



# Kinetic modeling and transient DRIFTS–MS studies of CO<sub>2</sub> methanation over Ru/Al<sub>2</sub>O<sub>3</sub> catalysts



Xiang Wang, Yongchun Hong, Hui Shi, János Szanyi\*

Institute for Integrated Catalysis, Pacific Northwest National Laboratory, Richland, WA 99352, USA

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## ABSTRACT

CO<sub>2</sub> methanation was investigated on 5% and 0.5% Ru/Al<sub>2</sub>O<sub>3</sub> catalysts (Ru dispersions: ~18% and ~40%, respectively) by steady-state kinetic measurements and transient DRIFTS–MS. Methanation rates were higher over 5% Ru/Al<sub>2</sub>O<sub>3</sub> than over 0.5% Ru/Al<sub>2</sub>O<sub>3</sub>. The measured activation energies, however, were lower on 0.5% Ru/Al<sub>2</sub>O<sub>3</sub> than on 5% Ru/Al<sub>2</sub>O<sub>3</sub>. Transient DRIFTS–MS results demonstrated that direct CO<sub>2</sub> dissociation was negligible over Ru. CO<sub>2</sub> has to first react with surface hydroxyls on Al<sub>2</sub>O<sub>3</sub> to form bicarbonates, which, in turn, react with adsorbed H on Ru to produce adsorbed formate species. Formates, most likely at the metal/oxide interface, can react rapidly with adsorbed H forming adsorbed CO, only a portion of which is reactive toward adsorbed H, ultimately leading to CH<sub>4</sub> formation. The unreactive CO molecules are in geminal form adsorbed on low-coordinated sites. The measured kinetics are fully consistent with a Langmuir–Hinshelwood type mechanism in which the H-assisted dissociation of the reactive CO\* is the rate-determining step (RDS). The similar empirical rate expressions ( $r_{\text{CH}_4} = k p_{\text{CO}_2}^{0.1} p_{\text{H}_2}^{0.3-0.5}$ ) and DRIFTS–MS results on the two catalysts under both transient and steady-state conditions suggest that the mechanism for CO<sub>2</sub> methanation does not change with Ru particle size under the studied experimental conditions. Kinetic modeling results further indicate that the intrinsic activation barrier for the RDS is slightly lower on 0.5% Ru/Al<sub>2</sub>O<sub>3</sub> than on 5% Ru/Al<sub>2</sub>O<sub>3</sub>. Due to the presence of unreactive adsorbed CO on low-coordinated Ru sites under reaction conditions, the larger fraction of such surface sites on 0.5% Ru/Al<sub>2</sub>O<sub>3</sub> than on 5% Ru/Al<sub>2</sub>O<sub>3</sub> is regarded as the main reason for the lower rates for CO<sub>2</sub> methanation on 0.5% Ru/Al<sub>2</sub>O<sub>3</sub>.

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## 1. Introduction

The utilization of C1 sources for the synthesis of hydrocarbons is of great significance with respect to environmental protection and development of renewable energy. Although there has been a tremendous amount of research in catalytic hydrogenation, most of this interest has been focused on the hydrogenation of CO over various transition metal catalysts [1]. The hydrogenation of CO<sub>2</sub>, an abundant, renewable, yet relatively under-used C1 source, over these catalysts is at least of equal importance as a reaction relevant to the production of energy carriers [2,3]. The hydrogenation of CO<sub>2</sub> to methane without any net CO<sub>2</sub> emission not only provides a sustainable route for synthesizing useful chemicals and fuels, but also contributes to alleviating global climate changes induced by CO<sub>2</sub> emissions [4]. However, there have been considerably fewer systematic investigations on CO<sub>2</sub> methanation, compared with CO methanation. The CO<sub>2</sub> methanation reaction is an 8-

electron process in which the fully oxidized carbon is reduced to its fully reduced form in methane, and there are significant kinetic barriers that require a catalyst to overcome in order to achieve acceptable rates and selectivities. Supported transition metal catalysts including Ni [5–10], Pd [11–15], Pt and Ir [12], Ru [12,16–28], and Rh [12,29–34] have been demonstrated to be active toward CO<sub>2</sub> methanation. However, key aspects regarding the mechanism of CO<sub>2</sub> methanation still remain elusive. For instance, CO<sub>2</sub> dissociation has been suggested as the rate-determining step (RDS) [31], while the more common belief is that the dissociation of adsorbed CO or hydrogenation of adsorbed CO is the RDS [17,25,35,36]. Furthermore, the reaction mechanism has been demonstrated to be strongly dependent on the identity of the metal, the nature of the support and reaction conditions.

Al<sub>2</sub>O<sub>3</sub>-supported Ru particles with a larger average size were found to be more active than those of a smaller average size for CO<sub>2</sub> methanation, as reported in our previous study [20]. The underlying principles governing this size dependence in Ru-catalyzed CO<sub>2</sub> methanation, however, were not fully understood at that time. In spite of the considerable efforts devoted to

\* Corresponding author.

E-mail address: [janos.szanyi@pnnl.gov](mailto:janos.szanyi@pnnl.gov) (J. Szanyi).

understanding the site requirement and elementary steps of CO<sub>2</sub> methanation over supported Ru catalysts, the detailed reaction mechanism, primarily the reaction pathway, the nature of key intermediates, the RDS and the mechanistic origin of the size effect of Ru, are still under debate. Solymosi et al. proposed that the methanation of CO<sub>2</sub> on 5 wt% Ru/Al<sub>2</sub>O<sub>3</sub> occurs via the formation of surface carbon and its subsequent hydrogenation, and excluded the possibility of the dissociation of CO<sub>2</sub> as the RDS [17]. Furthermore, they pointed out that formate located on Al<sub>2</sub>O<sub>3</sub> support was a spectator species in the methanation of CO<sub>2</sub>. In contrast, Prairie et al. reported that formate species played a major role in the activation of CO<sub>2</sub> forming adsorbed CO via reverse water-gas shift reaction, and that the hydrogenation of adsorbed CO is rate-controlling during CO<sub>2</sub> methanation on both Al<sub>2</sub>O<sub>3</sub> and TiO<sub>2</sub> supported Ru catalysts [28]. Similarly, Renken et al. also proposed a sequential conversion of surface species on Ru/TiO<sub>2</sub> from carbonate to formate then to adsorbed CO, which is subsequently hydrogenated to methane [26].

*In-situ* transient FTIR spectroscopy has been employed as a tool to uncover the mechanistic roles of the spectroscopically observable species in a number of studies, including the above literature precedents on supported Ru catalysts. However, the kinetic responses of surface species under transient conditions can be characteristically distinct from those obtained during steady-state reactions. On the other hand, steady-state rate measurements cannot yield direct information about the nature and coverage of surface intermediates. Therefore, it would be desirable to bring all these (transient and steady-state, *in situ* spectroscopic and reaction kinetic) approaches together in one integrated investigation to arrive at a more definitive picture of the studied catalytic reaction.

Herein, Ru/Al<sub>2</sub>O<sub>3</sub> catalysts with low to medium Ru dispersions (18% and 40%) were employed in this study to avoid sintering of Ru particles during reaction, as small Ru clusters supported on Al<sub>2</sub>O<sub>3</sub> can easily sinter during CO<sub>2</sub> methanation [20]. Combining kinetic measurements, performed over a wide range of reactant concentrations and temperatures, with analyses of transient *in situ* diffuse reflectance infrared Fourier transform spectroscopic (DRIFTS) and mass spectrometric (MS) experiments, a number of mechanistic sequences consistent with experimental observations were proposed. Kinetic modeling based on Langmuir–Hinshelwood type formalisms was applied to provide a quantitative description for the steady-state behavior, and, ultimately, to provide insights into the mechanism of CO<sub>2</sub> methanation on Ru/Al<sub>2</sub>O<sub>3</sub>.

## 2. Experimental

0.5 and 5 wt% Ru/Al<sub>2</sub>O<sub>3</sub> catalysts were prepared with a commercial  $\gamma$ -Al<sub>2</sub>O<sub>3</sub> powder (Sasol, Puralox SBA-200, BET specific surface area = 200 m<sup>2</sup>/g) by the incipient wetness method using ruthenium (III) nitrosyl nitrate solution (Ru(NO)(NO<sub>3</sub>)<sub>x</sub>(OH)<sub>3-x</sub> in dilute nitric acid, 1.5% Ru, Aldrich) as the Ru precursor. After impregnation, the samples were dried in static air at 373 K for 24 h and stored under ambient conditions. The catalyst samples were activated prior to catalytic measurements by calcination at 773 K for 2 h in 6.7% O<sub>2</sub>/He (flow rate = 60 mL/min) followed by reduction at 773 K for 1 h in 2% H<sub>2</sub>/Ar (flow rate = 60 mL/min).

The number of exposed Ru surface atoms was determined from the volumetric uptake of CO at 313 K, using a Micromeritics AutoChem 2920 Chemisorption Analyzer. The catalysts were pretreated under identical condition to that applied prior to the catalytic tests. Because of the dominance of bridging CO\* evidenced in IR spectra of CO adsorption on both samples, the Ru<sub>surface</sub>:CO stoichiometry ratio of 2:1 was used for the estimation of Ru dispersions.

The catalytic reactions were carried out in a quartz packed-bed tubular reactor (1/4" in o.d. and 5/32" in i.d.) with plug flow hydro-

dynamics. The catalysts were pressed, crushed and sieved to 180–450  $\mu$ m; typically, 15–60 mg of catalyst was diluted by SiC (180–450  $\mu$ m) to ensure better heat transfer and sufficient bed lengths which minimize channeling effects. Kinetic measurements were conducted in the temperature range of 513–573 K, at a total pressure of 101 kPa and gas hourly space velocities (GHSVs) of 33,000–135,000 mL g<sup>-1</sup> h<sup>-1</sup>. The gas mixture fed into the reactor contained 10–70% CO<sub>2</sub> and 70–10% H<sub>2</sub> in He balance, at a total flow rate of 60 mL/min. All gases were of ultrahigh purity and were further purified by passing them through oxygen and moisture traps (Supelpure®-O Oxygen/Moisture Trap, Sigma-Aldrich) installed on the feed lines. The CO<sub>2</sub> conversion was kept at <5.5%. No conversion of reactants was detected in blank runs with  $\gamma$ -Al<sub>2</sub>O<sub>3</sub> under the testing conditions applied. The absence of thermal and diffusional gradients in catalyst bed was confirmed by the method suggested by Koros and Nowak [37]. Thermodynamic calculation results also suggested that reactions were operated far from equilibrium limitation under testing conditions. The reactor effluent was analyzed with an on-line Agilent HP 7820 gas chromatograph equipped with a capillary column (Supelco, Carboxen 1010 PLOT, 30 m  $\times$  0.53 mm i.d.) and a thermal conductivity detector.

*In situ* FTIR spectra were collected on a Mattson Research Series 1000 FT-IR spectrometer equipped with a DRIFTS accessory and a high temperature cell (Harrick). Each spectrum was recorded at 4 cm<sup>-1</sup> resolution, averaged over 64 scans, and reported as pseudo-absorbance [38]. The Ru/Al<sub>2</sub>O<sub>3</sub> powders were placed into the sample holder cup of the high temperature cell without packing or dilution. Prior to spectral acquisition at 503 K, the catalyst sample was reduced in H<sub>2</sub> at 673 K for 1 h and then cooled down in He flow and kept being purged by He at room temperature for 2 h. Then a background IR spectrum was recorded at 503 K reaction temperature in He with a flow rate of 20 mL/min. A gas feeding manifold, equipped with electronic mass flow controllers (Brooks), was used to introduce gases with controlled flow rates and compositions. For the transient experiments, gases (He, 2% CO<sub>2</sub>/He, 8% H<sub>2</sub>/He and their mixture) with a flow rate of 20 mL/min were introduced to the cell and switched when needed. DRIFT spectra were recorded every  $\sim$ 90 s. The effluent gas of the cell was analyzed by a mass spectrometer monitoring  $m/z = 44$  (CO<sub>2</sub>), 2 (H<sub>2</sub>), 15 (CH<sub>4</sub>), 18 (H<sub>2</sub>O) and 28 (CO) with a response time of less than 1 s.

The interaction of CO<sub>2</sub> with 5% Ru/Al<sub>2</sub>O<sub>3</sub> sample was evaluated by FTIR measurements with a Bruker Vertex 80 spectrometer equipped with a static, batch-type sample cell and a liquid nitrogen-cooled MCT detector operated in transmission mode. Each spectrum was recorded at 4 cm<sup>-1</sup> resolution, and was averaged over 256 scans. The sample cell is a 2 3/4" stainless steel cube equipped with KBr windows and connected to pumping stations and a gas handling system. The sample holder rod has a ceramic feedthrough for heating and thermocouple connections. The powder Ru/Al<sub>2</sub>O<sub>3</sub> samples were pressed onto a tungsten mesh (>80% light transmission) which was attached to copper heating legs allowing resistive heating of the samples. The pressure in the IR cell can be controlled between  $2.6 \times 10^{-9}$  and 101 kPa. CO<sub>2</sub> was purified by cycles of freeze/pump/thaw prior to adsorption measurements. In a typical procedure, the sample was first pre-treated in H<sub>2</sub> and then in vacuum, both at 773 K, and then cooled to the desired temperature where the adsorption experiments were carried out. CO<sub>2</sub> was added to the cell in a stepwise manner and a FTIR spectrum was collected upon equilibration after each CO<sub>2</sub> aliquot.

Regression of experimental data was performed using Microsoft EXCEL Solver with GRG nonlinear engine for nonlinear functions. For data obtained at each temperature, a set of parameters (rate and equilibrium constants) was fitted with the least squares as target function. The temperature dependences of rate and

equilibrium constants obtained were fitted by linear regression for the calculation of energetic parameters (enthalpies).

### 3. Results and discussion

#### 3.1. Reaction kinetics

The dispersions of Ru estimated from CO chemisorption were ~40% and ~18% for the 0.5 and 5 wt.% Ru/Al<sub>2</sub>O<sub>3</sub> catalysts, respectively. Kinetic measurements were conducted in the temperature range of 513–573 K and in a wide range of partial pressures of H<sub>2</sub> and CO<sub>2</sub> (10–70 kPa). The only products on both catalysts are CO, CH<sub>4</sub> and H<sub>2</sub>O under testing conditions. Fig. 1a shows the turnover frequencies (TOFs) for CH<sub>4</sub> formation (i.e., the formation rates of CH<sub>4</sub> normalized to the number of surface Ru atoms), plotted against the inverse temperature at a molar ratio of H<sub>2</sub>/CO<sub>2</sub> = 4 (the stoichiometric H<sub>2</sub>/CO<sub>2</sub> ratio for CO<sub>2</sub> methanation) on 0.5% and 5% Ru/Al<sub>2</sub>O<sub>3</sub>. Larger Ru particles appeared to be more catalytically active than smaller particles for CH<sub>4</sub> formation under testing conditions, consistent with our previous observations [20]. For instance, the TOFs for CH<sub>4</sub> formation at 553 K were 0.043 and 0.068 s<sup>-1</sup> on the 0.5% and 5% Ru/Al<sub>2</sub>O<sub>3</sub> catalysts, respectively.

Solymosi et al. reported a TOF of 0.194 s<sup>-1</sup> for CO<sub>2</sub> methanation, on a 5% Ru/Al<sub>2</sub>O<sub>3</sub> catalyst ( $D_{\text{Ru}}$  = ~5%) at H<sub>2</sub>/CO<sub>2</sub> = 4 and 548 K [17]. This value is higher than ours (0.068 s<sup>-1</sup> at 553 K) on the 5% Ru/Al<sub>2</sub>O<sub>3</sub> catalyst of a higher dispersion ( $D_{\text{Ru}}$  = 18%), showing a trend that a lower rate is expected on smaller particles. The selectivity to methane was reported to be >98% in the temperature range of 443–503 K [17]. Under all conditions studied in this work, the selectivity to methane was always >90% on both Ru/Al<sub>2</sub>O<sub>3</sub> catalysts. For instance, the selectivity to methane was ~96% on 5% Ru/Al<sub>2</sub>O<sub>3</sub> and 93% on 0.5% Ru/Al<sub>2</sub>O<sub>3</sub> at H<sub>2</sub>/CO<sub>2</sub> = 4 and 553 K, which is in good agreement with the previous work [17]. The CO formation rates are negligible compared with the formation rate of CH<sub>4</sub> at all testing conditions. Therefore, the CO formation side reaction and its potential impact on the kinetics were ignored in the further discussion of methanation kinetics.

The apparent activation energies determined under a wide range of partial pressures of H<sub>2</sub> and CO<sub>2</sub> are shown in Fig. 1b. For 5% Ru/Al<sub>2</sub>O<sub>3</sub> ( $D_{\text{Ru}}$  = 18%), the apparent activation energy (64–80 kJ/mol) for CH<sub>4</sub> formation decreased with decreasing H<sub>2</sub> pressure (at a fixed CO<sub>2</sub> pressure), but stayed essentially constant with changing CO<sub>2</sub> pressure (at a fixed H<sub>2</sub> pressure). In addition,

the apparent activation energy (57–70 kJ/mol) for CH<sub>4</sub> formation over 0.5% Ru/Al<sub>2</sub>O<sub>3</sub> ( $D_{\text{Ru}}$  = 40%) was lower than that on 5% Ru/Al<sub>2</sub>O<sub>3</sub>. Similarly, Weatherbee and Bartholomew reported an apparent activation energy of 72 kJ/mol for CO<sub>2</sub> methanation on 0.5% Ru/SiO<sub>2</sub> ( $D_{\text{Ru}}$  = 47%) between 502 and 563 K at atmospheric pressure (H<sub>2</sub>/CO = 4:1) [25]. In another work, an apparent activation energy of 71 kJ/mol was reported on a 0.5% Ru/Al<sub>2</sub>O<sub>3</sub> catalyst under similar conditions [19].

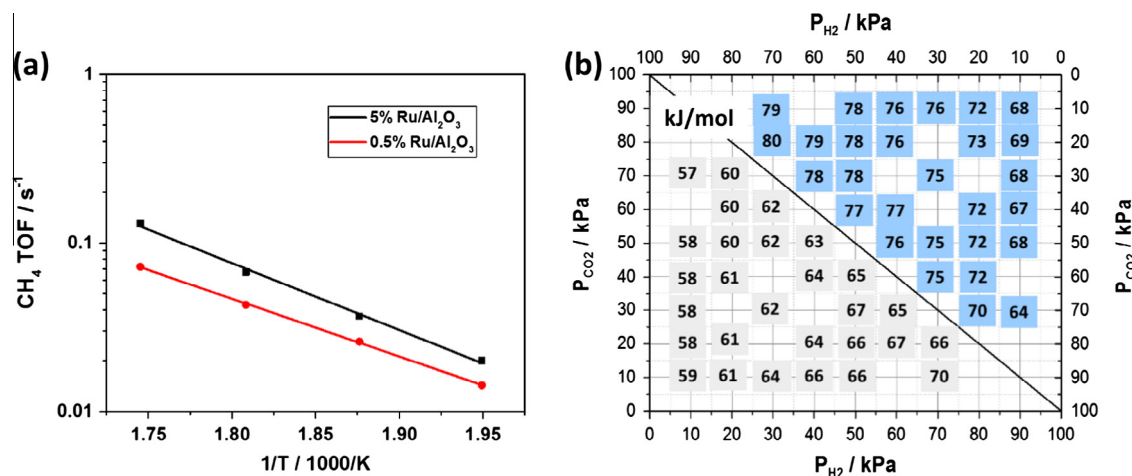
Table 1 shows the dependences of CH<sub>4</sub> formation rate on CO<sub>2</sub> and H<sub>2</sub> partial pressures for both catalysts. The apparent reaction order with respect to CO<sub>2</sub> was  $0.12 \pm 0.05$  for both catalysts, almost independent of reaction temperature and Ru dispersion. However, it showed a monotonic increase with increasing H<sub>2</sub> pressure. The H<sub>2</sub> pressure dependence of apparent reaction order with respect to CO<sub>2</sub> can be explained by competitive adsorption between H<sub>2</sub> and CO<sub>2</sub>: a higher H<sub>2</sub> pressure results in a higher coverage of adsorbed H atoms (H\*) and lower coverages of CO<sub>2</sub>-derived intermediates, leading to a higher reaction order with respect to CO<sub>2</sub>.

The apparent reaction order with respect to H<sub>2</sub> varied from ~0.3 to ~0.5 on both catalysts. Similarly, a monotonic increase with increasing CO<sub>2</sub> pressure was observed. However, the effect of temperature on the H<sub>2</sub> reaction order is more pronounced than that on the CO<sub>2</sub> reaction order. The apparent reaction order with respect to H<sub>2</sub> increases monotonically with increasing temperature. This can be explained with a decreasing coverage of adsorbed H atoms (H\*) at elevated temperature due to the exothermic nature of dissociative H<sub>2</sub> chemisorption. An empirical rate equation for CH<sub>4</sub> formation based on the power rate law can be thus generalized as  $r_{\text{CH}_4} = k P_{\text{CO}_2}^{0.1} P_{\text{H}_2}^{0.3-0.5}$  for both catalysts at all reaction conditions studied.

#### 3.2. In situ transient DRIFTS–MS measurements

In order to identify key surface species that are mechanistically relevant to CO<sub>2</sub> methanation, a series of DRIFTS–MS measurements were carried out under transient conditions by switching between different gas streams (He, H<sub>2</sub>, CO<sub>2</sub> or CO<sub>2</sub> + H<sub>2</sub>) at 503 K.

Fig. 2 shows a series of *in situ* FTIR spectra recorded during CO<sub>2</sub> exposure (Fig. 2a), subsequent purge with He (Fig. 2b) and finally with H<sub>2</sub> (Fig. 2c) of a 5% Ru/Al<sub>2</sub>O<sub>3</sub> catalyst sample. The effluent gas composition during this series of experiments monitored by MS is presented as a function of time-on-stream in Fig. 2d. The IR features developing during CO<sub>2</sub> exposure (Fig. 2a) are related

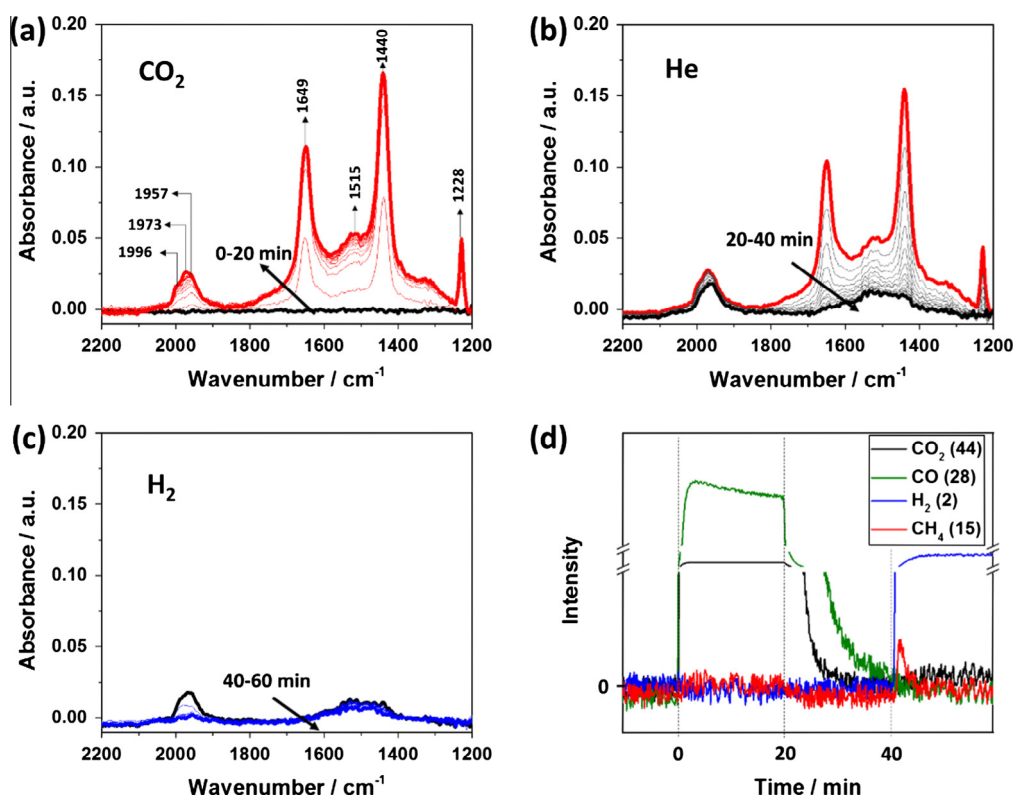


**Fig. 1.** Turnover frequencies (TOFs) of (a) CH<sub>4</sub> formation as a function of reciprocal temperature at 513–573 K on 5% and 0.5% Ru/Al<sub>2</sub>O<sub>3</sub> in a flow containing 40% H<sub>2</sub> and 10% CO<sub>2</sub> in He balance (101 kPa total) at a total flow rate of 60 mL/min. (b) Apparent activation energies for CH<sub>4</sub> formation in CO<sub>2</sub> hydrogenation over 5% Ru/Al<sub>2</sub>O<sub>3</sub> (blue in right-up) and 0.5% Ru/Al<sub>2</sub>O<sub>3</sub> (gray in left-down) under different partial pressures of H<sub>2</sub> and CO<sub>2</sub> at a total flow rate of 60 mL/min.

**Table 1**

The reaction orders for CH<sub>4</sub> formation rate with respect to CO<sub>2</sub> and H<sub>2</sub> pressures during CO<sub>2</sub> methanation on 5% and 0.5% Ru/Al<sub>2</sub>O<sub>3</sub> at 513–573 K and different partial pressures of CO<sub>2</sub> and H<sub>2</sub> (10–50 kPa for both, total pressure 101 kPa balanced with He).

Catalyst	T/K	Order in CO <sub>2</sub> with constant P <sub>H2</sub> /kPa					Order in H <sub>2</sub> with constant P <sub>CO2</sub> /kPa				
		10	20	30	40	50	10	20	30	40	50
5% Ru/Al <sub>2</sub> O <sub>3</sub>	513	0.14	0.15	0.17	0.16	0.20	0.33	0.36	0.39	0.39	0.40
	533	0.12	0.14	0.17	0.18	0.18	0.37	0.40	0.43	0.44	0.47
	553	0.12	0.16	0.17	0.18	0.19	0.42	0.45	0.48	0.50	0.52
	573	0.11	0.13	0.16	0.17	0.19	0.47	0.50	0.53	0.56	0.55
0.5% Ru/Al <sub>2</sub> O <sub>3</sub>	513	0.07	0.11	0.13	0.15	0.16	0.27	0.31	0.35	0.37	0.39
	533	0.07	0.09	0.12	0.15	0.15	0.30	0.34	0.38	0.41	0.45
	553	0.03	0.09	0.10	0.12	0.14	0.34	0.39	0.43	0.45	0.48
	573	0.06	0.08	0.10	0.12	0.15	0.41	0.42	0.46	0.48	0.50



**Fig. 2.** DRIFT spectra collected at 503 K when the feed gas was switched (a) from He to CO<sub>2</sub> followed by a switch back to (b) He and then to (c) H<sub>2</sub> over 5% Ru/Al<sub>2</sub>O<sub>3</sub>. (d) The corresponding MS signals obtained at the exit of the DRIFT cell as a function of time.

to adsorbed species on alumina support and the Ru particles, as well as to gas phase CO<sub>2</sub> (2349 cm<sup>-1</sup>, data not shown). The IR bands at 1228, 1440 and 1649 cm<sup>-1</sup> represent different vibrational modes of alumina-adsorbed bicarbonates [39–42], and the 1515 cm<sup>-1</sup> band is characteristic of carbonates [41–45]. It was reported that bicarbonates and carbonates are generated from the reaction between CO<sub>2</sub> and hydroxyl group on the Al<sub>2</sub>O<sub>3</sub> support [46,47]. IR absorption bands appearing at 1996, 1973 and 1957 cm<sup>-1</sup> upon CO<sub>2</sub> exposure are assigned to adsorbed CO on Ru in multi-bound forms (bridging and three-folded). Although the Ru/Al<sub>2</sub>O<sub>3</sub> sample was purged with flowing He for 2 h after being reduced in H<sub>2</sub>, formation of adsorbed CO on Ru surface via reaction between CO<sub>2</sub> and residual H\* may not be totally avoided, as reported in our previous work of CO<sub>2</sub> reduction on Pd/Al<sub>2</sub>O<sub>3</sub> [15]. In order to address the possible role of residual adsorbed hydrogen on the formation of CO\* on Ru, the interaction of CO<sub>2</sub> with the 5% Ru/Al<sub>2</sub>O<sub>3</sub> sample was studied by transmission FTIR in a static cell. The absence of adsorbed H on the surface of Ru particles before

introducing controlled amounts of CO<sub>2</sub> was guaranteed by evacuating the cell to  $2.6 \times 10^{-9}$  kPa for 2 h after H<sub>2</sub>-reduction at 773 K. Fig. S1 clearly shows that only trace amount of adsorbed CO was formed on Ru/Al<sub>2</sub>O<sub>3</sub> without adsorbed H on the surface, while a large amount of adsorbed CO was produced from CO<sub>2</sub> in the presence of adsorbed H on the surface of Ru particles. Therefore, we do not exclude the possibility that adsorbed CO could be formed by direct dissociation of CO<sub>2</sub> on Ru (possibly on low-coordination sites), but it is clear that the H-assisted CO<sub>2</sub> dissociation is much more facile and is the dominant pathway for adsorbed CO formation during CO<sub>2</sub> methanation on Ru/Al<sub>2</sub>O<sub>3</sub>. It was also shown in a recent theoretical study that on Ru(0001) surface, the direct dissociation of CO<sub>2</sub> is thermodynamically favorable (–0.92 eV) but with a significant activation barrier (1.20 eV) [23], suggesting the direct CO<sub>2</sub> dissociation does not readily occur on close-packed Ru surfaces that are abundant in large Ru particles. During the CO<sub>2</sub> flow period (0–20 min), neither CH<sub>4</sub> nor CO was detected by MS (Fig. 2d). Note that the 28 amu MS signal in the 0–40 min of



time-on-stream completely accounts for the contribution of  $\text{CO}_2$  from its fragmentation in the ionizer of the mass spectrometer, as no CO formation was detected by GC in the plug-flow reactor under the same conditions.

Fig. 2b shows a rapid decrease and disappearance of all the IR features representing adsorbed bicarbonates and carbonates in He purge at 503 K. This is similar to that observed previously on  $\text{Pd}/\text{Al}_2\text{O}_3$  [15]. The adsorbed bicarbonates on the  $\text{Al}_2\text{O}_3$  support are unstable and decompose to gaseous  $\text{CO}_2$  in He purge at this temperature. In contrast, the intensities of adsorbed CO bands only slightly decreased even after 20 min purging with He. Therefore, we conclude that dissociation of  $\text{CO}^*$  hardly occurs in He; instead, the small decrease of  $\text{CO}^*$  features in IR spectra should be mainly attributed to desorption of a small fraction of  $\text{CO}^*$  in He purge at 503 K.  $\text{CH}_4$  formation was also not detected by MS in this period, as shown in Fig. 2d ( $t = 20$ –40 min).

The  $\text{H}_2$  flow was introduced into the IR cell when there was no further decrease in IR absorption band intensities of the features associated with carbonates, and the corresponding spectra (40–60 min) are shown in Fig. 2c. A much faster decrease and subsequent disappearance of the IR absorption band intensity for adsorbed CO species (1850–2100  $\text{cm}^{-1}$ ) were observed upon exposure to  $\text{H}_2$  compared with the intensity decay of this band in He in Fig. 2b, while the intensities of carbonate-related absorption bands remained almost unchanged. Concomitant with the disappearance of the IR signature of adsorbed CO is the appearance of a sharp peak of  $\text{CH}_4$  (15 amu) in the mass spectrum of the effluent gas stream (Fig. 2d;  $t = 40$  min). Furthermore, the formation of  $\text{CH}_4$  ceased as soon as the IR signals of adsorbed CO disappeared, clearly indicating that the adsorbed CO is converted only in the presence of  $\text{H}_2$ , and  $\text{CH}_4$  is produced as a result of the hydrogenation of  $\text{CO}^*$  species. A similar phenomenon was observed by Marwood et al., i.e., adsorbed CO on  $\text{Ru}/\text{TiO}_2$  catalyst was reactive in  $\text{H}_2$  and was claimed to be an intermediate for  $\text{CH}_4$  formation [26]. Likewise, adsorbed CO was also demonstrated to be an intermediate to  $\text{CH}_4$  formation in our previous study on  $\text{CO}_2$  methanation over  $\text{Pd}/\text{Al}_2\text{O}_3$  [15].

In order to investigate the transformation of surface species generated under steady state reactions in  $\text{H}_2$  or  $\text{CO}_2$ , transient experiments in which the feed gas was switched from a reactant gas mixture ( $\text{CO}_2 + \text{H}_2$ ) to the individual reactant of  $\text{H}_2$  or  $\text{CO}_2$  were performed. Fig. 3a shows the evolution of IR bands for surface species on the 5%  $\text{Ru}/\text{Al}_2\text{O}_3$  sample at 503 K upon switching from  $\text{CO}_2 + \text{H}_2$  to  $\text{CO}_2$  stream. Under the flow of  $\text{CO}_2 + \text{H}_2$  (0 min, steady state, black trace in Fig. 3a) at 503 K, intense IR features developed at 1375, 1392 and 1593  $\text{cm}^{-1}$  (not present in Fig. 2a), which are characteristic of adsorbed formate species [35]. Moreover, the intensity of bicarbonate features (1649, 1440 and 1228  $\text{cm}^{-1}$ ) became weaker compared with those observed in  $\text{CO}_2$  flow in Fig. 2a. In our previous study of  $\text{CO}_2$  methanation on  $\text{Pd}/\text{Al}_2\text{O}_3$ , bicarbonates were found to be intermediates to the formates via the reaction with adsorbed H on Pd particles. Our results here suggest that the same mechanism for the conversion of bicarbonates to formates holds for  $\text{Ru}/\text{Al}_2\text{O}_3$  as well. The IR (cf. Figs. 2 and 3) results have shown that only bicarbonate can be formed in the presence of  $\text{CO}_2$  without  $\text{H}_2$  (Fig. 2a), while formate and adsorbed CO were observed in  $\text{CO}_2 + \text{H}_2$  (the black trace in Fig. 3a). Given the above discussion that  $\text{CO}(\text{ads})$  leads to  $\text{CH}_4$  product rather than formate species on the support (Fig. 2c and d), it is clearly demonstrated that the dominant pathway for the formate formation is the reduction of bicarbonate with  $\text{H}^*$ . Here, it is pertinent to mention the result of a theoretical study showing that adsorbed  $\text{CO}_2$  on the  $\text{Ru}(0001)$  surface prefers to first undergo hydrogenation to formate ( $E_a = 0.41$  eV), rather than dissociation via carboxylic intermediate ( $E_a = 1.04$  eV to trans-COOH and 1.12 eV to cis-COOH) [23].

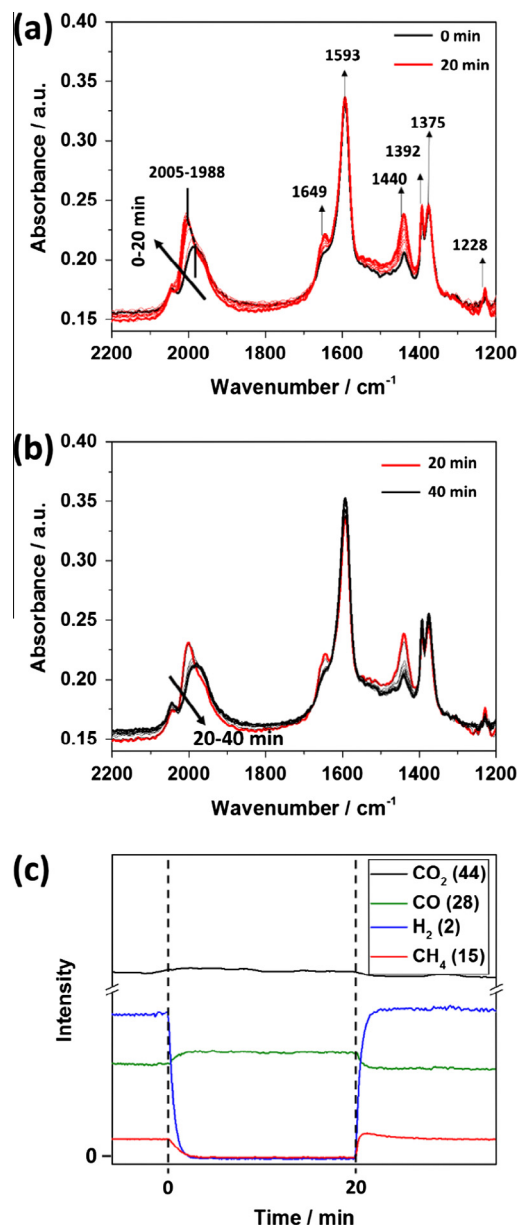


Fig. 3. DRIFT spectra collected at 503 K while the feed gas was switched (a) from  $\text{CO}_2 + \text{H}_2$  ( $t = 0$  min) to  $\text{CO}_2$  followed by a switch back (b) from  $\text{CO}_2$  ( $t = 20$  min) to  $\text{CO}_2 + \text{H}_2$  over 5%  $\text{Ru}/\text{Al}_2\text{O}_3$ . (c) The corresponding MS signals obtained at the exit of the DRIFT cell as a function of time.

Furthermore, it is worth noting that the intensity of adsorbed CO (1900–2100  $\text{cm}^{-1}$ ) in the  $\text{CO}_2 + \text{H}_2$  flow (0 min, Fig. 3a) was higher by a factor of 2 than that in Fig. 2a where the sample was exposed to a  $\text{CO}_2$  flow, apparently caused by the different concentrations of adsorbed H on Ru particles. During this period, the  $\text{CH}_4$  concentration was constant in the effluent (Fig. 3c, red trace). The formation of CO as a gaseous product could not be verified, because the 28 amu signal from  $\text{CO}_2$  fragmentation in the MS prevented us from unambiguously distinguishing CO produced by the reaction at low  $\text{CO}_2$  conversions and low CO selectivities on  $\text{Ru}/\text{Al}_2\text{O}_3$ .

Following the switch from  $\text{CO}_2 + \text{H}_2$  to  $\text{CO}_2$  at  $t = 0$  min, the band intensities of adsorbed bicarbonates and adsorbed CO increased rapidly to new steady levels with the decrease in the partial pressure of  $\text{H}_2$ , while the band intensities of formates did not change at all (red traces in Fig. 3a). However, after switching back to  $\text{CO}_2 + \text{H}_2$  at 20 min (black traces in Fig. 3b), these band intensities of adsorbed bicarbonate and adsorbed CO bands decreased to the

same levels as before in the steady-state reaction (black trace in Fig. 3a), while those of the formates still remained unaffected. Taking into account the main locations of bicarbonates and  $\text{H}^*$  ( $\text{Al}_2\text{O}_3$  support and the Ru metal, respectively), the decrease in intensity of bicarbonate bands in Fig. 3b was most possibly caused by the reaction between bicarbonates and adsorbed H occurring at the interface of Ru particles and the  $\text{Al}_2\text{O}_3$  support.

As we have mentioned earlier, both the formation and consumption of adsorbed CO required the assistance of  $\text{H}^*$ . Furthermore, the monotonic increase in IR band intensity of adsorbed CO with decreasing  $\text{H}_2$  pressure points to the competitive adsorption between  $\text{H}^*$  and  $\text{CO}^*$ . With the removal of  $\text{H}_2$  (Fig. 3a), more surface sites become available for adsorbed CO to form, whose surface concentration increases until there is no more  $\text{H}^*$  available to affect the adsorbed  $\text{CO}^*$  (both in terms of formation and consumption). Upon the re-introduction of  $\text{H}_2$ , the surface concentration of  $\text{CO}^*$  decreased (Fig. 3b), as a result of the re-established equilibrium between available surface sites, gaseous  $\text{H}_2$  and  $\text{CO}_2$ . Fig. 3c shows the evolution of the MS signals in effluents during alternate switches.  $\text{CH}_4$  concentration decreased to zero as soon as  $\text{H}_2$  was removed completely, and was immediately re-established to the steady-state level with the switch back to the  $\text{CO}_2 + \text{H}_2$  reactant gas mixture at  $t = 20$  min.

Fig. 4a shows the evolution of IR bands for surface species upon switching from  $\text{CO}_2 + \text{H}_2$  gas mixture to  $\text{H}_2$  under reaction conditions on the 5% Ru/ $\text{Al}_2\text{O}_3$  sample. The intensities of IR bands representing adsorbed CO, formate and bicarbonate all decreased as the  $\text{CO}_2$  flow was cut off. In particular, the bands attributed to bicarbonates completely disappeared after 5 min in  $\text{H}_2$  flow. It is worth noting that the disappearance of bicarbonates is faster than that in He purging (Fig. 2b), indicating the bicarbonate may migrate toward and react with adsorbed H present on Ru particles. As suggested in our previous work in  $\text{CO}_2$  reduction over Pd/ $\text{Al}_2\text{O}_3$ , the slight decrease in the IR intensity of formate bands is due to the reaction between formates located at the interface of Ru/ $\text{Al}_2\text{O}_3$  and adsorbed H on the Ru. Both Solymosi et al. and Marwood et al. concluded that most of the formates are located on the support and originate from the migration of formates formed at the metal/oxide interface by the reaction of bicarbonates (on the support) and dissociated  $\text{H}_2$  (on the metal) toward the support due to the concentration gradient [26,48]. The formate is reactive toward the formation of adsorbed CO when it is close to or on Ru particles. However, formate species far from the metallic Ru can react with adsorbed H only very slowly, as their migration to the metal/oxide interface is energetically demanding [26,48]. Non-uniform reactivities of surface formate species on supported Co catalysts have been shown by Meunier et al. by plotting the natural logarithm of the characteristic IR band intensities of formates as a function of time [49,50]. The decay of the IR signal intensity for formate surface species as a function of time exhibited two distinct regimes: after switching from a  $\text{CO} + \text{H}_2$  mixture to  $\text{H}_2$  they observed an initial fast decrease in the IR band intensities of formates followed by a slow decrease. They explained these two regimes by the presence of two types of formate species with vastly different reactivities. Following a similar data treatment described in Refs. [49,50], we plotted the logarithm of the formate band intensities between 1410 and  $1338\text{ cm}^{-1}$  as a function of time after the  $\text{CO}_2 + \text{H}_2$  gas mixture was switched to  $\text{H}_2$  (Fig. S2). The two separate processes of formate decomposition further suggest that two types of formates were initially present: one was close to Ru particles, while the other one was distant from Ru particles.

The decrease in the IR intensities of adsorbed CO bands after switching from  $\text{CO}_2 + \text{H}_2$  to  $\text{H}_2$  is due to the consumption of  $\text{CO}^*$  via hydrogenation to form  $\text{CH}_4$  based on the conclusion from Fig. 2. More importantly, only the  $\text{CO}^*$  species at  $1978\text{ cm}^{-1}$  gradually disappeared over time (Fig. 4a). No more  $\text{CH}_4$  was detected

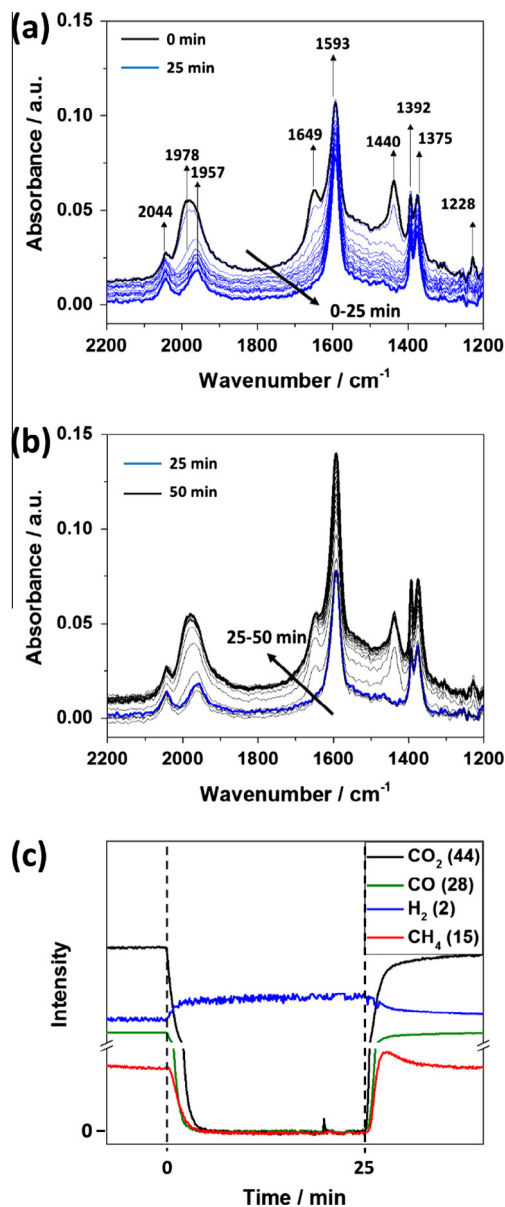


Fig. 4. DRIFT spectra collected at 503 K when the feed gas was switched (a) from  $\text{CO}_2 + \text{H}_2$  ( $t = 0$  min) to  $\text{H}_2$  followed by a switch back (b) from  $\text{H}_2$  ( $t = 25$  min) to  $\text{CO}_2 + \text{H}_2$  over 5% Ru/ $\text{Al}_2\text{O}_3$ . (c) The corresponding MS signals obtained at the exit of the DRIFT cell as a function of time.

in the effluent of the reactor after the disappearance of adsorbed CO at  $1978\text{ cm}^{-1}$ . The adsorbed CO showing bands at 2044 and  $1957\text{ cm}^{-1}$  did not disappear even after 25 min in  $\text{H}_2$  flow. Similarly, Behm et al. found that when adsorbed CO on Ru/ $\text{Al}_2\text{O}_3$  formed in CO methanation condition was exposed to flowing  $\text{H}_2/\text{N}_2$ , the broad band of adsorbed CO decreased but did not disappear completely [51]. Even after 1000 min of exposure to  $\text{H}_2/\text{N}_2$ , the two bands at 2040 and  $1960\text{ cm}^{-1}$  were still present. They attributed the two bands to linear and bridging bonded  $\text{CO}^*$ , respectively, but they were unable to explain their persistence to hydrogenation. These stable species were in some cases interpreted as adsorbed CO in disordered phase [26]. However, in view of results from prior literature on the two peaks at 2044 and  $1967\text{ cm}^{-1}$  with the integrated intensity ratio of  $\sim 1$  [52–54], we attribute these stable  $\text{CO}(\text{ads})$  to be geminal di-carbonyls (i.e.,  $\text{Ru}(\text{CO})_2$ ) adsorbed on low coordination Ru sites. Due to the coordinative saturation of these Ru sites,  $\text{H}_2$  dissociation on these  $\text{Ru}(\text{CO})_2$  species is

suppressed, resulting in the high stability (inactivity toward further hydrogenation) of the  $\text{CO}^*$  species. Therefore, these gem-dicarbonyls cannot be considered as reactive intermediates in  $\text{CH}_4$  formation, as evidenced by the lack of correlation between their IR band intensities and  $\text{CH}_4$  formation (MS).

As the feed was switched back to  $\text{CO}_2 + \text{H}_2$ , the intensities of all infrared bands representing reactive  $\text{CO}^*$ , bicarbonates, as well as formates, gradually recovered (at 50 min; Fig. 4b) to the levels observed at  $t = 0$  min. The  $\text{CO}^*$  band at  $1978\text{ cm}^{-1}$  increased rapidly and overlapped with the one at  $1957\text{ cm}^{-1}$ , and combining into one broad band as it was in the spectrum at  $t = 0$  min.  $\text{CH}_4$  formation rate rapidly reached the same steady-state level as it was at  $t = 0$  min (Fig. 4c). All these observations show that the changes in the coverages of key surface species are fully reversible during *in situ* DRIFTS experiments on 5%  $\text{Ru}/\text{Al}_2\text{O}_3$ .

The same set of surface species and qualitatively similar evolution patterns were observed during the series of transient *in situ* DRIFTS experiments on the 0.5%  $\text{Ru}/\text{Al}_2\text{O}_3$  catalyst performed in the same way as for the 5%  $\text{Ru}/\text{Al}_2\text{O}_3$  catalyst sample. The DRIFTS spectra recorded in these experiments are shown in Figs. S3–S5. However, during the steady-state reaction condition, some differences shown in Fig. 5a were noticed in the IR band position and intensity for adsorbed CO, possibly associated with the different average particle sizes of Ru in the two samples. For example, larger Ru particles on 5%  $\text{Ru}/\text{Al}_2\text{O}_3$  have more terrace sites which favor the formation of bridging bonded  $\text{CO}^*$ , whereas 0.5%  $\text{Ru}/\text{Al}_2\text{O}_3$  has more highly dispersed Ru sites which favor the formation of geminal di-carbonyls. Another difference is observed in the  $1200\text{--}1800\text{ cm}^{-1}$  region during the steady-state reaction, i.e., the IR band intensity of bicarbonates was higher, while that of formate species (after subtracting the contribution from the overlapping bicarbonate bands) was lower, on 0.5%  $\text{Ru}/\text{Al}_2\text{O}_3$  than those on 5%  $\text{Ru}/\text{Al}_2\text{O}_3$ . Both species are associated with the  $\text{Al}_2\text{O}_3$  support. Note that this phenomenon is analogous to that observed on 0.5% and 5%  $\text{Pd}/\text{Al}_2\text{O}_3$  in our previous study: 0.5%  $\text{Pd}/\text{Al}_2\text{O}_3$  catalyst provides fewer surface sites for  $\text{H}^*$  to react with bicarbonates to form formate, which, in turn, gives rise to a lower band intensity of formate and a higher band intensity of bicarbonate in a IR spectrum [15]. The same explanation seems to be valid for the  $\text{Ru}/\text{Al}_2\text{O}_3$  samples.

Fig. 5b shows the IR spectra collected after 20 min in  $\text{H}_2$  following a switch from the steady-state reaction in  $\text{CO}_2 + \text{H}_2$  on 5% and 0.5%  $\text{Ru}/\text{Al}_2\text{O}_3$ . While a fraction of  $\text{CO}^*$  is rapidly converted in  $\text{H}_2$  to  $\text{CH}_4$  (Figs. 4 and S5), the geminal di-carbonyl present in Fig. 5b is stable and unreactive, as discussed above. As inferred from the integrated intensity (Fig. 5b), the concentration of these geminal di-carbonyl on 0.5%  $\text{Ru}/\text{Al}_2\text{O}_3$  is much larger than that on 5%  $\text{Ru}/\text{Al}_2\text{O}_3$ .

$\text{Al}_2\text{O}_3$ . Moreover, from the transient DRIFTS experiment switching from  $\text{CO}_2 + \text{H}_2$  to  $\text{H}_2$  (Figs. 4a and S4a), the concentration of reactive  $\text{CO}^*$  is lower on 0.5%  $\text{Ru}/\text{Al}_2\text{O}_3$  than that on 5%  $\text{Ru}/\text{Al}_2\text{O}_3$ . The fraction of inactive surface Ru sites that do not contribute to catalytic turnovers for  $\text{CO}_2$  methanation is larger on 0.5%  $\text{Ru}/\text{Al}_2\text{O}_3$  than that on 5%  $\text{Ru}/\text{Al}_2\text{O}_3$ . This is one of the reasons for the lower “apparent” turnover rate (normalized on basis of all exposed surface atoms, rather than the “active” surface atoms) on 0.5%  $\text{Ru}/\text{Al}_2\text{O}_3$  than on 5%  $\text{Ru}/\text{Al}_2\text{O}_3$  during  $\text{CO}_2$  hydrogenation, despite the slightly lower apparent activation energy over 0.5%  $\text{Ru}/\text{Al}_2\text{O}_3$ .

Overall, the similar evolution profiles of surface species observed during the transient FTIR spectroscopic experiments, and the similar reaction orders and activation energies during steady-state reactions on the two  $\text{Ru}/\text{Al}_2\text{O}_3$  catalysts indicate that the mechanism of  $\text{CO}_2$  methanation on both  $\text{Ru}/\text{Al}_2\text{O}_3$  catalysts is identical, irrespective of their different average Ru particle sizes. Our results presented above have clearly demonstrated that the activation of  $\text{CO}_2$  on  $\text{Ru}/\text{Al}_2\text{O}_3$  catalysts did not occur measurably via direct dissociation to  $\text{CO}^*$  and  $\text{O}^*$ ; rather, it proceeds through a bicarbonate intermediate, which, in turn, reacts with adsorbed hydrogen, as concluded for  $\text{Pd}/\text{Al}_2\text{O}_3$ . This reaction possibly occurs at the interface of Ru and  $\text{Al}_2\text{O}_3$  and the product is most likely formate. Those formate species at the interfacial sites are reactive toward adsorbed H to form adsorbed CO. However, due to the slower rate of consumption than the rate of formation, the formate accumulates at the interface and migrates toward  $\text{Al}_2\text{O}_3$  support due to its concentration gradient [26,48]. It is also clearly shown that dissociation of  $\text{CO}^*$  does not occur in the absence of  $\text{H}_2$ . Although it is envisaged that the adsorbed CO must be sequentially hydrogenated to methane, the steady-state concentrations of surface intermediates (e.g., formyl,  $\text{CH}_x^*$ ) in these steps are much lower compared with  $\text{CO}^*$ , suggesting that they are rapidly and irreversibly converted and, consequently, present only at very low coverages.

With the present spectroscopic and kinetic data, it is, however, still difficult to identify the rate-controlling step during  $\text{CO}_2$  methanation on  $\text{Ru}/\text{Al}_2\text{O}_3$ . Solymosi et al. ruled out the possibility of  $\text{CO}_2$  dissociation (formation of adsorbed CO) being the rate-determining step based on the higher activation energy of CO methanation than that of  $\text{CO}_2$  methanation on  $\text{Ru}/\text{Al}_2\text{O}_3$  [17]. Bartholomew et al. concluded that the dissociation of  $\text{CO}^*$  is the rate-determining step in  $\text{CO}_2$  methanation over  $\text{Ni}/\text{SiO}_2$  on the basis of kinetic modeling results using Langmuir–Hinshelwood expressions. As the evolution patterns of important surface species (bicarbonate, formate and adsorbed CO) on  $\text{Ru}/\text{Al}_2\text{O}_3$  are similar to those on  $\text{Pd}/\text{Al}_2\text{O}_3$  in our previous study, where the dissociation of  $\text{CO}^*$  was proposed to be the rate-determining step, we argue that

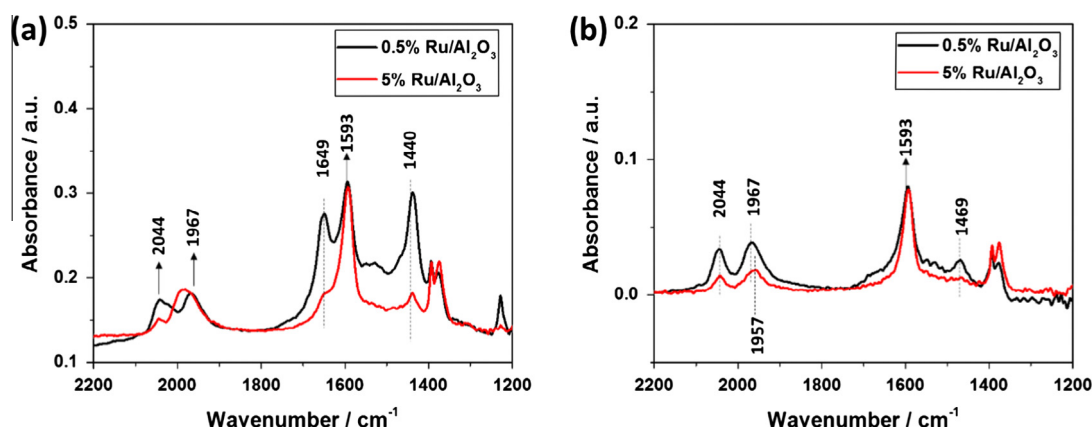


Fig. 5. DRIFT spectra collected at 503 K after 20 min in the feed gas of (a)  $\text{CO}_2 + \text{H}_2$  and after 20 min in (b)  $\text{H}_2$  switched from  $\text{CO}_2 + \text{H}_2$  over 0.5% and 5%  $\text{Ru}/\text{Al}_2\text{O}_3$ .



the reaction between adsorbed H and adsorbed CO, rather than other steps, is the rate-determining step in CO<sub>2</sub> methanation also on Ru/Al<sub>2</sub>O<sub>3</sub>. Next, we present the results of our kinetic modeling study that support the proposed mechanistic sequence discussed above.

### 3.3. Kinetic modeling and assessments

The proposed sequence of elementary and lumped steps is listed in Tables 2 and 3. A H<sub>2</sub> molecule dissociates on the Ru surface into two chemisorbed H-atoms (Step 1). This step is known to be sufficiently fast on Group VIII transition metals to be considered quasi-equilibrated during the methanation reaction [55]. The other reactant, CO<sub>2</sub>, is converted on the surface via a series of surface reactions (Steps 2–5), including the formation of bicarbonate (Step 2), H-assisted decomposition of bicarbonate to surface formate species (Step 3) followed by decomposition of the formate species into chemisorbed CO (Steps 4–5), as proposed by Renken et al. [26] and evidenced in our *in situ* transient DRIFTS–MS study. Note that the chemisorbed CO<sub>2</sub> in the form of bicarbonate and formate is mainly associated with the support surface, as suggested by Shelef [56] and Solymosi et al. [17]. In that sense, the quasi-equilibrated steps 2 and 3 in Tables 2 and 3 should be regarded as lumped steps including a migration step of bicarbonates (it is unstable according to our DRIFTS experiments and its migration should occur rapidly in order not to limit the methanation kinetics) from the support to the Ru surface. Only the formate formed at the metal-support interface is regarded as the reactive formate directly participating in the reaction (i.e., HCOO\* in step 4). Due to the slow rate of conversion of formate at the interface, the formate migrates from the interface to the support under its gradient concentration, becoming irrelevant to the reaction. As it is conceptually difficult to imagine adsorbed CO to be formed within one elementary step between HCOO\* and adsorbed H, we assumed the intermediacy of a formyl species, i.e., HCO\* (ads) which might be present only in very low surface coverage and thus could not be detected in the IR spectra. The surface reaction of chemisorbed CO and activated hydrogen atom may proceed in two pathways: (I) CO\* + H\* = C\* + OH\* (Step I-6 in Table 2) and (II) CO\* + H\* = CH\* + O\* (Step II-6 in Table 3). Methane is formed via further hydrogenation of carbon species like C\* and CH<sub>x</sub>\* (Steps I-7 and 9–11) and desorption (Step 12), steps that are irrelevant to the overall methanation kinetics. Concomitantly, oxygen species (O\* and OH\*) are converted via H-addition reactions (Steps II-7 and 8) followed by water desorption (Step 13). Several elementary steps such as CO desorption in side reactions including CO formation were omitted from the proposed sequence owing to the fact that they are kinetically irrelevant for the methane formation and side reactions only occur to a small or even negligible extent over the Ru/Al<sub>2</sub>O<sub>3</sub> catalysts under the conditions applied in this study. As discussed earlier, the surface

**Table 2**  
Proposed sequence of elementary and lumped steps for CO<sub>2</sub> hydrogenation over Ru/Al<sub>2</sub>O<sub>3</sub> catalysts (mechanism I).

Step	Reaction	Assumption	Constants
1	H <sub>2</sub> (g) + 2* = 2H*	Quasi-equilibrium step	K <sub>1</sub>
2	CO <sub>2</sub> + OH* = HCO <sub>3</sub> *	Quasi-equilibrium step	K <sub>2</sub>
3	HCO <sub>3</sub> * + H* = HCOO* + OH*	Quasi-equilibrium step	K <sub>3</sub>
4	HCOO* + H* = HCO* + OH*	Quasi-equilibrium step	K <sub>4</sub>
5	HCO* + * = CO* + H*	Quasi-equilibrium step	K <sub>5</sub>
I-6	CO* + H* = C* + OH*	Rate determining step	k <sub>6</sub>
I-7	C* + H* = CH* + *	Low coverage of C*	
8	OH* + H* = H <sub>2</sub> O* + *	Irreversible	k <sub>8</sub>
9	CH* + H* = CH <sub>2</sub> * + *	Low coverage of CH*	
10	CH <sub>2</sub> * + H* = CH <sub>3</sub> * + *	Low coverage of CH <sub>2</sub> *	
11	CH <sub>3</sub> * + H* = CH <sub>4</sub> * + *	Low coverage of CH <sub>3</sub> *	
12	CH <sub>4</sub> * = CH <sub>4</sub> (g) + *	Low coverage of CH <sub>4</sub> *	
13	H <sub>2</sub> O* = H <sub>2</sub> O(g) + *	Low coverage of H <sub>2</sub> O*	

**Table 3**

Proposed sequence of elementary and lumped steps for CO<sub>2</sub> hydrogenation over Ru/Al<sub>2</sub>O<sub>3</sub> catalysts (mechanism II).

Step	Reaction	Assumption	Constants
1	H <sub>2</sub> (g) + 2* = 2H*	Quasi-equilibrium step	K <sub>1</sub>
2	CO <sub>2</sub> + OH* = HCO <sub>3</sub> *	Quasi-equilibrium step	K <sub>2</sub>
3	HCO <sub>3</sub> * + H* = HCOO* + OH*	Quasi-equilibrium step	K <sub>3</sub>
4	HCOO* + H* = HCO* + OH*	Quasi-equilibrium step	K <sub>4</sub>
5	HCO* + * = CO* + H*	Quasi-equilibrium step	K <sub>5</sub>
II-6	CO* + H* = CH* + O*	Rate determining step	k <sub>6</sub>
II-7	O* + H* = OH*	Low coverage of O*	
8	OH* + H* = H <sub>2</sub> O* + *	Irreversible	k <sub>8</sub>
9	CH* + H* = CH <sub>2</sub> * + *	Low coverage of CH*	
10	CH <sub>2</sub> * + H* = CH <sub>3</sub> * + *	Low coverage of CH <sub>2</sub> *	
11	CH <sub>3</sub> * + H* = CH <sub>4</sub> * + *	Low coverage of CH <sub>3</sub> *	
12	CH <sub>4</sub> * = CH <sub>4</sub> (g) + *	Low coverage of CH <sub>4</sub> *	
13	H <sub>2</sub> O* = H <sub>2</sub> O(g) + *	Low coverage of H <sub>2</sub> O*	

sites (\*) invoked in the proposed mechanistic sequence should count only those surface Ru atoms that are not irreversibly covered by strongly adsorbed CO species, rather than all the exposed surface Ru atoms. The fraction of these active Ru sites, however, is not precisely known at this stage. Therefore, the modeling results presented below were still based upon an inaccurate proxy (i.e., total surface atoms) for the “actual” TOFs. This would lead to uncertainties in the rate constant for the RDS (k<sub>6</sub>), but there would be no effects on the regressed thermodynamic constants and activation enthalpies.

#### 3.3.1. Langmuir–Hinshelwood type models for mechanisms I and II

As shown in Table S1, a Langmuir–Hinshelwood type kinetics model for methane formation over Ru/Al<sub>2</sub>O<sub>3</sub> catalyst via mechanism I in Table 2 is derived based on the sequence of steps and assumptions listed in Table 2. The hydrogenation of CO\* species was assumed to be the rate-determining step (Step 6) based on the discussion above. All prior steps (Steps 1–5) were assumed to be in quasi-equilibrium, while all successive steps, except for step 8, were assumed to be kinetically irrelevant as the coverage of the intermediates (C\*, CH<sub>x</sub>\* and H<sub>2</sub>O\*) was negligible and the corresponding rate constants were much larger than those of the rate-determining step, as suggested in the literature [57–59]. The rate equation is shown as Eq. (1), with the detailed derivations and assumptions shown in the Supporting Information:

$$r = \frac{\sqrt{k_6 k_8 K_2 K_3 K_4 K_5 P_{\text{CO}_2}} (K_1 P_{\text{H}_2})^{3/4}}{(1 + \sqrt{K_1 P_{\text{H}_2}} + \sqrt{K_1 P_{\text{H}_2}} K_2 K_3 P_{\text{CO}_2}} + \sqrt{\sqrt{K_1 P_{\text{H}_2}} K_2 K_3 K_4 K_5 P_{\text{CO}_2}} \left( \sqrt{\frac{k_8}{k_6}} + \sqrt{\frac{k_6}{k_8}} \right)^2)} \quad (1)$$

Similarly, another Langmuir–Hinshelwood type kinetics model (Table S2) for methane formation over Ru/Al<sub>2</sub>O<sub>3</sub> catalyst via mechanism II in Table 3 is derived based on the sequence of steps and assumptions listed in Table 3. The hydrogenation of CO\* species was assumed to be the rate-determining step (Step 6). All prior steps (Steps 1–5) were assumed to be in quasi-equilibrium while all successive steps except for step 8 were assumed to be kinetically irrelevant, as discussed for mechanism I. The kinetic expression for methane formation along mechanism II is in the same mathematical form as that derived for mechanism I (Eq. (1)), indicating that the two mechanisms are indistinguishable from kinetic measurements. Note, however, that the rate constant k<sub>6</sub> in Eq. (II-11) in Table S2 refers to the rate constant for CO\* + H\* = CH\* + O\* while the rate constant k<sub>6</sub> in Eq. (I-11) in Table S2 refers to the rate constant for CO\* + H\* = C\* + OH\*. Most likely, the exact molecular-level details for the rate-determining step will only be accessible from theoretical evaluations which are beyond the scope of the present work.



### 3.3.2. Assessments of the regressed kinetic and thermodynamic constants and related energetics

TOFs for methane formation obtained at low CO<sub>2</sub> conversion levels (<5.5%) under different reaction conditions (reaction temperature = 513–573 K;  $P_{H_2}$  = 10–70 kPa;  $P_{CO_2}$  = 10–70 kPa) were fitted with the derived Langmuir–Hinshelwood type rate expression (Eq. (1)) to obtain equilibrium and rate constants listed in Tables 2 and 3. Parity plots for estimated and experimental TOFs for methane formation over Ru/Al<sub>2</sub>O<sub>3</sub> catalysts are depicted in Fig. 6. All experimental TOF data align on the diagonal line with a slope of unity, indicating that both of the proposed mechanisms I and II are capable of explaining the measured kinetics for a wide range of conditions. The average relative errors for the fitting of TOF values for 0.5% Ru/Al<sub>2</sub>O<sub>3</sub> and 5% Ru/Al<sub>2</sub>O<sub>3</sub> are 3.1% and 2.9%, respectively. The equilibrium constants or their combinations ( $K_1$ ,  $K_2K_3$  and  $K_4K_5$ ) and rate constants ( $k_6$  and  $k_8$ ) obtained from regression are listed in Table 4. The regressed equilibrium constants are very similar on 0.5% and 5% Ru/Al<sub>2</sub>O<sub>3</sub> catalysts at the same reaction temperature, indicating that the Gibbs free energy changes of corresponding adsorption and surface transformation steps are nearly identical on the two catalysts. The coverages of different surface species can be estimated using these regressed thermodynamic constants. It turns out that the coverages of HCOO\* (at interface sites) and OH\* are much lower (combined for <3% of the total active sites) compared to those of CO\* and H\*, suggesting that CO\* + H\* is the most populated state for this reaction.

In addition, the regressed rate constants of CO\* hydrogenation ( $k_6$ ) on both catalysts are one order of magnitude smaller than

**Table 4**

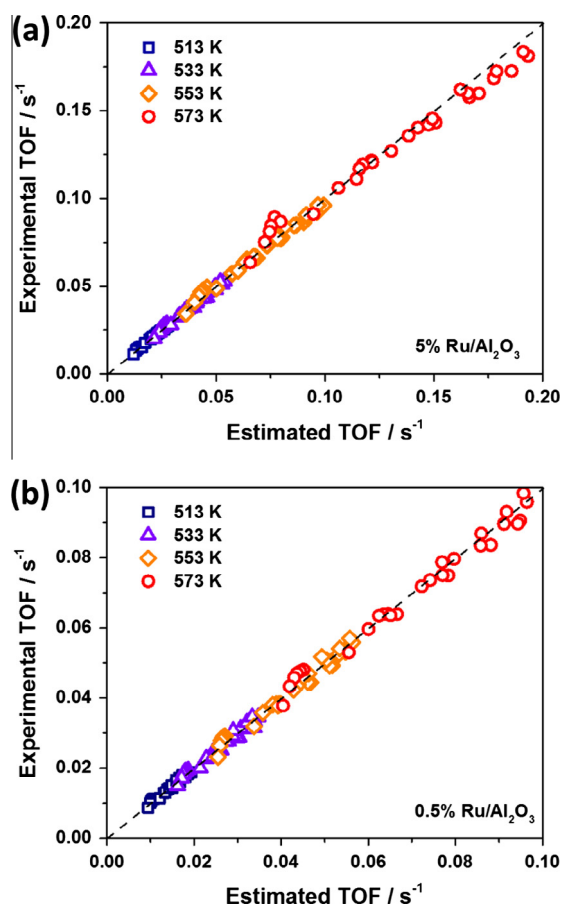
The rate and equilibrium constants for CO<sub>2</sub> hydrogenation on 5% and 0.5% Ru/Al<sub>2</sub>O<sub>3</sub> at 513–573 K and different partial pressures of CO<sub>2</sub> and H<sub>2</sub> (10–70 kPa for both, total pressure 101 kPa balanced with He).

Catalyst	T/K	Rate and equilibrium constants				
		$K_1/\text{kPa}^{-1}$	$K_2K_3/\text{kPa}^{-1}$	$K_4K_5$	$k_6/\text{s}^{-1}$	$k_8/\text{s}^{-1}$
5% Ru/Al <sub>2</sub> O <sub>3</sub>	513	0.010	0.00045	6.7	0.30	3.9
	533	0.005	0.00037	11.0	0.69	7.5
	553	0.003	0.00031	18.1	1.51	12.9
	573	0.002	0.00026	32.0	3.35	24.1
0.5% Ru/Al <sub>2</sub> O <sub>3</sub>	513	0.015	0.00044	6.3	0.17	3.4
	533	0.009	0.00035	10.2	0.36	5.9
	553	0.005	0.00030	17.6	0.68	10.8
	573	0.003	0.00027	27.2	1.40	17.2

the rate constants of OH\* hydrogenation ( $k_8$ ) at the same temperature, indicating CO\* hydrogenation is indeed the rate determining step. Notably, the rate constant for the rate-determining step ( $k_6$ ) is lower on the 0.5% Ru/Al<sub>2</sub>O<sub>3</sub> catalyst than on 5% Ru/Al<sub>2</sub>O<sub>3</sub> for each temperature, consistent with the experimental observation. However, as discussed in Section 3.2, the TOF values used in the kinetic modeling are the lower limits of the intrinsic TOF values due to the overestimation of active site population, and thus the rate constants calculated based on those TOF values also serve as the lower limits of the intrinsic rate constants for each elementary step.

Despite the overestimation of the rate constants, their temperature dependences and energetic parameters (enthalpies) derived from their temperature dependences are valid as intrinsic characteristics of elementary steps over each catalyst. Temperature dependences of the fitted equilibrium and rate constants are shown in Fig. S6. The energetic parameters (enthalpy and entropy changes) derived from Van't Hoff equation (enthalpy change for quasi-equilibrated steps), Arrhenius equation (activation enthalpy for the irreversible step) and Eyring–Polanyi equation (activation entropy for the irreversible step) are listed in Table 5 and depicted in Fig. 7. Both catalysts showed similar enthalpy changes for hydrogen adsorption ( $\Delta H_1$ , –63.6 kJ/mol for 5% Ru/Al<sub>2</sub>O<sub>3</sub> vs. –66.1 kJ/mol for 0.5% Ru/Al<sub>2</sub>O<sub>3</sub>), CO<sub>2</sub> chemisorption to form formate ( $\Delta H_2 + \Delta H_3$ , –22.3 kJ/mol for 5% Ru/Al<sub>2</sub>O<sub>3</sub> vs. –19.8 kJ/mol for 0.5% Ru/Al<sub>2</sub>O<sub>3</sub>), and formate decomposition to form CO\* ( $\Delta H_4 + \Delta H_5$ , 63.4 kJ/mol for 5% Ru/Al<sub>2</sub>O<sub>3</sub> vs. 60.2 kJ/mol for 0.5% Ru/Al<sub>2</sub>O<sub>3</sub>). Note, in particular, that the enthalpy associated with hydrogen chemisorption ( $\Delta H_1$ ) is in good agreement with experimental value (–69 kJ/mol at  $\theta_H = 0.2$  on Ru(0001)) reported by Ertl et al. [60]. The nearly identical enthalpies associated with those key quasi-equilibrated steps provide a similar energy for the combination of surface species (CO\* + OH\* + H\*, –22.6 kJ/mol for 5% Ru/Al<sub>2</sub>O<sub>3</sub> vs. –25.4 kJ/mol for 0.5% Ru/Al<sub>2</sub>O<sub>3</sub> referenced to initial state with gas phase CO<sub>2</sub>, H<sub>2</sub> and unoccupied Ru surface, Fig. 7) over both catalysts suggesting that the difference in the catalytic activity on these two catalysts (TOFs and apparent activation energy) does not originate from any enhancing or weakening in adsorption of key reaction intermediates.

Remarkably higher activation enthalpies were obtained on 5% Ru/Al<sub>2</sub>O<sub>3</sub>, on the basis of kinetic modeling results, for both CO\* hydrogenation ( $\Delta H_6^\ddagger$ , 98.5 kJ/mol for 5% Ru/Al<sub>2</sub>O<sub>3</sub> vs. 85.0 kJ/mol for 0.5% Ru/Al<sub>2</sub>O<sub>3</sub>) and OH\* hydrogenation reactions ( $\Delta H_8^\ddagger$ , 73.8 kJ/mol for 5% Ru/Al<sub>2</sub>O<sub>3</sub> vs. 67.3 kJ/mol for 0.5% Ru/Al<sub>2</sub>O<sub>3</sub>). The energy diagrams for both reactions (Fig. 7) suggest that such differences in activation enthalpy lie in the fact that the transition states for both steps are more stabilized on the 0.5% Ru/Al<sub>2</sub>O<sub>3</sub> catalyst, probably due to a stronger binding of the transition state complex to under-coordinated sites (edge and corner), which are more abundant in catalysts with smaller Ru nanoparticles. However, the higher activation enthalpy over 5% Ru/Al<sub>2</sub>O<sub>3</sub> must have been

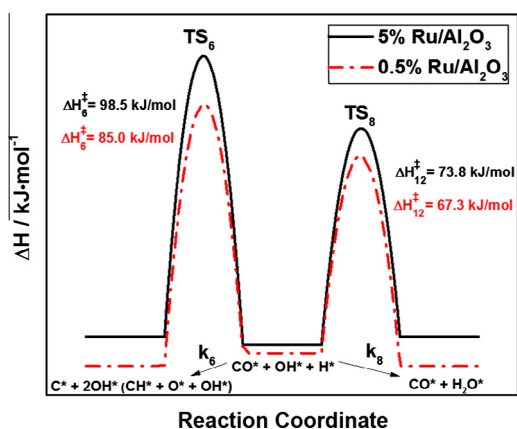


**Fig. 6.** Parity plot for estimated and experimental TOFs for methane formation over (a) 5% Ru/Al<sub>2</sub>O<sub>3</sub> and (b) 0.5% Ru/Al<sub>2</sub>O<sub>3</sub> catalysts at 513–573 K and different partial pressures of CO<sub>2</sub> and H<sub>2</sub> (10–70 kPa for both, total pressure 101 kPa balanced with He).

**Table 5**  
Energetic parameters for CO<sub>2</sub> hydrogenation on 5% and 0.5% Ru/Al<sub>2</sub>O<sub>3</sub> at 513–573 K and different partial pressures of CO<sub>2</sub> and H<sub>2</sub> (10–70 kPa for both, total pressure 101 kPa balanced with He).

Catalyst	Enthalpy (kJ/mol) and entropy (J/mol/K)					
	$\Delta H_1$	$\Delta H_2 + \Delta H_3$	$\Delta H_4 + \Delta H_5$	$\Delta H_6^\ddagger$	$\Delta H_8^\ddagger$	$\Delta S_6^{\ddagger a}$
5% Ru/Al <sub>2</sub> O <sub>3</sub>	−63.6 ± 1.5	−22.3 ± 0.2	63.4 ± 3.0	98.5 ± 1.5	73.8 ± 2.2	−48.4 ± 2.9
0.5% Ru/Al <sub>2</sub> O <sub>3</sub>	−66.1 ± 2.4	−19.8 ± 1.9	60.2 ± 1.6	85.0 ± 2.0	67.3 ± 1.8	−79.3 ± 3.9

<sup>a</sup> Activation entropy calculated from TOFs obtained at 513 K using Eyring–Polanyi equation.



**Fig. 7.** Energy diagram of irreversible reaction steps during CO<sub>2</sub> methanation over (solid black line) 5% Ru/Al<sub>2</sub>O<sub>3</sub> and (dotted red line) 0.5% Ru/Al<sub>2</sub>O<sub>3</sub> catalysts. (The energies for (C\* + 2OH\*)/(CH\* + O\* + OH\*) and (CO\* + H<sub>2</sub>O\*) are arbitrary and shown here only for illustration purposes.)

compensated by the more favorable entropic factors in order to account for the higher TOFs and intrinsic rate constants for the rate-determining step ( $k_6$ ) on this catalyst, compared with 0.5% Ru/Al<sub>2</sub>O<sub>3</sub> catalyst. The activation entropy change for both CO\* and OH\* hydrogenation steps over the two Ru/Al<sub>2</sub>O<sub>3</sub> catalysts was calculated using data obtained at 513 K and listed in Table 5. Both steps (Steps I/II-6 and 8) feature negative activation entropies, suggesting a loss of degree of freedom toward the formation of transition states (i.e., “tight” transition states). In turn, we surmise that the transition states for Steps I/II-6 and 8 on 5% Ru/Al<sub>2</sub>O<sub>3</sub> are not only less enthalpically stabilized but also less “tight” (i.e.,  $\Delta\Delta S_6^\ddagger$  for 5% Ru/Al<sub>2</sub>O<sub>3</sub> –  $\Delta S_6^\ddagger$  for 0.5% Ru/Al<sub>2</sub>O<sub>3</sub>) = 30.9 J/mol/K and  $\Delta\Delta S_8^\ddagger$  for 5% Ru/Al<sub>2</sub>O<sub>3</sub> –  $\Delta S_8^\ddagger$  for 0.5% Ru/Al<sub>2</sub>O<sub>3</sub>) = 13.8 J/mol/K; Table 4), leading to smaller rate constants for both steps (Table 3). Note that these differences in activation entropies represent upper limits due to the fact that the difference in the “actual” TOFs (normalized to the number of active surface sites rather than all surface sites) between the two catalysts has been overestimated, as discussed in Section 3.2.

#### 4. Conclusions

Steady-state kinetic and transient IR spectroscopic measurements suggest that the mechanism for and the surface species involved in CO<sub>2</sub> methanation do not change with the size of Ru particles in the studied range of Ru dispersions and reaction conditions. In the absence of adsorbed H, CO<sub>2</sub> does not dissociate directly to CO\* and O\* on Ru/Al<sub>2</sub>O<sub>3</sub> catalysts; instead, it reacts with a hydroxyl on Al<sub>2</sub>O<sub>3</sub> to form a bicarbonate, which, in turn, reacts with adsorbed hydrogen to yield a formate. Formates near the Ru particles (most likely at the metal/oxide interface) can rapidly react with adsorbed H to produce adsorbed CO, most likely via more than one elementary step. Remarkably, we find that only a portion of the CO\* is reactive toward adsorbed H on Ru, leading

eventually to CH<sub>4</sub> formation. The other CO\* is inactive toward further methanation and present mainly in geminal di-carbonyl form adsorbed on low coordination Ru sites. These low-coordinated sites are more prevalent on the surface of smaller Ru particles than on larger Ru particles, resulting in the lower rates for CO<sub>2</sub> methanation on the former structure. Hereby, we envision that a tailored design and synthesis of Ru catalysts with large particles that minimize the possibility of gem-di-carbonyl formation could facilitate CO<sub>2</sub> methanation. The kinetic data are fully consistent with a Langmuir–Hinshelwood type mechanism involving all the spectroscopically detectable species and H-assisted dissociation of the reactive CO(ads) species as the rate-determining step. The detailed evaluation of the kinetic and thermodynamic constants within this mechanistic model has also allowed us to gain a better understanding on the origin of the Ru particle size effect and the energetics for elementary steps, which will serve as a basis for theoretical assessments on these catalysts in the future.

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#### Appendix A. Supplementary material

Supplementary data associated with this article can be found, in the online version, at <http://dx.doi.org/10.1016/j.jcat.2016.02.001>.

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