Received: 24 January 2008,

Revised: 29 March 2008,

Accepted: 7 April 2008,

Published online in Wiley InterScience: 22 May 2008

(www.interscience.wiley.com) DOI 10.1002/poc.1387

# Excited-state substituent constants $\sigma_{CC}^{ex}$ from substituted benzenes

### Chenzhong Cao<sup>a</sup>\*, Guanfan Chen<sup>a,b</sup> and Zhiqing Yin<sup>a</sup>

In this work, 13 compounds of 4,4'-disubstituted stilbenes and 5 compounds of 3-methyl-4'-substituted stilbenes were prepared and their UV spectra were measured. A new substituent effect constant, namely excited-state substituent constant, was proposed, which was calculated directly from the UV absorption energy data of substituted benzenes. The investigation result shows that the proposed constant is different from the existing polar substituent constants and radical substituent constants in nature. The availability of the new constant was confirmed by the good correlations with the UV absorption energy of four kinds of compounds, 1,4-disubstituted benzenes, 4,4'-disubstituted stilbenes, substituted ethenes, and m-Y-substituted aromatic compounds. It is expected that the excited-state substituent constant can be applied in QSPR study on organic compounds at the excited state. Copyright © 2008 John Wiley & Sons, Ltd.

**Keywords:** excited-state substituent constant; substituted benzene; substituent effect; substituted ethene; UV absorption energy

#### **INTRODUCTION**

Substituent electronic effect is a very important concept in organic chemistry for understanding molecular physico-chemical properties, predicting chemical reactivity, and analyzing reaction mechanism. Hammett<sup>[1]</sup> first proposed a set of substituent constants in 1937, namely  $\sigma$ -constants. Up to now these substituent constants have been deeply investigated and widely applied in organic chemistry, which can be seen in the review written by Hansch et al.<sup>[2]</sup> Taft<sup>[3]</sup> and Topsom<sup>[4]</sup> discussed and analyzed the nature of substituent electronic effects in detail. By means of experimental measurement and theoretical studies, they quantified four kinds of substituent electronic effects: (i) field effect  $\sigma_{\rm F}$ ; (ii) electronegativity effect  $\sigma_{\rm x}$ ; (iii) polarizability effect  $\sigma_{\rm c}$ ; (iv) resonance ( $\pi$ -electron transfer) effect  $\sigma_R$ . These electronic effect constants can be used well to explain the chemical activities of organic compounds in gas phase. Recently, Cherkasov<sup>[5]</sup> proposed an additive model to calculate the inductive effect. Cao<sup>[6]</sup> developed a polarizability effect index (PEI) to scale the polarizability effect of substituent groups. The mentioned parameters can be classified into the type of polar substituent constants.

It was believed that there are spin-delocalization effects in the ground state organic radicals. In order to quantify the abilities of various groups to stabilize radicals, a number of probes have been developed to evaluate radical-stabilizing effects on benzylic-type radicals, and various free radical substituent constants or spin-delocalization substituent constants (designated as  $\sigma$ ') scales have been established, as the  $\sigma_{\rm F}$  scale developed by Fisher, the  $\sigma_{\rm J}$  scale developed by Jackson, scale developed by Jackson, scale developed by Arnold, scale developed by Creary, and the Adam Adam Arnold, scale developed by Dackson, scale developed by Creary, scale developed by Crear

It should be noted that the above two classes of substituent constants, namely polar substituent constants and radical substituent constants, were all determined at molecular ground state. Of course, they express the effect of substituents on the properties of molecule at ground state. In general, the properties of molecule at excited state cannot be correlated well with the polar substituent constants. Because the singlet excited state has two half-occupied molecular orbitals and may thus be diradicaloid in nature, [14] Jiang and  $Ji^{[15,16]}$  employed the spin-delocalization substituent constants  $\sigma_{JJ}$  to correlate with the UV spectra of some model aromatic compounds, and developed single-parameter (radical substituent constant) equation and dual-parameter (polar and radical substituent constants) equation.

According to our knowledge, up to now, none of the existing substituent constants has been directly measured from the molecule being in the excited state with two half-occupied molecular orbitals. The UV spectra are direct measures of the excitation energy absorbed by molecules and it is easy to be measured. In this work, we attempt to develop an excited-state substituent constant (designated as  $\sigma_{\text{CC}}^{\text{ex}}$ ), based on the UV spectra absorption energies of substituted aromatic compounds.

- Correspondence to: C. Cao, School of Chemistry and Chemical Engineering, Hunan University of Science and Technology, Xiangtan 411201, P. R. of China. E-mail: czcao@hnust.cn
- a C. Cao, G. Chen, Z. Yin School of Chemistry and Chemical Engineering, Hunan University of Science and Technology, Xiangtan 411201, P. R. of China
- b G. Chen School of Chemistry and Chemical Engineering, Central South University, Changsha 410083, P. R. of China

| Table 1. E | xcited-state substituent constant $\epsilon$ | rex values of some subs  | stituents ( <b>Y</b> ) |                       |                    |
|------------|--|--------------------------|------------------------|-----------------------|--------------------|
| No.        | Υ <sup>a</sup>                               | $\sigma^{ m ex}_{ m CC}$ | No.                    | <b>Y</b> <sup>a</sup> | $\sigma_{CC}^{ex}$ |
| 1          | $NH_2$                                       | -0.88                    | 19                     | F                     | 0.06               |
| 2          | ОН   | -0.19                    | 20                     | Cl                    | -0.22              |
| 3          | SH   | -0.82                    | 21                     | Br                    | -0.33              |
| 4          | CHO  | -1.09                    | 22                     | 1                     | -0.56              |
| 5          | NEt <sub>2</sub>                             | -1.31                    | 23                     | CN                    | -0.70              |
| 6          | Н  | 0.00                     | 24                     | MeCO                  | -1.13              |
| 7          | NO <sub>2</sub>                              | -1.17                    | 25                     | SiMe₃                 | -0.13              |
| 8          | $CH = CH_2$                                  | -1.13                    | 26                     | <i>t</i> -Bu          | -0.17              |
| 9          | NHEt   | -1.06                    | 27                     | $MeSO_2$              | -0.43              |
| 10         | CCH  | -1.05                    | 28                     | MeSO                  | -0.60              |
| 11         | SO <sub>2</sub> NH <sub>2</sub>              | -0.39                    | 29                     | Me                    | -0.17              |
| 12         | COOH   | -0.70                    | 30                     | COOMe                 | -0.69              |
| 13         | $CF = CF_2$                                  | -0.92                    | 31                     | OCOMe                 | -0.08              |
| 14         | $C(Me) = CH_2$                               | -0.98                    | 32                     | SMe                   | -1.40              |
| 15         | CH(OH)CH₂COOPr                               | -0.17                    | 33                     | CF <sub>3</sub>       | -0.12              |
| 16         | CH(OH)CH₂CCH                                 | -0.15                    | 34                     | <i>c</i> -propanyl    | -0.34              |
| 17         | NMe <sub>2</sub>                             | -1.81                    | 35                     | CONH <sub>2</sub>     | -0.61              |
| 18         | OMe  | -0.50                    | 36                     | Ph                    | -0.86              |

<sup>a</sup> For Y 1–16, their  $\sigma_{\rm CC}^{\rm ex}$  values are calculated from substituted benzenes, and the UV data were taken from Reference [17]; the  $\sigma_{\rm CC}^{\rm ex}$  values for Y 17–36 are based on the data of p-Y-substituted styrenes by using Eqn 4, and the UV data were taken from Reference [15].

## EXCITED-STATE SUBSTITUENT CONSTANT $\sigma_{CC}^{ex}$

The UV absorption energy of a conjugated molecule reflects the energy discrepancy between molecular ground state and excited state. The  $\lambda_{\rm max}$  values of conjugated molecular K-bands are easily identified and measured, which is dominated by the energy difference between the bonding  $\pi$  molecular orbital and anti-bonding  $\pi^*$  molecular orbital. Since the molecular orbital energies of ground state and excited-state (namely, in two half-occupied molecular orbitals of  $\pi$  and  $\pi^*$ ) all are to be affected by the substituent in molecule, we can directly employ the K-band  $\lambda_{\rm max}$  values of their UV absorption spectra to scale the excited-state substituent constants, from which the corresponding wavenumbers  $(\nu_{\rm max})$  were calculated. Here, substituted benzenes and p-Y-substituted styrenes (**Y-ST**s) were used as model molecules, and their UV absorption energy was expressed by the unit eV (i.e.,  $1\,\mathrm{cm}^{-1}=1.23981\times10^{-4}\,\mathrm{eV}$ ).

The investigation of Jiang and Ji<sup>[15]</sup> showed that the contribution of the polar substituent constant to the UV absorption energy of p-Y-STs is very little and it can be ignored. Further, authors observed that there is an excellent correlation between the UV absorption energy of substituted benzenes and that of p-Y-STs for the substituents, OMe, Me, H, Cl, CN, F, and COOMe. Thus, we take benzene as a reference and use Eqn 1 to calculate the excited-state substituent constants  $\sigma_{\text{CX}}^{\text{ex}}$ :

$$\sigma_{CC}^{ex} = E_{UV(Y)} - E_{UV(H)} \tag{1}$$

where  $E_{\rm UV(H)}$  and  $E_{\rm UV(Y)}$  are the UV absorption energies (unit: eV) of K-bands of benzene and Y-substituted benzene, respectively.

Take OH group for a calculation example. It is known that the  $\lambda_{\rm max}$  values of K-bands of HPh and HOPh are 203.5 and 210 nm, <sup>[17]</sup> namely, 6.09 (eV) and 5.90 (eV). Thus the excited-state substituent

constant  $\sigma^{\rm ex}_{\rm CC}{
m of}$  OH group is

$$\sigma_{CC}^{\text{ex}} = 5.90 - 6.09 = -0.19$$
 (2)

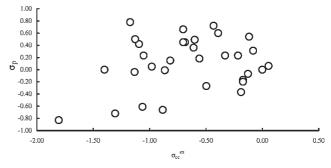
It should be pointed out that Jiang and Ji<sup>15]</sup> prepared many **Y-ST**s and measured their UV  $\lambda_{\text{max}}$  values. If the UV data of **Y-ST**s could be used to calculate  $\sigma_{\text{CC}}^{\text{ex}}$ , the number of substituent with  $\sigma_{\text{CC}}^{\text{ex}}$ value will be rapidly extended. When styrene is to be used as a reference, another excited-state substituent constant  $\sigma_{\text{CC}(Y-ST)}^{\text{ex}}$  of **Y** group in **Y-ST** molecules will be obtained by

$$\sigma_{\text{CC}(Y-ST)}^{\text{ex}} = E_{\text{UV}(Y-ST)} - E_{\text{UV}(H-ST)}$$
 (3)

where  $E_{\rm UV(H-ST)}$  and  $E_{\rm UV(Y-ST)}$  are the UV absorption energies(unit: eV) of K-bands of styrene and p-Y-ST, respectively. Here the groups, OMe, Me, H, Cl, CN, F, and COOMe, were taken as samples to establish the correlation between  $\sigma_{\rm CC}^{\rm ex}$  and  $\sigma_{\rm CC}^{\rm ex}$  and the correlation expression was obtained, as shown in

$$\sigma_{\text{CC}}^{\text{ex}} = 2.26 \ \sigma_{\text{CC}(Y-ST)}^{\text{ex}}$$
 (4)

$$r = 0.9913$$
,  $s = 0.0397$ ,  $n = 7$ ,  $F = 340.63$ 



**Figure 1.** Plot of  $\sigma_{\rm CC}^{\rm ex}$  versus Hammett constants  $\sigma_{\rm p}$ 

| Table 2.         | <b>Table 2.</b> UV data, $\lambda_{max}$ (nm) and wavenumber ( $\nu_{max}$ , cm <sup>-1</sup> ) values for <b>1-Y</b> , <b>2-Y</b> , <b>3-Y</b> , and <b>4-Y</b> |               |                 |                 |                 |               |                 |               |  |  |
|------------------|--|---------------|-----------------|-----------------|-----------------|---------------|-----------------|---------------|--|--|
| _                | 1-Y  | ,             | <b>2</b> -Y     | ,               | 3-Y             | ,             | 4-Y             | ,             |  |  |
| Υ                | $\lambda_{max}$  | $v_{\sf max}$ | $\lambda_{max}$ | $\nu_{\sf max}$ | $\lambda_{max}$ | $v_{\sf max}$ | $\lambda_{max}$ | $v_{\sf max}$ |  |  |
| NMe <sub>2</sub> | 285.4  | 35 038        | 307.1           | 32 563          |                 |               |                 |               |  |  |
| OMe              | 254.4  | 39 308        | 262.2           | 38 139          | 225.4           | 44 366        | 225.1           | 44 425        |  |  |
| Me               | 249.6  | 40 064        | 244.4           | 40 916          | 212.5           | 47 059        | 212.8           | 46 992        |  |  |
| Н                | 245.0  | 40 816        | 242.0           | 41 322          | 209.3           | 47 778        | 208.8           | 47 893        |  |  |
| Cl               | 252.2  | 39620         | 249.7           | 40 048          | 220.6           | 45 331        | 220.7           | 45 310        |  |  |
| CN               | 262.6  | 38 081        |                 |                 |                 |               |                 |               |  |  |
| $NO_2$           | 272.8  | 36 657        |                 |                 |                 |               |                 |               |  |  |
| Br               | 254.6  | 39 277        | 251.4           | 39 777          |                 |               |                 |               |  |  |
| SMe              | 279.6  | 35 765        | 303.6           | 32 938          |                 |               |                 |               |  |  |
| F                | 243.8  | 41 017        | 239.3           | 41 788          | 206.9           | 48 333        | 207.5           | 48 193        |  |  |
| COOMe            | 258.2  | 38 730        |                 |                 |                 |               |                 |               |  |  |
| MeCO             | 269.2  | 37 147        |                 |                 |                 |               |                 |               |  |  |
| CF <sub>3</sub>  | 248.8  | 40 193        |                 |                 |                 |               |                 |               |  |  |
| SiMe₃            | 253.4  | 39 463        |                 |                 |                 |               |                 |               |  |  |
| <i>t</i> -Bu     | 250.2  | 39 968        | 251.8           | 39713           |                 |               |                 |               |  |  |
| MeSO             | 262.0  | 38 168        |                 |                 |                 |               |                 |               |  |  |

| Table 3. Correlation equations for 1-Y, 2-Y, 3-Y, and 4-Y |                                      |                       |        |          |       |    |          |  |  |
|---|--------------------------------------|-----------------------|--------|----------|-------|----|----------|--|--|
|   | $v_{max} = a + b \ \sigma_{CC}^{ex}$ |                       |        |          |       |    |          |  |  |
| Compound  | $a (\times 10^3)$                    | b (×10 <sup>3</sup> ) | r      | s (×10³) | F     | n  | Equation |  |  |
| 1-Y   | 40.50                                | 3.164                 | 0.9844 | 0.3226   | 437.0 | 16 | 7        |  |  |
| 2-Y   | 41.22                                | 5.251                 | 0.9860 | 0.6177   | 245.6 | 9  | 8        |  |  |
| 3-Y   | 47.79                                | 7.331                 | 0.9492 | 0.6080   | 27.30 | 5  | 9        |  |  |
| 4-Y   | 47.75                                | 7.171                 | 0.9478 | 0.6039   | 26.48 | 5  | 10       |  |  |

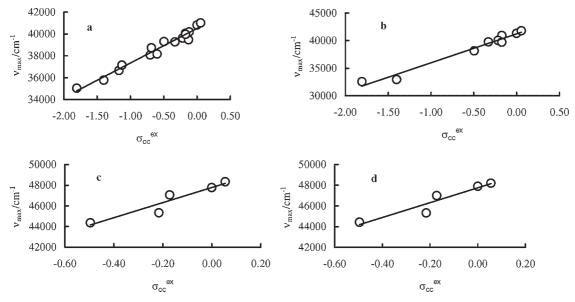


Figure 2. Plots of  $\sigma_{CC}^{ex}$  versus UV absorption energies (wavenumber:  $\nu_{maxr}$  cm $^{-1}$ ) of (a) 1-Y, (b) 2-Y, (c) 3-Y, and (d) 4-Y

**Table 4.** Correlation results obtained by Jiang<sup>[16]</sup> and this work 1-Y 2-Y 3-Y 4-Y F F Parameter<sup>a</sup> F F r n r n r n 0.008 0.001 16 0.554 3.11 9 0.4461 0.746 5 0.445 0.739 5  $\sigma_{\mathsf{mb}}$  $\sigma^+$ 0.370 9 5 5 1.90 14 0.804 12.8 0.5601 1.37 0.552 1.32 0.053 0.039 0.588 3.71 9 0.2605 0.218 5 0.244 0.190 5 16  $\sigma_{\mathsf{p}}$ 0.923 81.0 16 0.946 59.2 9 0.9290 18.91 5 0.9401 22.82 5  $\sigma_{
m JJ}$ 7  $10\sigma_{\alpha}$ 0.922 62.3 13 0.961 60.7 0.8162 5.99 5 0.8121 5.81 5 0.924 76.2 15 0.920 38.4 9 0.9347 20.75 5 0.9289 18.87 5  $\sigma_{c}^{\cdot}$  $\sigma_{\mathsf{mb}} + \sigma_{\mathsf{j}\mathsf{J}}$ 0.940 49.5 16 0.946 25.4 9 0.9408 7.70 5 0.9508 9.43 5  $\sigma_{\mathsf{mb}} + 10\sigma_{\alpha}^{\centerdot}$ 0.922 28.3 13 0.965 27.0 7 0.8267 2.16 5 0.8226 2.09 5 5  $\sigma_{\mathsf{mb}} + \sigma_{\mathsf{c}}^{\boldsymbol{\cdot}}$ 0.924 35.2 15 0.927 18.3 9 0.9381 7.33 0.9321 6.62 5  $\sigma^+ + \sigma_{jj}$ 0.942 43.7 14 0.946 25.4 9 0.9402 7.63 5 9.08 5 0.9491 7 5 5  $\sigma^+ + 10\sigma_{\alpha}$ 0.938 29.4 11 0.966 27.7 0.8234 2.106 0.8185 2.03 9 5 5  $\sigma^+ + \sigma_c$ 0.941 42.4 14 0.923 17.3 0.9430 8.03 0.9379 7.31 0.946 55.2 16 0.951 28.6 9 0.9307 6.47 5 0.9408 7.70 5  $\sigma_{\rm p} + \sigma_{\rm JJ}$  $\sigma_{\rm p} + 10\sigma_{\rm c}$ 0.925 29.8 13 0.961 24.3 7 0.8307 2.23 5 0.8299 2.21 5  $\sigma_{\rm p} + \sigma_{\rm c}$ 0.926 36.0 15 0.944 24.8 9 0.9678 14.80 5 0.9664 14.13 5  $\sigma_{\rm CC}^{\rm ex\,b}$ 0.9844 437.0 0.9860 245.6 9 0.9492 27.30 5 0.9478 26.48 5 16 <sup>a</sup> Taken from Reference [16], unless the noted parameter. <sup>b</sup>This work.

Equation 4 shows that there is an excellent correlation between  $\sigma^{\rm ex}_{\rm CC}$  and  $\sigma^{\rm ex}_{\rm CC(Y-ST)}$ . It is to say, when the UV data of substituted benzene are unavailable, we can use the UV data of p-Y-STs to calculate the  $\sigma^{\rm ex}_{\rm CC}$  values of group Y by means of Eqn 4.

Using the above calculation method (Eqn 2 together with Eqn 4), some  $\sigma^{\rm ex}_{\rm CC}$  values were obtained and they are listed in Table 1.

To investigate the correlation between  $\sigma^{\rm ex}_{\rm CC}$  and Hammett constants  $\sigma_{\rm p}$ , the plot of  $\sigma^{\rm ex}_{\rm CC}$  against Hammett constants  $\sigma_{\rm p}$  was

| Table 5. Corre | Table 5. Correlation equations with dual-parameter for 3-Y and 4-Y |  |                       |                  |                  |               |        |          |  |  |  |
|----------------|--|--|-----------------------|------------------|------------------|---------------|--------|----------|--|--|--|
|                | $ u_{max}$   | $_{x} = a + b\sigma_{p} + c\sigma_{CC}^{ex}$ |                       | _                |                  |               |        |          |  |  |  |
| Compound       | a (×10 <sup>3</sup> )  | b (×10 <sup>3</sup> )                        | c (×10 <sup>3</sup> ) | r                | s (×10³)         | F             | n      | Equation |  |  |  |
| 3-Y<br>4-Y     | 47.95<br>47.91   | −3.123<br>−3.166                             | 8.858<br>8.720        | 0.9979<br>0.9999 | 0.1536<br>0.0344 | 236.5<br>4537 | 5<br>5 | 11<br>12 |  |  |  |

|                  | <b>5-Y</b> <sup>a</sup> |               | 6-Y <sup>k</sup>    | )<br>         | <b>7-Y</b> <sup>b</sup> |               |
|------------------|-------------------------|---------------|---------------------|---------------|-------------------------|---------------|
| Υ                | $\lambda_{max}$         | $v_{\sf max}$ | $\lambda_{\sf max}$ | $v_{\sf max}$ | $\lambda_{\sf max}$     | $v_{\sf max}$ |
| NMe <sub>2</sub> | 376.7                   | 26 546        | 351.83              | 28 423        | 351.01                  | 28 489        |
| OMe              | 332.0                   | 30 120        | 317.42              | 31 504        | 320.67                  | 31 185        |
| Me               | 323.1                   | 30 950        | 311.26              | 32 127        | 315.17                  | 31 729        |
| Н                | 316.0                   | 31 646        | 307.14              | 32 558        | 311.26                  | 32 127        |
| F                |                         |               | 306.93              | 32 581        | 311.08                  | 32 146        |
| Cl               | 320.3                   | 31 221        | 311.62              | 32 090        | 315.36                  | 31 710        |
| CN               |                         |               | 319.02              | 31 346        | 325.13                  | 30 757        |
| Br               | 321.3                   | 31 124        |                     |               |                         |               |

| <b>Table 7.</b> Correlation equations for <b>5-Y</b> , <b>6-Y</b> , and 1 | Table 7. | Correlation | equations | for | 5-Y, | 6-Y, | and | 7- |
|---|----------|-------------|-----------|-----|------|------|-----|----|
|---|----------|-------------|-----------|-----|------|------|-----|----|

|          | $v_{\text{max}} = a + b \sigma_{\text{CC}}^{\text{ex}}$ |                       | _                            |                             |                            |   |          |
|----------|---|-----------------------|------------------------------|-----------------------------|----------------------------|---|----------|
| Compound | $a (\times 10^3)$                                       | b (×10 <sup>3</sup> ) | r                            | s (×10³)                    | F                          | n | Equation |
| 5-Y      | 31.65   | 2.807                 | 0.9915 (0.9943) <sup>a</sup> | 0.2710 (0.225) <sup>a</sup> | 233.0 (347.9) <sup>a</sup> | 6 | 13       |
| 6-Y      | 32.58   | 2.230                 | 0.9941 (0.9680)              | 0.1718 (0.3971)             | 419.0 (74.39)              | 7 | 14       |
| 7-Y      | 32.11   | 1.986                 | 0.9993 (0.9844)              | 0.0540 (0.2465)             | 3366 (156.8)               | 7 | 15       |

<sup>&</sup>lt;sup>a</sup> The values in parentheses are the results correlated with the  $\sigma_{C}$  constant. For the **5-Y** system, the result was taken from Reference [16].

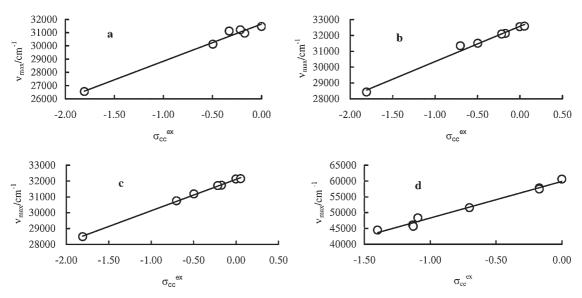


Figure 3. Plots of  $\sigma_{CC}^{ex}$  versus UV absorption energies (wavenumber:  $\nu_{max}$ , cm<sup>-1</sup>) of (a) 5-Y, (b) 6-Y, (c) 7-Y, and (d) 8-Y

made (as shown in Fig. 1). Figure 1 shows a bad correlation between  $\sigma^{\rm ex}_{\rm CC}$  and  $\sigma_{\rm p}$  values, which indicates that  $\sigma^{\rm ex}_{\rm CC}$  is different from  $\sigma_{\rm p}$  in nature.

#### APPLICATION OF $\sigma_{\mathsf{CC}}^{\mathsf{ex}}$

### Correlation of the UV absorption energy for some 1,4-disubstituted benzenes

Jiang and  $Ji^{[16]}$  investigated the correlation of the UV spectra of some 1,4-disubstituted benzenes with the polar substituent constants ( $\sigma^x$ ) and radical substituent constants ( $\sigma^x$ ), and they

**Table 8.** UV data,  $\lambda_{\text{max}}$  (nm) and  $\nu_{\text{max}}$  (cm<sup>-1</sup>) values of **8-Y**<sup>[18]</sup> Υ  $\lambda_{\mathsf{max}}$  $v_{\mathsf{max}}$ Н 60606 165 Me 57803 173 t-Bu 174 57471 CH=CH<sub>2</sub> 46 083 217 CH=0207 48 309 COCH<sub>3</sub> 219 45 662 COOH 193.9 51573 SMe 225 44 444

obtained the meaningful result 'that all the polar constants ( $\sigma^{\rm X}$ ) do not correlate the data'. Furthermore they proposed the single-parameter Eqn 5 and the dual-parameter Eqn 6 to correlate the UV data:

$$v_{\text{max}} = \rho \cdot \sigma \cdot + c \tag{5}$$

$$v_{\text{max}} = \rho^{\text{x}} \sigma^{\text{x}} + \rho^{\text{\cdot}} \sigma^{\text{\cdot}} + c \tag{6}$$

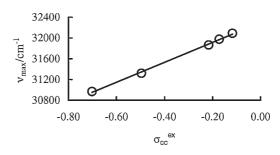
Jiang and Ji's work<sup>[16]</sup> was an important progress in the correlation analysis of UV data of aromatic compounds. To test the availability of  $\sigma_{CC}^{\rm ex}$  constants, the same model molecules, namely p-Y-substituted-phenylacetylenes (**1-Y**), p-Y-substituted-acetophenones (**2-Y**), ethyl 3-hydroxy-3-(p-Y-substituted-phenyl)-propanates (**3-Y**), and 1-(p-Y-substituted-phenyl)-3-butyn- 1-ols (**4-Y**), investigated by Jiang and Ji<sup>[16]</sup> were employed to carry out the correlation between their UV data (as shown in Table 2) and  $\sigma_{CC}^{\rm ex}$  constants.

Using the single parameter  $\sigma_{\text{CC}}^{\text{ex}}$  to correlate the wavenumbers of **1-Y, 2-Y, 3-Y**, and **4-Y**, we obtained the correlation Eqns 7–10, as shown in Table 3. The plots of  $\sigma_{\text{CC}}^{\text{ex}}$  against UV absorption energy of **1-Y, 2-Y, 3-Y**, and **4-Y** are shown in Fig. 2.

Jiang and  $Ji^{[16]}$  finished the correlation analysis of UV wavenumbers of compounds **1-Y**, **2-Y**, **3-Y**, and **4-Y** with Eqns 5 and 6. As comparison with the results obtained from the constants  $\sigma^{x}$  and  $\sigma$ , the results reported by Jiang<sup>[16]</sup> and obtained by this work are all listed in Table 4.

| Table 9. Corre  | Table 9. Correlation equations for 8-Y   |                   |                  |                       |                |   |          |  |  |  |
|---|--|-------------------|------------------|-----------------------|----------------|---|----------|--|--|--|
|   | $v_{\text{max}} = a + b \ \sigma_{\text{CC}}^{\text{ex}} \ (\text{or} \ \sigma_{\text{C}}^{\boldsymbol{\cdot}})$ |                   |                  |                       |                |   |          |  |  |  |
| $\sigma_{\rm CC}^{\rm ex}$ or $\sigma_{\rm C}^{\rm ex}$ | $a (\times 10^3)$  | $b (\times 10^3)$ | r                | s (×10 <sup>3</sup> ) | F              | n | Equation |  |  |  |
| $\sigma_{\rm CC}^{\rm ex}$ $\sigma_{\rm C}^{\cdot}$     | 59.91<br>60.37   | 11.61<br>-23.29   | 0.9923<br>0.9006 | 0.8480<br>2.979       | 385.7<br>25.74 | 8 | 16<br>17 |  |  |  |

| <b>Table 10.</b> UV data, $\lambda_{\rm max}$ (nm) and $\nu_{\rm max}$ (cm $^{-1}$ ) values of <b>9-Y</b> |                     |            |  |  |  |  |  |
|---|---------------------|------------|--|--|--|--|--|
| Υ   | $\lambda_{\sf max}$ | $ u_{max}$ |  |  |  |  |  |
| Me  | 312.73              | 31 976     |  |  |  |  |  |
| OMe   | 319.28              | 31 320     |  |  |  |  |  |
| Cl  | 313.83              | 31 864     |  |  |  |  |  |
| CF <sub>3</sub>   | 311.64              | 32 088     |  |  |  |  |  |
| CN  | 322.90              | 30 969     |  |  |  |  |  |



**Figure 4.** Plot of  $\sigma^{\rm ex}_{\rm CC}$  versus UV absorption energy (wavenumber:  $\nu_{\rm max}$  cm  $^{-1}$ ) of **9-Y** 

Table 4 shows that the equation with  $\sigma^{\rm ex}_{\rm CC}$  constant has the best correlation among those single-parameter correlations. For the 1-Ys and 2-Ys systems, the correlations of equations with the single-parameter ( $\sigma_{CC}^{ex}$ ) are even better than those of the corresponding dual-parameter ( $\sigma^{x}$  and  $\sigma^{*}$ ) equations. As regards the systems 3-Ys and 4-Ys, the correlations of equations with the single-parameter ( $\sigma_{CC}^{ex}$ ) also approximate to those of the corresponding dual-parameter ( $\sigma^{x}$  and  $\sigma^{*}$ ) equations. These results indicate that the excited-state substituent constant  $\sigma_{CC}^{\rm ex}$ has better capability than the radical substituent constants  $\sigma^{\bullet}$  to correlate the UV absorption energy of 1,4-disubstituted benzenes. It should be noted that the correlations of Eqns 9 and 10 are less than those of Eqns 7 and 8. Perhaps, we think, it is resulted from the difference of the conjugated system, that is, in the systems 1-Ys and 2-Ys, both of two substituents in the molecule are conjugated with the benzene ring, whereas only one of them is conjugated with the benzene ring in the systems 3-Ys and 4-Ys. According to Jiang's [16] suggestion, the dual-parameter equation should be employed to correlate the UV absorption spectra for the systems 3-Ys and 4-Ys. Here, two parameters  $\sigma_{\rm p}$  and  $\sigma_{\rm CC}^{\rm ex}$  were employed to correlate their UV data, and Eqns 11 and 12 were obtained (as shown in Table 5).

The correlations of Eqns 11 and 12 are much better than those of dual-parameter equations in Table 4 for **3-Y**s and **4-Y**s systems.

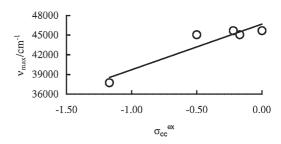
### Correlation of the UV absorption energy for some 4,4'-disubstituted stilbenes

Further, some 4,4'-disubstituted stilbenes were used as model molecules to test the application of  $\sigma_{\text{CC}}^{\text{ex}}$ , which are p-Y-substituted-p'-methylsulphonylstilbenes (**5-Y**), p-Y- substituted-stilbenes (**6-Y**), and p-Y-substituted-p'-methylstilbenes (**7-Y**). Here, the K-band  $\lambda_{\text{max}}$  ( $\nu_{\text{max}}$ ) values of UV absorption spectra of **5-Y**s were taken directly from Jiang's work. [16] The compounds **6-Y**s and **7-Y**s were prepared by author's research group and their K-band  $\lambda_{\text{max}}$  values of UV absorption spectra were measured, from which the corresponding wavenumber ( $\nu_{\text{max}}$ ) was calculated. The  $\lambda_{\text{max}}$  and  $\nu_{\text{max}}$  values of **5-Y**s, **6-Y**s, and **7-Y**s are all listed in Table 6.

Using the measured UV wavenumber ( $\nu_{\rm max}$ ) values of **5-Y**s, **6-Y**s, and **7-Y**s in Table 6, we carried out the correlations between their  $\nu_{\rm max}$  values and  $\sigma^{\rm ex}_{\rm CC}$  constants, and obtained Eqns 13–15, as shown in Table 7.

Jiang's<sup>[16]</sup> report shows that  $\sigma_{C}$  has the best correlation with  $\nu_{\text{max}}$  of **5-Y**s among those radical substituent constants. Thus,  $\sigma_{C}$  was employed to correlate with the  $\nu_{\text{max}}$  values of **6-Y**s and **7-Y**s in this work, and the obtained results are presented in Table 7 (see the values in parentheses). It can be seen from Table 7

| Table 11. Correlation equations for 9-Y                    |   |                   |                  |                   |               |        |          |  |  |  |
|--|---|-------------------|------------------|-------------------|---------------|--------|----------|--|--|--|
|  | $v_{\text{max}} = a + b \ \sigma_{\text{CC}}^{\text{ex}} \ (\text{or} \ \sigma_{\text{C}}^{\cdot})$ |                   |                  |                   |               |        |          |  |  |  |
| $\sigma_{\rm CC}^{\rm ex}$ or $\sigma_{\rm C}^{\bf \cdot}$ | $a (\times 10^3)$   | $b (\times 10^3)$ | r                | s (×10³)          | F             | n      | Equation |  |  |  |
| $\sigma_{CC}^{ex}$ $\sigma_{C}^{c}$                        | 32.30<br>32.23  | 1.918<br>2.787    | 0.9991<br>0.9625 | 0.02335<br>0.1500 | 1678<br>37.74 | 5<br>5 | 18<br>19 |  |  |  |



**Figure 5.** Plot of  $\sigma^{\rm ex}_{\rm CC}$  versus UV absorption energy (wavenumber:  $\nu_{\rm max}$  cm  $^{-1}$ ) of **10-Y** 

| Table 13. Correlation equations for 10-Y    |                                   |   |                  |                |                |        |          |  |  |
|---|-----------------------------------|---|------------------|----------------|----------------|--------|----------|--|--|
|   | $v_{\text{max}} = a + b \ \alpha$ | $\sigma_{\rm CC}^{\rm ex}$ (or $\sigma_{\rm C}^{\bf \cdot}$ ) |                  |                |                |        |          |  |  |
| $\sigma_{CC}^{ex}$ or $\sigma_{C}^{c}$      | $a (\times 10^3)$                 | b (×10 <sup>3</sup> )   | r                | s (×10³)       | F              | n      | Equation |  |  |
| $\sigma_{\rm CC}^{\rm ex}$ $\sigma_{\rm C}$ | 46.70<br>47.06                    | 6.977<br>14.54  | 0.9389<br>0.9243 | 1.360<br>1.507 | 22.31<br>17.60 | 5<br>5 | 20<br>21 |  |  |

that the correlation of  $\sigma_{\rm C}^{\rm ex}$  is somewhat better than that of  $\sigma_{\rm CC}^{\rm ex}$  for **5-Y**s, and is less than  $\sigma_{\rm CC}^{\rm ex}$  for **6-Y**s and **7-Y**s. The plots of  $\sigma_{\rm CC}^{\rm ex}$  against UV absorption energies of **5-Y**, **6-Y**, and **7-Y** are shown in Fig. 3 (see **a**, **b**, and **c**, respectively).

### Correlation of the UV absorption energy for some substituted ethenes

The above UV analysis shows that the  $\sigma_{\text{CC}}^{\text{ex}}$  constants can be used to correlate well with the UV absorption energy of p-Y-substituted aromatic compounds. What we want to know is whether it can be used to correlate with the UV absorption energy [18] of substituted ethenes  $\text{CH}_2$ —CH—Y (**8-Y**). Here, we used some substituted ethenes as model molecules, and carried out correlations between  $\sigma_{\text{CC}}^{\text{ex}}$  or  $\sigma_{\text{C}}$  and their UV wavenumbers ( $\nu_{\text{max}}$ , as shown in Table 8). The obtained equations are listed in Table 9. The plot of  $\sigma_{\text{CC}}^{\text{ex}}$  against the UV data of **9-Y** is shown in Fig. 3(d).

The results in Table 9 show that the correlation of  $\sigma_{\rm CC}^{\rm ex}$  is better than that of  $\sigma_{\rm C}$  constant.

### Correlation of the UV absorption energy for some m-Y-substituted aromatic compounds

It has been found that p-Y-substituted stilbenes have a good correlation with  $\sigma_{\text{CC}}^{\text{ex}}$ . How about meta-substituted stilbenes? To test the application of  $\sigma_{\text{CC}}^{\text{ex}}$  in meta-substituted stilbenes, some model molecules (3-methyl-4'-substituted stilbenes, **9-Y**) were prepared and their K-band  $\lambda_{\text{max}}$  values of UV absorption spectra were measured in this work. The measured UV data are listed in Table 10. Here,  $\sigma_{\text{CC}}^{\text{ex}}$  and  $\sigma_{\text{C}}$  constants were also employed to correlate with the UV data of Table 10, respectively. The obtained regression equations are presented in Table 11. It can be seen from Table 11 that the correlation of  $\sigma_{\text{CC}}^{\text{ex}}$  is better than that of  $\sigma_{\text{C}}$  constant. Figure 4 is the plot of  $\sigma_{\text{CC}}^{\text{ex}}$  against the UV data of 3-methyl-4'-substituted stilbenes (**9-Y**).

Another example is m-Y-substituted phenylmercuric chlorides m-YC<sub>6</sub>H<sub>4</sub>HgCl (**10-Y**). Chen  $et~al.^{[19]}$  prepared a set of **10-Y**s and measured their UV spectra. This work employed  $\sigma_{\rm CC}^{\rm ex}$  and  $\sigma_{\rm C}^{\rm ex}$ 

constants to correlate with the UV data of **10-Y** (as shown in Table 12). The obtained results are listed in Table 13, which shows a better correlation for  $\sigma_{\rm CC}^{\rm ex}$  than  $\sigma_{\rm C}$ . The plot of  $\sigma_{\rm CC}^{\rm ex}$  versus UV absorption energy of **10-Y** was obtained as shown in Fig. 5.

#### **CONCLUSION**

The above correlation analysis shows that the excited-state substituent constant  $\sigma_{\text{CC}}^{\text{ex}}$  is different from the polar substituent constants  $\sigma^{\text{x}}$  and radical substituent constants  $\sigma^{\text{t}}$ . The  $\sigma_{\text{CC}}^{\text{ex}}$  should be measured as the difference of ground state and excited state, and  $\sigma^{\text{x}}$  and  $\sigma^{\text{t}}$  are measured in the molecular ground state. The correlation result is better for the  $\sigma_{\text{CC}}^{\text{ex}}$  to be used to correlate with the UV absorption energy than the  $\sigma^{\text{x}}$  or  $\sigma^{\text{t}}$  to be used for the four kinds of systems, 1,4'-disubstituted benzenes, 4,4'-disubstituted stilbenes, substituted ethenes, and m-Y-substituted aromatic compounds. It is expected that the excited-state substituent constant  $\sigma_{\text{CC}}^{\text{ex}}$  can be applied in QSPR study on organic compounds at the excited state. In addition, the  $\sigma_{\text{CC}}^{\text{ex}}$  constant is easy to be measured. Therefore we recommend using the  $\sigma_{\text{CC}}^{\text{ex}}$  constant to scale the substituent effect in the molecular excited state.

#### **Acknowledgements**

The project was supported by the National Natural Science Foundation of China (no. 20772028, 20472019), the Natural Science Foundation of Hunan (no. 06JJ2002), and the Scientific Research Fund of Hunan Provincial Education Commission.

#### **REFERENCES**

- [1] L. P. Hammett, J. Am. Chem. Soc. 1937, 59, 96-103.
- [2] C. Hansch, A. Leo, R. W. Taft, Chem. Rev. 1991, 91, 165–195.
- [3] R. W. Taft, R. D. Topsom, *Prog. Phys. Org. Chem.* **1987**, *16*, 1–83.
- [4] R. D. Topsom, Prog. Phys. Org. Chem. 1987, 16, 125–191.

- [5] A. Cherkasov, M. Jonsson, J. Chem. Inf. Comput. Sci. 1998, 38, 1151–1156.
- [6] C. Cao, Z. Li, J. Chem. Inf. Comput. Sci. 1998, 38, 1-7.
- [7] X. Creary, Acc. Chem. Res. 2006, 39, 761–771.
- [8] T. H. Fisher, A. W. Meierhoefer, J. Org. Chem. 1978, 43, 224–228.
- [9] S. Dinçürk, R. A. Jackson, M. J. Townson, J. Chem. Soc., Chem. Commun. 1979, 172–174.
- [10] X. K. Jiang, G. Z. Ji, J. Org. Chem. 1992, 57, 6051-6056.
- [11] J. M. Dust, D. R. Arnold, J. Am. Chem. Soc. 1983, 105, 1221–1227.
- [12] X. Creary, J. Org. Chem. 1978, 43, 1777-1783.
- [13] W. Adam, H. M. Harrer, H. G. Korth, W. M. Nau, *J. Org. Chem.* **1997**, *62*, 1419–1426.
- [14] E. Hasler, A. Hormann, G. Persy, H. Platsch, J. Wirz, J. Am. Chem. Soc. 1993, 115, 5400–5409.
- [15] X. K. Jiang, G. Z. Ji, D. Z. Wang, J. Phys. Org. Chem. 1995, 8, 143–148.
- [16] X. K. Jiang, G. Z. Ji, D. Z. Wang, J. R. Xie, J. Phys. Org. Chem. 1995, 8, 781–790.
- [17] S. Li, Acta Chimica Sinica (in Chinese) 1980, 38, 37–49.
- [18] M. Q. Jiang, Homologous Linear Regularities for Homologous Organic Compound, Science Press, Beijing, 1980, pp. 366, 368, 371, 395, 418, 425, 450 (in Chinese).
- [19] Z. D. Chen, Y. J. Wu, X. P. Zhang, Acta Chimica Sinica (in Chinese) 1983, 41, 57–66.