

Recurrences

$$\begin{aligned}f(1) &= 5 \\f(n) &= f(n-1) + 3 \quad (\text{if } n > 1)\end{aligned}$$

Find some simple cases:

$$\begin{aligned}f(1) &= 5 \\f(2) &= f(1) + 3 = 8 \\f(3) &= f(2) + 3 = 11 \\f(4) &= f(3) + 3 = 14\end{aligned}$$

Guess a solution: $f(n) = 3n + 2$. This is called a *closed form* since there is no recursion in the definition.

Check that it works on the simple cases:

$$\begin{aligned}3(1) + 2 &= 5 \\3(2) + 2 &= 8 \\3(3) + 2 &= 11 \\3(4) + 2 &= 14\end{aligned}$$

So it looks like we're on the right track.

How can you *prove* that it's the correct solution?

Proving recurrence solution by induction

$$f(1) = 5$$

$$f(n) = f(n - 1) + 3 \quad (\text{if } n > 1)$$

Prove $f(n) = 3n + 2$ by induction. Base:

$$f(1) = 5 = 3(1) + 2$$

So $f(n) = 3n + 2$ is true when $n = 1$.

Now assume

$$f(n) = 3n + 2$$

and prove

$$f(n + 1) = 3(n + 1) + 2$$

Proof:

$$\begin{aligned} f(n + 1) &= f(n) + 3 \\ &= 3n + 2 + 3 \\ &= 3(n + 1) + 2 \end{aligned}$$

Guess checks out. We have proved it is a correct solution by induction.

Proof by induction for recurrence relations

A simpler form of proof involves just plugging the closed form in for f and checking both clauses of the recurrence.

$$f(1) = 5$$

$$f(n) = f(n - 1) + 3 \quad (\text{if } n > 1)$$

Plugging in $f(n) = 3n + 2$ gives

$$3(1) + 2 = 5$$

$$3(n) + 2 = 3(n - 1) + 2 + 3 \quad (\text{if } n > 1)$$

and both of these check out, so it is proved by induction.

Solving recurrences without guessing

$$\begin{aligned}f(1) &= 5 \\f(n) &= f(n-1) + 3 \quad (\text{if } n > 1)\end{aligned}$$

Note that the second line represents infinitely many equations:

$$\begin{aligned}f(n) &= f(n-1) + 3 \\f(n-1) &= f(n-2) + 3 \\f(n-2) &= f(n-3) + 3 \\f(n-3) &= f(n-4) + 3 \\&\dots \\f(n-(k-1)) &= f(n-k) + 3 \\&\dots \\f(n-(n-2)) &= f(n-(n-1)) + 3 \\&= f(1) + 3 \\&= 8\end{aligned}$$

As long as the argument to f never goes below 1, all these are true.

Solving recurrences without guessing

$$f(1) = 5$$

$$f(n) = f(n - 1) + 3 \quad (\text{if } n > 1)$$

Let's **add up** the left and right hand sides of some equations:

$$f(n) = f(n - 1) + 3$$

$$f(n - 1) = f(n - 2) + 3$$

$$f(n - 2) = f(n - 3) + 3$$

$$f(n - 3) = f(n - 4) + 3$$

Note that many of the terms appear on both sides, so we can subtract them from the final equation:

$$f(n) = \cancel{f(n-1)} + 3$$

$$\cancel{f(n-1)} = \cancel{f(n-2)} + 3$$

$$\cancel{f(n-2)} = \cancel{f(n-3)} + 3$$

$$\cancel{f(n-3)} = f(n - 4) + 3$$

We are left with:

$$\begin{aligned} f(n) &= f(n - 4) + 3 + 3 + 3 + 3 \\ &= f(n - 4) + 4(3) \end{aligned}$$

If we added up 10 terms, we would get

$$f(n) = f(n - 10) + 10(3)$$

Adding up recurrence equations

$$\begin{aligned}f(1) &= 5 \\f(n) &= f(n-1) + 3 \quad (\text{if } n > 1)\end{aligned}$$

If we added up k terms, we would get

$$f(n) = f(n-k) + k(3)$$

We can do this as long as $n-k \geq 1$. If we let $n-k = 1$, then $k = n-1$ and we have

$$\begin{aligned}f(n) &= f(n-(n-1)) + (n-1)3 \\&= f(1) + (n-1)3 \\&= 5 + (n-1)3 \\&= 3n + 2\end{aligned}$$

Magic!

Let's see that again

$$f(1) = 5$$

$$f(n) = f(n-1) + 3 \quad (\text{if } n > 1)$$

The recurrence gives us lots of equations:

$$f(n) = \cancel{f(n-1)} + 3$$

$$\cancel{f(n-1)} = \cancel{f(n-2)} + 3$$

$$\cancel{f(n-2)} = \cancel{f(n-3)} + 3$$

$$\cancel{f(n-3)} = \cancel{f(n-4)} + 3$$

...

$$\cancel{f(n - (n-2))} = f(n - (n-1)) + 3$$

Add them all up and cancelling gives:

$$\begin{aligned} f(n) &= f(1) + \sum_{k=1}^{n-1} 3 \\ &= 5 + (n-1)3 \\ &= 3n + 2 \end{aligned}$$

Recurrences

$$\begin{aligned}f(1) &= 1 \\f(n) &= 2f(n-1) \quad (\text{if } n > 1)\end{aligned}$$

Find some simple cases:

$$\begin{aligned}f(1) &= 1 \\f(2) &= 2f(1) = 2 \\f(3) &= 2f(2) = 4 \\f(4) &= 2f(3) = 8\end{aligned}$$

We can guess $f(n) = 2^{n-1}$. Trying some simple cases shows this is a good guess:

$$\begin{aligned}2^{1-1} &= 1 \\2^{2-1} &= 2 \\2^{3-1} &= 4 \\2^{4-1} &= 8\end{aligned}$$

We can now prove this guess correct by induction. Plug the closed form solution into the recursive equations:

$$\begin{aligned}2^{(1-1)} &= 1 \\2^{(n-1)} &= 2(2^{((n-1)-1)}) \quad (\text{if } n > 1)\end{aligned}$$

And it checks out.

Now let's try to find that solution without guessing.

Recurrences solved without guessing

$$f(1) = 1$$

$$f(n) = 2f(n-1) \quad (\text{if } n > 1)$$

Get lots of equations:

$$f(n) = 2f(n-1)$$

$$2f(n-1) = 2(2(f(n-2)))$$

$$= 2^2 f(n-2)$$

$$2^2 f(n-2) = 2^2(2f(n-3))$$

$$= 2^3 f(n-3)$$

$$2^3 f(n-3) = 2^4 f(n-4)$$

...

$$2^{k-1} f(n - (k-1)) = 2^k f(n-k)$$

...

$$2^{n-2} f(2) = 2^{n-1} f(1)$$

We got that last one by considering the case where

$$n - k = 1$$

or, in other words,

$$k = n - 1$$

Add and cancel

$$\begin{aligned} f(1) &= 1 \\ f(n) &= 2f(n-1) \end{aligned} \quad (\text{if } n > 1)$$

$$\begin{aligned} f(n) &= \cancel{2f(n-1)} \\ \cancel{2f(n-1)} &= \cancel{2^2 f(n-2)} \\ \cancel{2^2 f(n-2)} &= \cancel{2^3 f(n-3)} \\ \cancel{2^3 f(n-3)} &= \cancel{2^4 f(n-4)} \\ &\dots \\ \cancel{2^{n-2} f(2)} &= 2^{n-1} f(1) \end{aligned}$$

Using the fact that $f(1) = 1$ gives

$$f(n) = 2^{n-1}$$

That's the same solution that we guessed in the beginning.

We already demonstrated that this solution works on small cases, and we proved it works on all cases by induction.

A more tricky example

$$f(1) = 5$$

$$f(n) = 3f(n-1) + 7$$

Find some simple examples:

$$f(1) = 5$$

$$f(2) = 3f(1) + 7 = 22$$

$$f(3) = 3f(2) + 7 = 73$$

$$f(4) = 3f(3) + 7 = 226$$

What's the next number in the sequence?

$$5, 22, 73, 226, ?$$

Guessing may not be so helpful here.

Solve without guessing

$$f(1) = 5$$

$$f(n) = 3f(n-1) + 7$$

Get lots of equations:

$$f(n) = 3f(n-1) + 7$$

$$\begin{aligned} 3f(n-1) &= 3(3f(n-2) + 7) \\ &= 3^2f(n-2) + 3(7) \end{aligned}$$

$$\begin{aligned} 3^2f(n-2) &= 3^2(3f(n-3) + 7) \\ &= 3^3f(n-3) + 3^2(7) \end{aligned}$$

$$3^3f(n-3) = 3^4f(n-4) + 3^3(7)$$

...

$$3^{k-1}f(n-(k-1)) = 3^kf(n-k) + 3^{k-1}(7)$$

...

$$3^{n-2}f(2) = 3^{n-1}f(1) + 3^{n-2}(7)$$

Solve without guessing

$$f(1) = 5$$

$$f(n) = 3f(n-1) + 7$$

Add and cancel:

$$f(n) = \cancel{3f(n-1)} + 7$$

$$\cancel{3f(n-1)} = \cancel{3^2 f(n-2)} + 3(7)$$

$$\cancel{3^2 f(n-2)} = \cancel{3^3 f(n-3)} + 3^2(7)$$

$$\cancel{3^3 f(n-3)} = \cancel{3^4 f(n-4)} + 3^3(7)$$

...

$$\cancel{3^{k-1} f(n-(k-1))} = \cancel{3^k f(n-k)} + 3^{k-1}(7)$$

...

$$\cancel{3^{n-2} f(2)} = 3^{n-1} f(1) + 3^{n-2} 7$$

We are left with

$$\begin{aligned} f(n) &= 3^{n-1}(5) + \sum_{i=0}^{n-2} 3^i(7) \\ &= 5(3^{n-1}) + 7 \sum_{i=0}^{n-2} 3^i \end{aligned}$$

Solve the summation

$$f(1) = 5$$

$$f(n) = 3f(n-1) + 7$$

We have a geometric series, so

$$\begin{aligned} f(n) &= 5(3^{n-1}) + 7 \sum_{i=0}^{n-2} 3^i \\ &= 5(3^{n-1}) + 7 \frac{3^{n-1} - 1}{3 - 1} \\ &= \frac{10(3^{n-1}) + 7(3^{n-1}) - 7}{2} \\ &= \frac{17(3^{n-1}) - 7}{2} \end{aligned}$$

Seriously?

Check our solution

$$f(1) = 5$$

$$f(n) = 3f(n-1) + 7$$

Closed form:

$$f(n) = \frac{17(3^{n-1}) - 7}{2}$$

Remember our sequence?

$$5, 22, 73, 226, ?$$

Let's test $f(4) = 226$:

$$\begin{aligned} f(4) &= \frac{17(3^{4-1}) - 7}{2} \\ &= \frac{17(27) - 7}{2} \\ &= \frac{459 - 7}{2} \\ &= \frac{452}{2} \\ &= 226 \end{aligned}$$

Wow.

(Next number is 685, by the way. Obvious, no?)

Prove correct by induction

$$f(1) = 5$$

$$f(n) = 3f(n-1) + 7$$

Closed form:

$$f(n) = \frac{17(3^{n-1}) - 7}{2}$$

Base:

$$\frac{17(3^{1-1}) - 7}{2} \stackrel{?}{=} 5 \quad (\text{Correct})$$

Step:

$$\frac{17(3^{n-1}) - 7}{2} \stackrel{?}{=} 3 \left(\frac{17(3^{n-2}) - 7}{2} \right) + 7$$

$$= \frac{17(3^{n-1}) - 3(7) + 14}{2}$$

$$= \frac{17(3^{n-1}) - 7}{2} \quad (\text{Correct})$$

We have proved by induction that our closed form is a solution to the original recurrence.