

Apoptotic pore formation is associated with in-plane insertion of Bak or Bax central helices into the mitochondrial outer membrane

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The pivotal step on the mitochondrial pathway to apoptosis is permeabilization of the mitochondrial outer membrane (MOM) by oligomers of the B-cell lymphoma-2 (Bcl-2) family members Bak or Bax. However, how they disrupt MOM integrity is unknown. A longstanding model is that activated Bak and Bax insert two α -helices, $\alpha 5$ and $\alpha 6$, as a hairpin across the MOM, but recent insights on the oligomer structures question this model. We have clarified how these helices contribute to MOM perforation by determining that, in the oligomers, Bak $\alpha 5$ (like Bax $\alpha 5$) remains part of the protein core and that a membrane-impermeable cysteine reagent can label cysteines placed at many positions in $\alpha 5$ and $\alpha 6$ of both Bak and Bax. The results are inconsistent with the hairpin insertion model but support an in-plane model in which $\alpha 5$ and $\alpha 6$ collapse onto the membrane and insert shallowly to drive formation of proteolipidic pores.

cell death | mitochondrial permeabilization | protein-membrane topology | membrane pores | cysteine-scanning mutagenesis

Commitment of cells to apoptosis is determined primarily by interactions within the B-cell lymphoma-2 (Bcl-2) protein family on the mitochondrial outer membrane (MOM) (1–4). The proapoptotic members Bcl-2 antagonist/killer (Bak) and Bcl-2-associated X protein (Bax) mediate the pivotal step of MOM permeabilization, which releases proteins, such as cytochrome c, that promote the proteolytic demolition by caspases. Two other Bcl-2 subfamilies tightly control Bak and Bax activation. Their activation is promoted by the Bcl-2 homology domain 3 (BH3)-only proteins, such as BH3-interacting domain death agonist (Bid), the truncated form of which (tBid) can directly bind both. Conversely, prosurvival family members can bind and inhibit activated Bak and Bax, as well as the BH3-only proteins.

Like their prosurvival relatives, Bak and Bax in healthy cells are globular monomers, comprising similar helical bundles with a hydrophobic α -helix ($\alpha 5$) surrounded by amphipathic helices (5, 6). Their C-terminal helix ($\alpha 9$) is a hydrophobic trans-membrane (TM) domain that anchors them in the MOM. In healthy cells Bak is already anchored there, presumably solely by $\alpha 9$, whereas Bax is primarily cytosolic (5), accumulating at the MOM after an apoptotic signal and inserting its $\alpha 9$. Other major conformational changes in both Bak and Bax, reviewed in ref 4, include exposure of their BH3 ($\alpha 2$) and its reburial within the surface groove of another activated Bak or Bax molecule (7–10). These novel “symmetric” homo-dimers can multimerize via association of $\alpha 6$ helices (8, 11, 12).

Although oligomeric Bak and Bax are highly implicated in MOM permeabilization, how they interact with the membrane to form pores remains a mystery. The first structure of a Bcl-2 family member, the prosurvival protein Bcl-x_L (13), and later those of Bax (5) and Bak (6), provided a tantalizing clue: similarities with the pore-forming domains of bacterial toxins, such as diphtheria toxin or colicin A. To form pores, these toxins are

thought to insert their two hydrophobic core helices as a hairpin across the membrane (14), suggesting that the central helices of Bak and Bax ($\alpha 5$ and $\alpha 6$) might penetrate the MOM similarly (reviewed in refs 3, 15, and 16). Consistent with this hairpin insertion model, $\alpha 5$ and $\alpha 6$ peptides can permeabilize membranes (17–19). More pertinently, Bax $\alpha 5$ and $\alpha 6$ were reported to insert into and span the MOM as a hairpin before oligomerization (20).

This longstanding model, however, does not fit well with recent evidence on the structure of Bak and Bax oligomers, as recently reviewed (2, 4). Analysis of Bak oligomers in liposomes by electron paramagnetic resonance (EPR) suggests that $\alpha 6$ inserts only shallowly in the lipid bilayer (21). Additionally, the first 3D structures of activated forms of Bax (10) suggest that, early in its activation, $\alpha 5$ and $\alpha 6$ separate. Moreover, a Bax core domain containing only helices $\alpha 2$ to $\alpha 5$ generated a BH3:groove symmetric dimer in which two $\alpha 4$ and two $\alpha 5$ helices form an aromatic face that might sit on the bilayer (10). These findings fit better with an “in-plane model” in which only $\alpha 9$ is a TM domain and other helices (including $\alpha 5$ and $\alpha 6$) insert only shallowly into the bilayer.

The nature of the apoptotic pores remains uncertain. Some findings favor a proteinaceous pore (22), but studies with model membranes suggest that Bax oligomers can perturb the bilayer and produce lipidic pores (i.e., pores not bounded entirely by protein) (23–26).

Significance

To trigger cell death (apoptosis), two members of the B-cell lymphoma-2 protein family, Bak and Bax, change shape and convert from inert monomers into the oligomers that disrupt the outer mitochondrial membrane, but how they perturb the membrane is poorly understood. A longstanding model is that they rearrange and insert two central helices, $\alpha 5$ and $\alpha 6$, as a hairpin through the membrane. We show, however, that the hairpin insertion model does not hold. Instead, these helices in the oligomers insert only shallowly in the membrane, in its plane. The results favor a model in which these and probably other helices of Bak and Bax crowd the outer leaflet of the membrane, producing membrane curvature that leads to its disruption.

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These important unresolved questions about the pivotal event in apoptosis prompted us to explore the membrane topology of Bak, before, during, and after an apoptotic signal, and to reinvestigate that of Bax. In accord with recent **Bax structures** (10) and recent EPR studies on Bak (21, 27), the results show that neither oligomeric Bak nor Bax inserts an $\alpha 5$ - $\alpha 6$ hairpin across the MOM. We propose instead that the $\alpha 5$ and $\alpha 6$ helices lie in the bilayer plane and disrupt membrane integrity by imposing tension and curvature to the membrane that provoke its permeabilization.

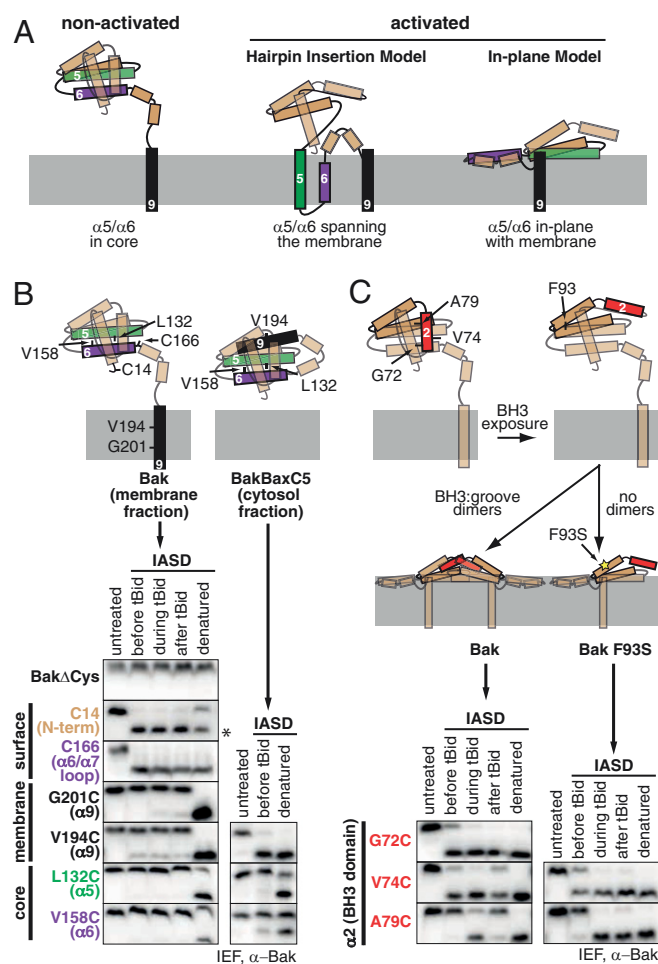


Fig. 1. Models of Bak membrane topology and the cysteine accessibility approach. (A) Two models of Bak membrane topology following its activation. Nonactivated Bak is anchored via $\alpha 9$ and the $\alpha 5/\alpha 6$ hairpin is in the protein interior. Upon activation, in the hairpin insertion model, the $\alpha 5/\alpha 6$ hairpin inserts to span the MOM, whereas in an in-plane model, $\alpha 6$ disengages from the core ($\alpha 2$ - $\alpha 5$), allowing both $\alpha 5$ and $\alpha 6$ to lie in-plane on the membrane surface. (B) IASD labeling is reduced for cysteines within the Bak hydrophobic core or the MOM. Bak or a semicytosolic mutant BakBaxC5 with cysteines at the indicated positions was stably expressed in *Bak*^{-/-}*Bax*^{-/-} MEFs. Membrane fractions (Left) were incubated with tBid or denatured with the detergent ASB-16, and labeled with IASD. Cytosol fractions (Right) were treated similarly, but not incubated with tBid. To resolve IASD-labeled and unlabeled Bak, samples were solubilized and run on IEF gels, followed by blotting for Bak. Cysteine location is color-coded ($\alpha 5$, green; $\alpha 6$, purple; $\alpha 9$, black). The asterisk denotes IASD-labeled Bak. Data are representative of two or more independent experiments. Fig. S2A gives percent exposure of these cysteines to IASD. (C) Cysteines in the BH3:groove dimer interface are refractory to IASD labeling. Cysteines were placed in $\alpha 2$ of Bak or the F93S loss-of-function variant (yellow star), which is activated by tBid but fails to dimerize (Fig. S2F). Membrane fractions were processed as in B. Data are representative of two or more independent experiments.

Results

Fig. 1A depicts two models for the membrane topology of activated Bak. In the hairpin insertion model proposed for Bax (20), $\alpha 5$ and $\alpha 6$ dissociate from the protein core and penetrate the MOM as a hairpin, creating a multipass membrane protein. However, if instead $\alpha 6$ dissociates from $\alpha 5$ while $\alpha 5$ remains part of the protein $\alpha 2$ - $\alpha 5$ core, as crystal structures for activated Bax suggest (10), both $\alpha 5$ and $\alpha 6$ might insert only one helical face into the lipid bilayer, as in the in-plane model shown.

To distinguish these models, we have explored Bak membrane topology by a cysteine-accessibility approach similar to that used with Bax (20). Single cysteines were substituted at 30 positions in cysteine-null Bak (Bak Δ Cys; i.e., C14S/C166S), and each mutant stably expressed in *Bak*^{-/-}*Bax*^{-/-} mouse embryonic fibroblasts (MEFs) and tested for function; nearly all remained active (Fig. S1 and Tables S1 and S2). To trigger Bak activation, we then incubated mitochondrial fractions with tBid, which converts nearly all of Bak to the active oligomers (7), and treated them with the thiol-specific labeling reagent 4-acetamido-4'-((iodoacetyl) amino)stilbene-2,2'-disulfonic acid (IASD). Its two negative sulfonate charges prevent IASD from accessing cysteines in hydrophobic environments, such as the MOM, and allow isoelectric focusing (IEF) to resolve IASD-labeled and unlabeled Bak (Fig. 1B). As well as a control not exposed to IASD and another fully exposed to labeling by denaturation and membrane solubilization, each cysteine-substituted Bak variant was routinely assessed for labeling before, during, or after tBid incubation (Fig. 1B). The approach thus monitors exposure of the residue in both monomeric nonactivated Bak (the “before tBid” sample) and fully oligomeric Bak after tBid treatment, whereas the labeling “during tBid” treatment was designed to also detect transient exposure of the residue during Bak activation.

Cysteines in the Protein Interior and Protein Interfaces as Well as the Membrane Resist IASD Labeling. As expected, Bak Δ Cys remained unlabeled, whereas surface residues (C14 and C166) were fully labeled before, during, and after tBid treatment, and $\alpha 9$ substitutions (V194C and G201C) remained unlabeled, consistent with their burial in the MOM (Fig. 1B, Left, and Fig. S2A). We confirmed that only $\alpha 9$ anchors nonactivated Bak in the MOM by showing that cleavage at a unique protease site placed in the $\alpha 8$ - $\alpha 9$ loop released truncated Bak into the cytosol (Fig. S2B-D). Whether $\alpha 9$ remains the sole membrane anchor in activated Bak was indeterminate because that site became refractory to cleavage (Fig. S2D, lane 8).

Notably, cysteines in the Bak hydrophobic interior (L132 and V158) were also unlabeled, both in mitochondrial Bak (Fig. 1B, Left) and the semicytosolic mutant BakBaxC5 (28) (Fig. 1B, Right, and Fig. S2A). Thus, cysteines buried in either the MOM or the hydrophobic protein interior remained unlabeled, as generally observed (29).

We demonstrated that IASD also did not label cysteines within the BH3:groove interface of Bak dimers (Fig. 1C and Fig. S2A), using substitutions in the Bak BH3 ($\alpha 2$). The hydrophobic side of that helix faces the protein interior before apoptosis, but is transiently exposed by an apoptotic stimulus before it binds into the groove of another Bak molecule (7). BH3 residues V74C and A79C orient toward the protein core (Fig. S2E) in both the structure of nonactivated Bak and a homology model of the Bak BH3:groove ($\alpha 2$ - $\alpha 5$) dimer, based on the Bax $\alpha 2$ - $\alpha 5$ dimer structure (10). Both residues consistently labeled most during tBid incubation (Fig. 1C, Left), indicating transient exposure. Moreover, if Bak also contained a loss-of-function mutation (F93S) that allows BH3 release but not Bak dimerization (12) (Figs. S1A and S2F), both V74C and A79C stayed entirely exposed after tBid treatment (Fig. 1C, Right).

Thus, cysteine accessibility distinguishes Bak residues on the protein surface from those buried in the MOM, the protein interior, or a protein-protein interface, and detects transient conformation changes.

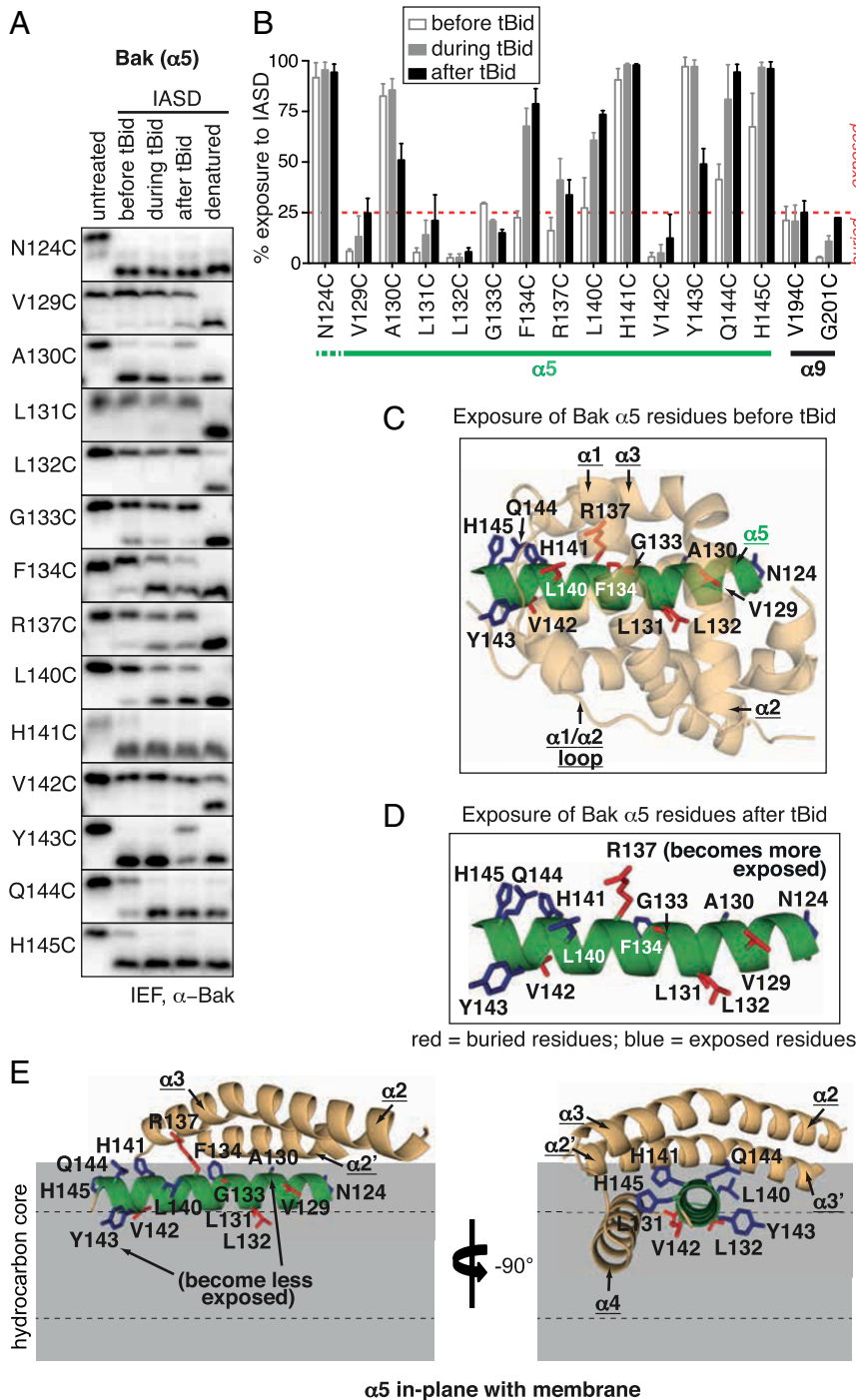


Fig. 2. Many $\alpha 5$ residues in oligomeric Bak are at least partly exposed to IASD. (A) IASD labeling of Bak $\alpha 5$ residues before, during and after tBid, processed, as in Fig. 1B. Data are representative of two or more independent experiments. Bak F134C, a loss-of-function variant, exposes its BH3 domain after tBid but fails to dimerize (Fig. S3C). (B) Quantified IASD labeling of $\alpha 5$. A red broken line indicates the distinction between “exposed” and “buried,” based on the $\sim 25\%$ labeling of central cysteines in the TM domain ($\alpha 9$). Data are mean \pm SD ($n = 3$) or range ($n = 2$ for G133C, H141C, H145C, G201C). (C and D) The $\alpha 5$ central region is buried before and after tBid, whereas its C-terminal segment shows increased sidedness. The structure of nonactivated Bak (PDB ID code 2IMT) shows the location of residues that are exposed (blue) or buried (red) either before (C) or after tBid treatment (D), based on statistically significant difference ($P < 0.05$) with the $\alpha 9$ residue V194C. See also Fig. S3. Notably, R137 was labeled significantly more after than before tBid exposure ($P < 0.04$). (E) Model of Bak $\alpha 5$ in-plane with the MOM. A homology model of a Bak BH3:groove dimer, based on the $\alpha 2$ - $\alpha 5$ Bax dimer structure (10) (PDB ID code 4BDU) shows $\alpha 5$ remaining with the protein core ($\alpha 2$ - $\alpha 5$); $\alpha 5$ presents one face to the membrane but contacts $\alpha 2$ and $\alpha 3$ above, as well as $\alpha 4$ on one side and the second dimer $\alpha 5$ chain on the other side (not shown). Some helices have been omitted for clarity. The hydrocarbon core of the membrane (gray) is ~ 30 Å thick, and dotted lines indicate the depth (7.5 Å) to which IASD may penetrate from each side of the membrane (31). The depth to which $\alpha 4$ sinks in the membrane is uncertain, because in the homology model, like the Bax crystal structure (10), there is an angle between the two $\alpha 4$ - $\alpha 5$ hairpins, but contact with the membrane may well promote a flatter protein surface.

In Oligomeric Bak, $\alpha 5$ Is a Vital Core Constituent Rather than a TM Domain. To identify Bak $\alpha 5$ residues in an aqueous environment, we tested the 12 functional cysteine replacements (Fig. S1 and Table S1) for IASD labeling (Fig. 2A and B). We judged them to be exposed if their labeling was significantly greater than the ~25% found with two central $\alpha 9$ residues (V194C and G201C) (Fig. 2B). To help interpret tBid-induced changes, we plotted labeling before tBid against that after tBid, revealing four patterns: always buried, always exposed, become more exposed, or become less exposed (Fig. S3A).

The IASD labeling of $\alpha 5$ before tBid (i.e., with no tBid treatment) is consistent with the nonactivated Bak structure (PDB ID code 2IMT) (Fig. 2C): residues near both ends of $\alpha 5$

were exposed (Fig. 2C, blue), whereas those in the middle were buried (Fig. 2C, red). Labeling after tBid also showed a trend for exposed residues toward the helix ends and continued burial of central residues (Fig. 2D), although in the C-terminal part of $\alpha 5$, Y143 consistently labeled less and L140C and Q144C consistently labeled more (Fig. 2B).

TM domains treated with cysteine probes like IASD typically display a central span of 10–12 unlabeled residues across the center of the membrane (30, 31). A span of up to nine residues (flanked by A130C and L140C) might be buried in activated Bak $\alpha 5$ (Fig. 2 *A*, *B*, and *D*), because three residues (L131C, L132C, G133C) within this stretch were clearly buried after tBid treatment, and the 34% labeling of R137C was not significantly above

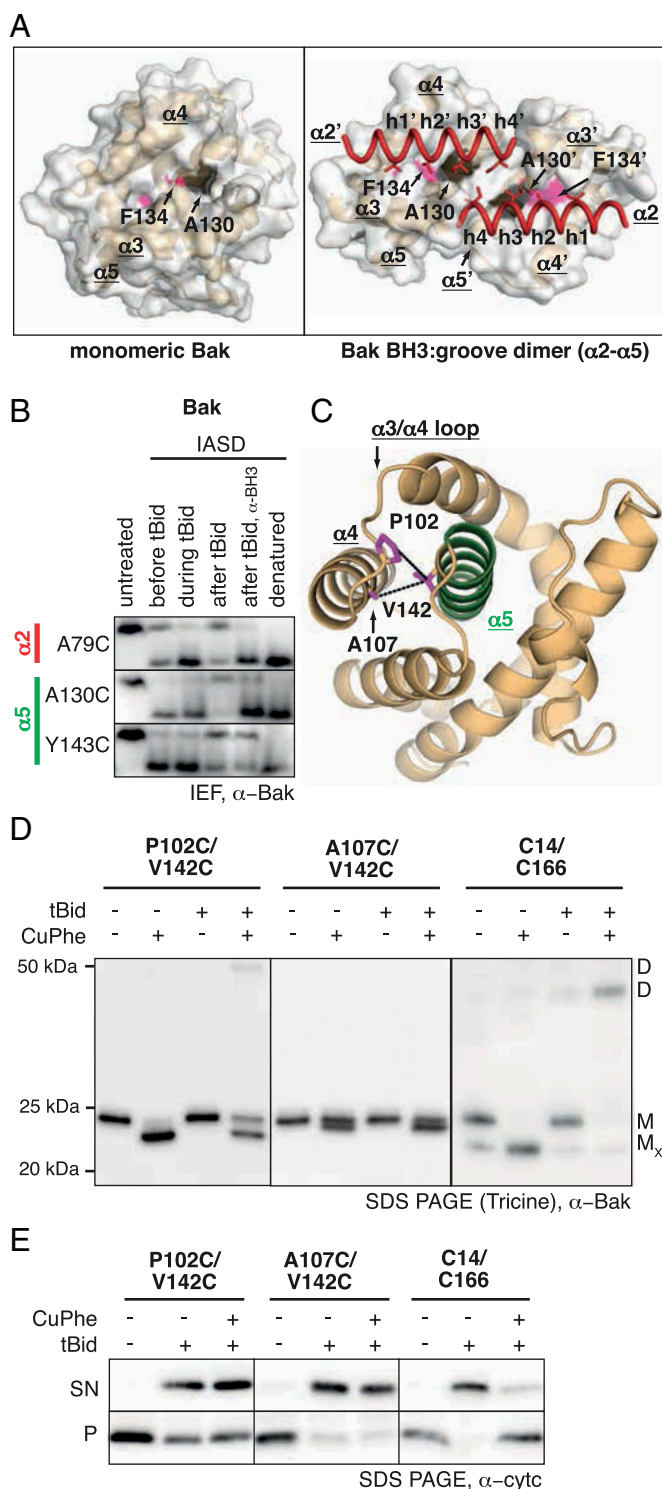


Fig. 3. In activated Bak, central α5 residues remain within the protein core. (A) A central region of Bak α5, including A130 and F134, contributes to the BH3-binding groove, which is required for Bak oligomerization. A130 (black) and F134 (pink) are indicated on monomeric Bak (Left, PDB ID code 2M5B with Bid SAHBA peptide removed) (36) and a homology model of the Bak BH3:groove dimer (Right), showing the two α2 helices (red tubes) and the BH3 h1-h4 residues (red side-chains), which make key hydrophobic contacts in the groove. A130 and F134 probably would be occluded in nonactivated Bak by a bound Bid BH3 peptide (not shown), as they are by Bak α2 in the Bak α2-α5 dimer. (B) The α5 residue A130 remains exposed if Bak dimerization is blocked. Mitochondrial membranes were processed as in Fig. 2A, but with an α-BH3 antibody (5 μg) added during tBid treatment in lane 5, that of the α9 residues ($P = 0.2$). Unfortunately, the remaining five residues within this stretch were not informative, because of loss-of-function or failure to label even after denaturation (Table S1). Although this nine-residue stretch in Bak α5 might seem compatible with a TM domain, as in the hairpin insertion model (Fig. S3B), several considerations make that very unlikely.

First, the C-terminal portion of a TM helix should be fully exposed to IASD (30, 31). In contrast, that portion of α5 labeled periodically after tBid treatment; that is, the last six residues alternated abruptly from exposure (L140C/H141C) to full/partial burial (V142C/Y143C) and then exposure again (Q144C/H145C) (Fig. 2A, B, and D). This pattern favors an in-plane model (Fig. 2E), because side-chain immersion alternates along an in-plane helix. The partial burial of Y143C suggests shallow immersion in the outer leaflet of the bilayer (Fig. 2E).

Second, the IASD data indicate that central Bak α5 residues, including A130 and F134, remain part of the BH3-binding groove in oligomeric Bak. A130 and F134 line that groove not only in nonactivated Bak (PDB ID code 2M5B) (Fig. 3A, Left) but also in the homology model of the Bak BH3:groove α2-α5 dimer, where the BH3 (α2) helices occlude A130 and F134 (Fig. 3A, Right). Accordingly, A130C became less exposed to IASD after tBid (Fig. 3B), but remained exposed if an antibody to the Bak BH3 domain precluded Bak dimerization (Fig. 3B, lane 5), consistent with the Bak BH3 blocking this face of α5 in oligomeric Bak (Fig. 3A, Right). Although Bak F134C had lost function (Fig. S14), it was stably expressed and changed conformation after tBid but did not dimerize (Fig. S3C). Accordingly, F134C became more exposed after tBid (Fig. 2A and B), suggesting the groove opens but cannot then be filled by the Bak BH3 domain.

Third, whereas α5 and α6 leave the protein core in the hairpin-insertion model (Fig. 1A), a tether experiment confirmed that Bak α5 remains in the protein core. Because α5 lies beside α4 in the homology model of the Bak α2-α5 dimer (Fig. 2E, Right), just as in nonactivated Bak (Fig. 3C), we reasoned that Bak might still oligomerize if a disulfide link tethered α5 to α4. Bak with a cysteine in α5 (V142C) and either α4 (A107C) or the α3-α4 loop (P102C) (Fig. 3C) retained apoptotic function (Fig. S14). Induced oxidation tethered the introduced cysteines, whether Bak was activated or not (Fig. 3D). Notably, these tethers did not block tBid-induced cytochrome *c* release (Fig. 3E), whereas a tether in wild-type Bak linking C14 to C166 did.

Finally, mutagenesis of Bak has strongly implicated several α5 residues, including G126, V129, and F134, in formation of the BH3:groove dimer (7). Additionally, by analogy to Bax, Bak α5 residues R127, A130 and F134 make important contacts with conserved BH3 residues within that dimer (10). Hence, at least the N-terminal half of α5 is needed to maintain the α2-α5 dimer and therefore α5 cannot be surrounded by membrane (see Discussion).

In summary, the alternating IASD labeling of Bak α5 C-terminal residues, the labeling pattern of its groove residues, tether data that α5 and α4 remain a hairpin, and structural and mutational data that α5 is required to form the dimers that join to make the oligomers, all suggest that α5 of oligomeric Bak is

or just before IEF in other lanes. Data are representative of two independent experiments. Whereas A130C (like A79C) remained exposed in the presence of the antibody, Y143C became less exposed, suggesting that α5 collapses onto the MOM before Bak dimerization. (C-E) Tethering evidence that α5 remains within the protein core. (C) The disulfide tethers between α5 (V142C) and the α3/α4 loop (P102C) or α4 (A107C) are shown (magenta). (D) Long SDS/PAGE runs confirm that oxidation converts the Bak mutants (M) to the expected disulfide-bonded monomers (M_x), whereas wild-type Bak (C14/C166) instead forms a disulfide-linked dimer (D). Data are representative of three independent experiments. (E) Tethering Bak α5 to the α2-α4 core does not block cytochrome *c* release. Where indicated, the oxidant CuPhe was added before tBid. Supernatant (SN) and pellet (P) fractions were blotted for cytochrome *c*. Data are representative of three independent experiments.

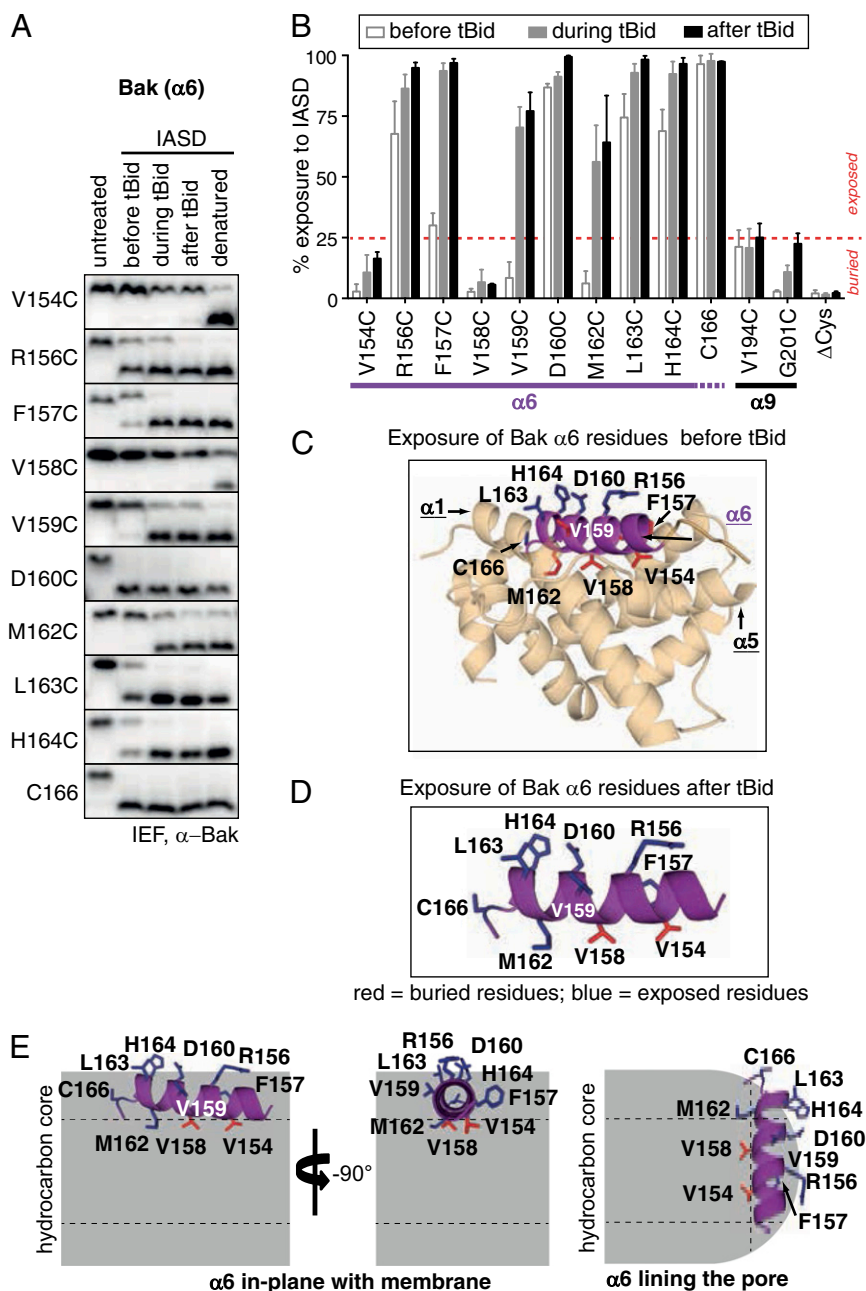


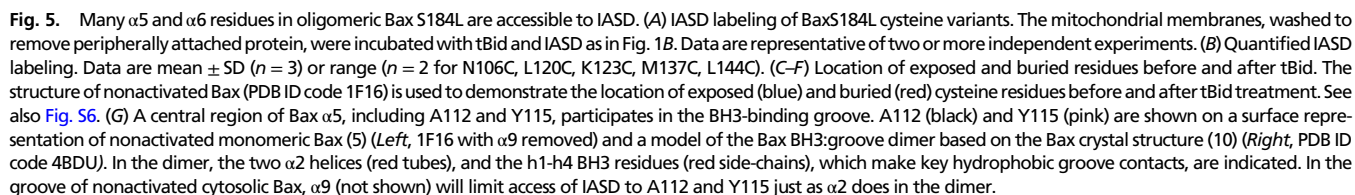
Fig. 4. Bak $\alpha 6$ exhibits no hallmarks of a TM domain. (A) IASD labeling of Bak $\alpha 6$ residues. Data are representative of two or more independent experiments. (B) Quantified IASD labeling of $\alpha 6$. Data are mean \pm SD ($n = 3$) or range ($n = 2$ for D160, C166, G201C, Δ Cys). (C and D) Only one face of Bak $\alpha 6$ is buried before and after tBid. The location of exposed (blue) and buried (red) $\alpha 6$ cysteine residues before (C) and after (D) tBid treatment is shown on the structure of nonactivated Bak. See also Fig. S4. (E) Diagrams of $\alpha 6$ in-plane with the MOM, including lining the pore. The side-chains of $\alpha 6$ residues are indicated as exposed (blue) or buried (red). The hydrocarbon core of the membrane (gray) is ~ 30 Å thick, and dotted lines indicate the depth (7.5 Å) to which IASD can penetrate from both sides of the membrane (31).

sandwiched between the membrane and a BH3:groove interface, as in the in-plane model (Fig. 2E), and not fully surrounded by the MOM, as in the hairpin insertion model (Fig. S3B).

Bak $\alpha 6$ Exhibits No Hallmarks of a TM domain. The nine Bak $\alpha 6$ residues tested (Fig. 4A and B and Fig. S4A) all retained function (Fig. S14 and Table S2). Their labeling before tBid was consistent with the structure of nonactivated Bak, which shows one side of $\alpha 6$ on the protein surface and the other buried in its interior (Fig. 4C). Remarkably, however, after tBid treatment only two tested $\alpha 6$ residues (V154C and V158C) remained buried (Fig. 4D). This high proportion of exposed residues

(seven of nine) argues strongly against $\alpha 6$ forming a TM domain (i.e., one fully surrounded by the lipid bilayer). The increased exposure of $\alpha 6$ residues (Fig. 4A and B and Fig. S4A) suggests instead that this highly amphipathic helix lies in-plane with the membrane, either facing the cytosol or lining a pore (Fig. 4E) (see Discussion). In either case, two residues on one face of $\alpha 6$ become buried in the MOM, whereas those on its other face remain exposed or become more exposed.

A Detergent Test Confirms $\alpha 5$ of Oligomeric Bak Lies Within Protein Structure. To further test our inference above that some $\alpha 5$ residues not labeled by IASD in oligomeric Bak are buried within



In Oligomeric Bax and Bax S184L, Many $\alpha 5$ and $\alpha 6$ Residues Are Exposed to IASD. Because our results for $\alpha 5$ and $\alpha 6$ in oligomeric Bak were inconsistent with the hairpin insertion model proposed for Bax (20),

we reexamined Bax topology. To facilitate comparison with Bak, we made most cysteine substitutions in a Bax variant targeted to the mitochondria (BaxS184L) (32, 33), so that tBid treatment of membrane fractions could efficiently activate most Bax molecules. Eighteen of the BaxS184L cysteine substitutions in $\alpha 5$ or $\alpha 6$ were functional and suitable for analysis (Fig. S1C and Tables S1 and S2). Pilot experiments revealed that some BaxS184L was only peripherally associated with the MOM, but an added incubation-wash step removed this population, leaving only fully activated oligomeric Bax (Fig. S5A).

Without tBid treatment, only two of the 18 Bax $\alpha 5$ residues tested (V111C and K119C) were “buried” as assessed by <25% IASD labeling, although three others (A112C, Y115C, L120C) in the middle of $\alpha 5$ were labeled only modestly (<40%) (Fig. 5A and B). This pattern is consistent with the nonactivated Bax structure (PDB ID code 1F16) (5), in which other helices surround the middle of $\alpha 5$, whereas its C terminus is exposed (Fig. 5C). Labeling of Bax $\alpha 6$ before tBid was also consistent with that structure, as the three unlabeled residues (I136C, M137C, L144C) face the Bax interior (Fig. 5A, B, and D).

After tBid treatment, V111C and K119C remained buried, but most central Bax $\alpha 5$ residues, like those in Bak, were modestly labeled (Fig. 5A and B). Notably, Y115C remained 40% exposed, significantly more than the $\alpha 9$ residues ($P < 0.01$). Therefore, the unlabeled stretch in $\alpha 5$ is unlikely to be longer than six residues (F116 to V121), arguing strongly against Bax $\alpha 5$ as a TM domain.

Pertinently, three other central Bax $\alpha 5$ residues (A112C, Y115C, L120C) were labeled most during tBid treatment (Fig. 5A and B), reflecting transient exposure. Because at least two of them (A112C and Y115C) line the open hydrophobic groove (Fig. 5G, Left), their transient exposure suggests that tBid uncovers the BaxS184L groove, but these residues are then reburied in the BH3:groove dimers by insertion of the Bax BH3 (Fig. 5G, Right). Notably, formation of the BH3:groove dimer relies on residues within the conserved N-terminal half of Bax $\alpha 5$, including R109, A112, and F116, which contact critical BH3 residues (10). Moreover, after tBid treatment, the $\alpha 5$ C terminus had a periodic labeling pattern, like that in Bak: the last five residues exhibited exposure (L122C/K123C), then partial/full burial (A124C/L125C), and then full exposure again (C126) (Fig. 5A, B, and E, and Fig. S6A). Thus, the labeling pattern of Bax $\alpha 5$ supports the in-plane model (Fig. S6B) but not the hairpin insertion model (Fig. S6C).

After tBid treatment, we found no evidence that Bax $\alpha 6$ formed a TM domain, as residues throughout $\alpha 6$ were exposed (Fig. 5A, B, and F). Instead, the helix appeared two-sided, with one side exposed (R134C, T135C, G138C, D142C) and the other buried (I136C, M137C, L144C), consistent with $\alpha 6$ lying in plane with the bilayer or lining a pore (Fig. S6B and C).

We also assessed substitutions in $\alpha 5$ or $\alpha 6$ of wild-type Bax following induction of apoptosis in cells by etoposide (Figs. S1D and S5B–E, and Tables S1 and S2), which provoked Bax oligomerization and cytochrome *c* release (Fig. S5B and C). We compared Bax in the membrane fraction with nonactivated Bax in the cytosol of untreated cells. Significantly, all five $\alpha 5$ or $\alpha 6$ residues tested were exposed to IASD in oligomeric Bax, and Bax in etoposide-treated cells labeled similarly to BaxS184L activated by tBid (Fig. 5B and Fig. S5E).

Thus, many $\alpha 5$ and $\alpha 6$ residues in oligomeric Bax were exposed to IASD, arguing strongly against $\alpha 5$ and $\alpha 6$ spanning the MOM as a TM hairpin. The data are instead consistent with $\alpha 5$ and $\alpha 6$ lying on the membrane surface, with $\alpha 5$ within a BH3:groove dimer, partly covered by other core helices (e.g., $\alpha 2$ – $\alpha 4$) (10), as in the in-plane model (Fig. S6B).

Low Salt Buffer Reduces IASD Labeling of Certain Bak and Bax Residues. We then addressed why a previous Bax topology study reported burial of $\alpha 5$ and $\alpha 6$ in the MOM of etoposide-treated

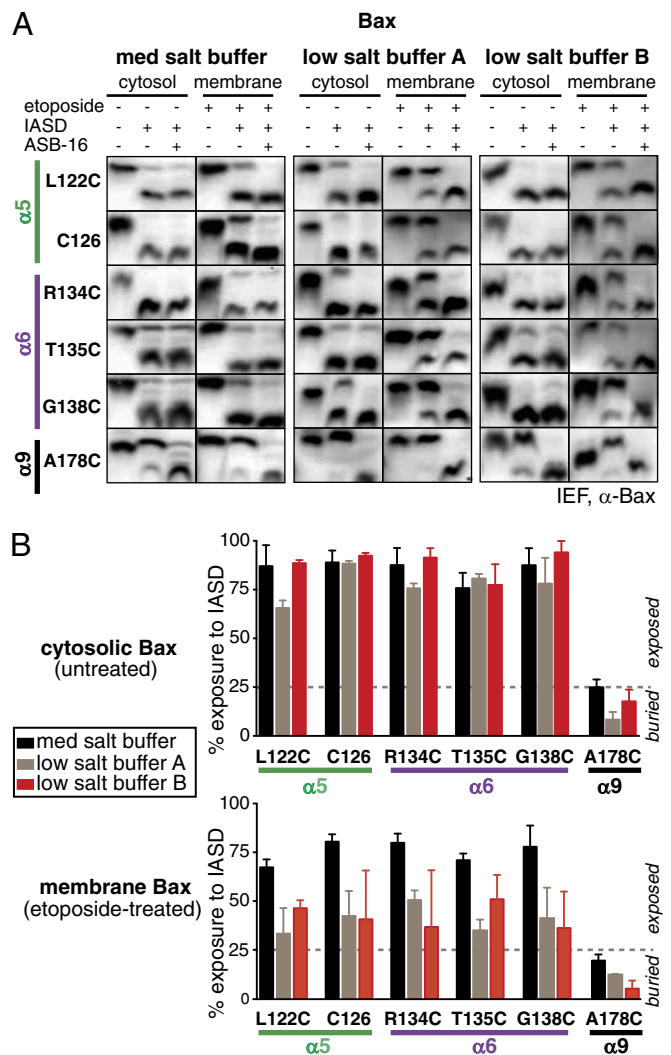


Fig. 6. Low salt buffers reduce IASD labeling of certain Bax residues. (A) Bax that translocates to mitochondria after etoposide is labeled less in low salt buffers. *Bax*^{+/+}*Bax*^{-/-} MEFs stably expressing the Bax cysteine substitutions were left untreated or incubated with etoposide to induce apoptosis, and their cytosol and membrane fractions analyzed in low or medium salt buffers, as indicated. Data are representative of three independent experiments. Low salt buffer A is that used by Annis et al. (20). (B) Quantified IASD labeling shows that the reduced labeling in low salt is confined to membrane-bound Bax. The cytosolic Bax was untreated (Upper), whereas the membrane-bound Bax came from etoposide-treated cells (Lower). Data are mean \pm SD ($n = 3$). Fig. S7A shows overlays of low and medium salt IASD data on all of the Bak and BaxS184L variants, before, during and after tBid treatment. IASD labeling of Bak residues from etoposide-treated cells in low salt closely resembled that produced by tBid (Fig. S7B).

cells (20). We noted that their IASD-labeling buffer had negligible salt (only 2 mM MgCl_2), whereas ours had a more physiological level (2.5 mM MgCl_2 , 100 mM KCl). Therefore, we compared labeling for six substitutions within wild-type Bax in the cytosolic and membrane fractions using our medium salt buffer or buffers having either no added salt or only 2 mM MgCl_2 .

Surprisingly, all five $\alpha 5$ and $\alpha 6$ residues tested in low salt (either 2 mM MgCl_2 or none) labeled less in membrane-associated Bax than cytosolic Bax ($P < 0.05$ for each) (Fig. 6). Significantly, in buffer A [that used by Annis et al. (20)], these residues were labeled 62–88% in the cytosol but only 19–47% in mitochondria. In the prior work, lower labeling in mitochondrial than cytosolic Bax was reasonably attributed to insertion of the residue into the MOM (20). However, in our medium salt buffer, IASD labeled

the membrane-associated and cytosolic Bax comparably ($P =$ not significant), with all five $\alpha 5$ or $\alpha 6$ residues exposed 68–95% (Fig. 6). Why low salt did not alter labeling of residues in cytosolic Bax is unclear. Perhaps the cytosolic fraction contains enough residual intracellular salt (the cytoplasm is 140 mM in K^+ equivalents) to enhance IASD labeling.

Analysis of all our cysteine substitutions of Bak and BaxS184L revealed that although several residues (~ 8 of 39) were labeled substantially less in low than medium salt after tBid, the pattern for most $\alpha 5$ and $\alpha 6$ residues closely resembled that in medium salt (Fig. S74). For example, after tBid the $\alpha 5$ C terminus and $\alpha 6$ of both Bak and BaxS184L showed periodic labeling (sidedness). Thus, both large series of IASD-labeling experiments support the in-plane model.

Discussion

How oligomerization of Bak or Bax disrupts MOM integrity, the “point of no return” in apoptosis, remains unknown. The structural similarity of globular Bcl-2 family members to certain bacterial toxins prompted the longstanding view that Bak and Bax, in analogy to those toxins, initiate pore formation by inserting $\alpha 5$ and $\alpha 6$ as a hairpin through the MOM (13). In seeming accord, several cysteine substitutions in Bax $\alpha 5$ and $\alpha 6$ became less accessible to IASD after an apoptotic stimulus (20). The proposal that Bak and Bax become multispinning membrane proteins early in apoptosis has been widely embraced, and $\alpha 5$ and $\alpha 6$ are often designated as their “pore-forming domain” (e.g., ref. 17).

Several lines of evidence presented here establish that neither $\alpha 5$ nor $\alpha 6$ becomes surrounded by the bilayer in the oligomers, as in the hairpin insertion models (Fig. 7A, variant 1 or 2). First, in

TM helices, the membrane protects a central segment of at least 10–12 residues from IASD (30, 31), but the $\alpha 6$ helices of Bak and Bax displayed no substantial protected region, and the fully protected stretch might span at most nine residues in Bak $\alpha 5$ (Fig. 2) and six in Bax $\alpha 5$ (Fig. 5). Instead, our results, summarized in Fig. 7B, indicate that $\alpha 5$ and $\alpha 6$ of both proteins are only shallowly inserted. Second, we show that the central segment of Bak $\alpha 5$ remains part of the BH3:groove dimer (Fig. 3) (7), as suggested by a crystal structure for Bax (10) and very recently for Bak as well (34). Accordingly, Bak with $\alpha 5$ tethered to $\alpha 4$ could still be activated to release cytochrome *c* (Fig. 3 C–E), and CHAPS did not expose several $\alpha 5$ residues in activated Bak to IASD (Fig. S5 B and C). Moreover, the alternating pattern of IASD labeling near the $\alpha 5$ C-termini of both Bak and Bax is consistent with an in-plane helix rather than a TM helix.

The multiple charged residues in $\alpha 5$ and $\alpha 6$ of Bak and Bax (Fig. 7C) also distinguish them from most TM domains (35). In their $\alpha 6$ helices, which are shorter than most TM helices (15 residues in Bak, 17 in Bax vs. a typical 20–25), Bak has two charged residues and Bax has six. The charged residues in $\alpha 5$ (two in Bak and three in Bax) most likely make salt bridges to maintain the BH3:groove dimer structure, like the conserved Arg near the $\alpha 5$ N terminus (Fig. 7C), which binds the invariant BH3 Asp.

Finally, the hairpin insertion model appears incompatible with the BH3:groove $\alpha 2$ – $\alpha 5$ dimer, which represents a crucial element in the oligomers (2, 4). Much of $\alpha 5$, particularly its N-terminal half, is nearly identical in Bak and Bax (Fig. 7C), and mutagenesis and structural data strongly implicate a number of these residues in forming the BH3:groove symmetric dimer. As well as the ion pair made by the

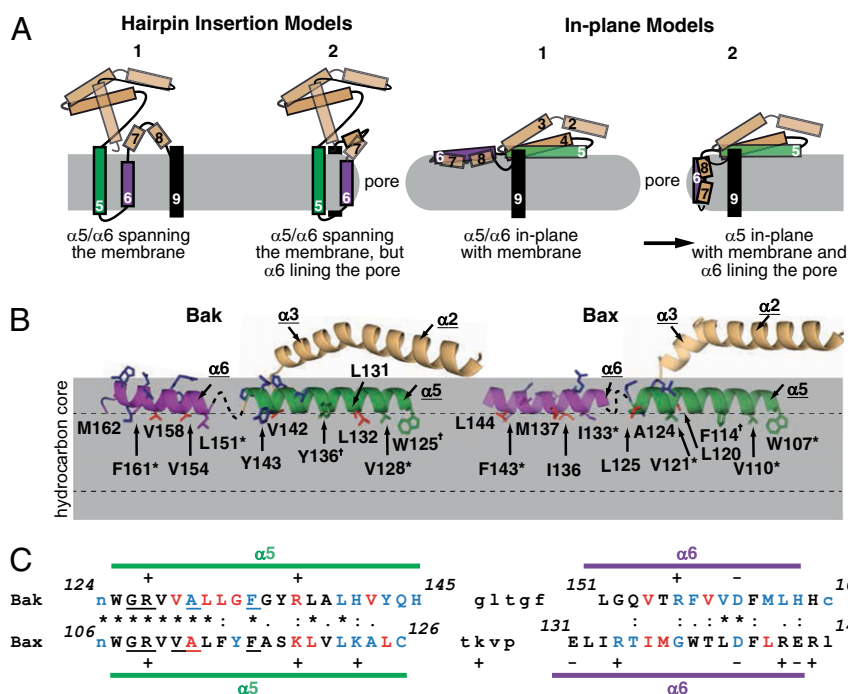


Fig. 7. To form apoptotic pores, Bak and Bax insert helices $\alpha 5$ and $\alpha 6$ in-plane into the MOM. (A) Four possible membrane topologies for activated Bak and Bax. In the hairpin insertion models, $\alpha 5$ and $\alpha 6$ dissociate from the hydrophobic protein core and insert across the MOM as a hairpin, either with both helices spanning the membrane as TM domains (variant 1), or with the $\alpha 6$ polar face lining the apoptotic pore (variant 2). In the in-plane models, $\alpha 5$ remains with $\alpha 2$ – $\alpha 4$ in the core, where it contributes one face to a BH3:groove interface and the opposite to the membrane, whereas $\alpha 6$ disassociates from the $\alpha 2$ – $\alpha 5$ core and collapses onto the membrane, presenting its polar face to the cytosol and its nonpolar face to the membrane (variant 1). Several of the in-plane helices may then slide into a nascent pore to promote its development or stabilize its wall (variant 2). (B) Burial of the nonpolar faces of $\alpha 5$ and $\alpha 6$ in the MOM indicated by IASD labeling. Cartoon representations of $\alpha 6$ connected to a monomer ($\alpha 2$, $\alpha 3$, $\alpha 5$) from models of the Bak and Bax BH3:groove dimers (see *SI Materials and Methods* for details of dimer models). The immersion of $\alpha 5$ and $\alpha 6$ into the MOM is based on evidence that the IASD alkylating group can label cysteines 7.5 Å (dotted line) into the hydrophobic aliphatic region (gray, ~ 30 Å), whereas its charged sulfonates lie in the head-group regions (31). Side-chains are shown for several residues that were exposed (blue) or buried (red), or were not tested because of loss-of-function (t) or other (*) reasons (green or purple) (Tables S1 and S2). (C) Sequence comparison of Bak and Bax across their $\alpha 5/\alpha 6$ regions, highlighting residues that were exposed (blue) or buried (red) after activation of Bak and Bax. Residues that are identical (*), conserved (:), semiconserved (.), or charged (+ or –) are indicated. Conserved residues in $\alpha 5$ with key roles in formation of the BH3:groove dimer are underlined.

N-terminal Arg, Bax V111, A112, and F116 help line the hydrophobic pockets into which the key hydrophobic BH3 residues at h4, h3, and h2 protrude, respectively (10). The equivalent Bak residues most likely have the same function (2, 34, 36) and many other $\alpha 5$ residues in both proteins must make contacts with $\alpha 4$ and the second $\alpha 5$ helix in their compact stable $\alpha 2$ – $\alpha 5$ dimers (2, 10, 34).

Three factors may help to explain why Annis et al. (20) reached the conclusion that $\alpha 5$ and $\alpha 6$ of Bax insert into membranes as a hairpin. First, only two residues in $\alpha 5$ and three in $\alpha 6$ were examined, too few to clearly discriminate between a trans-membrane helix like $\alpha 9$ and a helix laying along the membrane surface and inserting only one face into the MOM, as we suggest for $\alpha 5$ and $\alpha 6$. Second, we unexpectedly found that the low salt concentration they used for IASD labeling led to lower labeling of some residues in mitochondrial Bax than cytosolic Bax (Fig. 6). Finally, whereas the Bak and Bax we studied were fully functional and formed oligomers, Annis et al. focused mainly on a unique *myc*^{−/−} rat cell line in which etoposide evokes some Bax translocation to mitochondria but, for unknown reasons, Bax remains monomeric, does not promote cytochrome *c* release or apoptosis (20), or even display the 6A7 activation marker (37). In their cells constitutively reexpressing Myc, where etoposide did evoke Bax oligomerization, only one $\alpha 5$ and three $\alpha 6$ residues were tested, and the two judged to be buried (L122C and R134C) were clearly exposed in our study (Figs. 5 *A* and *B* and 6).

Very recently, another study reported IASD labeling results on Bak (38). That study used a buffer system similar to ours but used transiently transfected Bak cDNA rather than stable transfection followed by apoptotic signaling. Because the labeling varied with the amount of Bak cDNA, it is difficult to compare their results with ours, but the increased labeling of the one $\alpha 5$ and two $\alpha 6$ residues they tested in cells exposed to a lethal amount of Bak cDNA appears inconsistent with the hairpin model.

A recent detailed EPR study on tBid-activated Bak in large unilamellar vesicles (27) concluded that $\alpha 5$ remains associated with $\alpha 2$ – $\alpha 4$ in the protein core, whereas $\alpha 6$ separates from the core, and shallowly inserts into the membrane (21). This biophysical approach with a molecularly defined system also strongly argues against the hairpin insertion model and supports the in-plane model.

Our evidence against $\alpha 5$ spanning the MOM argues against both variants of the hairpin insertion model (Fig. 7*A*). Further evidence against them is that $\alpha 5$ and $\alpha 6$ appear to separate at an early stage of activation of Bax (10) and Bak (27, 34), as in the in-plane models 1 and 2 (Fig. 7*A*). Indeed, their function appears to require that $\alpha 5$ and $\alpha 6$ separate, because tethering $\alpha 5$ to $\alpha 6$ in either blocked release of cytochrome *c* (10, 34). Instead, $\alpha 5$ remains within the $\alpha 2$ – $\alpha 5$ core, because $\alpha 4$ – $\alpha 5$ hairpins remain in the $\alpha 2$ – $\alpha 5$ core dimer structure of both proteins (Fig. 2*E* and Fig. S6*B*), and an $\alpha 4$ – $\alpha 5$ tether did not block function of either Bax (10) or Bak (Fig. 3 *C–E*).

Thus, structural, biophysical, and biochemical data now all strongly suggest that, during pore formation, neither $\alpha 5$ nor $\alpha 6$ insert across the MOM as TM domains, and that $\alpha 5$ remains with $\alpha 2$ – $\alpha 4$ in the core, whereas $\alpha 6$ separates from the core and shallowly inserts into the membrane.

The structural and biophysical data and our IASD labeling results fit best with a model in which both $\alpha 5$ and $\alpha 6$ lie on the membrane surface: the in-plane model (Fig. 7*A* and *B*). Helix 5 is proposed to contact the membrane via a bent planar surface, comprising two $\alpha 4$ – $\alpha 5$ hairpins, that is rich in aromatic and other hydrophobic residues, as shown by the $\alpha 2$ – $\alpha 5$ dimer structure of Bax (10) and now also of Bak (34). An in-plane topology for $\alpha 5$ of both Bak and Bax is supported by the two-sidedness of its C-terminal region: after tBid treatment its polar face is exposed and its nonpolar face buried, and equivalent residues (Bak Y143C and Bax L125C) make the transition to burial. The two-sided labeling pattern of $\alpha 6$ in oligomeric Bak and Bax is also indicative of an in-plane helix, as is the shallow insertion of $\alpha 6$ revealed by EPR studies of Bid-activated Bak (21).

Localization of $\alpha 5$ and $\alpha 6$ to the membrane surface suggests that oligomeric Bak and Bax may not permeabilize the MOM by forming a single well-defined pore entirely bounded by protein. It fits better with the hypothesis that the oligomers impose tension and strain on the bilayer, leading to pores partially bounded by lipid (23, 25). Mass introduced into the bilayer outer leaflet by hydrophobic side-chains of residues in $\alpha 4$, $\alpha 5$, and $\alpha 6$ (and possibly also $\alpha 7$ and $\alpha 8$) will impose tension and positive curvature on the bilayer (15), and the angle between the two $\alpha 4$ – $\alpha 5$ hairpins in the $\alpha 2$ – $\alpha 5$ core dimers of both proteins (10, 34) might well exacerbate the tension. As the oligomers increase in size, the strain is expected to provoke nearby fusion of the outer and inner leaflets, nucleating a proteolipidic pore (15).

The oligomerization of Bak and Bax may be enhanced not only by protein–protein interactions but also by dimers sinking further into the hydrophobic membrane core. Because lipidic pores tend to be metastable (25), we suggest that stable pores will result when oligomers corral the imposed surface tension within focused regions by forming circles of variable size. In the oligomers, our results suggest that only $\alpha 9$ represents a conventional TM domain, but an appealing feature of the in-plane model is that several of their other helices, possibly including $\alpha 4$ to $\alpha 8$, could readily slide into a nascent pore to line its wall and thereby to stabilize the pore (in-plane variant 2) (Fig. 7*A*). Within the oligomers, multiple helices of Bak and Bax may thus both drive formation of the pores and help to maintain them.

Materials and Methods

Cloning and Expression of Bak and Bax Variants. Single cysteines were introduced into human Bak Δ Cys (C145/C166S), human Bax Δ Cys (C62S/C126S), or BaxS184L Δ Cys by overlap extension PCR and cloned into the pMX-IG (IRES-GFP) retroviral vector. The variants were then stably expressed in SV40-immortalized Bak^{−/−}Bax^{−/−} MEFs, as described previously (7). Each cell line was generated by retroviral infection at least twice, with cells maintained in culture for less than 3 wk.

Preparation of Subcellular Fractions. The MEFs stably expressing Bak and Bax variants were used to generate cytosol and membrane fractions (enriched for mitochondria) as previously described (7). Briefly, cells were suspended at 1×10^7 cells/mL in medium salt buffer (100 mM sucrose, 20 mM Hepes-KOH pH 7.5, 100 mM KCl, 2.5 mM MgCl₂) supplemented with Complete protease inhibitors (Roche), 4 μ g/mL pepstatin A (Sigma-Aldrich), and 0.025% digitonin (wt/vol) (Calbiochem, Merk). After 10 min on ice, the permeabilized cells were centrifuged at $13,000 \times g$ for 5 min to generate the cytosol fraction and the pellets were resuspended in buffer lacking digitonin to generate the membrane fraction. Where indicated, medium salt buffer was replaced with a buffer without added salt (low salt buffer B: 300 mM sucrose, 10 mM Tris-HCl pH 7.4, 1 mM EDTA) or one containing 2 mM MgCl₂ (low salt buffer A: 250 mM sucrose, 20 mM Hepes-KOH pH 7.5, 1 mM EDTA, 2 mM MgCl₂) (20).

To remove peripherally attached Bax and BaxS184L, membrane fractions were incubated for 15 min at room temperature, centrifuged, and resuspended in the same buffers lacking digitonin.

Activation of Bak and Bax by tBid or Etoposide. To activate Bak and BaxS184L and permeabilize mitochondria, membrane fractions from cells expressing these proteins were incubated with 100 nM tBid [truncated human Bcl cleaved by caspase-8 for 30 min at 30 °C (7)]. To induce apoptosis, cells were incubated with 10 μ M etoposide (Sigma-Aldrich) for 24 h. Apoptosis was assessed by uptake of propidium iodide (5 μ g/mL) by flow cytometry (FACScan, BD Biosciences). Where indicated, caspases were inhibited by addition of 50 μ M caspase inhibitor Q-VD.OPH (MP Biomedicals).

Cysteine Accessibility to IASD Labeling. To test whether each cysteine substituted in Bak or Bax was in a hydrophobic environment, cytosol or membrane fractions were incubated with 10 mM IASD (Molecular Probes) for 30 min at 30 °C. The five samples for IEF were each incubated for a total of 60 min at 30 °C. IASD was added at 30 min in four of the samples (omitted for the negative control). tBid was added to two of the samples: at 30 min for the “during tBid” samples so that IASD was present during tBid activation of Bak/Bax; or at 0 min for the “after tBid” samples so that IASD was present only after pore formation. For the “denatured” samples, untreated membrane fractions were solubilized with 1% ASB-16 for 10 min at room tem-

perature before IASD labeling. Labeling was quenched by adding 200 mM DTT and samples solubilized with 1% (wt/vol) ASB-16 (Calbiochem) for 10 min at room temperature. After centrifugation at $13,000 \times g$ for 5 min, supernatants were combined with an equal volume of IEF sample buffer [7 M urea, 2 M thiourea, 2% (wt/vol) CHAPS, complete protease inhibitors, 4 μ g/mL pepstatin A, 1% ASB-16, and 0.04% bromophenol blue] and loaded immediately onto Novex pH 3–7 IEF gels (Life Technologies). BaxS184L and Bax variants were labeled similarly, but peripherally attached protein was first removed from the membrane fractions by an incubation-wash step, and IEF focusing was improved by increasing the final ASB-16 to 2% (wt/vol).

One-Dimensional Isoelectric Focusing and IASD Quantification. One-dimensional IEF was performed as described previously (39). Briefly, gels were focused with increasing voltage (100 V, 200 V, and 500 V for 1 h each) using a EV265 power pack (Consort). The gels were then soaked in SDS buffer [75 mM Tris-HCl pH 6.8, 15% (vol/vol) glycerol, 0.6% SDS] for 5 min before transfer to PVDF membranes at 40 mA for 2.5 h, followed by immunoblotting.

To quantify IASD labeling, chemi-luminescent signals were detected using the ChemiDoc XRS+ System (Bio-Rad) and analyzed with Image Lab 3.0 (Bio-Rad). Within each lane, rectangles of equal dimensions were placed over the

unlabeled and labeled bands, and pixels counted digitally, background subtracted and percent labeling calculated. Data are represented as mean \pm SD ($n = 3$) or range ($n = 2$), as indicated in legends and in Tables S1 and S2. For comparison of means, an unpaired two-tailed Student *t* test was applied with a *P* value significance of <0.05 .

Additional Information. See *SI Materials and Methods* for Blue Native/PAGE and gel-shift assays, SDS/PAGE and immunoblotting, and structural modeling.

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