

Algebraic Geometry

An Introduction with Hilbert's Nullstellensatz

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What is Algebraic Geometry

Algebraic geometry is the field of math concerned with using algebraic techniques to solve questions about geometry.

We will look at *classical* algebraic geometry, the study of solutions of multivariate polynomials.

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What operations can we do with multivariate polynomials?

- Addition, subtraction, multiplication; what about division?

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A **ring** is a set R equipped with two operations, referred to as addition and multiplication, such that addition, subtraction, and multiplication are defined on R , but not necessarily division. *Note: We will be assuming all rings are commutative.*

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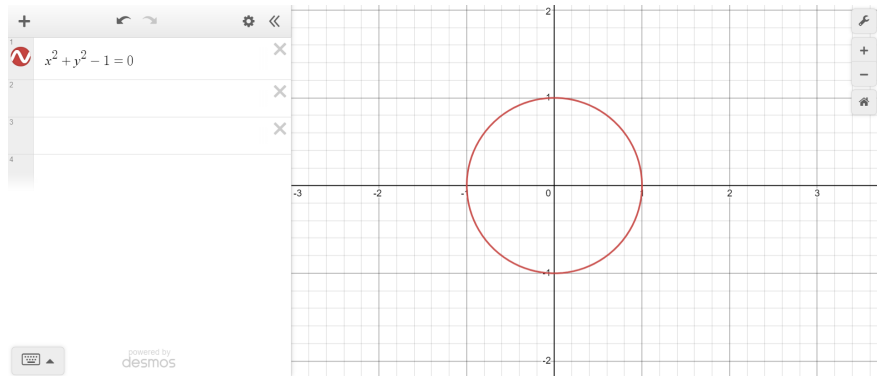
Let R be a ring. Then $R[x_1, \dots, x_n]$ **polynomial ring** in n variables with coefficients in R .

A Simple Example

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- What other polynomials in $\mathbb{R}[x, y]$ *vanish* on this solution set?
- What properties can we observe about this set of polynomials?

Definition

Let k be a field, and let $F \subseteq k[x_1, \dots, x_n]$. We define the **variety of F** , denoted as $V(F)$, to be the set of common zeros among polynomials in F . That is,

$$V(F) = \{a \in k^n \mid f(a) = 0 \text{ for all } f \in F\}.$$

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$$I(A) = \{f \in k[x_1, \dots, x_n] \mid f(a) = 0 \text{ for all } a \in A\}.$$

Exploring Further

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A subset of a ring satisfying the above properties is called an **ideal**.

Definition

Let $F \subseteq k[x_1, \dots, x_n]$. We define the **ideal generated by F** as set of possible linear combinations of elements in F with scalars in $k[x_1, \dots, x_n]$.

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- If $F \subseteq G$, then $V(F) \supseteq V(G)$.
- If $A \subseteq B$, then $I(A) \supseteq I(B)$.
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- If $A \subseteq B$, then $I(A) \supseteq I(B)$.
- $V(k[x_1, \dots, x_n]) = \emptyset$ and $V(\emptyset) = k^n$
- If $F = G$, then $V(F) = V(G)$.

Example

Consider $A = \{(-1, 0), (1, 0)\}$ and $B = \{(1, 0)\}$. Then $x - 1 \in I(B)$, but we can see that $x - 1 \notin I(A)$.

Remark

We will not go into detail on this, but **Hilbert's Basis Theorem** states that every polynomial ring over a field k is finitely generated. Hence, if we have an ideal $I \subseteq k[x_1, \dots, x_n]$, then $I = (f_1, \dots, f_m)$.

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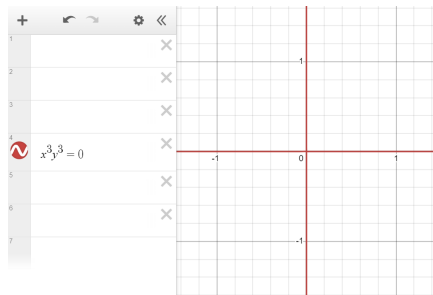
Why is this?

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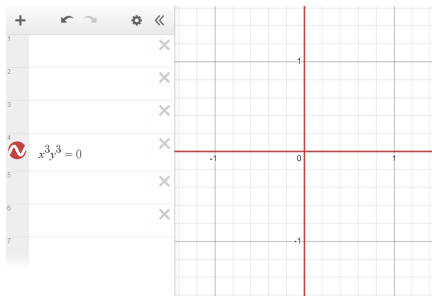
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Now, what is $I(V(F))$? It is everything vanishing on the set pictured above. Namely, $I(V(F)) = (xy)$.

We work more generally now. If $f^m \in I(A)$ for some variety A , then $f^m(a) = 0$ for each $a \in A$. So, it follows that $f(a) = 0$ for each $a \in A$, so $f \in I(A)$ as well.

Definition

Let R be a ring, and let $I \subseteq R$ be an ideal. Then the **radical** of I , denoted as $\text{Rad}(I)$ or \sqrt{I} , is the set of all elements in $r \in R$ such that $r^m \in I$ for some $m \in \mathbb{N}$. That is,

$$\sqrt{I} = \{f \in R \mid \text{there exists } m \in \mathbb{N} \text{ such that } f^m \in I\}.$$

I is called a **radical ideal** if $I = \sqrt{I}$.

Is it true in general that $I(V(F)) = \sqrt{F}$ for some ideal $F \subseteq k[x_1, \dots, x_n]$?

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Let k be an algebraically closed field, and let $F \subseteq k[x_1, \dots, x_n]$ be an ideal. Then $I(V(F)) = \sqrt{F}$.

The proof of this uses the *Rabinowitsch trick* along with the **Weak Nullstellensatz**, which we will take as given.

Weak Nullstellensatz

Let k be an algebraically closed field, and let $F \subseteq k[x_1, \dots, x_n]$ be an ideal such that $V(F) = \emptyset$. Then $F = k[x_1, \dots, x_n]$.

Proof of the Nullstellensatz

Proof: Let F be an ideal in $k[x_1, \dots, x_n]$. We have already seen a sketch of why $\sqrt{F} \subseteq V(I(F))$. The hard part is the reverse direction.

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Let $f \in I(V(F))$. We wish to show that $f \in \sqrt{F}$, we means we must show some power of f is in F . Note that $F = (f_1, \dots, f_m)$ by Hilbert's Basis Theorem. We now *add another variable* x_{n+1} and consider $J = (f_1, \dots, f_m, x_{n+1}f - 1) \subseteq k[x_1, \dots, x_n, x_{n+1}]$.

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We claim $V(J) = \emptyset$. This is true because when all of the f_i 's vanish, so does f , but then $x_{n+1}f - 1$ is equal to -1 at these points and does not vanish. Hence, by the Weak Nullstellensatz, $J = k[x_1, \dots, x_{n+1}]$.

Proof of the Nullstellensatz

Note that $1 \in J = k[x_1, \dots, x_{n+1}]$, so there is a linear combination of the generators of J that is equal to 1. More explicitly, for some $a_1, \dots, a_{n+1} \in k[x_1, \dots, x_{n+1}]$,

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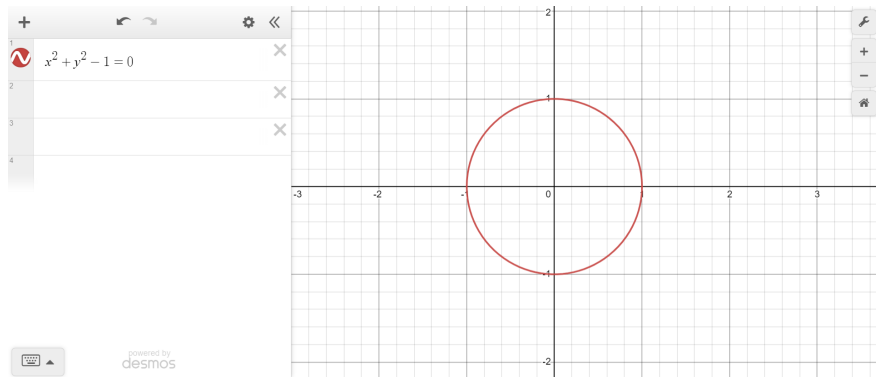
Now, we use one more trick. Since these are free variables, we may substitute $x_{n+1} = 1/f$ (note that this cancels out the $x_{n+1}f - 1$ term). Plugging this in for x_{n+1} will give us rational functions on the right-hand side. So, we multiply by a high enough power of f , say f^m , to clear out all denominators. So, we obtain

$$f^m = \sum_{i=1}^m a'_i f_i.$$

So, we have shown that a power of f lies in $F = (f_1, \dots, f_m)$. So, $f \in \sqrt{I}$ and the Strong Nullstellensatz holds.

Application

We return to $f(x, y) = \{x^2 + y^2 - 1\}$. We now ask again, which polynomials in $\mathbb{C}[x, y]$ vanish on $V((f))$?



We know $I(V((f))) = \sqrt{(f)}$. You can convince yourself that $\sqrt{(f)} = (f)$, so *only multiples of f vanish on $V((f))$!*

There are many useful consequences of the Nullstellensatz.

- There is a one-to-one correspondence between radical ideals and varieties.
- There is a one-to-one correspondence between prime ideals and irreducible varieties.
- There is a one-to-one correspondence between maximal ideals in $k[x_1, \dots, x_n]$ and points in k^n . Namely, for a point $a = (a_1, \dots, a_n) \in k^n$, then $(x_1 - a_1, \dots, x_n - a_n)$ is a maximal ideal in $k[x_1, \dots, x_n]$.

Thank You!

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A huge thanks to my mentor Riley Guyett for all the help, explanations, and guidance this fall! And thanks to the DRP team for organizing the program this semester! :)