L4 grammar

```
p:=(e (1 (x ...) e) ...)
    e::= (let ((x e)) e)
       |(if e e e)
       (e e ...)
       | (new-array e e)
       | (new-tuple e ...)
       |(aref e e)
       | (aset e e e)
       |(alen e)
       | (begin e e)
       | (print e)
       | (make-closure 1 e)
       | (closure-proc e)
       | (closure-vars e)
       | (biop e e)
       (pred e)
       num
       x
       1
biop::=+ | - | * | cmpop
cmpop::=< | <= | =
pred::=number? | a?
```

L4 vs. L3

```
p:=(e (1 (x ...) e) ...) p:=(e (1 (x ...) e) ...)
    e::= (let ((x e)) e)
                               e::= (let ((x d)) e)
       |(if e e e)
                                     |(if v e e)
                                      d
       (e e ...)
                                   d:=(v v \dots)
       |(new-array e e)
                                     | (new-array v v)
       | (new-tuple e ...)
                                     | (new-tuple v ...)
       |(aref e e)
                                     | (aref v v)
       (aset e e e)
                                     (aset v v v)
       (alen e)
                                     | (alen v)
       | (begin e e)
       | (print e)
                                     | (print v)
       | (make-closure 1 e)
                                     | (make-closure 1 v)
       (closure-proc e)
                                     | (closure-proc v)
       (closure-vars e)
                                     (closure-vars v)
       (biop e e)
                                     | (biop v v)
       (pred e)
                                      (pred v)
                                      V
       num
                                   v := x | 1 | num
       x
       1
                               biop::=+|-|*|cmpop
biop::=+ | - | * | cmpop
                              cmpop::=< | <= | =
cmpop::=< | <= | =
                               pred::=number? | a?
pred::=number? | a?
```

```
; results = (new-tuple nat[passed] nat[tried])
; :update : int[bool] results → results
; called after each test case has run
>(:update 1 (new-tuple 3 4))
(new-tuple 4 5)
>(:update 0 (new-tuple 3 4))
(new-tuple 3 5)
(:update
 (pass? results)
 (new-tuple
  (let ((passed (aref 0 results)))
    (if pass? (+ 1 passed) passed))
  (+ 1 (aref 1 results))))
```

```
(:update
  (pass? results)
  (new-tuple
   (let ((passed (aref 0 results)))
        (if pass? (+ 1 passed) passed))
   (+ 1 (aref 1 results))))
```

```
(:update
    (pass? results)
    (new-tuple
        (let ((passed (aref 0 results)))
            (if pass? (+ 1 passed) passed))
        (+ 1 (aref 1 results))))
Next: lift (aref 0 results)
```

```
(:update
   (pass? results)
   (let ((x 1 (aref 0 results)))
     (let ((passed x 1))
       (if pass?
          (new-tuple
           (+ 1 passed)
           (+ 1 (aref 1 results)))
          (new-tuple
          passed
           (+ 1 (aref 1 results)))))))
Next: lift (+ 1 passed)
```

```
(:update
 (pass? results)
 (let ((x 1 (aref 0 results)))
   (let ((passed x 1))
     (if pass?
       (let ((x 2 (+ 1 passed)))
         (new-tuple
          x 2
          (+ 1 (aref 1 results))))
       (new-tuple
        passed
        (+ 1 (aref 1 results)))))))
```

```
(:update
   (pass? results)
   (let ((x 1 (aref 0 results)))
     (let ((passed x 1))
       (if pass?
          (let ((x 2 (+ 1 passed)))
            (new-tuple
            x 2
             (+ 1 (aref 1 results))))
          (new-tuple
          passed
           (+ 1 (aref 1 results)))))))
Next: lift (aref 1 results)
```

```
(:update
 (pass? results)
 (let ((x 1 (aref 0 results)))
    (let ((passed x 1))
      (if pass?
        (let ((x 2 (+ 1 passed)))
          (let ((x 3 (aref 1 results)))
            (new-tuple x 2 (+ 1 x 3)))
        (new-tuple
         passed
         (+ 1 (aref 1 results)))))))
Next: lift (+1 \times 3)
```

```
(:update
 (pass? results)
 (let ((x 1 (aref 0 results)))
   (let ((passed x 1))
     (if pass?
       (let ((x 2 (+ 1 passed)))
         (let ((x 3 (aref 1 results)))
           (let ((x 4 (+ 1 x 3)))
             (new-tuple x 2 x 4))))
       (new-tuple
        passed
        (+ 1 (aref 1 results)))))))
```

```
(:update
 (pass? results)
 (let ((x 1 (aref 0 results)))
   (let ((passed x 1))
      (if pass?
        (let ((x 2 (+ 1 passed)))
          (let ((x 3 (aref 1 results)))
            (let ((x 4 (+ 1 x 3)))
              (new-tuple x 2 x 4))))
        (new-tuple
         passed
         (+ 1 (aref 1 results)))))))
Next: lift (aref 1 results)
```

```
(:update
 (pass? results)
 (let ((x 1 (aref 0 results)))
   (let ((passed x 1))
     (if pass?
       (let ((x 2 (+ 1 passed)))
          (let ((x 3 (aref 1 results)))
            (let ((x 4 (+ 1 x 3)))
              (new-tuple \times 2 \times 4)))
       (let ((x 5 (aref 1 results)))
          (new-tuple
          passed
           (+ 1 \times 5)))))))
```

```
(:update
  (pass? results)
  (let ((x 1 (aref 0 results)))
    (let ((passed x 1))
      (if pass?
        (let ((x 2 (+ 1 passed)))
           (let ((x 3 (aref 1 results)))
             (let ((x 4 (+ 1 x 3)))
               (new-tuple \times 2 \times 4)))
         (let ((x 5 (aref 1 results)))
           (new-tuple
           passed
            (+ 1 \times 5)))))))
Next: lift (+1 \times 5)
```

```
(:update
 (pass? results)
 (let ((x 1 (aref 0 results)))
   (let ((passed x 1))
     (if pass?
       (let ((x 2 (+ 1 passed)))
         (let ((x 3 (aref 1 results)))
           (let ((x 4 (+ 1 x 3)))
              (new-tuple \times 2 \times 4)))
       (let ((x 5 (aref 1 results)))
         (let ((x 6 (+ 1 x 5)))
            (new-tuple passed x 6))))))
```

```
(:update
 (pass? results)
 (let ((x 1 (aref 0 results)))
   (let ((passed x 1))
     (if pass?
       (let ((x 2 (+ 1 passed)))
         (let ((x 3 (aref 1 results)))
           (let ((x 4 (+ 1 x 3)))
              (new-tuple \times 2 \times 4)))
       (let ((x 5 (aref 1 results)))
         (let ((x 6 (+ 1 x 5)))
            (new-tuple passed x 6))))))
```

Done

Normalization Rules: lift-app

If a d that's not already a v would be evaluated next, lift it into a let (if it's not already there).

Normalization Rules: lift-if

If an if would be evaluated next, lift it and push the context into its branches.

Normalization Rules: lift-let

If a **let** would be evaluated next, lift it and push the context into its body.

Normalization Rules: lift-let

The **let**-bound variable must not be free in the context.

Rename the variable if necessary.

Normalization Rules: lift-let

We may consider a **let** to be "next" even if its RHS is a function or primitive application.

```
(new-tuple
  (let ((passed (aref 0 results)))
      (if pass? (+ 1 passed) passed))
  (+ 1 (aref 1 results)))

= (let ((passed (aref 0 results)))
      (new-tuple
        (if pass? (+ 1 passed) passed)
      (+ 1 (aref 1 results))))
```

Repeatedly applying the preceding rules turns an L4 expression into an L3 expression.

- I. Following the order of evaluation, search the expression to find the first non-value that appears in a position where the L3 grammar expects a value.
- 2. Lift that non-value out of its context and into a let.
- 3. Repeat until we have an L3 program.

Revisit the sequence of intermediate expressions produced while converting :update to L3.

Revisit the sequence of intermediate expressions produced while converting : update to L3.

Observe: the portion of the expression above the most recently lifted expression is always already in L3. But the next step always begins by rescanning that region!

This observation suggests an optimization:

- I. Following the order of evaluation, search the expression to find the first non-value that appears in a position where the L3 grammar expects a value.
- 2. Lift that non-value out of its context and into a let.
- 3. Resume search from the point of the lifted non-value.

For example, find the first expression to lift.

Lift it into a let.

```
(let ([t (f x)])
(f1 (f2 t (g1 (g2 (g y))))))
```

Resume with the next lift-candidate.

In general, the optimized algorithm alternates between two modes.

- down mode find the next expression evaluated and go to up mode
- up mode lift the expression into a let, if necessary, and proceed in down mode on the next expression evaluated.

Let's step through some examples of the optimized algorithm. We'll illustrate its progress by annotating the expression it rewrites.

- "\" marks the current position when in down mode.
- "arks the current position when in up mode.
- " marks where to place the next lifted expression.

Algorithm Example: nested application

Algorithm Example: nested application

Algorithm Example: nested application

```
(let ((x_1 (b c)))
(let ((x_2 (d e)))
(a (x_1 (x_2 f)))))
```

```
(let ((x_1 (b c)))
(let ((x_2 (d e)))
(a (x_1 (x_2 f)))))
```

```
(let ((x_1 (b c)))
(let ((x_2 (d e)))
(a (x_1 (x_2 f)))))
```

```
(let ((x_1 (b c)))
  (let ((x_2 (d e)))
        (let ((x_3 (x_2 f)))
        (a (x_1 x_3)))))
```

```
(let ((x_1 (b c)))
  (let ((x_2 (d e)))
        (let ((x_3 (x_2 f)))
              (let ((x_4 (x_1 x_3)))
                (a x_4)))))
```

```
(let ((x_1 (b c)))
  (let ((x_2 (d e)))
        (let ((x_3 (x_2 f)))
              (let ((x_4 (x_1 x_3)))
                   (a x_4)))))
```

```
(let ((x (a b))) (c x))
(d (let ((y e)) (f y))))
```

```
(*(let ((x (a b))) (c x))
(d (let ((y e)) (f y))))
```

```
((let ((x (a b))) (c x))
(d (let ((y e)) (f y))))
```

```
((let ((x (a b))) (c x))
(d (let ((y e)) (f y))))
```

```
((let ((x (* b))) (c x))
(d (let ((y e)) (f y))))
```

```
((let ((x (a b)))) (c x))
(d (let ((y e)) (f y))))
```

```
((let ((x (a b)))) (c x))
(d (let ((y e)) (f y))))
```

```
((let ((x (a b))) (c x))
(d (let ((y e)) (f y))))
```

```
(let ((x (a b)))
  ((c x)
      (d (let ((y e)) (f y)))))
```

```
(let ((x (a b)))
  ((c x)
  (d (let ((y e)) (f y)))))
```

```
(let ((x (a b)))
  ((e x)
  (d (let ((y e)) (f y)))))
```

```
(let ((x (a b)))
  ((c *)
  (d (let ((y e)) (f y)))))
```

```
(let ((x (a b)))
  ((c *)
  (d (let ((y e)) (f y)))))
```

```
(let ((x (a b)))
  ((c x)
      (d (let ((y e)) (f y)))))
```

```
(let ((x_1 (a b)))
  (if x_1
        (let ((x_2 (c g)))
        (x_2 h))
        ((if d e f) g)
        h)))
```

```
(let ((x_1 (a b)))
  (if x_1
        (let ((x_2 (c g)))
        (x_2 h))
        ((if d e f) g)
        h)))
```

```
(let ((x_1 (a b)))
  (if x_1
        (let ((x_2 (c g)))
            (x_2 h))
        ((if d e f) g)
        h)))
```

```
(let ((x_1 (a b)))
  (if x_1
        (let ((x_2 (c g)))
            (x_2 h))
        ((if d e f) g)
        h)))
```

```
(let ((x_1 (a b)))
  (if x_1
        (let ((x_2 (c g)))
            (x_2 h))
        ((if d e f) g)
        h)))
```

```
(let ((x_1 (a b)))
  (if x_1
        (let ((x_2 (c g)))
        (x_2 h))
        (if d
        ((e g) h)
        ((f g) h))))
```

```
(let ((x_1 (a b)))
  (if x_1
        (let ((x_2 (c g)))
        (x_2 h))
        (if d
        ((e g) h)
        (f g) h))))
```

```
(let ((x_1 (a b)))
  (if x_1
        (let ((x_2 (c g)))
        (x_2 h))
        (if d
        ((e g) h)
        ((f g) h))))
```

```
(let ((x_1 (a b)))
  (if x_1
        (let ((x_2 (c g)))
        (x_2 h))
        (if d
        ((e g) h)
        ((f g) h))))
```

```
(let ((x_1 (a b)))
  (if x_1
        (let ((x_2 (c g)))
        (x_2 h))
        (if d
        ((e g) h)
        ((f g) h))))
```

We'll implement the algorithm for a subset of L4.

Extending the implementation to the rest of L4 is assignment 4.

The algorithm's execution defines a sequence of partially normalized expressions, each of which can be divided into three pieces:

- I. the expression at the arrow,
- 2. the portion of the expression outside the circle, and
- 3. the portion of the expression outside (1) but inside (2).
- (2) is irrelevant in subsequent steps, since it's already fully normalized, but we need a representation for (3).

A context is an expression with a hole in it. We'll represent it inside-out, so we can easily access what's just outside the arrow.

```
(define-type context
  [let-ctxt (x var?)
             (b L4-e?)
             (k context?)]
  [if-ctxt (t L4-e?)
            (e L4-e?)
            (k context?)]
  [fun-ctxt (a L4-e?)
             (k context?)]
  [arg-ctxt (f val?)
             (k context?)]
  [no-ctxt])
```

For this partially normalized expression

```
(...
((let ((x (a (b c))))
    d)
e))
```

we represent the unnormalized context outside the arrow with this structure

```
(arg-ctxt
  'a
  (let-ctxt
   'x 'd
   (fun-ctxt 'e (no-ctxt))))
```

A pair of mutually recursive functions implement the steps shown in the illustrated traces.

```
; find: L4-e context → L3-e
; find takes the next step when a
; downward arrow points to e. k
; records the context between the
; arrow and the enclosing circle
(define (find e k)
  . . . )
; fill: L3-d context -> L3-e
; fill does the same for an upward
; arrow
(define (fill d k)
  . . . )
```

We'll start with **find**, which dispatches on the form of the expression at the arrow.

```
(define (find e k)
  (match e
    [`(,f ,a)
    ...]
    [`(let ([,x ,r]) ,b)
    ...]
    [`(if ,c ,t ,e)
    ...]
    [(? val?)
    ...]))
```

When the arrow points down at an application, the search proceeds into the function position, extending the context with a **fun-ctxt** layer.

When the arrow points down at a **let**, the search proceeds into the right-hand side, extending the context with a **let-ctxt** layer.

When the arrow points down at an if, the search proceeds into the test position, extending the context with an if-ctxt layer.

When the arrow points down at a value, leave it in place by calling **fill** (which will resume the search for the next expression by examining the context).

We'll now define **fill**, which dispatches on the form of the enclosing context.

```
(define (fill d k)
  (type-case context k
    [let-ctxt (x b k)
                . . . ]
    [if-ctxt (t e k)
               . . . ]
    [fun-ctxt (a k)
                . . . ]
    [arg-ctxt (f k)
                . . . ]
    [no-ctxt ()
               ...]))
```

In defining **fill**'s cases, it will be helpful to examine some examples. For example, consider these steps, in which the context is a **fun-ctxt**.

```
(let ((x_1 (b c)))
        (a (x_1 (d e) f))))

→ (let ((x_1 (b c)))
        (let ((x_2 (d e)))
             (x_1 (x_2 f)))))
```

These examples suggest that the **fun-ctxt** case has two sub-cases:

- when the function position is a value, leave it in place and continue with the argument position;
- otherwise, lift it into a **let** then do the same.

The second sub-case lifts **d** just outside of **k** making it the last **let** in the normalized portion of the program.

```
(define (fill d k)
  (type-case context k
    [fun-ctxt
     (a k)
     (if (val? d)
         (find a (arg-ctxt d k))
         (let ([x (fresh-var)])
           `(let ([,x ,d])
               , (find a
                      (arg-ctxt x k)))))]
    ...))
```

Now consider these examples, in which the context is an arg-ctxt.

```
(let ((x_1 (b c)))
        (let ((x_2 (d e)))
        (a (x_1 (x_2 f)))))

→ (let ((x_1 (b c)))
        (let ((x_2 (d e)))
            (let ((x_3 (x_2 f))))
            (a (x_1 x_3 (x_2 f)))
```

These examples suggest that the arg-ctxt case has two sub-cases:

- when the argument position is a value, rebuild the application and examine its enclosing context;
- otherwise, lift it into a **let** then do the same.

```
(define (fill d k)
  (type-case context k
    [arg-ctxt
     (f k)
     (if (val? d)
         (fill `(,f ,d) k)
         (let ([x (fresh-var)])
            `(let ([,x ,d])
               ,(fill `(,f ,x) k))))]
    . . . ) )
```

Now consider these examples, in which the context is a let-ctxt.

These examples suggest a single let-ctxt case: lift the entire let (whether or not the right-hand side is a value), pushing the context into the body of the let.

Now consider these examples, in which the context is an if-ctxt.

```
(((if (a b) c (if d e f))
    g)
    h)

→ (let ((x_1 (a b)))
    (if x_1
        ((c g) h)
        ((if d e f) g) h)))
```

These examples suggest that the if-ctxt case has two sub-cases:

- if the test position is a value, leave it in place and push the context into the branches;
- otherwise, lift it into a **let** then do the same.

Algorithm Implementation

```
(define (fill d k)
  (type-case context k
    [if-ctxt
     (t e k)
     (if (val? d)
         `(if ,d
               , (find t k)
               ,(find e k))
          (let ([x (fresh-var)])
            `(let ([,x ,d])
               (if ,x
                    , (find t k)
                    , (find e k)))))]
    . . . ) )
```

Algorithm Implementation

There's one more case, **no-ctxt**. When there's nothing between the (normalized) expression at the (upward) arrow and the normalized portion of the program, we're done.

Algorithm Implementation

The top-level function **norm** calls **find** with the empty context.

```
; norm: L4-e → L3-e
(define (norm e)
  (find e (no-ctxt)))
```

```
if-lifting rule duplicates the content. What happens when an if appears in the context of an if?
```

```
(if (if x1 x2 x3) x4 x5)

= (if x1 (if x2 x4 x5) (if x3 x4 x5))

x4 and x5 each appear twice.
```

```
What about an if outside an if outside an if?
  (if (if x1 x2 x3) x4 x5) x6 x7)
= (if x1
      (if x2 (if x4 x6 x7) (if x5 x6 x7))
      (if x3 (if x4 x6 x7) (if x5 x6 x7)))
x6 and x7 each appear four times.
```

What about an if outside an if outside an if outside an if? (if (if (if x1 x2 x3) x4 x5) x6 x7)x8**x9**) = (if x1(if x2(if x4 (if x6 x8 x9) (if x7 x8 x9))(if x5 (if x6 x8 x9) (if x7 x8 x9)))(if x3(if x4 (if x6 x8 x9) (if x7 x8 x9))(if x5(if x6 x8 x9)(if x7 x8 x9))))

x8 and x9 each appear eight times.

Ignore this problem in assignment 4.

We can avoid exponential growth by turning the context into a function, to be tail-called by the branches. For example,

Full L4: begin

Transform **begin** expressions into **let** expressions using the rule

```
(begin e1 e2)
= (let ((x e1)) e2)
```

which holds when x is not free in e2.

Full L4: multi-arg apps

Extend the **context** variant representing application expressions to accommodate multiple arguments by recording

- the sub-expressions that have already been normalized, and
- the sub-expressions remaining.

When none remain, rebuild the application and call **fill** as in the single argument case.

Full L4: primitive operators

biop, **pred**, and array/tuple expressions are like applications, if you pretend that the operator is a variable.

Full L4: variable freshness

The rules for lifting **let**s and eliminating **begin**s assume that the bound variable does not appear free in certain expressions.

Guarantee this constraint by giving every bound variable a fresh name in a pre-normalization pass.

In several fill cases, we repeated this pattern

"If d is a value, do something; if it isn't, let it into a let and do the same thing to the let-bound variable."

Repetition is bad practice.

We should abstract over this pattern.

```
; maybe-let: L3-d (val → L3-e) → L3-e
(define (maybe-let d f)
   (if (val? d)
        (f d)
        (let ([x (fresh-var)])
            `(let ([,x ,d])
            ,(f x)))))
```

```
(define (fill d k)
  (type-case context k
    [if-ctxt
     (t e k)
      (maybe-let d
        (\lambda (v))
          `(if ,v
                 , (find t k)
                , (find e k))))]
    . . . ) )
```

Some calls to **find** add a layer to the context; calls to **fill** eventually remove the layer and switch on it.

Observe that for each call to **find**, we know which **fill** case eventually fires.

```
(define (find e k)
  (match e
    [`(,f ,a)
     (find f (fun-ctxt a k))]
    . . . ) )
(define (fill d k)
  [fun-txt
   (a k)
   (maybe-let d
      (\lambda (v)
        (find a (arg-ctxt v k))))]
  . . . )
```

```
(define (find e k)
  (match e
    [`(let ([,x ,r]) ,b)
     (find r (let-ctxt x b k))]
    ...))
(define (fill d/k)
  (type-case context k
    [let-ctxt
     (x b k)
     `(let ([,x ,d])
        , (find b k))]
    ...))
```

```
(define (find e k)
  (match e
    [`(if ,c ,t ,e)
     (find c (if-ctxt t e k))]
    . . . ) )
(define (fill d/k)
  (type-case context k
    [if-ctxt
     (tek)
     (maybe-let d
        (\lambda (v))
          `(if ,v
                , (find t k)
                , (find e k))))]
    . . . ) )
```

```
(define (fill d k)
  [fun-ctxt
   (a k)
   (maybe-let d
      (\lambda (v)
        (find a (arg-ctxt v k))))]
  [arg-stxt
   (f k)
   (maybe-let d
      (\lambda (v)
        (fill `(,f ,v) k)))]
  . . . )
```

```
(define (norm e)
  (find e (no-ctxt)))
(define (fill d k)
  (type-case context k
    ...
  [no-ctxt () d]
    ...))
```

Knowing which case eventually fires lets us eliminate the switch by replacing the **context** structures with functions that do whatever **fill** would do in the corresponding case.

The course website shows how to perform this refactoring as a sequence of small transformations.