

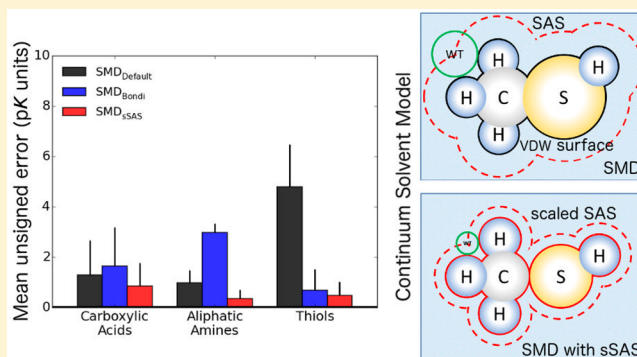
Quantum Chemical Calculation of pK_a s of Environmentally Relevant Functional Groups: Carboxylic Acids, Amines, and Thiols in Aqueous SolutionPeng Lian,¹ Ryne C. Johnston,¹ Jerry M. Parks,¹ and Jeremy C. Smith*

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Supporting Information

ABSTRACT: Developing accurate quantum chemical approaches for calculating pK_a s is of broad interest. Useful accuracy can be obtained by using density functional theory (DFT) in combination with a polarizable continuum solvent model. However, some classes of molecules present problems for this approach, yielding errors greater than 5 pK units. Various methods have been developed to improve the accuracy of the combined strategy. These methods perform well but either do not generalize or introduce additional degrees of freedom, increasing the computational cost. The Solvation Model based on Density (SMD) has emerged as one of the most commonly used continuum solvent models.

Nevertheless, for some classes of organic compounds, e.g., thiols, the pK_a s calculated with the original SMD model show errors of 6–10 pK units, and we traced these errors to inaccuracies in the solvation free energies of the anions. To improve the accuracy of pK_a s calculated with DFT and the SMD model, we developed a scaled solvent-accessible surface approach for constructing the solute–solvent boundary. By using a “direct” approach, in which all quantities are computed in the presence of the continuum solvent, the use of thermodynamic cycles is avoided. Furthermore, no explicit water molecules are required. Three benchmark data sets of experimentally measured pK_a values, including 28 carboxylic acids, 10 aliphatic amines, and 45 thiols, were used to assess the optimized SMD model, which we call SMD with a scaled solvent-accessible surface (SMD_{sSAS}). Of the methods tested, the M06-2X density functional approximation, 6-31+G(d,p) basis set, and SMD_{sSAS} solvent model provided the most accurate pK_a s for each set, yielding mean unsigned errors of 0.9, 0.4, and 0.5 pK units, respectively, for carboxylic acids, aliphatic amines, and thiols. This approach is therefore useful for efficiently calculating the pK_a s of environmentally relevant functional groups.



INTRODUCTION

Acid dissociation constants (pK_a s) are essential for evaluating the acid–base properties of organic compounds in chemistry,^{1–3} drug discovery,^{4–6} and environmental^{7–9} and material sciences.^{10–12} Furthermore, pK_a s and stability constants ($\log \beta$) of metal complexes are important for understanding and predicting metal ion speciation^{13,14} in the environment, because metal ions and protons “compete” for metal-binding functional groups, such as carboxylic acids, amines, and thiols. Direct measurement of pK_a values with conventional experimental approaches for each functional group of all organic compounds in complex aqueous systems can be challenging.¹⁵ Therefore, the accurate calculation of pK_a s with theoretical methods is of great interest.

A widely used method for calculating pK_a s involves performing quantum chemical calculations, most often density functional theory (DFT), with a polarizable continuum solvation model. Introducing the continuum approximation for the solvent, a solute is embedded in a solute-shaped cavity surrounded by a polarizable dielectric medium. Continuum

models are less computationally demanding than models including explicit solvent molecules. In many continuum solvation models, the total electrostatic potential satisfies the nonhomogeneous Poisson equation (NPE) for electrostatics. For example, the polarizable continuum model (PCM)^{16–18} and its variants, e.g., IEF-PCM,¹⁹ SCI-PCM,²⁰ I-PCM,²⁰ and C-PCM,^{21,22} the conductor-like screening model (COSMO),²³ and COSMO-RS,²⁴ all solve the NPE based on the continuous charge density of the solute.

As an electron-density-based solvation model, the Solvation Model based on Density (SMD)²⁵ separates the solvation free energy into two components, the bulk electrostatic contribution and the cavity-dispersion-solvent-structure (CDS) term. The first component is calculated from a molecular orbital self-consistent reaction field calculation, which requires an iterative solution of the NPE to obtain the reaction field. The second

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component describes shorter-range polarization and non-electrostatic effects such as cavitation, dispersion, and local solvent-structure changes. For the SMD model, a set of parameters including intrinsic atomic Coulomb radii and atomic surface tension coefficients was introduced and optimized against 2821 experimentally measured solvation data using 6 electronic structure methods. SMD is a universal solvation model, as it was designed to be applied to any charged or uncharged solute in any solvent or liquid medium.²⁵ Therefore, SMD is widely used in the calculation of condensed-phase properties including, but not limited to, solvation free energies and pK_a s.^{26–29}

Many strategies have been developed to calculate pK_a s with quantum chemical approaches. One of the most common methods involves constructing an appropriate thermodynamic cycle to calculate the aqueous Gibbs free energy of deprotonation. In this approach, the geometries of the acid and conjugate base are optimized in the gas phase, and then, solvation free energies are computed at those geometries with a continuum model. Various formulations of thermodynamic cycles and their advantages and disadvantages have been summarized in recent reviews.^{2,3,30} Another increasingly common method is the “direct” approach, in which the geometries are optimized, and deprotonation free energies are calculated directly in the presence of the continuum solvent without the need for gas-phase calculations. A series of studies comparing thermodynamic-cycle-based methods and the direct approach showed that the two approaches can achieve similar accuracy.^{28,29} However, for systems that display significant differences between gas-phase and solution-phase geometries, the direct approach may perform better than the corresponding thermodynamic-cycle method.

The combination of DFT and a continuum solvation model is widely used in pK_a calculations. This method performs well for many species, as DFT is capable of calculating accurate electronic structures of many classes of molecules. However, for some molecules, e.g., thiols, the use of continuum solvation results in errors of 6–10 pK units.^{30–33} One way to improve the accuracy of pK_a calculations involves applying corrections based on linear regression. This method assumes that for molecules in the same class, there is a linear relationship between the pK_a and some property of the molecule, such as the atomic charge of the anionic form³⁴ or the deprotonation energy.^{35,36} By applying linear regression fitting on the training data set, which contains the experimental pK_a values and the calculated numerical property values, the parameters for the linear model can be obtained. Then, the pK_a for a molecule in the same class can be predicted with the linear model and the calculated numerical property. The accuracy of this method is good, with errors below 0.5 pK units for some finely tuned models. However, separate linear fits are required for each functional group. Thus, it is difficult to apply this method to sets that include multiple classes of molecules.

An alternative strategy for improving the accuracy of calculated pK_a s involves the use of a reference molecule with an experimentally known pK_a .^{30,31,37,38} Instead of calculating the absolute free energy of the deprotonation reaction, a proton exchange reaction is constructed with the reference molecule. Then, the difference in pK_a relative to the computed value for the reference acid is calculated. The final pK_a is obtained by adding the pK_a difference to the experimentally measured pK_a value of the reference acid. The accuracy of this approach derives from systematic error cancellation originating primarily

from the interaction between the solutes and the continuum solvent.³

Including first-shell water molecules explicitly is a further way to improve the accuracy of pK_a calculations. Using this approach, it was shown that including two to four explicit water molecules as hydrogen bond donors or acceptors to dicarboxylic acids reduced the mean unsigned error (MUE) by 2.4–9.0 pK units compared to continuum solvation alone, yielding final MUE values of 0.6–0.8 pK units.³⁹ Later, it was shown that, for a set of thiols, alcohols, and hydroperoxides, compared to a fully implicit solvation model, for which the MUE > 6 pK units, including one explicit water molecule reduced the MUE of pK_a calculations by ~ 3 pK units.³³ By including three explicit water molecules, further improvements were obtained, yielding a MUE less than 1 pK unit.^{32,33} However, this approach introduces additional degrees of freedom, requiring substantial effort and surveillance to place the water molecules optimally and identify low-energy minima.⁴⁰

Another effective way to optimize a solvation model is to scale the solvent cavity or the individual radii of the solute atoms.^{41–48} Because continuum solvation models have limitations in describing the short-range interactions between the solute and first-shell solvent molecules, the solute–solvent surface and the cavity size are critical for overcoming this deficiency in pK_a calculations. As shown in a recent assessment of continuum methods for calculating pK_a s of several chemical groups, the solute cavity is the dominant factor for reproducing experimental values.⁴⁹ Substantial efforts have been made in the last decades to reduce errors in computed solvation free energies and pK_a s by scaling the solvent cavity or the atomic radii.^{41–48,50}

In the present work, we used DFT together with the SMD continuum solvent model to develop an accurate and efficient approach for calculating the pK_a s of molecules that include environmentally relevant functional groups, carboxylic acids, aliphatic amines, and thiols. The choice of these functional groups was based on the above-mentioned existing inaccuracy for thiols and the central role of these groups in aqueous environmental science. Carboxylic acids, aliphatic amines, and thiols are three important metal-binding functional groups of organic molecules present in the environment,^{7–9} forming strong complexes with many different metal cations, including Zn^{2+} , Cu^{2+} , Cd^{2+} , and Hg^{2+} .^{50,51} Acid dissociation constants are intimately related to the metal-binding affinities of these functional groups and are therefore important for investigating the transport, speciation, and toxicity of metals in aquatic systems.

Based on the current implementation of SMD in Gaussian 09,⁵² we developed an alternative approach to construct the solute cavity. All free energies were computed directly in the continuum solvent, thus eliminating the need for gas-phase calculations and thermodynamic cycles. Moreover, no explicit water molecules were used, which simplifies the calculation substantially compared to mixed discrete-continuum approaches. Three sets of molecules with experimentally measured pK_a values (28 carboxylic acids, 10 aliphatic amines, and 45 thiols) were used to assess the performance of the optimized SMD model. MUEs of 0.86, 0.35, and 0.48 pK units were obtained for carboxylic acids, aliphatic amines, and thiols, respectively. Moreover, we tested the optimized SMD model by comparing calculated solvation free energies to experimentally measured reference values for 83 monoanions, 60 monocations,

and 256 neutral molecules available in the Minnesota Solvation Database (MNSol-v2012).⁵³

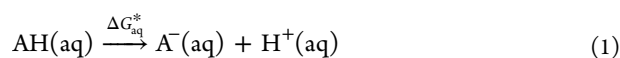
METHODS

The data sets used in this study are listed in Table 1. Details of the molecules in each data set are provided in Scheme S1. Initial coordinates of the molecules were obtained from the references listed in Table 1.

Table 1. Benchmark Data Sets Used in This Study

molecule	number of molecules	refs.
carboxylic acids	28	54–56
aliphatic amines	10	57,58
thiols	45	59–70

Using the direct approach, given the proton dissociation reaction shown in eq 1, the pK_a of molecule AH was calculated according to eq 2. The free energy difference in the 1 M standard state, ΔG_{aq}^* , was calculated directly from the aqueous Gibbs free energies of the acid and conjugate base with eq 3. The gas-phase standard state correction terms, $\Delta G^{\circ \rightarrow *}$ for both the acid and conjugate base, cancel in this equation. The standard state aqueous free energy of a proton, $G_{aq}^*(H^+)$, was calculated according to eq 4, in which the gas-phase free energy ($G_g^\circ(H^+) = -6.29$ kcal/mol) and the experimentally measured hydration free energy ($G_{aq,solv}^{\text{sol}}(H^+) = -265.9$ kcal/mol) were taken from the literature.^{32,71–74}



$$pK_a = \frac{\Delta G_{aq}^*}{2.303RT} \quad (2)$$

$$\Delta G_{aq}^* = G_{aq}^*(A^-) + G_{aq}^*(H^+) - G_{aq}^*(AH) \quad (3)$$

$$G_{aq}^*(H^+) = G_g^\circ(H^+) + \Delta G_{aq,solv}(H^+) + \Delta G^{\circ \rightarrow *} \quad (4)$$

$$\Delta G_{Solv}^* = \Delta G_{EP} + G_{CDS} + \Delta G^{\circ \rightarrow *} \quad (5)$$

The SMD model calculates the free energy of solvation in three parts (eq 5): the bulk electrostatic energy, ΔG_{ENP} , the cavitation-dispersion-solvent-structure (CDS) contribution, G_{CDS} , and the gas-phase standard state correction, $\Delta G^{\circ \rightarrow *}$. ΔG_{ENP} includes electronic (E), nuclear (N), and polarization (P) components of the solvation free energy and is evaluated by self-consistently performing numerical integration of the NPE coupled with the QM electron density of the solute molecule. G_{CDS} includes solvent cavitation (C), the dispersion (D) energy, and changes in the local solvent structure (S). $\Delta G^{\circ \rightarrow *}$ is used to convert from the 1 atm ideal gas standard state to the 1 M standard state, where the superscripts $^\circ$ and $*$

indicate the 1 atm and 1 M standard states, respectively. $\Delta G^{\circ \rightarrow *} = RT \ln 24.46 = 1.89$ kcal/mol at 298 K.²⁵

An optimized description of the solute–solvent boundary is a key aspect of all continuum solvation models. For the default SMD model (denoted as SMD_{Default}), intrinsic Coulomb radii were used to create the cavity and calculate the bulk electrostatic contribution.²⁵ Also, the SMD model with Bondi radii,⁷⁵ denoted here as SMD_{Bondi}, has shown good performance in the solvation free energy calculations of small organic compounds.^{47,76} Therefore, the SMD_{Bondi} method was investigated for comparison. To optimize the solute–solvent boundary and the cavity used in the SMD continuum model, we used the scaled solvent-accessible surface (sSAS) to construct the cavity (denoted as SMD_{sSAS}). For SMD_{sSAS}, the surface type and the scaling factor options in the SCRF section were tuned simultaneously. By choosing SAS as the solute–solvent boundary, the solvent radius (1.385 Å for water¹⁹) was added to the intrinsic Coulomb radii to construct the cavity. The scaling factor α was introduced to tune the size of the SAS cavity. For the CDS contributions, as in the default SMD model, Bondi's values for the van der Waals radii plus 0.4 Å were used to calculate the solvent-accessible surface area (SASA) of the individual atoms of the solute.²⁵

Gaussian 09 revision E.01⁵² was used to perform all electronic structure calculations. All geometries were optimized at the M06-2X/6-31+G(d,p) level of theory with differently tuned SMD models. Input file samples for SMD variants are provided in the Supporting Information. Vibrational frequencies were computed in each case to confirm that each structure was a local minimum on the potential energy surface and to compute thermodynamic quantities. The standard rigid-rotor harmonic approximation, as implemented in Gaussian 09, calculated on the solution-phase potential energy surface (PES), was applied to the calculation of the thermal correction terms.

RESULTS AND DISCUSSION

Performance of the Default SMD Approach. Three electronic structure methods were used in the original development of the SMD model: M05-2X, B3LYP,^{77–79} and Hartree–Fock.²⁵ Here, we used B3LYP and M06-2X, the latter of which is an updated version of M05-2X. We initially evaluated the accuracy of the default SMD model (SMD_{Default}). The mean unsigned error (MUE) of the calculated pK_a s for carboxylic acids, aliphatic amines, and thiols are 1.4, 0.7, and 7.1 pK units for B3LYP compared to 1.3, 1.0, and 4.8 pK units for M06-2X (Table 2). The calculated pK_a values for all molecules are listed in Table S1.

The MUEs for both B3LYP and M06-2X on the carboxylic acid set are <2 pK units, and the maximum error (MaxE), the mean signed error (MSE), and standard deviation (SD) have similar magnitudes. For the aliphatic amines, both functionals exhibit similar performance, with MSEs, MUEs, and SDs less

Table 2. Performance of the Standard SMD Model with Two Hybrid Density Functionals for Calculating pK_a of Carboxylic Acids, Aliphatic Amines, and Thiols

molecule	B3LYP				M06-2X			
	MaxE	MUE	MSE	SD	MaxE	MUE	MSE	SD
carboxylic acids	−2.99	1.38	0.82	1.35	−2.98	1.29	0.61	1.37
aliphatic amines	1.95	0.68	0.48	0.76	−1.52	0.99	−0.98	0.50
thiols	9.27	7.12	7.12	1.80	7.14	4.80	4.80	1.69

than 1 pK unit and $\text{MaxE} > 1.5$ pK unit. However, for the thiols, B3LYP with the default SMD model yields a MaxE of 9.3 pK units, MSE (and MUE) of 7.1 pK units, and an SD of 1.8 pK units, while with M06-2X, the MaxE is 7.1 pK units, the MSE and MUE are both 4.8 pK units, and the SD is 1.7 pK units. Although M06-2X performs better than B3LYP with the default SMD model, the error of ~ 5 pK units remains unacceptable for useful pK_a estimation. Thus, we chose to use M06-2X in all subsequent work aimed at improving the accuracy of the SMD model.

Optimization of the SMD_{SSAS} Model. In a continuum solvation model, the cavity formed by the solute–solvent boundary is one of the most important factors for the accuracy of the model. The essence of the SMD_{SSAS} approach is to improve the accuracy of the SMD continuum model by optimizing this solute–solvent boundary. The SAS is a smooth surface that depends on both the geometry of the solute and the effective radius of the solvent molecule. However, using the SAS directly as the solute–solvent boundary is improper, because the SAS is constructed by combining the atomic radii of the solute and the solvent radius. With no scaling, the cavity defined by the SAS is too large to describe short-range solvent–solute interactions. For example, for the SMD model in the aqueous phase, the SAS is constructed by using a set of radii that combines the intrinsic Coulomb radii and the effective radius of a water molecule (1.385 Å). These combined radii are significantly larger than the intrinsic Coulomb radii and the widely used Bondi radii. Therefore, we introduced a scaling factor, α , into the SMD_{SSAS} model to tune the size of the cavity. To determine the optimal value of α , we carried out a systematic optimization by minimizing the MUE with respect to α for each of the three data sets (Figure 1). In each case, the

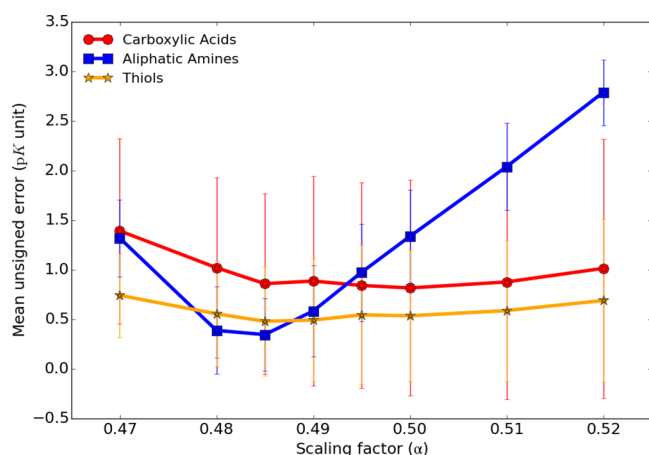


Figure 1. MUE as a function of the scaling factor α calculated at the M06-2X/6-31+G(d,p) level of theory with the SMD_{SSAS} solvent model.

MUE displayed a roughly quadratic relationship with α . Carboxylic acids and thiols are both relatively insensitive to the scaling factor, as the curves are broad and shallow. This behavior agrees with a previous study indicating a relatively weak dependence on the solute cavity for these functional groups.⁴⁹ However, the amines display a deeper well, with a minimal MUE value of 0.35 pK units when $\alpha = 0.485$. An additional test performed on a larger data set consisting of 44 amines with 54 pK_a s⁸⁰ showed that SMD_{SSAS} performs similarly well (Figure S1), with the MUE reaching a minimal value of 0.4 pK units when $\alpha = 0.480$ and 0.5 pK units when $\alpha = 0.485$.

However, as the confidence intervals overlap at both points, we concluded that $\alpha = 0.485$ gives the best combined performance across the three data sets, and this single value was thus applied to all 83 compounds.

In Gaussian 09, the GEPOL^{81–84} surface-building algorithm is used to discretize the solute–solvent boundary surface for the calculation of the reaction field of the solute, which is required to solve the NPE. The values of the radii used by GEPOL are critical for the accuracy of the SMD model. Gaussian 09 provides a scaling factor, α , to tune the radii used by GEPOL. In the default implementation, scaling factors of 1.0 and 1.1 are used for $\text{SMD}_{\text{Default}}$ and $\text{SMD}_{\text{Bondi}}$, respectively, with GEPOL (Table 3). In the SMD_{SSAS} approach, an atomic radius is defined as the sum of the intrinsic Coulomb radius and the solvent radius, multiplied by the factor $\alpha = 0.485$. A radius of 1.385 Å is used for a water molecule in Gaussian 09, which makes the unscaled SAS radii about twice as large as the corresponding Bondi radius. With $\alpha = 0.485$, the scaled SAS radii used by GEPOL for SMD_{SSAS} are smaller than those used by either the SMD default model or $\text{SMD}_{\text{Bondi}}$, which suggests that stronger short-range interactions between the solute and solvent may be required for an accurate description of these species in aqueous solution. The differences in these radii are illustrated in Figure S2. Although the scaled SAS radii are smaller than the intrinsic Coulomb radii, they are similar to Bondi radii. For atoms such as H, N, F, S, and Cl, the scaled SAS radii are intermediate between Bondi and intrinsic Coulomb radii. Thus, although the scaled SAS radii are relatively small, they are still in a physically reasonable range.

Performance of the SMD_{SSAS} Model. The performance of different SMD variants on the benchmark data sets in Table 1 was assessed by comparing the MaxE , MSE , MUE , and SD of the calculated pK_a s with the experimental values (Figure 2).

The carboxylic acid data set contains experimentally measured pK_a values for 28 carboxylic acids. The SMD variants perform similarly for this data set, with all MUEs being less than 2 pK units (Figure 2). $\text{SMD}_{\text{Bondi}}$ yields a MUE of 1.7 pK units, which is slightly worse than the MUE of 1.3 pK units for $\text{SMD}_{\text{Default}}$. SMD_{SSAS} performs the best, reducing the MUE to 0.9 pK units.

For the amines, differing performance was observed for the methods tested. The default SMD model yields a MUE of 1.0 pK units. $\text{SMD}_{\text{Bondi}}$ performs the worst, as its MUE is ~ 3 pK units versus less than 1 for the other methods. Compared to the default SMD model, $\text{SMD}_{\text{Bondi}}$ changes the atomic radii from intrinsic Coulomb radii to smaller values. The poor performance of $\text{SMD}_{\text{Bondi}}$ indicates that simply decreasing the radii is not enough to improve upon the default SMD model for the amines. SMD_{SSAS} , which not only decreases the radii but also replaces the cavity surface with a scaled SAS, shows excellent agreement with the experimentally measured pK_a s, yielding a MUE of 0.4 pK units.

For the data set of 45 thiols, $\text{SMD}_{\text{Default}}$ shows the worst performance, producing a MUE of ~ 5 pK units. For $\text{SMD}_{\text{Bondi}}$, the performance improves significantly. The MUE for $\text{SMD}_{\text{Bondi}}$ drops to less than 1 pK unit, primarily because of the smaller sulfur radius used by the GEPOL surface-building algorithm (Table 3). These findings agree with previous work, which showed that the inadequate consideration of short-range interactions between the solute and the solvent in the SMD model results in inaccurate thiol pK_a s.³² In general, including first-shell water molecules explicitly in a mixed discrete-continuum approach results in superior calculated pK_a s. For

Table 3. Parameters Used for Different SMD Variants

		SMD _{Default}			SMD _{Bondi}			SMD _{sSAS}				
element	Z	intrinsic	Coulomb radius	α	GEPOL	Bondi radius	α	GEPOL	solvent radius ^a	sSAS radius ^b	α	GEPOL
H	1		1.20	1.0	1.20	1.20	1.1	1.32	1.385	2.585	0.485	1.254
C	6		1.85	1.0	1.85	1.70	1.1	1.87	1.385	3.235	0.485	1.569
N	7		1.89	1.0	1.89	1.55	1.1	1.71	1.385	3.275	0.485	1.588
O	8		1.52	1.0	1.52	1.52	1.1	1.67	1.385	2.905	0.485	1.409
F	9		1.73	1.0	1.73	1.47	1.1	1.62	1.385	3.115	0.485	1.511
S	16		2.49	1.0	2.49	1.80	1.1	1.98	1.385	3.875	0.485	1.879
Cl	17		2.38	1.0	2.38	1.75	1.1	1.93	1.385	3.765	0.485	1.826

^aThe default radius for water was used to construct the SAS surface. ^bSum of the intrinsic Coulomb radius and the solvent radius for each atom.

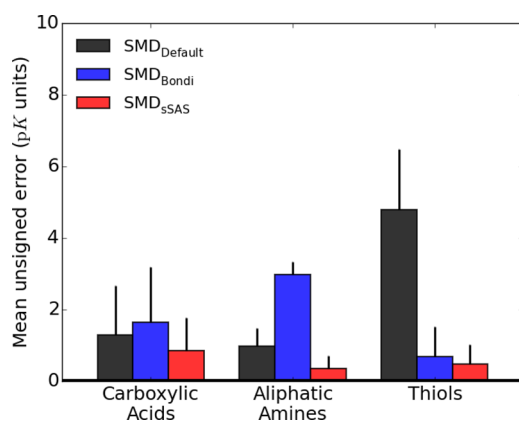


Figure 2. Mean unsigned errors and standard deviations for pK_a s of carboxylic acids, aliphatic amines, and thiols calculated with different SMD variants. The M06-2X functional and 6-31+G(d,p) basis set were used in all calculations.

the thiols, as the electron density of the sulfur atom is polarizable and diffuse, smaller radii such as Bondi and scaled SAS radii reduce the size of the solvent cavity around sulfur and enhance the interaction between the thiols and the continuum solvent, thus improving the accuracy of the calculations. SMD_{sSAS} yields a MUE < 0.5 pK units for the thiols, which is the best of all the SMD variants. The good performance of SMD_{sSAS} is mainly the combined result of not only reducing the size of the cavity but at the same time constructing a solvent-accessible surface as the solute–solvent boundary instead of using the van der Waals surface directly.

Tables S2–S4 list the errors in pK_a s calculated with different SMD variants for all individual molecules in the data set of carboxylic acids, aliphatic amines, and thiols, respectively. The chemical structures in XYZ format are given in the Supporting Information. SMD_{Bondi} performs better than SMD_{Default} for thiols, with a MUE of 0.7 pK units compared to 4.8 pK units for SMD_{Default} and a MaxE of −2.1 pK units versus 7.1 pK units. For the carboxylic acids and aliphatic amines, SMD_{Bondi} is worse than SMD_{Default}. SMD_{sSAS} exhibits the best performance on all three data sets with a MUE of 0.9 pK units for carboxylic acids, 0.4 pK units for aliphatic amines, and 0.5 pK units for the thiols. In terms of the maximum error, SMD_{sSAS} is also the best with MaxE of −2.3, −0.5, and −1.6 pK units for carboxylic acids, aliphatic amines, and thiols, respectively. SMD_{sSAS} yields the smallest MSE for the carboxylic acids (0.5 pK units) and aliphatic amines (−0.1) but is slightly larger (0.3) than SMD_{Bondi} (−0.1) for the thiols. Using Bondi radii reduces the error for thiols but not for the carboxylic acids or aliphatic amines. Even worse, SMD_{Bondi} destabilizes the energies of

ammonium cations, as it systematically underestimates the pK_a s of amines by ~3 pK units (Table S3). The balanced performance of SMD_{sSAS} on these three data sets demonstrates the generalizability of this approach to different organic functional groups.

The pK_a s calculated with different SMD variants show good linear correlations with the corresponding experimental values, with R^2 values of 0.95 for all variants (Figure 3). However, for

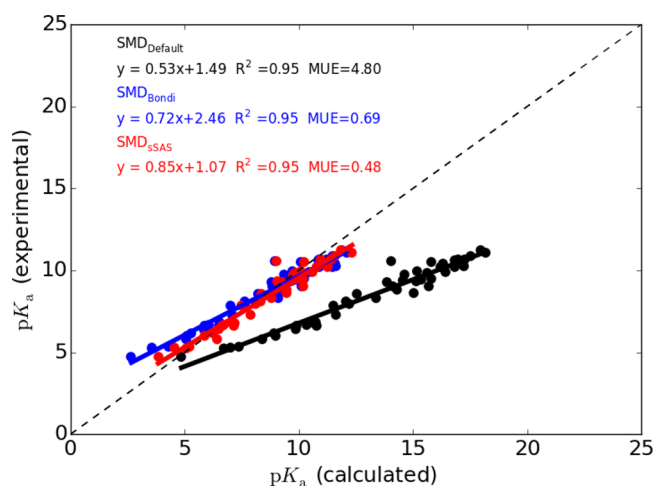


Figure 3. Comparison of thiol pK_a s calculated with different SMD variants and corresponding experimental values. Experimental data were obtained from refs 59–70.

SMD_{Default} the slopes are around 0.5, which is far from ideal (i.e., $m = 1.0$). This behavior implies systematic error in the method, and the error increases for molecules with high pK_a s. Using Bondi radii to generate the solute–solvent boundary improved the performance of the SMD model substantially, as the slope for SMD_{Bondi} increased to 0.72. The better performance of SMD_{Bondi} than SMD_{Default} is underscored by the MUE values (Figure 2). However, for molecules with low pK_a s, SMD_{Bondi} gives larger errors, and the fitted line begins to deviate from the diagonal. SMD_{sSAS} further improves the performance of the SMD model for the thiols compared to SMD_{Bondi} increasing the slope to 0.85 and reducing the MUE to 0.48. The accuracy obtained with SMD_{sSAS} is comparable to the explicit-water approach obtained for thiols by Thapa and Schlegel,³² which achieved a MUE of 0.5 pK units on the same data set. However, SMD_{sSAS} is computationally less demanding, because no explicit water molecules are required. The success of the SMD_{sSAS} approach in correcting systematic errors in pK_a calculations of thiols indicates the feasibility of accounting for

short-range solute–solvent interactions by optimizing the solute cavity.

For carboxylic acids, all SMD variants show similar performance (Figure S3), with SMD_{sSAS} performing slightly better than SMD_{Default} and SMD_{Bondi}. Compared to SMD_{Bondi}, the slope for SMD_{sSAS} is increased from 0.43 to 0.57, and the MUE is reduced from 1.65 to 0.86 pK units. The accuracy of SMD_{sSAS} is better than that obtained with a thermodynamic-cycle-based method using acetic acid as reference molecule and calculated at the PCM/B3LYP/6-31+G(d,p) level of theory, which yielded a MUE of 1.3 pK units.⁵⁶ For aliphatic amines, SMD_{sSAS} performs the best with a MUE of 0.35 pK units (Figure S4). In contrast, SMD_{Bondi} introduces large errors for the amines, increasing the MUE from 0.35 to 2.98 pK units compared to SMD_{sSAS}. The accuracy of SMD_{sSAS} is comparable to that calculated using a thermodynamic cycle together with the B3LYP functional, a Poisson–Boltzmann continuum solvent model, which yielded MUEs of 0.24 pK units with aug-cc-pVTZ(-f) and 0.28 pK units with 6-31++G(d,p).⁵⁸ Although the performance improvements obtained with SMD_{sSAS} for the carboxylic acids and aliphatic amines are not as significant as for the thiols, this approach is still the most accurate of the methods considered here.

Improvement of the Solvation Free Energies of the Anions by SMD_{sSAS}. To identify potential sources of error in the pK_a calculations, we compared the calculated solvation free energies to 408 experimentally well-characterized neutral and monoionic molecules in the MNSol-v2012 database⁵³ (Tables 4 and S5). SMD_{Default} exhibits the best performance for

Table 4. Errors in the Solvation Free Energies (kcal/mol) of Neutral and Monoionic Molecules in the MNSol-v2012 Database Calculated with Different SMD Variants

SMD variant	data set	no. of compounds	MaxE	MUE	MSE	SD
SMD _{Default}	anions	83	16.1	6.8	−6.7	3.8
SMD _{Bondi}			17.6	8.4	−8.3	4.4
SMD _{sSAS}			12.3	4.6	−4.0	3.6
SMD _{Default}	cations	60	13.9	3.4	−2.7	3.9
SMD _{Bondi}			22.3	6.5	−6.4	4.8
SMD _{sSAS}			16.2	3.3	−0.4	4.8
SMD _{Default}	neutral molecules	256	−4.1	0.8	−0.5	0.9
SMD _{Bondi}			4.2	1.0	0.4	1.1
SMD _{sSAS}			−7.5	1.7	−1.5	1.6

calculating the solvation free energies of 256 neutral molecules with MUE < 1 kcal/mol and a MaxE of −4.1 kcal/mol. SMD_{Bondi} is similar to SMD_{Default} on the neutral molecules. SMD_{sSAS} yields a MUE of 1.7 kcal/mol, MaxE of −7.5 kcal/mol, and MSE of −1.5 kcal/mol, indicating that this approach underestimates the solvation free energies of neutral molecules by ~1.5 kcal/mol on average. For the cation data set, SMD_{sSAS} is slightly better than SMD_{Default} with a MUE of 3.3 kcal/mol and MSE of −0.4 kcal/mol compared 3.4 and −2.7 kcal/mol, respectively, for SMD_{Default}. SMD_{Bondi} yields the largest errors for the cations with MUE, MaxE, and MSE values of 6.5, 22.3, and −6.4 kcal/mol, respectively. Anions are the most challenging data set for the SMD models. All three models underestimate the solvation free energies of the anions. SMD_{Default} produces a MUE of 6.8 kcal/mol, MaxE of 16.1 kcal/mol, and MSE of −6.7 kcal/mol on the 83 anions, which is much worse than its performance on cations and neutral

molecules. SMD_{Bondi} is inaccurate for the anions as the MaxE, MUE, and MSE are 17.6, 8.4, and −8.3 kcal/mol, respectively. However, compared to the other two models, SMD_{sSAS} has the highest accuracy for the solvation free energies of the anions. The MaxE, MUE, and MSE with SMD_{sSAS} on the anions are 12.3, 4.6, and −4.0 kcal/mol, respectively. Compared to SMD_{Default}, SMD_{sSAS} improves the accuracy of the solvation free energies of the anions by ~33% in terms of MUE, while maintaining essentially the same accuracy for cations and yielding slightly lower accuracy for neutral molecules.

To investigate further the sources of error in pK_a calculations, we compared the performance of the three SMD variants on a selected set of representative neutral and ionic molecules (Figure 4). All the solvation free energy calculation data are provided in Table S5. The default SMD model performs accurately for many neutral molecules, e.g., CH₃COOH, NH₃, CH₃NH₂, CH₃SH, Ph-SH, and H₂S, but is inaccurate for anions, e.g., CH₃S[−], Ph-S[−], SH[−], OH[−], F[−], and Cl[−]. Notably, SMD_{Default} yields an absolute error >9 kcal/mol for the sulfur-containing anions. Therefore, the poor performance of SMD_{Default} on the solvation free energy calculation of sulfur-containing anions is the main reason for its inaccuracy on thiol pK_as. Using Bondi radii improves the accuracy for thiolates but results in larger errors for other ions such as CH₃COO[−], Ph-COO[−], OH[−], F[−], NH₄⁺, and CH₃NH₃⁺. Moreover, for carboxylic acids, such as CH₃COOH, SMD_{Bondi} yields a positive error, whereas the other methods tend to underestimate the solvation free energies. Overall, the poor behavior of SMD_{Bondi} for solvation free energies explains its poor performance on the carboxylic acid and amine data sets. On the contrary, SMD_{sSAS} improves the accuracy for both anions and cations. Although it introduces some negative errors for the neutral molecules, e.g., CH₃COOH, NH₃, H₂S, and H₂O, the absolute errors are <3 kcal/mol. In terms of the accuracy of pK_a calculations, the solvation free energies of both the acid and conjugate base are equally important. Therefore, analyzing the performance of SMD_{sSAS} on all three solvation free energy data sets reveals that it achieves good performance for pK_a calculations by improving the accuracy of the solvation free energies of the anions but also benefits from error cancellation due to a systematic underestimation of solvation free energies.

CONCLUSIONS

The default SMD model achieves accurate pK_a values in many cases^{26–29} but produces large errors for thiols (Figure 2).^{32,34} Here, we have developed a simple modification of the default SMD model, SMD_{sSAS}, which greatly improves the accuracy of pK_a calculations with DFT and the SMD continuum solvation model, without requiring the inclusion of explicit water molecules. The approach was optimized for functional groups of particular interest in environmental chemistry: carboxylic acids, amines, and thiols. Using the M06-2X density functional, 6-31+G(d,p) basis set, and the SMD_{sSAS} model, which adds only a single scaling parameter, agreement with experiment to within well under 1.0 pK unit was obtained for all three classes of molecule. By comparing the calculated solvation free energies to experimentally well-characterized neutral and monoionic molecules in the MNSol-v2012 database, we have shown that SMD_{sSAS} increases the accuracy of computed pK_a values primarily by improving the accuracy of the solvation free energies of the anions. For the three functional groups investigated here, which are among the most dominant species in the environment and are also important biologically, the

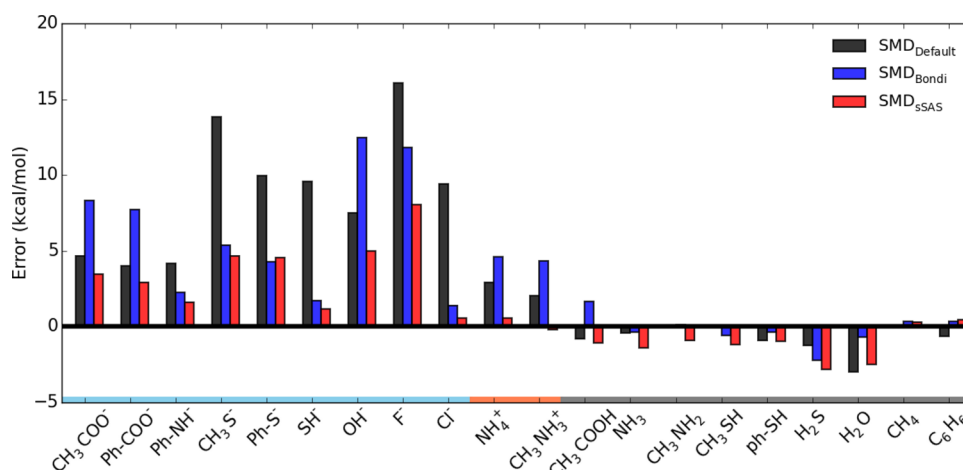


Figure 4. Absolute error in the solvation free energies of experimentally well-characterized small molecules and ions calculated with different SMD variants.

approach performs quite well and should be of wide applicability.

■ ASSOCIATED CONTENT

Supporting Information

The Supporting Information is available free of charge on the ACS Publications website at DOI: 10.1021/acs.jpca.8b01751.

Structures, coordinates, experimentally measured and calculated pK_a values of the molecules. Performance of the scaling factor, schematic diagram of different radii, and sample input files for SMD variants. Experimentally measured and calculated solvation free energies for the molecules in the MNSol-v2012 database (PDF) XYZ files of structures used in this study (ZIP)

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Notes

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