

# Chapter 6: Relational Algebra and Relational Calculus

## **Last Lecture:**

- More Relational Algebra
- Division Operator
- Null Values and Bags in Relational Algebra
- Introduction to Relational Calculus

## **Today:**

- Tuple Relational Calculus
- Domain Relational Calculus

# Tuple Relational Calculus

- A nonprocedural query language, where each query is of the form

$$\{t \mid P(t)\}$$

- It is the set of all tuples  $t$  such that predicate  $P$  is true for  $t$
- $t$  is a *tuple variable*,  $t[A]$  denotes the value of tuple  $t$  on attribute  $A$
- $t \in r$  denotes that tuple  $t$  is in relation  $r$
- $P$  is a *formula* similar to that of the predicate calculus

# Predicate Calculus Formula

1. Set of attributes and constants
2. Set of comparison operators: (e.g.,  $<$ ,  $\leq$ ,  $=$ ,  $\neq$ ,  $>$ ,  $\geq$ )
3. Set of connectives: and ( $\wedge$ ), or ( $\vee$ ), not ( $\neg$ )
4. Implication ( $\Rightarrow$ ):  $x \Rightarrow y$ , if  $x$  is true, then  $y$  is true

$$x \Rightarrow y \equiv \neg x \vee y$$

5. Set of quantifiers:

▮  $\exists t \in r (Q(t)) \equiv$  "there exists" a tuple in  $t$  in relation  $r$   
such that predicate  $Q(t)$  is true

▮  $(\exists t) (t \in r \wedge Q(t)) \equiv$  "there exists" a tuple in  $t$   
such that predicate  $Q(t)$  is true

▮  $\forall t \in r (Q(t)) \equiv Q$  is true "for all" tuples  $t$  in relation  $r$

▮  $(\forall t) (t \in r \Rightarrow Q(t)) \equiv Q$  is true "for all" tuples  $t$  in relation  $r$

*Don't use the notation in blue*

# Example Queries

Find the *ID*, *name*, *dept\_name*, *salary* for instructors whose salary is greater than \$80,000

$$\{t \mid t \in \text{instructor} \wedge t[\text{salary}] > 80000\}$$

As in the previous query, but output only the *ID* attribute value

$$\{t \mid (\exists s) (s \in \text{instructor} \wedge t[\text{ID}] = s[\text{ID}] \wedge s[\text{salary}] > 80000)\}$$

Notice that a relation on schema (*ID*) is implicitly defined by

the query

# Example Queries

Find the names of all instructors whose department is in the Watson building

$$\{t \mid \exists s \in \text{instructor} (t[\text{name}] = s[\text{name}] \wedge \exists u \in \text{department} (u[\text{dept\_name}] = s[\text{dept\_name}] \wedge u[\text{building}] = \text{"Watson"}))\}$$

Find the set of all courses taught in the Fall 2015 semester, **or** in the Spring 2016 semester, or both

$$\{t \mid \exists s \in \text{section} (t[\text{course\_id}] = s[\text{course\_id}] \wedge s[\text{semester}] = \text{"Fall"} \wedge s[\text{year}] = 2015 \vee \exists u \in \text{section} (t[\text{course\_id}] = u[\text{course\_id}] \wedge u[\text{semester}] = \text{"Spring"} \wedge u[\text{year}] = 2016))\}$$

# Example Queries

- Find the set of all courses taught in the Fall 2015 semester, and in

**the Spring 2016 semester**

$$\{t \mid (\exists s) (s \in \text{section} \wedge t[\text{course\_id}] = s[\text{course\_id}] \wedge s[\text{semester}] = \text{"Fall"} \wedge s[\text{year}] = 2015 \wedge (\exists u) (u \in \text{section} \wedge t[\text{course\_id}] = u[\text{course\_id}] \wedge u[\text{semester}] = \text{"Spring"} \wedge u[\text{year}] = 2016)\}$$

- Find the set of all courses taught in the Fall 2015 semester, but not in

**the Spring 2016 semester**

$$\{t \mid (\exists s) (s \in \text{section} \wedge t[\text{course\_id}] = s[\text{course\_id}] \wedge s[\text{semester}] = \text{"Fall"} \wedge s[\text{year}] = 2015 \wedge \neg (\exists u) (u \in \text{section} \wedge t[\text{course\_id}] = u[\text{course\_id}] \wedge u[\text{semester}] = \text{"Spring"} \wedge u[\text{year}] = 2016)\}$$

# Safety of Expressions

- It is possible to write tuple calculus expressions that generate infinite relations.
- For example,  $\{ t \mid \neg t \in r \}$  results in an infinite relation
- To guard against the problem, we restrict the set of allowable expressions to safe expressions.
- An expression  $\{ t \mid P(t) \}$  in the tuple relational calculus is *safe* if every component of  $t$  appears in one of the relations, tuples, or constants that appear in  $P$ 
  - NOTE: this is more than just a syntax condition.
  - E.g.  $\{ t \mid t[A] = 5 \vee \mathbf{true} \}$  is not safe --- it defines an infinite set with attribute values that do not appear in any relation or tuples or constants in  $P$ .



# Universal Quantification

- Find all students who have taken all courses offered in the Biology department

$$\{t \mid (\exists r) (r \in \text{student} \wedge t[ID] = r[ID] \wedge$$
$$(\forall u) (u \in \text{course} \wedge u[\text{dept\_name}] = \text{"Biology"} \Rightarrow$$
$$(\exists s)(s \in \text{takes} \wedge t[ID] = s[ID] \wedge s[\text{course\_id}] = u$$
$$[\text{course\_id}]))\}$$

- Note that without the existential quantification on student, the above query would be unsafe if the Biology department has not offered any courses.

Producer (id: integer, *name*: string, *age*: integer)

Manage (pid: integer, mid: integer)

Movie (mid: integer, *budget*: real, *managerid*: integer)

- a) Find the names of producers who manage at least one movie with budget larger than 1 million
- b) Find the names of producers who manage all movies with budget larger than 1 million
- c) Find the names of producers who manage only movies with budget larger than 1 million

Producer (id: integer, name: string, age: integer)

Manage (pid: integer, mid: integer)

Movie (mid: integer, budget: real, managerid:

a) Find the names of producers who manage at least one movie with budget larger than 1 million

$$\{t \mid (\exists s) (s \in \text{Producer} \wedge t[\text{name}] = s[\text{name}]$$
$$\wedge$$
$$\wedge (\exists u) (u \in \text{Movie} \wedge s[\text{id}] = u[\text{managerid}] \wedge$$
$$u[\text{budget}] > 1000000)$$

Producer (id: integer, name: string, age: integer)

Manage (pid: integer, mid: integer)

Movie (mid: integer, budget: real, managerid:

- integer)
- a) Find the names of producers who manage at least one movie with budget larger than 1 million
  - b) Find the names of producers who manage all movies with budget larger than 1 million

$$\{t \mid (\exists s) (s \in \text{Producer} \wedge t[\text{name}] = s[\text{name}]$$
$$\wedge (\forall u) (u \in \text{Movie} \wedge u[\text{budget}] > 1000000$$
$$\Rightarrow s[\text{id}] = u[\text{managerid}])$$

Producer (id: integer, name: string, age: integer)

Manage (pid: integer, mid: integer)

Movie (mid: integer, budget: real, managerid: integer)

- a) Find the names of producers who manage at least one movie with budget larger than 1 million
- b) Find the names of producers who manage all movies with budget larger than 1 million
- c) Find the names of producers who manage only movies with budget larger than 1 million

$$\{t \mid (\exists s) (s \in \text{Producer} \wedge t[\text{name}] = s[\text{name}]$$
$$\wedge (\forall u) (u \in \text{Movie} \wedge u[\text{managerid}] = s[\text{id}] \Rightarrow u[\text{budget}] > 1000000)$$

# Domain Relational Calculus

# Domain Relational Calculus

- A nonprocedural query language equivalent in power to the tuple relational calculus
- Each query is an expression of the form:

$$\{ \langle x_1, x_2, \dots, x_n \rangle \mid P(x_1, x_2, \dots, x_n) \}$$

- $x_1, x_2, \dots, x_n$  represent domain variables
- $P$  represents a formula similar to that of the predicate calculus

# Example Queries

- Find the *ID*, *name*, *dept\_name*, *salary* for instructors whose salary is greater than \$80,000  
 $\{ \langle i, n, d, s \rangle \mid \langle i, n, d, s \rangle \in instructor \wedge s > 80000 \}$
- As in the previous query, but output only the *ID* attribute value  
 $\{ \langle i \rangle \mid \langle i, n, d, s \rangle \in instructor \wedge s > 80000 \}$
- Find the names of all instructors whose department is in the Olin building  
 $\{ \langle n \rangle \mid (\exists i, d, s) (\langle i, n, d, s \rangle \in instructor \wedge (\exists b, a) (\langle d, b, a \rangle \in department \wedge b = \text{"Olin"})) \}$



# Example Queries

- Find the set of all courses taught in the Fall 2015 semester, or in the Spring 2016 semester, or both

$$\{ \langle c \rangle \mid \exists a, s, y, b, r, t ( \langle c, a, s, y, b, t \rangle \in \text{section} \wedge s = \text{"Fall"} \wedge y = 2015 ) \\ \vee \exists a, s, y, b, r, t ( \langle c, a, s, y, b, t \rangle \in \text{section} ] \wedge s = \text{"Spring"} \wedge y = 2016 ) \}$$

This case can also be written as

$$\{ \langle c \rangle \mid \exists a, s, y, b, r, t ( \langle c, a, s, y, b, t \rangle \in \text{section} \wedge \\ ( (s = \text{"Fall"} \wedge y = 2015) \vee (s = \text{"Spring"} \wedge y = 2016) ) ) \}$$

- Find the set of all courses taught in the Fall 2015 semester, and in the Spring 2016 semester

$$\{ \langle c \rangle \mid \exists a, s, y, b, r, t ( \langle c, a, s, y, b, t \rangle \in \text{section} \wedge s = \text{"Fall"} \wedge y = 2015 ) \\ \wedge \exists a, s, y, b, r, t ( \langle c, a, s, y, b, t \rangle \in \text{section} ] \wedge s = \text{"Spring"} \wedge y = 2016 ) \}$$

# Safety of Expressions

The expression:

$$\{ \langle x_1, x_2, \dots, x_n \rangle \mid P(x_1, x_2, \dots, x_n) \}$$

is **safe** if all of the following hold:

- All values that appear in tuples of the expression are values from *dom* (*P*) (that is, the values appear either in *P* or in a tuple of a relation mentioned in *P*).
- For every “there exists” subformula of the form  $\exists x (P_1(x))$ , the subformula is true if and only if there is a value of *x* in *dom* (*P*<sub>1</sub>) such that *P*<sub>1</sub>(*x*) is true.
- For every “for all” subformula of the form  $\forall x (P_1(x))$ , the subformula is true if and only if *P*<sub>1</sub>(*x*) is true for all values *x* from *dom* (*P*<sub>1</sub>).

# Universal Quantification

- Find all students who have taken all courses offered in the Biology department

$$\{ \langle i \rangle \mid \exists n, d, tc ( \langle i, n, d, tc \rangle \in student \wedge \\ (\forall ci, ti, dn, cr ( \langle ci, ti, dn, cr \rangle \in course \wedge dn = \text{"Biology"} \\ \Rightarrow \exists si, se, y, g ( \langle i, ci, si, se, y, g \rangle \in takes ))) ) \}$$

Note that without the existential quantification on student, the above query would be unsafe if the Biology department has not offered any courses.

\* Above query fixes bug in page 246, last query

End of Chapter 6

# Why Bags?

- SQL, the most important query language for relational databases, is actually a bag language.
- Some operations, like projection, are more efficient on bags than sets.

# Operations on Bags

- **Selection** applies to each tuple, so its effect on bags is like its effect on sets.
- **Projection** also applies to each tuple, but as a bag operator, we do not eliminate duplicates.
- **Products** and **joins** are done on each pair of tuples, so duplicates in bags have no effect on how we operate.

# Example: Bag Selection

R( A, B )		
1	2	
5	6	
1	2	

$$\sigma_{A+B < 5}(R) =$$

1	2
1	2

A	B

# Example: Bag Projection

R( A, B )	
1	2
5	6
1	2

$\pi_A(R) =$	A
1	
5	
1	



# Example: Bag Product

R( A, B )

1	2
5	6
1	2

S( B, C )

3	4
7	8


R X S =

1	2
1	2
5	6
5	6
1	2
1	2

A	R.B	S.B	C
	3	4	
	7	8	
	3	4	
	7	8	
	3	4	
	7	8	

# Example: Bag Theta-Join

R( A, B )

1	2
5	6
1	2

S( B, C )

3	4
7	8


R ⋈<sub>R.B < S.B</sub> S =

A	R.B	S.B	C
1	2	3	4
1	2	7	8
5	6	7	8
1	2	3	4
1	2	7	8

# Bag Union

- An element appears in the union of two bags the **sum** of the number of times it appears in each bag.
- **Example:**  $\{1,2,1\} \cup \{1,1,2,3,1\} = \{1,1,1,1,1,2,2,3\}$

# Bag Intersection

- An element appears in the intersection of two bags the **minimum** of the number of times it appears in either.
- **Example:**  $\{1,2,1,1\} \cap \{1,2,1,3\} = \{1,1,2\}$ .

# Bag Difference

- An element appears in the difference  $A - B$  of bags as many times as it appears in  $A$ , **minus** the number of times it appears in  $B$ .
  - But never less than 0 times.
- **Example:**  $\{1,2,1,1\} - \{1,2,3\} = \{1,1\}$ .

# Beware: Bag Laws $\neq$ Set Laws

- Some, but *not all* algebraic laws that hold for sets also hold for bags.
- **Example:** the commutative law for union  
 $(R \cup S = S \cup R)$  *does* hold for bags.
  - Since addition is commutative, adding the number of times  $x$  appears in  $R$  and  $S$  doesn't depend on the order of  $R$  and  $S$ .

# Example: A Law That Fails

- Set union is *idempotent*, meaning that  $S \cup S = S$ .
- However, for bags, if  $x$  appears  $n$  times in  $S$ , then it appears  $2n$  times in  $S \cup S$ .
- Thus  $S \cup S \neq S$  in general.
  - e.g.,  $\{1\} \cup \{1\} = \{1,1\} \neq \{1\}$ .

# Bank Example

- Borrower (customer\_name, loan\_number, branch\_name)
- Depositor(customer\_name, account\_number, branch\_name)
- Loan (loan\_number, amount, loan\_type)
- Account (account\_number, balance, account\_type)
- Branch( branchname, branch\_city, address, phone)



# Example Queries

Find the names of all customers who have a loan and an account at bank.

$$\Pi_{customer\_name}(borrower) \cap \Pi_{customer\_name}(depositor)$$

Find the name of all customers who have a loan at the bank and the loan amount

$$\Pi_{customer\_name, loan\_number, amount}(borrower \bowtie loan)$$

# Example Queries

- Find all customers who have an account from at least the “Downtown” and the Uptown” branches.

## Query 1

$$\Pi_{customer\_name} (\sigma_{branch\_name = \text{“Downtown”}} (depositor \bowtie account)) \cap \\ \Pi_{customer\_name} (\sigma_{branch\_name = \text{“Uptown”}} (depositor \bowtie account))$$

## Query 2

$$\Pi_{customer\_name, branch\_name} (depositor \bowtie account) \\ \div \rho_{temp(branch\_name)} (\{(\text{“Downtown”}), (\text{“Uptown”})\})$$

Note that Query 2 uses a constant relation.

# Bank Example Queries

- Find all customers who have an account at all branches located in Brooklyn city.

$$\Pi_{customer\_name, branch\_name} (depositor \bowtie account) \div \Pi_{branch\_name} (\sigma_{branch\_city = \text{"Brooklyn"}} (branch))$$

# Relational Algebra on Bags

- A *bag* (or *multiset* ) is like a set, but an element may appear more than once.
- *Example*:  $\{1,2,1,3\}$  is a bag.
- *Example*:  $\{1,2,3\}$  is also a bag that happens to be a set.