

# BID-induced structural changes in BAK promote apoptosis

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The BCL-2-family protein BAK is responsible for mitochondrial outer-membrane permeabilization (MOMP), which leads to apoptosis. The BCL-2 homology 3 (BH3)-only protein BID activates BAK to perform this function. We report the NMR solution structure of the human BID BH3–BAK complex, which identified the activation site at the canonical BH3-binding groove of BAK. Mutating the BAK BH1 in the groove prevented activation and MOMP but not the binding of BID. BAK BH3 mutations allowed BID binding and activation but blunted function by blocking BAK oligomerization. BAK activation follows a ‘hit-and-run’ mechanism whereby BID dissociates from the trigger site, which allows BAK oligomerization at an overlapping interface. In contrast, the BH3-only proteins NOXA and BAD are predicted to clash with the trigger site and are not activators of BAK. These findings provide insights into the early stages of BAK activation.

The interaction of pro- and antiapoptotic BCL-2 proteins determines MOMP as a critical decision point in the mitochondrial pathway of apoptosis<sup>1</sup>. If MOMP occurs, proteins of the mitochondrial inter-membrane space, including cytochrome *c* (cyt *c*), trigger caspase activation and apoptosis<sup>2</sup>. Even if caspase activity is blocked or disrupted, the cell usually succumbs to bioenergetic catastrophe as a consequence of MOMP<sup>3</sup>. Therefore, the control of MOMP by BCL-2 proteins is central to the understanding of this major pathway of cell death.

During MOMP, the proapoptotic BCL-2 effector proteins BAK and BAX are responsible for the permeabilization event<sup>4</sup>, and without these neither MOMP nor the engagement of the mitochondrial pathway of apoptosis occurs<sup>5,6</sup>. BAK and BAX reside in cells in inactive forms and upon activation oligomerize and insert into the membrane, causing permeabilization and thus apoptosis. One step in this oligomerization appears to involve the exposure of one of the BH domains, BH3, which then binds the canonical hydrophobic groove of another BAK or BAX molecule<sup>7,8</sup>. This groove, present in the antiapoptotic and proapoptotic effector BCL-2 proteins, binds BH3 and often the C-terminal helix of the protein and is called the BC groove<sup>9</sup>. Antiapoptotic BCL-2 proteins, such as BCL-2, BCL-xL and MCL-1, can bind the BH3 of active BAK or BAX, and this is one way in which they block MOMP and apoptosis<sup>9,10</sup>.

Proapoptotic effector and antiapoptotic BCL-2 proteins are regulated by a subfamily of proteins that share only the BH3 region (‘BH3-only proteins’)<sup>11</sup>. Some of these, particularly BID and BIM, are capable of activating BAK and BAX<sup>12,13</sup> and are ‘direct activators’<sup>14</sup>. All of the BH3-only proteins bind BCL-2, BCL-xL or MCL-1 (ref. 9), but the sequestration of BID and BIM is another way in which antiapoptotic BCL-2 proteins block apoptosis<sup>9,10</sup>.

Little is known regarding how BID and BIM trigger the activation of BAK and BAX. Peptides corresponding to the BH3 regions can be modified by internal cross-links to form BH3 stabilized  $\alpha$ -helices of BCL-2-family proteins (SAHB)<sup>15</sup>, and these show enhanced binding to and activation of proapoptotic effectors<sup>16,17</sup>. A BIM or BAX SAHB can bind a ‘trigger site’ in BAX that is topologically opposite the BC groove, which suggests that this interaction causes the downstream conformational changes that displace the BAX C-terminal helix from the BC groove, promoting MOMP<sup>16,17</sup>.

To gain insights into the direct activation of BAK during apoptosis, here we characterize the structure of a recombinant, soluble BAK<sup>18</sup> bound by its BC groove to a BH3 SAHB of BID and describe conformational changes that accompany this binding.

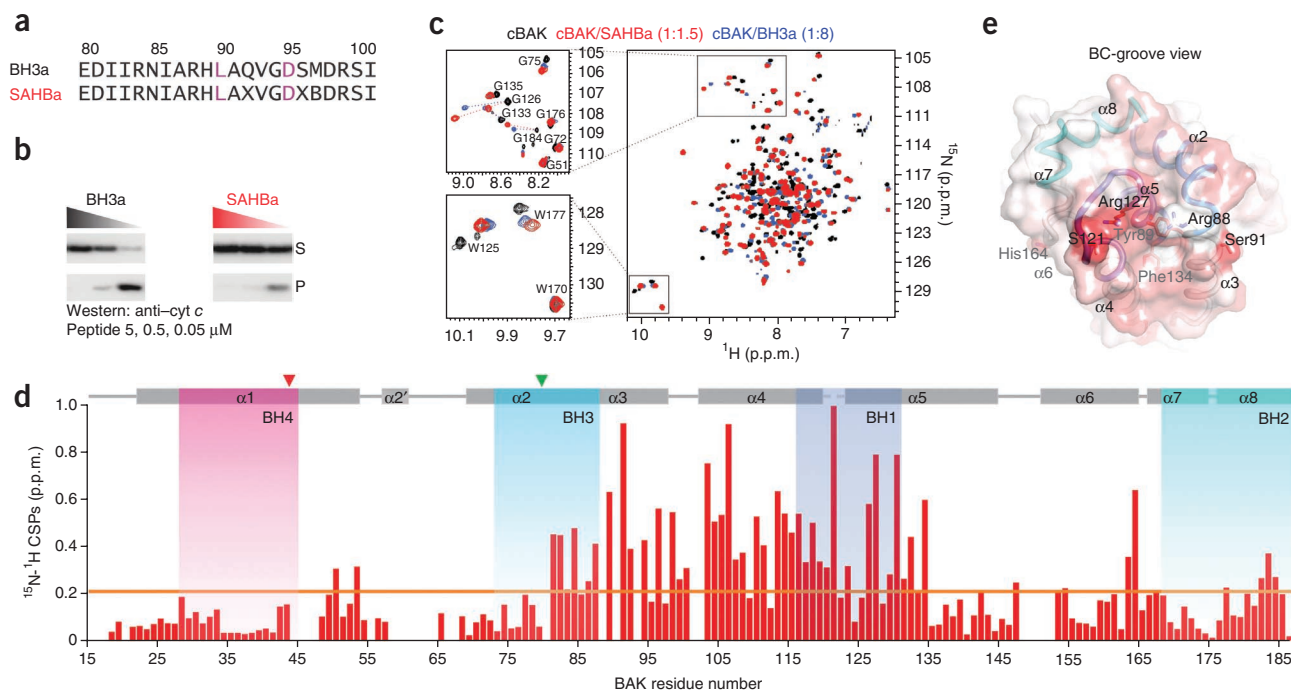
## RESULTS

### BID binding at the BC groove of BAK

To characterize the BID SAHB–BAK interaction, we used a truncated, soluble human recombinant BAK (cBAK, residues 15–186)<sup>18</sup>. For the SAHB of BID BH3, we positioned the stabilizing bridge at sites along the hydrophilic side of the amphipathic BID BH3 helix to minimally interfere with the effector-binding interface (Fig. 1a, Supplementary Fig. 1a and Supplementary Table 1)<sup>15,19,20</sup>. Unlike conventional BH3 peptides, BID SAHBs are helical, as indicated by CD (Supplementary Fig. 1b) and as described<sup>15</sup>. Positioning the ‘staple’ within the C-terminal half of BID BH3 (SAHBa) enhanced BAK binding by approximately ten-fold over that of the unstapled counterpart, producing a 1:1 complex, as assessed by sedimentation velocity analytical ultracentrifugation (AUC) analysis (Supplementary Tables 1 and 2 and Fig. 1a). The addition of SAHBa to enriched mitochondria induced the release of cyt *c* in a BAK-dependent manner

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**Figure 1** BID BH3 binds the BC groove to directly activate BAK. **(a)** Unstapled and stapled BID peptides. The stabilizing peptide chemical bridge involves the positions indicated by X occupied by pentenylalanines. B is occupied by norleucine. Conserved BH3 residues are colored. **(b)** MOMP assays measuring cyt c release from purified C57BL/6 mouse liver mitochondria after 45-min incubations with the peptides at 5, 0.5 and 0.05  $\mu\text{M}$ . Cyt c in the supernatant (S) and pellet (P) assessed by western blotting is shown. **(c)**  $^{15}\text{N}$ - $^1\text{H}$  TROSY spectra of 150  $\mu\text{M}$   $^{15}\text{N}$ -cBAK  $\pm$  8- or 1.5-fold excess BH3a or SAHBa, respectively. **(d)**  $^{15}\text{N}$ - $^1\text{H}$  chemical-shift perturbations (CSPs) plotted for the SAHBa-cBAK complex in **c** as a function of BAK residue number. Residues with significant CSPs above the calculated threshold (orange line) are located at the BC groove of BAK. **(e)**  $^{15}\text{N}$ - $^1\text{H}$  CSPs mapped onto the 'closed' apo-cBAK structure (PDB 2IMT) shown as surface representation. Minimum to maximum CSPs are color coded from white to red. Select side chains of residues within and peripheral to the BC groove are illustrated to help identify the CSPs. (Additional data are in **Supplementary Fig. 1**).

(Fig. 1b and **Supplementary Fig. 1c**; wild-type mitochondria contain BAK but not BAX). The TROSY spectra of  $^{15}\text{N}$ -cBAK in complex with SAHBa or its unstapled counterpart were very similar, which showed that the bridge did not induce significant changes in cBAK conformation but instead improved the affinity of the interaction (Fig. 1c and **Supplementary Fig. 1d,e**).

We characterized the SAHBa-cBAK complex in solution by NMR spectroscopy. A comparison of chemical-shift values for apo-cBAK<sup>18</sup> and those for the SAHBa-cBAK complex suggested that SAHBa bound within the BC groove of BAK (Fig. 1d,e). Additional SAHBa binding-induced chemical-shift perturbations mapped to residues on either side of the BC groove in helices  $\alpha 1$  and  $\alpha 6$  that did not contact the peptide, probably as a result of changes in the chemical environment as the groove opened to accommodate the incoming SAHBa (Fig. 1c-e). These observations were confirmed through determination of the solution structure of  $^{13}\text{C}$  and  $^{15}\text{N}$  double-labeled cBAK bound to unlabeled SAHBa. An ensemble of the 20 lowest-energy structures exhibited a backbone-atom r.m.s. deviation of 0.53 Å (Table 1 and **Supplementary Fig. 2a,b**). The lowest-energy conformer is used to represent the 3D structure of the SAHBa-cBAK complex in all the figures.

### BID induces opening of the occluded BC groove of BAK

The SAHBa peptide adopts a conformation with six helical turns upon binding within an opened BC groove on cBAK (Fig. 2 and **Supplementary Fig. 2**). The SAHBa peptide binds the BC groove in an orientation seen previously in structures of complexes between BH3 peptides and antiapoptotic BCL-2 proteins<sup>9</sup>. In all of these

complexes, the hydrophobic faces of the amphipathic BH3 helices bind within the BC groove (Fig. 2a,c and **Supplementary Fig. 2e,f**). In the SAHBa-cBAK complex, this allowed the bridge-containing hydrophilic residues of SAHBa to face the solvent and limit contact with residues of the BC groove in cBAK (**Supplementary Fig. 2b**). Further, the loop between BAK helix  $\alpha 1$  and  $\alpha 2$  exhibited a disordered conformation compared with that of the BAK crystal structure<sup>18</sup>, although other studies have suggested similar disorder of this loop in apo-BAK (**Supplementary Fig. 2a**)<sup>21,22</sup>.

The SAHBa-cBAK structure displays interactions for the highly conserved Leu90 and Asp95 of BID with a hydrophobic-groove pocket and a charged patch in the BH1 of BAK, respectively (Fig. 2a and **Supplementary Fig. 2c,g**). The side chain of Tyr89 at the end of BAK BH3, positioned centrally to occlude the BC groove in the apo-BAK structure (Fig. 2d)<sup>18</sup>, is displaced by SAHBa, which, in the complex, positions four hydrophobic residues deeply and centrally within the groove: Ile86, Leu90, Val93 and Nle97 (Fig. 2c). On the opposite side of the groove from Tyr89, BAK BH1 Arg127 and Asn124 form hydrogen bonds with Asp95 and Asp98 of BID, respectively (additional details of SAHBa-cBAK BC-groove binding are in **Supplementary Fig. 2** and **Supplementary Movies 1** and **2**). The SAHBa-cBAK structure suggests that BID binding to the occluded BC groove is a primary event during BAK activation, thus producing a stable conformer in membrane-free environments.

### BID-induced collapse of BAK N-terminal bundle in CHAPS

To study active BAK in cells undergoing apoptosis, we previously used an assay in which conformational changes alter its sensitivity

**Table 1** NMR and refinement statistics

	cBAK (SAHBa)
<b>NMR distance and dihedral constraints</b>	
Distance constraints	
Total NOE	2,702 (324)
Intra-residue	992
Inter-residue	
Sequential ( $ i - j  = 1$ )	632
Medium-range ( $ i - j  < 4$ )	511
Long-range ( $ i - j  > 5$ )	567
Intermolecular	162
Hydrogen bonds	126 (14)
Total dihedral angle restraints	218 (24)
$\phi$	109 (12)
$\psi$	109 (12)
<b>Structure statistics</b>	
Violations (mean $\pm$ s.d.)	
Distance constraints ( $\text{\AA}$ )	$0.015 \pm 0.002$
Dihedral angle constraints ( $^\circ$ )	$0.352 \pm 0.114$
Max. dihedral angle violation ( $^\circ$ )	$0.632 \pm 0.255$
Max. distance constraint violation ( $\text{\AA}$ )	$0.019 \pm 0.160$
Deviations from idealized geometry	
Bond lengths ( $\text{\AA}$ )	0.021
Bond angles ( $^\circ$ )	0.223
Impropers ( $^\circ$ )	1.73
Average pairwise r.m.s. deviation ( $\text{\AA}$ ) <sup>a</sup>	
Heavy	$0.92 \pm 0.08$
Backbone	$0.53 \pm 0.10$

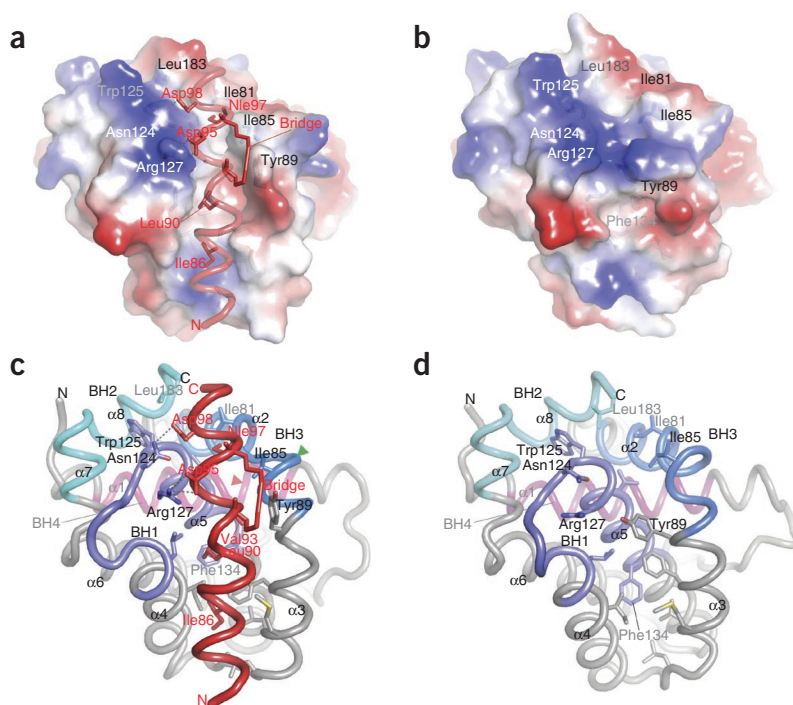
<sup>a</sup>Pairwise backbone and heavy-atom r.m.s. deviations were obtained by superimposing residues 23–45 and 65–187 of BAK and 80–101 of SAHBa peptide among 20 lowest-energy refined structures.

to calpain proteolysis<sup>10</sup>. In the absence of apoptotic stimulation, native cellular BAK is fully resistant to calpain. Upon activation, BAK becomes susceptible to proteolysis at two positions, at the end of the BH4 and within the BH3 region, thus producing two stable fragments that stretch to the C terminus (Fig. 3a). Nonionic detergents induce the active conformation of BAK in the absence of direct activators, which manifests as sensitivity to calpain and the appearance of two stable fragments<sup>10</sup>. The small-micelle detergent CHAPS did not induce this conformational change<sup>10</sup>, and we therefore probed the conformation of the BID–cBAK complexes in CHAPS (Fig. 3 and Supplementary Fig. 3). In the absence

of detergent, the BID–cBAK complexes, including SAHBa–cBAK, were fully resistant to proteolysis by calpain (Supplementary Fig. 3a). In contrast, in the presence of CHAPS the BH3a–cBAK and SAHBa–cBAK complexes were susceptible to proteolysis by calpain (Supplementary Fig. 3a,b).

Using this approach, we analyzed structure-activity relationships for BID. The opening of the BC groove of cBAK to accommodate SAHBa suggests that the hydrophobic face of the BID BH3 helix is important for BAK activation. We performed extensive mutagenesis of BID BH3 in the context of active, full-length, cleaved recombinant BID, referred to as NC BID (Fig. 3b,d; BID is activated through proteolysis within its disordered loop between helix  $\alpha 1$  and the BH3-containing helix  $\alpha 2$ )<sup>23</sup> and SAHBa (Supplementary Fig. 3c,f and Supplementary Table 1). Dimethyl sulfoxide or SAHB (5  $\mu\text{M}$ ) alone did not permeabilize BAK-knockout mitochondria. BID BH3 hydrophobic-residue replacements by alanine or glycine, including I86A, L90A and M97G, resulted in impaired BAK activation and increased the minimal BID concentration required for BAK-mediated MOMP by almost two orders of magnitude (Fig. 3b,d and Supplementary Fig. 3c,f). Equally potent in disrupting BID function was the A91W substitution on the hydrophilic face of BID BH3, probably acting through clashes of the large tryptophan side chain with the BH1 of BAK. The combined double substitutions I86A L90A and I86A A91W showed an additive effect on BAK-mediated MOMP and active BAK conformation, further disabling BID activation of BAK (Fig. 3b,d and Supplementary Fig. 3c,f). In contrast, the D98G substitution on the hydrophilic side of the BID BH3, predicted to interact with a charged patch in the BH1 of BAK, affected function less dramatically than did the hydrophobic-residue substitutions (Fig. 3b,d and Supplementary Fig. 3c,f).

We tested deletions of residues at the N terminus and C-terminal extension in the BID SAHB (Fig. 3c,d and Supplementary Table 1). N-terminal deletion of four and eight residues sequentially diminished the ability of SAHB to activate BAK (Fig. 3c,d). Accordingly, deletion of the first four residues ablated BAK binding, as measured by NMR, whereas weak binding and activity were detectable by



**Figure 2** BID induces opening of the occluded BC groove in BAK. (a–d) High-resolution NMR structure for a complex between BAK and a direct-activator BH3 peptide in surface-charge, cartoon and mixed representations. SAHBa–cBAK (a,c) and apo cBAK (b,d; 2IMT) are shown. Images were produced in PyMol (<http://www.pymol.org/>). Calpain-susceptible sites exposed upon BAK activation in the presence of membranes or detergents are shown in c. Red and green arrowheads represent the large and small calpain-resistant fragments, respectively. (Additional data are in Supplementary Fig. 2).





## Structural basis for a hit-and-run activation mechanism

these complexes is illustrated in **Fig. 4a,b**). We also produced an I101C SAHBq adduct to cBAK Cys166 with ~20% efficiency. This ‘trap’ site, on the face opposite the BC groove, was expected to allow free motion of the SAHB about the disulfide bond without perturbing the access to the BC groove (**Fig. 4a**). The oxidized SAHBq–cBAK complexes migrated more slowly than their reduced counterparts during SDS PAGE (**Fig. 4d**).

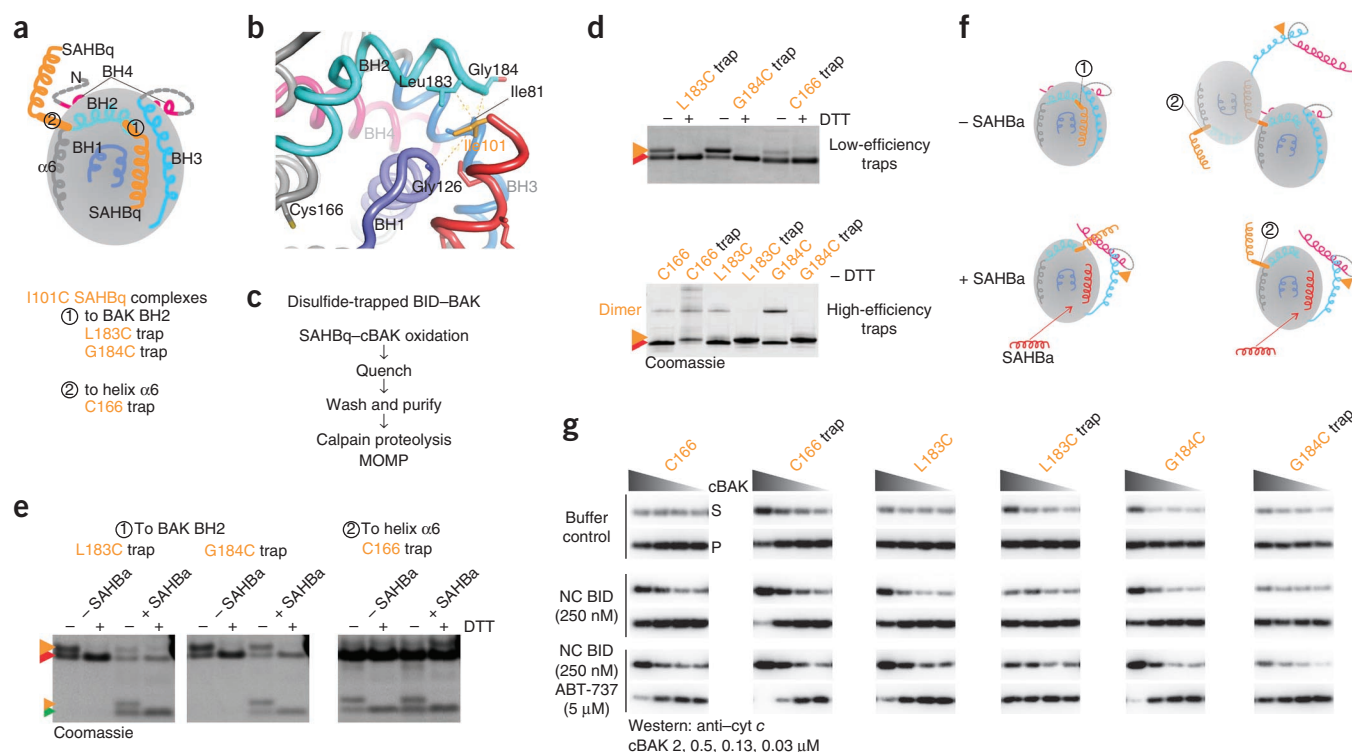
We tested calpain sensitivity of oxidized SAHBq-cBAK complexes in CHAPS, with or without excess SAHBa, to assess their activation conformation. The disulfide-bonded SAHBq-cBAK BH2 adducts, but not the Cys166-targeted adduct, were completely resistant to calpain proteolysis (**Fig. 4e,f**). Adding excess SAHBa activated both of the SAHBq-cBAK BH2 complexes (**Fig. 4e,f**). These results suggested that SAHBq-cBAK BH2 complexes potentially trapped SAHBq at the BC groove, preventing the conformational change associated with calpain sensitivity, whereas the Cys166-targeted complex activated BAK in *trans*, which supported the hit-and-run model. The SAHBq-cBAK BH2 complexes required excess SAHBa to partially displace the trapped SAHBq by competition at the BC groove, as seen in the SAHBa-cBAK structure. This is probably facilitated by the ability of the BAK BH2 to undergo the conformational changes associated with activation and move away from the BH3 (**Fig. 4f**).

**Figure 3** Molecular determinants for BAK direct activation extend throughout BID BH3.

(a) Calpain-susceptible sites exposed during BAK activation. Red and green arrowheads represent the large and small calpain-resistant fragments, respectively. (b) MOMP assays in mitochondria (left) and calpain-sensitivity assays using 1.75  $\mu\text{M}$  cBAK (right), performed as in **Figure 1b** and **Supplementary Figure 3b**, respectively, with the indicated purified NC BID as direct activator. FL, full-length cBAK; WT, wild type. (c) MOMP (left) and protease-sensitivity assays (right), performed as in **b** with the indicated SAHB as direct activator. (d) Cyt *c* release calculated from densitometric integration of bands from the MOMP images in **b** and **c**, represented as histograms. The MOMP and protease-sensitivity assays were performed at the same time for all BID ligands. Representative profiles were extracted from larger images. (Additional data are in **Supplementary Fig. 3**).

cysteines in the BH2 region, proximal to BID Ile101, and produced them in BAK containing serine substitutions at the naturally occurring cysteines (C14S C166S; **Fig. 4a,b** and **Supplementary Fig. 4a,b**).

The efficiency of cross-linking to a BID SAHB containing the I101C substitution (SAHBq; **Fig. 4c,d**, **Supplementary Fig. 4c** and **Supplementary Table 1**) was proportional to the amount of SAHB. At a 2.5-fold molar excess of SAHBq to cBAK (20  $\mu$ M), L183C and G184C (in cBAK) cross-linked to I101C in SAHBq with ~40–80% efficiency upon oxidation with copper-1, 10-phenanthroline (Cu-Phe) (**Fig. 4d**; the orientation of SAHBq at the BC groove in



**Figure 4** Activator-effector complexes support a hit-and-run activation mechanism. **(a)** Schematic representation of the SAHBq-BAK complexes highlighting the engineered disulfide traps between I101C SAHBq and the BH2 ① or helix  $\alpha 6$  ② of cBAK. For BH2 mutants of BAK, Cys14 and Cys166 were replaced by serine. **(b)** Cartoon representation of the contacts Ile101 of BID makes at the BC groove of BAK. **(c)** Protocol flowchart for the production and analysis of SAHBq-cBAK complexes. **(d)** SDS-PAGE showing that oxidized SAHBq-cBAK complexes (orange arrowheads) migrate more slowly than their reduced counterparts (red arrowheads). DTT, dithiothreitol. **(e)** Protease-sensitivity assays performed at 24 °C in 50 mM HEPES, pH 7.5, 1  $\mu$ M m-calpain, 0.5 mM CaCl<sub>2</sub>, 1% CHAPS and the oxidized complexes in **d**  $\pm$  50  $\mu$ M SAHBa in the absence of reducing agent. After 80 min, the reaction was quenched in SDS sample buffer  $\pm$  reducing agent. **(f)** Schematic representation of conformational changes and protease susceptibility are illustrated for each condition. **(g)** MOMP assays of cyt *c* release from purified BAK-knockout mouse liver mitochondria after 1-h incubations of the proteins at bottom of **d** in the absence or presence of NC BID  $\pm$  ABT-737. Cyt *c* in the supernatant (S) and pellet (P) assessed by western blotting is shown. The MOMP and protease-sensitivity assays were performed at the same time for all BID-BAK complexes. Representative profiles were extracted from larger images. (Additional data are in **Supplementary Fig. 4**).

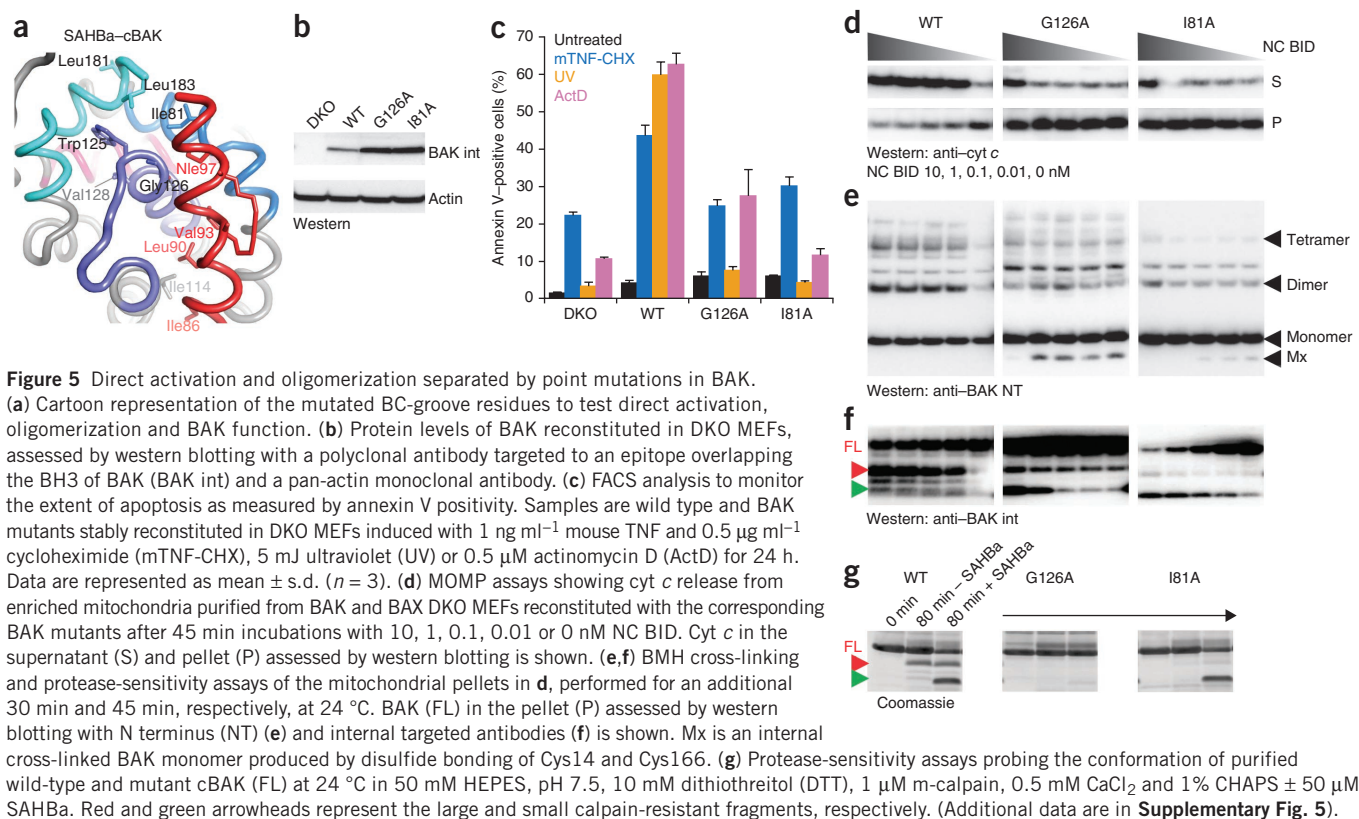
L183C and G184C cBAK of >95% and to Cys166 cBAK of ~50% (**Supplementary Fig. 4c**). The efficiently oxidized SAHBq-cBAK complexes were tested by gel-filtration chromatography to assess oligomerization. The L183C and G184C SAHBq-cBAK traps were monomeric and remained so even when a four-fold excess of SAHBa was supplemented (**Supplementary Fig. 4d,e**). In contrast, the Cys166 trap displayed a monomeric-to-oligomeric chromatography profile, eluting as species of ~22 kDa to >670 kDa. To probe the accessibility of SAHBq in the L183C and G184C traps, we applied the gel-filtration samples to an Ni<sup>2+</sup>-NTA agarose affinity column preloaded with BCL-xL containing a C-terminal hexahistidine (His<sub>6</sub>) tag (**Supplementary Fig. 4f**). Whereas the Cys166 trap was largely retained by the BCL-xL affinity column, both BH2-trapped proteins were not (**Supplementary Fig. 4f**). This supports the notion that neither the SAHBq nor the BAK BH3 were accessible to BCL-xL in the L183C and G184C traps.

We next tested the activity of purified SAHBq-cBAK traps in MOMP assays. We originally showed that cBAK displays synergism with NC BID during MOMP of mitochondria from BAK-knockout mouse embryonic fibroblasts (MEFs), albeit in a narrow range of concentrations (5–0.5  $\mu$ M cBAK), probably owing to poor membrane targeting in the absence of the transmembrane region<sup>18</sup>. To accurately assess the activity of the SAHBq-cBAK traps in MOMP assays, we aimed to produce traps devoid of contaminating cBAK species. The Cys166-trap preparations consistently co-purified with contaminating

Cys166-Cys166 cBAK dimer (**Fig. 4d**). It has therefore remained impossible to accurately assess activity of the Cys166 trap, although it consistently displayed more activity than Cys166 cBAK (**Fig. 4g**, comparison of C166 and C166 trap). The L183C and G184C traps that flowed through BCL-xL affinity columns provided largely homogeneous preparations (**Fig. 4d** and **Supplementary Fig. 4f**). Consistent with data presented in **Figure 4e**, the BH2-tethered SAHBq traps were inactive in effecting MOMP in response to NC BID, whereas at similar concentrations the untethered L183C and G184C cBAK showed marked release of cyt *c* (**Fig. 4g** and **Supplementary Fig. 4h**). Moreover, addition of the BCL-2 and BCL-xL antagonist ABT-737 (ref. 27) failed to enhance the activity of the BH2-tethered traps but augmented that of the untethered cBAK mutants (**Fig. 4g**), thus excluding the possibility that contaminating BCL-xL may have prevented their activation. Our structure-based disulfide-bonded SAHBq-cBAK traps support the hit-and-run BAK activation mechanism, which relies on transient engagement of the BC groove by BID.

#### BAK activation resolved by structure-guided mutagenesis

We generated structure-guided mutants of BAK and examined direct activation, oligomerization and cell death (**Fig. 5a,b**). To test function in a cellular system, using a variety of apoptotic stimuli, we stably expressed BAK mutants in BAK and BAX double-knockout (DKO) MEFs (**Fig. 5b,c**). Consistent with other studies<sup>7,28</sup>, mutation of the



BH1 residue Gly126 to alanine rendered BAK inactive for apoptosis (Fig. 5c and **Supplementary Fig. 5**), cyt c release (Fig. 5d) and oligomerization (Fig. 5e). The G126A mutant was expressed at higher levels in DKO MEFs than in wild type and displayed reduced protease sensitivity in enriched mitochondria activated by NC BID (Fig. 5b,f). Similarly, G126A substitution prevented the expected conformational change in recombinant cBAK as assessed by SAHba-induced protease sensitivity in CHAPS (Fig. 5g). Although the affinity of the BID-BAK interaction is an important determinant for BAK direct activation, BID binding to the G126A cBAK, as detected by various methods including AUC and NMR (**Supplementary Table 1**), was not sufficient to induce the specific conformational changes seen for SAHba-wild-type cBAK detected by NMR and the protease-sensitivity assay.

In contrast, although an I81A mutation in the BH3 of BAK impaired BAK oligomerization (Fig. 5e) and apoptosis in reconstituted DKO MEFs (Fig. 5c and **Supplementary Fig. 5**), it showed potent NC BID- and SAHba-induced conformational changes, as assessed by protease sensitivity (Fig. 5f,g). Although Ile81 of BAK makes direct contact with Ile101 of BID, it appears to be dispensable for BID-induced activation of BAK, as it does not contribute significantly to binding or the conformational changes detected by protease sensitivity in CHAPS (**Supplementary Table 1** and Fig. 5f,g). We suggest that upon activation, I81A BAK exposes its BH3 (ref. 10) but may fail to engage in oligomerization mediated by BH3-BC groove interaction<sup>7</sup>, although a structural basis for this is not provided in our study.

The lack of apoptotic activity of both G126A and I81A correlated with their poor ability to mediate MOMP in enriched mitochondria from DKO MEFs reconstituted with the respective mutants (Fig. 5d). It is notable that wild-type BAK, purified with native mitochondrial membranes from reconstituted DKO MEFs, showed less accumulation

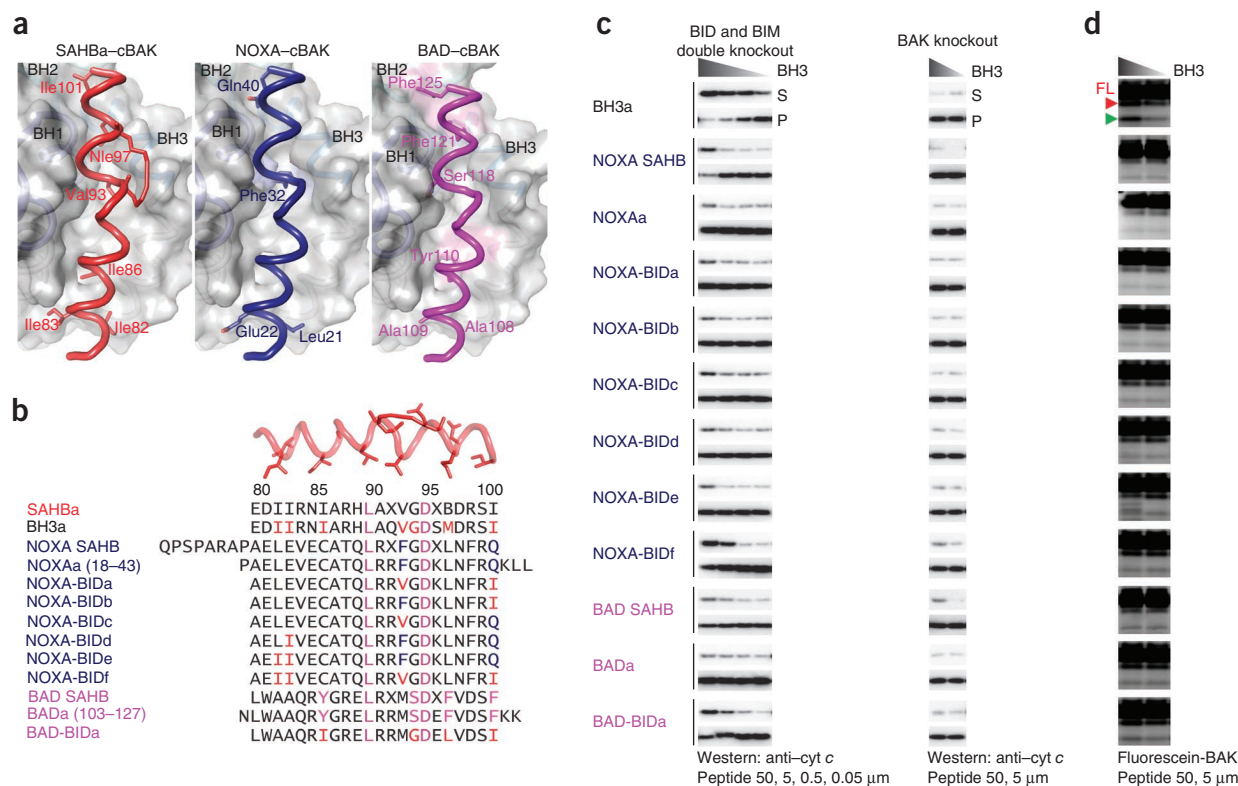
of the smaller calpain-resistant fragment upon activation with NC BID than did the I81A mutant (Fig. 5f). This is a fragment produced by proteolysis within the BH3. In contrast, upon NC BID activation, wild-type BAK mostly accumulated the larger BH3-containing calpain-resistant fragment, possibly because the BH3 was efficiently buried and therefore protected in the oligomer, consistent with the postulated BH3-BC groove oligomerization interface<sup>7</sup>. The decreased oligomerization in the I81A mutant correlated with a higher abundance of the smaller fragment upon proteolysis, which suggests that this region remained exposed in the activated mutant molecule.

Our testing of these and other BAK mutants reconstituted in DKO MEFs, using a diverse array of apoptotic conditions, including tumor necrosis factor-cycloheximide, ultraviolet and actinomycin-D treatments (Fig. 5a-c and **Supplementary Fig. 5**), suggested that BAK-mediated apoptosis followed a similar activation route regardless of the specific activation mechanisms engaged. Receptor-mediated apoptosis activated BID to engage the mitochondrial pathway<sup>29</sup>, but the other apoptotic stimuli tested did not, relying instead on additional direct-activator BH3-only proteins such as BIM, which therefore are likely to trigger BAK activation by also engaging the BC groove<sup>3</sup>.

#### NOXA and BAD are not direct BAK activators

A long-standing issue in the BCL-2 family's regulation of MOMP has been the unpredictable specificity of BH3-only direct activators for the effectors BAK and BAX. To date, BID and BIM have remained the two most potent direct activators of both BAK and BAX<sup>9,25</sup>, although reports have potentially implicated PUMA<sup>30,31</sup> and NOXA<sup>28,32</sup> as direct activators of the effectors. Our structural analysis provided an opportunity to test the direct-activation function on the basis of the specificity of BID BH3 for the trigger site in BAK. After mapping the BH3 region of BAD and NOXA over that





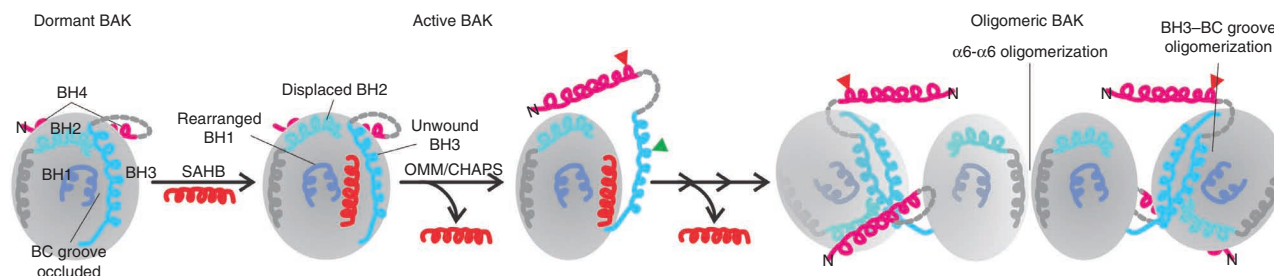
**Figure 6** NOXA and BAD are not direct activators of BAK. **(a)** Human NOXA (blue) and human BAD BH3 (magenta) modeled over BID (red) in the SAHBa-cBAK complex. The BC grooves of BAK found within 2 Å of NOXA and BAD BH3 are colored light blue and pink, respectively. **(b)** BH3 peptide alignment. The NOXAa and BADa BH3 peptides were previously shown to be inactive at inducing MOMP in digitonin-permeabilized BID and BIM double-knockout MEFs<sup>32</sup>. **(c)** MOMP assays showing cyt *c* release from purified BID and BIM double-knockout (left) and BAK-knockout (right) mouse liver mitochondria after 1-h incubations with peptide. Cyt *c* in the supernatant (S) and pellet (P) assessed by western blotting is shown. **(d)** Protease-sensitivity assays with the respective peptides, performed as in **Supplementary Figure 3b**. Red and green arrowheads represent the large and small calpain-resistant fragments, respectively. The MOMP and protease-sensitivity assays were performed at the same time for all BH3-BAK complexes, with the exception of NOXA SAHB and BAD SAHB complexes, which were performed at the same time with the peptides in **Supplementary Figure 6**. Representative profiles were extracted from larger images. (Additional data are in **Supplementary Fig. 6**).

of BID in the SAHBa-cBAK complex, we predicted that BAD and NOXA BH3 would produce significant clashes with the BC groove of BAK (**Fig. 6a**). The BAD BH3 would project four residues corresponding to I86(W), G94(S), M97(F) and I101(F) within 2 Å of BC-groove residues in BAK; the first three N-terminal residues represent the minimal BH3 core required for potent direct activation by BID (**Fig. 6a,b**). Similarly, NOXA BH3 would project two residues within 2 Å of the BC groove, corresponding to V93(F) and I101(Q), the latter representing a hydrophobic-to-polar substitution (**Fig. 6a,b**). We therefore tested BAD and NOXA BH3 peptides in MOMP assays using enriched mitochondrial fractions from BID and BIM double-knockout liver (**Fig. 6** and **Supplementary Fig. 6**). In contrast to BID BH3a, NOXAa and BADa peptides were largely inactive, even at 50 μM (**Fig. 6c**). Furthermore, we found that NOXAa and BADa peptides were unable to activate cBAK in the protease-sensitivity assays (**Fig. 6d**). Moreover, the stapled NOXA SAHB and BAD SAHB were unable to markedly activate BAK, although NOXA SAHB induced weak BAK-mediated MOMP at the highest concentration tested (**Fig. 6b,c**). In other studies, membrane disruption by NOXA BH3 peptide was observed at nonphysiologically high concentrations of cBAK (5 μM), although NOXA BH3 did not induce MOMP in digitonin-permeabilized BID and BIM double-knockout MEFs<sup>32</sup>. We systematically engineered NOXA and BAD BH3s to contain BID substitutions at the positions predicted to clash at the BC groove of BAK. By replacing

at least four residues with those of BID (denoted NOXA-BIDf and BAD-BIDa in **Fig. 6b**), both peptides were weakly active in triggering BAK-mediated MOMP and induced enhanced protease sensitivity of purified cBAK in CHAPS, associated with direct activation (**Fig. 6b,c**). In addition, we tested N- and C-terminal extensions in NOXA that share the minimal BH3 core and observed no evidence of direct BAK activation by any (**Supplementary Fig. 6**). We therefore conclude that BAD and NOXA BH3 are not direct activators of BAK.

## DISCUSSION

When the proapoptotic effector proteins BAX and BAK bind the BH3 of BID or BIM, they undergo conformational changes followed by oligomerization and insertion into the outer mitochondrial membranes to effect MOMP. This is the pivotal step in the life-death decision of the mitochondrial pathway of apoptosis, the primary way in which vertebrate cells die. The solution structure of the BID BH3 bound to BAK provides insights into this key activation process. BID BH3 opens a canonical BC groove in BAK in a manner that is notably similar to that of other structures of BH3 binding to antiapoptotic BCL-2-family proteins<sup>9</sup> and evidently to BAX<sup>33</sup>. We validated the activation site of BAK at its BC groove in several structure-function assays *in vitro* and in cell culture by extensive mutagenesis of both the effector and the direct activator, consistent with studies performed with truncated<sup>28</sup> and full-length forms of BAK<sup>34</sup>. Previously unresolved aspects of



**Figure 7** Direct activation triggers BAK-mediated MOMP. BAK-mediated MOMP follows a multistep activation-oligomerization mechanism. Dormant BAK is protease resistant, soluble, monomeric and is not kept in check by antiapoptotic BCL-2 proteins<sup>10,18</sup>. During direct activation in the absence of membranes, BID engages BAK as a soluble, stable 1:1 BH3/BC groove complex that remains resistant to proteolysis. In the presence of the outer mitochondrial membrane or CHAPS (denoted OMM/CHAPS) the 1:1 BID/BAK active complex opens up as it associates with the lipidic milieu, becoming protease sensitive at the BH4 and the BH3. Poorly-understood membrane interactions probably facilitate the dissociation of BID from the BC groove, conforming to the hit-and-run activation mechanism<sup>9,10</sup>. The BH1 Gly126 mutants block binding-induced conformational changes associated with direct activation. Oligomeric BAK represents the end stage of BAK activation, being membrane associated and presumed to be mediated by two oligomerization interfaces as shown<sup>7,36,37</sup>. As the BH3 participates in the BH3-BC groove interface, it becomes buried and resistant to proteolysis, and only the BH4 remains accessible in oligomeric BAK. The BH3 Ile81 mutants block oligomerization by preventing the BH3-BC groove interaction, although inhibitory mutations have not yet been identified at the alternate interface.

the role of BID as direct activator<sup>28,34</sup> emerged from our analysis, including the minimal helical BH3 region required for strong activation of BAK, defined as residues 80–101, which displays extensive interactions at the BC groove of BAK, dominated by the four central conserved hydrophobic residues Ile86, Leu90, Val93 and Met97.

Direct activation of BAK by BID in the absence of membranes induced significant rearrangements in the BH3, which opened the occluded BC groove by destabilizing contacts between the BH1 and BH3 observed in free BAK<sup>18</sup>. Although BH3-BCL-2 family complexes have revealed details of the interactions between BH3 peptides and BC grooves of antiapoptotic BCL-2 proteins, the effectors manifest multi-level binding-induced conformational changes that are significantly augmented by membranes, and activation is poorly correlated with BH3 binding in membrane-free environments although fully dependent on it<sup>33</sup>. The BID-induced BAK activation was fully manifested in the presence of membranes, which support the shedding of the N-terminal bundle of BAK (N terminus to BH3) from the C-terminal bundle (BH3 to C terminus), as indicated by the protease-sensitivity assay in CHAPS detergent. We found that Gly126 mutations in the BH1 of BAK prevented binding-induced conformational changes by BID and thereby prevented all activation events. In contrast, I81A in the BAK BH3 did not prevent these conformational changes that result in protease susceptibility (exposure of the BH3) but did prevent subsequent BAK-BAK oligomerization, MOMP and apoptosis. Therefore, through analysis of these different BAK mutants, critical individual steps in BAK activation were separated: BID BH3 binding, exposure of its BH3, displacement of the BID BH3 by a neighboring BAK BH3 and oligomerization. Our study, though providing no structural insights into oligomerization, is consistent with the proposed activation mechanism of BAX by BID<sup>33</sup>.

In our current understanding of BAK effector activation, BAK is constitutively targeted to the outer mitochondrial membrane by a C-terminal hydrophobic tail, which does not modulate the proapoptotic activity of BAK beyond targeting the membrane<sup>28,34,35</sup>. The membrane-tethered BCL-2-like core of BAK contains the necessary structural components associated with MOMP activity (Fig. 7). BAK is dormant in the absence of apoptotic stimulation, as identified by resistance to calpain proteolysis. The direct activators BID and BIM, but probably not NOXA or BAD, transiently engage the BCL-2 core of BAK in the manner of the SAHBA-cBAK complex during apoptosis. BH3-BC groove binding destabilizes the BCL-2 core by unwinding

the BH3 and restructuring the BH1-BH3 hydrophobic core. This creates a deep cavity that potentially weakens the interactions of the long BH4-containing helix  $\alpha 1$  at the bottom of the BC groove. Further unwinding of the BCL-2 core is disfavored in membrane-free environments. In native BAK, proximity to the membranes facilitates membrane insertion: BAK's C-terminal bundle (BH3 to C terminus) probably inserts, whereas the N-terminal bundle (N terminus to BH3) unfolds, becoming sensitive to calpain proteolysis. This is facilitated by the hit-and-run nature of the activator BH3, which in the presence of membranes dissociates from the effector BC groove, freeing it for interaction with an adjacent active BAK monomer that supplies its exposed BH3 for a BH3-BC groove oligomerization interface<sup>7,36</sup>. If hydrophobic residues that may be exposed in active BAK are critical for disruption of the membrane, we can then hypothesize that consolidation of such disruption events may be necessary for MOMP. This, then, may be the function of additional BAK-BAK interactions, such as that described as  $\alpha 6$ - $\alpha 6$  (ref. 37). By bringing together local areas of lipid disruption, a 'hole' may develop. Studies have suggested that although permeabilization of a membrane by four molecules of the effector protein BAX is sufficient to release small molecules, approximately 20 activated BAX molecules are needed to release proteins<sup>38</sup>. The precise nature of the interactions that permit such large oligomers to manifest remains unknown.

In contrast to BAK, activation of the effector BAX during MOMP involves an additional step of targeting it from the cytosol to the outer mitochondrial membrane. This step is reversed by BCL-xL and potentially by other antiapoptotic BCL-2 proteins, which help retrotranslocate BAX from the outer mitochondrial membrane to the cytoplasm<sup>39</sup>. Whether mitochondrial translocation requires binding of the BAX trigger site opposite the BC groove<sup>16,17</sup> or the BC groove itself, as seen for SAHBA-cBAK, has not been clearly resolved, but the binding of the BID BH3 to the BC groove of BAX, in a manner similar to BAK binding, has been resolved at high resolution<sup>33</sup>. Potentially, BAX activation is governed by a hit-and-run mechanism involving sequential transient engagement of both trigger sites, as supported by a recent study that compared the BH3-binding interface of the full-length isoforms of BAX and BAK<sup>34</sup>. BAX triggering also exhibits similarities to BAK, in that engagement of the BAX BC groove by BH3 activators in lipidic milieus alters BAX conformation<sup>40</sup>, such as by straightening hydrophobic helices  $\alpha 5$  and  $\alpha 6$  (ref. 33), which we did not observe in our structure of BID SAHBA-cBAK.



Further, a structural basis for BH3-to-groove BAX oligomerization has potentially been elucidated<sup>33</sup>. Our study with BAK and the recent efforts with BAX<sup>33</sup> have thus provided missing insights into the nature of the initial events that precipitate the activation of BCL-2 effectors during apoptosis.

## METHODS

Methods and any associated references are available in the [online version of the paper](#).

**Accession codes.** The NMR solution structure of SAHBa-cBAK has been deposited in the Protein Data Bank under accession code [2M5B](#). A related entry has been deposited in the Biological Magnetic Resonance Bank under accession code 19045.

*Note: Supplementary information is available in the [online version of the paper](#).*

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## AUTHOR CONTRIBUTIONS

T.M., C.R.G., K.G. and R.W.K. contributed the NMR analyses, T.M., F.L. and P.F. the biochemical analyses and T.M. and A.N. the AUC analyses. All authors contributed conceptually to various aspects of the project. T.M., R.W.K. and D.R.G. wrote the manuscript.

## COMPETING FINANCIAL INTERESTS

The authors declare no competing financial interests.

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## ONLINE METHODS

**Mammalian and bacterial protein expression, purification and site-directed mutagenesis.** The human BAK and BID constructs and mutants thereof used in this study were engineered for bacterial or mammalian expression as follows. Full-length BAK was expressed from pMX-IRES-GFP retroviral vector<sup>41</sup>. The retroviral vectors were transiently transfected by using Lipofectamine 2000 in Phoenix Amphotropic or Ecotropic virus-packaging helper-cell lines. After overnight recovery in 10% FCS and Dulbecco's modified Eagle's medium (DMEM), supernatants containing viruses were used to infect BAK and BAX DKO mouse embryonic fibroblasts (MEFs). Stable GFP-positive MEFs were sorted three times by fluorescence-activated cell sorting (FACS) to enrich for the high-expressing BAK population. BAK expression in reconstituted DKO MEFs was assessed by immunoblotting with an anti-BAK polyclonal (G-23, cat. no. sc-832, Santa Cruz; 1:1,000 dilution) or monoclonal antibody (Ab-1, cat. no. AM03, EMD Millipore; 1:1,000 dilution). Protein loading was determined by immunoblotting with the anti-actin monoclonal antibody (C4, Millipore; 1:100,000 dilution). Secondary horseradish peroxidase-conjugated anti-mouse (ECL Anti-mouse IgG, cat. no. NA931, GE Healthcare) or anti-rabbit (ECL Anti-rabbit IgG, cat. no. NA934, GE Healthcare) was used at 1:20,000 dilution.

The bacterial expression of BAK was based on our previous study in which the C-terminus-truncated BAK-ΔTM was engineered with a four-residue leader to improve protein expression levels (MEAS-A<sub>2</sub>SGQGP.LNLGNG<sub>186</sub>LEHHHHHHH)<sup>18</sup>. The bacterial expression of BID was based on pGEX-4T-1 vector producing a GST-BID fusion protein containing a thrombin-cleavage site between the GST and BID. C-terminus-truncated mouse BCL-xL-ΔTM, referred to in the text as BCL-xL or BCL-xL-His<sub>6</sub>, was expressed from the pET29d vector<sup>42</sup>. Rat m-calpain and μ-calpain protease core, μI-II, were expressed as previously reported<sup>43,44</sup>. All His-tagged proteins were purified by using multiple chromatography steps. Batch Ni<sup>2+</sup>-NTA affinity chromatography (Qiagen) was followed by fast protein liquid chromatography (FPLC) using S100 or S200 gel filtration and Q-Sepharose columns (GE Healthcare). Purified MEAS-BAK-ΔTM was subjected to overnight μI-II proteolysis to remove the N terminus (the MEAS leader and the first 14 residues) and the C-terminal His tag, followed by additional Q-Sepharose FPLC purification to give rise to calpain-proteolyzed cBAK (residues 15–186), essentially the stable, soluble BCL-2-like core of BAK<sup>18</sup>. We also modified cBAK with the thiol-reactive fluorescein-5 maleimide tag (F150, LifeTechnologies), which reacted with the remaining Cys166 in cBAK (the other, Cys14, is absent in cBAK). We refer to this fluorescein conjugate as cBAK-F150, which was further purified by S100 and Q-Sepharose FPLC to remove excess unreacted F150. GST-BID was batch-purified on GSH resin, and tag proteolysis by thrombin was performed overnight directly on the resin. The digested material is NC BID, as thrombin also digests the intrinsically disordered loop in BID. NC BID was subsequently purified by Q-Sepharose FPLC.

Site-directed mutagenesis was performed by using the QuikChange II and II XL protocols (Agilent Technologies). The mutagenesis primers were designed by using the QuikChange primer design tool (<http://www.genomics.agilent.com/>).

**Synthesis, hydrocarbon stapling and characterization of BID BH3 peptides.** Peptide synthesis and hydrocarbon stapling of BID BH3 were performed at the Hartwell Center for Bioinformatics and Biotechnology at St. Jude Children's Research Hospital. All peptides were N-terminally acetylated and C-terminally amidated. In the hydrocarbon-stapled versions, Met97 was replaced with norleucine (Nle). Ruthenium-mediated ring-closing olefin metathesis (RCM) was performed for the stapled peptides, all of which were synthesized with the alanine analog, (S)-N-Fmoc-2-(4'-pentenyl) alanine by using the previously described procedure<sup>15,19,45</sup>. All the peptides were HPLC purified to greater than 90% homogeneity, and their molecular weights were confirmed by MS.

For CD analysis, BID BH3a and SAHBa were dissolved in 10-mM phosphate buffer, pH 7.0, at 25 μM. CD spectra were obtained at 25 °C on an AVIV model 202-01 spectropolarimeter; four scans were averaged by using 1-nm bandwidth and a 1-mm path-length quartz cell (Hellma). Molar ellipticity was calculated as CD/[number of amino acids × concentration (mol/l) × path length (0.1 cm) × 10 (conversion factor to decimoles)].

**NMR titrations and structure determination.** Uniformly <sup>13</sup>C/<sup>15</sup>N-labeled or <sup>15</sup>N-labeled BAK was produced in the MEAS-BAK-ΔTM-expressing BL21\* cells according to the standard minimal-M9-medium bacterial-growth protocols

supplemented with <sup>15</sup>NH<sub>4</sub>Cl and with <sup>13</sup>C-glucose as appropriate<sup>46</sup>. Labeled BAK was then purified, processed with calpain and repurified as with all our unlabeled BAK proteins to obtain highly purified, uniformly labeled cBAK. We previously assigned ~90% of the backbone resonances for free cBAK, residues 15–186 (ref. 18). <sup>15</sup>N/<sup>1</sup>H TROSY NMR titrations of <sup>15</sup>N-labeled cBAK with unlabeled wild-type and mutant BID BH3 and BID SAHB peptide ligands were performed at 30 °C in a buffer containing 10% D<sub>2</sub>O and either 20 mM HEPES, pH 6.8, or 20 mM phosphate buffer, pH 6.8. BID peptide stocks were prepared at 100 mM in deuterated dimethyl sulfoxide (DMSO-d<sub>6</sub>) (Cambridge Isotope). <sup>15</sup>N-<sup>1</sup>H chemical-shift perturbations were calculated as CSPs = [(Δ<sup>1</sup>H p.p.m.)<sup>2</sup> + (Δ<sup>15</sup>N p.p.m./5)<sup>2</sup>]<sup>1/2</sup>.

Using the best-behaved BID SAHBa, which showed the highest affinity for cBAK in solution, we determined the structure of its complex with cBAK by NMR spectroscopy, using <sup>13</sup>C/<sup>15</sup>N-labeled cBAK and unlabeled BID SAHBa in a 20-mM phosphate buffer, pH 6.8, and 10% D<sub>2</sub>O at 30 °C. This sample was stable during the entire period of data collection required for structure determination<sup>47</sup>. We collected the NMR spectra by using Bruker Avance 600 MHz or 800 MHz spectrometers equipped with a cryogenically cooled triple resonance z-gradient probe. Data processing was performed in TopSpin (Bruker BioSpin) and spectral analysis in Cara<sup>48</sup>. For backbone assignment of cBAK in the SAHBa-cBAK complex, we performed three triple-resonance experiments including HNCA, HNCACB and CBCA(CO)NH, which were analyzed in conjunction with a <sup>15</sup>N-<sup>1</sup>H TROSY spectrum. HA and HB assignments for BAK were made by using HBHA(CBCACO)NH spectra aided by <sup>15</sup>N-edited <sup>1</sup>H-<sup>1</sup>H NOESY. The remaining aliphatic carbon and hydrogen resonances were assigned by using <sup>13</sup>C-detected HCC-TOCSY and <sup>1</sup>H HCCH-TOCSY along with <sup>13</sup>C-<sup>1</sup>H-HSQC spectra. The aromatic <sup>13</sup>C-<sup>1</sup>H-TROSY and <sup>13</sup>C-edited <sup>1</sup>H-<sup>1</sup>H aromatic NOESY spectra were used in obtaining the side chain resonances of aromatic residues. We assigned the SAHBa peptide resonances from 2D-<sup>15</sup>N-<sup>13</sup>C-filtered TOCSY and NOESY experiments. The intermolecular cBAK-SAHBa NOEs were obtained from 3D spectra of <sup>13</sup>C/<sup>15</sup>N-half-filtered spectra of <sup>15</sup>N-edited NOESY and <sup>13</sup>C-edited NOESY experiments for the complex. Structure calculations were performed originally with automatic NOE peak picking in UNIO<sup>49</sup> and CYANA<sup>50</sup> (Table 1). Manual structure refinement was performed in CYANA. The CYANA library files for the unnatural amino acids pentenylalanine, which forms the stabilizing bridge, and for norleucine were generated and used in the structure calculations. Ramachandran analysis of the lowest-energy SAHBa-cBAK structures identified 87.8, 10.8, 0.9 and 0.5% of residues in allowed, additionally allowed, generously allowed and disallowed regions, respectively.

**Analytical ultracentrifugation.** Experiments were carried out in a ProteomeLab XL-I analytical ultracentrifuge with an eight-hole rotor (Beckman An-50Ti) and cells containing sapphire or quartz windows and charcoal-filled Epon double-sector center pieces (Beckman Coulter). AUC was performed in buffer containing 20 mM HEPES, pH 7.0, 100 mM NaCl and 0.5 mM DTT. The density and viscosity of the ultracentrifugation buffer at 20 °C were measured with a DMA 5000 M density meter and an AMVn viscometer (Anton Paar). The partial specific volume at 20 °C and the molecular weights of the proteins and peptides were calculated on the basis of their amino acid composition by using SEDNTERP<sup>51</sup>. All samples were dialyzed against the ultracentrifugation buffer, and this buffer was used as optical reference.

For the sedimentation-velocity experiments, the loading volume of 400 μl was identical for the reference and sample chambers of the double-sector center-piece. Fringe displacement data at time intervals of 1 min were collected with the Rayleigh interference system for 12 h at a rotor speed of 50,000 r.p.m. and analyzed with SEDFIT (<http://www.analyticalultracentrifugation.com/>) using the model for continuous sedimentation coefficient distribution *c*(s) with deconvolution of diffusional effects<sup>52,53</sup>.

The sedimentation coefficient distribution *c*(s) was calculated with maximum entropy regularization at a confidence level of *P* = 0.68 and at a resolution of sedimentation coefficients of *n* = 100. The positions of the meniscus and bottom, as well as time-invariant and radial noises, were fitted. The velocity data were also fitted to the single-site heteroassociation model (*A* + *B* ↔ *AB*, with the peptide species *A* and cBAK species *B*) by using SEDPHAT (<http://www.analyticalultracentrifugation.com/>).

Sedimentation equilibrium was attained at a rotor temperature of 20 °C at increasing speeds of 16,000 r.p.m. (28 h), 20,000 r.p.m. (24 h) and 30,000 r.p.m.

(24 h)<sup>54</sup>. Protein at concentrations of between 3.4 and 14.1  $\mu\text{M}$  (130  $\mu\text{l}$ ) were loaded into double-sector centerpieces and absorbance distributions recorded at 280 nm in 0.001-cm radial intervals with 20 replicates for each point. Global least-squares modeling was performed at multiple rotor speeds with SEDPHAT using a single species model as well as the single-site heteroassociation model ( $A + B \leftrightarrow AB$ , with the peptide species A and cBAK species B)<sup>54</sup>.

**Additional methods.** Further methodology can be found in **Supplementary Note**.

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