Test Harness

This section defines a reusable test harness, RegexTestHarness.java, for exploring the regular expression constructs supported by this API. The command to run this code is java RegexTestHarness; no command-line arguments are accepted. The application loops repeatedly, prompting the user for a regular expression and input string. Using this test harness is optional, but you may find it convenient for exploring the test cases discussed in the following pages.

```
import java.io.Console;
import java.util.regex.Pattern;
import java.util.regex.Matcher;
public class RegexTestHarness {
   public static void main(String[] args) {
        Console console = System.console();
        if (console == null) {
           System.err.println("No console.");
           System.exit(1);
        while (true) {
           Pattern pattern =
           Pattern.compile(console.readLine("%nEnter your regex: "));
            Matcher matcher =
            pattern.matcher(console.readLine("Enter input string to search: "));
            boolean found = false;
            while (matcher.find()) {
                console.format("I found the text" +
                   " \"%s\" starting at " +
                    "index %d and ending at index %d.%n",
                   matcher.group(),
                   matcher.start(),
                   matcher.end());
                found = true;
            if(!found){
               console.format("No match found.%n");
            }
       }
   }
```

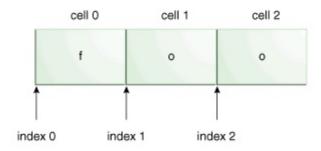
Before continuing to the next section, save and compile this code to ensure that your development environment supports the required packages.

String Literals

The most basic form of pattern matching supported by this API is the match of a string literal. For example, if the regular expression is $f \circ \circ$ and the input string is $f \circ \circ$, the match will succeed because the strings are identical. Try this out with the test harness:

```
Enter your regex: foo
Enter input string to search: foo
I found the text foo starting at index 0 and ending at index 3.
```

This match was a success. Note that while the input string is 3 characters long, the start index is 0 and the end index is 3. By convention, ranges are inclusive of the beginning index and exclusive of the end index, as shown in the following figure:



The string literal foo, with numbered cells and index values.

Each character in the string resides in its own *cell*, with the index positions pointing between each cell. The string "foo" starts at index 0 and ends at index 3, even though the characters themselves only occupy cells 0, 1, and 2.

With subsequent matches, you'll notice some overlap; the start index for the next match is the same as the end index of the previous match:

```
Enter your regex: foo
Enter input string to search: foofoofoo
I found the text foo starting at index 0 and ending at index 3.
I found the text foo starting at index 3 and ending at index 6.
I found the text foo starting at index 6 and ending at index 9.
```

Metacharacters

This API also supports a number of special characters that affect the way a pattern is matched. Change the regular expression to cat. and the input string to cats. The output will appear as follows:

```
Enter your regex: cat.
Enter input string to search: cats
I found the text cats starting at index 0 and ending at index 4.
```

The match still succeeds, even though the dot "." is not present in the input string. It succeeds because the dot is a *metacharacter* — a character with special meaning interpreted by the matcher. The metacharacter "." means "any character" which is why the match succeeds in this example.

The metacharacters supported by this API are: $< ([\{ \land -= \$! \mid] \}) ?*+.>$

Note: In certain situations the special characters listed above will *not* be treated as metacharacters. You'll encounter this as you learn more about how regular expressions are constructed. You can, however, use this list to check whether or not a specific character will ever be considered a metacharacter. For example, the characters @ and # never carry a special meaning.

There are two ways to force a metacharacter to be treated as an ordinary character:

- precede the metacharacter with a backslash, or
- enclose it within \Q (which starts the quote) and \E (which ends it).

When using this technique, the \Q and \E can be placed at any location within the expression, provided that the \Q comes first.

Character Classes

If you browse through the <u>Pattern</u> class specification, you'll see tables summarizing the supported regular expression constructs. In the "Character Classes" section you'll find the following:

Construct	Description					
[abc]	a, b, or c (simple class)					
[^abc]	Any character except a, b, or c (negation)					
[a-zA-Z]	a through z, or A through Z, inclusive (range)					
[a-d[m-p]]	a through d, or m through p: [a-dm-p] (union)					
[a-z&&[def]]	d, e, or f (intersection)					
[a-z&&[^bc]]	a through z, except for b and c: [ad-z] (subtraction)					
[a-z&&[^m-p]]	a through z, and not m through p: [a-lq-z] (subtraction)					

The left-hand column specifies the regular expression constructs, while the right-hand column describes the conditions under which each construct will match.

Note: The word "class" in the phrase "character class" does not refer to a .class file. In the context of regular expressions, a *character class* is a set of characters enclosed within square brackets. It specifies the characters that will successfully match a single character from a given input string.

Simple Classes

The most basic form of a character class is to simply place a set of characters side-by-side within square brackets. For example, the regular expression [bcr]at will match the words "bat", "cat", or "rat" because it defines a character class (accepting either "b", "c", or "r") as its first character.

```
Enter your regex: [bcr]at
Enter input string to search: bat
I found the text "bat" starting at index 0 and ending at index 3.

Enter your regex: [bcr]at
Enter input string to search: cat
I found the text "cat" starting at index 0 and ending at index 3.

Enter your regex: [bcr]at
Enter input string to search: rat
I found the text "rat" starting at index 0 and ending at index 3.

Enter your regex: [bcr]at
Enter your regex: [bcr]at
Enter input string to search: hat
No match found.
```

In the above examples, the overall match succeeds only when the first letter matches one of the

characters defined by the character class.

Negation

To match all characters *except* those listed, insert the "^" metacharacter at the beginning of the character class. This technique is known as *negation*.

```
Enter your regex: [^bcr]at
Enter input string to search: bat
No match found.

Enter your regex: [^bcr]at
Enter input string to search: cat
No match found.

Enter your regex: [^bcr]at
Enter input string to search: rat
No match found.

Enter your regex: [^bcr]at
Enter input string to search: hat
I found the text "hat" starting at index 0 and ending at index 3.
```

The match is successful only if the first character of the input string does *not* contain any of the characters defined by the character class.

Ranges

Sometimes you'll want to define a character class that includes a range of values, such as the letters "a through h" or the numbers "1 through 5". To specify a range, simply insert the "-" metacharacter between the first and last character to be matched, such as [1-5] or [a-h]. You can also place different ranges beside each other within the class to further expand the match possibilities. For example, [a-zA-z] will match any letter of the alphabet: a to z (lowercase) or A to Z (uppercase).

Here are some examples of ranges and negation:

```
Enter your regex: [a-c]
Enter input string to search: a
I found the text "a" starting at index 0 and ending at index 1.
Enter your regex: [a-c]
Enter input string to search: b
I found the text "b" starting at index 0 and ending at index 1.
Enter your regex: [a-c]
Enter input string to search: c
I found the text "c" starting at index 0 and ending at index 1.
Enter your regex: [a-c]
Enter input string to search: d
No match found.
Enter your regex: foo[1-5]
Enter input string to search: fool
I found the text "foo1" starting at index 0 and ending at index 4.
Enter your regex: foo[1-5]
```

```
Enter input string to search: foo5
I found the text "foo5" starting at index 0 and ending at index 4.

Enter your regex: foo[1-5]
Enter input string to search: foo6
No match found.

Enter your regex: foo[^1-5]
Enter input string to search: foo1
No match found.

Enter your regex: foo[^1-5]
Enter input string to search: foo6
I found the text "foo6" starting at index 0 and ending at index 4.
```

Unions

You can also use *unions* to create a single character class comprised of two or more separate character classes. To create a union, simply nest one class inside the other, such as [0-4[6-8]]. This particular union creates a single character class that matches the numbers 0, 1, 2, 3, 4, 6, 7, and 8.

```
Enter your regex: [0-4[6-8]]
Enter input string to search: 0
I found the text "0" starting at index 0 and ending at index 1.

Enter your regex: [0-4[6-8]]
Enter input string to search: 5
No match found.

Enter your regex: [0-4[6-8]]
Enter input string to search: 6
I found the text "6" starting at index 0 and ending at index 1.

Enter your regex: [0-4[6-8]]
Enter input string to search: 8
I found the text "8" starting at index 0 and ending at index 1.

Enter your regex: [0-4[6-8]]
Enter input string to search: 9
No match found.
```

Intersections

To create a single character class matching only the characters common to all of its nested classes, use &&, as in [0-9&&[345]]. This particular intersection creates a single character class matching only the numbers common to both character classes: 3, 4, and 5.

```
Enter your regex: [0-9&&[345]]
Enter input string to search: 3
I found the text "3" starting at index 0 and ending at index 1.

Enter your regex: [0-9&&[345]]
Enter input string to search: 4
I found the text "4" starting at index 0 and ending at index 1.

Enter your regex: [0-9&&[345]]
Enter input string to search: 5
I found the text "5" starting at index 0 and ending at index 1.

Enter your regex: [0-9&&[345]]
```

```
Enter input string to search: 2

No match found.

Enter your regex: [0-9&&[345]]

Enter input string to search: 6

No match found.
```

And here's an example that shows the intersection of two ranges:

```
Enter your regex: [2-8&&[4-6]]
Enter input string to search: 3

No match found.

Enter your regex: [2-8&&[4-6]]
Enter input string to search: 4

I found the text "4" starting at index 0 and ending at index 1.

Enter your regex: [2-8&&[4-6]]
Enter input string to search: 5

I found the text "5" starting at index 0 and ending at index 1.

Enter your regex: [2-8&&[4-6]]
Enter input string to search: 6

I found the text "6" starting at index 0 and ending at index 1.

Enter your regex: [2-8&&[4-6]]
Enter input string to search: 7

No match found.
```

Subtraction

Finally, you can use *subtraction* to negate one or more nested character classes, such as [0-9&& [^345]]. This example creates a single character class that matches everything from 0 to 9, *except* the numbers 3, 4, and 5.

```
Enter your regex: [0-9&&[^345]]
Enter input string to search: 2
I found the text "2" starting at index 0 and ending at index 1.
Enter your regex: [0-9&&[^345]]
Enter input string to search: 3
No match found.
Enter your regex: [0-9&&[^345]]
Enter input string to search: 4
No match found.
Enter your regex: [0-9&&[^345]]
Enter input string to search: 5
No match found.
Enter your regex: [0-9&&[^345]]
Enter input string to search: 6
I found the text "6" starting at index 0 and ending at index 1.
Enter your regex: [0-9&&[^345]]
Enter input string to search: 9
I found the text "9" starting at index 0 and ending at index 1.
```



Predefined Character Classes

The <u>Pattern</u> API contains a number of useful *predefined character classes*, which offer convenient shorthands for commonly used regular expressions:

Construct	Description
	Any character (may or may not match line terminators)
\d	A digit: [0-9]
\D	A non-digit: [^0-9]
\s	A whitespace character: [\t\n\x0B\f\r]
\S	A non-whitespace character: [^\s]
\w	A word character: [a-zA-Z_0-9]
\W	A non-word character: [^\w]

In the table above, each construct in the left-hand column is shorthand for the character class in the right-hand column. For example, \d means a range of digits (0-9), and \w means a word character (any lowercase letter, any uppercase letter, the underscore character, or any digit). Use the predefined classes whenever possible. They make your code easier to read and eliminate errors introduced by malformed character classes.

Constructs beginning with a backslash are called *escaped constructs*. We previewed escaped constructs in the <u>String Literals</u> section where we mentioned the use of backslash and Q and B for quotation. If you are using an escaped construct within a string literal, you must precede the backslash with another backslash for the string to compile. For example:

```
private final String REGEX = "\\d"; // a single digit
```

In this example \d is the regular expression; the extra backslash is required for the code to compile. The test harness reads the expressions directly from the Console, however, so the extra backslash is unnecessary.

The following examples demonstrate the use of predefined character classes.

```
Enter your regex: .
Enter input string to search: @
I found the text "@" starting at index 0 and ending at index 1.

Enter your regex: .
Enter input string to search: 1
I found the text "1" starting at index 0 and ending at index 1.

Enter your regex: .
Enter input string to search: a
```

```
I found the text "a" starting at index 0 and ending at index 1.
Enter your regex: \d
Enter input string to search: 1
I found the text "1" starting at index 0 and ending at index 1.
Enter your regex: \d
Enter input string to search: a
No match found.
Enter your regex: \D
Enter input string to search: 1
No match found.
Enter your regex: \D
Enter input string to search: a
I found the text "a" starting at index 0 and ending at index 1.
Enter your regex: \s
Enter input string to search:
I found the text " " starting at index 0 and ending at index 1.
Enter your regex: \s
Enter input string to search: a
No match found.
Enter your regex: \S
Enter input string to search:
No match found.
Enter your regex: \S
Enter input string to search: a
I found the text "a" starting at index 0 and ending at index 1.
Enter your regex: \w
Enter input string to search: a
I found the text "a" starting at index 0 and ending at index 1.
Enter your regex: \w
Enter input string to search: !
No match found.
Enter your regex: \W
Enter input string to search: a
No match found.
Enter your regex: \W
Enter input string to search: !
I found the text "!" starting at index 0 and ending at index 1.
```

In the first three examples, the regular expression is simply . (the "dot" metacharacter) that indicates "any character." Therefore, the match is successful in all three cases (a randomly selected @ character, a digit, and a letter). The remaining examples each use a single regular expression construct from the Predefined Character Classes table. You can refer to this table to figure out the logic behind each match:

- \d matches all digits
- \s matches spaces
- \w matches word characters

Alternatively, a capital letter means the opposite:

- \D matches non-digits
 \S matches non-spaces
 \W matches non-word characters

Quantifiers

Quantifiers allow you to specify the number of occurrences to match against. For convenience, the three sections of the Pattern API specification describing greedy, reluctant, and possessive quantifiers are presented below. At first glance it may appear that the quantifiers x?, x?? and x?+ do exactly the same thing, since they all promise to match "x, once or not at all". There are subtle implementation differences which will be explained near the end of this section.

Greedy	Reluctant	Possessive	Meaning
X?	X??	X?+	x, once or not at all
Х*	X*?	X * +	x, zero or more times
X+	X+?	X++	x, one or more times
X { n }	X{n}?	X { n } +	x, exactly n times
X{n,}	X{n,}?	X{n,}+	x, at least n times
X{n,m}	X{n,m}?	X{n,m}+	x, at least n but not more than m times

Let's start our look at greedy quantifiers by creating three different regular expressions: the letter "a" followed by either ?, *, or +. Let's see what happens when these expressions are tested against an empty input string "":

```
Enter your regex: a?
Enter input string to search:
I found the text "" starting at index 0 and ending at index 0.

Enter your regex: a*
Enter input string to search:
I found the text "" starting at index 0 and ending at index 0.

Enter your regex: a+
Enter input string to search:
No match found.
```

Zero-Length Matches

In the above example, the match is successful in the first two cases because the expressions a? and a* both allow for zero occurrences of the letter a. You'll also notice that the start and end indices are both zero, which is unlike any of the examples we've seen so far. The empty input string "" has no length, so the test simply matches nothing at index 0. Matches of this sort are known as a *zero-length matches*. A zero-length match can occur in several cases: in an empty input string, at the beginning of an input string, after the last character of an input string, or in between any two characters of an input string. Zero-length matches are easily identifiable because they always start and end at the same index position.

Let's explore zero-length matches with a few more examples. Change the input string to a single letter

"a" and you'll notice something interesting:

```
Enter your regex: a?
Enter input string to search: a
I found the text "a" starting at index 0 and ending at index 1.
I found the text "" starting at index 1 and ending at index 1.

Enter your regex: a*
Enter input string to search: a
I found the text "a" starting at index 0 and ending at index 1.
I found the text "" starting at index 1 and ending at index 1.

Enter your regex: a+
Enter input string to search: a
I found the text "a" starting at index 0 and ending at index 1.
```

All three quantifiers found the letter "a", but the first two also found a zero-length match at index 1; that is, after the last character of the input string. Remember, the matcher sees the character "a" as sitting in the cell between index 0 and index 1, and our test harness loops until it can no longer find a match. Depending on the quantifier used, the presence of "nothing" at the index after the last character may or may not trigger a match.

Now change the input string to the letter "a" five times in a row and you'll get the following:

```
Enter your regex: a?
Enter input string to search: aaaaa
I found the text "a" starting at index 0 and ending at index 1.
I found the text "a" starting at index 1 and ending at index 2.
I found the text "a" starting at index 2 and ending at index 3.
I found the text "a" starting at index 3 and ending at index 4.
I found the text "a" starting at index 4 and ending at index 5.
I found the text "" starting at index 5 and ending at index 5.

Enter your regex: a*
Enter input string to search: aaaaa
I found the text "asarting at index 5 and ending at index 5.

Enter your regex: a+
Enter input string to search: aaaaa
I found the text "aaaaa" starting at index 0 and ending at index 5.
```

The expression a? finds an individual match for each character, since it matches when "a" appears zero or one times. The expression a* finds two separate matches: all of the letter "a"s in the first match, then the zero-length match after the last character at index 5. And finally, a+ matches all occurrences of the letter "a", ignoring the presence of "nothing" at the last index.

At this point, you might be wondering what the results would be if the first two quantifiers encounter a letter other than "a". For example, what happens if it encounters the letter "b", as in "ababaaaab"?

Let's find out:

```
Enter your regex: a?
Enter input string to search: ababaaaab
I found the text "a" starting at index 0 and ending at index 1.
I found the text "" starting at index 1 and ending at index 1.
I found the text "a" starting at index 2 and ending at index 3.
I found the text "" starting at index 3 and ending at index 3.
I found the text "a" starting at index 4 and ending at index 5.
I found the text "a" starting at index 5 and ending at index 6.
I found the text "a" starting at index 6 and ending at index 7.
I found the text "a" starting at index 7 and ending at index 8.
I found the text "" starting at index 8 and ending at index 8.
I found the text "" starting at index 9 and ending at index 9.
Enter your regex: a*
Enter input string to search: ababaaaab
I found the text "a" starting at index 0 and ending at index 1.
I found the text "" starting at index 1 and ending at index 1.
I found the text "a" starting at index 2 and ending at index 3.
I found the text "" starting at index 3 and ending at index 3.
I found the text "aaaa" starting at index 4 and ending at index 8.
I found the text "" starting at index 8 and ending at index 8.
I found the text "" starting at index 9 and ending at index 9.
Enter your regex: a+
Enter input string to search: ababaaaab
I found the text "a" starting at index 0 and ending at index 1.
I found the text "a" starting at index 2 and ending at index 3.
I found the text "aaaa" starting at index 4 and ending at index 8.
```

Even though the letter "b" appears in cells 1, 3, and 8, the output reports a zero-length match at those locations. The regular expression a? is not specifically looking for the letter "b"; it's merely looking for the presence (or lack thereof) of the letter "a". If the quantifier allows for a match of "a" zero times, anything in the input string that's not an "a" will show up as a zero-length match. The remaining a's are matched according to the rules discussed in the previous examples.

To match a pattern exactly *n* number of times, simply specify the number inside a set of braces:

```
Enter your regex: a{3}
Enter input string to search: aa
No match found.

Enter your regex: a{3}
Enter input string to search: aaa
I found the text "aaa" starting at index 0 and ending at index 3.

Enter your regex: a{3}
Enter input string to search: aaaa
I found the text "aaa" starting at index 0 and ending at index 3.
```

Here, the regular expression a {3} is searching for three occurrences of the letter "a" in a row. The first test fails because the input string does not have enough a's to match against. The second test contains exactly 3 a's in the input string, which triggers a match. The third test also triggers a match because there are exactly 3 a's at the beginning of the input string. Anything following that is irrelevant to the first match. If the pattern should appear again after that point, it would trigger subsequent matches:

```
Enter your regex: a{3}
Enter input string to search: aaaaaaaaa
I found the text "aaa" starting at index 0 and ending at index 3.
I found the text "aaa" starting at index 3 and ending at index 6.
I found the text "aaa" starting at index 6 and ending at index 9.
```

To require a pattern to appear at least *n* times, add a comma after the number:

```
Enter your regex: a{3,}
Enter input string to search: aaaaaaaaa
I found the text "aaaaaaaaa" starting at index 0 and ending at index 9.
```

With the same input string, this test finds only one match, because the 9 a's in a row satisfy the need for "at least" 3 a's.

Finally, to specify an upper limit on the number of occurances, add a second number inside the braces:

```
Enter your regex: a{3,6} // find at least 3 (but no more than 6) a's in a row
Enter input string to search: aaaaaaaaa
I found the text "aaaaaa" starting at index 0 and ending at index 6.
I found the text "aaa" starting at index 6 and ending at index 9.
```

Here the first match is forced to stop at the upper limit of 6 characters. The second match includes whatever is left over, which happens to be three a's — the mimimum number of characters allowed for this match. If the input string were one character shorter, there would not be a second match since only two a's would remain.

Capturing Groups and Character Classes with Quantifiers

Until now, we've only tested quantifiers on input strings containing one character. In fact, quantifiers can only attach to one character at a time, so the regular expression "abc+" would mean "a, followed by b, followed by c one or more times". It would not mean "abc" one or more times. However, quantifiers can also attach to Character Classes and Capturing Groups, such as [abc] + (a or b or c, one or more times) or (abc) + (the group "abc", one or more times).

Let's illustrate by specifying the group (dog), three times in a row.

```
Enter your regex: (dog){3}
Enter input string to search: dogdogdogdogdog
I found the text "dogdogdog" starting at index 0 and ending at index 9.
I found the text "dogdogdog" starting at index 9 and ending at index 18.

Enter your regex: dog{3}
Enter input string to search: dogdogdogdogdogdog
No match found.
```

Here the first example finds three matches, since the quantifier applies to the entire capturing group. Remove the parentheses, however, and the match fails because the quantifier {3} now applies only to the letter "g".

Similarly, we can apply a quantifier to an entire character class:

```
Enter your regex: [abc]{3}
Enter input string to search: abccabaaaccbbbc
I found the text "abc" starting at index 0 and ending at index 3.
I found the text "cab" starting at index 3 and ending at index 6.
I found the text "aaa" starting at index 6 and ending at index 9.
I found the text "ccb" starting at index 9 and ending at index 12.
I found the text "bbc" starting at index 12 and ending at index 15.

Enter your regex: abc{3}
Enter input string to search: abccabaaaccbbbc
No match found.
```

Here the quantifier {3} applies to the entire character class in the first example, but only to the letter "c" in the second.

Differences Among Greedy, Reluctant, and Possessive Quantifiers

There are subtle differences among greedy, reluctant, and possessive quantifiers.

Greedy quantifiers are considered "greedy" because they force the matcher to read in, or *eat*, the entire input string prior to attempting the first match. If the first match attempt (the entire input string) fails, the matcher backs off the input string by one character and tries again, repeating the process until a match is found or there are no more characters left to back off from. Depending on the quantifier used in the expression, the last thing it will try matching against is 1 or 0 characters.

The reluctant quantifiers, however, take the opposite approach: They start at the beginning of the input string, then reluctantly eat one character at a time looking for a match. The last thing they try is the entire input string.

Finally, the possessive quantifiers always eat the entire input string, trying once (and only once) for a match. Unlike the greedy quantifiers, possessive quantifiers never back off, even if doing so would allow the overall match to succeed.

To illustrate, consider the input string xfooxxxxxxfoo.

```
Enter your regex: .*foo // greedy quantifier
Enter input string to search: xfooxxxxxxfoo
I found the text "xfooxxxxxxxfoo" starting at index 0 and ending at index 13.

Enter your regex: .*?foo // reluctant quantifier
Enter input string to search: xfooxxxxxxxfoo
I found the text "xfoo" starting at index 0 and ending at index 4.
I found the text "xxxxxxxfoo" starting at index 4 and ending at index 13.

Enter your regex: .*+foo // possessive quantifier
```

Enter input string to search: xfooxxxxxxxfoo

The first example uses the greedy quantifier .* to find "anything", zero or more times, followed by the letters "f" "o" "o". Because the quantifier is greedy, the .* portion of the expression first eats the entire input string. At this point, the overall expression cannot succeed, because the last three letters ("f" "o" "o") have already been consumed. So the matcher slowly backs off one letter at a time until the rightmost occurrence of "foo" has been regurgitated, at which point the match succeeds and the search ends.

The second example, however, is reluctant, so it starts by first consuming "nothing". Because "foo" doesn't appear at the beginning of the string, it's forced to swallow the first letter (an "x"), which triggers the first match at 0 and 4. Our test harness continues the process until the input string is exhausted. It finds another match at 4 and 13.

The third example fails to find a match because the quantifier is possessive. In this case, the entire input string is consumed by .*+, leaving nothing left over to satisfy the "foo" at the end of the expression. Use a possessive quantifier for situations where you want to seize all of something without ever backing off; it will outperform the equivalent greedy quantifier in cases where the match is not immediately found.

Capturing Groups

In the <u>previous section</u>, we saw how quantifiers attach to one character, character class, or capturing group at a time. But until now, we have not discussed the notion of capturing groups in any detail.

Capturing groups are a way to treat multiple characters as a single unit. They are created by placing the characters to be grouped inside a set of parentheses. For example, the regular expression (dog) creates a single group containing the letters "d" "o" and "g". The portion of the input string that matches the capturing group will be saved in memory for later recall via backreferences (as discussed below in the section, <u>Backreferences</u>).

Numbering

As described in the Pattern API, capturing groups are numbered by counting their opening parentheses from left to right. In the expression ((A)(B(C))), for example, there are four such groups:

```
1. ((A)(B(C)))
```

- **2.** (A)
- **3.** (B(C))
- **4.** (C)

To find out how many groups are present in the expression, call the groupCount method on a matcher object. The groupCount method returns an int showing the number of capturing groups present in the matcher's pattern. In this example, groupCount would return the number 4, showing that the pattern contains 4 capturing groups.

There is also a special group, group 0, which always represents the entire expression. This group is not included in the total reported by groupCount. Groups beginning with (? are pure, *non-capturing groups* that do not capture text and do not count towards the group total. (You'll see examples of non-capturing groups later in the section Methods of the Pattern Class.)

It's important to understand how groups are numbered because some Matcher methods accept an int specifying a particular group number as a parameter:

- <u>public int start(int group)</u>: Returns the start index of the subsequence captured by the given group during the previous match operation.
- <u>public int end (int group)</u>: Returns the index of the last character, plus one, of the subsequence captured by the given group during the previous match operation.
- <u>public String group (int group)</u>: Returns the input subsequence captured by the given group during the previous match operation.

Backreferences

The section of the input string matching the capturing group(s) is saved in memory for later recall via *backreference*. A backreference is specified in the regular expression as a backslash (\) followed by

a digit indicating the number of the group to be recalled. For example, the expression (\d\d) defines one capturing group matching two digits in a row, which can be recalled later in the expression via the backreference \1.

To match any 2 digits, followed by the exact same two digits, you would use (\d\d)\1 as the regular expression:

```
Enter your regex: (\d\d)\1
Enter input string to search: 1212
I found the text "1212" starting at index 0 and ending at index 4.
```

If you change the last two digits the match will fail:

```
Enter your regex: (\d\d)\1
Enter input string to search: 1234
No match found.
```

For nested capturing groups, backreferencing works in exactly the same way: Specify a backslash followed by the number of the group to be recalled.

Boundary Matchers

Until now, we've only been interested in whether or not a match is found *at some location* within a particular input string. We never cared about *where* in the string the match was taking place.

You can make your pattern matches more precise by specifying such information with *boundary matchers*. For example, maybe you're interested in finding a particular word, but only if it appears at the beginning or end of a line. Or maybe you want to know if the match is taking place on a word boundary, or at the end of the previous match.

The following table lists and explains all the boundary matchers.

Boundary Construct	Description
^	The beginning of a line
\$	The end of a line
\b	A word boundary
\B	A non-word boundary
\A	The beginning of the input
\G	The end of the previous match
\ Z	The end of the input but for the final terminator, if any
\ z	The end of the input

The following examples demonstrate the use of boundary matchers ^ and \$. As noted above, ^ matches the beginning of a line, and \$ matches the end.

```
Enter your regex: ^dog$
Enter input string to search: dog
I found the text "dog" starting at index 0 and ending at index 3.

Enter your regex: ^dog$
Enter input string to search: dog
No match found.

Enter your regex: \s*dog$
Enter input string to search: dog
I found the text " dog" starting at index 0 and ending at index 15.

Enter your regex: ^dog\w*
Enter input string to search: dogblahblah
I found the text "dogblahblah" starting at index 0 and ending at index 11.
```

The first example is successful because the pattern occupies the entire input string. The second example fails because the input string contains extra whitespace at the beginning. The third example specifies an expression that allows for unlimited white space, followed by "dog" on the end of the line. The fourth example requires "dog" to be present at the beginning of a line followed by an

unlimited number of word characters.

To check if a pattern begins and ends on a word boundary (as opposed to a substring within a longer string), just use \b on either side; for example, \bdog\b

```
Enter your regex: \bdog\b
Enter input string to search: The dog plays in the yard.
I found the text "dog" starting at index 4 and ending at index 7.

Enter your regex: \bdog\b
Enter input string to search: The doggie plays in the yard.
No match found.
```

To match the expression on a non-word boundary, use \B instead:

```
Enter your regex: \bdog\B
Enter input string to search: The dog plays in the yard.
No match found.

Enter your regex: \bdog\B
Enter input string to search: The doggie plays in the yard.
I found the text "dog" starting at index 4 and ending at index 7.
```

To require the match to occur only at the end of the previous match, use \G:

```
Enter your regex: dog

Enter input string to search: dog dog

I found the text "dog" starting at index 0 and ending at index 3.

I found the text "dog" starting at index 4 and ending at index 7.

Enter your regex: \Gdog

Enter input string to search: dog dog

I found the text "dog" starting at index 0 and ending at index 3.
```

Here the second example finds only one match, because the second occurrence of "dog" does not start at the end of the previous match.

Methods of the Pattern Class

Until now, we've only used the test harness to create Pattern objects in their most basic form. This section explores advanced techniques such as creating patterns with flags and using embedded flag expressions. It also explores some additional useful methods that we haven't yet discussed.

Creating a Pattern with Flags

The Pattern class defines an alternate compile method that accepts a set of flags affecting the way the pattern is matched. The flags parameter is a bit mask that may include any of the following public static fields:

- Pattern.CANON_EO Enables canonical equivalence. When this flag is specified, two characters will be considered to match if, and only if, their full canonical decompositions match. The expression "a\u030A", for example, will match the string "\u00E5" when this flag is specified. By default, matching does not take canonical equivalence into account. Specifying this flag may impose a performance penalty.
- <u>Pattern.CASE_INSENSITIVE</u> Enables case-insensitive matching. By default, case-insensitive matching assumes that only characters in the US-ASCII charset are being matched. Unicode-aware case-insensitive matching can be enabled by specifying the UNICODE_CASE flag in conjunction with this flag. Case-insensitive matching can also be enabled via the embedded flag expression (?i). Specifying this flag may impose a slight performance penalty.
- Pattern.COMMENTS Permits whitespace and comments in the pattern. In this mode, whitespace is ignored, and embedded comments starting with # are ignored until the end of a line. Comments mode can also be enabled via the embedded flag expression (?x).
- Pattern. DOTALL Enables dotall mode. In dotall mode, the expression . matches any character, including a line terminator. By default this expression does not match line terminators. Dotall mode can also be enabled via the embedded flag expression (?s). (The s is a mnemonic for "single-line" mode, which is what this is called in Perl.)
- Pattern.LITERAL Enables literal parsing of the pattern. When this flag is specified then the input string that specifies the pattern is treated as a sequence of literal characters.

 Metacharacters or escape sequences in the input sequence will be given no special meaning. The flags CASE_INSENSITIVE and UNICODE_CASE retain their impact on matching when used in conjunction with this flag. The other flags become superfluous. There is no embedded flag character for enabling literal parsing.
- <u>Pattern.MULTILINE</u> Enables multiline mode. In multiline mode the expressions ^ and \$ match just after or just before, respectively, a line terminator or the end of the input sequence. By default these expressions only match at the beginning and the end of the entire input sequence. Multiline mode can also be enabled via the embedded flag expression (?m).
- Pattern.UNICODE_CASE Enables Unicode-aware case folding. When this flag is specified then case-insensitive matching, when enabled by the CASE_INSENSITIVE flag, is done in a manner consistent with the Unicode Standard. By default, case-insensitive matching assumes that only characters in the US-ASCII charset are being matched. Unicode-aware case folding can also be enabled via the embedded flag expression (?u). Specifying this flag may impose a performance penalty.

• <u>Pattern.UNIX_LINES</u> Enables UNIX lines mode. In this mode, only the '\n' line terminator is recognized in the behavior of ., ^, and \$. UNIX lines mode can also be enabled via the embedded flag expression (?d).

In the following steps we will modify the test harness, RegexTestHarness.java to create a pattern with case-insensitive matching.

First, modify the code to invoke the alternate version of compile:

```
Pattern pattern =
Pattern.compile(console.readLine("%nEnter your regex: "),
Pattern.CASE_INSENSITIVE);
```

Then compile and run the test harness to get the following results:

```
Enter your regex: dog
Enter input string to search: DoGDOg
I found the text "DoG" starting at index 0 and ending at index 3.
I found the text "DOG" starting at index 3 and ending at index 6.
```

As you can see, the string literal "dog" matches both occurences, regardless of case. To compile a pattern with multiple flags, separate the flags to be included using the bitwise OR operator "|". For clarity, the following code samples hardcode the regular expression instead of reading it from the Console:

```
pattern = Pattern.compile("[az]$", Pattern.MULTILINE | Pattern.UNIX_LINES);
```

You could also specify an int variable instead:

```
final int flags = Pattern.CASE_INSENSITIVE | Pattern.UNICODE_CASE;
Pattern pattern = Pattern.compile("aa", flags);
```

Embedded Flag Expressions

It's also possible to enable various flags using *embedded flag expressions*. Embedded flag expressions are an alternative to the two-argument version of compile, and are specified in the regular expression itself. The following example uses the original test harness, RegexTestHarness.java with the embedded flag expression (?i) to enable case-insensitive matching.

```
Enter your regex: (?i)foo
Enter input string to search: FOOfooFoOfoO
I found the text "FOO" starting at index 0 and ending at index 3.
I found the text "foo" starting at index 3 and ending at index 6.
```

```
I found the text "FoO" starting at index 6 and ending at index 9.
I found the text "foO" starting at index 9 and ending at index 12.
```

Once again, all matches succeed regardless of case.

The embedded flag expressions that correspond to Pattern's publicly accessible fields are presented in the following table:

Constant	Equivalent Embedded Flag Expression
Pattern.CANON_EQ	None
Pattern.CASE_INSENSITIVE	(?i)
Pattern.COMMENTS	(?x)
Pattern.MULTILINE	(?m)
Pattern.DOTALL	(?s)
Pattern.LITERAL	None
Pattern.UNICODE_CASE	(?u)
Pattern.UNIX_LINES	(?d)

Using the matches (String, CharSequence) Method

The Pattern class defines a convenient <u>matches</u> method that allows you to quickly check if a pattern is present in a given input string. As with all public static methods, you should invoke matches by its class name, such as Pattern.matches("\\d","1"); In this example, the method returns true, because the digit "1" matches the regular expression \d.

Using the split(String) Method

The <u>split</u> method is a great tool for gathering the text that lies on either side of the pattern that's been matched. As shown below in <u>splitDemo.java</u>, the <u>split</u> method could extract the words "one two three four five" from the string "one:two:three:four:five":

```
import java.util.regex.Pattern;
import java.util.regex.Matcher;

public class SplitDemo {
    private static final String REGEX = ":";
    private static final String INPUT =
        "one:two:three:four:five";

    public static void main(String[] args) {
        Pattern p = Pattern.compile(REGEX);
        String[] items = p.split(INPUT);
        for(String s : items) {
             System.out.println(s);
        }
    }
}
```

```
OUTPUT:

one
two
three
four
five
```

For simplicity, we've matched a string literal, the colon (:) instead of a complex regular expression. Since we're still using Pattern and Matcher objects, you can use split to get the text that falls on either side of any regular expression. Here's the same example, SplitDemo2.java, modified to split on digits instead:

```
import java.util.regex.Pattern;
import java.util.regex.Matcher;
public class SplitDemo2 {
    private static final String REGEX = "\\d";
    private static final String INPUT =
        "one9two4three7four1five";
    public static void main(String[] args) {
        Pattern p = Pattern.compile(REGEX);
        String[] items = p.split(INPUT);
        for(String s : items) {
            System.out.println(s);
    }
OUTPUT:
one
two
three
four
```

Other Utility Methods

You may find the following methods to be of some use as well:

- <u>public static String quote (String s)</u> Returns a literal pattern string for the specified string. This method produces a string that can be used to create a Pattern that would match string s as if it were a literal pattern. Metacharacters or escape sequences in the input sequence will be given no special meaning.
- <u>public String toString()</u> Returns the String representation of this pattern. This is the regular expression from which this pattern was compiled.

Pattern Method Equivalents in java.lang.String

Regular expression support also exists in java.lang.String through several methods that mimic the

behavior of java.util.regex.Pattern. For convenience, key excerpts from their API are presented below.

- <u>public boolean matches (String regex)</u>: Tells whether or not this string matches the given regular expression. An invocation of this method of the form str.matches(regex) yields exactly the same result as the expression Pattern.matches(regex, str).
- <u>public String[] split(String regex, int limit)</u>: Splits this string around matches of the given regular expression. An invocation of this method of the form str.split(regex, n) yields the same result as the expression Pattern.compile(regex).split(str, n)
- <u>public String[] split(String regex)</u>: Splits this string around matches of the given regular expression. This method works the same as if you invoked the two-argument split method with the given expression and a limit argument of zero. Trailing empty strings are not included in the resulting array.

There is also a replace method, that replaces one Charsequence with another:

• <u>public String replace (CharSequence target, CharSequence replacement)</u>: Replaces each substring of this string that matches the literal target sequence with the specified literal replacement sequence. The replacement proceeds from the beginning of the string to the end, for example, replacing "aa" with "b" in the string "aaa" will result in "ba" rather than "ab".

Methods of the Matcher Class

This section describes some additional useful methods of the Matcher class. For convenience, the methods listed below are grouped according to functionality.

Index Methods

Index methods provide useful index values that show precisely where the match was found in the input string:

- <u>public int start()</u>: Returns the start index of the previous match.
- <u>public int start(int group)</u>: Returns the start index of the subsequence captured by the given group during the previous match operation.
- public int end(): Returns the offset after the last character matched.
- <u>public int end(int group)</u>: Returns the offset after the last character of the subsequence captured by the given group during the previous match operation.

Study Methods

Study methods review the input string and return a boolean indicating whether or not the pattern is found.

- <u>public boolean lookingAt()</u>: Attempts to match the input sequence, starting at the beginning of the region, against the pattern.
- <u>public boolean find()</u>: Attempts to find the next subsequence of the input sequence that matches the pattern.
- <u>public boolean find(int start)</u>: Resets this matcher and then attempts to find the next subsequence of the input sequence that matches the pattern, starting at the specified index.
- public boolean matches (): Attempts to match the entire region against the pattern.

Replacement Methods

Replacement methods are useful methods for replacing text in an input string.

- <u>public Matcher appendReplacement(StringBuffer sb, String replacement)</u>: Implements a non-terminal append-and-replace step.
- <u>public StringBuffer appendTail(StringBuffer sb)</u>: Implements a terminal append-and-replace step.
- <u>public String replaceAll(String replacement)</u>: Replaces every subsequence of the input sequence that matches the pattern with the given replacement string.
- <u>public String replaceFirst(String replacement)</u>: Replaces the first subsequence of the input sequence that matches the pattern with the given replacement string.
- <u>public static String quoteReplacement (String s)</u>: Returns a literal replacement string for the specified String. This method produces a String that will work as a literal replacement s in the appendReplacement method of the Matcher class. The String produced will match the sequence of characters in s treated as a literal sequence. Slashes ('\') and dollar

signs ('\$') will be given no special meaning.

Using the start and end Methods

Here's an example, MatcherDemo.java, that counts the number of times the word "dog" appears in the input string.

```
import java.util.regex.Pattern;
import java.util.regex.Matcher;
public class MatcherDemo {
   private static final String REGEX =
        "\\bdog\\b";
    private static final String INPUT =
       "dog dog doggie dogg";
    public static void main(String[] args) {
      Pattern p = Pattern.compile(REGEX);
      // get a matcher object
      Matcher m = p.matcher(INPUT);
      int count = 0;
       while(m.find()) {
          count++;
          System.out.println("Match number "
                            + count);
           System.out.println("start(): "
                             + m.start());
           System.out.println("end(): "
                             + m.end());
      }
   }
OUTPUT:
Match number 1
start(): 0
end(): 3
Match number 2
start(): 4
end(): 7
Match number 3
start(): 8
end(): 11
```

You can see that this example uses word boundaries to ensure that the letters "d" "o" "g" are not merely a substring in a longer word. It also gives some useful information about where in the input string the match has occurred. The start method returns the start index of the subsequence captured by the given group during the previous match operation, and end returns the index of the last character matched, plus one.

Using the matches and lookingAt Methods

The matches and lookingAt methods both attempt to match an input sequence against a pattern. The difference, however, is that matches requires the entire input sequence to be matched, while

lookingAt does not. Both methods always start at the beginning of the input string. Here's the full code, MatchesLooking.java:

```
import java.util.regex.Pattern;
import java.util.regex.Matcher;
public class MatchesLooking {
    private static final String REGEX = "foo";
    private static final String INPUT =
       "foooooooooooooo";
    private static Pattern pattern;
    private static Matcher matcher;
    public static void main(String[] args) {
        // Initialize
        pattern = Pattern.compile(REGEX);
        matcher = pattern.matcher(INPUT);
        System.out.println("Current REGEX is: "
                           + REGEX);
        System.out.println("Current INPUT is: "
                           + INPUT);
        System.out.println("lookingAt(): "
            + matcher.lookingAt());
        System.out.println("matches(): "
            + matcher.matches());
Current REGEX is: foo
Current INPUT is: fooooooooooooooo
lookingAt(): true
matches(): false
```

Using replaceFirst(String) and replaceAll(String)

The replaceFirst and replaceAll methods replace text that matches a given regular expression. As their names indicate, replaceFirst replaces the first occurrence, and replaceAll replaces all occurences. Here's the ReplaceDemo.java code:

```
System.out.println(INPUT);
}

OUTPUT: The cat says meow. All cats say meow.
```

In this first version, all occurrences of dog are replaced with cat. But why stop here? Rather than replace a simple literal like dog, you can replace text that matches *any* regular expression. The API for this method states that "given the regular expression a*b, the input aabfooabfoob, and the replacement string -, an invocation of this method on a matcher for that expression would yield the string -foo-foo-foo-."

Here's the ReplaceDemo2.java code:

```
import java.util.regex.Pattern;
import java.util.regex.Matcher;

public class ReplaceDemo2 {
    private static String REGEX = "a*b";
    private static String INPUT =
        "aabfooaabfooabfoob";
    private static String REPLACE = "-";

    public static void main(String[] args) {
        Pattern p = Pattern.compile(REGEX);
        // get a matcher object
        Matcher m = p.matcher(INPUT);
        INPUT = m.replaceAll(REPLACE);
        System.out.println(INPUT);
    }
}
```

To replace only the first occurrence of the pattern, simply call replaceFirst instead of replaceAll. It accepts the same parameter.

Using appendReplacement(StringBuffer,String) and appendTail(StringBuffer)

The Matcher class also provides appendReplacement and appendTail methods for text replacement. The following example, RegexDemo.java, uses these two methods to achieve the same effect as replaceAll.

```
import java.util.regex.Pattern;
import java.util.regex.Matcher;

public class RegexDemo {
    private static String REGEX = "a*b";
    private static String INPUT = "aabfooaabfooabfoob";
```

```
private static String REPLACE = "-";

public static void main(String[] args) {
    Pattern p = Pattern.compile(REGEX);
    Matcher m = p.matcher(INPUT); // get a matcher object
    StringBuffer sb = new StringBuffer();
    while (m.find()) {
        m.appendReplacement(sb,REPLACE);
    }
    m.appendTail(sb);
    System.out.println(sb.toString());
}

OUTPUT: -foo-foo-foo-
```

Matcher Method Equivalents in java.lang.String

For convenience, the string class mimics a couple of Matcher methods as well:

• <u>public String replaceFirst(String regex, String replacement)</u>: Replaces the first substring of this string that matches the given regular expression with the given replacement. An invocation of this method of the form *str*.replaceFirst(*regex*, *repl*) yields exactly the same result as the expression

Pattern.compile(regex).matcher(str).replaceFirst(repl)

• <u>public String replaceAll(String regex, String replacement)</u>: Replaces each substring of this string that matches the given regular expression with the given replacement. An invocation of this method of the form <code>str.replaceAll(regex, repl)</code> yields exactly the same result as the expression <code>Pattern.compile(regex).matcher(str).replaceAll(repl)</code>

Methods of the PatternSyntaxException Class

A <u>PatternSyntaxException</u> is an unchecked exception that indicates a syntax error in a regular expression pattern. The <u>PatternSyntaxException</u> class provides the following methods to help you determine what went wrong:

- <u>public String getDescription()</u>: Retrieves the description of the error.
- public int getIndex(): Retrieves the error index.
- <u>public String getPattern()</u>: Retrieves the erroneous regular expression pattern.
- <u>public String getMessage()</u>: Returns a multi-line string containing the description of the syntax error and its index, the erroneous regular-expression pattern, and a visual indication of the error index within the pattern.

The following source code, RegexTestHarness2.java, updates our test harness to check for malformed regular expressions:

```
import java.io.Console;
import java.util.regex.Pattern;
import java.util.regex.Matcher;
import java.util.regex.PatternSyntaxException;
public class RegexTestHarness2 {
   public static void main(String[] args) {
       Pattern pattern = null;
       Matcher matcher = null;
       Console console = System.console();
       if (console == null) {
           System.err.println("No console.");
           System.exit(1);
        while (true) {
           try{
               Pattern.compile(console.readLine("%nEnter your regex: "));
               matcher =
               pattern.matcher(console.readLine("Enter input string to search: "));
            catch(PatternSyntaxException pse) {
               console.format("There is a problem" +
                               " with the regular expression!%n");
                console.format("The pattern in question is: %s%n",
                              pse.getPattern());
                console.format("The description is: %s%n",
                              pse.getDescription());
                console.format("The message is: %s%n",
                              pse.getMessage());
                console.format("The index is: %s%n",
                              pse.getIndex());
                System.exit(0);
            boolean found = false;
            while (matcher.find()) {
               console.format("I found the text" +
                   " \"%s\" starting at " +
                    "index %d and ending at index %d.%n",
                   matcher.group(),
```

To run this test, enter <code>?i)foo</code> as the regular expression. This mistake is a common scenario in which the programmer has forgotten the opening parenthesis in the embedded flag expression <code>(?i)</code>. Doing so will produce the following results:

```
Enter your regex: ?i)
There is a problem with the regular expression!
The pattern in question is: ?i)
The description is: Dangling meta character '?'
The message is: Dangling meta character '?' near index 0
?i)
^
The index is: 0
```

From this output, we can see that the syntax error is a dangling metacharacter (the question mark) at index 0. A missing opening parenthesis is the culprit.

Unicode Support

As of the JDK 7 release, Regular Expression pattern matching has expanded functionality to support Unicode 6.0.

- Matching a Specific Code Point
- <u>Unicode Character Properties</u>

Matching a Specific Code Point

You can match a specific Unicode code point using an escape sequence of the form \uFFFF, where FFFF is the hexidecimal value of the code point you want to match. For example, \u6771 matches the Han character for east.

Alternatively, you can specify a code point using Perl-style hex notation, $\xspace \xspace \$

```
String hexPattern = "\x{" + Integer.toHexString(codePoint) + "}";
```

Unicode Character Properties

Each Unicode character, in addition to its value, has certain attributes, or properties. You can match a single character belonging to a particular category with the expression $p\{prop\}$. You can match a single character *not* belonging to a particular category with the expression $p\{prop\}$.

The three supported property types are scripts, blocks, and a "general" category.

Scripts

To determine if a code point belongs to a specific script, you can either use the script keyword, or the sc short form, for example, \p{script=Hiragana}. Alternatively, you can prefix the script name with the string Is, such as \p{IsHiragana}.

Valid script names supported by Pattern are those accepted by UnicodeScript.forName.

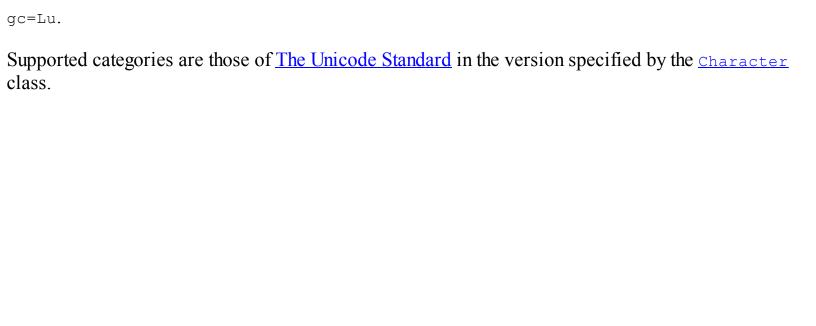
Blocks

A block can be specified using the block keyword, or the blk short form, for example, \p{block=Mongolian}. Alternatively, you can prefix the block name with the string In, such as \p{InMongolian}.

Valid block names supported by Pattern are those accepted by UnicodeBlock.forName.

General Category

Categories can be specified with optional prefix Is. For example, IsL matches the category of Unicode letters. Categories can also be specified by using the <code>general_category</code> keyword, or the short form <code>gc</code>. For example, an uppercase letter can be matched using <code>general_category=Lu</code> or



Additional Resources

Now that you've completed this lesson on regular expressions, you'll probably find that your main references will be the API documentation for the following classes: Pattern, Matcher, and PatternSyntaxException.

For a more precise description of the behavior of regular expression constructs, we recommend reading the book *Mastering Regular Expressions* by Jeffrey E. F. Friedl.

Questions and Exercises: Regular Expressions

Questions

- 1. What are the three public classes in the java.util.regex package? Describe the purpose of each.
- **2.** Consider the string literal "foo". What is the start index? What is the end index? Explain what these numbers mean.
- **3.** What is the difference between an ordinary character and a metacharacter? Give an example of each.
- **4.** How do you force a metacharacter to act like an ordinary character?
- **5.** What do you call a set of characters enclosed in square brackets? What is it for?
- **6.** Here are three predefined character classes: \d, \s, and \w. Describe each one, and rewrite it using square brackets.
- **7.** For each of \d , \s , and \w , write *two* simple expressions that match the *opposite* set of characters.
- **8.** Consider the regular expression (dog) {3}. Identify the two subexpressions. What string does the expression match?

Exercises

1. Use a backreference to write an expression that will match a person's name only if that person's first name and last name are the same.

Check your answers.

Questions

- **1.** Question: What are the three public classes in the java.util.regex package? Describe the purpose of each. Answer:
 - Pattern instances are compiled representations of regular expressions.
 - Matcher instances are engines that interpret patterns and perform match operations against input strings.
 - PatternSyntaxException defines an unchecked exception indicating a syntax error in a regular expression.
- **2.** Question: Consider the string literal "foo". What is the start index? What is the end index? Explain what these numbers mean. Answer: Each character in the string resides in its own cell. Index positions point between cells. The string "foo" starts at index 0 and ends at index 3, even though the characters only occupy cells 0, 1, and 2.
- **3.** Question: What is the difference between an ordinary character and a metacharacter? Give an example of each. Answer: An ordinary character in a regular expression matches itself. A metacharacter is a special character that affects the way a pattern is matched. The letter A is an ordinary character. The punctuation mark . is a metacharacter that matches any single character.
- **4.** Question: How do you force a metacharacter to act like an ordinary character? Answer: There are two ways:
 - Precede the metacharacter with a backslash (\);
 - Enclose the metacharacter within the quote expressions, \Q (at the beginning) and \E (at the end).
- **5.** Question: What do you call a set of characters enclosed in square brackets? What is it for? Answer: This is a character class. It matches any single character that is in the class of characters specified by the expression between the brackets.
- **6.** Question: Here are three predefined character classes: \d, \s, and \w. Describe each one, and rewrite it using square brackets. Answer:

```
\d Matches any digit. [0-9]
\s Matches any white space character. [\t\n-x0B\f\r]
\w Matches any word character. [a-zA-Z_0-9]
```

7. Question: For each of \d, \s, and \w, write *two* simple expressions that match the *opposite* set of characters. Answer:

```
\d\D[^\d]
\s\S[^\s]
\w\W[^\w]
```

8. Question: Consider the regular expression (dog) {3}. Identify the two subexpressions. What string does the expression match? Answer: The expression consists of a capturing group, (dog), followed

by a greedy quantifier {3}. It matches the string "dogdogdog".

Exercises



Essential Classes: End of Trail

You have reached the end of the "Essential Classes" trail.

If you have comments or suggestions about this trail, use our <u>feedback page</u> to tell us about it.

<u>Creating a GUI With JFC/Swing</u>: Once you know how to create applications or applets, follow this trail to learn how to create their user interfaces.

<u>Collections</u>: Using the classes and interfaces in the collections framework you can group objects together into a single object.

Internationalization: Essential if you want to create a program that can be used by people all over the world. Furthermore, you can use the information about formatting dates, numbers, and strings in any program.